

# MULTI-HOP, HIGH-FREQUENCY RADIO PROPAGATION NEAR JAPAN

Team 93463

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To whom it may concern,

When reading our solution, we hope that you are can read it using Adobe Acrobat Reader. This is because we have .gif files that can only be played with that particular PDF reader. Please visit <https://get.adobe.com/reader/> to download it if necessary. We are trying to put our best foot forward and we would appreciate if you receive our work as intended. We believe it is worth it. Thank you.

Respectfully,

Team 93463

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## 1 Motivation

Quickly after its invention in the late 19th century, radio was adopted worldwide as the main form of communication for long distances near instantaneously.<sup>[1]</sup> When utilized correctly, the radio has accomplished remarkable things. Radios have inspired generations of scientists and tinkerers; they have allowed world-leaders to communicate with their people, soldiers to their brothers-in-arms,<sup>1</sup> they have allowed music to spread across cultures, and they have allowed Alex Jones spout gibberish to conspiracy theorists across the United States.<sup>2</sup>

However, the often the catastrophic failures of radio have been a result of not understanding the science behind them. For example, a poor understanding of the Titanic's radio contributed to the deaths of 1,514 passengers and crew from April 14–15, 1912.<sup>[2]</sup> While much—if not most—of the engineering difficulties of radio have been overcome, some of the fundamental science behind it has not, e.g. ground-wave propagation.<sup>[5]</sup> To avoid other horrendous accidents at sea, such as the Titanic, it's important to have an accurate model of how radio-waves propagate through the atmosphere to determine the maximum distance a signal can travel at sea.

Our task, if we choose to accept it,<sup>3</sup> is to solve the following three problems:

**Problem 1** *The first problem we are trying to solve is to determine how many times a HF radio-wave can be reflected off of the ocean. The first reflection of the signal is off of a turbulent ocean. We must characterize how the signal strength changes after this first reflection. After the first reflection, we are looking to characterize how the signal changes as it reflects off of calm oceans before falling below a signal to noise ratio (SNR) of 10 dB.*

**Problem 2** *This is the same problem as Problem 1, but instead of the ocean, we have rugged and smooth terrain.*

**Problem 3** *Lastly, we would like to see how a boat traveling across the ocean using HF radio-waves to communicate and how our model changes to accommodate a receiver in motion on a turbulent ocean.*

## 2 Introduction

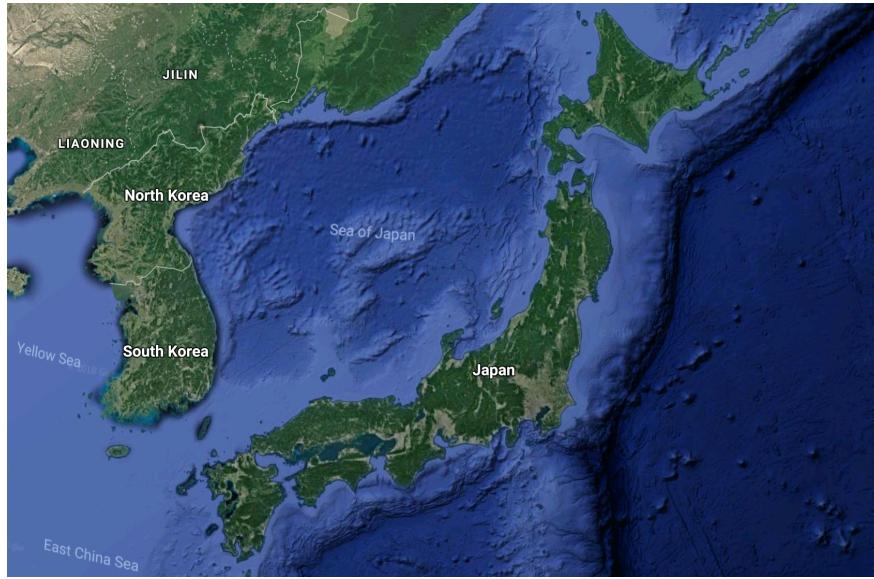
High frequency radio-waves (defined to be between 3–30 MHz) can travel through the atmosphere—by one mechanism—by multiple reflections off of the Earth's ionosphere and the its surface. For frequencies below the maximum usable frequency (MUF), they will skip from the surface of the earth to the ionosphere and back again indefinitely until the signal dies down and becomes unusable. If the frequencies are larger than the MUF, then they pass through the ionosphere and are lost to space forever. The MUF varies with the season, time of day, and solar conditions.<sup>[3]</sup> In our model, we will neglect solar conditions for simplicity.

