

## COSC 290 Discrete Structures

### Lecture 30: Combinations and permutations

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Monday, Apr. 16, 2018  
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## Plan for today

1. Combinations and Permutations
2. Pigeonhole principle

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## Combinations and Permutations

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## Recall: Four types of common counting problem

Given a set  $S$  with  $n$  elements, let us consider counting the number of ways to choose  $k$  elements from  $S$ .

- Does the order in which elements are selected matter?
- Can the same element be chosen more than once?

Four distinct counting problems:

	order matters	order irrelevant
repetition forbidden	$\frac{n!}{(n-k)!}$	$\binom{n}{k} = \frac{n!}{k!(n-k)!}$
repetition allowed	$n^k$	??

Today we will fill in the last cell...

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## Order irrelevant, repetition forbidden

Suppose your hockey team 13 players, only one of whom is a goalie. You want to organize your time into a starting line (goalie + 5 players), a backup line (goalie + 5 other players), and benchwarmers (remaining 2 players).

Let's assume that, except for the goalie, position does not matter.

How many different ways can you select the starting line, backup line, and benchwarmers?

Answer is  $\binom{12}{5} \cdot \binom{7}{5}$ , but *why*?

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## Derivation of number of lineups

Let  $P$  denote 12 players (minus goalie). Let  $\mathbf{P} := \mathcal{P}(P)$ , the powerset of  $P$ .

Let  $S$  be set where each element is a 3-tuple  $\langle a, b, c \rangle$  where  $a$  is a starting line,  $b$  is a backup line, and  $c$  is benchwarmers. Formally,

$$S := \{ \langle a, b, c \rangle \in \mathbf{P}^3 : a \cup b \cup c = P \text{ and } |a| = |b| = 5 \text{ and } |c| = 2 \}$$

- How many choices for  $a$ ? Let  $A$  be set of all choices,  $|A| = \binom{12}{5}$
- Choice for  $b$  depends on which  $a \in A$  is chosen. Let  $B(a)$  be the set of all choices of  $b$  for a given  $a$ . What is  $|B(a)|$ ?  $\binom{7}{5}$
- Choice for  $c$  depends on prior choices. Let  $C(a, b)$  be the set of all choices of  $c$  for a given  $a$  and  $b$ . What is  $|C(a, b)|$ ?  $\binom{2}{2}$ .

So, how big is  $S$ ? Apply *generalized product rule*!

Let  $n_1 := |A|$ ,  $n_2 := |B(a)|$ ,  $n_3 := |C(a, b)|$ . Then

$$|S| = n_1 \cdot n_2 \cdot n_3 = \binom{12}{5} \cdot \binom{7}{5} \cdot \binom{2}{2}.$$

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## Generalized product rule

Let  $S$  denote a set of length- $k$  sequences such that following condition holds:

For each  $i \in \{1, \dots, k\}$  and for each choice of first  $i-1$  components, there are  $n_i$  choices for the  $i^{\text{th}}$  component.

Then  $|S| = \prod_{i=1}^k n_i$ .

(**important:** the value  $n_i$  does not depend on *what* was chosen for first  $i-1$  components.)

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## Order irrelevant, repetition allowed

How many ways to choose an unordered collection of  $k$  (not necessarily distinct) elements from a set of  $n$  elements?

Example: how many ways to choose a dozen donuts from three donut types (jelly, chocolate, glazed)?

Let's translate this to a problem we already know how to solve...

$$\underbrace{\text{OO} \dots \text{O}}_{\text{jelly}} \quad 1 \quad \underbrace{\text{OO} \dots \text{O}}_{\text{chocolate}} \quad 1 \quad \underbrace{\text{OO} \dots \text{O}}_{\text{glazed}}$$

Examples:  $k = 6$  donuts from  $n = 3$  types (jelly, chocolate, glazed):

- 1 jelly, 2 chocolate, 3 glazed
- 1 jelly, 4 chocolate, 1 glazed
- 0 jelly, 0 chocolate, 6 glazed

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## Translating to a bit-string counting problem

How many ways to choose an unordered collection of  $k$  (not necessarily distinct) elements from a set of  $n$  elements?

Each choice can be mapped to a bit-string with  $k$  zeroes and  $n - 1$  ones. (Total length of bit string is  $n + k - 1$ .)

