Dynamical structure factor of frustrated spin models: a variational Monte Carlo approach

Federico Becca

CNR IOM-DEMOCRITOS

International Conference on Highly Frusturated Magnetism



F. Ferrari, S. Sorella (SISSA, Trieste), and A. Parola (University of Insubria, Como)

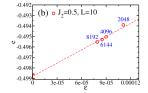
F. Ferrari, A. Parola, S. Sorella, and FB, Phys. Rev. B 97, 235103 (2018)

F. Ferrari and FB, arXiv preprint arXiv:1805.09287

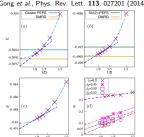
- Motivations
- Variational wave functions for spin models
 - "Old" approach for the ground state
 - "New" approach for excited states
- Results
 - One-dimensional $J_1 J_2$ model
 - One-dimensional Haldane-Shastry model
 - ullet Two-dimensional J_1-J_2 Heisenberg model
- 4 Conclusions

Numerical approaches for ground state properties

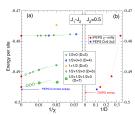
Brute-force approaches, e.g., DMRG or tensor networks Educated guesses based on "traditional" Jastrow-Slater wave functions

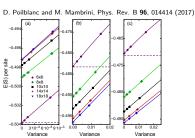


S.-S. Gong et al., Phys. Rev. Lett. 113, 027201 (2014)



R. Haghshenas and D.N. Sheng, Phys. Rev. B 97, 174408 (2018)





W.-J. Hu et al., Phys. Rev. B 88, 060402 (2013)

From the ground state to the excitation spectra

• Low-energy excitations could be obtained by independent calculations

Is it possible to describe excitations by acting on the ground-state wave function?

 Feynman construction for sound-waves and rotons in liquid Helium (single-mode approximation)

R.P. Feynman, Statistical Mechanics

$$|\Psi_k\rangle = n_k |\Upsilon_0\rangle$$
 $n_k = \frac{1}{\sqrt{L}} \sum_R e^{ikR} n_R$

- Composite-fermion approach for the fractional quantum Hall effect
 - J. Jain, Composite Fermions

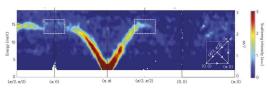
$$\Psi^{\alpha}_{\nu} = \mathcal{P}_{\mathrm{LLL}} \prod_{i < j} (z_i - z_j)^{2p} \Phi^{\alpha}_{\nu^*}$$

The dynamical spin structure factor

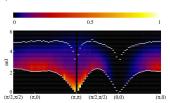
$$S^a(q,\omega) = \sum_{lpha} |\langle \Upsilon^q_lpha | S^a_q | \Upsilon_0
angle|^2 \delta(\omega - {\it E}^q_lpha + {\it E}_0),$$

$$S_q^a = \frac{1}{\sqrt{L}} \sum_R e^{iqR} S_R^a$$

ullet 2D Heisenberg model on the square lattice and Cu(DCOO)₂·4D₂O



B. Dalla Piazza et al., Nat. Phys. 11, 62, (2015)



H.S., Y.Q. Qin, S. Capponi, S. Chesi, Z.Y. Meng, and A.W. Sandvik, Phys. Rev. X 7, 041072 (2017)

From spins to electrons...

• Consider the spin-1/2 Heisenberg model on a generic lattice

$$\mathcal{H} = \sum_{R,R'} J_{R,R'} \mathbf{S}_R \cdot \mathbf{S}_{R'}$$

• A faithful representation of spin-1/2 is given by

$$\mathcal{S}_{R}^{s}=rac{1}{2}c_{R,lpha}^{\dagger}\sigma_{lpha,eta}^{s}c_{R,eta}^{}$$

SU(2) gauge redundancy e.g.,
$$c_{R,\beta} \rightarrow e^{i\theta_R} c_{R,\beta}$$

• The spin model is transformed into a purely interacting electronic system

$$\mathcal{H} = \sum_{R,R'} J_{R,R'} \sum_{\sigma,\sigma'} \left(\sigma \sigma' c_{R,\sigma}^{\dagger} c_{R,\sigma} c_{R',\sigma'}^{\dagger} c_{R',\sigma'} + \frac{1}{2} \delta_{\sigma',\bar{\sigma}} c_{R,\sigma}^{\dagger} c_{R,\sigma'} c_{R',\sigma'}^{\dagger} c_{R',\sigma} \right)$$

ullet One spin per site o we must impose the constraint

$$c_{i,\uparrow}^\dagger c_{i,\uparrow}^{} + c_{i,\downarrow}^\dagger c_{i,\downarrow}^{} = 1$$