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<sup>1</sup>Yes, we know. This terminology is a little dated.

<sup>2</sup>Enjoy. <https://youtu.be/aCiVsJ3b160>

<sup>3</sup>Cue the *Mission Impossible* theme song: Dun Dun Dun da dada Dun dun dun da dada dun dun da dada, de da doo de da doo de da doo do dut.



**Figure 1:** The region we are studying of choice. Japan was chosen due to it being an island surrounded by ocean water and it is a very mountainous and rugged country. Image taken from Google Maps.

Empirically, radio-waves reflect off of the ocean differently depending on whether the ocean is turbulent or calm, impacting the distance the signal can faithfully.<sup>[3]</sup> Not surprisingly, radio-waves will reflect off rugged terrain differently than smooth, making it important to model how these radio-waves travel over mountains as well.

With this in mind, we decided to focus on a region of with a radius of roughly 550 km from Tokyo, Japan (Fig.1). We chose this location because there is a large quantity of reliable data taken in Japan, it is an island country, and is “mostly rugged and mountainous.”<sup>[8]</sup> In essence, it is an ideal location to accurately study high-frequency (HF) radio-waves and their interactions with ionosphere and ocean.

### 3 Model

To have an accurate model, there are three main components of this model that need to be described. Primarily, we need to be able to describe HF radio-waves and how they change with reflections and gather inherent noise gathered as it travels through a medium. Because our signal reflects off of two different interfaces as it travels—the ocean and the ionosphere—it is important to model each with accurate physical properties. We would like to see how they change with time of day and time of year.

**Figure 2:** Click image to see animation. (You need to use Adobe Acrobat Reader to view this .gif file. If you do not have it, please visit <https://get.adobe.com/reader/> to download it. It is worth it.)

### 3.1 Radio-waves

#### 3.1.1 Noise

As radio-waves propagate over a certain distance, we expect them to pick up some noise as they go, degrading the integrity of the information they are trying to transmit. If they didn't, you could presumably use a \$5 hand-held radio to communicate with police officers in Australia.<sup>4</sup> A reasonable question becomes, how should we try and model this.

The Central Limit Theorem states that when using independent, random variables<sup>5</sup> are added together, their properly normalized sums tend toward a normal distribution.<sup>[10]</sup> So as the radio-wave travels, we add this Gaussian noise to the signal to degrade it as its path length increases. It turns out that this is used quite often in Information Theory, and is called Additive White Gaussian Noise (AWGN).<sup>[17,13]</sup>

### 3.2 The Ionosphere

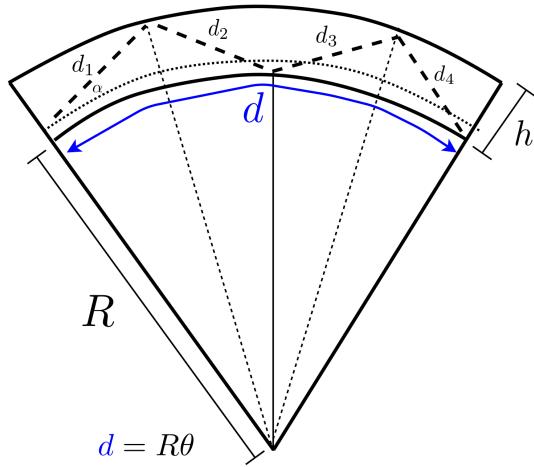
The ionosphere consists of roughly three layers that lie between 75–1000 km above the Earth's surface: (1) the F-region, (2) the E-region, and (3) the D-region; each of these regions has charge-density dependent on the time of year, the number of sunspots present, the time of day, and the movement of the charged particles<sup>6</sup>(Figure 4a). X-rays, ultraviolet

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<sup>4</sup>It doesn't. One of the authors tried as a child.

<sup>5</sup>We are assuming this in our model.

<sup>6</sup>The study of which has the impressive sounding name of magnetohydrodynamics.



