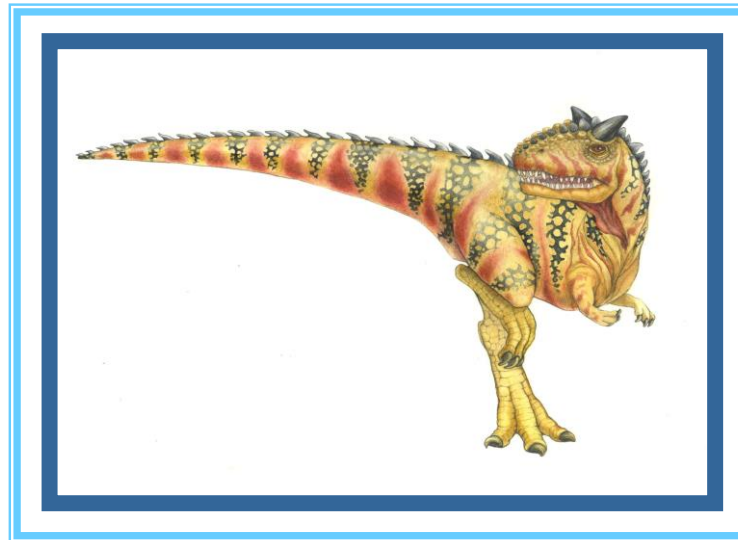


# Chapter 11: Mass-Storage Systems

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# Chapter 11: Mass-Storage Systems

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- Overview of Mass Storage Structure
- Disk Structure
- Disk Attachment
- Disk Scheduling
- Disk Management
- RAID Structure





# Objectives

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- Describe the physical structure of secondary storage devices and the effect of a device's structure on its uses
- Explain the performance characteristics of mass-storage devices
- Evaluate I/O scheduling algorithms
- Discuss operating-system services provided for mass storage, including RAID





# Overview of Mass Storage Structure

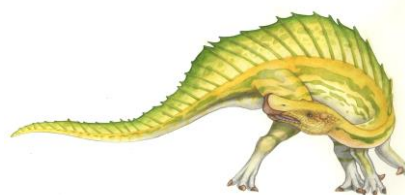
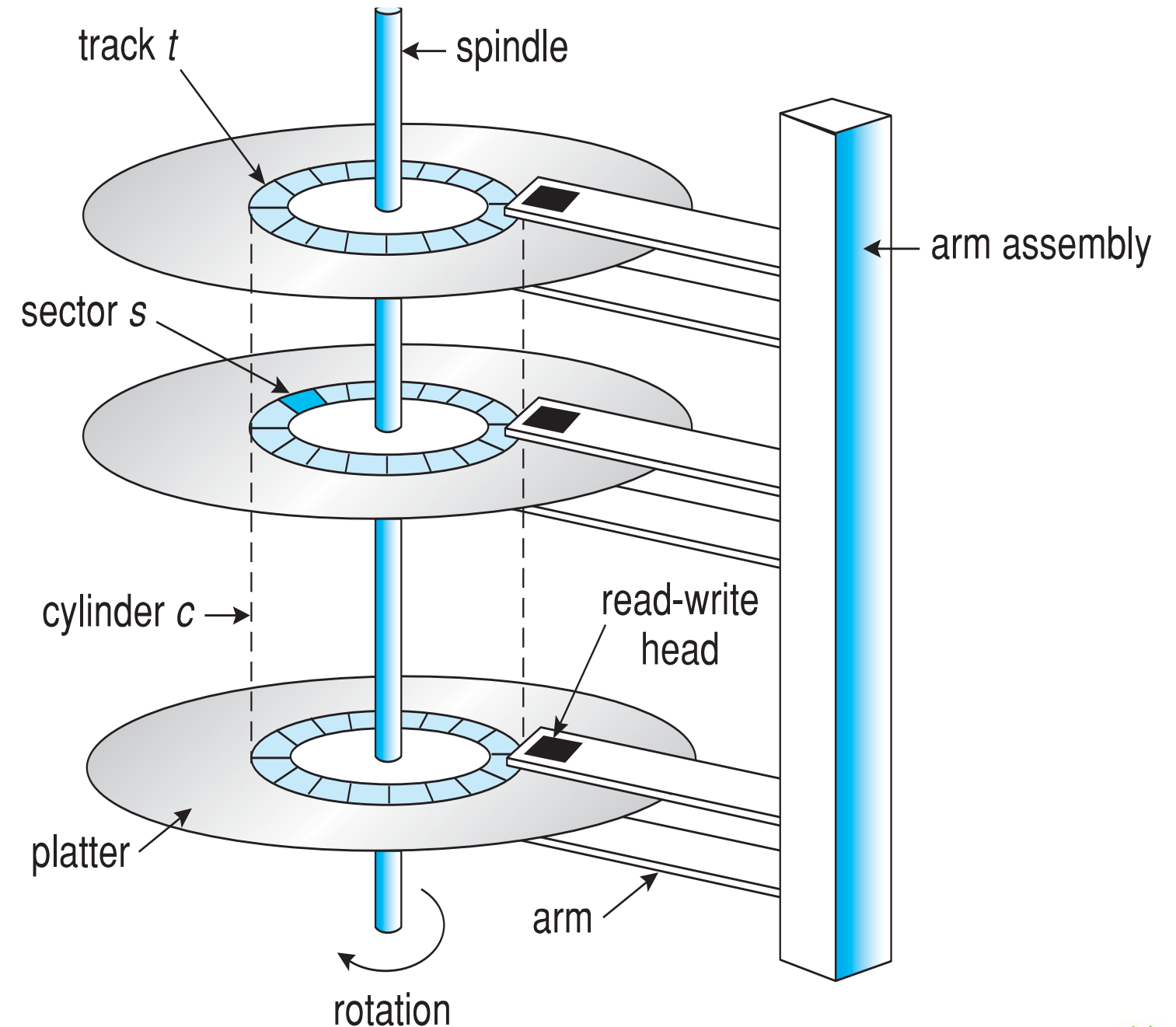
- Bulk of secondary storage for modern computers is **hard disk drives (HDDs)** and **nonvolatile memory (NVM)** devices
- **HDDs** spin platters of magnetically-coated material under moving read-write heads
  - Drives rotate at 60 to 250 times per second
  - **Transfer rate** is rate at which data flow between drive and computer
  - **Positioning time (random-access time)** is time to move disk arm to desired cylinder (**seek time**) and time for desired sector to rotate under the disk head (**rotational latency**)
  - **Head crash** results from disk head making contact with the disk surface -- That's bad
- Disks can be removable





