

# 182 Week 1 Discussion Notes

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This week we will talk about Big-O notation and discuss some variants on the stable marriage problem.

## Big-O notation

**Warmup.** Recall that given functions  $f, g: \mathbb{R} \rightarrow \mathbb{R}$ , we say that  $f(x) \in O(g(x))$  if there exist a scalar  $M > 0$  and a point  $x_0 \in \mathbb{R}$  such that  $|f(x)| \leq M|g(x)|$  for all  $x \geq x_0$ . Given the picture on the blackboard, discuss whether  $f(x) \in O(g(x))$  and  $g(x) \in O(f(x))$ .

**Intuition.** Big-O notation captures the idea of one function being asymptotically bigger than the other: roughly speaking,  $f(x) \in O(g(x))$  means that  $g(x)$  is grows at least as fast as  $f(x)$  for large  $x$ .

In most cases, we can use calculus to express this idea:

**Proposition.** If the limit  $\lim_{x \rightarrow \infty} f(x)/g(x)$  exists, then it is finite if and only if  $f(x) \in O(g(x))$ .

**Exercise.** Use calculus (or intuition) to order the following functions in such a way that each is  $O$  of the next:

$$1, \quad \log n, \quad n, \quad n \log n, \quad \sqrt{n}, \quad n^2 \log n, \quad n^2, \quad n!, \quad n^n, \quad e^n.$$

To get used to the definition of Big-O, let us prove some basic properties.

## Basic Properties.

- (i) If  $f, g \in O(h)$ , then  $f + g \in O(h)$ .
- (ii) If  $f \in O(h)$  and  $g \in O(j)$ , then  $f \cdot g \in O(h \cdot j)$ .

*Proof.* For (i), let  $M_f > 0$  and  $x_f \in \mathbb{R}$  be such that  $|f(x)| \leq M_f|h(x)|$  whenever  $x \geq x_f$ , and let  $M_g > 0$  and  $x_g \in \mathbb{R}$  be such that  $|g(x)| \leq M_g|h(x)|$  whenever  $x \geq x_g$ . Then

$$|(f + g)(x)| \leq |f(x)| + |g(x)| \leq M_f|h(x)| + M_g|h(x)| \leq \max\{M_f, M_g\}|h(x)|$$

whenever  $x \geq \max\{x_f, x_g\}$ .

For (ii), choose  $M_f, x_f, M_g, x_g$  as usual, and observe that

$$|(f \cdot g)(x)| = |f(x)||g(x)| \leq M_f |h(x)| M_g |j(x)| = M_f M_g |(h \cdot j)(x)|$$

whenever  $x \geq \max\{x_f, x_g\}$ .  $\square$

Let us sketch some nontrivial examples, which will be helpful for Problem 2 on HW 1.

**Example.** If  $f, g: \mathbb{R}_{>0} \rightarrow \mathbb{R}$  and  $f \in O(g)$ , then  $f^a \in O(g^a)$  for any  $a > 0$ . Indeed, suppose  $f \in O(g)$ , and let  $M > 0$  and  $x_0 \in \mathbb{R}_{>0}$  be such that  $|f(x)| \leq M|g(x)|$  whenever  $x \geq x_0$ . Since  $x^a$  is defined as  $e^{a \ln x}$ , it is straightforward to see that

$$|(f^a)(x)| = |f(x)|^a \leq M^a |g(x)|^a$$

whenever  $x \geq x_0$ .

**Example.** Let us show that  $\log(n!) \in O(n \log n)$  and  $n \log n \in O(\log(n!))$  or, in other words, that  $\log(n!) \in \Theta(n \log n)$ . Write

$$\log(n!) = \sum_{i=1}^n \log(i).$$

It is easy to bound this from above by  $n \log n$ , which shows that  $\log(n!) \in O(n \log n)$ , so let us sketch out why  $n \log n \in O(\log(n!))$ . Consider what happens when we throw out the first half of the sum:

$$\sum_{i=1}^n \log(i) \geq \sum_{i=\lfloor \frac{n}{2} \rfloor}^n \log(i) \geq \frac{n}{2} \log\left(\frac{n}{2}\right).$$

This shows  $(n/2) \log(n/2) \in O(\log(n!))$ , and using basic properties we can deduce our desired result.

**Example.** Here is an example of proving the negation. We will show that  $x^x \notin O(x^k)$  for any positive integer  $k$ . Let  $M > 0$  be a scalar and  $x_0 \in \mathbb{R}$  be a point. Pick an integer  $n$  such that  $n > M$  and  $n > k + 1$ . Then

$$n^n > n^{k+1} = n \cdot n^k > M n^k.$$

Thus  $x^x \notin O(x^k)$ .

## Stable marriage

**Recall.** Recall that given an equal number of men and women and their preference list of the people of the opposite gender, the stable marriage problem seeks a stable perfect matching. A perfect matching means that every person is married to exactly one person of the opposite gender, and stable means that there does not exist two couples  $(m, w)$  and  $(m', w')$  such that  $m$  and  $w'$  would prefer to be married to each other (*i.e.*  $m$  prefers  $w'$  over  $w$  and  $w'$  prefers  $m$  over  $m'$ ). The Gale-Shapely algorithm finds such a stable perfect matching in  $O(n^2)$  time.

Let us discuss the Peripatetic Shipping Lines problem from homework.

**Problem.** There are an equal number of ships and ports, and on each day a ship is scheduled to either be at a port or at sea. The ships visit each port exactly once, and no two ships visit a port on the same day. Now, the company needs each ship to dock at a port indefinitely for repairs. Can this be done?

**Example.** For example, the schedules may look like this:

Day:	1	2	3	4	5	6	7
$S_1$ :	$P_1$			$P_3$		$P_2$	
$S_2$ :			$P_1$		$P_2$		$P_3$
$S_3$ :	$P_2$	$P_1$	$P_3$				

In this case, we can simply dock  $S_1, S_2, S_3$  at  $P_2, P_3, P_1$ , respectively.

Here are some naive  $O(n)$  (or  $O(n \log n)$ ) algorithms that do not work. Observe that from the point of view of each port, there is a unique final ship that visits that port.

- Dock the ship at that port. This fails because  $S_2$  is the final ship for both ports  $P_1$  and  $P_3$ .
- Of the ships that have not yet been assigned to dock at a port, dock the final one at that port. This fails because  $P_3$  could be assigned  $S_2$  and then  $P_2$  could be assigned  $S_1$ , but now  $P_1$  would be assigned  $S_3$ , which blocks  $S_2$  from reaching  $P_1$ .
- Each day, if a ship is at a port and it is the last ship of that port, dock the ship there. This fails because then  $P_3$  would be assigned  $S_3$ , and this would block  $S_1$  from reaching  $P_3$ .

**Idea.** To solve the problem, we rephrase this in terms of a stable marriage. A match corresponds to a docking, and a perfect match means that the ships and docks are paired up exactly. There are some obvious possible choices of preference lists: the preference list for each ship can consist of the ports it visits in chronological or reverse-chronological order, and the preference list for each port can consist of the ships that visit it in chronological or reverse-chronological order. To decide which order they should be in, note that the only issue is the potential of a blocked port: we want to avoid the scenario of two dockings  $(S, P)$  and  $(S', P')$  where  $S$  needs to visit  $P'$  before docking at  $P$  but where  $P'$  was assigned to dock  $S'$  before  $S$  was scheduled to visit  $P'$ . You can now carefully decide how the preference lists should be constructed, and we will do this during discussion section.