- 1. (Aug-13.3) Let I and J be ideals in a commutative ring R.
  - (a) Show that if I + J = R, then  $I \cap J = IJ$ .
  - (b) Suppose that I and J are ideals in  $\mathbb{C}[x]$ , and suppose that I + J = (x). Show that  $(I \cap J)/IJ$  is 1-dimensional as a complex vector space, and moreover that it is isomorphic to  $\mathbb{C}[x]/(x)$  as a  $\mathbb{C}[x]$ -module.
  - (c) On the other hand, for general commutative rings R, once R/(I+J) is not trivial, the difference between  $I \cap J$  and IJ can be large even if  $R/(I \cap J)$  is small. Demonstrate this by showing that, if  $R = \mathbb{C}[x,y]$ , there exist ideals I and J in R such that I+J=(x,y) and the dimension of  $(I\cap J)/IJ$  as a  $\mathbb{C}$ -vector space is at least 100.
  - **Solution:** We will also assume that R has a 1, because otherwise the result of (a) is false: if  $I = J = R = 2\mathbb{Z}$ , then clearly I + J = R,  $I \cap J = 2\mathbb{Z}$ , and  $IJ = 4\mathbb{Z}$ .
  - a) Clearly  $IJ \subseteq I \cap J$ , even without the comaximality condition. For the other direction, suppose  $x \in I \cap J$  and note that there exist  $r_i \in I$  and  $r_j \in J$  such that  $r_i + r_j = 1$ : then  $x = x(r_i + r_j) = r_i x + x r_j$ , and each term is in IJ.
  - b) Since  $\mathbb{C}[x]$  is a PID, let I=(xp) and J=(xq). Since I+J=(x), there exist polynomials r and s such that rxp+sxq=x hence rp+sq=1, so p and q are relatively prime. It is then immediate that  $I\cap J=(xpq)$  and  $IJ=(x^2pq)$ . The cosets of  $(I\cap J)/IJ$  are just the  $\mathbb{C}$ -multiples of  $\overline{xpq}$ , and multiplication by x kills everything, so the  $\mathbb{C}[x]$ -module structure is the same as that of  $\mathbb{C}[x]/(x)$ . The statement about the dimension follows immediately.
  - c) Take  $I = (x^n, x^{n-1}y, \dots, y^n)$  and J = (x, y): then  $I \cap J = I$  while  $IJ = (x^{n+1}, x^ny, \dots, y^{n+1})$ . Then each coset of  $(I \cap J)/IJ$  has a unique representative that is a homogeneous polynomial of degree n, so the quotient is an (n+1)-dimensional  $\mathbb{C}$ -vector space. Now just pick any  $n \geq 99$ .
- 2. (Jan-04.2) Let K be a field and R be the subring of K[x] of all polynomials with zero x-coefficient.
  - (a) Show that  $x^2$  and  $x^3$  are irreducible but not prime in R.
  - (b) Show that R is Noetherian.
  - (c) Show that the ideal of all polynomials of R with zero constant term is not principal.

- a) If  $p(x) \cdot q(x)$  were a nontrivial factorization of  $x^2$  or  $x^3$ , then one of p, q would necessarily have degree 1, but there are no polynomials in R of degree 1. They are not prime since  $x^2$  divides  $x^6 = x^3 \cdot x^3$  but does not divide  $x^3$ , and  $x^3$  divides  $x^6 = x^4 \cdot x^2$  but does not divide  $x^4$  or  $x^2$ .
- b) Observe that R is generated (as a ring) by  $1, x^2, x^3$ , since these elements generate all the monomials in R. Hence we see that R is a quotient of the ring K[y, z] under the map  $\varphi$  sending  $y \mapsto x^2$  and  $z \mapsto x^3$ . Then since K[y, z] is Noetherian and quotients of Noetherian rings are Noetherian, we see R is Noetherian.
- **Remark** It is not necessary for the argument above, but one can show that the kernel of  $\varphi$  is the principal ideal  $(y^3 z^2)$ .
- c) If this ideal were principal and generated by q(x), then  $x^2$  and  $x^3$  would necessarily be polynomials in q(x), but then deg(q) must divide 2 and 3, hence must divide 1, which is impossible.

