Project Design Report

Miniature Ultrasound Probe for Direct Visualization in ERCP, 9 December 2024, BMED 4602, Fall 2024

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Executive Summary

Endoscopic Retrograde Cholangiopancreatography (ERCP) is a vital procedure performed more than 600,000 times annually in the United States to diagnose and treat biliary and pancreatic duct conditions [1]. Despite its clinical importance, current duodenoscopes have significant limitations in providing adequate visualization during selective cannulation. These limitations contribute to complications such as pancreatitis, infection, bleeding, and swelling of the papilla, which can lead to failed cannulation and necessitate repeat procedures. Such outcomes increase patient morbidity, extend procedural times, and add to healthcare costs [2].

The ER-SEE-P device addresses these challenges by providing gastroenterologists with direct visualization of the duodenal papilla through the integration of forward-viewing ultrasound technology. This innovative device enables precise guidewire placement while reducing the risks of tissue trauma and minimizing reliance on fluoroscopy. The ultrasound imaging system delivers high-resolution visuals with a depth penetration of at least 1.5 cm, ensuring anatomical clarity. The device is constructed from biocompatible, low-friction materials to minimize tissue abrasions and is designed to integrate seamlessly with standard workflows.

The primary users of the ER-SEE-P are gastroenterologists performing ERCP procedures, supported by surgical assistants and healthcare staff responsible for device setup and operation. Healthcare institutions serve as the primary clients, as the device offers a cost-effective means to improve procedural outcomes, reduce complications, and enhance overall safety for both patients and clinicians. By addressing these critical needs, the ER-SEE-P positions itself as a transformative tool that aligns with the growing demand for safer and more effective gastrointestinal endoscopic devices.

Project Description

The ER-SEE-P device addresses the significant clinical challenges faced by gastroenterologists that perform endoscopic retrograde cholangiopancreatography (ERCP). Current side-viewing duodenoscopes provide limited visualization of the duodenal papilla, resulting in complications such as tissue trauma, pancreatitis, infection, and swelling. These issues often lead to failed cannulation, necessitating repeat procedures, which further increase patient risks and healthcare costs [2].

Designed to overcome these limitations, the ER-SEE-P integrates advanced forward-viewing ultrasound technology, enabling real-time imaging of the papilla and improving guidewire placement accuracy. This approach reduces tissue trauma and enhances both procedural efficiency and safety [1].

Financially, the device addresses a substantial market opportunity. With over 600,000 annual ERCP procedures in the United States and a device price of \$1,500, the serviceable addressable market (SAM) is estimated at \$900 million. The total addressable market (TAM) for gastrointestinal endoscopic devices globally is approximately \$30 billion [2]. These figures highlight the potential of the ER-SEE-P to meet unmet clinical needs while remaining commercially viable. Existing solutions rely on side-view duodenoscopes, which often fail to provide adequate visualization. By offering high-resolution imaging with a depth penetration of at least 1.5 cm, the ER-SEE-P enables gastroenterologists to perform procedures with greater precision while reducing complications. This innovation positions the device as a breakthrough in endoscopic technology, poised to significantly improve patient outcomes and procedural efficiency [2].

Design Research and Investigation Methodologies

The research phase for the ER-SEE-P device involved a comprehensive exploration of the clinical, technical, and procedural aspects of Endoscopic Retrograde Cholangiopancreatography (ERCP). This included an in-depth study of the procedure through literature reviews and instructional videos, which provided detailed insights into each step, such as guidewire placement and cannulation, and allowed for analysis of technique variations and physiological considerations. Additionally, devices currently used in ERCP were examined through the websites of leading companies, including Boston Scientific and Olympus. Specification sheets for relevant devices, such as intravascular ultrasound (IVUS) systems, were analyzed to understand the integration of small-scale ultrasound technology, informing the engineering parameters of the ER-SEE-P to ensure compatibility with existing workflows while incorporating forward-viewing ultrasound capabilities.

Interviews with gastroenterologists and fellows in the Atlanta area, including those from Emory University, were conducted to identify clinical needs and validate the device concept. These discussions highlighted challenges with current tools, particularly in visualizing the papilla, and associated risks such as pancreatitis and failed cannulation, underscoring the need for enhanced visualization tools.

A patent search was conducted using Google Scholar to identify prior art related to endoscopic and ultrasound-assisted devices. Relevant patents analyzed included US Patent 9380996B2, focusing on endoscopic imaging technologies, and US Patent 11992365B2, addressing endoscopic ultrasound applications. These patents were reviewed and deemed not to restrict the freedom to operate, confirming the novelty of the ER-SEE-P.

Guidance from the project's advisor, Dr. Sappati, further refined the conceptual framework of the ER-SEE-P. Dr. Sappati introduced the idea of forward-viewing ultrasound to improve visualization during ERCP, which became the foundation for the device, shaping its objectives to address critical clinical limitations. To refine the engineering design, an ultrasound researcher with expertise in guidewire imaging, Dr. Brooks Lindsey, provided recommendations for simulating imaging data using the Field II program, optimizing parameters such as imaging depth, resolution, and signal-to-noise ratios. Throughout the project, a multidisciplinary approach

was adopted, incorporating input from clinicians, biomedical engineers, and ultrasound researchers, ensuring that the final design was both technically feasible and clinically impactful.

Design Pivots and Updated Design Inputs Table

The initial design positioned the ultrasound probe inside the sphincterotome tip with a funnel to guide the wire. However, after receiving a sphincterotome from Dr. Sappati and noting the space occupied by the guidewire, the team revised the design to place the probe externally on the sphincterotome, eliminating the funnel and improving compatibility. As shown in Table 1, Dr. Sappati advised that the combined outer diameter of the sphincterotome and probe should not exceed the 4.2 mm inner diameter of the duodenoscope's working channel, rather than the previously assumed 2.3 mm [3]. Additionally, the probe length was constrained to the sphincterotome's 5 mm tip, with a $\pm 1\%$ tolerance [10].

