

# Algebra Preliminary Exam Notes

This is a list of most of the definitions, theorems, and propositions contained within *Abstract Algebra 3<sup>rd</sup> edition* by Dummit and Foote as well as some extra useful ones. References made in red in this series of notes refer to the actual number of the theorem in the book.

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## 1. Introduction to Groups

**Theorem 1.1.** Let  $(G, \cdot)$  be a group,  $H \subseteq G$ . Then  $(H, \cdot)$  is a subgroup of  $G$  if

1.  $H \neq \emptyset$ .
2. For all  $a, b \in H$ ,  $ab^{-1} \in H$ .

**Definition 1.2.** Let  $g \in G$  a group, then  $g^{-1}$  is the unique element of  $G$  such that  $gg^{-1} = g^{-1}g = id$

**Definition 1.3.** A **group action** of a group  $G$  on a set  $A$  is a map,  $G \times A$  to  $A$  satisfying the following properties:

1.  $g_1 \cdot (g_2 \cdot a) = (g_1 g_2) \cdot a$ , for all  $g_1, g_2 \in G$  and  $a \in A$ .
2.  $id_G \cdot a = a$  for all  $a \in A$ .

## 2. Subgroups

**Definition 2.1.** Let  $A \subseteq G$ ,  $A \neq \emptyset$ . Define  $C_G(A) = \{g \in G \mid gag^{-1} = a \text{ for all } a \in A\}$ . This subset of  $G$  is called the **centralizer** of  $A$  in  $G$ . Since  $gag^{-1} = a$  if and only if  $ga = ag$ ,  $C_G(A)$  is the set of elements of  $G$  which commute with every element of  $A$ . When  $A = G$  this set is denoted by  $Z(G)$  and is called the **center** of  $G$ .

**Note:**  $Z(G) \leq C_G(A)$  for all  $A \subseteq G$ .

**Definition 2.2.** Let  $A \subseteq G$ ,  $A \neq \emptyset$ . Define  $gAg^{-1} = \{gag^{-1} \mid a \in A\}$ . We define the **normalizer** of  $A$  in  $G$  to be the set  $N_G(A) = \{g \in G \mid gAg^{-1} = A\}$ .

**Definition 2.3.** Let  $G$  be a group acting on a set  $S$ . The **stabilizer**  $G_s$  for some fixed  $s \in S$  is the set

$$G_s = \{g \in G \mid g \cdot s = s\}.$$

**Proposition 2.4.** Let  $A$  be some set and  $G$  be a group. Then  $C_G(A) \leq N_G(A)$ .

*Proof.*  $C_G(A)$  is the kernel of  $N_G(A)$  acting on  $A$  under the conjugation map  $a \mapsto gag^{-1}$ . ♣

**Proposition 2.5.** Let  $G$  be a group and  $S \subseteq G$ ,  $s \neq \emptyset$ . Then  $N_G(S) \leq G$ .

*Proof.* Let  $G$  be a group and  $S \subseteq G$ . We know that  $N_G(S) = \{g \in G \mid gSg^{-1} = S\}$ . If we take  $a, b \in N_G(S)$  we have that

$$abSb^{-1}a^{-1} = aSa^{-1} = S$$

so  $ab \in N_G(S)$ . Similarly we have that for  $a \in N_G(S)$

$$a^{-1}Sa = a^{-1}(aSa^{-1})a = S$$

so  $a^{-1} \in N_G(S)$  and we have that  $N_G(S) \leq G$ . ♣

**Theorem 2.6.** There is only one cyclic group of each order.

**Proposition 2.7.** Let  $G$  be a group, let  $x \in G$  and let  $a \in \mathbb{Z}^\times$ .

1. If  $|x| = \infty$ , then  $|x^a| = \infty$ .

2. If  $|x| = n < \infty$ , then  $|x^a| = \frac{n}{\gcd(n,a)}$ .

**Definition 2.8.** Let  $A \subseteq G$  and define

$$\langle A \rangle = \bigcap_{\substack{A \subseteq H \\ H \leq G}} H.$$

This is called the **subgroup of  $G$  generated by  $A$**  and is simply the intersection of all the subgroups containing the set  $A$ .

**Zorn's Lemma.** If  $A$  is a nonempty partially ordered set in which every chain has an upper bound then  $A$  has a maximal element.

### 3. Quotient Groups and Homomorphisms

**Proposition 3.1.** Let  $G$  and  $H$  be groups and let  $\varphi : G \rightarrow H$  be a homomorphism.

1.  $\varphi(id_G) = id_H$
2.  $\varphi(g^{-1}) = \varphi(g)^{-1}$
3.  $\varphi(g^n) = \varphi(g)^n$
4.  $\ker(\varphi)$  is a subgroup of  $G$
5.  $\text{im}(\varphi)$  is a subgroup of  $H$

**Proposition 3.2.** Let  $G$  be a group and let  $N$  be a subgroup of  $G$

1. The operation on the set of left cosets of  $N$  in  $G$  described by

$$uN \cdot vN = (uv)N$$

is well defined if and only if  $gng^{-1} \in N$  for all  $g \in G$  and all  $n \in N$ .

2. If the above operation is well defined then it makes the set of left cosets of  $N$  in  $G$  into a group. In particular the identity of this group is the coset  $id_G N$  and the inverse of  $gN$  is  $g^{-1}N$ .

**Definition 3.3.** The element  $gng^{-1}$  is called the conjugate of  $n \in N$  by  $g$ . The set  $gNg^{-1}$  is also called the conjugate of  $N$  by  $g$ . The element  $g$  is said to **normalize**  $N$  if  $gNg^{-1} = N$ . A subgroup  $N$  of  $G$  is a **normal subgroup** if every  $g \in G$  normalizes  $N$ . We will write this as  $N \trianglelefteq G$ .

**Theorem 3.4.** Let  $N$  be a subgroup of  $G$ . The following are equivalent.

1.  $N \trianglelefteq G$
2.  $N_G(N) = G$
3.  $gN = Ng \quad \forall g \in G$
4. The operation on left cosets of  $N$  in  $G$  described by **Proposition 3.2** makes the set of left cosets into a group
5.  $gNg^{-1} \subseteq N$  for all  $g \in G$ .

**Lagrange's Theorem.** If  $G$  is a finite group and  $H$  is a subgroup of  $G$ , then the order of  $H$  divides the order of  $G$  and the number of left cosets of  $H$  in  $G$  equals  $\frac{|G|}{|H|}$ .

**Cauchy's Theorem.** If  $G$  is a finite group and  $p$  is a prime dividing  $|G|$  then  $G$  has an element of order  $p$ .

**Definition 3.5.** (**Dedekind and Hamiltonian Groups**) For any group  $G$ , if all the subgroups of  $G$  are normal then  $G$  is called a *Dedekind* group. If  $G$  is non-abelian then  $G$  is called a *Hamiltonian* group.

**Theorem 3.6.** If  $G$  is a finite group of order  $p^\alpha m$ , where  $p$  is a prime and  $p$  does not divide  $m$ , then  $G$  has a subgroup of order  $p^\alpha$  (Proof will be done with the big Sylow theorem).

**Definition 3.7.** Let  $H$  and  $K$  be subgroups of a group and define

$$HK = \{hk \mid h \in H, k \in K\}.$$

**Proposition 3.8.** If  $H$  and  $K$  are subgroups of a group then

$$|HK| = \frac{|H||K|}{|H \cap K|}.$$

**Corollary 3.9.** If  $H$  and  $K$  are subgroups of  $G$  then  $HK$  is a subgroup if  $H$  normalizes  $K$  (i.e. if  $H \subseteq N_G(K)$ ).

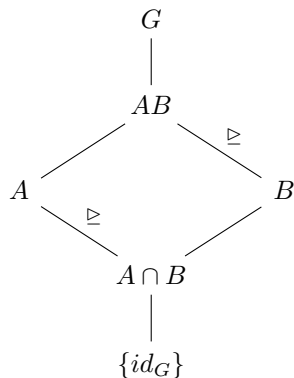
### Isomorphism Theorems

**Theorem 3.10.** (*First Isomorphism Theorem*) If  $\varphi : G \rightarrow H$  is a homomorphism of groups, then  $\ker(\varphi) \trianglelefteq G$  and  $G/\ker(\varphi) \cong \text{im}(\varphi)$ .

**Corollary 3.11.** Let  $\varphi : G \rightarrow H$  be a homomorphism of groups.

1.  $\varphi$  is injective if and only if  $\ker(\varphi) = \text{id}_G$ .
2.  $|G : \ker(\varphi)| = |\text{im}(\varphi)|$ .

**Theorem 3.12.** (*The Second or Diamond Isomorphism Theorem*) Let  $G$  be a group, let  $A$  and  $B$  be subgroups of  $G$  and assume  $A \leq N_G(B)$ . Then  $AB$  is a subgroup of  $G$ ,  $B \trianglelefteq AB$ ,  $A \cap B \trianglelefteq A$  and  $AB/B \cong A/A \cap B$ .



