

1. (Jan-06.2) Let  $R$  be the subring of  $\mathbb{Z}[x]$  consisting of all polynomials with zero  $x$ - and  $x^2$ -coefficients.
  - (a) Show that  $\mathbb{Q}(x)$  is the field of fractions of  $R$ .
  - (b) Find the integral closure of  $R$  in  $\mathbb{Q}(x)$ .
  - (c) Does there exist a polynomial  $g(x) \in R$  such that  $R$  is generated as a ring by 1 and  $g(x)$ ?

**Solution:**

- a) Clearly  $\mathbb{Q}(x)$ , the field of fractions of  $\mathbb{Z}[x]$ , contains the field of fractions of  $R$ . Conversely,  $x$  and 1 are in the field of fractions of  $R$ , because  $x = \frac{x^4}{x^3}$ , so the field of fractions of  $R$  contains the field of fractions of  $\mathbb{Z}[x]$ .
- b) The integral closure is  $\mathbb{Z}[x]$  – this ring is integrally closed since it is a UFD, so we need only show that the integral closure of  $R$  contains  $\mathbb{Z}[x]$ . But  $x$  is in the integral closure, since it is a root of  $p(t)$  where  $p(t) = t^3 - x^3 \in R[t]$ , hence by integrality properties,  $\mathbb{Z}[x]$  is contained in the integral closure.
- c) No: if there were such a polynomial, then  $x^3$  and  $x^4$  would necessarily be polynomials in  $g(x)$ , hence  $\deg(g)$  divides 3 and 4, hence would have to be 1, but no polynomial of degree 1 is in  $R$ .
- c-alt)** No: if there were, then  $R$  would be isomorphic to  $\mathbb{Z}[g(x)] \cong \mathbb{Z}[y]$ , but the latter is integrally closed while  $R$  is not.

2. (Aug-09.2/Jan-08.2a) Let  $R \subseteq S$  be commutative rings with the same 1, and assume that every element of  $S$  is integral over  $R$ .
  - (a) If  $r \in R$  has an inverse in  $S$ , prove this inverse is in  $R$ .
  - (b) Suppose  $R$  is a field and  $s \in S$  is regular (i.e., if  $sx = 0$  for some  $x \in S$ , then  $x = 0$ ). Show that  $s$  is invertible in  $S$ .
  - (c) If  $P$  is a prime ideal of  $S$ , prove that  $P$  is maximal in  $S$  iff  $R \cap P$  is maximal in  $R$ .

**Solution:**

- a) Since  $u = r^{-1}$  is integral over  $R$ , it satisfies a monic polynomial with coefficients in  $R$ :  $u^n + a_{n-1}u^{n-1} + \cdots + a_1u + a_0 = 0$ . Now multiply by  $r^{n-1}$  to obtain  $u + a_{n-1} + a_{n-2}r + \cdots + a_0r^{n-1}$ , whence  $u = -a_{n-1} - a_{n-2}r - \cdots - a_0r^{n-1} \in R$ .
- b) By hypothesis  $s$  is integral over  $R$ , so again we can write  $s^n + b_{n-1}s^{n-1} + \cdots + b_0 = 0$  for some monic polynomial of minimal degree. If  $b_0 = 0$  then we would have  $s(s^{n-1} + \cdots + b_1) = 0$  so by regularity we would have  $s^{n-1} + \cdots + b_1 = 0$ , contradicting minimality. Hence  $b_0 \neq 0$ ; then we may write  $s(s^{n-1} + \cdots + b_1) = -b_0$ , so since  $R$  is a field we can divide by  $-b_0$  to see  $s \cdot \left[ -\frac{s^{n-1} + \cdots + b_1}{b_0} \right] = 1$ , so  $s$  is invertible.
- c) By passing to the quotient, we know that every element of  $S/P$  is integral over  $R/(R \cap P)$ .
  - $\Rightarrow$ : If  $P$  is maximal in  $S$ , let  $\bar{r} \in R/(R \cap P)$  be nonzero. Then  $\bar{r}$  is invertible in  $S/P$  since  $S/P$  is a field and  $r \notin P$ . So by part (a),  $\bar{r}$  is invertible in  $R/(R \cap P)$ , hence the latter is a field and  $R \cap P$  is maximal in  $R$ .
  - $\Leftarrow$ : If  $R \cap P$  is maximal in  $R$ , then  $R/(R \cap P)$  is a field and  $R/P$  is a domain since  $P$  is prime. Hence every nonzero element of  $R/P$  is regular, so by part (b)  $R/P$  is a field and  $P$  is maximal.

