



第十讲:与编辑沟通的艺术及论文的命运分析





### 科技论文投稿的流程



- 写作前的准备
- 科技论文撰写
- 稿件的录入与排版
- 选择合适的期刊
- 准备投稿信(Cover letter)
- 推荐审稿人
- 与编辑的联系
- 反复不断修改
- 接收







# 1. 与编辑的沟通







## 投稿后的心态



- 害怕:每天都会关注稿件的状态,对于投出去的稿件自己 心里也没底;每天都看,总是心急如焚;
- 期待:投稿后就像热恋,每天都想见面,其实这很正常, 你的生活中多了一份寄托;
- 着急:初投稿的人都希望一投稿就马上有回复,或者没到时间就写信去催,这是很不礼貌的。一般来说,正规杂志不用催,到了承诺的时间就会给你回信。因此,不要一投稿就希望马上有回复。其实审稿意见回来的太快不是件好事情(据信)。
- 回归理性:其实文章审的越久,一定程度上说明Reviewer 越难找到你的漏洞;文章在他手上越长,说明越喜欢你。
- 痛并快乐着,源于内心的期待!



## 论文审稿的速度决定于什么呢?



- 责任编辑寻找审稿人的时间;
- 编辑的负责程度或者他的心情好坏;
- 杂志对于审稿人规定的审稿期限,比如有些是3周,有些是2月等等;
- 审稿人对于审稿的态度问题,有些审稿人办事利索,有些则拖拉,所以每个杂志总是有一定比例的审稿人拖延时间,超过规定的审稿期限;
- 针对稿子的争议,比如两个审稿人的意见相差很大的时候,责任编辑就必须再选一个审稿人,这时候又碰到上面的问题;





## 审稿人与编辑的关系



- 文章的命运掌握在编辑手中。主编一般还是很看重审稿人的意见。
- 拒稿、接受还是修改这只有编辑才能决定。审稿人可以建议拒稿,但最后的决定权在编辑。
- 根据审稿人意见,编辑可以拒稿、修稿或再找审稿人。只要编辑没有说拒稿,就有希望。
- 如果是编辑已经明确表示退稿了,那就没有再申辩的必要了。因为稿子那么多,编辑不会反复工作的。因此请慎重确认一下编辑回信的意见。





## 认识编辑



- 了解对方
- 尊重对方
- 感谢对方
- 不卑不亢
- 据理力争
- 以理服人





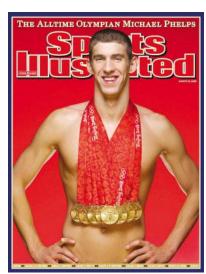
## 与编辑交往的三个境界



•编辑感动



●编辑心动



●编辑冲动







# 审稿人(同行评议)关注的问题



- 稿件的内容是否新颖、重要
- 作者的论证是否合乎逻辑, 讨论和结论十分合理
- 统计是否清楚
- 伦理方面的问题
- 参考文献的引用是否妥当
- 文字表达是否正确、简明、清楚
- 稿件中的实验描述是否清楚并且能被读者重复、实验数据是否真实、可靠
- 稿件的论题是否适合于相应的期刊
- 图表的使用和设计是否必要、规范、清楚





## 稿件的命运



### 稿件的四个等级:

- Accept (0.5%)
- Minor revision (基本属于录用)
- Major revision
- Reject (Calm down and think)
- 稿件一般都属于major revision, 因此要平静面对。











### "改后录用"



- 仔细阅读并思考审稿人或编辑提出的修改意见;
- 修改信:
  - 巫条说明按要求修改的内容;
  - 如果认为审稿人或编辑的修改建议不合理,可坚持己见,但一定要有充足的理由。
- 尽快返回修改稿(1个月之内为佳)
- 分为大修 (Major revise)和小修 (Minor revise)两种情况,前者更为普遍。





### "退稿"



- 认真思考审稿人或编辑提出的退稿意见:
  - 暂不再投稿;
  - 修改稿件,并重投到同一份期刊(explain why);
  - 修改稿件, 改投其他期刊;
- 不要将不做任何修改的原稿件转投他刊。
- 不要再和编辑去理论那些非技术问题。
- 天涯好处无期刊?认真修改是正道。