# Moving-head Disk Mechanism

- Each disk **platter** has a flat circular shape with diameters 1.8, 2.5 to 3.5 inches.
- Two surfaces of a platter covered with a magnetic materials for storing information
- A **read-write head** “flies” just above each surface of every platter
- The heads are attached to a **disk arm** that move all heads as a unit
- The surface of a platter is logically divided into circular **tracks**, which are subdivided into hundreds of **sectors**.
- The set of tracks that are at one arm position makes up a **cylinder**.
- There could be thousands of concentric cylinders in a disk drive





# Overview of Mass Storage Structure

- **Magnetic disks** provide bulk of secondary storage for modern computers
  - Disk drives rotate at 60 to 250 times per second, or specified in **rotations per minute (RPM)**
  - **Positioning time (random-access time)** is time to move disk arm to desired cylinder (**seek time**) and time for desired sector to rotate under the disk head (**rotational latency**)
  - **Transfer rate** is rate at which data flow between drive and computer
  - **Head crash** results from disk head making contact with the disk surface (even with a thin protective layer). This normally cannot be repaired; the entire disk must be replaced.
- A disk can be removable, allowing different disks to be mounted as needed.
  - Removable magnetic disks generally consist of one platter.
  - Other forms of removable disks include CDs, DVDs, Blue-ray discs as well as **flash drives**
- Drive attached to computer by a set of wires called an **I/O bus**
  - Busses vary, including **EIDE, ATA, SATA, USB, Fibre Channel, SCSI, SAS, Firewire**
  - The computer place commands into a **host controller** (controller at the computer end of the buses), typically using memory-mapped I/O ports, which then sends commands via messages to **disk controller** (built into disk drive and perform disk I/O operations). Disk controller operates the disk-drive hardware to carry out the commands
  - Disk controllers usually have a **built-in cache**. Data transfer at the disk drive happens between the cache and the disk surface, and data transfer to the host occurs between the cache and the host controller



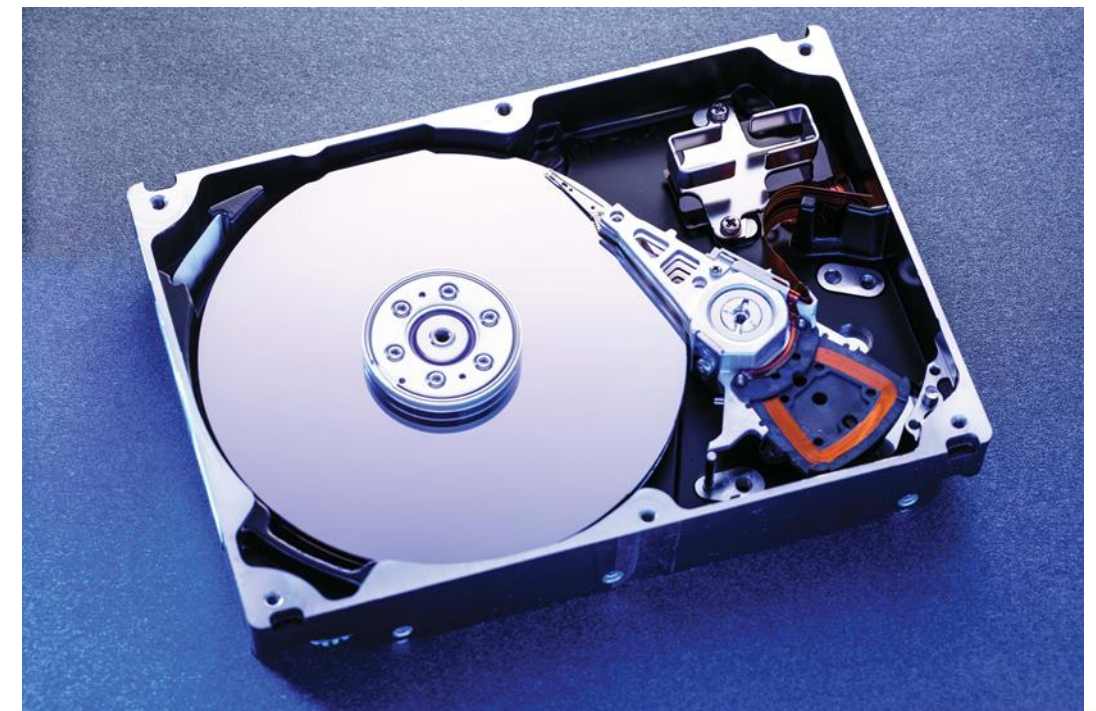




# Hard Disk Drives

- ❑ Platters range from .85" to 14" (historically)
  - ❑ Commonly 3.5", 2.5", and 1.8"
- ❑ Range from 30GB to 3TB per drive
- ❑ Performance
  - ❑ Transfer Rate – theoretical – 6 Gb/sec
  - ❑ Effective Transfer Rate – real – 1Gb/sec
  - ❑ Seek time from 3ms to 12ms – 9ms common for desktop drives
  - ❑ Average seek time measured or calculated based on 1/3 of tracks
  - ❑ RPM typically, 5,400, 7,200, 10,000 and 15,000
  - ❑ Latency based on spindle speed
    - ▶  $1/(RPM/60) = 60/RPM$
  - ❑ Average latency =  $\frac{1}{2}$  latency
  - ❑ For example with 7200 rpm, that is 120 rps, the average latency is  $1/240 = 4.17$  mini-seconds

Spindle [rpm]	Average latency [ms]
4200	7.14
5400	5.56
7200	4.17
10000	3
15000	2





# Hard Disk Performance

- **Access Latency** = **Average access time** = average seek time + average latency
  - For fastest disk  $3\text{ms} + 2\text{ms} = 5\text{ms}$
  - For slow disk  $9\text{ms} + 5.56\text{ms} = 14.56\text{ms}$
  
- Average I/O time = average access time + (amount to transfer / transfer rate) + controller overhead
  
- For example to transfer a 4KB block on a 7200 RPM disk with a 5ms average seek time, 1Gb/sec transfer rate with a .1ms controller overhead =
  - $5\text{ms} + 4.17\text{ms} + 4\text{KB} / 1\text{Gb/sec} + 0.1\text{ms} =$
  - $9.27\text{ms} + 4 / 131072 \text{ sec} =$
  - $9.27\text{ms} + .12\text{ms} = 9.39\text{ms}$
  - This implies it takes an average 9.39ms to transfer 4KB, thus effective bandwidth is  $4\text{KB}/9.39 = 13.63 \text{ Mb/sec}$  only (with a transfer rate at 1 Gb/sec given the overhead).







