

# Math 591 Lecture 39

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## De Rham Cohomology

**Defn:** Given  $M$  a manifold,  $k \in \mathbb{N}$  (by our convention,  $0 \in \mathbb{N}$ ), we define  $Z^k(M) = \ker(d : \Omega^k \rightarrow \Omega^{k+1})$ , the set of closed  $k$ -forms (“cocycles”) and  $B^k(M) = \text{im}(d : \Omega^{k-1} \rightarrow \Omega^k)$  the set of exact  $k$ -forms (“coboundaries”).

**Defn:** The  $k$ th de Rham group is the quotient  $H^k \stackrel{\text{def}}{=} Z^k / B^k$ . (In our case, this is a quotient vector space, but it can also be defined simply as a quotient group.)

**Defn:**  $\beta_k = \dim H^k(M)$  is the  $k$ th Betti number of  $M$ .

Observe: If  $k > \dim M$ , then  $\beta_k = 0$ .

Observe: There is a “compact version” of this theory, for working with  $\Omega_0^k$ , the set of compactly-supported  $k$ -forms.

**Ex:**  $H^0 = \{f \in C^\infty \mid df = 0\}$  is the space of locally constant functions. Thus,  $\beta_0$  is the number of connected components of  $M$ .

**Ex:**  $M = \mathbb{R}$ ,  $\beta_0 = 1$ . What is  $\beta_1$ ? Well,

$$Z^1 = \Omega^1 = \{f dx \mid f \in C^\infty\}.$$

$$B^1 = \{dg = g' dx \mid g \in C^\infty\}.$$

Every  $f \in C^\infty$  has an antiderivative, so  $H^1(\mathbb{R}) = \{0\}$ , so  $\beta_1 = 0$ .

**Prop:**  $H^k(\mathbb{R}^n) \cong \begin{cases} \mathbb{R} & k = 0 \\ 0 & k > 0 \end{cases}$

**Ex:**  $M = \mathbb{R}$ . Consider only forms with compactly-supported coefficients ( $H_C$ ). Then

$$H^0 = \{f \in C^\infty(\mathbb{R}) \mid df = 0\} = \{0\}.$$

To compute  $H^1$ , ask: Which functions  $f \in C_0^\infty(\mathbb{R})$  have anti-derivatives that are of compact support? One can show that this is true iff  $\int f = 0$ .

Note:  $\int : H_C^1 \rightarrow \mathbb{R}$  is an isomorphism, so  $H_C^1(\mathbb{R}) \cong \mathbb{R}$ .

**Ex:**  $M = S^1$ .  $M$  is connected, so  $\beta_0 = 1$ . What about  $\beta_1$ ? Well,

$$Z^1 = \Omega^1 = \{f d\theta \mid f : \mathbb{R} \rightarrow \mathbb{R} \text{ is } 2\pi\text{-periodic}\}.$$

$$B^1 = \{dg = g' d\theta \mid g \in C^\infty(S^1)\}.$$

Question: Which  $2\pi$ -periodic functions have  $2\pi$ -periodic antiderivatives? We can figure this out using Fourier series.

We want  $f = dg$ , so

$$f = \sum_{n \in \mathbb{Z}} a_n e^{in\theta} \quad \Rightarrow \quad g = \frac{1}{i} \sum_{n \in \mathbb{Z}} \frac{a_n}{n} e^{in\theta}$$

So we need  $a_0 = 0$ . In fact,  $[f d\theta] = [a_0 d\theta]$ , where  $[\cdot]$  denotes the cohomology class. Thus,  $H^1(S^1) = \mathbb{R}[d\theta] \cong \mathbb{R}$ .

Observe: This generalizes greatly, to any compact manifold without boundary. It's known as “Hodge theory”. Fourier series are replaced with the spectral of the Laplacian.