... and back to spins

• The SU(2) symmetric mean-field approximation gives a BCS-like form

$$\mathcal{H}_0 = \sum_{R,R',\sigma} t_{R,R'} c_{R,\sigma}^\dagger c_{R',\sigma}^{} + \sum_{R,R'} \Delta_{R,R'} c_{R,\uparrow}^\dagger c_{R',\downarrow}^\dagger + \text{h.c.}$$

 $\{t_{R,R'}\}$ and $\{\Delta_{R,R'}\}$ define the mean-field Ansatz \longrightarrow BCS spectrum $\{\epsilon_{lpha}\}$

The constraint is no longer satisfied locally (only on average)

ullet The constraint can be inserted by the Gutzwiller projector o RVB

$$|\Psi_0\rangle = {\cal P}_{\it G} |\Phi_0\rangle$$

$$\mathcal{P}_G = \prod_{R} (n_{R,\uparrow} - n_{R,\downarrow})^2$$



• The exact projection can be treated within the variational Monte Carlo approach

F. Becca and S. Sorella, Quantum Monte Carlo Approaches for Correlated Systems

Dynamical variational Monte Carlo

• For each momentum q a set of (two-spinon) states is defined

$$|q,R
angle = rac{\mathcal{P}_{\mathsf{G}}}{\sqrt{L}} \sum_{R'} \mathrm{e}^{iqR'} (c_{R+R',\uparrow}^{\dagger} c_{R',\uparrow} - c_{R+R',\downarrow}^{\dagger} c_{R',\downarrow}) |\Phi_{0}
angle$$



• The spin Hamiltonian is diagonalized within this (non-orthogonal) basis set

$$\sum_{R'} H_{R,R'}^{q} A_{R'}^{n,q} = E_{n}^{q} \sum_{R'} O_{R,R'}^{q} A_{R'}^{n,q}$$

The dynamical structure factor is approximated by

$$S^{z}(q,\omega) = \sum_{n} \left| \sum_{R} (A_{R}^{n,q})^{*} O_{R,0}^{q} \right|^{2} \delta(\omega - E_{n}^{q} + E_{0})$$

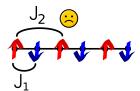
At most L states for each momentum q

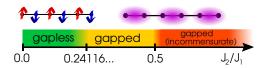
T. Li and F. Yang, Phys. Rev. B **81**, 214509 (2010)

The frustrated Heisenberg model in one dimension

• The simplest model with spin frustration in one dimension

$$\mathcal{H} = J_1 \sum_{R} \mathbf{S}_R \cdot \mathbf{S}_{R+1} + J_2 \sum_{R} \mathbf{S}_R \cdot \mathbf{S}_{R+2}$$





- Gapless phase for $J_2/J_1 < 0.241167(5)$
- Gapped (dimerized) phase for $J_2/J_1 > 0.241167(5)$
- ullet Incommensurate spin-spin correlations for $J_2/J_1\gtrsim 0.5$

H. Bethe, Z. Phys. 71, 205 (1931)

C.K. Majumdar and D.K. Ghosh, J. Math. Phys. 10, 1388 (1969)

S.R. White and I. Affleck, Phys. Rev. B 54, 9862 (1996)

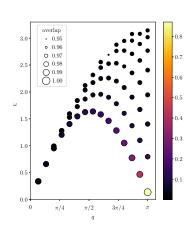
S. Eggert, Phys. Rev. B **54**, 9612 (1996)