# Solid-State Disks (SSDs)

- An SSD is nonvolatile memory used like a hard drive
  - There are many variations of this technology, from DRAM with a battery to maintain its state in a power failure, through flash-memory technologies like single-level cell (SLC) and multilevel cell (MLC) chips
- SSDs can be more reliable than HDDs because they have no moving parts
- They are much faster because they have no seek time or rotation latency.
- They consumes less power
- But they are more expensive per MB, have less capacity, and may have shorter life span
- Therefore, their usages are somewhat limited (for the moment)
  - One use is in storage-arrays, where they hold file-system metadata that require high performance
  - SSDs are also used in laptop to make them smaller, faster and more energy-efficient
- Because they are much faster than magnetic disk drives, standard bus interface can be too slow, causing a major limit on throughput
  - Some connect directly to system bus (PCI, for example)
  - Some use them as a new cache tier, moving data between magnetic disk, SSDs, and memory to optimize performance





# Magnetic Tape

- ❑ Magnetic tape was an early secondary-storage medium
- ❑ It is relatively permanent and can hold large quantities of data
- ❑ Its access time is slow, as moving to the correct spot on a tape can take minutes
- ❑ Random access ~1000 times slower than magnetic disk, so not very useful for secondary storage
- ❑ Mainly used for backup, storage of infrequently-used data, or as a medium of transferring information from one system to another
- ❑ Tape capacities vary greatly, depending on the particular kind of tape drive, with current capacities exceeding several terabytes, and typically between 200GB and 1.5TB





# Disk Structure

- Disk drives are addressed as large one-dimensional arrays of **logical blocks**, where the logical block is the smallest unit of transfer
  - The size of a logical block is usually 512 bytes
  - Low-level formatting creates **logical blocks** on physical media
- The one-dimensional array of logical blocks is mapped into sectors of the disk sequentially
  - Sector 0 is the first sector of the first track on the outermost cylinder
  - Mapping proceeds in order through that track, then the rest of the tracks in that cylinder, and then through the rest of the cylinders from outermost to innermost
  - Logical to physical address (consist of a *cylinder number*, a *track number* within the cylinder, and a *sector number* with the track) should be easy, except
    - ▶ Defective sectors, mapping hides this by substituting spare sectors from elsewhere on disk
    - ▶ The number of sectors per track is not a constant on some devices. Non-constant # of sectors per track via constant angular velocity





# Disk Scheduling

- The operating system is responsible for using hardware efficiently — for the disk drives, this means having fast access time and large disk bandwidth
- The **seek time** is the time for the disk head arm to move the heads to the corresponding cylinder containing the desired sector, which can be measured by the **seek distance** in term of number cylinders/tracks.
- The **rotational latency** is the additional time for the disk to rotate the desired sector to the disk head.
- Disk **bandwidth** is the total number of bytes transferred, divided by the total time between the first request for service and the completion of the last transfer.
- We can improve both access time and the bandwidth by managing **the order** in which disk I/O requests are serviced.





# Disk Scheduling (Cont.)

- There are many sources of disk I/O requests, from (1) OS, (2) system and (3) user processes
- I/O request includes input/output modes, disk address, memory address, number of sectors to transfer
- The operating system maintains a queue of requests per disk or device. For a multiprogramming system with many processes, the disk queue may often have several pending requests.
- Idle disk can immediately work on I/O request, busy disk means work must queue. Optimization only make sense when a queue exists. Device controllers (not OS, only in the past) is responsible for queue management, disk drive head scheduling
- Disk drive controllers have small buffers and manage a queue of I/O requests (of varying “depth”). Several algorithms exist to schedule the servicing of disk I/O requests (true for one or many platters)
- When one request is completed, which pending request to select to service next – [disk scheduling](#)
- We illustrate scheduling algorithms with a request queue (0-199), 0-199 are cylinder numbers.

