

# COMP3711: Design and Analysis of Algorithms

## Tutorial 1

# Asymptotic notation

## Asymptotic upper bound

### Definition (big-Oh)

$f(n) = O(g(n))$ : There exists constant  $c > 0$  and  $n_0$  such that  $f(n) \leq c \cdot g(n)$  for  $n \geq n_0$ .

Equivalent definition:  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty$

## Asymptotic lower bound

### Definition (big-Omega)

$f(n) = \Omega(g(n))$ : There exists constant  $c > 0$  and  $n_0$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$ .

Equivalent definition:  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} > 0$

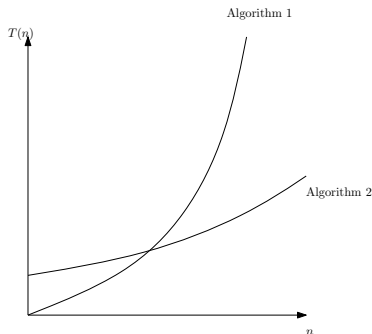
## Asymptotic tight bound

### Definition (big-Theta)

$f(n) = \Theta(g(n))$ :  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$

# Comparing time complexity

Example:



Algorithm 2 is clearly superior

- $T(n)$  for Algorithm 1 is  $O(n^3)$
- $T(n)$  for Algorithm 2 is  $O(n^2)$
- Since  $n^3$  grows more rapidly than  $n^2$ , we expect Algorithm 1 to take much more time than Algorithm 2 for large  $n$ .

# Review: Basic facts on exponents

For all real  $a \neq 0$ ,  $m$  and  $n$ , we have the following identities:

$$a^0 = 1$$

$$a^1 = a$$

$$a^{-1} = 1/a$$

$$(a^m)^n = (a^n)^m = a^{mn}$$

$$a^m a^n = a^{m+n}$$

$$a^{1/n} = \sqrt[n]{a}$$

# Review: Basic Facts on logarithms

For all real  $a > 0$ ,  $b > 0$ ,  $c > 0$ , and  $n$ :

$$a = b^{\log_b a}$$

$$\log_c(ab) = \log_c a + \log_c b$$

$$\log_b a^n = n \log_b a$$

$$\log_b(1/a) = \log_b a^{-1} = -\log_b a$$

$$\log_b a = \frac{\log_c a}{\log_c b}$$

$$\log_b a = \frac{\log_a a}{\log_a b} = \frac{1}{\log_a b}$$

$$a^{\log_b n} = (b^{\log_b a})^{\log_b n} = (b^{\log_b n})^{\log_b a} = n^{\log_b a}$$

$$4^{\log_2 n} = n^{\log_2 4} = n^2$$

# Question 1

For each of the following statements, answer whether the statement is true or false.

- (a)  $1000n + n \log n = O(n \log n)$ .
- (b)  $n^2 + n \log(n^3) = O(n \log(n^3))$ .
- (c)  $n^3 = \Omega(n)$ .
- (d)  $n^2 + n = \Omega(n^3)$ .
- (e)  $n^3 = O(n^{10})$ .
- (f)  $n^3 + 1000n^{2.9} = \Theta(n^3)$
- (g)  $n^3 - n^2 = \Theta(n)$

# Solution 1

For each of the following statements, answer whether the statement is true or false.

(a)  $1000n + n \log n = O(n \log n)$ .    True.

(b)  $n^2 + n \log(n^3) = O(n \log(n^3))$ .    False.

(c)  $n^3 = \Omega(n)$ .    True.

(d)  $n^2 + n = \Omega(n^3)$ .    False.

(e)  $n^3 = O(n^{10})$ .    True.

(f)  $n^3 + 1000n^{2.9} = \Theta(n^3)$     True.

(g)  $n^3 - n^2 = \Theta(n)$     False.

## Question 2

For each pair of expressions  $(A, B)$  below, indicate whether  $A$  is  $O$ ,  $\Omega$ , or  $\Theta$  of  $B$ . Note that zero, one, or more of these relations may hold for a given pair; list all correct ones. Justify your answers.

(a)  $A = n^3 + n \log n$ ;  $B = n^3 + n^2 \log n$ .

(b)  $A = \log \sqrt{n}$ ;  $B = \sqrt{\log n}$ .

(c)  $A = n \log_3 n$ ;  $B = n \log_4 n$ .

(d)  $A = 2^n$ ;  $B = 2^{n/2}$ .

(e)  $A = \log(2^n)$ ;  $B = \log(3^n)$ .