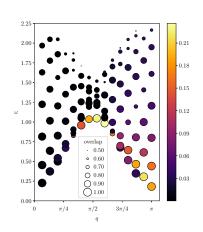
One-dimensional $J_1 - J_2$ model: A benchmark on 30-site cluster (I)

ullet NN hopping t_1 and both onsite Δ_0 and NNN (Δ_2) pairing

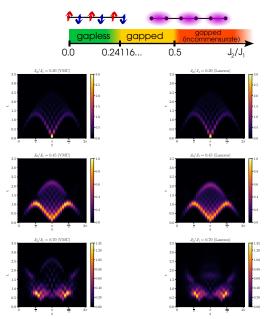
$$J_2/J_1=0$$

$$J_2/J_1 = 0.45$$

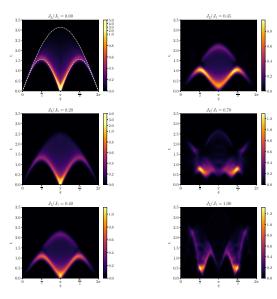




One-dimensional $J_1 - J_2$ model: A benchmark on 30-site cluster (II)



One-dimensional $J_1 - J_2$ model: Results on L = 198 sites



12 / 21

The Haldane-Shastry model

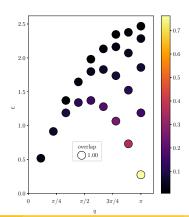
$$\mathcal{H} = \sum_{R,R'} J(|R - R'|) \mathbf{S}_R \cdot \mathbf{S}_{R'}$$

$$J(|R - R'|) = \frac{J}{\left|\frac{L}{\pi} \sin\left[\frac{\pi(R - R')}{L}\right]\right|^2}$$

F.D.M. Haldane, Phys. Rev. Lett. 60, 635 (1988)

F.D.M. Haldane, Phys. Rev. Lett. **66**, 1529 (1991)

F.D.M. Haldane and M.R. Zirnbauer, Phys. Rev. Lett. 71, 4055 (1993)



One-spinon (and three-spinon) excitations

Odd number of sites L

$$ullet$$
 $|\Phi_{\mathrm{FS}}
angle = \prod_{p<\pi/2,\sigma} c_{p,\sigma}^\dagger |0
angle$

$$ullet$$
 $|\Psi_k
angle=\mathcal{P}_G c_{k,\uparrow}^\dagger |\Phi_{\mathrm{FS}}
angle$

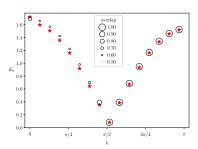
$$\bullet \ |\Psi_{\textbf{k}}\rangle = \mathcal{P}_{\textbf{G}} c_{\textbf{k},\uparrow} c_{\textbf{k}_{\textbf{F}},\uparrow}^{\dagger} c_{-\textbf{k}_{\textbf{F}},\uparrow}^{\dagger} |\Phi_{\mathrm{FS}}\rangle$$

Fermi sea with L-1 electrons

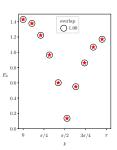
$$|k| > \pi/2$$

$$|k| < \pi/2$$
 with $k_F = \pi(L+1)/L$

Spinon energy
$$E_k = [E(L)/L - E(L-1)/(L-1)] \times L$$



Heisenberg on L = 31 sites



Haldane-Shastry on L = 19 sites

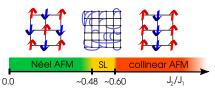
The frustrated Heisenberg model in two dimensions

• The simplest model on the square lattice

$$\mathcal{H} = J_1 \sum_{\langle R,R' \rangle} \textbf{S}_R \cdot \textbf{S}_{R'} + J_2 \sum_{\langle \langle R,R' \rangle \rangle} \textbf{S}_R \cdot \textbf{S}_{R'}$$



- Infinitely many papers with partially contradictory results
 - S.-S. Gong et al., Phys. Rev. Lett. 113, 027201 (2014)
 - L. Wang et al., Phys. Rev. B 94, 075143 (2016)
 - D. Poilblanc and M. Mambrini, Phys. Rev. B 96, 014414 (2017)
 - R. Haghshenas and D.N. Sheng, Phys. Rev. B 97, 174408 (2018)
 - L. Wang and A.W. Sandvik, arXiv:1702.08197
- Possibly, a gapless spin liquid (SL) emerges between two AF phases



W.-J. Hu et al., Phys. Rev. B 88, 060402 (2013)

Variational wave functions for the ground state

• For a non-magnetic (spin liquid or valence-bond solid) state

$$|\Psi_0
angle={\cal P}_{\it G}|\Phi_0
angle$$

$$\mathcal{H}_0 = \sum_{R,R',\sigma} t_{R,R'} c_{R,\sigma}^\dagger c_{R',\sigma} + \sum_{R,R'} \Delta_{R,R'} c_{R,\uparrow}^\dagger c_{R',\downarrow}^\dagger + \text{h.c.}$$