98, 183, 37, 122, 14, 124, 65, 67

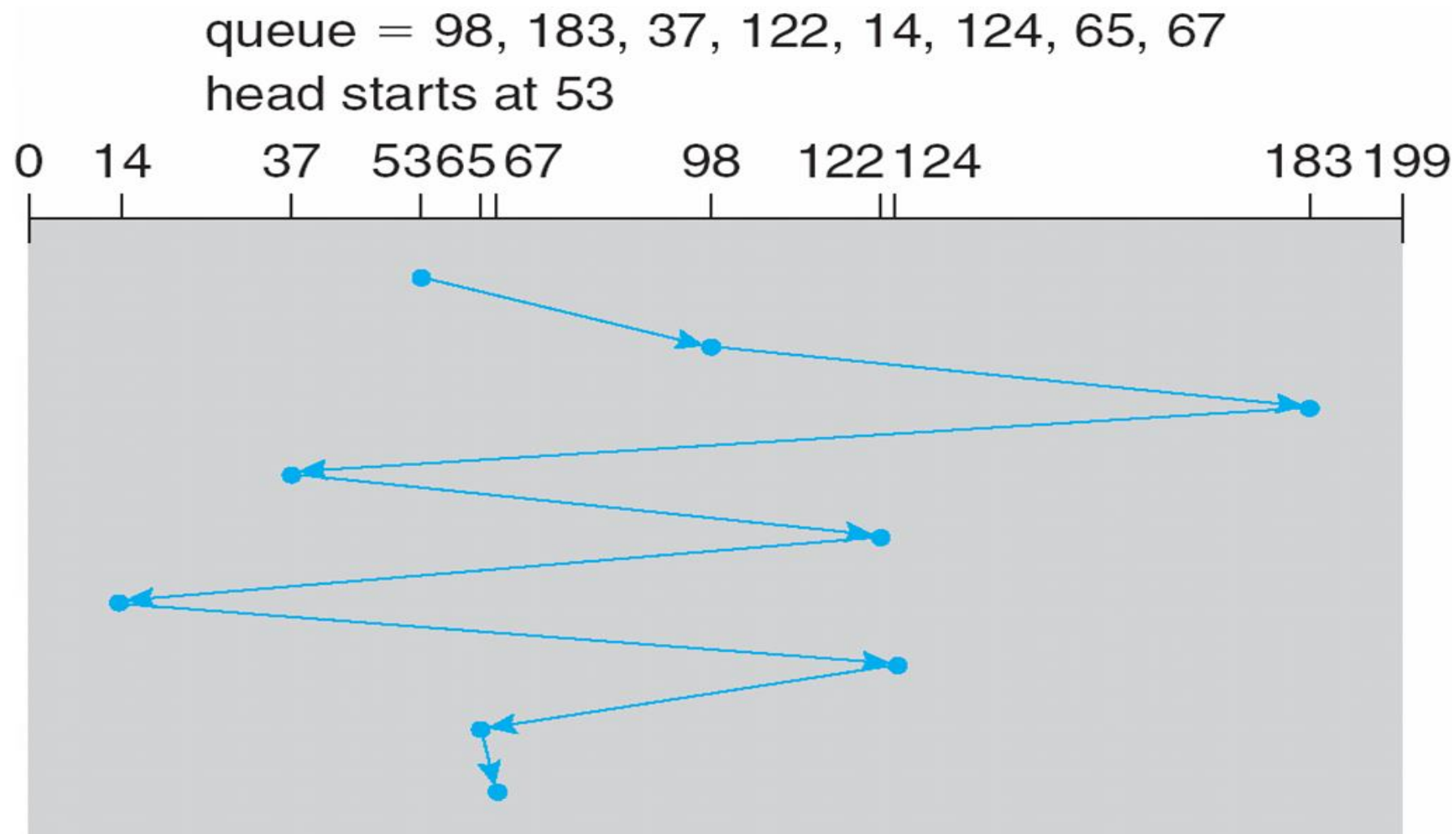
Head pointer 53





# FCFS First-Come-First-Serve

- ❑ FCFS is intrinsically fair, but it generally does not provide the fastest service
- ❑ Illustration shows total head movement of **640** cylinders