3. (Jan-01.3): Let  $f(x) \in \mathbb{Z}[x]$  be monic and such that  $f(\alpha) = f(2\alpha) = 0$  for some  $\alpha \in \mathbb{C}$ .

(a) Show that  $f(0) \neq 1$ .

(b) If  $f$  is irreducible, prove  $\alpha = 0$ .

**Solution:**

a) Since  $f$  is monic, all its roots  $r_1, \dots, r_n$  are algebraic integers, with  $r_1 = \alpha$  and  $r_2 = 2\alpha$ . Then  $\frac{1}{2}f(0) = \frac{1}{2}(-1)^n r_1 r_2 \cdots r_n = (-1)^n \alpha^2 r_3 \cdots r_n$  is a product of algebraic integers hence also an algebraic integer. Since it is also a rational number, it is an integer. We conclude that  $f(0)$  is an even integer, so it is not 1.

b) Consider  $\gcd(f(x), f(2x))$ : it has positive degree since  $x - \alpha$  divides both terms, hence since  $f$  is irreducible it must equal  $f(x)$ . Since  $f(x)$  and  $f(2x)$  have the same degree, the latter is a scalar multiple of the former. We conclude that if  $\beta$  is a root of  $f$ , then so is  $2\beta$ , meaning that  $\alpha, 2\alpha, 4\alpha, \dots$  are all roots of  $f$ . Since  $f$  has finite degree, it must be the case that  $\alpha = 0$ .

**Remark** Part (b) is showing that multiplication by 2 is an element of the Galois group of  $f$ . Examples of such irreducible  $f$  exist in any positive odd characteristic: for example, over  $\mathbb{F}_3$ , the irreducible polynomial  $p(x) = x^2 + 1$  has roots  $i$  and  $2i = -i$ , where  $i^2 = -1$  in  $\mathbb{F}_3$ .

4. (Aug-12.5) Let  $R$  be a not necessarily commutative ring with 1, such that  $x^5 = x$  for every  $x \in R$ .

(a) Show that  $J(R) = 0$ .

(b) Now assume  $R$  is right-Artinian. Prove that  $R$  is a direct sum of division rings.

(c) Let  $D$  be a division ring direct summand of  $R$ . If  $F$  is any subfield of  $D$ , show that  $F = \mathbb{F}_2, \mathbb{F}_3$ , or  $\mathbb{F}_5$ .

(d) Deduce that  $D$  above is isomorphic to  $\mathbb{F}_2, \mathbb{F}_3$ , or  $\mathbb{F}_5$ , and conclude that  $R$  is commutative.

**Solution:**

a) If  $y \in J$ , then  $1 - syr$  is a unit for any  $s, r \in R$ , so in particular  $1 - y^4$  is a unit. Since  $0 = y - y^5 = y(1 - y^4)$ , multiplying by the inverse of  $1 - y^4$  yields  $y = 0$ .

b) A right-Artinian ring has a finite number of maximal right ideals  $m_1, \dots, m_k$ , as otherwise  $m_1, m_1 \cap m_2, \dots$  would yield an infinite decreasing chain of right ideals. Now since the Jacobson radical is the intersection of the maximal right ideals of  $R$ , part (a) implies that  $\bigcap m_k = 0$ . Now by the Chinese Remainder Theorem, we see that  $R \cong \bigoplus (R/m_k)$ , since by maximality it must be the case that  $m_i + m_j = R$  for any  $(i, j)$ , and so  $\prod m_j = \bigcap m_j = 0$ . Finally,  $R/m_k$  is a division ring.