- 3. (Jan-13.3) A ring R is "von Neumann regular" if for every  $a \in R$  there exists an  $x \in R$  with a = axa. (The element x is called a weak inverse of a.) In particular, observe that every division ring is von Neumann regular: take x = 0 for a = 0 and  $x = a^{-1}$  otherwise.
  - (a) Give an example of a commutative von Neumann regular ring which is not a field.
  - (b) Let  $R = M_2(\mathbb{C})$  and  $a = e_{12}$ , the nilpotent matrix which sends  $(e_1, e_2) \mapsto (0, e_1)$ . Find a weak inverse for a.
  - (c) Show that if V is a vector space over a field k, the ring of endomorphisms  $\operatorname{End}_k V$  is von Neumann regular.

- a) A general class of examples is the collection of (finite or infinite) direct products of fields  $R = \prod F_i$ , where there is more than one term in the product. The weak inverse of an element is taken componentwise: either 0 (for a component of 0) or the inverse (for nonzero components).
- **Remark** This class includes all rings  $\mathbb{Z}/n\mathbb{Z}$  where n is squarefree. In fact,  $\mathbb{Z}/n\mathbb{Z}$  is von Neumann regular iff n is squarefree: we require for every  $a \in R$  that there exists x such that n divides a(1-ax); if n is divisible by  $p^2$  where p is prime, then taking a=p yields a contradiction. Otherwise, if  $\gcd(a,n)=d$ , then we require  $\frac{n}{d}$  to divide 1-ax, and such an x exists because a and  $\frac{n}{d}$  are relatively prime (since n is squarefree).
- **b)** One can check with direct calculation that for  $a = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  and  $x = \begin{pmatrix} p & q \\ r & s \end{pmatrix}$ , we have  $axa = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} p & q \\ r & s \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} r & s \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & r \\ 0 & 0 \end{pmatrix}$ , so we can take  $x = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ .
- c) Choose a basis  $\{e_i\}_{i\in I}$  for  $\operatorname{im}(a)$  and a basis  $\{f_j\}_{j\in J}$  for  $\operatorname{ker}(a)$ . By the first isomorphism theorem, if we choose arbitrary  $v_i \in V$  with  $a(v_i) = e_i$  for  $i \in I$ , then  $\{v_i\}_{i\in I} \cup \{f_j\}_{j\in J}$  is a basis of V. Now define the transformation x by setting  $x(e_i) = v_i$  and  $x(f_j) = 0$ : we have  $axa(v_i) = ax(e_i) = a(v_i)$ , and  $axa(f_i) = ax(0) = 0 = a(f_i)$ , so x is a weak inverse of a.
- **c-alt)** In the event that V is finite-dimensional we can give a more explicit linear-algebra argument: write  $V = W \oplus W'$  where W is the generalized 0-eigenspace of a. On W', a acts as an invertible matrix so there we can take the weak inverse to be  $a^{-1}|_{W'}$ . On W, the Jordan form of  $a|_W$  has entries of only 0 and 1, hence by changing K-basis we can assume that  $a|_W$  is a direct sum of Jordan blocks. Again by considering each block separately, it is enough to find a weak inverse of a  $d \times d$  Jordan block with eigenvalue 0. Following the example from part (b), we see that if we take  $a:e_1\mapsto 0$  and  $e_{i+1}\mapsto e_i$ , then it has a weak inverse given by  $x:e_d\mapsto 0$ ,  $e_i\mapsto e_{i+1}$ . We conclude that every element of  $\operatorname{End}_k V$  has a weak inverse.
- 4. (Aug-05.2) Let R be a ring with 1, V a Noetherian right R-module, and  $\theta: V \to V$  a homomorphism.
  - (a) Show that  $\ker(\theta^{n+1}) = \ker(\theta^n)$  for some  $n \ge 1$ .
  - (b) If  $\theta$  is onto, prove that it is one-to-one.
  - (c) If V has a unique maximal submodule M, and it is true that if  $X \subseteq Y$  are any submodules with  $Y/X \cong V/M$  then Y = V, prove that  $\theta$  is either 0 or an isomorphism.