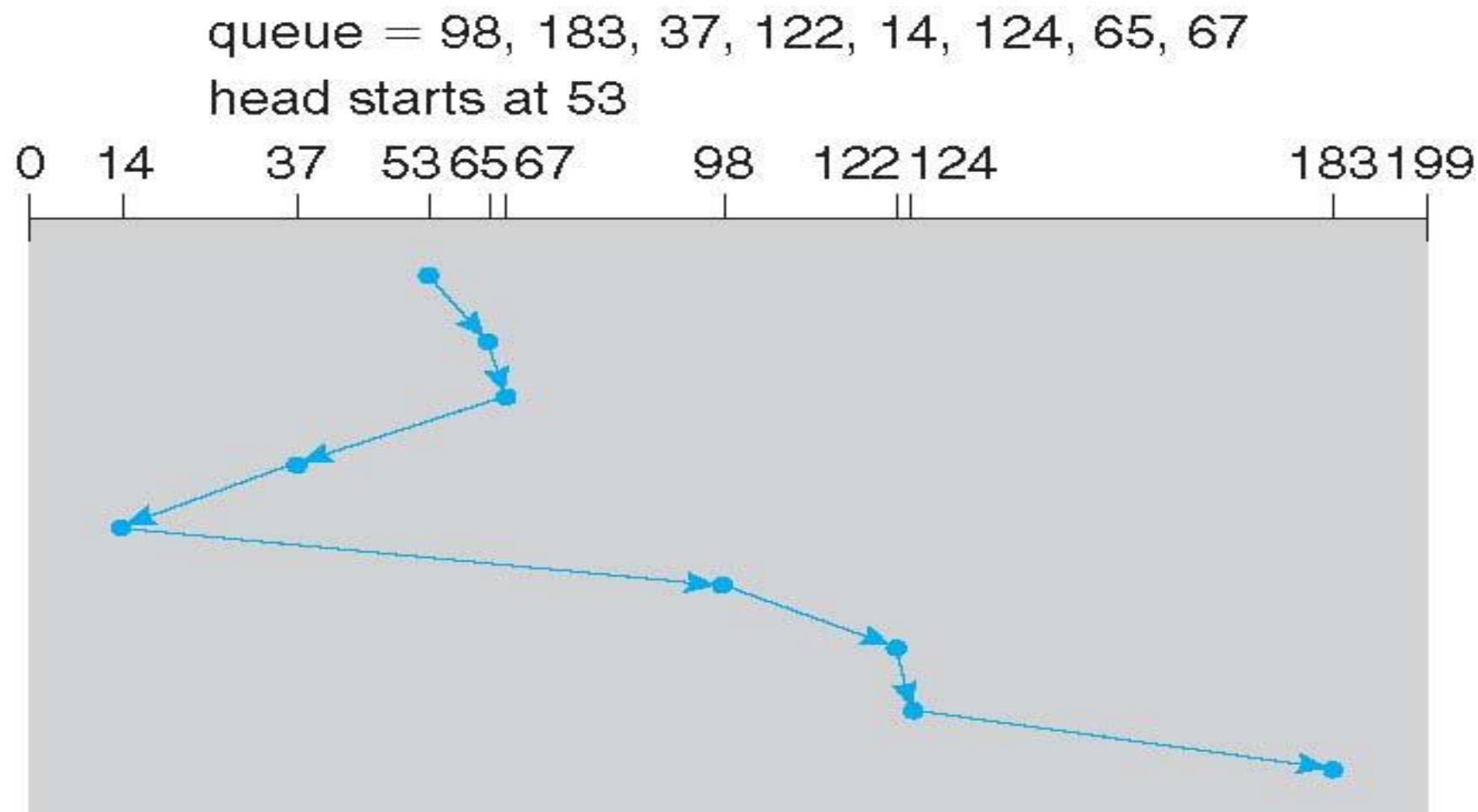






# SSTF Shortest-Seek-Time-First

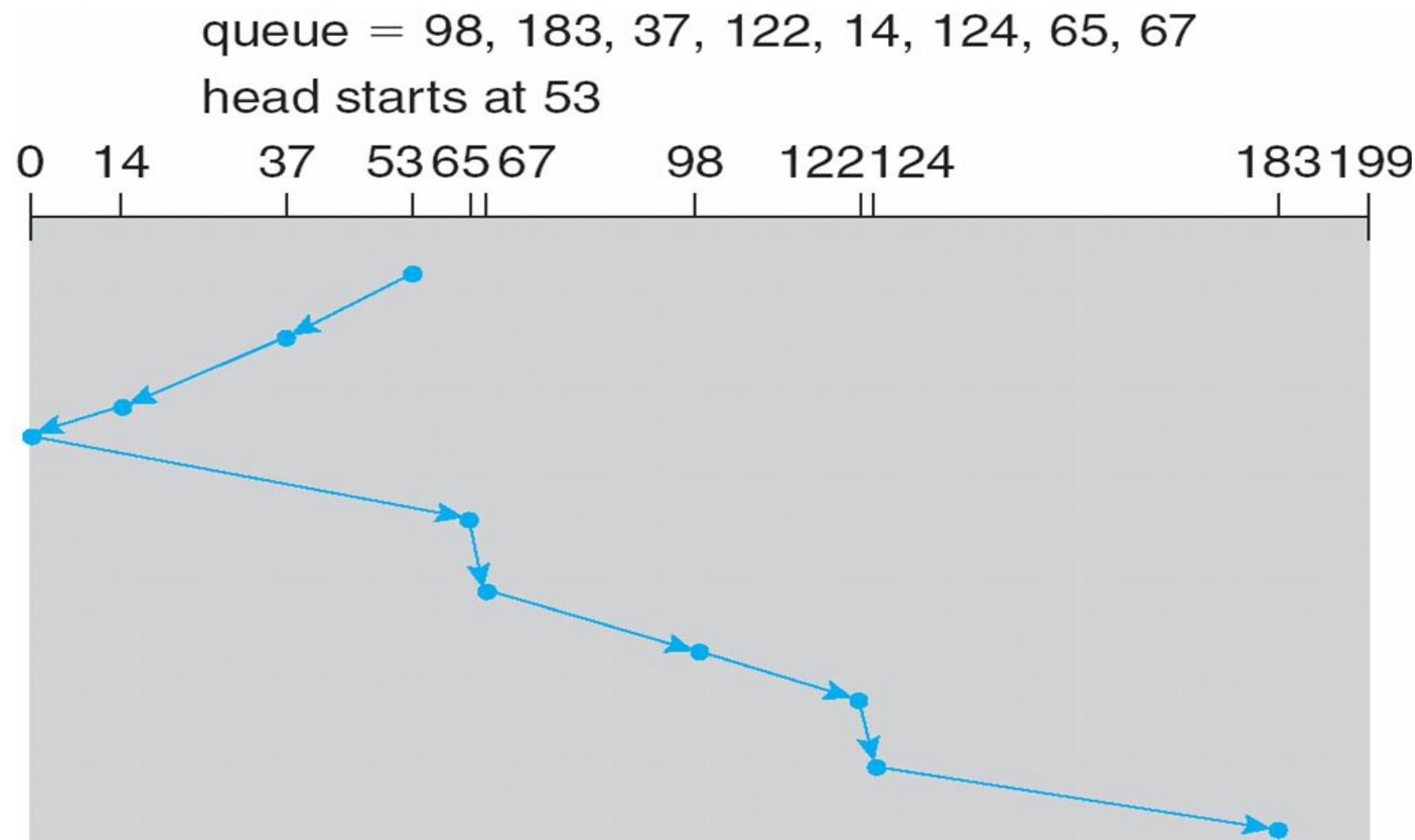
- The **Shortest Seek Time First (SSTF)** selects the request with the least seek time from the current head position, i.e., choose the pending request closest to the current head position (either direction)
- SSTF scheduling is a form of SJF scheduling (greedy algorithm); may cause starvation of some requests, as requests may arrive at any time dynamically
- Illustration shows total head movement of **236** cylinders





# SCAN Scheduling

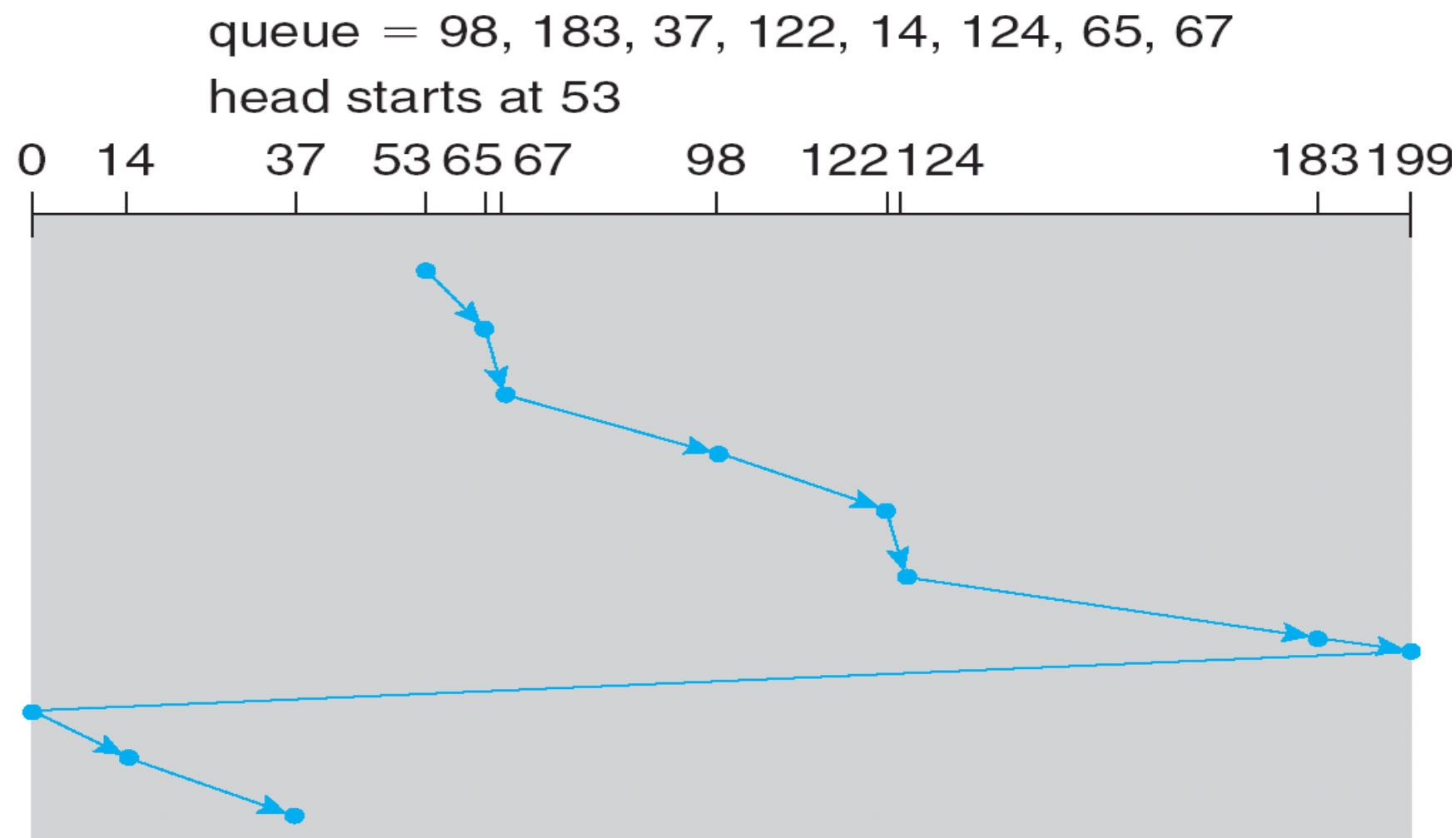
- The disk arm starts at one end of the disk, and moves toward the other end, servicing requests as it reaches each cylinder, until it gets to the other end of the disk. At the other end, the direction of head movement is reversed, and servicing continues. This is sometimes called the [elevator algorithm](#)
- Note if requests are uniformly distributed across cylinders, the heaviest density of requests are at other end of disk and those wait the longest. Also we need to know direction of head movement
- Illustration shows total head movement of **236** cylinders