**b-alt)** By the Artin-Wedderburn theorem, we see that  $R$  is a direct sum of matrix rings over division rings:  $R \cong \bigoplus M_{k \times k}(D_i)$ . But the Jacobson radical is only zero if all of the matrix rings are 1-dimensional since (for example) there are nilpotent elements in a  $k \times k$  matrix ring if  $k > 1$ .

c) Suppose  $F$  is a field in which  $x^5 - x = 0$  for all  $x \in F$ . By unique factorization we see that  $|F| \leq 5$ , and so  $|F|$  can only be 2, 3, 4, or 5. It is then trivial to see that  $|F| = 2, 3, 5$  work, but  $|F| = 4$  does not work.

d) Let  $F$  be the subfield generated by 1 in  $D$ . If  $z \in D$  is any element of  $D$ , then  $F(z)$  is commutative hence also a subfield of  $D$ , but by part (c) it must be the case that  $F(z) = F$ , so  $z \in F$  hence  $D = F$ . Thus,  $R$  is a direct sum of fields hence commutative.

**Remark** This is a special case of a theorem, due to Jacobson, that if  $R$  is such that  $x^{n(x)} = x$  for every  $x \in R$  (where the exponent can depend on  $x$ ), then  $R$  is commutative.

5. (Aug-04.2) Let  $R$  be a ring with 1,  $M$  be a finitely-generated (right)  $R$ -module, and  $N \subset M$  a proper submodule of  $M$ .

- (a) Prove that there exists a maximal submodule of  $M$  containing  $N$ .  
 (b) Show that  $N + MJ$  is a proper submodule of  $M$ , where  $J = J(R)$  is the Jacobson radical of  $R$ .

**Solution:**

- a) This is the module version of Krull's lemma (that a commutative ring with 1 contains a maximal ideal). Let  $\Sigma$  be the set of proper submodules of  $M$  containing  $N$ , partially ordered by inclusion; it is nonempty since it contains  $N$ . If  $C : M_1 \subset M_2 \subset \dots$  is a chain, we claim  $M' = \bigcup M_i$  is an upper bound and a proper submodule of  $M$ . It is clearly an upper bound, and it is proper since otherwise it would necessarily contain each of the generators of  $M$  at some finite stage, but then one of the  $M_i$  would necessarily equal  $M$ , contradiction. Hence Zorn's lemma gives a maximal element, as desired.
- b) This is Nakayama's lemma. Without loss of generality we can replace  $N$  with the maximal submodule  $K$  from part (a); then the result is equivalent to showing that  $K + MJ$  is proper, which is in turn equivalent to showing that  $MJ$  is contained in  $K$  - i.e., that  $MJ$  is contained in every maximal submodule of  $M$ . This last statement is equivalent to the more usual statement of Nakayama's lemma, which says that if  $M$  is finitely-generated and  $M/MJ = 0$  then  $M = 0$ : to prove it, suppose that  $n$  is the smallest possible number of generators  $m_1, \dots, m_n$  of  $M$  and write  $m_n = r_1 m_1 + \dots + r_n m_n$  with the  $r_j \in J$ ; then  $m_n(1 - r_n) = r_1 m_1 + \dots + r_{n-1} m_{n-1}$ , but now since  $r_n \in J$  we know that  $1 - r_n$  is a unit (else  $1 - r_n$  would be contained in some maximal ideal of  $R$  hence in  $J$ , but then  $r_n + (1 - r_n) = 1$  would be in  $J$ , contradiction) hence  $m_n$  is in the span of  $m_1, \dots, m_{n-1}$ . This is a contradiction since then  $m_1, \dots, m_{n-1}$  would generate  $M$ .
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6. (Aug-06.2) Let  $R$  be a ring with 1 and  $N$  a nil ideal of  $R$  such that  $R/N$  has no zero divisors.