Switching to an external probe required new functional considerations. Discussions with Dr. Brooks Lindsey confirmed that building a physical probe was unfeasible within the constraints, prompting a focus on simulating the transducer and defining performance parameters. The transducer needed a penetration depth of at least 1 cm, as specified by Dr. Sappati, with a center frequency of 5–20 MHz [5]. Imaging quality required a signal-to-noise ratio (SNR) above 50 dB and contrast-to-noise ratio (CNR) exceeding 30 dB [6, 14]. Axial and lateral resolutions were set at 1–2 mm to differentiate ducts from surrounding tissues [7], with beam widths below 0.3 mm and 2 mm for axial and lateral dimensions, respectively [8, 9].

These refinements ensured compatibility with existing ERCP tools and optimized visualization of bile and pancreatic ducts.

Table 1. Updated Design Inputs Table

Input Description	User Need(s)	Design Input	Metric	Citation
		(Engineering		
		Term)		
1. ENGINEERING				

A. Physical				
I. Dimensional	Fit within existing	Maximum outer	<4.2 mm	<u>3</u>
Characteristics	duodenoscope	diameter		
	channels			
	Compatible with	Minimum inner	≥2.67 Fr	4
	cannulation	diameter		
	guidewire			
	<mark>systems</mark>			
	Probe must fit on	Probe length	≤5.05 mm	<u>10</u>
	tip of			
	sphincterotome			
II. Mechanical	Must prevent	Friction	<u>≤0.04</u>	<u>11</u>
Characteristics	trauma to tissues	coefficient		
	Sphincterotome	Bending radius	15-25 mm	<u>12</u>
	maintains			
	flexibility to			
	navigate anatomy			
III. Functional	Real-time	Imaging depth	≥1.5 cm	<u>13</u>
Characteristics	<mark>ultrasound</mark>	Peak energy	≥1 cm	Dr.
	visualization of	depth		Sappati
	biliary and	Transducer center	5-20 MHz	<u>5</u>
	pancreatic ducts	frequency		
	Must acquire	Signal-to-noise	>50 dB	<u>6</u>
	high-quality	ratio		
	images			
	Ducts are easy to	Contrast-to-noise	>30 dB	<u>14</u>
distinguish fro		ratio		
	surrounding tissue			
		Axial beam width	<0.3 mm	<u>8,9</u>

	Ultrasound beam	Lateral beam	<2 mm	<u>8,9</u>
	must be well-	width		
	focused			
	Must acquire	Axial resolution	1-2 mm	7
	high-resolution	Lateral resolution	1-2 mm	<u>7</u>
	images			
	Must allow	% Resistance	<10%	<u>4</u>
	guidewire	<mark>increase</mark>		
	insertion and			
	retraction			
	Must function in	Internal	36-41 °C	<u>15,16</u>
	the patient's	body/gastrointesti		
	gastrointestinal	nal tract		
	tract	temperature		
IV. Electrical	Electrical source	Voltage	≥ 12V	<u>17</u>
Characteristics	(wall-powered or			
	battery) for			
	ultrasound device			
	Must function for	ERCP duration	≥200	<u>18</u>
	entire procedure		minutes	
B. Chemical /	Material must be	Material must not	Complies	<u>19</u>
Biological	biocompatible	cause	with Section	
		cytotoxicity,	6.1	
		hypersensitivity,	Paragraph 3	
		or irritation	of ISO	
			10993-1	
C. Environmental	Must work in the	Temperature	20-23 °C	<u>20</u>
	endoscopy suite	Relative humidity	30-60%	<u>20</u>

D. Sterility /	Must prevent	Sterile device	Ethylene	<u>21, 22</u>
Sterilization	infection	using Ethylene	oxide (EtO)	
		Oxide (EtO)	product test	
		sterilization	of sterility	
		method	(ToS)	
			conducted to	
			comply with	
			ANSI/AAM	
			I/ISO	
			11135:2014	
E. Packaging	Must ensure	Hermetically	Tamper-	<u>22</u>
	sterility until use	sealed packaging	evident,	
		with sterile	sterile	
		indicators	indicators	
F. Reliability	Must reduce	Number of	5 or fewer	<u>23</u>
	difficulty of	cannulation	attempts	
	accurate	attempts		
	cannulation			
	Must reduce risk	Cannulation time <10.67		<u>23</u>
	of post-ERCP		minutes	
	pancreatitis			
G. Storage / Shelf Life	The device must	Contamination	Colony-	<u>24</u>
	remain free from	Rate	forming unit	
	microbial growth		(CFU)	
	for a sufficient		Threshold	
	time during which			
	the device is			
	stored prior to			
	being used in a			
	procedure			

	Reduce frequency Single-use		Device IFU	<u>24</u>
	of reprocessing	indication	(Indications	
	procedures		for Use)	
2. HUMAN FACTORS/	Must not	Total Weight	≤10-15	Dr.
ERGONOMICS	introduce		grams	Sappati
	complexity in			
	maneuverability			
	No new training	Additional	≤1 day	Dr.
	required to Training Time			Sappati
	maneuver device			
3. LABELING	Clear instructions Sterile indicators		Clear,	<u>22</u>
	for use and	and instructions	legible	
	sterility indicators	for single use	labeling and	
			inclusion of	
			IFU	

This table lists the final design specifications, with critical inputs highlighted in yellow and updates from the original Design Inputs Report shown in red font, reflecting refinements for functionality and compatibility.

Proposed Design

This design prioritizes usability by integrating seamlessly with existing devices. The compact transducer (1.5 mm width, 5 mm length) fits the sphincterotome without obstructing maneuverability or cutting wire functionality. It slides smoothly through standard working channels (4.2–4.8 mm) at a maximum diameter of 3.6 mm, as shown in Figure 2 [25]. The 1.5 cm focus depth aligns with the maximum distance from the sphincterotome tip to duct divergence, improving cannulation accuracy and minimizing patient injury risk [26].