**Theorem 3.13.** (*The Third Isomorphism Theorem*) Let  $G$  be a group and let  $H$  and  $K$  be normal subgroups of  $G$  with  $H \leq K$ . Then  $K/H \trianglelefteq G/H$  and

$$(G/H)/(K/H) \cong G/K$$

**Theorem 3.14.** (*The Fourth Isomorphism Theorem*) Let  $G$  be a group and let  $N$  be a normal subgroup of  $G$ . There is a bijection from the set  $\mathcal{S}$  of subgroups  $A$  of  $G$  which contain  $N$  onto the set  $\mathcal{T}$  of subgroups of the quotient group  $G/N$ . Specifically, there is a bijective map  $\varphi : \mathcal{S} \rightarrow \mathcal{T} : A \mapsto A/N$  and we have the following:

1.  $A \leq B$  if and only if  $A/N \leq B/N$ ,
2. if  $A \leq B$ , then  $|B : A| = |B/N : A/N|$ ,
3.  $\langle A, B \rangle/N = \langle A/N, B/N \rangle$ ,

4.  $(A \cap B)/N = A/N \cap B/N$ , and
5.  $A \trianglelefteq G$  if and only if  $A/N \trianglelefteq G/N$ .

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**Theorem 3.15.** (*Feit-Thompson*) If  $G$  is a simple group of odd order, then  $G \cong \mathbb{Z}/p\mathbb{Z}$  for some prime  $p$ .

**Definition 3.16.** A group  $G$  is **solvable** if there is a chain of subgroups

$$1 = G_0 \trianglelefteq G_1 \trianglelefteq \cdots \trianglelefteq G_n = G$$

such that  $G_{i+1}/G_i$  is abelian for  $i = 0, 1, \dots, n-1$ .

**Theorem 3.17.** The finite group  $G$  is solvable if and only if for every divisor  $n$  of  $|G|$  such that  $\gcd\left(n, \frac{|G|}{n}\right) = 1$ ,  $G$  has a subgroup of order  $n$ .

**Definition 3.18.** The *alternating group of degree  $n$* , denoted by  $A_n$ , is the kernel of the sign homomorphism acting on  $S_n$ .

**Proposition 3.19.** The permutation  $\sigma$  is odd if and only if the number of cycles of even length in its cycle decomposition is odd.

## 4. Group Actions

**Definition 4.1.** Let  $G$  be a group acting on a nonempty set  $A$ . For each  $g \in G$  the map

$$\sigma_g : A \rightarrow A : a \mapsto g \cdot a$$

is a permutation of  $A$ . The homomorphism associated to an action of  $G$  on  $A$

$$\varphi : G \rightarrow S_A : \varphi(g) \mapsto \sigma_g$$

is called the *permutation representation* associated to the given action.

**Definition 4.2.** Let  $G$  be a group acting on a set  $A$

1. The **kernel** of the action is the set of elements of  $G$  that act trivially on every element of  $A$ :  $\{g \in G \mid g \cdot a = a \text{ for all } a \in A\}$ .
2. For each  $a \in A$  the **stabilizer** of  $a$  in  $G$  is the set of elements of  $G$  that fix the element  $a$ :  $\{g \in G \mid g \cdot a = a\}$  and is denoted by  $G_a$ .
3. An action is **faithful** if its kernel is the identity.

**Corollary 4.3.** Let  $G$  be a group acting on a set  $A$ . Two elements of  $G$  induce the same permutation on  $A$  if and only if they are in the same coset.

**Proposition 4.4.** Let  $G$  be a group acting on the nonempty set  $A$ . The relation on  $A$  defined by

$$a \sim b \quad \text{if and only if} \quad a = g \cdot b \text{ for some } g \in G$$

is an equivalence relation. For each  $a \in A$ , the number of elements in the equivalence class containing  $a$  is  $|G : G_a|$ , the index of the stabilizer of  $a$ .

**Definition 4.5.** Let  $G$  be a group acting on the nonempty set  $A$ .

1. The equivalence class  $\{g \cdot a \mid g \in G\}$  is called the **orbit** of  $G$  containing  $a$ .

2. The action of  $G$  on  $A$  is called **transitive** if there is only one orbit, i.e., given any two elements  $a, b \in A$  there is some  $g \in G$  such that  $a = g \cdot b$ .

**Theorem 4.6.** Let  $G$  be a group, let  $H$  be a subgroup of  $G$  and let  $G$  act by left multiplication on the set  $A$  of left cosets of  $H$  in  $G$ . Let  $\pi_H$  be the associated permutation representation afforded by this action. Then

1.  $G$  acts transitively on  $A$
2. the stabilizer in  $G$  of the point  $1H \in A$  is the subgroup  $H$
3. the kernel of the action (i.e., the kernel of  $\pi_H$ ) is  $\bigcap_{x \in G} xHx^{-1}$ , and  $\ker(\pi_H)$  is the largest normal subgroup of  $G$  contained in  $H$ .

**Corollary 4.7.** (*Cayley's Theorem*) Every group is isomorphic to a subgroup of some symmetric group. If  $G$  is of order  $n$ , then  $G$  is isomorphic to a subgroup of  $S_n$ .

**Corollary 4.8.** Let  $G$  be a simple, non-abelian group and let  $H \leq G$ . Then  $G$  is isomorphic to a subgroup of the symmetric group on  $G/H$ ,  $\text{Sym}(G/H)$ .

*Proof.* Let  $G$  be a simple, non-abelian group and let  $H \leq G$ . Suppose that  $G$  acts on the coset space  $G/H$  by left multiplication. Obviously, this action is transitive, so we have that there is a homomorphism

$$\varphi : G \rightarrow \text{Sym}(G/H) : g \mapsto \sigma_g$$

where

$$\sigma_g : G/H \rightarrow G/H : xH \mapsto (g \cdot x)H.$$

Now,  $H$  is a proper subgroup, so  $|G/H| > 1$ , and since  $G$  acts transitively, we have that  $\varphi$  is nontrivial. This gives us that  $\ker(\varphi) \neq G$ , and since  $G$  is simple we get that  $\varphi$  is injective. ♣

**Corollary 4.9.** If  $G$  is a finite group of order  $n$  and  $p$  is the smallest prime dividing  $|G|$ , then any subgroup of index  $p$  is normal. (Note: this is used mostly with subgroups of index 2)

**Definition 4.10.** Two elements  $a$  and  $b$  of  $G$  are said to be **conjugate** in  $G$  if there is some  $g \in G$  such that  $b = gag^{-1}$ . The orbits of  $G$  acting on itself by conjugation are called **conjugacy classes** of  $G$ .

**Definition 4.11.** Two subsets  $S$  and  $T$  of  $G$  are said to be **conjugate in  $G$**  if there is some  $g \in G$  such that  $T = gSg^{-1}$ .

**Proposition 4.12.** The number of conjugates of a subset  $S$  in a group  $G$  is the index of the normalizer of  $S$ ,  $|G : N_G(S)|$ . In particular, the number of conjugates of an element  $s$  of  $G$  is the index of the centralizer of  $s$ ,  $|G : C_G(s)|$ .

**Theorem 4.13.** (*The Class Equation*) Let  $G$  be a finite group and let  $g_1, g_2, \dots, g_r$  be representatives of the distinct conjugacy classes of  $G$  not contained in the center  $Z(G)$  of  $G$ . Then

$$|G| = |Z(G)| + \sum_{i=1}^r |G : C_G(g_i)|.$$

**Theorem 4.14.** (*Orbit Stabilizer Theorem*) Let  $G$  be a group acting on a set  $A$  and consider some  $a \in A$ . Then

$$|\text{Orb}(a)| = |G : \text{Stab}(a)|.$$

**Theorem 4.15.** Every normal subgroup is the union of conjugacy classes.

**Definition 4.16.** Let  $G$  be a group. An isomorphism from  $G$  onto itself is called an **automorphism**. The set of all automorphisms of  $G$  is denoted by  $\text{Aut}(G)$ .

**Proposition 4.17.** Let  $H$  be a normal subgroup of  $G$ . Then  $G$  acts by conjugation on  $H$  as automorphisms of  $H$ . More specifically, the action of  $G$  on  $H$  by conjugation is defined for each  $g \in G$  by

$$h \mapsto ghg^{-1} \quad \text{for each } h \in H.$$

For each  $g \in G$ , conjugation by  $g$  is an automorphism of  $H$ . The permutation representation afforded by this action is a homomorphism of  $G$  into  $\text{Aut}(H)$  with kernel  $C_G(H)$ . In particular,  $G/C_G(H)$  is isomorphic to a subgroup of  $\text{Aut}(H)$ .

**Corollary 4.18.** If  $K$  is any subgroup of the group  $G$  and  $g \in G$ , then  $K \cong gKg^{-1}$ . Conjugate elements and conjugate subgroups have the same order.

**Corollary 4.19.** For any subgroup  $H$  of a group  $G$  the quotient group  $N_G(H)/C_G(H)$  is isomorphic to a subgroup of  $\text{Aut}(H)$ . In particular,  $G/Z(G)$  is isomorphic to a subgroup of  $\text{Aut}(G)$ .

**Definition 4.20.** Let  $G$  be a group and let  $g \in G$ . Conjugation by  $g$  is called an **inner automorphism** of  $G$  and the subgroup of  $\text{Aut}(G)$  consisting of all inner automorphisms is denoted by  $\text{Inn}(G)$ .

**Note:** For any group  $G$  we have that

$$\text{Inn}(G) \cong G/Z(G).$$

This is really useful when proving that  $\text{Aut}(G)$  is nontrivial.

**Definition 4.21.** A subgroup  $H$  of a group  $G$  is called **characteristic** in  $G$ , denoted  $H \text{ char } G$ , if every automorphism of  $G$  maps  $H$  to itself, i.e.,  $\sigma(H) = H$  for all  $\sigma \in \text{Aut}(G)$ .