How many bit strings of length  $n + k - 1$  with  $k$  zeroes?

$$\binom{n+k-1}{k}$$

This equals the number of bit strings of length  $n + k - 1$  with  $n - 1$  ones:

$$\binom{n+k-1}{n-1}$$

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## Four counting problems

The number of ways to choose  $k$  items from a set  $S$  of  $n$  items when...

	order matters	order irrelevant
repetition forbidden	$\frac{n!}{(n-k)!}$	$\binom{n}{k}$
repetition allowed	$n^k$	$\binom{n+k-1}{k}$

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## Poll: how many b-ball teams?

How many ways can you choose the composition of a basketball team ( $k = 5$  players) where each player is one of  $n = 3$  types: perimeterShooter, blocker, ballHandler.

- A)  $\binom{3}{5}$
- B)  $\binom{3}{5}$
- C)  $\binom{5}{3}$
- D)  $\binom{3}{3}$
- E)  $\binom{2}{5}$

	order matters	order irrelevant
repetition forbidden	$\frac{n!}{(n-k)!}$	$\binom{n}{k}$
repetition allowed	$n^k$	$\binom{n+k-1}{k}$

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## Derivation, a little more formally

Use mapping rule. Represent a choice of  $k$  elements from set of  $n$  candidates as sequence  $\langle x_1, x_2, \dots, x_n \rangle$  where  $x_i$  is the number of times we chose candidate element  $i$ .

Since we choose a total of  $k$  elements, we require:

$$\sum_{i=1}^n x_i = k$$

Bijjective mapping to bit-strings:

$$f(\langle x_1, x_2, \dots, x_n \rangle) = \underbrace{00 \dots 0}_{x_1 \text{ times}} 1 \underbrace{00 \dots 0}_{x_2 \text{ times}} 1 \dots 1 \underbrace{00 \dots 0}_{x_n \text{ times}}$$

(Bit string is always length  $n + k - 1$  because there are  $n - 1$  ones and the total number of zeros must add up to  $k$ .)

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## Poll: ways to sum

How many different solutions are there to the equation  $a + b + c = 8$  where  $a, b, c$  must be non-negative integers? (You have to do some calculations but with a little bit of algebra, you can do this by hand or with a basic calculator.)

- A) 45
- B) 56
- C) 120
- D) 165

	order matters	order irrelevant
repetition forbidden	$\frac{n!}{(n-k)!}$	$\binom{n}{k}$
repetition allowed	$n^k$	$\binom{n+k-1}{k}$

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## Claim

Somewhere in *your* family tree, you have an ancestor  $B$  whose parents were blood relatives—i.e., the father of  $B$  and the mother of  $B$  have a common ancestor  $A$ .

“Somewhere” means sometime in last 4,000 years.

We will prove this using the pigeonhole principle.

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## Pigeonhole principle

## Pigeonhole principle

(Informal) If you have more pigeons than pigeonholes, there is at least one pigeonhole with at least two pigeons.

### Theorem (Pigeonhole principle)

Let  $X$  and  $Y$  be sets such that  $|X| > |Y|$ . Let  $f$  be any function  $f : X \rightarrow Y$ . Then  $f$  is *not* one-to-one.

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## Back to family tree claim

**Claim:** In last 4000 years, there exists an ancestor  $B$  in your family tree such that the father of  $B$  and the mother of  $B$  have a common ancestor  $A$ .

**Proof:** Proof makes a few (reasonable) assumptions.

- Everyone has a biological mother and father.
- No one lives to be more than 100
- At most 1 trillion people have ever lived.

Sketch of proof: 40 generations. All lived within last 4000 years.

At least  $2^{40}$  distinct ancestor *roles*.  $2^{40} >$  trillion.

Pigeonhole principle: more roles than people means someone played two roles!!

Call this person  $A$ . There must be two distinct paths from  $A$  to you.

Eventually paths meet at some  $B$ .

Adapted from Kleinberg, <https://www.edge.org/response-detail/11007>

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## Poll: pigeons prefer matching socks

Suppose among your roommates, their earliest class is a 1:20 and they do not *not* like being disturbed when you rise in the wee hours to make it on time to COSC 290. Thus, you dress in the dark.

Suppose you have 4 different colors of socks, **black**, gray, **blue**, and **red**. You want a matching pair, but you can't see anything in the dark. How many socks should you take to ensure you have a matching pair?

- A) 2
- B) 3
- C) 4
- D) 5
- E) 6

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