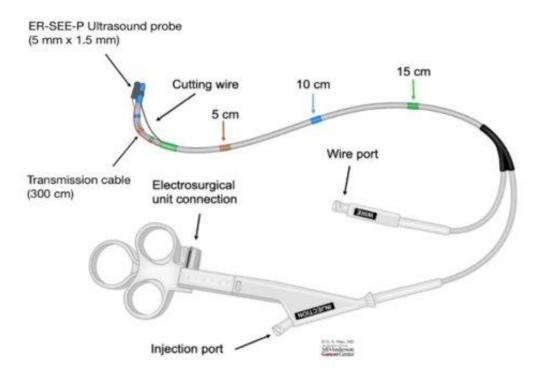


Figure 2. ER-SEE- device fitted onto a Boston scientific sphincterotome, to scale.

Optimization of the ultrasound system used MATLAB-based Field II software, which applies the Rayleigh-Sommerfeld diffraction integral to predict acoustic fields. Using spatial impulse responses, it calculates transducer pressure fields in a homogeneous medium [27]. Field II's beam profiles and pulse-echo simulations, validated against experimental data, provide key

insights into image formation and signal behavior [28]. The full simulation code is in Appendix III.

Frequencies within the range of 8–12 MHz are commonly utilized in diagnostic imaging for superficial and mid-depth structures [5]. The selected center frequency (f_0) of 10 MHz provides an optimal balance between sufficient depth penetration (1–1.5 cm) and high resolution.

The sampling frequency (f_s) of 100 MHz is ten times the center frequency. This oversampling exceeds the Nyquist criterion, ensuring accurate digitization of acoustic signals [29, 30].

A simulated speed of sound (c) of 1540 m/s, a standard value for soft tissues, ensured accurate modeling of wave propagation, depth calibration, consistent phase alignment, and focal zone accuracy [31].

Introducing a matching layer minimized acoustic impedance mismatch, improved energy transmission between the piezoelectric elements and tissue, and improved image clarity [32]. Based on the quarter-wavelength principle, a matching layer thickness of 0.02 mm ensured constructive interference and optimal energy transfer [33]. The material's speed of sound at 2000 m/s was optimal for maintaining compatibility between the piezoelectric elements and soft tissue [34].

A sinusoidal signal matching the 10 MHz center frequency generated a basic impulse response. The calculated delay introduced by the matching layer—based on its thickness and the speed of sound—was incorporated into the simulation by prepending zero values to the impulse response array [35]. A Hann window smoothed the edges of the impulse and reduced spectral artifacts [36].

The excitation signal represents the electrical input applied to the transducer's piezoelectric elements. A sinusoidal signal with a frequency of 10 MHz and an amplitude amplified by a factor of 30 ensured sufficient acoustic energy for imaging at the 15 mm focal depth. Limiting the signal to two cycles balanced spatial resolution with adequate energy generation [37].

Apodization modifies the amplitude of the excitation signal across the transducer elements to suppress side lobes that introduce imaging artifacts and degrade contrast. A Chebyshev window

with a -30 dB side lobe suppression level minimized energy loss outside the main beam while preserving the beam's focus [38].

Selecting the optimal array type for the ultrasound transducer was critical to achieving the desired beam focus, resolution, field of view, and compatibility with the forward-viewing probe [39]. Simulations compared linear, phased, and curved linear arrays. Linear arrays, though effective for shallow, uniform imaging, lacked the depth penetration and focus required for curved and confined regions like the ampulla of Vater and ducts, and their planar geometry was incompatible with the sphincterotome's forward-viewing design. Phased arrays offered deep imaging and beam steering but suffered from poor lateral resolution at shallow depths, limiting their ability to resolve fine features like ductal openings. The curved linear array emerged as the optimal configuration, with its convex element arrangement aligning naturally with the duodenal anatomy, providing a wider field of view, enhanced beam focus, and consistent lateral and axial resolution across the imaging plane [39].

Once the curved linear array was selected, iterative testing refined the radius of curvature. Smaller radii (<15 mm) improved shallow-depth resolution but narrowed the field of view. Larger radii (>25 mm) enhanced depth penetration but reduced resolution [40]. A 20 mm radius was determined to strike the ideal balance.

The kerf, or spacing between transducer elements, was set to 6 μ m to optimize spatial resolution within the transducer's compact dimensions. This size suppresses grating and side lobes, while still being feasible for manufacturing [41]. At the 10 MHz center frequency, the wavelength (λ) was calculated as 154 μ m using the formula $\lambda = \frac{c}{f_0}$, where c is 1540 m/s. The element width needed to be less than half the wavelength ($\frac{\lambda}{2}$), resulting in an initial width of approximately 77 μ m, which ensures effective wave propagation, minimal side lobes, and improved lateral resolution [42]. This final element width was 0.051923 mm, with calculations further explained in the Engineering Analyses, leading to a final design with 26 elements, as seen in Figure 3.

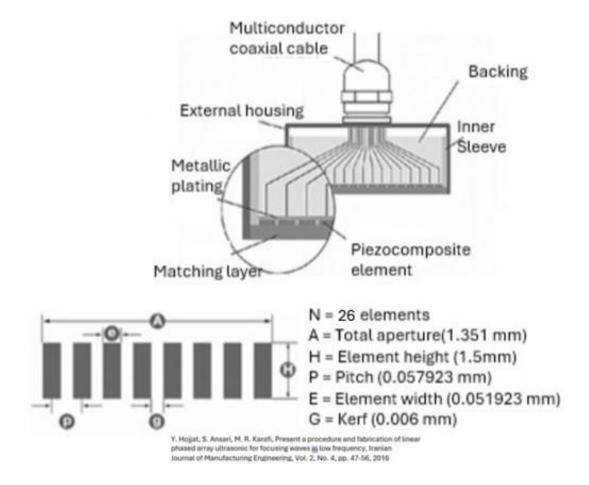


Figure 3. Schematic of array components and dimensions for the ER-SEE-P.

