## Planar Graphs

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**Defn:** G = (V, E) is <u>planar</u> if there is a drawing of the graph in the 2D plane s.t. no 2 edges cross each other.

**Ex:** V = [n] and  $E = \emptyset$  is planar.

**Ex:**  $C_n$  and  $P_n$  are planar.

**Ex:**  $K_1$ ,  $K_2$ , and  $K_3$  are planar.

**Ex:**  $K_4$  is planar. The normal way we would draw it does not work, but if we draw it like a tetrahedron, it does.

Ex:  $K_5$  is not planar.

**Ex:** The Petersen graph is not planar. We can see this by contracting the edge connecting the outer corners to each of the corners of the inner shape, leaving us with  $K_5$ .

**Defn:** Consider a drawing of a planar graph G in  $\mathbb{R}^2$ . Remove the edges and vertices in the drawing. Then the connected components of  $\mathbb{R}^2 \setminus (V \cup E)$  are called the <u>faces</u> of the drawing. We denote the number of faces as f.

Thm: (Euler) If G is a connected planar graph, then in any planar drawing, we have v-e+f=2. Proof: If x is a leaf in G, delete x and the attached edge. Then v'=v-1, e'=e-1, and f'=f. So v'-e'+f'=(v-1)-(e-1)+f=2. Assume there are no leaves. Then  $\forall x\in V$ ,  $\deg\left(\left(\right)x\right)\geq 2$ . So it is not a tree, so  $\exists$  a cycle C in our graph. Assume WOLOG it is the smallest cycle – that is, there is no smaller cycle contained inside it. Delete an arbitrary edge d in the cycle. Then v'=v, e'=e-1, and f'=f-1. So v'-e'+f'=v-(e-1)+(f-1)=2. Therefore, by induction,  $\Box$ 

**Prop:** If G is planar, then  $2e = \sum_{\varphi \in \{faces\}} |\{\text{edges bounding } \varphi\}|$ . This works because every edge is incident to exactly two faces (note that these two faces may actually be the same face).

Ex: Prove that  $K_5$  is not planar. Proof by contradiction: assume  $K_5$  is planar. We have v=5 and e=10, so f=7. But by our proposition, we have  $2e=20=\sum_{\varphi\in\{faces\}}|\{\text{edges bounding }\varphi\}|\geq\sum_{\varphi}e=ef=21.$  So  $20\geq21.$  Oops!  $\square$ 

Ex:  $K_{3,3}$  is not planar. Proof by contradiction: Assume  $K_{3,3}$  is planar. We have v = 6, e = 9, so f = 5. Since  $K_{3,3}$  is a bipartite graph, every cycle must have an even number of edges. So every face must have at least 4 edges. But by our proposition, we have  $2e = 18 = \sum_{\varphi \in \{faces\}} |\{edges \text{ bounding } \varphi\}| \ge \sum_{\varphi} 4 = 4f = 20$ . So  $18 \geq 21$ . Oops!  $\square$ 

Question: How many colors do we need to properly color a planar graph? We can observe that 4 is enough.

**Thm:** 4 colors is enough to properly color any planar graph.

Proof: Way too hard for class.

Instead, we will prove two claims.

Claim 1: Every planar graph is 6-colorable.

Claim 2: Every planar graph is 5-colorable.

**Thm:** Claim 1: Every planar graph is 6-colorable.

Proof: Observe that in any simple planar graph,  $\exists x \in V \text{ s.t. } \deg(x) \leq 5$ . To prove this observation to be true, we by contradiction assume  $\forall x_i \in V \deg(x_i) \geq 6$ .

Then  $2e \ge \sum_{x_i \in V} 6 = 6v \to e \ge 3v$ . And  $2e \ge \sum_{\varphi} 3 = 3f \to e \ge \frac{3}{2}f$ .

So  $\frac{1}{3}e \ge v$  and  $\frac{2}{3}e \ge f$ . So  $-e + v + f \le 0$ . Oops! Therefore,  $\exists x \in V$  s.t.  $\deg(x) \ge 5$ .

Delete x. Then we now have G' = G - x. If G' is 6 colorable, then so is G. G' is still planar, so recurse.  $\square$ 

Remark: The planar graph of the icosahedron has deg(v) = 5 for all  $v \in V$ .

**Thm:** Claim 2: Every planar graph is 5-colorable.

Proof: WOLOG, add edges to G until all faces are triangles (except the big outer face). We still have G planar. Adding edges cannot decrease  $\chi_G$ , so it is enough to show that  $\chi_{G'} \leq 5$ . We will use induction.

Let  $V = V_b \sqcup V_i$ , with  $V_b$  boundary vertexes and  $V_i$  interior vertexes.

For any  $x \in V_i$ , the set of possible colors  $C(x) = \{c_1, \ldots, c_5\}$ .

For any  $x \in V_b$ , the set of possible colors C(x) follows |C(x)| = 3.

For some adjacent  $x_1, x_2 \in V_b$ , we fix  $C(x_1) = \{c_1\}$  and  $C(x_2) = \{c_2\}$ .

We claim  $\exists f: V \to \{c_1, \ldots, c_5\}$  s.t.  $f(x_i) \in C(x_i)$  and  $x_i, x_j$  adjacent  $\to f(x_i) \neq f(x_j)$ .

If  $v \leq 3$  (the base case), all is good!

Case 1:  $\exists x_i, x_j \in V^b$  s.t.  $x_j$  and  $x_i$  are non-adjacent on the boundary, but adjacent in the graph. Then  $G = G_1 \cup G_2$  with  $G_1 \cap G_2 = \{x_i, x_j\}$ . So  $\forall (x_1, x_2) \neq (x_i, x_j)$  we have  $x_1, x_2 \in G_1$  or  $x_1, x_2 \in G_2$ . By induction,  $G_1$  has a coloring. In that coloring, assume  $f(x_i) = c$  and  $f(x_i) = c'$ . Then let  $x_i, x_j$  be two special boundary vertices for  $G_2$ , with  $C(x_i) = \{c\}$  and  $C(x_j) = \{c'\}$ . So by induction,  $G_2$  has a coloring. So we can combine  $G_1$  and  $G_2$  to color G.

Case 2: No such  $x_i, x_j$  exist. Then look at  $x_2$  (with preceding and succeeding vertexes  $x_1$  and  $x_3$ on the boundary), and  $u_1, \ldots, u_k$  interior adjacent points.

We ran out of time in class here. Danny will be sending out the rest of the proof.