# 一篇论文的发表过程



Stage	Start Date
Revision Received	2007-05-08 10:22:39
Decision Sent	2007-03-10 19:30:01
Decision Letter Being Prepared	2007-03-10 18:21:48
Editorial Evaluation - Editor	2007-03-07 03:40:09
Under Review	2007-02-20 23:11:11
Securing Reviewer(s)	2007-02-20 05:45:14
Under Consideration - Editorial Office	2007-02-14 13:51:52
Author-Approved Files Submitted	2007-02-14 13:51:52
Under Consideration - Editorial Office	2007-02-14 13:51:52
Submission Check Complete	2007-02-14 13:51:52
Submission Check - Editorial Office	2007-02-12 06:38:51
Author-Approved Files Submitted	2007-02-12 06:38:51
Figure Quality Analysis Completed	2007-02-09 01:39:34
Awaiting Author Adjustment/Approval of Converted Files	2007-02-09 01:06:36
Manuscript Files Uploaded	2007-02-09 00:59:51
Preliminary Manuscript Data Posted	2007-02-09 00:54:23

Stage	Start Date
Manuscript Sent to Production	2007-06-20 10:15:19
Decision Sent	2007-06-20 10:15:19
Decision Letter Being Prepared	2007-06-20 01:15:06
Editorial Evaluation - Editor	2007-06-20 01:15:06
Securing Reviewer(s)	2007-06-19 19:16:02
Under Review	2007-05-08 13:38:43
Securing Reviewer(s)	2007-05-08 13:38:06
Under Consideration - Editorial Office	2007-05-08 12:18:31
Author-Approved Files Submitted	2007-05-08 12:18:31
Under Consideration - Editorial Office	2007-05-08 12:18:30
Submission Check Complete	2007-05-08 12:18:30
Submission Check - Editorial Office	2007-05-08 12:13:23
Author-Approved Files Submitted	2007-05-08 12:13:23
Figure Quality Analysis Completed	2007-05-08 11:51:48
Awaiting Author Adjustment/Approval of Converted Files	2007-05-08 10:26:39
Manuscript Files Uploaded	2007-05-08 10:26:39
Preliminary Manuscript Data Posted	2007-05-08 10:26:34





## 论文投稿的状态(1)



#### Submitted to Journal

这是投稿时显示的第一个状态,也是系统生成的,说明你的稿件已经上传到编辑部。

- Technical Check in Progress
  - 编辑在检查你文章中句子及语法有没有问题,稍安勿躁!
- Awaiting reviewer assignment 就是在寻找审稿人了。
- Being screened

你的文章还没有指定责任编辑,还没进入审稿阶段。

- With review/under review
  - 在审稿人那里。有时也会说同样的意思。
- With editor

这种状态代表编辑已经看到你的投稿件的信息,在此要考虑应该让谁来审理你的稿件。



### 论文投稿的状态(2)



- Under review/with editor 这种状态代表稿件在审稿人那里,正在审阅。但是如果审稿人对此文章不了解不想审,就会退给编辑,编辑会重新邀请别的审稿人。
- Required review completed
   这种状态代表审稿结束,等编辑处理接受与否。
- Minor revision/Major revision
   这种状太代表审稿人做出的决定让你小修改或者大修。
- Revision Submitted to Journal
   这是要求修改稿送给编辑,重复以上状态。但是有可能也不重复,如果审稿人给你提的问题都是无关紧要的问题,那么编辑回自己做出最后接受的决定。不会再分配给审稿人。





## 论文投稿的状态(3)



- With reviewers 是你的文章已经被发至审稿人手里了
- With editor for decision
- Under review是指文章在reviewer手里审核的时间,现在他们的意见应该已经到了editor手中,正在等待最后的决定,很快就能等到结果了.
- Awaiting Reviewer Scores 等待审稿人评分,说明已经审完了.
- Awaiting Scores-reviewers' comments pending 稿件在(评阅人) 审理中.
- Awaiting AE decision = Awaiting Associate Editor's Decision 现在在等待编辑部安排Associate Editor (AE)
- Waiting AE recommending 就是让你等待最后的通知了, 能不能accept, 现在只有AE知道.