**Figure 3:** A side diagram of how the HF signals travel through the atmosphere.

light, ejected plasma, and other high energy particles that are released by the sun interact with the atoms in the atmosphere and strip them of electrons.<sup>[1]</sup> The ionosphere interacts heavily with radio waves, mainly through the interaction of these free electrons.<sup>[5]</sup>

Early experiments demonstrated that the electrons in the ionosphere are arranged in approximately horizontal layers, meaning that the number density is a function of height above the Earth's surface. Presumably, these cations are atoms or molecules stripped of an electron. Because the ratio between the mass of a proton (the smallest positive charge we could have in the atmosphere) and an electron is on the order of  $2 \times 10^3$ , we would expect there must be approximately  $5 \times 10^{-4}$  more cations than electrons.<sup>7</sup> If this were the case, the ionosphere would be unstable, due to the large repulsive forces of this unbalanced positive charge. However, due to the ionosphere's empirically determined and stable layers, the ionosphere must be nearly electrically neutral, i.e. there must be an equal number of positive and negative charges per unit volume.<sup>[5]</sup> Consequently, we assume that the radio-waves only interact with the free electrons in the ionosphere.

As stated above, at a first approximation, we expect that the electron density,  $N$ , is only a function of the height above the earth's surface height,  $z$ . More compactly,  $N = N(z)$ .

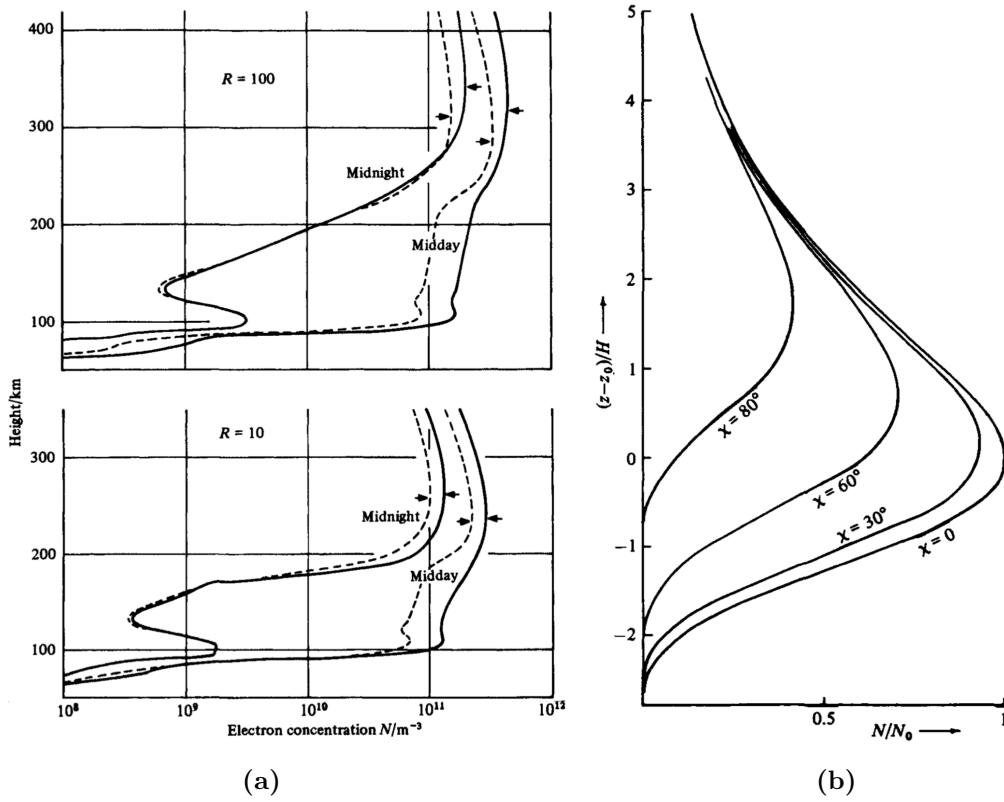
### 3.2.1 Electron Density, $N(z)$

Before we can calculate how radio-waves are reflected off of the ionosphere, we must model the ionosphere's electron density. In the early 1930's, a simple model for the electron density as a function was produced called the Chapman Law.<sup>[6,7]</sup>

If we assume the Earth is constant in composition and temperature (as well as neglect

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<sup>7</sup>This is because the electric field of the propagating radio-waves will have  $5 \times 10^{-4}$  of the effect on a proton than an electron because its acceleration  $\mathbf{a} \propto 1/m$ .