- (a) Show that the only idempotents of  $R$  are 0 and 1.  
 (b) If  $R/N$  is a division ring, show that every zero divisor in  $R$  is nilpotent.

**Solution:**

- a) Suppose  $e^2 = e$  in  $R$  so that  $e(1 - e) = 0$ . Passing to  $R/N$  shows that  $\bar{e} \cdot (1 - \bar{e}) = \bar{0}$  in  $R/N$ , so since  $R/N$  has no zero divisors we see that  $e$  or  $1 - e$  is in  $N$ . But then since  $N$  is a nil ideal,  $e^n = 0$  or  $(1 - e)^n = 0$  for some  $n$ , and since  $e^2 = e$  and  $(1 - e)^2 = (1 - e)$  a trivial induction shows  $e = 0$  or  $1 - e = 0$ , hence  $e = 0$  or  $e = 1$ .
- b) Suppose  $x \in R$  has  $\bar{x} \neq \bar{0}$  in  $R/N$  (which is to say,  $x \notin N$ ). Then since  $R/N$  is a division ring,  $\bar{x}$  has a left inverse  $\bar{y}$ , so there exists  $y$  with  $xy = 1 + n$  for some  $n \in N$ . But then  $xy(1 - n + n^2 + \dots + (-n)^k) = 1$  where  $n^k = 0$ , so  $x$  has a left inverse. Symmetrically, we see  $x$  has a right inverse, so it is a unit. Hence every nonunit is contained in  $N$ , so in particular every zero divisor is nilpotent.
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7. (Jan-14.1): Let  $R$  be a commutative ring and  $I$  an ideal of  $R$ .

- (a) Show that the radical of  $I$ ,  $\text{rad}(I)$ , is an ideal of  $R$ . (Recall that the radical is given by the set of all elements  $x \in R$  such that there exists an integer  $n$  such that  $x^n \in I$ .)
- (b) Give an example of an ideal  $I$  in  $\mathbb{Q}[x, y]$  such that  $I$  is non-principal but  $\text{rad}(I)$  is principal.
- (c) Suppose we try to define  $\text{rad}(0)$  in  $R = M_{2 \times 2}(\mathbb{R})$  to be the set of all elements  $r \in R$  such that there exists an integer  $n$  with  $r^n = 0$ . Show that this set  $\text{rad}(0)$  is not an ideal of  $R$ .

**Solution:**

- a) Suppose  $r \in R$  and  $x, y \in \text{rad}(I)$ , so that  $x^n \in I$  and  $y^m \in I$ . Then  $(rx)^n = r^n x^n \in I$ , and  $(x+y)^{m+n} \in I$ , since after expanding with the binomial theorem we see that each term has an  $x^m$  or  $y^m$  (and these are in  $I$ ). Also,  $0 \in \text{rad}(I)$ , so we see  $\text{rad}(I)$  is nonempty and closed under addition and  $R$ -multiplication.
  - b) One example is  $I = (x^2, xy)$ : it is nonprincipal because any generator would necessarily divide both  $x^2$  and  $xy$  hence divide their gcd  $x$ , but  $I$  contains no polynomials of degree less than 2. But then  $\text{rad}(I) = (x)$ : clearly  $\text{rad}(I)$  contains  $x$  since  $x^2 \in I$ , and since  $I \subset (x)$  we see  $\text{rad}(I) \subseteq \text{rad}(x)$ , but since  $(x)$  is prime, it equals its radical.
  - c) This set is not closed under addition or multiplication:  $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 1 \\ -1 & -1 \end{pmatrix}$  are both nilpotent, but neither their sum nor their product (in either order) is.
  - c-alt) A matrix ring over a field is a simple ring, so the only two-sided ideals of  $R$  are 0 and  $R$ , but the set  $\text{rad}(0)$  is neither of those.
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8. (Aug-08.2) Let  $S = \mathbb{Z} \oplus \mathbb{Z}$ , and  $R = \{(a, b) \in S : a \equiv b \pmod{6}\}$ .