**Proposition 4.22.** (*Properties of Characteristic Subgroups*)

1. characteristic subgroups are normal
2. if  $H$  is the unique subgroup of  $G$  of a given order, then  $H$  is characteristic in  $G$ , and
3. if  $K \text{ char } H$  and  $H \trianglelefteq G$ , then  $K \trianglelefteq G$ .

**Proposition 4.23.** The automorphism group of the cyclic group of order  $n$  is isomorphic to  $(\mathbb{Z}/n\mathbb{Z})^\times$ , and abelian group of order  $\varphi(n)$  (where  $\varphi$  is Euler's function).

## Sylow Theorems

**Definition 4.24.** Let  $G$  be a group and let  $p$  be a prime.

1. A group of order  $p^\alpha$  for some  $\alpha \geq 0$  is called a  **$p$ -group**. Subgroups of  $G$  which are  $p$ -groups are called  **$p$ -subgroups**.
2. If  $G$  is a group of order  $p^\alpha m$ , where  $p \nmid m$ , then a subgroup of order  $p^\alpha$  is called a **Sylow  $p$ -subgroup** of  $G$ .
3. The set of Sylow  $p$ -subgroups of  $G$  will be denoted by  $\text{Syl}_p(G)$  and the number of Sylow  $p$ -subgroups of  $G$  will be denoted by  $n_p(G)$ .

**Theorem 4.25.** (**Sylow's Theorem**) Let  $G$  be a group of order  $p^\alpha m$ , where  $p$  is a prime not dividing  $m$ .

1. Sylow  $p$ -subgroups of  $G$  exist.

2. If  $P$  is a Sylow  $p$ -subgroup of  $G$  and  $Q$  is any  $p$ -subgroup of  $G$ , then there exists  $g \in G$  such that  $Q \leq gPg^{-1}$ , i.e.,  $Q$  is contained in some conjugate of  $P$ . In particular, any two Sylow  $p$ -subgroups of  $G$  are conjugate in  $G$ .
3. The number of Sylow  $p$ -subgroups in  $G$  is of the form  $1 + kp$ , i.e.,

$$n_p \equiv 1 \pmod{p}.$$

Further,  $n_p$  is the index in  $G$  of the normalizer  $N_G(P)$  for any Sylow  $p$ -subgroup  $P$ , hence  $n_p$  divides  $m$ .

**Lemma 4.26.** Let  $P \in \text{Syl}_p(G)$ . If  $Q$  is any  $p$ -subgroup of  $G$ , then  $Q \cap N_G(P) = Q \cap P$ .

**Theorem 4.27.** A nontrivial  $p$ -group has a nontrivial center.

*Proof.* Let  $G$  be a nontrivial  $p$ -group, and  $P$  the set of order- $p$  elements of  $G$ . We have seen that  $P$  is nonempty, and indeed that  $|P|$  is congruent to  $-1 \pmod{p}$ . Now consider the action of  $G$  on  $P$  by conjugation. The stabilizer under this action of any  $x$  in  $P$  is the centralizer  $C(x)$  of  $x$ , which is the subgroup of  $G$  consisting of all elements that commute with  $x$ . The orbit of  $x$  then has size  $[G : C(x)]$ . But  $G$  is a  $p$ -group, so  $[G : C(x)]$  is a power of  $p$ . Hence  $[G : C(x)]$  is either 1 or a multiple of  $p$ . Since  $|P|$  is not a multiple of  $p$ , it follows that at least one of the orbits is a singleton. Then  $C(x) = G$ , which is to say that  $x$  commutes with every element of  $G$ . We have thus found a nontrivial element  $x$  of the center of  $G$ . ♣

**Corollary 4.28.** Let  $P$  be a Sylow  $p$ -subgroup of  $G$ . Then the following are equivalent:

1.  $P$  is the unique Sylow  $p$ -subgroup of  $G$ , i.e.,  $n_p = 1$
2.  $P$  normal in  $G$
3.  $P$  is characteristic in  $G$
4. All subgroups generated by elements of  $p$ -power order are  $p$ -groups, i.e., if  $X$  is any subset of  $G$  such that  $|x|$  is a power of  $p$  for all  $x \in X$ , then  $\langle X \rangle$  is a  $p$ -subgroup.

## 5. Direct and Semidirect Products and Abelian Groups

**Proposition 5.1.** Let  $G_1, G_2, \dots, G_n$  be groups and let  $G = G_1 \times G_2 \times \dots \times G_n$  be their direct product.

1. For each fixed  $i$  the set of elements of  $G$  which have the identity of  $G_j$  in the  $j^{\text{th}}$  position for all  $j \neq i$  and arbitrary elements of  $G_i$  in position  $i$  is a subgroup of  $G$  isomorphic to  $G_i$ :

$$G_i \cong \{(1, 1, \dots, 1, g_i, 1, \dots, 1) \mid g_i \in G_i\}.$$

If we identify  $G_i$  with this subgroup, then  $G_i \trianglelefteq G$  and

$$G/G_i \cong G_1 \times \dots \times G_{i-1} \times G_{i+1} \times \dots \times G_n.$$

2. for each fixed  $i$  define  $\pi_i : G \rightarrow G_i$  by

$$\pi_i((g_1, g_2, \dots, g_n)) = g_i.$$

Then  $\pi_i$  is a surjective homomorphism with

$$\begin{aligned} \ker(\pi_i) &= \{(g_1, \dots, g_{i-1}, 1, g_{i+1}, \dots, 1) \mid g_j \in G_j \text{ for all } j \neq i\} \\ &\cong G_1 \times \dots \times G_{i-1} \times G_{i+1} \times \dots \times G_n. \end{aligned}$$

3. Under the identifications in part (1), if  $x \in G_i$  and  $y \in G_j$  then  $xy = yx$ .

**Definition 5.2.**

1. A group  $G$  is *finitely generated* if there is a finite subset  $A$  of  $G$  such that  $G = \langle A \rangle$ .



2. For each  $r \in \mathbb{Z}$  with  $r \geq 0$ , let  $\mathbb{Z}^r = \mathbb{Z} \times \mathbb{Z} \times \cdots \times \mathbb{Z}$  be the direct product of  $r$  copies of the group  $\mathbb{Z}$ , where  $\mathbb{Z}^0 = 1$ . The group  $\mathbb{Z}^r$  is called the *free abelian group of rank  $r$* .

**Theorem 5.3.** (*Fundamental Theorem of Finitely Generated Abelian Groups*) Let  $G$  be a finitely generated abelian group. Then

1.

$$G \cong \mathbb{Z}^r \times Z_{n_1} \times Z_{n_2} \times \cdots \times Z_{n_s}$$

for some integers  $r, n_1, n_2, \dots, n_s$  satisfying the following conditions:

- (a)  $r \geq 0$  and  $n_j \geq 2$  for all  $j$ , and
- (b)  $n_{i+1} \mid n_i$  for  $1 \leq i \leq s-1$ .

2. the expression in (1) is unique.

**Definition 5.4.** The integer  $r$  in the previous theorem is called the *free rank* or *Betti number* of  $G$  and the integers  $n_1, n_2, \dots, n_s$  are called the *invariant factors* of  $G$ . The description

$$G \cong \mathbb{Z}^r \times Z_{n_1} \times Z_{n_2} \times \cdots \times Z_{n_s}$$

is called the *invariant factor decomposition* of  $G$ .

**Corollary 5.5.** If  $n$  is the product of distinct primes, then up to isomorphism the only abelian group of order  $n$  is the cyclic group of order  $n$ ,  $\mathbb{Z}/n\mathbb{Z} = Z_n$ .

**Theorem 5.6.** Let  $G$  be an abelian group of order  $n > 1$  and let the unique factorization of  $n$  distinct prime powers be

$$n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_k^{\alpha_k}.$$

Then

1.  $G \cong A_1 \times A_2 \times \cdots \times A_k$ , where  $|A_i| = p_i^{\alpha_i}$
2. for each  $A \in \{A_1, A_2, \dots, A_k\}$  with  $|A| = p^\alpha$ ,

$$A \cong Z_{p^{\beta_1}} \times Z_{p^{\beta_2}} \times \cdots \times Z_{p^{\beta_t}}$$

with  $\beta_1 \geq \beta_2 \geq \cdots \geq \beta_t \geq 1$  and  $\beta_1 + \beta_2 + \cdots + \beta_t = \alpha$

3. the decomposition in (1) and (2) is unique.

**Definition 5.7.** The integers  $p^{\beta_j}$  described in the preceding theorem are called the *elementary divisors* of  $G$ . The description of  $G$  given in the first two parts of the previous theorem is called the *elementary divisor decomposition* of  $G$ .

**Proposition 5.8.** Let  $m, n \in \mathbb{Z}^+$

1.  $Z_m \times Z_n \cong Z_{mn}$  if and only if  $\gcd(m, n) = 1$ .
2. If  $n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_k^{\alpha_k}$  then  $Z_n \cong Z_{p_1^{\alpha_1}} \times Z_{p_2^{\alpha_2}} \times \cdots \times Z_{p_k^{\alpha_k}}$

**Definition 5.9.** Let  $G$  be a group, let  $x, y \in G$  and let  $A, B$  be nonempty subsets of  $G$ .

1. Define  $[x, y] = x^{-1}y^{-1}xy$ , called the *commutator* of  $x$  and  $y$ .
2. Define  $[A, B] = \langle [a, b] \mid a \in A, b \in B \rangle$ , the group generated by commutator of elements from  $A$  and  $B$ .
3. Define  $G' = \langle [x, y] \mid x, y \in G \rangle$ , the subgroup of  $G$  generated by the commutators of elements from  $G$ , called the *commutator subgroup* of  $G$ .