**Figure 4:** (a) The charge density as a function of height in the ionosphere. It also shows how the ionosphere changes at midnight and noon. Dashed lines are for January while solid lines are for June. Also given is the number of sunspots,  $R$ , at the time of data collection. Figure is taken from K.G. Budden (1961).<sup>[4]</sup> (b) Contour plot of the Chapman Law for various azimuthal angles of the incident sun. Figure taken from K.G. Budden (1988).<sup>[4]</sup>

the curvature of the earth<sup>8</sup>), then the density of the atmosphere,  $\rho = \rho(z)$ , is given by

$$\rho(z) = \rho_0 e^{-Mgz/RT} = \rho_0 e^{-z/\kappa} \quad (3.1)$$

where  $\kappa \equiv RT/Mg$ ,  $\rho_0 \equiv \rho(0)$ ,  $M$  is the average molecular weight,  $g$  is the gravitational acceleration (assumed constant),  $R$  is the universal gas constant,<sup>9</sup> and  $T$  is the temperature. The result of (3.1) comes by balancing the forces acting on infinitesimal layers of atmosphere that, on a whole, is taken to be static and solving the barometric equation.<sup>[16]</sup>

Next, we must find the intensity of the sun that passes through the earth's atmosphere. The intensity of the sun—not surprisingly—decreases as it goes through the atmosphere.<sup>[5]</sup> Since, the sun enters at an angle from the zenith,  $\alpha$ . By drawing a simple diagram,<sup>10</sup> we can

<sup>8</sup>See <https://www.tfes.org/> for more information.

<sup>9</sup>Since all of us are “physicists,” we feel the need to note the definition of  $R$ :  $R \equiv k_B N_A$  where  $k_B$  is Boltzmann’s constant and  $N_A$  is Avogadro’s number.

<sup>10</sup>Remember, we have a flat Earth; this is a subtle but important point in this derivation.

see that the area normal to the incident radiation of the sun will be a factor of secant too large than the area that the ground actually receives, i.e.  $dA = dA_{\perp} \cos \alpha$ . Since intensity is inversely proportional to  $dA$ , we will have the relationship of

$$dI = I\sigma\rho \sec(\alpha) dz. \quad (3.2)$$

where  $\sigma$  is a mass coefficient of absorption of the atmosphere. If we plug (3.1) into (3.2) and integrate, we get

$$I(z) = I_0 \exp \left[ -\sigma\kappa\rho_0 \sec(\alpha) e^{-z/\kappa} \right] = I_0 \exp \left[ -\sec(\alpha) e^{-(z-z_0)/\kappa} \right] \quad (3.3)$$

if we (cleverly) define  $z_0 \equiv \kappa \ln(\sigma\kappa\rho_0)$ . The rate of absorption of energy at height  $z$  is  $\cos(\alpha)(dI/dz)$ . If we assume that the rate of production of electrons,  $q$ , is proportional to this, then we get

$$q(z) = q_0 \exp \left[ 1 - \frac{z-z_0}{\kappa} - \sec(\alpha) e^{-(z-z_0)/\kappa} \right]. \quad (3.4)$$

Empirically—at least in the D and E-layers of the ionosphere<sup>11</sup>—the variation of charge density is given by<sup>[5]</sup>

$$\frac{dN}{dt} = q - aN^2 \quad (3.5)$$

where  $a$  is a “recombination constant.” In the steady-state solution, where  $dN/dt \approx 0$ , we have  $N = \sqrt{q/a}$  or

$$N(z) = N_0 \exp \left[ \frac{1}{2} \left( 1 - \frac{z-z_0}{\kappa} - \sec(\alpha) e^{-(z-z_0)/\kappa} \right) \right] \quad (3.6)$$

where  $N_0$  is a constant we can gather from experimental data. Quite simply, (3.6) is the Chapman Law.<sup>[7,6,5,4]</sup>

### 3.2.2 A Modified Chapman Law, $\bar{N}(z, t)$

Unfortunately, the Chapman Law is a rather simple model. It is not time dependent. It is also almost entirely qualitative due to the  $\sigma$  constant that is highly dependent on what chemical species are in the atmosphere and the energy of incident light. However, it provides a good starting place to begin modifying for more accurate and simulations.