- (a) Show that  $R$  is a finitely-generated  $\mathbb{Z}$ -module and conclude that  $R$  is a Noetherian ring.
- (b) Prove that the ideal  $P = \{(a, 0) \in R : a \equiv 0 \pmod{6}\}$  is prime in  $R$ .
- (c) If  $Q$  is a primary ideal of  $R$  with  $P = \text{rad}(Q)$ , show that  $Q = P$ .

**Solution:**

- a) It is easy to see that  $R = \{(a, a + 6k), a, k \in \mathbb{Z}\}$ , so  $R$  is generated by  $(1, 1)$  and  $(0, 6)$ . Since  $\mathbb{Z}$  is Noetherian, so is  $R$ .
  - a-alt)  $S$  is a Noetherian  $\mathbb{Z}$ -module, so any submodule (e.g.,  $R$ ) is Noetherian as well, and a Noetherian module is finitely-generated.
  - b) Suppose  $(a, b) \cdot (c, d) = (6t, 0)$ ; then one of  $b, d$  is zero. By interchanging, we can assume  $b = 0$ ; then since  $(a, b) \in R$  we see  $a \equiv 0 \pmod{6}$ , so  $(a, b) \in P$ . So  $P$  is prime.
  - b-alt) Observe that the homomorphism  $\varphi : R \rightarrow \mathbb{Z}$  sending  $(a, b) \mapsto b$  is surjective and has kernel  $P$ . The first isomorphism theorem then says  $R/P \cong \mathbb{Z}$ , which is an integral domain.
  - c) If  $P = \text{rad}(Q)$  then  $Q$  is contained in  $P$ , and also there is some element  $(a, b) \in Q$  with  $(a, b)^n = (6, 0) \in P$  – but this forces  $(a, b) = (6, 0)$  so  $(6, 0)$  hence all of  $P$  is in  $Q$  so  $Q = P$ .
  - c-alt) In fact this result holds if  $P$  is any principal prime ideal  $(x)$ : if  $P = \text{rad}(Q)$ , we need only see that  $x \in Q$ : since  $x \in P = \text{rad}(Q)$ , there is some  $y \in Q$  with  $y^n = x \in P$ . But since  $P$  is prime, a trivial induction shows  $y \in P$  whence we conclude  $x \in Q$ .
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9. (Jan-12.2) Let  $R$  be a commutative ring with 1 and  $Q$  be a primary ideal of  $R$ . Suppose that  $Q = \bigcap X_i$  is a finite intersection of the ideals  $X_i$ .

- (a) If each  $X_i$  is prime, prove that  $Q = X_j$  for some  $j$ . [Hint: Show that  $Q$  is prime.]  
 (b) If  $R$  is Noetherian and each  $X_i$  is primary, and the radicals of the  $X_i$  are distinct, prove again that  $Q = X_j$  for some  $j$ .

**Solution:**

- a) We claim that  $Q$  is prime. To see this suppose  $xy \in Q$ : then since  $Q$  is primary we know that  $x \in Q$  or  $y^n \in Q$ . In the latter case we have  $y^n \in X_i$  for all  $i$ , but then since each  $X_i$  is prime (hence equal to its radical) we see  $y \in X_i$  for all  $i$ , hence  $y \in Q = \bigcap X_i$ . We conclude that if  $xy \in Q$  then  $x \in Q$  or  $y \in Q$ , meaning  $Q$  is prime.