• For an antiferromagnetic state

$$|\Psi_0
angle=\mathcal{P}_{S_z}\mathcal{J}\mathcal{P}_G|\Phi_0
angle$$

$$\mathcal{H}_0 = \sum_{\textit{R.R'},\sigma} t_{\textit{R,R'}} c_{\textit{R},\sigma}^{\dagger} c_{\textit{R'},\sigma}^{} + \Delta_{\mathrm{AF}} \sum_{\textit{R}} e^{i\textit{QR}} \left(c_{\textit{R},\uparrow}^{\dagger} c_{\textit{R},\downarrow}^{} + c_{\textit{R},\downarrow}^{\dagger} c_{\textit{R},\uparrow}^{} \right)$$

The magnetic moment in the x-y plane (because of \mathcal{P}_{S_z})

$$\mathcal{J}=\exp\left(\frac{1}{2}\sum_{R,R'}v_{R,R'}S_R^zS_{R'}^z\right)$$
 is the spin-spin Jastrow factor

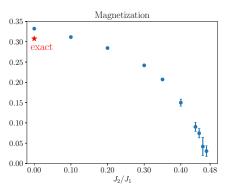
E. Manousakis, Rev. Mod. Phys. 63, 1 (1991)

• The transverse dynamical structure factor is considered

Two-dimensional $J_1 - J_2$ model: From Néel to spin liquid

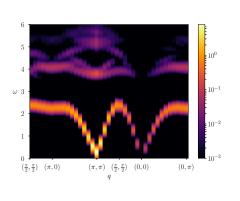
$$m^2 = \lim_{r \to \infty} \langle \mathbf{S}_r \cdot \mathbf{S}_0 \rangle$$

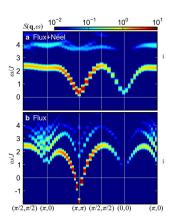
 \bullet Magnetization computed for finite clusters from 10×10 to 22×22



- NN hopping t (staggered flux phase), no pairing
- ullet A finite staggered magnetization is related to a finite Δ_{AF} in the wave function

The unfrustrated Heisenberg model

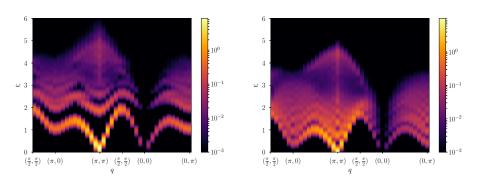




B. Dalla Piazza et al., Nat. Phys. 11, 62, (2015)

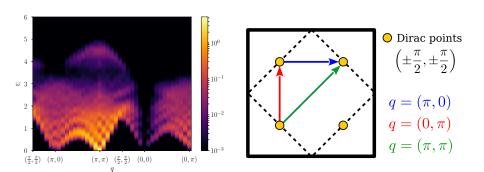
- Strong magnon branch
- Very weak (almost no) three-magnon continuum

The frustrated cases with $J_2/J_1=0.3$ and 0.45 (still magnetically ordered)



- The magnon signal looses its intensity around $q=(\pi,0)$ and $(0,\pi)$
- ullet Softening of the lowest-energy excitation at $q=(\pi,0)$ and $(0,\pi)$
- Significant continuum above the single magnon branch

The spin-liquid phase with $J_2/J_1 = 0.55$



A \mathbb{Z}_2 gapless spin liquid

- ullet NN hopping t (staggered flux phase) and $\Delta(k) = \Delta_{xy} \sin(2k_x) \sin(2k_y)$
- Gapless excitations at $q=(0,0), (\pi,\pi), (\pi,0),$ and $(0,\pi).$

Conclusions

A stable variational approach is possible to describe low-energy excitations

- Excellent accuracy in the 1D models with spinon excitations Gapless and gapped phases in the 1D J_1-J_2 model
- Tendency toward spinon deconfinement in the 2D J_1-J_2 model Gradual softening at $q=(\pi,0)$ for AF \longrightarrow SL Stability of a gapless \mathbb{Z}_2 spin liquid for $0.48 \lesssim J_2/J_1 \lesssim 0.6$

Gutzwiller-projected fermionic wave functions: the correct framework for low-energy excitations