Clearly, Fig.4a demonstrates that the electron density of the ionosphere changes as a function season and time of day. In order to make the Chapman Law model of the time dependent, we can add a time dependent factor out front. To put another way,  $\bar{N}(z, t) = T(t)N(z)$ . A simple approximation is to make  $T(t)$  linearly dependent on time. If we let

$$T(t) = 1 + d(t) + s(t) \quad (3.7)$$

where  $d(t)$  is the ionosphere dependence on the time of day and  $s(t)$  is the dependence on the season. From Fig.4a we restrict the range of  $d(t)$  and  $s(t)$ , where  $d(t) \in [0, 1]$  and  $s(t) \in [0, 4]$ ,

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<sup>11</sup>In the F-layer, this rate law may be equal to  $dN/dt = q - bN$ , but for the sake of simplicity we do not treat it as such.

respectively. These range restrictions were chosen as Fig.4a demonstrates that seasonal dependence is more important than the time of day by roughly a factor of four. In our model, we input a sawtooth function for both  $s(t)$  and  $d(t)$  with each function's respective range. At midday,  $s(t_{noon}) = 1$  and at midnight  $s(t_{mid}) = 0$ . Similarly,  $d(t_{jun}) = 4$  while  $d(t_{dec}) = 0$ .

In all, the electron-density as a function of height and time where  $\bar{N}(t, z)$  is given by

$$\begin{aligned}\bar{N}(z, t) &= T(t)N(z) \\ &= N_0(1 + d(t) + s(t)) \exp\left[\frac{1}{2}\left(1 - \frac{z - z_0}{\kappa} - \sec(\alpha)e^{-(z-z_0)/\kappa}\right)\right]\end{aligned}\quad (3.8)$$

which is a simple, analytic model for the ionosphere that is used in our model.

### 3.2.3 The Index of Refraction of the Ionosphere

To understand how radio-waves will interact with the ionosphere, we must find an expression for the refractive index of light through the plasma. For simplicity, we are going to consider the ionosphere to be made of electrons that do not collide with one another and is isotropic throughout. When we do this, we can derive a relatively simple expression for the index of refraction of light through the medium.

Simply put, the index of refraction relates how fast an electromagnetic wave is able to pass through a medium.<sup>[12,18]</sup> We denote the index of refraction of a medium with the letter  $n$ ; if  $n = 1$  then the ray of light is moving at one of Nature's fundamental constants,  $c$ .

To derive an expression for  $n$  in an isotropic medium without electron collisions, we look at Maxwell's equations. In any upper-level textbook on Classical Electrodynamics, we have (from Faraday's Law of Induction)

$$\nabla \times \mathbf{E} = -ik\mathcal{H} \quad (3.9a)$$

$$\nabla \times \mathcal{H} = \frac{ik}{\epsilon_0} \mathbf{D} \quad (3.9b)$$

where  $k$  is the wavenumber defined as  $k = 2\pi/\lambda$  (where  $\lambda$  is the wavelength of light),  $\mathcal{H} = Z_0\mathbf{B}$  (where  $Z_0 \equiv \mu_0/\epsilon_0$  is the characteristic impedance of free space and  $\mathbf{B}$  is the external magnetic field<sup>[12]</sup>), and  $\mu_0$  and  $\epsilon_0$  are the permeability and permitivity of free space, respectively.<sup>[12,9]</sup>

If we are describing a progressive plane wave,<sup>[13]</sup> we have

$$\frac{\partial}{\partial x} = \frac{\partial}{\partial y} = 0, \quad \frac{\partial}{\partial z} = -ikn \quad (3.10)$$

<sup>[12]</sup>In this case, the Earth's. Near Japan, this value is  $|\mathbf{B}| \approx 47\text{pT}$ .<sup>[15]</sup>

<sup>[13]</sup>This simply means that there is no  $x$  or  $y$ -dependence when the waves are traveling in the  $z$ -direction (for Cartesian coordinates). Since we haven't chosen any coordinate system, we are free to choose any direction that  $z$  points in.

which when combined with equations (3.9) we find

$$\mathcal{H}_x = -nE_y \quad (3.11a)$$

$$\mathcal{H}_y = nE_x \quad (3.11b)$$

$$\mathcal{H}_z = 0 \quad (3.11c)$$

Neglecting any damping, Newton's second law can relate the electric polarization,  $\mathbf{P} = N\mathbf{e}$  and electric field via

$$\frac{Ne}{m}\mathbf{E}\mathbf{e} = \frac{\partial^2 \mathbf{P}}{\partial t^2} \Rightarrow \mathbf{P} = -\frac{Ne^2}{m\omega^2} \mathbf{E} \quad (3.12)$$

where we utilized the fact that the electric field varies with a phase factor, implying that  $\partial^2/\partial t^2 = -\omega^2$ .<sup>[5,4]</sup>

