

# Math 635 Lecture 26

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Continuing from last time, we need to compute  $\langle J^{(3)}, J' \rangle$ .

**Lemma:**  $J^{(3)} = -\frac{D}{dt}\mathcal{R}(J, \dot{\gamma})\dot{\gamma}$ . (Prove using properties of Jacobi fields.)

Claim:  $\frac{D}{dt}\mathcal{R}(J, \dot{\gamma})\dot{\gamma}|_{t=0} = \mathcal{R}(J', \dot{\gamma})\dot{\gamma}|_{t=0}$ .

Proof: Let  $W \in \Gamma_\gamma(TM)$ . Compute

$$\frac{d}{dt} \langle \mathcal{R}(J, \dot{\gamma})\dot{\gamma}, W \rangle = \left\langle \frac{D}{dt} \mathcal{R}(J, \dot{\gamma})\dot{\gamma}, W \right\rangle + \left\langle \mathcal{R}(J, \dot{\gamma})\dot{\gamma}, \frac{DW}{dt} \right\rangle \overset{0 \text{ at } t=0}{\rightarrow}$$

and

$$\frac{d}{dt} \langle \mathcal{R}(J, \dot{\gamma})\dot{\gamma}, W \rangle = \frac{d}{dt} \langle J, \dot{\gamma}, \dot{\gamma}, W \rangle = \frac{d}{dt} \langle W, \dot{\gamma}, \dot{\gamma}, J \rangle = \left\langle \frac{D}{dt} \mathcal{R}(W, \dot{\gamma})\dot{\gamma}, J \right\rangle + \underbrace{\langle \mathcal{R}(W, \dot{\gamma})\dot{\gamma}, \frac{DJ}{dt} \rangle}_{=(W, \dot{\gamma}, \dot{\gamma}, J') = (J', \dot{\gamma}, \dot{\gamma}, W)}$$

Thus, at  $t = 0$ , we have  $\langle J', \dot{\gamma}, \dot{\gamma}, W \rangle|_{t=0} = \left\langle \frac{D}{dt} \mathcal{R}(J, \dot{\gamma})\dot{\gamma}, W \right\rangle|_{t=0} = \langle \mathcal{R}(J', \dot{\gamma})\dot{\gamma}, W \rangle$ .

We conclude that  $\mathcal{R}(J', \dot{\gamma})\dot{\gamma} = \frac{D}{dt}\mathcal{R}(J, \dot{\gamma})\dot{\gamma}$ .

Thus,  $\langle J^{(3)}, J' \rangle = \langle \mathcal{R}(J', \dot{\gamma})\dot{\gamma}, J' \rangle = K_0(J', \dot{\gamma})$ . This proves the lemma, and completes the proof we began last time.  $\square$

## Conjugate Points

**Defn:** Let  $\gamma$  be a geodesic,  $p, q \in \text{im}\gamma$  distinct. Then  $p, q$  are conjugate along  $\gamma$  iff there exists a nonzero Jacobi field of  $\gamma$  that vanishes at  $p$  and  $q$ .

**Ex:** With constant negative curvature  $K < 0$ , suppose  $\gamma(0) = p$ ,  $\gamma(t_1) = q$ . Then  $J(t) = A \sinh(\sqrt{-K}t)W(t)$ . So there are no conjugate points.

If  $K > 0$ , then  $J(t) = A \sin(\sqrt{K}t)$ , so  $t = \frac{\pi}{\sqrt{K}}$  yields a conjugate point.

**Defn:** The multiplicity of  $q$  as a conjugate point of  $p$  is  $\dim \{J \mid J(q) = 0, J(p) = 0\}$ .

Claim: Multiplicity is at most  $n - 1$ , and  $n - 1$  is achieved by the sphere  $S^n$ .

Note: “Being conjugate” is a symmetric relation, but it’s not transitive!

**Prop:** Let  $q = \exp_p(tv_q)$ ,  $v_q \in T_pM$ . Then  $q$  is conjugate to  $p$  iff  $v_q$  is a critical point of  $\exp_p$ , iff  $d(\exp_p)_{v_q}$  has a nontrivial kernel.

Proof: Simply recall how to compute  $d(\exp_p)_{v_q}$ :  $J(1) = d(\exp_p)_{v_q}(w)$  when  $J(0) = 0$  and  $J'(0) = w$  (by parameterizing  $\gamma$  by arc length, and rescaling so that  $t_1 = 1$ ).  $\square$

Moreover,  $\dim \ker d(\exp_p)_{v_q}$  is the multiplicity of  $q$ , and it is at most  $n - 1$ , because  $v_q \notin \ker d(\exp_p)_{v_q}$ , because  $d(\exp_p)_{v_q}(v_q) = \dot{\gamma}(t_1) \neq 0$ , because  $\gamma$  is nontrivial, because  $p \neq q$ .

**Thm:** (I) Let  $q = \exp_p(t_1v)$ ,  $\|v\| = 1$  be a conjugate point of  $p$ . Then  $\forall t_2 > t_1$ ,  $t \mapsto \exp_p(tv)$  is *not* minimizing on  $[0, t_2]$ .

Proof: This is simply a nice application of the second variation form.  $\square$

Think of the sphere – if we go from the north pole to past the south pole, the curve isn't minimizing.

Note: There are no conjugate points on a cylinder, but not all geodesics are minimizing.

Note: The converse is not globally true.

**Thm:** (II) Let  $p, q \in \text{im}\gamma$ , for  $\gamma$  a geodesic, and assume  $p, q$  are not conjugate, and there are no conjugate points between  $p$  and  $q$ . Then any proper variation of the arc  $\widehat{pq}$  is such that  $\forall s$  sufficiently small, the  $t$ -curves are longer than  $\widehat{pq}$ .

**Thm:** *Second Variation Formula, with one jump in  $V'$ .* Let  $\gamma$  be a geodesic, defined for  $t \in [0, t_2]$ . Suppose  $V$  is a proper, continuous, infinitesimal variation (with respect to  $s$ ), and is smooth on  $[0, t_1]$  and  $[t_1, t_2]$  (assume 1-sided derivatives exist at  $t_1$ ). Then

$$E''(0) = - \int_0^{t_2} \langle V, V'' + \mathcal{R}(V, \dot{\gamma})\dot{\gamma} \rangle dt - \langle V(t_1), \Delta V'(t_1) \rangle$$

where  $\Delta V'(t_1) = V'(t_1^+) - V'(t_1^-)$  (using the one-sided derivatives).

Proof: See Do Carmo, page 197. (They prove this result for any number of jumps.)

Now, back to the philosophy that this is a sort of Hessian of the energy functional  $\mathcal{E} : \{\text{paths } p \rightsquigarrow q\} \rightarrow \mathbb{R}$ . In the above formula,  $V$  is a tangent vector, so the right hand side should be a quadratic form on  $V$ . But what is the associated bilinear form?

**Prop:** Given the same assumptions as above, with any number of jumps, we have

$$E''(0) = \int_0^{t_2} \langle V', V' \rangle - \langle \mathcal{R}(V, \dot{\gamma})\dot{\gamma}, V \rangle dt$$

and moreover, the symmetric bilinear form is

$$I(V, W) = \int_0^t \langle V', W' \rangle - \underbrace{\langle \mathcal{R}(V, \dot{\gamma})\dot{\gamma}, W \rangle}_{\text{pairwise symmetric in } V, W} dt$$

so  $E''(0) = I(V, V)$  (and clearly,  $I$  is symmetric).