The proposed transducer utilizes high-performance materials used in similar ultrasound systems. The array of efficient and durable piezoelectric elements made from lead zirconate titanate (PZT) costs approximately \$200 [43]. The matching layer, constructed from an epoxy-resin composite with a speed of sound of 2000 m/s, costs around \$50 [44]. Tungsten-filled epoxy backing material, priced at \$80, ensures effective damping [45]. The housing uses biocompatible polyurethane, with an estimated cost of \$30 [46]. Micro-coaxial cables for compact signal transmission, add about \$20 to the total [47].

Prototype

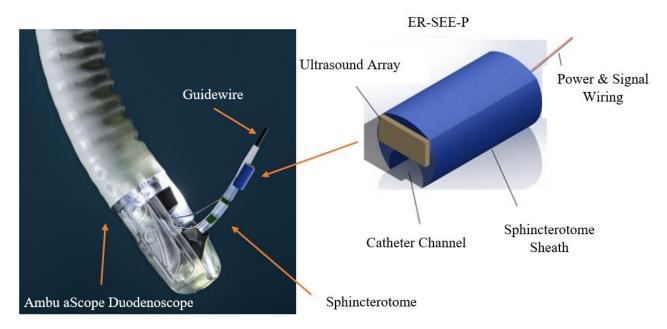


Figure 4. CAD rendering of ER-SEE-P attached to a duodenoscope 48



Figure 5. Physical Prototype and Testing Materials including the ER-SEE-P with an LED (top left), the ER-SEE-P with an Endoscope (top right), a Difficult Papilla Anatomy Model (middle left), and a Duodenum Testing Model (bottom)

Engineering Analysis

The length of the transducer was verified to be 5.01 mm (Figure 6), less than the maximum value of 5.05 mm. Therefore, the transducer length does not extend farther than the non-bending portion of the sphincterotome.



Figure 6. Verification of ER-SEE-P Length

The diameter of the transducer attached to the sphincterotome was verified to be 3.58 mm (Figure 7). This is well within the maximum diameter of all standard ERCP duodenoscope working channels, which range from 4.2 to 4.8mm[49].



Figure 7. Verification of Overall Outer Diameter of ER-SEE-P Probe and Sphincterotome An LED with 30-gauge wire (0.01in) was attached along the length of the sphincterotome and successfully switched on and off (Figure 8). This supports that the target of 18–24-gauge wire

(0.04-0.02 in), larger sized wires and cables, would be feasible to use in a working ultrasound of this size.

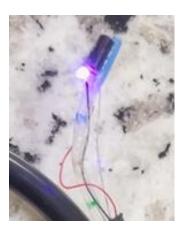


Figure 8. LED with 30-Gauge Wire Verification Test

Validation testing of 6 different papillae anatomies that modeled those of Figure 9 [50] was performed to validate that the device could still maneuver and perform the desired procedure with the ER-SEE-P transducer attached. The device successfully passed all testing requirements and an example video in Appendix II provides a thorough overview of that process.

PAPILLA MORPHOLOGY	Type 1 (Regular)	Type 2 (Small)	Type 3 (Protruding)	Type 4 (Creased/Ridged)
Prevalence	56%	13%	23%	8%
Cannulation Failure	2% (REF)	12% (OR 7.9)*	11% (OR 7.3)*	6% (OR 3.9)
Difficult Cannulation	36%	52%*	48%*	43%
Pancreatitis	7%	20%*	2%	6%

Figure 9. Papilla Morphology [51]

To evaluate the ER-SEE-P's performance in Field II, a phantom was created to simulate anatomical structures, including the ampulla of Vater, duodenal papilla, biliary duct, and pancreatic duct, using scatterers to replicate spatial positioning, size, and reflectivity.

Field II simulations iteratively optimized the transducer's element count for beam steering, dynamic focusing, reduced beam divergence, grating lobe suppression, and improved spatial resolution [52]. Starting with a 77 μ m element width, the number of elements was increased until reaching the smallest manufacturable width of 0.051923 mm, resulting in 26 elements within the 1.5 mm transducer [53].

The phantom modeled acoustic properties: the ampulla of Vater at 15 mm depth with high reflectivity (0.9–1.0), the biliary duct at 16 mm with a 4 mm diameter and reflectivity of 0.75–0.8, and the pancreatic duct at 17 mm with a 2–3 mm diameter and reflectivity of 0.8–0.85 [26].

Simulations propagated acoustic waves into the phantom, capturing reflected signals to extract maximum intensity values across lateral and depth positions. These generated a beam profile matrix used to analyze acoustic energy distribution, resolution, and feature distinction [54].

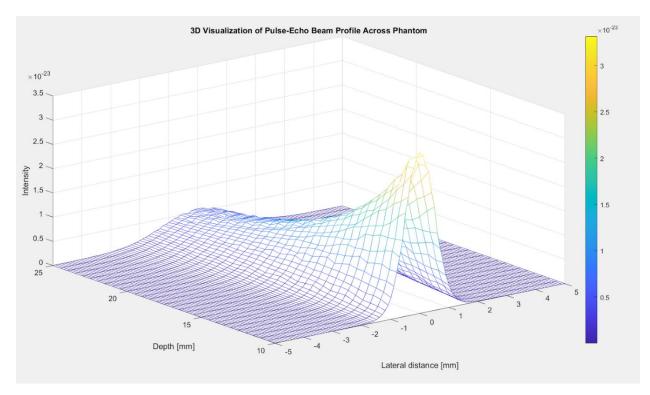


Figure 10. 3D visualization of the beam profile across a tissue-mimicking phantom, demonstrating focused energy distribution at the target depth.

The 3D visualization of the beam profile, shown in Figure 10, illustrates the acoustic intensity distribution across lateral and depth dimensions in the simulated phantom [54]. It highlights the transducer's focused ultrasound energy interacting with the modeled anatomical structures. The energy is concentrated at a depth of 10–15 mm, corresponding to the focal depth. The lateral dimension displays beam spread and alignment with anatomical targets, while the depth axis shows the gradual attenuation of intensity as the waves penetrate the phantom. Bright regions near the focus indicate high-intensity zones, while the attenuation away from the focal point demonstrates the natural decay of ultrasound waves.