#### Solutions

- a) Clearly  $\ker(\theta^{j+1}) \supseteq \ker(\theta^j)$ , so the successive kernels of  $\theta, \theta^2, \cdots$  form an ascending chain of submodules. Since V is Noetherian, it must stabilize at some finite stage j = n.
- b) Suppose  $\theta(v) = 0$ . By a trivial induction,  $\theta^n$  is onto, so there exists v' with  $\theta^n(v') = v$ . Then  $\theta^{n+1}(v') = 0$ , meaning that  $v' \in \ker(\theta^{n+1})$ . But  $\ker(\theta^{n+1}) = \ker(\theta^n)$ , so in fact  $v' \in \ker(\theta^n)$  whence  $v = \theta^n(v') = 0$ .
- c) Suppose  $\theta$  is nontrivial: then the kernel of  $\theta$  is a proper submodule hence contained in M. The first isomorphism theorem then says  $\theta(V) \cong V/\ker(\theta)$  and  $\theta(M) \cong M/\ker(\theta)$ , so by the third isomorphism theorem we see  $V/M \cong \theta(V)/\theta(M)$ . The given criterion then says  $\theta(V) = V$  so  $\theta$  is onto; then by part (b) it is one-to-one, hence an isomorphism.

- 5. (Aug-03.2) Let R be a commutative integral domain (with 1).
  - (a) If K is the field of fractions of R and  $t \in R$  is such that K = R[1/t], show that t is contained in every nonzero prime ideal of R.
  - (b) Let  $R = F[x_1, \dots, x_n]$  for a field F. If  $f(x_1, \dots, x_n)$  is contained in every nonzero prime ideal of R, show that  $f(a_1, \dots, a_n) = 0$  for all  $a_1, \dots, a_n \in F$ .
  - (c) Suppose  $f(x_1, \dots, x_n)$  is a polynomial with coefficients in F, where F is an infinite field. If  $f(a_1, \dots, a_n) = 0$  for all  $a_1, \dots, a_n \in F$ , show that f is the zero polynomial.

- a) Let P be a prime ideal and  $r \in P \setminus \{0\}$ . By hypothesis, 1/r is a polynomial in 1/t, so  $1/r = a_0 + a_1 t^{-1} + \cdots + a_n t^{-n}$ , so  $t^n = r(a_0 t^n + \cdots + a_n)$ . We conclude that  $t^n \in P$  so since P is prime,  $t \in P$ .
- b) The evaluation map  $g \mapsto g(a_1, \dots, a_n)$  is a surjective homomorphism from R to F, whose kernel is therefore a maximal hence prime ideal of R. Then f is contained in the kernel of this homomorphism, so  $f(a_1, \dots, a_n) = 0$  for any  $a_1, \dots, a_n \in F$ .
- c) We prove the contrapositive (a nonzero function takes a nonzero value) by induction on the number of variables. If f is a one-variable function, it has only finitely many zeroes over F, since F is a field, so it is nonzero somewhere since F is infinite. Now suppose the result holds for any polynomial in at most k variables and let  $f \in F[x_1, \dots, x_k, y]$  where f has positive y-degree. Consider f as a function of y with coefficients in  $F[x_1, \dots, x_k]$ : explicitly, as  $f(\bar{x}, y) = p_0 + p_1 y + \dots + p_n y^n$ , where  $p_n$  is not the zero polynomial. Now by hypothesis, there exists a choice  $(a_1, \dots, a_k)$  for which  $p_n(a_1, \dots, a_k) \neq 0$ : then  $f(\bar{a}, y) = c_0 + \dots + c_n y^n$  where  $c_n \neq 0$ . Since this polynomial in the single variable y is not the zero polynomial, we conclude that it is nonzero somewhere. Hence we are done.

**Remark** Part (c) is a version of the weak Nullstellensatz.

- 6. (Jan-09.2) Let S be the subring of  $\mathbb{C}[x]$  consisting of all polynomials with real constant term.
  - (a) Show that the ideal of S consisting of all polynomials with 0 constant term is not principal.
  - (b) Let I be a nonzero ideal of S and choose  $f \in I \setminus \{0\}$  to have minimal possible degree n. If  $g \in I$ , show that there exists  $s \in S$  with g sf either equal to 0 or to a polynomial of degree n.
  - (c) Conclude that any ideal I of S is either principal or generated by two elements of the same degree.