The result then follows from: if  $Q$  is a prime ideal and  $Q = \bigcap X_i$  is a finite intersection of ideals, then some  $X_i = Q$ . If any  $X_i$  contains the intersections of the others, we can throw it away without changing anything. If after we do this we are left with only one  $X_i$  then it is equal to  $Q$  and we are done. Otherwise, suppose we have 2 or more, and pick  $x_k \in X_k \setminus \bigcap_{i \neq k} X_i$ . Then  $x_1 x_2 \cdots x_k \in Q$  whence some  $x_j \in Q$  since  $Q$  is prime. But this is a contradiction since then  $x_j \in X_j$ , contrary to our assumption.

- b) This follows from the uniqueness part of the primary decomposition theorem: if we reduce this intersection by throwing out ideals contained in the intersection of all the others like in part (a), we get a minimal primary decomposition of  $Q$ . There is one associated prime for  $Q$ , namely  $\text{rad}(Q)$ , so there must be only a single  $X_i$  that survives, and it must be equal to  $Q$ .

- b-alt)** Taking radicals yields  $\text{rad}(Q) = \bigcap \text{rad}(X_i)$ , and applying part (a) we see that  $\text{rad}(Q) = \text{rad}(X_i)$  for some  $i$ , and all of the other  $\text{rad}(X_j)$  contain elements not in  $\text{rad}(X_i)$ . Then if we localize  $Q$  at the prime ideal  $P = \text{rad}(Q)$ , because  $\text{rad}(X_j) \cap (R \setminus P) \neq \emptyset$  for  $j \neq i$ , all of the  $X_j$  except for  $X_i$  are sent to zero. Then taking a contraction shows  $Q = X_i$ , as desired.

10. (Aug-02.2) Let  $R$  be a commutative ring with 1 in which every proper ideal is primary.

- (a) If  $P$  is a prime ideal and  $I$  is any ideal, show that either  $I \subseteq P$  or  $P = IP \subseteq I$ .  
 (b) If  $M$  is a maximal ideal of  $R$ , show that  $M$  is the set of nonunits of  $R$ .  
 (c) Show that  $J$  is prime in  $R$  iff for all  $r \in R$ ,  $r^2 \in J$  implies  $r \in J$ .

**Solution:**

- a) If  $I \subseteq P$  we are done, so choose  $a \in I \setminus P$  and let  $b \in P$  be arbitrary. Then  $ba \in IP$  so since  $IP$  is primary, either  $b \in IP$  or  $a^n \in IP$ : however it cannot be that  $a^n \in IP$  since this would imply  $a^n \in P$  and primality of  $P$  would give  $a \in P$ , which is not true. Hence  $b \in IP$ , so  $P \subseteq IP \subseteq P$ , whence  $P = IP$ .

- b) By part (a), for every ideal  $I$  of  $R$ , it is either the case that  $I \subseteq M$  or  $M \subseteq I$ . Since  $M$  is maximal the latter cannot happen unless  $I = M$  or  $I = R$ , so every proper ideal of  $R$  is contained in  $M$ , hence  $R$  has a unique maximal ideal. Then it is standard to see that a local ring (a ring with a unique maximal ideal) has the property that the maximal ideal is the set of nonunits: a nonunit generates a proper ideal (as it doesn't contain 1) hence the ideal hence the nonunit must be contained in  $M$ , and no unit is contained in  $M$ .

- c) We only need that  $J$  is primary for this part. If  $J$  is prime then we immediately have that  $r^2 \in J$  implies  $r \in J$ . Conversely, suppose  $J$  is a primary ideal and  $xy \in J$ . Then either  $x \in J$  and we are done, or  $y^n \in J$ . We claim that  $y^n \in J$  implies  $y \in J$ : this follows by a downward induction on  $n$ : if  $n$  is even then the criterion implies  $y^{n/2} \in J$ ; if  $n$  is odd then the criterion implies  $y^{(n+1)/2} \in J$ , and in either case we see that a lower power of  $y$  is in  $J$ .