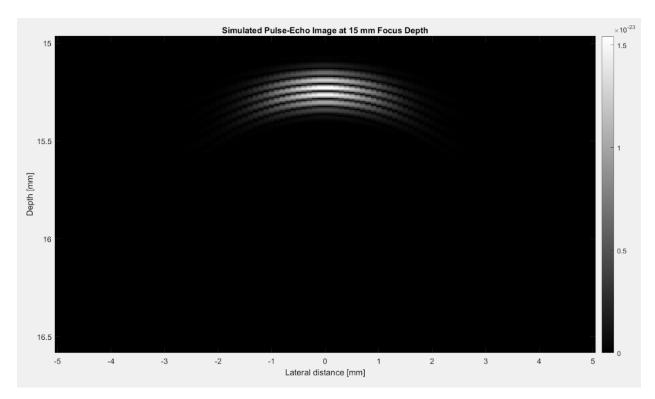


Figure 11. Reconstructed pulse-echo image at the focal depth of 15 mm, highlighting the transducer's ability to resolve critical anatomical structures with clarity.

Figure 11, a 2D grayscale pulse-echo image, provides a focused representation of the reconstructed ultrasound output at the transducer's focal depth. The intensity variations captured in the grayscale reflect the acoustic reflections from the phantom's scatterers, illustrating the system's ability to resolve anatomical structures with clarity and precision. The image serves as a complementary analysis to the 3D beam profile, offering a closer and more detailed examination of the transducer's performance at the focal plane to demonstrate the quality of imaging resolution and the degree of distortion [54]. The distinct intensity bands in the image verify the transducer's capacity to differentiate closely spaced structures.

The performance of an ultrasound transducer was further assessed through key parameters that directly impact image quality and diagnostic reliability [55]These parameters included signal-to-noise ratio (SNR), contrast-to-noise ratio (CNR), lateral and axial beamwidth at the -6 dB level, peak energy depth, and lateral and axial resolution derived from the Point Spread Function (PSF).

To calculate SNR, a region of the beam profile corresponding to the main signal was selected as the signal region. The mean intensity ($mean_{signal}$) and standard deviation (std_{signal}) within this region were computed. Noise was measured from the peripheral areas of the beam profile and its standard deviation (std_{noise}) was calculated. SNR was then determined using the formula: $SNR(dB) = 20 \cdot \log_{10} \left(\frac{mean_{signal}}{std_{noise}}\right)$. In this design, the calculated SNR was 55.261 dB, indicating strong signal clarity and surpassing the clinical threshold of 50 dB required for high-quality imaging [6].

To calculate CNR, a secondary region of interest, defined as the contrast region, was selected to represent an area with a different intensity from the primary signal region. The mean intensity of the contrast region ($mean_{constrast}$) was determined, and the absolute difference between the signal and contrast means was used to quantify contrast. This value was then normalized by the noise standard deviation and converted to decibels: CNR(dB) = 20.

 $\log_{10}\left(\frac{|mean_{signal}-mean_{contrast}|}{std_{noise}}\right)$. The calculated CNR was 38.74 dB, demonstrating the transducer's ability to differentiate between closely spaced or acoustically similar structures and surpassing the clinical threshold of 30 dB required for high-quality imaging [14].

Lateral and axial beam widths were measured at the -6 dB intensity level, corresponding to half the maximum intensity [9]. The analysis identified the beam profile's maximum intensity value (max_value) and its location, establishing the reference point for the -6 dB level, mathematically defined as half the peak amplitude. This standard ensures consistent quantification of beam dimensions relative to the region of highest intensity ($-6 \, dB \, level = \frac{max \, value}{\sqrt{2}}$). The lateral beam profile, depicting intensity distribution across the beam width at the depth of maximum intensity, was analyzed to determine the lateral beam width. Points where the lateral intensity met or exceeded the -6 dB threshold were identified, and the distance between these positions was calculated [9]. This distance was converted into millimeters using the simulation's spatial resolution, yielding a lateral beam width of 1 mm. This sharp focus is essential for distinguishing closely spaced anatomical features in the imaging plane. Similarly, the axial beam profile, representing intensity distribution along the depth axis, was analyzed, resulting in an axial beam

width of 0.0847 mm. This demonstrates excellent resolution along the beam propagation axis, critical for precise imaging of anatomical structures [8].

Peak energy depth analysis summed beam intensity values laterally across all positions at each depth within the simulated beam profile, generating a depth energy distribution. The peak depth, corresponding to the beam's most focused portion, was found at 1 cm [26], ensuring optimal image clarity and meeting the critical design metric for visualizing anatomical structures like the ampulla of Vater and ducts during ERCP.

Point spread function (PSF) analysis evaluated the transducer's beam sharpness and spatial resolution using a single point scatterer [54]. At a focal depth of 15 mm, lateral and axial scan points extended 5 mm around the scatterer to capture the spatial profile. Pulse-echo simulations produced a 2D intensity matrix, and -6 dB thresholds determined lateral and axial resolutions. Both resolutions were 2 mm, confirming the transducer's ability to produce a sharply focused, high-resolution beam [7].

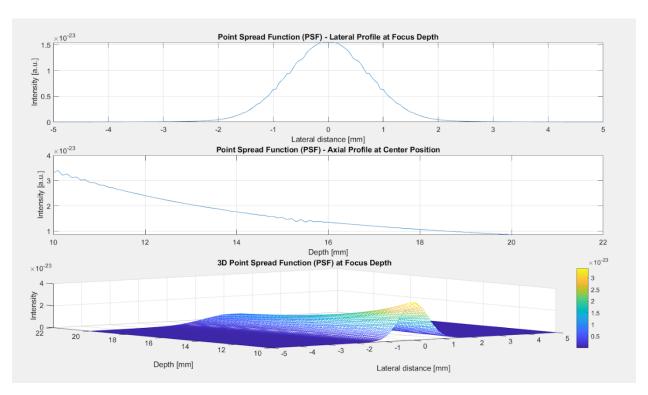


Figure 12. Point spread function analysis of a single scatterer at 15 mm, quantifying lateral and axial resolutions at the -6 dB intensity threshold.