## General Features

- Covariance: If  $F : M \rightarrow N$  is  $C^\infty$ , we can define,  $\forall k$ ,  $F^* : H^k(N) \rightarrow H^k(M)$  by  $F^*[\omega] = [F^*\omega]$  (for  $\omega \in Z^k(N)$ ). This is well-defined because  $F^*$  and  $d$  commute.
- Ring Structure: The wedge product induces a “cup map” in cohomology:

$$\begin{aligned} H^k(M) \times H^\ell(M) &\rightarrow H^{k+\ell}(M) \\ ([\alpha], [\beta]) &\mapsto [\alpha \wedge \beta] \end{aligned}$$

Check that this is well-defined:

- a)  $d(\alpha \wedge \beta) = 0$  if  $d\alpha = 0$  and  $d\beta = 0$  by the product rule.
- b)  $(\alpha + da) \wedge (\beta + db) = \alpha \wedge \beta + \dots$ . The remaining terms are each exact, so it's still the same cohomology class.
- $F^*$  is a ring morphism.
- $(F \circ G)^* = G^* \circ F^*$ .

**Cor:** Diffeomorphic manifolds have isomorphic cohomology.

## Homotopy Equivalence

**Defn:** Two  $C^\infty$  functions  $F, G : M \rightarrow N$  are homotopic (to each other) iff there is a smooth map  $\Phi : M \times [0, 1] \rightarrow N$  s.t.  $\forall p \in M$ ,  $\Phi(p, 0) = F(p)$  and  $\Phi(p, 1) = G(p)$ .  $\Phi$  is called a homotopy.

Some notation:  $\forall t \in [0, 1]$ , let  $\iota_t : M \rightarrow M \times [0, 1]$  where  $p \mapsto (p, t)$ . Then  $F = \Phi \circ \iota_0$ , and  $G = \Phi \circ \iota_1$ .

Observe: In Tu's textbook, we use  $\mathbb{R}$  instead of  $[0, 1]$ . The two definitions are equivalent, as we can easily extend  $\Phi$  from  $M \times [0, 1]$  to  $M \times \mathbb{R}$  with a bump function, and we can simply restrict from  $M \times \mathbb{R}$  to  $M \times [0, 1]$ .

**Thm:** Being homotopic is an equivalence relation in  $C^\infty(M, N)$ . We write  $F \sim G$ .

**Ex:** If  $X \in \mathfrak{X}(M)$  is complete, then  $\forall t$ ,  $\phi_t$ , the flow's time- $t$  map, is homotopic to the identity,  $\phi_0$ .

Proof: For  $t = 1$ , use  $\Phi$ . For  $t = T$  (nonzero), use  $\Phi(p, t) = \phi_{tT}(p)$ .  $\square$

**Ex:** Say  $\text{Id}, G : \mathbb{R}^n \rightarrow \mathbb{R}^n$ , with  $G(x) = 0$  a constant map. Then  $G$  and  $I$  are homotopic, with  $\Phi(x, t) = (1 - t)x$  a homotopy.

**Defn:** A submanifold  $S \subset M$  is a deformation retract of  $M$  iff  $\exists \Phi : M \times [0, 1] \rightarrow M$  s.t.

- a)  $\Phi|_{\{t=0\}} = \text{Id}_M$
  - b)  $\Phi|_{\{t=1\}}$  maps  $M$  into  $S$ .
  - c)  $\forall t \in [0, 1]$ ,  $\forall p \in S$ ,  $\Phi(p, t) = p$ .
- Such a  $\Phi$  is called a deformation retraction.

**Ex:**  $M = S^1 \times (-1, 1)$ ,  $S = S^1 \times \{0\}$ .  $S$  is a deformation retract of  $M$ .

**Defn:**  $F : M \rightarrow N$  is a homotopy equivalence iff  $\exists G : N \rightarrow M$  s.t.  $G \circ F \sim \text{Id}_M$  and  $F \circ G \sim \text{Id}_N$ . If there's a homotopy equivalence  $M \rightarrow N$ , we say that  $M$  and  $N$  are homotopy equivalent, or that they “have the same homotopy type”. We say  $G$  and  $F$  are homotopy inverses.