# C-SCAN

- **C-SCAN**, **Circular-SCAN**, a variant of SCAN, provides a more uniform waiting time than SCAN
- The head moves from one end of the disk to the other, servicing requests as it goes. When it reaches the other end, however, it immediately returns to the beginning of the disk, without servicing any requests on the return trip
- Treats the cylinders as a circular list that wraps around from the last cylinder to the first one
- Total number of cylinders - **382**

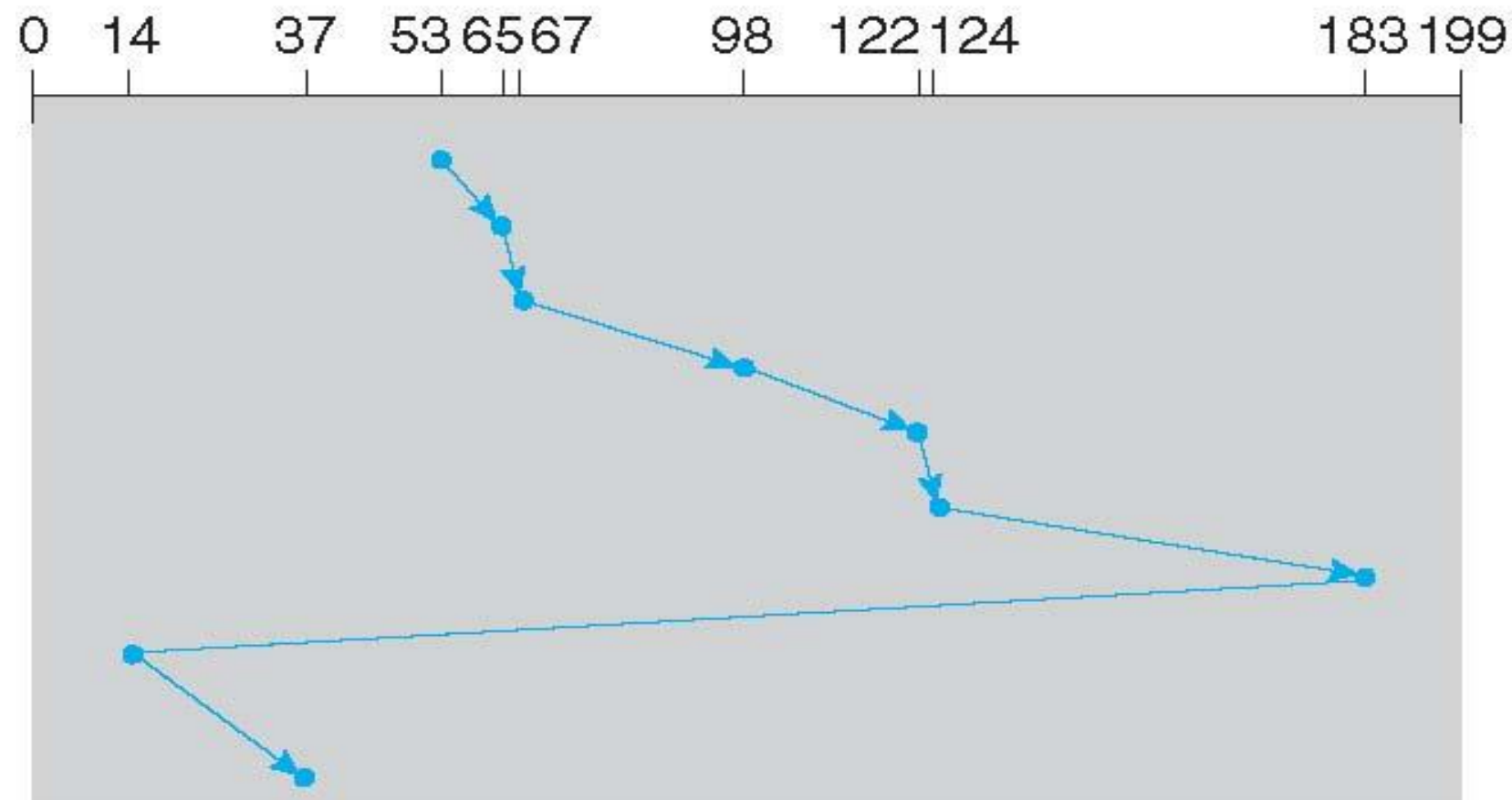




# C-LOOK

- ❑ LOOK a version of SCAN, C-LOOK a version of C-SCAN
- ❑ Disk arm only goes as far as the last request in each direction, then reverses direction immediately, without first going all the way to the end of the disk
- ❑ Total number of cylinders? – **322** (for C-LOOK) and **308** for LOOK

queue = 98, 183, 37, 122, 14, 124, 65, 67  
head starts at 53





# Selecting a Disk-Scheduling Algorithm

- ❑ SSTF is common and has a natural appeal as it increases performance over FCFS. SCAN and C-SCAN perform better for systems that place a heavy load on the disk, because they are less likely to cause starvation problem.
- ❑ Performance depends on the number and types of requests. If only one request, all scheduling behave the same (like FCFS scheduling)
- ❑ Requests for disk service can be greatly influenced by the file-allocation method
  - ❑ Contiguous allocated file will generate several requests close together on the disk, resulting in limited head movement, while a linked or indexed file may include blocks widely scattered on the disk, resulting in greater head movement.
- ❑ The location of directories and index blocks are also important, which are accessed frequently.
  - ❑ Directory entry and file data on different cylinders cause head movement
  - ❑ Caching directory and index block in memory help, particularly for read requests
- ❑ The disk-scheduling algorithm should be written as a separate module of the operating system, allowing it to be replaced with a different algorithm if necessary. Either SSTF or LOOK is a reasonable choice as the default algorithm.