Figure 12 provides a comprehensive analysis of the point spread function (PSF) at the 15 mm focal depth. The first panel shows the lateral PSF profile, with a symmetric curve and steep fall-off around the center, indicating optimized lateral resolution for distinguishing adjacent features in clinical imaging [54]. The second panel displays the axial PSF profile along the depth axis, centered at the same lateral position. The tapering intensity away from the focal point confirms a well-defined focus at the target depth, minimizing signal spillover to adjacent depths. The third panel combines lateral and axial dimensions into a 3D visualization of the PSF. The uniform peak highlights the transducer's capability for high-resolution imaging with minimal distortion.

Future Work

The next phase for the ultrasound transducer involves transitioning from simulations to manufacturing and performance validation. Achieving the compact specifications requires precision techniques such as deep reactive ion etching (DRIE) for precise element spacing with kerfs as narrow as 6 µm [41]. The matching layer will use silver epoxy for optimal acoustic impedance, minimizing reflection losses and enhancing energy transmission [56]. Assembly techniques like flexible printed circuits (FPCs) and conductive epoxy soldering will ensure compact, reliable electrical connections with minimal interference [41]. Proper alignment of piezoelectric elements, the backing layer, and the matching layer is essential for efficient energy delivery and high-performance imaging [56].

Physical pulse-echo and spatial resolution testing will validate simulated performance while accounting for material inconsistencies and manufacturing tolerances [41][56]. Testing will use tissue-mimicking phantoms replicating the ampulla of Vater and surrounding ducts to assess metrics such as lateral and axial resolutions, signal-to-noise ratio (SNR), and contrast-to-noise ratio (CNR), comparing results to simulation benchmarks for optimal imaging clarity. Clinical evaluation will follow a stepwise approach: starting with phantoms, progressing to cadaveric models, and eventually animal studies to validate usability and imaging accuracy during ERCP [41]. Key metrics, such as resolution maintenance during anatomical movement, will inform refinements. Transitioning to human trials under IRB approval will be essential for regulatory submissions, with FDA approval requiring evidence of safety, efficacy, and usability through trials with experienced endoscopists.

Securing funding will be crucial to advance prototyping, manufacturing, and clinical testing. Potential sources include NIH or NSF research grants and collaborations with medical imaging companies to provide financial and technical resources.