- a) Suppose this ideal were principal and generated by p(x); note clearly that p has degree  $\geq 1$ . Then there would necessarily exist elements  $q_1$  and  $q_2$  in S such that  $p(x) \cdot q_1(x) = x$  and  $p(x) \cdot q_2(x) = ix$ . Since the degree of p is  $\geq 1$  we see that it must equal 1, which forces  $q_1$  and  $q_2$  to have degree 0 hence be (nonzero) constants. Since they are in S they must be real numbers, but this is a contradiction since dividing the two relations yields  $i = \frac{q_2}{q_1}$ .
- b) By minimality of  $f = b_n x^n + \cdots$ , we know that g has degree at least n. If the degree of g is exactly n then we are done since we may take s = 0. If the degree of g is larger than n, say  $g = a_{n+k} x^{n+k} + \cdots$  with  $k \ge 1$ , then we may perform the first step of polynomial division to replace g with  $g \frac{a_{n+k}}{b_n} x^k f$  to reduce the degree of g by (at least) 1; note that  $\frac{a_{n+k}}{b_n} x^k \in S$  since it has positive degree. Then by an obvious downward induction, the result holds.
- c) If I=0 we are done. Otherwise, apply part (b) with  $f\in I$  of minimal degree n, say  $f=(c+di)x^n+o(x^{n-1})$ . Either it is the case that every other  $g\in I$  is a multiple of f (in which case I is principal) or some  $g\in I$  can be written as g=sf+g' where the degree of g' is also n, say  $g'=(a+bi)x^n+o(x^{n-1})$ . By minimality, we know that a+bi and c+di must be  $\mathbb{R}$ -linearly independent, otherwise we would have an  $\mathbb{R}$ -linear combination f-rg' of degree less than n, contradicting the minimality of f. Hence we may take appropriate linear combinations to see that I contains two elements  $f'=x^n+o(x^{n-1})$  and  $g''=ix^n+o(x^{n-1})$ . Applying the result of part (b) to this f' shows that every  $h\in I$  can be written in the form  $h=sf+\left[ax^n+bix^n+o(x^{n-1})\right]=(s-a)f'+bg''+o(x^{n-1})$ , and by minimality the  $o(x^{n-1})$  term must be zero since h-(s-a)f'-bg'' lies in I and has degree less than n. Hence I is generated by f' and g'', so it is generated by 2 elements.

- 7. (Aug-01.2) Let R be a commutative ring with 1 and M a maximal ideal of R.
  - (a) Show that  $R/M^2$  has no idempotents other than 0 and 1.
  - (b) If R is Noetherian, show that  $M/M^2$  is a finitely-generated R/M-module.
  - (c) If  $R = K[x_1, \dots, x_t]$  where K is a field, show that  $\dim_K(R/M^2) < \infty$ .

- a) The ring  $R/M^2$  has a maximal ideal  $M/M^2$ , since  $(R/M^2)/(M/M^2) \cong R/M$  is a field. If e is an idempotent in  $R/M^2$  then  $\bar{e}(1-\bar{e})$  in R/M, but this forces  $\bar{e}$  or  $1-\bar{e}$  to be zero since R/M is a field. We conclude that e or 1-e is in  $M/M^2$ , but since the square of any element in  $M/M^2$  is zero, we see either  $e^2=e$  or  $(1-e)^2=1-e$  is zero, so that e is 0 or 1.
- b) Since R is Noetherian, M is finitely-generated as an R-module, say by  $x_1, \dots, x_k$ : then for any  $m \in M$  we can write  $m = \sum r_i x_i$  for some  $r_i \in R$ . Passing to  $M/M^2$  gives  $\bar{m} = \sum \bar{r}_i \bar{x}_i$ , so we see that  $\bar{x}_1, \dots, \bar{x}_k$  generate  $M/M^2$ . (Note that  $\bar{r}_i \in R/M = F$  so this does make sense!)
- c) Since polynomial rings are Noetherian by Hilbert's Basis Theorem, part (b) implies that  $M/M^2$  is a finitely-generated R/M-module. Since  $(R/M^2)/(M/M^2) \cong R/M$ , the desired statement then follows from the fact that R/M is itself a finite-dimensional K-vector space, which is in turn implied by the Nullstellensatz. Explicitly: if  $M = (p_1, \dots, p_t)$ , then if  $(\alpha_1, \dots, \alpha_t)$  is a common root of the polynomials  $p_i$  (in some algebraic closure  $\bar{F}$ ) whose existence is guaranteed by the Nullstellensatz, then the evaluation map sending  $p \mapsto p(\alpha_1, \dots, \alpha_t)$  yields an isomorphism of R/M with  $K(\alpha_1, \dots, \alpha_t)$ , and the latter is a finite-degree extension of K (since all of the  $\alpha_i$  are roots of polynomials of finite degree over K).
- 8. (Aug-00.5) Let R be a ring with 1 and Z be its center. A derivation  $D: R \to R$  is an additive map such that D(ab) = aD(b) + D(a)b.
  - (a) If  $r \in R$ , show that the map  $A_r : R \to R$  given by  $A_r(A) = ar ra$  for all  $a \in R$ , is a derivation.
  - (b) If D is a derivation, show that  $D(Z) \subseteq Z$ .
  - (c) If D is a derivation of R and  $e \in Z$  is an idempotent, show that D(e) = 0.