# Error Detection and Correction

- ❑ One of the fundamental aspects of many parts of computing (memory, networking, storage)
- ❑ **Error detection** determines if there a problem has occurred (for example a bit flipping)
  - ❑ If detected, can halt the operation
  - ❑ Detection frequently done via parity bit
- ❑ Parity one form of **checksum** – uses modular arithmetic to compute, store, compare values of fixed-length words
  - ❑ Another error-detection method common in networking is **cyclic redundancy check (CRC)** which uses hash function to detect multiple-bit errors
- ❑ **Error-correction code (ECC)** not only detects, but can correct some errors
  - ❑ Soft errors correctable, hard errors detected but not corrected







# Disk Management

- **Low-level formatting**, or **physical formatting** (done by disk manufacturer) — dividing a disk into sectors that the disk controller can read and write
  - Each sector (usually 512 bytes) holds data with a header and trailer containing information such as a sector number and an **error- correction code (ECC)**
- To use a disk to hold files, the operating system needs to record its own data structures on the disk
  - **Partition** the disk into one or more groups of cylinders, each treated as a separate disk
  - **Logical formatting** or creation of a file system – stores initial file-system data structures on the disk
- To increase efficiency most file systems group blocks into larger chunk called **clusters**
  - Disk I/O done in blocks, and file I/O done in clusters
- **Raw disk** – use a disk partition as a large sequential array of logical blocks, without any file-system data structure. It access for apps that want to do their own block management, keep OS out of the way (databases for example) – raw I/O bypasses all file-system services such as space allocation, file names and directories.
- A **bootstrap** program initializes all aspects of the system, from CPU registers to device controllers
  - The bootstrap is stored in ROM
- Methods such as **sector sparing** (low-level formatting sets aside spare sectors invisible to the operating system) used to handle **bad blocks**





# RAID - Improving Reliability via Redundancy

- RAID – redundant arrays of independent disks
  - In the past, RAID composed of small, cheap disks were viewed as a cost-effective alternative to large, expensive disks (once called **redundant arrays of inexpensive disks**)
  - Now RAIDs are used for higher reliability via redundancy and higher data-transfer rate (access in parallel)
- The chance that a disk out of N disks fails is much higher than the chance that a specific single disk fails. Suppose that the **mean time to failure** of a single disk is 100,000 hours, the mean time to failure of some disk in an array of 100 disks will be  $100,000/100 = 1,000$  hours, or 41.66 days!
- The data loss rate is unacceptable if we store only one copy of the data.
- The solution to the problem of reliability is to introduce **redundancy**; the simplest (but most expensive) approach is to duplicate every disk, called **mirroring**. Every write is carried out on two physical disks. Data will be lost only if the second disk fails before the first failed disk is replaced.
- The **mean time to repair** is the time it takes (on average) to replace a failed disk and to restore data on it – exposure time when another failure could cause data loss
- Suppose the mean time to failure of a single disk is 100,000 hours and the mean time to repair is 10 hours. The **mean time to data loss** is  $100,000^2 / (2 * 10) = 500 * 10^6$  hours, or 57,000 years!
- Power failures are the primary causes for disk failures, in which solid-state **nonvolatile RAM (NVRAM)** can be added to RAID arrays.





# RAID – Improving Performance via Parallelism

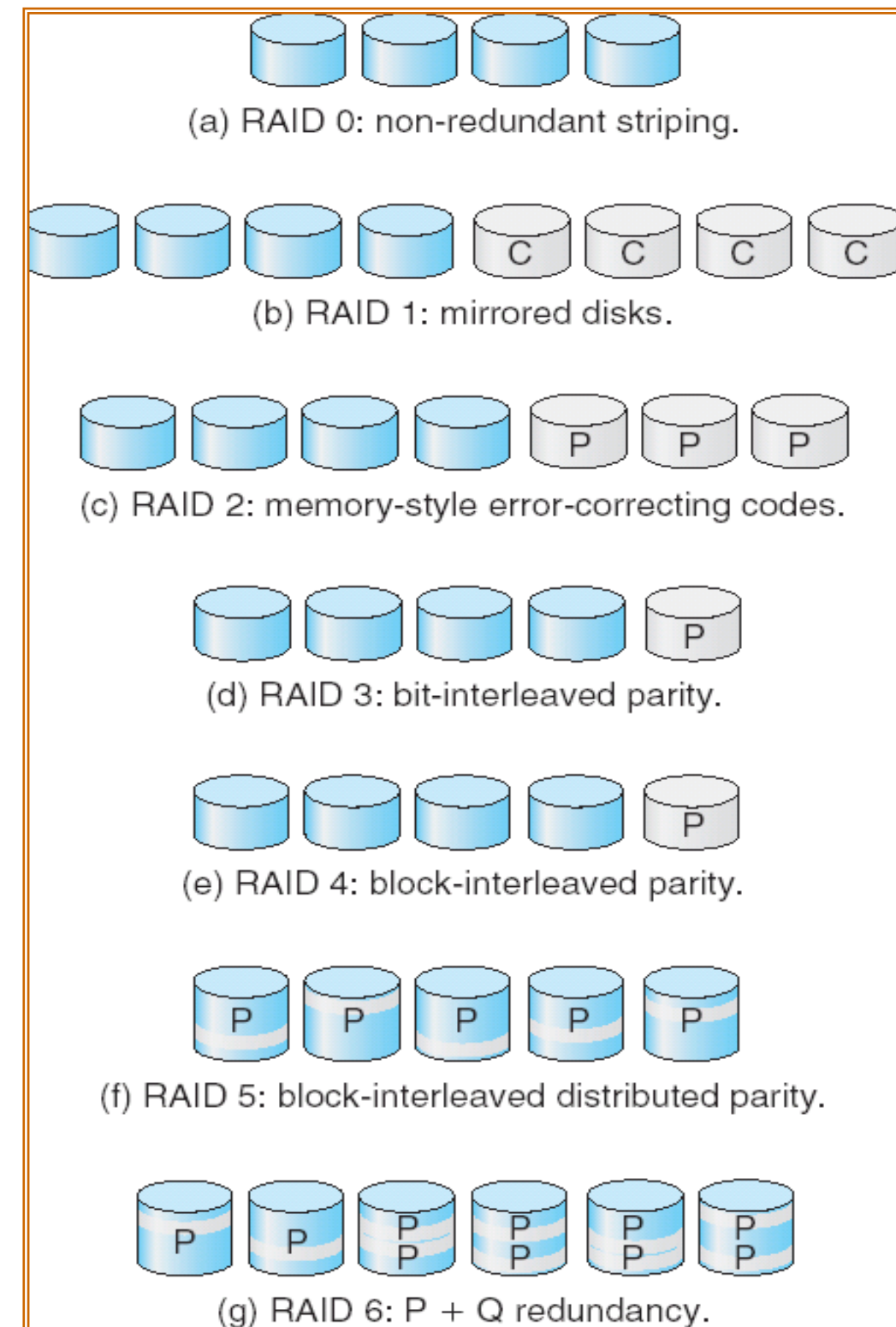
- Parallelism in a disk system, via [data stripping](#), has two main goals:
  - Increase the throughput of multiple small access by load balancing
  - Reduce the response time of large access
- [Bit-level stripping](#)
  - For example, if we have an array of 8 disks, we can write bit  $i$  of each byte to disk  $i$ . The array of 8 disks can be treated as a single disk with sectors that are 8 times the normal sector size. The access rate can be improved by 8 times!
- Bit-level stripping can be generalized to include a number of disks that either is a multiple of 8 or a divides 8.
  - For example, with an array of 4 disks, bit  $i$  and  $4+i$  of each byte can be stored in disk  $i$
- The [block-level stripping](#), blocks of a file are stripped across multiple disks
  - With  $n$  disks, block  $i$  of a file goes to disk  $(i \bmod n)+1$