**Proposition 5.10.** Let  $G$  be a group, let  $x, y \in G$  and let  $H \leq G$ . Then

1.  $xy = xy[x, y]$ .
2.  $H \trianglelefteq G$  if and only if  $[H, G] \leq H$ .
3.  $\sigma([x, y]) = [\sigma(x), \sigma(y)]$  for any  $\sigma \in \text{Aut}(G)$ ,  $G'$  char  $G$ , and  $G/G'$  is abelian.
4.  $G/G'$  is the largest abelian quotient of  $G$  in the sense that if  $H \trianglelefteq G$  and  $G/H$  is abelian, then  $G' \leq H$ . Conversely, if  $G' \leq H$  and  $H \trianglelefteq G$ , then  $G/H$  is abelian.
5. If  $\varphi : G \rightarrow A$  is any homomorphism of  $G$  into an abelian group  $A$ , then  $\varphi$  factors through  $G'$  i.e.  $G' \leq \ker(\varphi)$  and the following diagram commutes

$$\begin{array}{ccc} G & \longrightarrow & G/H \\ & \searrow \varphi & \downarrow \\ & & A \end{array}$$

**Proposition 5.11.** Let  $H$  and  $K$  be subgroup of the group  $G$ . The number of distinct ways of writing each element of the set  $HK$  in the form  $hk$ , for some  $h \in H$  and  $k \in K$  is  $|H \cap K|$ . In particular, if  $H \cap K = 1$ , the each element of  $HK$  can be written uniquely as a product  $hk$ , for some  $h \in H$  and  $k \in K$ .

**Theorem 5.12.** (*Product Recognition*) Suppose  $G$  is a group with subgroups  $H$  and  $K$  such that

1.  $H$  and  $K$  are normal in  $G$ , and
2.  $H \cap K = 1$ .

Then  $HK \cong H \times K$ .

**Definition 5.13.** If  $G$  is a group and  $H$  and  $K$  are normal subgroups of  $G$  with  $H \cap K = 1$  then we call  $HK$  the *internal direct product* of  $H$  and  $K$ . We shall call  $H \times K$  the *external direct product* of  $H$  and  $K$  (Note: This difference purely determines the notation of the elements of the group as these two are isomorphic by the recognition theorem).

**Theorem 5.14.** Let  $H$  and  $K$  be groups and let  $\varphi$  be a homomorphism from  $K$  into  $\text{Aut}(H)$ . Let  $\cdot$  denote the (left) action of  $K$  on  $H$  determined by  $\varphi$ . Let  $G$  be the set of ordered pairs  $(h, k)$  with  $h \in H$  and  $k \in K$  and define the following multiplication on  $G$ :

$$(h_1, k_1)(h_2, k_2) = (h_1(k_1 \cdot h_2), k_1 k_2).$$

1. This multiplication makes  $G$  into a group of order  $|H||K|$ .
2. The sets  $\{(h, 1) \mid h \in H\}$  and  $\{(1, k) \mid k \in K\}$  are subgroups of  $G$  and the maps  $h \mapsto (h, 1)$  for  $h \in H$  and  $k \mapsto (1, k)$  for  $k \in K$  are isomorphisms of these subgroups with the groups  $H$  and  $K$  respectively:

$$H \cong \{(h, 1) \mid h \in H\} \quad \text{and} \quad K \cong \{(1, k) \mid k \in K\}.$$

3.  $\hat{H} = \{(h, 1) \mid h \in H\} \trianglelefteq G$
4.  $\hat{H} \cap \hat{K} = 1$
5. for all  $h \in \hat{H}$  and  $k \in \hat{K}$ ,  $khk^{-1} = k \cdot h = \varphi(k)(h)$ .

**Definition 5.15.** Let  $H$  and  $K$  be groups and let  $\varphi$  be a homomorphism from  $K$  into  $\text{Aut}(H)$ . The group described in Theorem 5.14 is called the *semidirect product* of  $H$  and  $K$  with respect to  $\varphi$  and will be denoted  $H \rtimes_{\varphi} K$  (or simply  $H \rtimes K$ ).

**Proposition 5.16.** Let  $H$  and  $K$  be groups and let  $\varphi : K \rightarrow \text{Aut}(H)$  be a homomorphism. Then the following are equivalent:

1. the identity (set) map between  $H \rtimes K$  and  $H \times K$  is a group homomorphism
2.  $\varphi$  is the trivial homomorphism from  $K$  into  $\text{Aut}(H)$
3.  $K \trianglelefteq H \rtimes K$ .

**Theorem 5.17.** Suppose  $G$  is a group with subgroups  $H$  and  $K$  such that

1.  $H$  and  $K$  are normal in  $G$ , and
2.  $H \cap K = 1$ .

Let  $\varphi : K \rightarrow \text{Aut}(H)$  be the homomorphism defined by mapping  $k \in K$  to the automorphism of left conjugation by  $k$  on  $H$ . Then  $HK \cong H \times K$ . In particular, if  $G = HK$  with  $H$  and  $K$  satisfying (1) and (2), then  $G$  is the semidirect product of  $H$  and  $K$ .

**Definition 5.18.** Let  $H$  be a subgroup of  $G$ . A subgroup  $K$  is called a **complement** for  $H$  in  $G$  if  $G = HK$  and  $H \cap K = 1$ .

## 6. Further Topics in Group Theory

**Definition 6.1.** A **maximal subgroup** of a group  $G$  is a proper subgroup  $M$  of  $G$  such that there are no subgroups  $H$  of  $G$  such that  $M < H < G$ .

**Theorem 6.2.** Let  $p$  be a prime and let  $P$  be a group of order  $p^a$ ,  $a \geq 1$ . Then

1. The center of  $P$  is nontrivial.
2. If  $H$  is a nontrivial normal subgroup of  $P$  then  $H$  intersects the center non-trivially. In particular, every subgroup of order  $p$  is contained in the center.
3. If  $H$  is a normal subgroup of  $P$  then  $H$  contains a subgroup of order  $p^b$  that is normal in  $P$  for each divisor  $p^b$  of  $|H|$ . **In particular,  $P$  has a normal subgroup of order  $p^b$  for every  $b \in \{1, 2, \dots, a\}$ .**
4. Let  $H < P$  then  $H < N_P(H)$ .
5. Every maximal subgroup of  $P$  is of index  $p$  and is normal in  $P$ .

**Definition 6.3.**

1. For any (finite or infinite) group  $G$  define the following subgroups inductively

$$Z_0(G) = 1, \quad Z_1(G) = Z(G)$$

and  $Z_{i+1}(G)$  is the subgroup of  $G$  containing  $Z_i(G)$  such that

$$Z_{i+1}(G)/Z_i(G) = Z(G/Z_i(G))$$

(i.e.  $Z_{i+1}(G)$  is the complete preimage in  $G$  of the center of  $G/Z_i(G)$  under the natural projection). The chain of subgroups

$$Z_0(G) \leq Z_1(G) \leq Z_2(G) \leq \dots$$

is called the **upper central series of  $G$** .

2. A group  $G$  is called **nilpotent** if  $Z_c(G) = G$  for some  $c \in \mathbb{Z}$ . The smallest such  $c$  is called the **nilpotence class of  $G$** .

**Proposition 6.4.** Let  $p$  be a prime and let  $P$  be a group of order  $p^a$ . Then  $P$  is nilpotent of nilpotence class at most  $a - 1$  for  $a \geq 2$ .

*Proof.* For each  $i \geq 0$ ,  $P/Z_i(P)$  is a  $p$ -group, so if

$$|P/Z_i(P)| > 1 \text{ then } Z(P/Z_i(P)) \neq 1$$

by **Theorem 6.1 (1)**. Thus if  $Z_i(P) \neq P$  then we have that  $|Z_{i+1}(P)| \geq p|Z_i(P)|$  and so  $|Z_{i+1}(P)| \geq p^{i+1}$ . In particular  $|Z_a(P)| \geq p^a$ , so  $P = Z_a(P)$ . The only way  $P$  could be of nilpotence class exactly equal to  $a$  would be if  $|Z_i(P)| = p^i$  for all  $i$ . In this case, however,  $Z_{a-2}$  would have index  $p^2$  in  $P$ , so  $P/Z_{a-2}(P)$  would be abelian by **Corollary 4.9**. But then  $P/Z_{a-1}(P)$  would equal its center and so  $Z_{a-1}(P)$  would equal  $P$   $\nmid$ . This proves that the class of  $P$  is  $\leq a - 1$ .  $\clubsuit$

**Theorem 6.5.** Let  $G$  be a finite group, let  $p_1, p_2, \dots, p_s$  be the distinct primes dividing the order, and let  $P_i \in \text{Syl}_{p_i}(G)$ ,  $1 \leq i \leq s$ . Then the following are equivalent:

1.  $G$  is nilpotent
2. if  $H < G$  then  $H < N_G(H)$
3.  $P_i \trianglelefteq G$  for  $1 \leq i \leq s$ , i.e., every Sylow subgroup is normal in  $G$
4.  $G \cong P_1 \times P_2 \times \dots \times P_s$ .

**Corollary 6.6.** A finite abelian group is the direct product of its Sylow subgroups (all abelian groups are nilpotent of rank 1).