- a)  $A_r$  is obviously additive, and  $A_r(ab) = abr rab = a[br rb] + [ar ra]b$ .
- b) If  $z \in Z$  and  $r \in R$ , then 0 = D(rz) D(zr) = rD(z) + [D(r)z zD(r)] D(z)r = rD(z) D(z)r, so D(z) commutes with r hence is in Z.
- c) We have  $D(e) = D(e^2) = 2eD(e)$  so (1 2e)D(e) = 0. Multiplying by 1 2e gives  $0 = (1 2e)^2D(e) = (1 4e + 4e^2) = D(e)$ .

- 9. (Aug-12.2) Let F be a field, R = F[x, y], and I = (x).
  - (a) Prove that  $I/I^2$  is infinite-dimensional as an F-vector space.
  - (b) Let  $S \subset R$  be the subring S = F + I, so that I is also an ideal of S. Show that I is not finitely-generated as an ideal of S.
  - (c) Let M be a maximal ideal of R and  $\theta: R \to R/M$  be the projection map. Then  $\theta(S)$  is a ring with  $\theta(F) \subseteq \theta(S) \subseteq \theta(R)$ . Discuss the nature of the extension  $\theta(F) \subseteq \theta(R)$ , prove that  $\theta(S)$  is a field, and conclude that  $M \cap S$  is a maximal ideal of S.

- a) The elements of I are of the form  $x \cdot p(x, y)$  for a polynomial p(x, y). We can write any such element in the form  $x \cdot q(y) + x^2 r(x, y)$ , the image of which in  $I^2$  is  $x \cdot q(y)$ . Thus we see that  $I/I^2$  is generated as a vector space by  $x, xy, xy^2, \cdots$ , and is infinite-dimensional.
- b) If I were finitely-generated as an ideal of S, then  $I/I^2$  would be a finitely-generated ideal of  $S/I^2$ . But the elements of  $S/I^2$  are of the form c + xp(y) where  $c \in F$  and  $p(y) \in F[y]$ , and the only ones in  $I/I^2$  are those with c = 0. But then the product of any two terms xp(y) and xq(y) is zero, so  $I/I^2$  has trivial ring structure. The non-finite-generation then follows from part (a), since then finite generation of  $I/I^2$  as a ring is equivalent to finite generation as an F-vector space. (Or, explicitly: if there are only finitely many generators, then it is not possible to obtain the term  $x \cdot y^n$  where n is any integer larger than any of the y-degrees of the generators' images in  $I/I^2$ .)
- c)  $\theta(R) \cong R/M$  is a field extension of  $\theta(F)$ ; since R is Noetherian, this field extension is of finite degree. If  $x \in M$  then  $\theta(S) = \theta(F)$  is a field; otherwise, assume  $x \notin M$ , so that x is invertible in R/M. We also see that S + M = F + I + M, and I + M is an ideal of R containing M, hence since M is maximal it is either equal to M or to R: thus S + M is either F + M or F + R, so we see  $\theta(S)$  is either  $\theta(F)$  or  $\theta(R)$ , hence is a field. By the first isomorphism theorem for rings, we conclude that  $S/(M \cap S) \cong \theta(S)$  is a field, so  $M \cap S$  is a maximal ideal of S.