## Matroids

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This command may be useful:

From last time:

**Prop:** A lattice  $\mathcal{L}$  can be ranked if and only if every maximal chain  $\hat{0} \prec x_1 \prec x_2 \prec \cdots \prec x_\ell = \hat{1}$  has the same length.

Proof " $\Rightarrow$ ": rank $(x_{i+1}) = \text{rank}(x_i) + 1$ . So  $\ell = \text{rank}(x_\ell) = \text{rank}(\hat{1})$ . Proof " $\Leftarrow$ ": Inductive, starting with rank $(\hat{0}) = 0$ .

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**Defn:** A matriod  $M = (E, \mathcal{I})$  is a finite set E and a family  $\mathcal{I}$  of subsets of E with 2 properties:

- M0) If  $X \in \mathcal{I}$  and  $Y \subseteq X$ , then  $Y \in \mathcal{I}$ .
- M1) If  $X, Y \in \mathcal{I}$  and |Y| > |X|, then  $\exists e \in Y \setminus X \text{ s.t. } X \cup \{e\} \in \mathcal{I}$ .

We call an  $X \in \mathcal{I}$  an independent set in E. A maximal independent set is called a <u>base</u>.

**Ex:**  $M = (E, \mathcal{I})$  where  $E = \{1, 2, ..., n\}$  and  $\mathcal{I} = \{X \subseteq E : |X| \le k\}$ . (Uniform matroid).  $|I| = \binom{n}{0} + \binom{n}{1} + \cdots + \binom{n}{k}$ .

**Ex:**  $M = (E, \mathcal{I})$  where  $E \subseteq \mathbb{R}^n$  (finite set of *n*-dimensional vectors).  $E = \{v_1, \dots, v_m\}$ .  $\mathcal{I} = \{X \subseteq E : X \text{ linearly independent}\}$ . Then M is called a linear matroid.

- M0) X linearly independent,  $Y \subseteq X$  means Y is also linearly independent, so  $Y \in \mathcal{I}$ .
- M1) X, Y linearly independent, |X| < |Y|. Then  $\dim(\text{span}(X)) < \dim(\text{span}(Y))$ . So by basic linear algebra, we're done.

**Ex:** G = (V, E) graph,  $M = (E, \mathcal{I})$ .  $\mathcal{I} = \{X \subset E : X \text{ is acyclic}\}$  (or equivalently, X forms a forest in G). This is a graphic matroid.

- M0) X acyclic,  $Y \subseteq X \to Y$  acyclic.
- M1) X, Y forests, |X| < |Y|. Recall that a forest with n vertices and  $\ell$  components has  $n \ell$  edges. So assume |X| = a < b = |Y|. Then X has n a components and Y has n b components. So there exists an edge e in Y connecting two components in X, so  $X \cup \{e\}$  is still a forest.

**Prop:** All bases in a matroid have the same size.

Proof: Assume X and Y are bases and |X| < |Y|. By M0,  $\exists e \in Y \setminus X$  s.t.  $X \cup E \in \mathcal{I}$ . Thus, X is not maximal, and is therefore not a base. Oops!  $\Box$ 

**Defn:**  $M = (E, \mathcal{I})$ , let  $S \subseteq E$ . Then  $r(S) = \max\{|X| : X \subseteq S \text{ is an independent set}\}$  is called the rank function. In particular, r(M) = r(E) is the size of any base.

If  $S \subseteq T$ , then  $r(S) \leq r(T)$ .

**Lemma:** Matroid  $M = (E, \mathcal{I}), A \subseteq S \subseteq E, A$  is an independent set.

Then there is a set B with  $A \subseteq B \subseteq S$  such that B is also an independent set and |B| = r(S). Proof: Let  $C \subseteq S$  s.t. C is independent and |C| = r(S). If |A| = |C|, we're done. If |A| < |C|, by M1, there exists  $e \in C \setminus A$  s.t.  $A \cup \{e\}$  independent. We can repeat this until eventually we get  $A \subset B$  independent with |B| = |C|.  $\square$ 

**Thm:** (Rank semimodularity) Let  $M = (E, \mathcal{I})$  be a matroid,  $S, T \subseteq E$ .

Then  $r(S) + r(T) \ge r(S \cap T) + r(S \cup T)$ .

Proof: Consider  $S \cap T$ , let  $A \subseteq S \cap T$  such that A is independent and  $|A| = r(S \cap T)$ .  $A \subseteq T \cup T$ . By our lemma, we can find  $A \subseteq B \subseteq S \cup T$  such that B independent, and  $|B| = r(S \cup T)$ .

Thus,  $|A| = r(S \cap T)$  and  $|B| = r(S \cup T)$ . Let  $B_1 \subseteq S$  and  $B_2 \subseteq T$  both be independent sets. Then  $r(S) \ge |B_1|$  and  $r(T) \ge |B_2|$ . So  $r(S) + r(T) \ge |B_1| + |B_2|$ .

Therefore,  $|A| + |B| \ge r(S \cap T) + r(S \cup T)$ .  $\square$ 

**Defn:**  $M = (E, \mathcal{I}), S \subseteq E$ . We define the <u>closure</u> of S  $cl(S) = \{x \in E : r(S) = r(S \cup x)\}$ . We say S is <u>closed</u> or <u>flat</u> if cl(S) = S. We say S is <u>k-flat</u> if cl(S) = S and r(S) = k.

Some properties of the closure:

- $S \subseteq \operatorname{cl}(S)$
- $S \subseteq t \to \operatorname{cl}(S) \subseteq \operatorname{cl}(T)$
- $\operatorname{cl}(\operatorname{cl}(S)) = \operatorname{cl}(S)$