**Proposition 6.7.** If  $G$  is a finite group such that for all positive integers  $n$  dividing its order,  $G$  contains at most  $n$  elements  $x$  satisfying  $x^n = 1$ , then  $G$  is cyclic.

**Proposition 6.8.** (*Frattini's Argument*) Let  $G$  be a group, let  $H$  be a normal subgroup of  $G$ , and let  $P \in \text{Syl}_p(H)$ . Then  $G = HN_G(P)$  and  $|G : H|$  divides  $|N_G(P)|$ .

**Proposition 6.9.** A finite group is nilpotent if and only if every maximal subgroup is normal.

**Definition 6.10.** For any (finite or infinite) group  $G$  define the following subgroups inductively:

$$G^0 = G, \quad G^1 = [G, G], \quad \text{and} \quad G^{i+1} = [G, G^i].$$

The chain of groups

$$G^0 \geq G^1 \geq G^2 \geq \dots$$

is called the **lower central series of  $G$** .

**Theorem 6.11.** A group  $G$  is nilpotent if and only if  $G^n = 1$  for some  $n \geq 0$ . More precisely,  $G$  is nilpotent of class  $c$  if and only if  $c$  is the smallest nonnegative integer such that  $G^c = 1$ . If  $G$  is nilpotent of class  $c$  then

$$G^{c-1} \leq Z_i(G) \quad \text{for all } i \in \{0, 1, \dots, c\}.$$

**Definition 6.12.** For any group  $G$  define the following sequence of subgroups inductively:

$$G^{(0)} = G, \quad G^{(1)} = [G, G], \quad \text{and} \quad G^{(i+1)} = [G^{(i)}, G^{(i)}] \quad \text{for all } i \geq 1.$$

This series of subgroups is called the **derived or commutator series of  $G$** .

**Theorem 6.13.** A group  $G$  is solvable if and only if  $G^{(n)} = 1$  for some  $n \geq 0$ .

*Proof.* Assume that  $G$  is solvable and so possesses a series

$$1 = H_0 \trianglelefteq H_1 \trianglelefteq \dots \trianglelefteq H_s = G$$

such that each factor  $H_{i+1}/H_i$  is abelian. We prove by induction that  $G^{(i)} \leq H_{s-i}$ . This is true for  $i = 0$ , so assume that  $G^{(i)} \leq H_{s-i}$ . Then

$$G^{(i+1)} = [G^{(i)}, G^{(i)}] \leq [H_{s-i}, H_{s-i}].$$

Since  $G$  is solvable, we know that  $H_{s-i}/H_{s-i-1}$  is abelian. Moreover,  $[H_{s-i}, H_{s-i}]$  is the commutator subgroup of  $H_{s-i}$ , so  $H_{s-i}/[H_{s-i}, H_{s-i}]$  is the largest abelian quotient of  $H_{s-i}$  which gives us that  $[H_{s-i}, H_{s-i}] \leq H_{s-i-1}$ . Thus  $G^{(i+1)}[H_{s-i}, H_{s-i}] \leq H_{s-i-1}$ . Since  $H_0 = 1$ , we have that  $G^{(s)} = 1$ .

Conversely, if  $G^{(n)} = 1$  for some  $n \geq 0$  then if we take  $H_i = G^{(n-i)}$  we have  $H_i$  is the largest abelian quotient of  $H_{i+1}$ . Thus the commutator series satisfies the condition for solvability. ♣

**Proposition 6.14.** Let  $G$  and  $K$  be groups, let  $H$  be a subgroup of  $G$ , and let  $\varphi : G \rightarrow K$  be a surjective homomorphism.

1.  $H^{(i)} \leq G^{(i)}$  for all  $i \geq 0$ . In particular, if  $G$  is solvable, then so is  $H$ .
2.  $\varphi(G^{(i)}) = K^{(i)}$ . In particular, homomorphic images and quotient groups of solvable groups are solvable.
3. If  $N \trianglelefteq G$  and both  $N$  and  $G/N$  are solvable then so is  $G$ .

**Theorem 6.15.** Let  $G$  be a finite group.

1. (Burnside) If  $|G| = p^a q^b$  for some primes  $p$  and  $q$ , then  $G$  is solvable.
2. (Phillip Hall) If for every prime  $p$  dividing  $|G|$  we factor the order of  $G$  as  $|G| = p^a m$  where  $\gcd(p, m) = 1$ , and  $G$  has a subgroup of order  $m$ , then  $G$  is solvable.
3. (Feit-Thompson) If  $|G|$  is odd then  $G$  is solvable.
4. (Thompson) If for every pair of elements  $x, y \in G$ ,  $\langle x, y \rangle$  is a solvable group, then  $G$  is solvable.

- Free Groups -

The basic idea behind a free group  $F(S)$  generated by a set  $S$  is that there are no relations satisfied by any of the elements of  $S$  (in this sense  $S$  can be considered "free" of relations). Now, if we let  $S$  be an arbitrary set then a **word** in  $S$  is a finite sequence of elements of  $S$ . We can then define  $F(S)$  to simply be the set of all words in  $S$ . We shall use this idea to carry out a formal construction of  $F(S)$  for an arbitrary  $S$  below.

One of the important properties that reflects the fact that there are no relations that must be satisfied by members of  $S$  is that any *map* from the set  $S$  to a group  $G$  can be **uniquely extended** to a homomorphism from the group  $F(S)$  to  $G$ . This is called the **universal property** of the free group and is what characterizes the group  $F(S)$ .

$$\begin{array}{ccc} S & \xrightarrow{\text{inclusion}} & F(S) \\ & \searrow \varphi & \downarrow \Phi \\ & & G \end{array}$$

Now, the difficulty in the construction of  $F(S)$  is the proof that the word concatenation operation is both well defined and associative. If we say that  $S$  is given as a set of literals, then we can define a set  $S^{-1}$  such that there is a bijection from the set  $S$  to the set  $S^{-1}$  as given by sending  $s \in S$  to its corresponding  $s^{-1} \in S^{-1}$ . If we then take some singleton set that is not contained in either  $S$  or  $S^{-1}$  and call it  $\{1\}$ . If we then join these sets we can take any  $x \in S \cup S^{-1} \cup \{1\}$  and declare that  $x^1 = x$ . This allows us to think of words of  $S$  as finite products of members of  $S$  and their inverses. A word  $s = (s_1, s_2, s_3, \dots)$  is then said to be *reduced* if

1.  $s_{i+1} \neq s_i^{-1}$  for all  $i$  with  $s_i \neq 1$
2. if  $s_k = 1$  for some  $k$ , then  $s_i = 1$  for all  $i \geq k$

The reduced word  $(1, 1, 1, \dots)$  is called the *empty word* and is denoted by  $1$ . If we let  $F(S)$  be the set of reduced words on  $S$  then we can embed  $S$  into  $F(S)$  by

$$s \mapsto (s, 1, 1, 1, \dots).$$

Under this set injection we identify  $S$  with its image and henceforth consider  $S$  as a subset of  $F(S)$ . We can then introduce a binary operation on the set  $F(S)$  to the tune of word concatenation followed by reduction (this is pretty self-explanatory), and with the introduction of this operation we get our first theorem of this section.

**Theorem 6.16.**  $F(S)$  is a group under the binary operation given above.

**Theorem 6.17.** Let  $G$  be a group,  $S$  a set and  $\varphi : S \rightarrow G$  a set map. Then there is a unique group homomorphism  $\Phi : F(S) \rightarrow G$  such that the following diagram commutes:

$$\begin{array}{ccc} S & \xrightarrow{\text{inclusion}} & F(S) \\ & \searrow \varphi & \downarrow \Phi \\ & & G \end{array}$$

*Proof.* If such a map were to exist, then  $\Phi$  must satisfy  $\Phi(s_1^{\varepsilon_1} s_2^{\varepsilon_2} \dots s_n^{\varepsilon_n}) = \varphi(s_1)^{\varepsilon_1} \varphi(s_2)^{\varepsilon_2} \dots \varphi(s_n)^{\varepsilon_n}$  if it is to be a homomorphism (which gives us uniqueness), and the fact that this actually is a homomorphism follows almost directly. ♣

**Definition 6.18.** The group  $F(S)$  is called the *free group* on the set  $S$ . A group  $F$  is a *free group* if there is some set  $S$  such that  $F = F(S)$  – in this case we call  $S$  the set of *free generators* of  $F$ . The cardinality of  $S$  is called the *rank* of the free group.

**Definition 6.19.** Let  $S$  be a subset of a group  $G$  such that  $G = \langle S \rangle$ .

1. A **presentation** for  $G$  is a pair  $(S, R)$ , where  $R$  is a set of words in  $F(S)$  such that the normal closure of  $\langle R \rangle$  in  $F(S)$  (the smallest normal subgroup containing  $\langle R \rangle$ ) equals the kernel of the homomorphism  $\pi : F(S) \rightarrow G$  (where  $\pi$  extends the identity map from  $S$  to  $G$ ). The elements of  $S$  are called *generators* and those of  $R$  are called *relations* of  $G$ .
2. We say  $G$  is *finitely generated* if there is a presentation  $(S, R)$  such that  $S$  is a finite set and we say  $G$  is *finitely presented* if there is a presentation  $(S, R)$  with both  $S$  and  $R$  finite sets.