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Appendix

I. Expo Poster



EXPO Poster.pptx

II. Demonstration Video

ER-SEE-P Normal Anatomy

```
III. Ultrasound Simulation Code
% Step 1: Define Transducer Parameters - Field II-Specific
% Initialize Field II and display configuration info
field init; % Initialize Field II toolbox
field info; % Display Field II configuration
% Define parameters
f0 = 10e6; % Center frequency in Hz (10 MHz)
fs = 100e6; % Sampling frequency in Hz (100 MHz)
c = 1540; % Speed of sound in m/s (1540 m/s for soft tissue)
set sampling(fs); % Set the sampling frequency in Field II
% Adjusted Parameters for Feasibility
lambda = c / f0; % Wavelength in meters
width = lambda / 2; % Element width set to \sim \lambda/2 for optimal
performance
kerf = 6e-6; % Set kerf to 6 \mu m
% Calculate maximum number of elements that can fit within 1.5 mm
width
W_total = 1.5e-3; % Total width of the transducer in meters (1.5 mm)
N elements = 1;
while width > 0.05e-3 % Ensure element width is at least 0.05 mm for
feasibility
N elements = N elements + 1;
width = (W_total - (N_elements - 1) * kerf) / N_elements;
end
N elements = N elements - 1; % Reduce by 1 to ensure the last feasible
value
width = (W_total - (N_elements - 1) * kerf) / N_elements;
height = 1.5e-3; % Element height in meters (1.5 mm)
focus = [0 0 15e-3]; % Focus at 15 mm depth (in meters)
```

```
curve radius = 20e-3; % Radius of curvature in meters (20 mm)
matching layer thickness = 0.02e-3; % Reduced matching layer thickness
in meters (20 microns)
matching_layer_speed = 2000; % Speed of sound in matching layer
material (2000 m/s)
% Checkpoint: Print parameters to verify setup
disp('--- Step 1: Transducer Parameters Setup ---');
disp(['Transducer Frequency (f0): ', num2str(f0/1e6), ' MHz']);
disp(['Sampling Frequency (fs): ', num2str(fs/1e6), ' MHz']);
disp(['Speed of Sound (c): ', num2str(c), ' m/s']);
disp(['Element Dimensions: ', num2str(width*1e3), ' mm x ',
num2str(height*1e3), ' mm']);
disp(['Number of Elements (N_elements): ', num2str(N_elements)]);
disp(['Focus Depth: ', num2str(focus(3)*1e3), ' mm']);
disp(['Kerf Size: ', num2str(kerf*1e6), ' μm']); % Display kerf in
microns
disp(['Matching Layer Thickness: ',
num2str(matching layer thickness*1e3), ' mm']);
disp(['Speed of Sound in Matching Layer: ',
num2str(matching layer speed), ' m/s']);
% Create a curved linear array transducer using the Field II function
Th = xdc convex array(N elements, width, height, kerf, curve radius,
1, 1, focus);
% Set impulse response with matching layer effects
impulse_response = sin(2 * pi * f0 * (0:1/fs:2/f0)); % Basic impulse
response
matching layer delay = matching layer thickness /
matching layer speed; % Delay introduced by matching layer
impulse response = [zeros(1, round(matching layer delay * fs)),
impulse response]; % Add delay to simulate matching layer
```

```
impulse response = impulse response .*
hann(length(impulse response))'; % Apply a Hann window to smooth the
impulse response
xdc_impulse(Th, impulse_response);
% Set amplified excitation for the transducer
excitation = 30 * sin(2 * pi * f0 * (0:1/fs:2/f0)); % Amplified
excitation signal by factor of 30
xdc excitation(Th, excitation);
% Apply Chebyshev apodization to reduce side lobe levels and improve
CNR
apodization = chebwin(N elements, 30)'; % Chebyshev window with -30 dB
side lobe level
xdc apodization(Th, 0, apodization);
% Checkpoint: Confirm transducer setup is complete
disp('Transducer successfully created with curved array geometry,
matching layer effects, and amplified impulse/excitation set!');
% Step 2: Define Phantom and Tissue Properties
% Set tissue medium properties
set field('c', 1540); % Speed of sound for tissue (m/s)
% Define detailed anatomical structures for the ampulla of Vater,
duodenal papilla, biliary duct, and pancreatic duct
ampulla positions = [0, 0, 15e-3; 0.5e-3, 0, 15.5e-3; -0.5e-3, 0,
15.5e-3];
biliary_duct_positions = [1e-3, 0, 16e-3; -1e-3, 0, 16e-3; 0, 0,
16.5e-3];
pancreatic duct positions = [0, 1e-3, 17e-3; 0, -1e-3, 17e-3; 0.5e-3,
0.5e-3, 17.5e-3];
% Combine all scatterer positions
phantom positions = [ampulla positions; biliary duct positions;
pancreatic duct positions];
```

```
% Assign reflectivity values to different anatomical structures
ampulla amplitudes = [1; 0.9; 0.9]; % Higher reflectivity for ampulla
biliary duct amplitudes = [0.8; 0.8; 0.75]; % Reflectivity for biliary
duct
pancreatic_duct_amplitudes = [0.85; 0.85; 0.8]; % Reflectivity for
pancreatic duct
% Combine all scatterer amplitudes
phantom amplitudes = [ampulla amplitudes; biliary duct amplitudes;
pancreatic duct amplitudes];
% Create scatterers for the phantom
phantom handle.positions = phantom positions;
phantom handle.amplitudes = phantom amplitudes;
% Step 3: Perform Pulse-Echo Simulations Across Phantom Model
% Define scan points
xpoints = (-5:0.1:5) * 1e-3; % Lateral points in meters (-5 mm to 5
mm)
zpoints = (10:0.5:25) * 1e-3; % Depth points from 10 mm to 25 mm in
meters
% Initialize matrix for storing beam profile results
beam profiles = zeros(length(zpoints), length(xpoints));
% Pulse-Echo Simulation Loop
for z idx = 1:length(zpoints)
[RF_data, ~] = calc_hhp(Th, phantom_handle, [xpoints; zeros(1,
length(xpoints)); zpoints(z_idx) * ones(1, length(xpoints))]');
beam profiles(z_idx, :) = max(abs(RF_data), [], 1); % Store the
maximum values for each depth
end
% Step 4: Visualize the Simulated Pulse-Echo Results
% 3D Visualization of Beam Profile Across the Phantom
figure;
```

```
[X, Z] = meshgrid(xpoints * 1e3, zpoints * 1e3); % Convert to mm for
plotting
mesh(X, Z, beam profiles);
xlabel('Lateral distance [mm]');
ylabel('Depth [mm]');
zlabel('Intensity');
title('3D Visualization of Pulse-Echo Beam Profile Across Phantom');
colorbar;
disp('--- Step 4: Pulse-Echo Results Visualization Completed ---');
% Step 5: Calculate and Visualize SNR and CNR
% Signal Region (Assuming central region is the signal)
signal region = beam profiles(15:20, 20:40); % Select a region for
signal
signal_mean = mean(signal_region(:));
signal std = std(signal region(:));
% Noise Region (Assuming edges are noise)
noise_region = beam_profiles(1:5, 1:10); % Select a region for noise
noise mean = mean(noise region(:));
noise std = std(noise region(:));
% Calculate SNR
SNR = 20 * log10(signal mean / noise std);
disp(['Signal-to-Noise Ratio (SNR): ', num2str(SNR), ' dB']);
% Contrast Region (Assuming a different depth)
contrast_region = beam_profiles(25:30, 20:40); % Select a region for
contrast