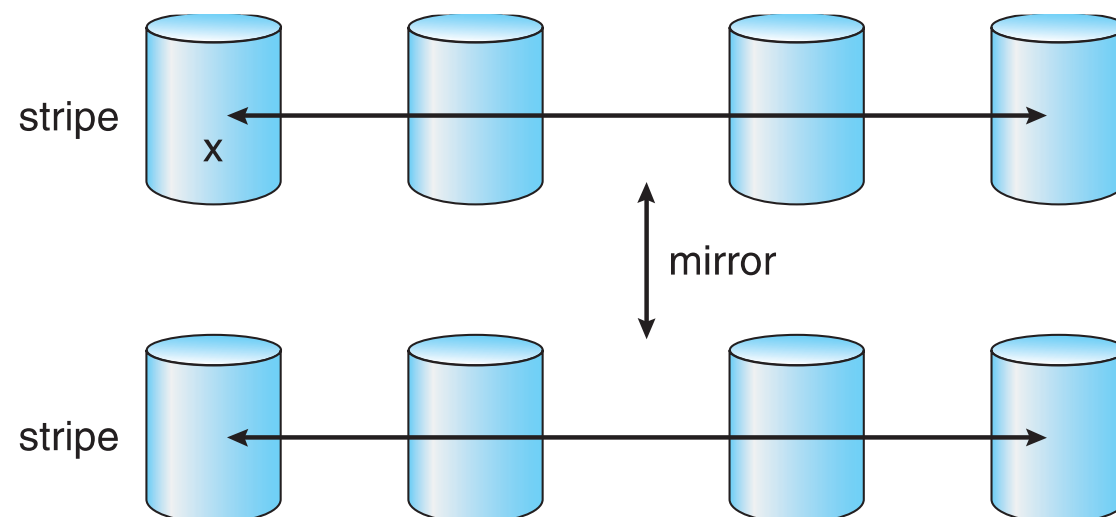
# RAID Structure

- ❑ Mirroring provides high reliability, but expensive. Striping provides high data-transfer rates, but does not provide reliability
- ❑ Numerous schemes to provide redundancy at lower cost by using striping combined with “parity” bits.
- ❑ These schemes have different cost-performance trade-offs and classified into **RAID levels**
- ❑ In the RAID levels, four disks’ worth of data are stored. P indicates error-correcting bits and C indicates a second copy of the data
- ❑ **RAID 0** refers to disk array with striping at the level of blocks with non redundancy
- ❑ **Mirroring** or **shadowing** (**RAID 1**) keeps duplicate of each disk
- ❑ **Striped mirrors** (**RAID 1+0**) or **mirrored stripes** (**RAID 0+1**) provides high performance and high reliability
- ❑ **Block interleaved parity** (RAID 4, 5, 6) uses much less redundancy

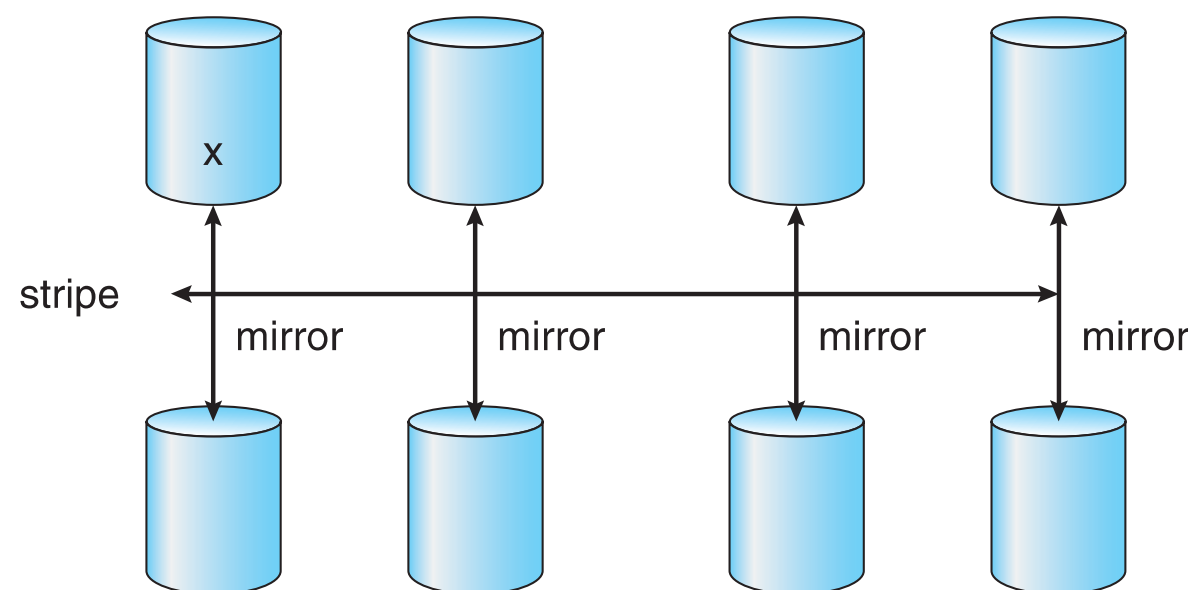




# RAID (0 + 1) and (1 + 0)



a) RAID 0 + 1 with a single disk failure.



b) RAID 1 + 0 with a single disk failure.







# Other Features

- ❑ Regardless of where RAID implemented, other useful features can be added at each level
- ❑ **Snapshot** is a view of file system before the last update took place (for recovery)
- ❑ **Replication** is automatic duplication of writes between separate sites for redundancy and disaster recovery. This can be synchronous (each block must be written locally and remotely before the write is considered complete) or asynchronous (writes are grouped together and written periodically)
- ❑ A **hot spare** disk is not used for data but is configured to be used as a replacement in case of disk failure
  - ❑ For instance, a hot spare can be used to rebuild a mirrored pair should one of the disks in the pair fails. In this way, RAID level can be reestablished automatically, without waiting for the failed disk to be replaced/repaired.





# End of Chapter 11

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