### 投稿Elsevier杂志



- 1)Submitted to Journal,当上传结束后,显示的状态是 Submitted to Journal,这个状态是自然形成的无需处理。
- 2)With editor,表示编辑已经看到投稿信息,正在初审。如果在投稿的时候没有要求选择编辑,就先到主编那,主编会分派给别的编辑。这当中就会有另两个状态:
- 3)Editor assigned.
- 4)Editor invitation,如果编辑接手处理了,觉得文章合格,就会邀请审稿人了, 否则就直接拒稿了。4
- 5)Reviewer(s) invited,如果审稿人接受那就会是以下状态:。
- 6)Under review,这应该是一个漫长的等待。当然前面各步骤也可能很慢的,要看编辑的处理情况。如果被邀请审稿人不想审,就会 decline,编辑会重新邀请别的审稿人。。





# 投稿Elsevier杂志(续)



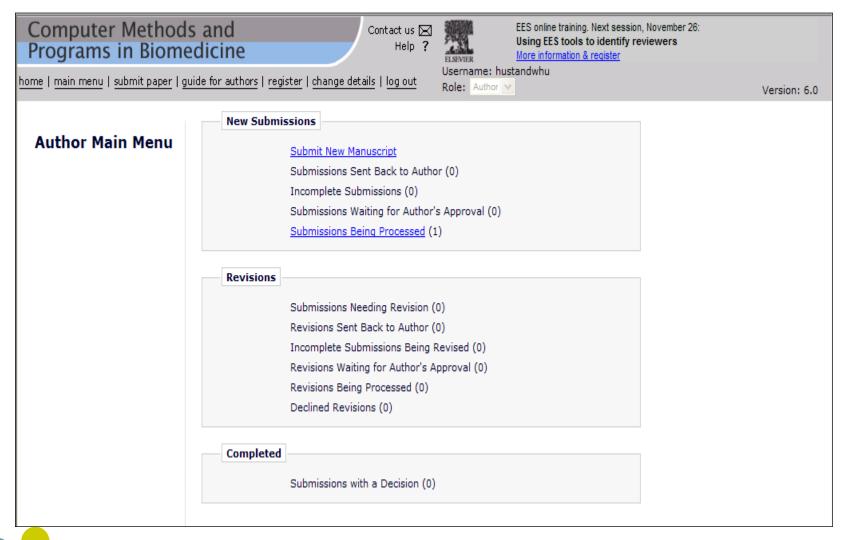
- 7)Required review completed,审稿结束,等编辑处理。 🗸
- 8)Decision in Process,到了这一步就快要有结果了,编辑开始考虑是给修改还是直接拒,当然也有可能直接接受的,但可能性很小。
- 9)Minor revision/Major revision,这个时候一般问题不大了,因为有修改就有可能。 具体怎么改就不多说了,谦虚谨慎是不可少的。
- 10)Revision Submitted to Journal,这是要求修改稿送给编辑,重复以上状态。但是有可能也不重复,如果审稿人给你提的问题都是无关紧要的问题,那么编辑回自己做出最后接受的决定,不会再分配给审稿人。
- 11)Accepted,如果不要再审,只是小修改,编辑看后会马上显示这个状态,但如果要再审也会有上面的部分状态。
- 12)Rejected,大家都明白是什么意思。。





### 投稿的状态(新稿)







# 论文状态





<< Author Main Menu





## 审稿的状态(修改稿)



#### **New Submissions**

#### Submit New Manuscript

Incomplete Submissions (0)

Submissions Waiting for Author's Approval (0)

Submissions Being Processed (0)

#### Revisions

Submissions Needing Revision (0)

Incomplete Submissions Being Revised (0)

Revisions Waiting for Author's Approval (0)

Revisions Being Processed (1)

Declined Revisions (0)

#### Decisions

Submissions with a Decision (0)

Revision Date Submitted	Status Date	Current Status
Jul 22, 2005	Aug 04, 2005	Under Review





## 与编辑的联系



- 如果没有收到期刊的"收稿回执",可在2-3周后通过 E-mail或电话询问编辑部;
- 如果2个月后没有收到审稿意见的决定,可以询问审稿意见回来没有?
- 询问审稿结果:
  - 无须修改即可录用;
  - ✓ 改后录用;
  - ✓ 退稿(或改后再审)
- 论文有机会修回可以询问是否收到?
- 是否收到版权转移书?
- 论文接受后可以询问何时可以收到proofs?





# 2. 从编辑回信判断论文的命运







### 拒信格式(1)



Dear · Dr · Wang ·

On· 14-Mar-2008· you· submitted· a· new· paper· number· MAC\_XXXX\_20060311/1·to·Computer·Chemistry·&·Physics·entitled:·XXXXX (paper·title).

The Editorial reference number for this paper is: MCP-6542.

The investigations are similar to certain literature report. The contents of this article does not contribute much new to the knowledge of the related field. It was sorry to inform you that your paper has not been accepted for publication.