contrast mean = mean(contrast region(:));
% Calculate CNR
CNR = 20 * log10(abs(signal mean - contrast mean) / noise std);
disp(['Contrast-to-Noise Ratio (CNR): ', num2str(CNR), ' dB']);
% Visualize SNR and CNR Regions
```

```
figure;
imagesc(xpoints * 1e3, zpoints * 1e3, beam profiles);
xlabel('Lateral distance [mm]');
ylabel('Depth [mm]');
title('SNR and CNR Regions Visualization');
colorbar;
hold on;
rectangle('Position', [xpoints(20)*1e3, zpoints(15)*1e3, (xpoints(40)-
xpoints(20))*1e3, (zpoints(20)-zpoints(15))*1e3], 'EdgeColor', 'g',
'LineWidth', 2); % Signal region
txt1 = text(xpoints(40)*1e3, zpoints(20)*1e3, 'Signal', 'Color',
'g');
rectangle('Position', [xpoints(1)*1e3, zpoints(1)*1e3, (xpoints(10)-
xpoints(1))*1e3, (zpoints(5)-zpoints(1))*1e3], 'EdgeColor', 'r',
'LineWidth', 2); % Noise region
txt2 = text(xpoints(10)*1e3, zpoints(5)*1e3, 'Noise', 'Color', 'r');
rectangle('Position', [xpoints(20)*1e3, zpoints(25)*1e3, (xpoints(40)-
xpoints(20))*1e3, (zpoints(30)-zpoints(25))*1e3], 'EdgeColor', 'b',
'LineWidth', 2); % Contrast region
txt3 = text(xpoints(40)*1e3, zpoints(30)*1e3, 'Contrast', 'Color',
'b');
hold off;
disp('--- Step 5: SNR and CNR Calculation Completed ---');
% Step 6: Simulated Pulse-Echo Image at 15 mm Focus Depth
focus depth = 15e-3;
[RF data, start time] = calc hhp(Th, phantom handle, [xpoints;
zeros(1, length(xpoints)); focus depth * ones(1, length(xpoints))]');
beam profile = abs(RF data); % Take the envelope of the RF data
% Generate and visualize Pulse-Echo Image
figure;
```

```
imagesc(xpoints * 1e3, ((0:size(RF data, 1)-1) / fs + start time) * c
/ 2 * 1e3, beam profile);
xlabel('Lateral distance [mm]');
ylabel('Depth [mm]');
title('Simulated Pulse-Echo Image at 15 mm Focus Depth');
colorbar;
colormap(gray);
disp('--- Step 6: Pulse-Echo Image Generated ---');
% Step 7: Beam Analysis at -6 dB Level
[max_value, max_depth_idx] = max(beam_profile(:)); % Find the maximum
value and its index
[row_idx, col_idx] = ind2sub(size(beam_profile), max depth idx); % Get
row and column indices for max value
half max = max value / sqrt(2); % -6 dB level
% Lateral beam width at -6 dB
lateral_profile = beam_profile(row_idx, :);
lateral_positions = find(lateral_profile >= half_max);
if ~isempty(lateral positions)
lateral width = (max(lateral positions) - min(lateral positions)) *
0.1; % Convert index difference to mm
else
lateral width = NaN;
end
% Axial beam width at -6 dB
axial profile = beam profile(:, col idx);
axial positions = find(axial profile >= half max);
if ~isempty(axial positions)
axial width = (max(axial positions) - min(axial positions)) * (1 / fs)
* c / 2 * 1e3; % Convert index difference to mm
else
```

```
axial width = NaN;
end
% Display beam analysis results
disp('--- Step 7: Beam Analysis at -6 dB Level ---');
disp(['Lateral Beam Width (-6 dB): ', num2str(lateral_width), ' mm']);
disp(['Axial Beam Width (-6 dB): ', num2str(axial_width), ' mm']);
% Step 8: Energy Distribution Visualization
energy distribution = sum(beam profiles, 2);
figure;
plot(zpoints * 1e3, energy_distribution, '-o');
xlabel('Depth [mm]');
ylabel('Integrated Energy [a.u.]');
title('Energy Distribution along Depth for Pulse-Echo Beam Profile');
grid on;
% Find and display peak integrated energy depth
[peak_energy, peak_idx] = max(energy_distribution);
peak_depth = zpoints(peak_idx) * 1e3; % Convert to mm
disp(['Peak Integrated Energy Depth: ', num2str(peak depth), ' mm']);
disp('--- Step 8: Energy Distribution Analysis Completed ---');
% Step 9: Point Spread Function (PSF) Calculation
% Define a single point scatterer at the focus
psf position = [0, 0, focus(3)];
psf amplitude = 1;
% Create scatterer for PSF calculation
psf handle.positions = psf position;
psf handle.amplitudes = psf amplitude;
% Define scan points for PSF analysis (lateral and axial)
psf xpoints = (-5:0.05:5) * 1e-3; % Lateral points in meters (-5 mm to
5 mm) for finer resolution
```

```
psf zpoints = (focus(3) - 5e-3:0.1e-3:focus(3) + 5e-3); % Axial points
around focus depth (15 mm)
% Initialize matrix for storing PSF beam profile results
psf_profiles = zeros(length(psf_zpoints), length(psf_xpoints));
% Pulse-Echo Simulation Loop for PSF
for z idx = 1:length(psf zpoints)
[RF_psf_data, ~] = calc_hhp(Th, psf_handle, [psf_xpoints; zeros(1,
length(psf_xpoints)); psf_zpoints(z_idx) * ones(1,
length(psf xpoints))]');
psf_profiles(z_idx, :) = max(abs(RF_psf_data), [], 1); % Store the
maximum values for each depth
end
% PSF Metrics Calculation
max value = max(psf profiles(:));
half max = max value / sqrt(2); % -6 dB level
% Lateral Resolution Calculation at Focus Depth (Z = 15 mm)
[~, focus_idx] = min(abs(psf_zpoints - focus(3))); % Find index
closest to focus depth
lateral profile = psf profiles(focus idx, :);
lateral positions = find(lateral profile >= half max);
if ~isempty(lateral positions)
lateral resolution = (max(lateral positions) - min(lateral positions))
* 0.05; % Convert index difference to mm
else
lateral resolution = NaN;
end
% Axial Resolution Calculation at Focus (X = 0)
[~, center idx] = min(abs(psf xpoints)); % Find index closest to
lateral center
axial profile = psf profiles(:, center idx);
```

```
axial positions = find(axial profile >= half max);
if ~isempty(axial positions)
axial resolution = (max(axial positions) - min(axial positions)) *
0.1; % Convert index difference to mm
else
axial resolution = NaN;
end
% Display PSF Analysis Results
disp('--- Step 9: PSF Analysis ---');
disp(['Lateral Resolution from PSF: ', num2str(lateral_resolution), '
mm']);
disp(['Axial Resolution from PSF: ', num2str(axial_resolution), '
mm']);
% Visualize PSF in Lateral, Axial, and 3D
figure;
% Lateral Profile at Focus Depth
subplot(3, 1, 1);
plot(psf_xpoints * 1e3, lateral_profile);
xlabel('Lateral distance [mm]');
ylabel('Intensity [a.u.]');
title('Point Spread Function (PSF) - Lateral Profile at Focus Depth');
grid on;
% Axial Profile at Center Position
subplot(3, 1, 2);
plot(psf_zpoints * 1e3, axial_profile);
xlabel('Depth [mm]');
ylabel('Intensity [a.u.]');
title('Point Spread Function (PSF) - Axial Profile at Center
Position');
grid on;
```

```
% 3D PSF Visualization
subplot(3, 1, 3);
[X_psf, Z_psf] = meshgrid(psf_xpoints * 1e3, psf_zpoints * 1e3); %
Convert to mm for plotting
mesh(X_psf, Z_psf, psf_profiles);
xlabel('Lateral distance [mm]');
ylabel('Depth [mm]');
zlabel('Intensity');
title('3D Point Spread Function (PSF) at Focus Depth');
colorbar;
% Cleanup Field II after usage
xdc_free(Th); % Free the transducer memory
field_end; % End the Field II session
```