## 7. Introduction to Rings

### Definition 7.1.

1. a ring  $R$  is a set together with two binary operations  $+$  and  $\times$  satisfying the following axioms
  - (a)  $(R, +)$  is an abelian group
  - (b)  $\times$  is associative
  - (c) the distributive laws hold in  $R$
2. The ring  $R$  is commutative if  $\times$  is commutative
3. The ring  $R$  is said to have identity if there is an element  $1 \in R$ .

**Definition 7.2.** A ring with identity  $R$  is said to be a *division ring* if every nonzero element has a multiplicative inverse. A commutative division ring is called a *field*.

### Definition 7.3.

1. A nonzero element  $a$  of  $R$  is called a *zero divisor* if there is a nonzero element  $b \in R$  such that  $ab = 0$  or  $ba = 0$ .
2. Assume that  $R$  has identity  $1 \neq 0$ . An element  $u$  of  $R$  is called a **unit** in  $R$  if there is some  $v$  in  $R$  such that  $uv = vu = 1$ . The set of units is denoted  $R^\times$ .

**Definition 7.4.** A commutative ring with identity is called an **integral domain** if it has no zero divisors.

**Proposition 7.5.** Assume that  $a, b$ , and  $c$  are elements of any ring with  $a$  not a zero divisor. If  $ab = ac$  then either  $a = 0$  or  $b = c$ .

**Corollary 7.6.** Any finite integral domain is a field.

**Definition 7.7.** A *subring* of the ring  $R$  is a subgroup of  $R$  that is closed under multiplication.

**Proposition 7.8.** Let  $R$  be an integral domain and let  $p(x), q(x)$  be nonzero elements of  $R[x]$ . Then

1.  $\deg(p(x)q(x)) = \deg(p(x)) + \deg(q(x))$ ,
2. the units of  $R[x]$  are just the units of  $R$ ,
3.  $R[x]$  is an integral domain.

**Definition 7.9.** Let  $R$  and  $S$  be rings.

1. A *ring homomorphism* is a map  $\varphi : R \rightarrow S$  satisfying
  - (a)  $\varphi(a + b) = \varphi(a) + \varphi(b)$  for all  $a, b \in R$ , and
  - (b)  $\varphi(ab) = \varphi(a)\varphi(b)$  for all  $a, b \in R$
2. The *kernel* of the ring homomorphism  $\varphi$  is the set of elements that map to  $0_S$ .
3. A bijective ring homomorphism is called an isomorphism.

**Proposition 7.10.** Let  $R$  and  $S$  be rings and let  $\varphi : R \rightarrow S$  be a homomorphism.

1. The image of  $\varphi$  is a subring of  $S$ .
2. The kernel of  $\varphi$  is a subring of  $R$ . Furthermore, if  $\alpha \in \ker(\varphi)$  then  $r\alpha$  and  $\alpha r$  are in  $\ker(\varphi)$  for every  $r \in R$ .

**Definition 7.11.** Let  $R$  be a ring, let  $I$  be a subset of  $R$  and let  $r \in R$ .

1.  $rI = \{ra \mid a \in I\}$
2. A subset  $I$  of  $R$  is a **left ideal** of  $R$  if
  - (a)  $I$  is a subring of  $R$ , and
  - (b)  $I$  is closed under left multiplication by elements from  $R$ , i.e.,  $rI \subseteq I$  for all  $r \in R$ .

There is a similar definition for a right ideal.

3. A subset  $I$  that is both a left ideal and a right ideal is called an ideal of  $R$ .

**Proposition 7.12.** Let  $R$  be a ring and let  $I$  be an ideal of  $R$ . Then the (additive) quotient group  $R/I$  is a ring under the binary operations:

$$(r + I) + (s + I) = (r + s) + I \quad \text{and} \quad (r + I) \times (s + I) = (rs) + I$$

for all  $r, s \in R$ . Conversely if  $I$  is any subgroup such that the above operations are well defined, then  $I$  is an ideal of  $R$ .

**Definition 7.13.** When  $I$  is an ideal of  $R$  the ring  $R/I$  with the operations in the previous proposition is called the **quotient ring** of  $R$  by  $I$ .

**Theorem 7.14.**

1. (*The First Isomorphism Theorem for Rings*) If  $\varphi : R \rightarrow S$  is a homomorphism of rings, then the kernel of  $\varphi$  is an ideal of  $R$ , the image of  $\varphi$  is a subring of  $S$ , and  $R/\ker(\varphi)$  is isomorphic as a ring to  $\varphi(R)$ .
2. If  $I$  is any ideal of  $R$ , then the map

$$R \rightarrow R/I \quad \text{defined by} \quad r \mapsto r + I$$

is a surjective homomorphism with kernel  $I$ . Thus every ideal is the kernel of a ring homomorphism and vice versa.

**Theorem 7.15.**

1. (*The Second Isomorphism Theorem for Rings*) Let  $A$  be a subring and let  $B$  be an ideal of  $R$ . Then  $A + B = \{a + b \mid a \in A, b \in B\}$  is a subring of  $R$ ,  $A \cap B$  is an ideal of  $A$ , and  $(A + B)/B \cong A/(A \cap B)$ .
2. (*The Third Isomorphism Theorem for Rings*) Let  $I$  and  $J$  be ideals of  $R$  with  $I \subseteq J$ . Then  $J/I$  is an ideal of  $R/I$  and  $(R/I)/(J/I) \cong R/J$ .
3. (*The Fourth or Lattice Isomorphism Theorem for Rings*) Let  $I$  be an ideal of  $R$ . The correspondence  $A \leftrightarrow A/I$  is an inclusion preserving bijection between the set of subrings  $A$  of  $R$  that contain  $I$  and the set of subrings of  $R/I$ . Furthermore,  $A$  is an ideal of  $R$  if and only if  $A/I$  is an ideal of  $R/I$ .

**Definition 7.16.** Let  $R$  be a ring. Then the **characteristic** of the ring  $R$  is the smallest number  $n$  such that  $n1 = 1 + 1 + 1 + \cdots + 1 = 0$ . If this never happens, then the characteristic of  $R$  is said to be 0.

**Proposition 7.17.** Let  $R$  be an integral domain. Then  $\text{char}(R)$  is either prime or 0.



**Definition 7.18.** Let  $A$  be any subset of the ring  $R$ .

1. Let  $(A)$  denote the smallest ideal of  $R$  containing  $A$ , called *the ideal generated by  $A$* .
2. Let  $RA$  denote the set of all finite sums of elements of the form  $ra$  with  $r \in R$  and  $a \in A$ .
3. An ideal generated by a single element is called a *principal ideal*.
4. An ideal generated by a finite set is called a *finitely generated ideal*.

**Proposition 7.19.** Let  $I$  be an ideal of  $R$ .

1.  $I = R$  if and only if  $I$  contains a unit.
2. Assume  $R$  is commutative. Then  $R$  is a field if and only if its only ideals are  $0$  and  $R$ .

**Corollary 7.20.** If  $R$  is a field then any nonzero ring homomorphism from  $R$  into another ring is an injection (the kernel of the ring homomorphism is an ideal).

**Definition 7.21.** An ideal  $M$  in an arbitrary ring  $S$  is called a *maximal ideal* if  $M \neq S$  and the only ideals containing  $M$  are  $M$  and  $S$ .

**Proposition 7.22.** In a ring with identity every proper ideal is contained in a maximal ideal. [NB: This is important because this means ideals in a ring with identity satisfy the ascending chain condition. This becomes really important in the study of infinite rings like the power series ring  $\mathbb{Z}[[x]]$ .]

**Proposition 7.23.** Assume  $R$  is commutative. The ideal  $M$  is maximal if and only if the quotient ring  $R/M$  is a field.

**Definition 7.24.** Assume  $R$  is commutative. An ideal  $P$  is called a *prime ideal* if  $P \neq R$  and whenever the product  $ab$  of two elements  $a, b \in R$  is an element of  $P$ , then at least one of  $a$  and  $b$  is an element of  $P$ .

**Proposition 7.25.** Assume  $R$  is commutative. Then the ideal  $P$  is a prime ideal in  $R$  if and only if the quotient ring  $R/P$  is an integral domain.

**Corollary 7.26.** Assume  $R$  is commutative. Every maximal ideal of  $R$  is a prime ideal.

**Theorem 7.27.** Let  $R$  be a commutative ring. Let  $D$  be any nonempty subset of  $R$  that does not contain  $0$ , does not contain any zero divisors, and is closed under multiplication. Then there is a commutative ring  $Q$  with  $1$  such that  $Q$  contains  $R$  as a subring and every element of  $D$  is a unit in  $Q$ . The ring  $Q$  has the following additional properties:

1. every element of  $Q$  is of the form  $rd^{-1}$  for some  $r \in R$  and  $d \in D$ . In particular, if  $D = R \setminus \{0\}$  then  $Q$  is a field.
2. (uniqueness of  $Q$ ) The ring  $Q$  is the "smallest" ring containing  $R$  in which all the elements of  $D$  become units, in the following sense. Let  $S$  be any commutative ring with identity and let  $\varphi : R \rightarrow S$  be any injective ring homomorphism such that  $\varphi(d)$  is a unit in  $S$  for every  $d \in D$ . Then there is an injective homomorphism  $\Phi : Q \rightarrow S$  such that  $\Phi|_R = \varphi$ . In other words, any ring containing an isomorphic copy of  $R$  in which all the elements of  $D$  become units must also contain an isomorphic copy of  $Q$ .