K.·L.·Lin+

Editor<sub>+</sub>

2008-10-26+





### 拒信格式(2)



Dear Dr XX,4

Thank you so much for submitting your manuscript "XXX" to IEEE Transact Letters. 
Your manuscript has been subjected to a brief local Editorial inspection. As background information, in spite of many efforts, the review times often exceed 2 months, due to delays in the reviewers office. For proceeding with any new submitted manuscript we have adopted a rating system, in which only the top 20% of manuscripts will be sent out to reviewers.

Unfortunately, your manuscript did not reach this threshold level. May I suggest that you submit to another journal?

We receive considerably more manuscripts than we have room to publish, so competition for space in the journal is intense. Although your contribution was not successful on this occasion, we hope that you will continue to consider Cancer Letters for future submissions.

Thank you for giving us the opportunity to consider your work.

Kind regards:

Manfred Schwab +

Managing Editor↓





### 接受信格式(1)



Dear Dr, Jiang.

I am pleased to confirm that Elsevier has received your page charge payment. Your paper has now been sent to the publisher for publication and you will receive proofs for approval within 8 weeks, at which time minor amendments are permitted.

Thank you for submitting your work to this journal.

With kind regards:

Dr Bruce Charlton+

Editor in Chief+

IEEE ACM Transactions on Computational Biology and Bioinformatics

2007-09-23+





### 接受信格式(2)



Dear Dr \*\*,₽

Ms: \*\*\*Title: \*\*\*

I am pleased to inform you that your manuscript has been accepted for publication in the next available issue of IEEE/ACM Transactions on Computational Biology and Bioinformatics.

Congratulations on submitting such an excellent study.

Best regards.

Manager Editor

2007-12-12





### Minor revise



Dear Dr \*\*, ...

Thank you for submitting your manuscript to \*\*\*. Experts in the field have carefully reviewed your manuscript. They found merit in your study, however, minor concerns were raised that require your attention. Therefore, I invite you to respond to the reviewers' comments and revise your paper accordingly. Reviewers' Comments to Author: The authors gave a brief but concise summary of \*\*\*.

The authors have reviewed the experimental data, epidemiology and clinical studies on this topics, which might stimulate further interest in future research in this area. It would be more comprehensive if the authors could provide a brief description of \*\*\*in other liver diseases.

Best regards.

Manager Editor





### Major revise



Dear Dr. Jiang+

Thank you for submitting your manuscript entitled, "×××" to ×××. This manuscript may be reconsidered for publication with major revisions. The comments and requests of the editor and the peer reviewers are shown below. Please share this information with all coauthors of the manuscript. ↓

Please review the peer review comments and requests carefully and edit the manuscript to respond to them. Please attach to your revised manuscript a cover letter that explains any request of the editor or the reviewers that and how you have addressed them in your revised manuscript. 

•

Please make every effort to address the significant concerns and to resubmit your manuscript as soon as possible or within two months at the latest. If you anticipate an additional delay or do not plan to resubmit your manuscript please notify us as soon as possible.

Also, please provide complete and up-to-date contact information for all authors, including titles, credentials, mail addresses, phone numbers and e-mail addresses. In the meantime, please keep your coauthors aware of the status of the article.

Editor-in-Chief.

2007-12-12-





### 如何从编辑的回信判断文章的命运(1)



Dear Prof. XXX, +

your manuscript referenced above has been reviewed with the assistance of referees knowledgeable in the field. I am sorry to inform you that it has not been found acceptable for publication in this Journal.

I have enclosed the comments of the referees upon which this decision is based, and you may also view the comments by logging onto the submission site.

The Journal receives many more manuscripts than it can publish, and from time-to-time, difficult decisions must be made on the basis of an article's perceived priority.

Thank you for allowing us to consider your manuscript, we hope the outcome for this paper will not deter you from sending us further manuscripts in the future.

Best wishes,

Manager Editor 2008-11-22





### 如何从编辑的回信判断文章的命运(2)



Dear Dr. XXX<sub>x</sub>+

your manuscript has now been reviewed and the reviewers' comments are listed below. While they have recommended that the paper be declined in its present form, they have indicated that the manuscript might prove suitable for publication if extensively revised along the lines suggested. If you feel that their remarks can be accommodated in an appropriately revised text, I should be pleased to reconsider this manuscript. This invitation to resubmit does not guarantee publication, since the decision to accept or decline your paper will depend on re-appraisal by the reviewers. It is the policy of XXX (name of the Journal) that if any of the major criticisms raised by the reviewers are not satisfactorily addressed then the manuscript will normally be rejected. Therefore you may wish to consider submitting the manuscript elsewhere.