Using (3.12), defining  $X \equiv Ne^2/\epsilon_0 m \omega^2$ , and enforcing our plane-wave relations of (3.10) we get

$$n\mathcal{H}_y = E_x(1 - X) \quad (3.13a)$$

$$-n\mathcal{H}_x = E_y(1 - X) \quad (3.13b)$$

$$0 = E_z \quad (3.13c)$$

which, when utilizing equations (3.11), we can find the refractive index as

$$n^2 = 1 - X = 1 - \frac{Ne^2}{\epsilon_0 m \omega^2} \quad (3.14)$$

which is independent in of the direction that the radio-wave travels, but is dependent on its frequency and some fundamental constants.<sup>14</sup>

### 3.3 The Ocean

#### 3.3.1 Data Collection

To have the most accurate physical model of the ocean near Japan, we decided to take data from a weather station and fit a function to it. We took the data from one of the National Oceanic and Atmospheric Administration's (NOAA) Pacific Marine Environmental Laboratory Ocean Climate Stations. Specifically, we took data from Station Number 280401.

Each day, the station recorded the sea surface temperature and salinity multiple times per day. The times at which they took each sample, unfortunately, were not recorded. Because of this, we assumed that the measurements were taken at regular intervals. Using this assumption, we took four averages of the temperature and salinity of the ocean corresponding to times of 2:00 am, 8:00 am, 2:00 pm, and 8:00 pm each day.

It turns out that the refractive index for radio-waves is approximately constant and the

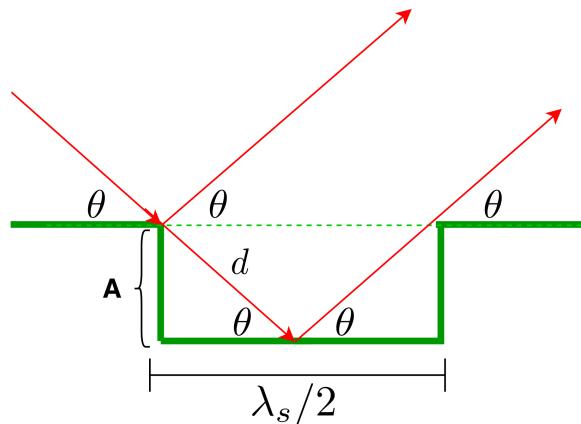
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<sup>14</sup>So long as the radio-wave is purely a transverse wave and has no longitudinal component or the longitudinal component is negligible, this holds.

reflection coefficient is essentially one.<sup>[14]</sup> So there was no temperature or salinity dependence. So that was a huge waste of time on our part.<sup>15</sup>

### 3.3.2 Quasi-Index of Refraction of Turbulent Oceans

We found that ocean waves behave essentially as a mirror with an reflection coefficient of 1, so there is no appreciable index of refraction that is measured.<sup>[14]</sup> However, we expect that for very turbulent waters, that the intensity of incident light will decrease as it gets scattered in various directions. Essentially, turbulent waters create a quasi-index of refraction, which we will call  $m$ .



**Figure 5:** Diagram showing our simple model of an ocean wave with an incident HF signal interacting with the ocean wave.

When a radio-wave (or any electromagnetic wave for that matter) reflect off of surface with a different index of refraction, we can find the reflection coefficient,  $R$ , where  $R$  is defined to be the ratio of intensity of reflected light to the intensity of incident light. The relationship between  $R$  and the two indices of refraction is given by a well known relation<sup>[12,9]</sup>

$$R \equiv \frac{I_r}{I_0} = \left( \frac{n - m}{n + m} \right)^2. \quad (3.15)$$

where  $n$  is the index of refraction of air (in our case) and  $m$  is our quasi-index of refraction for turbulent water. Solving (3.15) for  $m$  we obtain

$$m = n \frac{1 - \sqrt{R}}{1 + \sqrt{R}}. \quad (3.16)$$

Next, we need to determine how much of  $R$  we expect given ocean conditions. If we consider a simple model of ocean waves as a square wave with amplitude  $A$  and a wavelength  $\lambda_s$ . Drawing parallel rays of light with an incident angle  $\theta$ , we can see that the rays of light that travel and reflect off the well of the square wave travel an extra distance of  $2d$  (Fig.5).