**Definition 7.28.** Let  $R$ ,  $D$ , and  $Q$  be as in the above theorem.

1. The ring  $Q$  is called the *ring of fractions* of  $D$  with respect to  $R$  and is denoted  $D^{-1}R$ .
2. If  $R$  is an integral domain and  $D = R \setminus \{0\}$ ,  $Q$  is called the *field of fractions* or *quotient field* of  $R$ .

**Corollary 7.29.** Let  $R$  be an integral domain and let  $Q$  be the field of fractions of  $R$ . If a field  $F$  contains a subring  $R'$  isomorphic to  $R$  then the subfield of  $F$  generated by  $R'$  is isomorphic to  $Q$ .

**Definition 7.30.** The ideals  $A$  and  $B$  of the ring  $R$  are said to be **comaximal** if  $A + B = R$ .

**Theorem 7.31.** (*Chinese Remainder Theorem*) Let  $A_1, A_2, \dots, A_k$  be ideals in  $R$ . The map

$$R \rightarrow R/A_1 \times R/A_2 \times \cdots \times R/A_k \quad \text{defined by} \quad r \mapsto (r + A_1, r + A_2, \dots, r + A_k)$$

is a ring homomorphism with kernel  $\cap A_i$ . If for each  $i, j \in \{1, 2, \dots, k\}$  with  $i \neq j$  the ideals  $A_i$  and  $A_j$  are comaximal, then this map is surjective and  $A_1 \cap A_2 \cap \cdots \cap A_k = A_1 A_2 \cdots A_k$ , so

$$R/(A_1 A_2 \cdots A_k) = R/(A_1 \cap A_2 \cap \cdots \cap A_k) \cong R/A_1 \times R/A_2 \times \cdots \times R/A_k.$$

**Corollary 7.32.** Let  $n$  be a positive integer and let  $p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_k^{\alpha_k}$  be its factorization into powers of distinct primes. Then

$$\mathbb{Z}/n\mathbb{Z} \cong (\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z}) \times (\mathbb{Z}/p_2^{\alpha_2}\mathbb{Z}) \times \cdots \times (\mathbb{Z}/p_k^{\alpha_k}\mathbb{Z}),$$

as rings, so in particular we have the following isomorphism of multiplicative groups:

$$(\mathbb{Z}/n\mathbb{Z})^\times \cong (\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z})^\times \times (\mathbb{Z}/p_2^{\alpha_2}\mathbb{Z})^\times \times \cdots \times (\mathbb{Z}/p_k^{\alpha_k}\mathbb{Z})^\times.$$

**Corollary 7.33.** Let  $a, b \in \mathbb{Z}$  then

$$\mathbb{Z}/(m) \times \mathbb{Z}/(n) \cong \mathbb{Z}/(\gcd(m, n)) \times \mathbb{Z}/(\text{lcm}(m, n))$$

*Proof.* (copied from math.stackexchange) Fix  $u, v \in \mathbb{Z}$  with  $un + vm = d$  (Bezout). The map

$$\mathbb{Z}_{\text{lcm}(n, m)} \times \mathbb{Z}_{\gcd(n, m)} \rightarrow \mathbb{Z}_m \times \mathbb{Z}_n$$

$$(a + \text{lcm}(n, m)\mathbb{Z}, b + \gcd(n, m)\mathbb{Z}) \mapsto (ua + \frac{m}{d}b + m\mathbb{Z}, va - \frac{n}{d}b + n\mathbb{Z})$$

is well-defined(!) and clearly a group homomorphism. For the element on the left to be in the kernel,  $ua + \frac{m}{d}b$  must be a multiple of  $m$  and  $va - \frac{n}{d}b$  a multiple of  $n$ . But then

$$\frac{n}{d} \left( ua + \frac{m}{d}b \right) + \frac{m}{d} \left( va - \frac{n}{d}b \right) = \frac{nu + vm}{d}a = a$$

is a multiple of  $\frac{nm}{d} = \text{lcm}(n, m)$ , i.e., we may as well assume that  $a = 0$ . Then  $\frac{m}{d}b$  must be a multiple of  $m$ , i.e.,  $b$  a multiple of  $d$ , i.e.  $b \equiv 0$ . We conclude that the kernel is trivial and our homomorphism injective. As both groups are finite of same order, the homomorphism must be an isomorphism. ♣

## 8. Euclidean Domains, Principal Ideal Domains, and Unique Factorization Domains

All rings in this section are commutative.

**Definition 8.1.** Any function  $N : R \rightarrow \mathbb{Z}_{\geq 0}$  with  $N(0) = 0$  is called a **norm** on the integral domain  $R$ . If  $N(a) > 0$  for all  $a \neq 0$  define  $N$  to be a *positive norm*.

**Definition 8.2.** The integral domain  $R$  is said to be a **Euclidean Domain** if there is a norm  $N$  on  $R$  such that for any two elements  $a$  and  $b$  of  $R$  with  $b \neq 0$  there exist elements  $q$  and  $r$  in  $R$  with

$$a = qb + r \quad \text{with } r = 0 \text{ or } N(r) < N(b).$$

**Definition 8.3.** Let  $R$  be a commutative ring and let  $a, b \in R$  with  $b \neq 0$ .

1.  $a$  is said to be a **multiple** of  $b$  if  $a = bx$  for some  $x \in R$ . In this case  $b$  is said to divide or be a divisor of  $a$ , written  $b \mid a$ .
2. A **greatest common divisor** of  $a$  and  $b$  is a nonzero element  $d$  such that
  - (a)  $d \mid a$  and  $d \mid b$ , and
  - (b) if  $d' \mid a$  and  $d' \mid b$  then  $d \mid d'$ .

A greatest common divisor of  $a$  and  $b$  will be denoted by  $\gcd(a, b)$ .

**Proposition 8.4.** If  $a$  and  $b$  are nonzero elements in the commutative ring  $R$  such that the ideal generated by  $a$  and  $b$  is a principal ideal  $(d)$ , then  $d$  is a greatest common divisor of  $a$  and  $b$ .

**Proposition 8.5.** Let  $R$  be an integral domain. If two elements  $d$  and  $d'$  of  $R$  generate the same principal ideal, then  $d' = ud$  for some unit  $u \in R$ . In particular, if  $d$  and  $d'$  are both greatest common divisors of  $a$  and  $b$ , then  $d' = ud$  for some unit  $u$ .

**Theorem 8.6.** Let  $R$  be a Euclidean Domain and let  $a$  and  $b$  be nonzero elements of  $R$ . Let  $d = r_n$  be the last nonzero remainder in the Euclidean Algorithm for  $a$  and  $b$ . Then

1.  $d$  is a greatest common divisor of  $a$  and  $b$ , and
2. the principal ideal  $(d)$  is the ideal generated by  $a$  and  $b$ . In particular,  $d$  can be written as an  **$R$ -linear combination** of  $a$  and  $b$ , i.e., there are elements  $x$  and  $y$  in  $R$  such that

$$d = ax + by.$$

**Definition 8.7.** A domain  $R$  in which every ideal is principal is called a **Principal Ideal Domain** (PID).

**Proposition 8.8.** Let  $R$  be a PID and let  $a$  and  $b$  be nonzero elements of  $R$ . Let  $d$  be a generator for the principal ideal generated by  $a$  and  $b$ . Then

1.  $d$  is a greatest common divisor of  $a$  and  $b$
2.  $d$  can be written as an  **$R$ -linear combination** of  $a$  and  $b$ , i.e., there are elements  $x$  and  $y$  in  $R$  with

$$d = ax + by$$

3.  $d$  is unique up to multiplication by a unit in  $R$ .

**Proposition 8.9.** Every nonzero prime ideal in a PID is a maximal ideal.

**Corollary 8.10.** If  $R$  is any commutative ring such that the polynomial ring  $R[x]$  is a PID (or Euclidean Domain), then  $R$  is necessarily a field.

**Definition 8.11.** Let  $R$  be an integral domain

1. Suppose  $r \in R$  is nonzero and is not a unit. Then  $r$  is called **irreducible** in  $R$  if whenever  $r = ab$  with  $a, b \in R$  at least one of  $a$  or  $b$  is a unit in  $R$ .
2. The nonzero element  $p \in R$  is called **prime** in  $R$  if the ideal  $(p)$  generated by  $p$  is a prime ideal. In other words, for any  $a, b \in R$  if  $p \mid ab$  then either  $p \mid a$  or  $p \mid b$ .
3. Two elements  $a, b \in R$  differing by a unit are said to be **associate** in  $R$ .

**Proposition 8.12.** In an integral domain a prime element is always irreducible.

**Proposition 8.13.** In a PID a nonzero element is prime if and only if it is irreducible.

**Definition 8.14.** A **Unique Factorization Domain (UFD)** is an integral domain  $R$  in which every nonzero element  $r \in R$  which is not a unit has the following two properties:

1.  $r$  can be written as the finite product of irreducibles  $p_i$  of  $R$ :  $r = p_1 p_2 \cdots p_n$  and
2. the decomposition given in (1) is unique up to associates.

**Proposition 8.15.** In a UFD a nonzero element is a prime if and only if it is irreducible.

**Proposition 8.16.** Let  $a$  and  $b$  be two nonzero elements of the UFD  $R$  and suppose

$$a = u p_1^{e_1} p_2^{e_2} p_3^{e_3} \cdots p_n^{e_n} \quad \text{and} \quad b = v p_1^{f_1} p_2^{f_2} p_3^{f_3} \cdots p_n^{f_n}$$

are prime factorizations for  $a$  and  $b$ , where  $u$  and  $v$  are units, the primes  $p_1, p_2, \dots, p_n$  are *distinct* and the exponents  $e_i$  and  $f_i$  are  $\geq 0$ . Then the element

$$d = p_1^{\min(e_1, f_1)} p_2^{\min(e_2, f_2)} p_3^{\min(e_3, f_3)} \cdots p_n^{\min(e_n, f_n)}$$

is a greatest common divisor of  $a$  and  $b$ .