Follow the instructions to upload your revised documents? you will have the opportunity to amend your manuscript details if necessary.

As we do not wish to keep open files on manuscripts for extended periods of time, we would mention that it is our policy to consider manuscripts "dead" if we do not hear from the authors within 3 months. +

We look forward to receiving your revised manuscript. +

Yours sincerely .





### 如何从编辑的回信判断文章的命运(3)



Dear Dr XX₽

After a careful review of your manuscript, I am afraid that we are not able to accept it for publication in BBRC in its current form. As you know, this is a rapid communication journal and not all submissions, regardless of their merit, are suitable for this format. Our standards are increasingly high, and in fact, more than 60% of submissions do not meet our criteria.

#### Comment: +

In the current manuscript by Zhou et al., the authors describe \*\*\*, Although the obtained results describe a very interesting and will useful for understanding of hepatic differentiation of ES cells via hepatic progenitor cells, there is a concern, which need to be addressed before the manuscript can be published in Biochemical and Biophysical Research Communications.

I strongly encourage you to significantly revise your manuscript based on the above commentary and submit it to the same editor. Please note that it will be processed as a new submission. I look forward to receiving the revised version of your submission shortly.

XX, MD₊

Editor-in-Chief





### 如何从编辑的回信判断文章的命运(4)



Dear Dr Jiang .

We have now received comments from the referees to whom we submitted your manuscript entitled "XX". Copies of the referees' comments are attached for your reference. On the basis of their replies I regret to advise that the paper cannot be considered for publication in its present form. However, we would be willing to reconsider the manuscript provided it is revised in line with the referee comments. Each has pointed to a number of areas and these will require your attention.

If you intend to revise the manuscript , please let me know so that we can arrange a suitable deadline. If you re-submit your manuscript to the above e-mail address , please be assured that we will acknowledge receipt of it as soon as it arrives. If you do not hear back from us within 48 hours , please resend the article or e-mail us advising that you have sent your manuscript but have not received confirmation of receipt. If the article is resubmitted , further peer review may be necessary!

Editor-in-Chief





### 如何从编辑的回信判断文章的命运(5)



Hi Zhenran, +

I have the comments back from the first reviewer and they had some very good suggestions that the authors needed to incorporate before, in their opinion, the paper could be published. If you have not yet reviewed. the paper could I please ask you to put your review on hold and when the revised manuscript comes back from the authors I would send you that version for review as well as the suggestions from the first reviewer to look over. Editor-in-Chief

2007-09-23





### 如何从编辑的回信判断文章的命运(6)



Dear Dr Jiang:+

Your manuscript has been reviewed. As you can see from the enclosed referee's report it is felt that your story is intriguing but the reviewers have raised a number of issues which would need to be satisfactorily dealt with in the revised manuscript. Also , a considerable amount of editing will have to be done on the manuscript to provide an acceptable document. You will probably need to work closely with someone very familiar in English to edit it or engage additional services , which the Bethesda office of the Journal of Software Programming has a list. These could be hired to help in this endeavor.

reviewer 1 : ↓

The results presented in this study appear novel and intriguing, and the experiments are well controlled. However, the manuscript is written in such bad English that it is sometimes impossible to follow the experiments or tell what the authors are trying to say. The authors must have this manuscript proof-read prior to resubmission.

....

Best wishes.





### 审稿意见Resubmission,机会大不大?



Dear Sir or Madam:

The Journal receives many more manuscripts than it can publish and difficult decisions must be made on the basis of an article's perceived priority. Your manuscript did not achieve a high enough ranking to be accepted in its present form. However, we invite you to consider carefully the comments of the referees and to submit a fully revised manuscript incorporating any changes including new experiments, which you consider necessary to address the issues raised by the referees. Please include a cover letter which indicates in detail the changes. You have made and why. Also indicate which of the suggested changes, if any, you have elected not to make and your reasons. To submit, go to your Author Center at http://\*\*\*\*\* go to "manuscripts with decisions" and click "create resubmission". Please note that your resubmitted paper will be subject to the full review process again. There is a time limit of one year on this resubmission. Best wishes,

Editor₊



## 如何判断呢?



- 寻找温暖的、明亮的、欢快的词汇
  - 如I think that after a revision addressing the issues raised above the manuscript can be suitable for publication in XXX.
  - However, I am willing to reconsider a revised manuscript
- 不要被编辑客套话迷失方向
  - 了解杂志拒稿信的一般格式,基本上是没有什么希望了,改投其他杂志比较省时。
- 认真评价自己的论文