**Prop:** If  $S \subset M$  is a deformation retract of  $M$ , then  $M$  and  $S$  are homotopy equivalent.

Proof: Let  $\Phi : M \times [0, 1] \rightarrow M$  be a deformation retraction of  $M$  onto  $S$ . Define  $F : M \rightarrow S$  to be  $F = \Phi|_{M \times \{1\}} : M \rightarrow S$ , and  $G : S \hookrightarrow M$  to be the inclusion.  $\square$

From the perspective of homotopy theory,  $\mathbb{R}^n$  is the same as a point, the cylinder is the same as the circle. Dimension is not homotopy-invariant!

## Back to de Rham Theory

**Thm:** (Homotopy Axiom) Let  $F, G : M \rightarrow N$  be smooth maps, and homotopic to each other. Then  $F^* = G^*$  in cohomology, i.e.,  $\forall k \in \mathbb{N}$ ,  $F^*, G^* : H^k(N) \rightarrow H^k(M)$  are equal.

Proof: First, we'll prove this for  $F = I$ , and  $G$ , the time-1 map of a flow. Let  $X \in \mathfrak{X}(M)$ , and assume it's complete. Say  $G = \varphi_1$ . We need to show  $\varphi_1^* : H^k(M) \rightarrow H^k(M)$  is the identity.

Let  $[\omega] \in H^k(M)$ , so  $\omega \in Z^k$  ( $d\omega = 0$ ). We need to show  $\exists \alpha \in \Omega^{k-1}(M)$  such that  $\varphi_1^*\omega - \omega = d\alpha$ .

Observe:  $\frac{d}{dt}\varphi_t^*\omega = \mathcal{L}_X[\varphi_t^*\omega] = d(\iota_X\varphi_t^*\omega) + \iota_X d\varphi_t^*\omega$ . We can commute  $d$  and  $\varphi_t^*$ , and use the fact that  $d\omega = 0$ , to see that  $\frac{d}{dt}\varphi_t^*\omega = d(\iota_X\varphi_t^*\omega)$ . Integrate both sides over  $[0, 1]$  w.r.t  $t$  on each  $\bigwedge^k T_p M$ ,  $\forall p \in M$ , and we obtain

$$\varphi_1^*\omega - \omega = \int_0^1 \frac{d}{dt}\varphi_t^*\omega dt = \int_0^1 (\iota_X\varphi_t^*\omega) dt = d \underbrace{\int_0^1 (\iota_X\varphi_t^*\omega) dt}_{\stackrel{\text{def}}{=} \alpha}$$

Now, for the general case, say  $F, G : M \rightarrow N$  are homotopic. Then let  $\Phi : M \times \mathbb{R} \rightarrow N$  be a homotopy between them. Let  $X = \frac{\partial}{\partial t}$  on  $M \times [0, 1]$ . Then  $\varphi_t(p, s) = (p, s + t)$ . Thus, the following diagram commutes:

$$\begin{array}{ccc} M & \begin{array}{c} \nearrow \iota_0 \\ \searrow \iota_1 \end{array} & M \times \mathbb{R} \xrightarrow{\Phi} N \\ & \circlearrowleft & \downarrow \varphi_1 \\ & & M \times \mathbb{R} \xrightarrow{\Phi} N \end{array}$$

where  $\iota_t(p) = (p, t)$ . So we have  $G = \Phi \circ \iota_1$  and  $F = \Phi \circ \iota_0$ .

Let  $[\omega] \in H^k(N)$ .

$$G^*[\omega] = (\iota_1^* \circ \Phi^*)[\omega] = ((\varphi_1 \circ \iota_0)^* \circ \Phi^*)[\omega] = (\iota_0^* \circ \varphi_1^* \circ \Phi^*)[\omega] = \iota_0^* \left( \underbrace{\varphi_1^*(\Phi^*[\omega])}_{=\Phi^*[\omega] \text{ because } \varphi_1^* = \text{Id}} \right) = (\iota_0^* \circ \Phi^*)[\omega] = F^*[\omega]$$

□

**Cor:** If  $M$  and  $N$  are homotopy equivalent, then their cohomology is isomorphic.