# Solution 2

	$A$	Relation:	$B$
(a)	$n^3 + n \log n$	$\Omega, \Theta, O$	$n^3 + n^2 \log n$
(b)	$\log \sqrt{n}$	$\Omega$	$\sqrt{\log n}$
(c)	$n \log_3 n$	$\Omega, \Theta, O$	$n \log_4 n$
(d)	$2^n$	$\Omega$	$2^{n/2}$
(e)	$\log(2^n)$	$\Omega, \Theta, O$	$\log(3^n)$

## Solution 2: Step by step

Notes:

- (a) Both are  $\Theta(n^3)$ , the lower order terms can be ignored.  
Note that if  $A(n) = \Theta(B(n))$ ,  
 $\Rightarrow A(n) = O(B(n))$  and  $A(n) = \Omega(B(n))$ .
- (b) After simplifying,  $A = \frac{1}{2} \log n$ , and  $B = \sqrt{\log n}$ .  
Set  $m = \log n$ . The ratio  $\frac{A}{B} = \frac{m}{2\sqrt{m}} = \frac{\sqrt{m}}{2}$ .  
Then  $\lim_{n \rightarrow \infty} \frac{A}{B} = \lim_{m \rightarrow \infty} \frac{A}{B} = \infty$ ,  
i.e.,  $A(n) = \Omega(B(n))$ .
- (c) Log base conversion only introduces a constant factor, i.e.,  
 $n \log_3 n = n \cdot \log_3 4 \cdot \log_4 n = \Theta(n \log_4 n)$ .
- (d)  $2^n / 2^{n/2} = (2)^{n/2} \rightarrow \infty$  as  $n \rightarrow \infty$ .  
Alternatively, notice that  $2^{n/2} = \sqrt{2^n}$ .
- (e) After simplifying notice that  $A = n \log 2$  and  $B = n \log 3$ , both of which are  $\Theta(n)$ .

# Question 3

Suppose  $T_1(n) = O(f(n))$  and  $T_2(n) = O(f(n))$ . Which of the following are true? Justify your answers.

(a)  $T_1(n) + T_2(n) = O(f(n))$

(b)  $\frac{T_1(n)}{T_2(n)} = O(1)$

(c)  $T_1(n) = O(T_2(n))$

# Solution 3

- (a) **True.** From the definition of  $T_1(n) = O(f(n))$  and  $T_2(n) = O(f(n))$ , there exist constants  $c_1, c_2 > 0$  and positive integers  $n_1, n_2$  such that  $\forall n \geq n_1, T_1(n) \leq c_1 f(n)$  and  $\forall n \geq n_2, T_2(n) \leq c_2 f(n)$ .

This implies that,

$$\forall n \geq \max(n_1, n_2), T_1(n) + T_2(n) \leq (c_1 + c_2)f(n).$$

Thus,  $T_1(n) + T_2(n) = O(f(n))$ .

- (b) **False.** Counterexample:  $T_1(n) = n^2, T_2(n) = n, f(n) = n^2$ .

Then  $T_1(n) = O(f(n)), T_2(n) = O(f(n))$  but

$$\frac{T_1(n)}{T_2(n)} = n \neq O(1).$$

- (c) **False.** We can use the same counterexample as in part (b). Note that  $T_1(n) \neq O(T_2(n))$

# Question 4

Let  $f(n)$  and  $g(n)$  be non-negative functions. Using the basic definition of  $\Theta$ -notation, prove that

$$\max(f(n), g(n)) = \Theta(f(n) + g(n)).$$

# Solution 4

For any value of  $n$ ,  $\max(f(n), g(n))$  is either equal to  $f(n)$  or equal to  $g(n)$ . Therefore, for all  $n$ ,

$$\max(f(n), g(n)) \leq f(n) + g(n).$$

Using  $c = 1$  and  $n_0 = 1$  in the big-Oh definition, it follows that

$$\max(f(n), g(n)) = O(f(n) + g(n)).$$

Also, for all  $n$ ,

$$\max(f(n), g(n)) \geq f(n) \quad \text{and} \quad \max(f(n), g(n)) \geq g(n).$$

Then

$$2 \cdot \max(f(n), g(n)) \geq f(n) + g(n) \quad \Rightarrow \quad \max(f(n), g(n)) \geq \frac{1}{2}(f(n) + g(n))$$

Then, Using  $c = 1/2$  and  $n_0 = 1$  in the definition of  $\Omega$ , we get

$$\max(f(n), g(n)) = \Omega(f(n) + g(n)).$$

# Solution 4

We have now seen both

$$\max(f(n), g(n)) = O(f(n) + g(n))$$

and

$$\max(f(n), g(n)) = \Omega(f(n) + g(n))$$

proving

$$\max(f(n), g(n)) = \Theta(f(n) + g(n)).$$

# Question 5

In the analysis of the max-subarray algorithms we (will) see nested loops of the form

```
for i = 1 to n  
  for j = i to n  
    for k = i to j  
      do one unit of work
```

In class we give an intuitive explanation as to why this code performs  $\Theta(n^3)$  units of work.

Prove this fact rigorously.



# Solution 5

The code

```

for i = 1 to n
  for j = i to n
    for k = i to j
      do one unit of work
  
```

does

$$\sum_{i=1}^n \sum_{j=i}^n \sum_{k=i}^j 1 = \sum_{i=1}^n \sum_{j=i}^n (j - i + 1) = \sum_{i=1}^n \sum_{k=1}^{n-i+1} k$$

units of work (the last equality is from changing indices). Now note that  $\sum_{k=1}^t k = \Theta(t^2)$  so

$$\sum_{i=1}^n \sum_{k=1}^{n-i+1} k = \sum_{i=1}^n \Theta((n-i+1)^2) = \sum_{j=1}^n \Theta(j^2) = \Theta\left(\sum_{j=1}^n j^2\right) = \Theta(\Theta(n^3)) = \Theta(n^3)$$