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<sup>15</sup>sigh.

This leads to a phase factor where the light from one wave can interfere with another by creating a phase shift between light that hits the crest and light that hits the trough. We will define this phase shift as  $\phi$  where

$$\phi = \frac{2d}{\lambda} = \frac{2}{\lambda} \sqrt{A^2 + (\lambda_s/4)^2}. \quad (3.17)$$

The amplitude difference between the incoming and out-coming light must be proportional to the phase difference between them up to a constant. In other words,  $R \propto |1 + e^{i\phi}|^2 = 4 \cos^2(\phi/2)$ . To find out what the constant of proportionality is between them, we consider a limiting case where we have a single incident photon. At best, we get a perfect reflection. At worst, we have the photon absorbed. Consequently,  $R \in [0, 1]$ . Thus, we have

$$R = \cos^2\left(\frac{\phi}{2}\right). \quad (3.18)$$

By using (3.18) we can calculate  $m$  in (3.16) which is used in the time evolution of our HF signals. It is important to note, however, that taken together (3.18) and (3.16) suggests that  $m \leq 1$ . For physical refractive indices, this does not make sense. However, since we have a “quasi-refractive index,” we are not necessarily bound by the same conditions. Consequently, this is still meaningful in our model.

## 4 Results

#### 4.1 Problem I: A Turbulent Ocean

## 4.2 Problem II: The Japanese Alps

West and southwest of Tokyo, Japan, there is an extensive mountain range called the Japanese Alps. This mountain range is perfect for testing how rugged, mountainous terrain affect the integrity of a signal as compared to smooth terrain or ocean.

### 4.3 Problem III: A Message to a Boat

## 5 Conclusion

## Acknowledgments

We would like to thank our professors for lending us resources and pointing us in the direction of others. Additionally, we would also like to thank the beautiful community of StackExchange for teaching us everything we could ever need to know when we have questions we can't solve ourselves.

We would like to thank our College for financial support allowing us to enter this competition. Without it, we would not have been able to have this much fun. ^\\_(ツ)\_/[^18]

## Appendix: Constants Used in Code

```

#!/usr/bin/python

import math as math

##### Default Parameters #####
##### Temperatures #####
T_tropo = 288. # [Kelvin]
T_strato = 270. # [Kelvin]
T_meso = 183. # [Kelvin]
T_thermo = 1773. # [Kelvin]
T_iono = 350. # [Kelvin]

##### Ionosphere #####
gas_const = 8.3144598 # [Joule Mole^-1 Kelvin^-1]
grav = 9.80665 # [Kilogram Meter^-3]
p0 = 1.225 # [Kilogram Meter^-3]
MW_air = 0.02895 # [Kilogram Mole^-1]
electron_charge = 1.60217662e-19 #[Coulomb]
mag_field = 50e-6 #[Tesla]
epsilon_perm = 8.854187817e-12 #[Farad Meter^-1]
mu_perm = 4*(math.pi)*10**(-7) # [Henries Meter^-1]
e_mass = 9.10938356e-31 # kg

##### Radio #####
air_dielec_const = 1.000 # [Coulomb^2 Second^2 Meter^-3 Kilogram^-1]
iono_height = 300e3 # [Meter]
TxRx_height = 100. # [Meter]
air_elec_cond = 5e-15 # [Second Coulomb^2 Kilogram^-1 Meter^-3]

#-----#
def get_default():
    return {
        'T_thermo': T_thermo,
        'T_meso': T_meso,
        'T_tropo': T_tropo,
        'T_strato': T_strato,
        'T_iono': T_iono,
        'gas_const': gas_const,
        'grav': grav,
        'p0': p0,
        'MW_air': MW_air,
    }

```

```
'electron_charge': electron_charge,
'e_mass': e_mass,
'epsilon_perm': epsilon_perm,
'mu_perm': mu_perm,
'mag_field': mag_field,
'air_dielec_const': air_dielec_const,
'iono_height': iono_height,
'TxRx_height': TxRx_height,
'air_elec_cond': air_elec_cond
}
#
#-----#
if __name__ == '__main__':
    constants = get_default()
```

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