**Theorem 8.17.** Every PID is a UFD. In particular, every Euclidean Domain is a UFD.

**Lemma 8.18.** The prime number  $p \in \mathbb{Z}$  divides an integer of the form  $n^2 + 1$  if and only if  $p$  is either 2 or is an odd prime congruent to 1 mod 4.

**Proposition 8.19.**

1. (*Fermat's Theorem on sums of squares*) The prime  $p$  is the sum of two integer squares,  $p = a^2 + b^2$  if and only if  $p = 2$  or  $p \equiv 1 \pmod{4}$ . Except for the interchanging  $a$  and  $b$ , the representation of  $p$  as the sum of two squares is unique.
2. The irreducible elements in the Gaussian integers  $\mathbb{Z}[i]$  are as follows
  - (a)  $1 + i$
  - (b) the primes  $p \in \mathbb{Z}$  with  $p \equiv 3 \pmod{4}$
  - (c)  $a + bi$ ,  $a - bi$ , the distinct irreducible factors of  $p = a^2 + b^2$  for the primes  $p \in \mathbb{Z}$  with  $p \equiv 1 \pmod{4}$ .

## 9. Polynomial Rings

**Proposition 9.1.** Let  $I$  be an ideal of  $R$  and let  $(I) = I[x]$  denote the ideal of  $R[x]$  generated by  $I$ . Then

$$R[x]/(I) \cong (R/I)[x].$$

In particular, if  $I$  is a prime ideal of  $R$  then  $(I)$  is a prime ideal of  $R[x]$

**Definition 9.2.** The *polynomial ring in the variables  $x_1, x_2, \dots, x_n$  with coefficients in  $R$* , denoted  $R[x_1, x_2, \dots, x_n]$ , is defined inductively by

$$R[x_1, x_2, \dots, x_n] = R[x_1, x_2, \dots, x_{n-1}][x_n]$$

**Theorem 9.3.** Let  $F$  be a field. The polynomial ring  $F[x]$  is a Euclidean Domain. Specifically, if  $a(x)$  and  $b(x)$  are two polynomials in  $F[x]$  with  $b(x)$  nonzero, then there are *unique*  $q(x)$  and  $r(x)$  in  $F[x]$  such that

$$a(x) = q(x)b(x) + r(x) \quad \text{with } r(x) = 0 \text{ or } \deg(r(x)) < \deg(b(x)).$$

**Proposition 9.4.** (*Gauss' Lemma*) Let  $R$  be a UFD with field of fractions  $F$  and let  $p(x) \in R[x]$ . If  $p(x)$  is reducible in  $F[x]$  then  $p(x)$  is reducible in  $R[x]$ . More precisely, if  $p(x) = A(x)B(x)$  for some nonconstant polynomials  $A(x), B(x) \in F[x]$ , then there are some nonzero elements  $r, s \in F$  such that  $rA(x) = a(x)$  and  $sB(x) = b(x)$  both lie in  $R[x]$  and  $p(x) = a(x)b(x)$  is a factorization in  $R[x]$ .

**Corollary 9.5.** Let  $R$  be a UFD, let  $F$  be its field of fractions and let  $p(x) \in R[x]$ . Suppose the gcd of the coefficients of  $p(x)$  is 1. Then  $p(x)$  is irreducible in  $R[x]$  if and only if it is irreducible in  $F[x]$ . In particular, if  $p(x)$  is a monic polynomial that is irreducible in  $R[x]$ , then  $p(x)$  is irreducible in  $F[x]$ .

**Theorem 9.6.**  $R$  is a UFD if and only if  $R[x]$  is a UFD.

**Corollary 9.7.** If  $R$  is a UFD, then a polynomial ring in an arbitrary number of variables with coefficients in  $R$  is also a UFD.

**Proposition 9.8.** Let  $F$  be a field and let  $p(x) \in F[x]$ . Then  $p(x)$  has a factor of degree one if and only if  $p(x)$  has a root in  $F$ .

**Proposition 9.9.** A polynomial of degree two or three is reducible over a field  $F$  if and only if it has a root in  $F$ .

**Proposition 9.10.** Let  $p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_0$  be a polynomial with integer coefficients. If  $r/s \in \mathbb{Q}$  is in lowest terms and  $r/s$  is a root of  $p(x)$ , then  $r$  divides the constant term and  $s$  divides the leading coefficient of  $p(x)$ . In particular, if  $p(x)$  is a monic polynomial with integer coefficients and  $p(d) \neq 0$  for all integers dividing the constant term of  $p(x)$ , then  $p(x)$  has no roots in  $\mathbb{Q}$ .

**Proposition 9.11.** Let  $I$  be a proper ideal in the integral domain  $R$  and let  $p(x)$  be a nonconstant monic polynomial in  $R[x]$ . If the image of  $p(x)$  in  $(R/I)[x]$  cannot be factored in  $(R/I)[x]$  into two polynomials of smaller degree, then  $p(x)$  is irreducible in  $R[x]$ . (*Use this with  $\mathbb{Z}$  AND  $\mathbb{Z}/p\mathbb{Z}$  to prove irreducibility.*)

**Proposition 9.12.** (*Eisenstein's Criterion*) Let  $P$  be a prime ideal of the integral domain  $R$  and let  $f(x) = x^n + a_{n-1} x^{n-1} + \cdots + a_0$  be a polynomial in  $R[x]$  where  $n \geq 1$ . Suppose  $a_{n-1}, \dots, a_0$  are all elements of  $P$  and suppose  $a_0$  is not an element of  $P^2$ . Then  $f(x)$  is irreducible in  $R[x]$ .

**Proposition 9.13.** The maximal ideals in  $F[x]$  are the ideals  $(f(x))$  generated by irreducible polynomials  $f(x)$ . In particular  $F[x]/(f(x))$  is a field if and only if  $f(x)$  is irreducible.

**Proposition 9.14.** Let  $g(x)$  be a nonconstant monic element of  $F[x]$  and let

$$g(x) = f_1(x)^{n_1} f_2(x)^{n_2} \cdots f_k(x)^{n_k}$$

be its factorization into irreducible, where the  $f_i(x)$  are distinct. Then we have the following isomorphism of rings:

$$F[x]/(g(x)) \cong F[x]/(f_1(x)^{n_1}) \times F[x]/(f_2(x)^{n_2}) \times \cdots F[x]/(f_k(x)^{n_k}).$$

**Proposition 9.15.** If the polynomial  $f(x)$  has roots  $\alpha_1, \alpha_2, \dots, \alpha_k$  in  $F$ , then  $f(x)$  has  $(x - \alpha_1) \cdots (x - \alpha_k)$  as a factor. In particular, a polynomial of degree  $n$  in one variable has at most  $n$  roots in  $F$ , even counted with multiplicity.

**Proposition 9.16.** A finite subgroup of the multiplicative group of a field is cyclic. In particular, if  $F$  is a finite field, the the multiplicative group  $F^\times$  of nonzero elements of  $F$  is a cyclic group.

**Corollary 9.17.** Let  $n \geq 2$  be an integer with factorization  $n = p_1^{\alpha_1} p_2^{\alpha_2} \cdots p_r^{\alpha_r}$  in  $\mathbb{Z}$ , where  $p_1, p_2, \dots, p_r$  are distinct primes. We have the following isomorphism of (multiplicative) groups:

1.  $(\mathbb{Z}/n\mathbb{Z})^\times \cong (\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z})^\times \times (\mathbb{Z}/p_2^{\alpha_2}\mathbb{Z})^\times \times \cdots \times (\mathbb{Z}/p_r^{\alpha_r}\mathbb{Z})^\times$
2.  $(\mathbb{Z}/2^\alpha\mathbb{Z})^\times$  is the direct product of a cyclic group of order 2 and a cyclic group of order  $2^{\alpha-2}$ , for all  $\alpha \geq 2$
3.  $(\mathbb{Z}/p^\alpha\mathbb{Z})^\times$  is a cyclic group of order  $p^{\alpha-1}(p-1)$ , for all odd primes  $p$ .

## 10. Introduction to Module Theory

**Definition 10.1.** Let  $R$  be a ring (not necessarily commutative nor with 1). A **left  $R$ -module** or a **left module over  $R$**  is a set  $M$  together with

1. a binary operation  $+$  on  $M$  under which  $M$  is an abelian group, and
2. an action of  $R$  on  $M$  (that is, a map  $R \times M \rightarrow M$ ) denoted by  $rm$ , for all  $r \in R$  and for all  $m \in M$  which satisfies
  - (a)  $(r+s)m = rm + sm$ , for all  $r, s \in R$ ,  $m \in M$
  - (b)  $(rs)m = r(sm)$ , for all  $r, s \in R$ ,  $m \in M$ , and
  - (c)  $r(m+n) = rm + rn$ , for all  $r, s \in R$ ,  $m \in M$ .

If the ring  $R$  has 1 we impose the additional axiom:

- (d)  $1m = m$ , for all  $m \in M$ .

**Definition 10.2.** Let  $R$  be a ring and let  $M$  be an  $R$ -module. An  **$R$ -submodule** of  $M$  is a subgroup  $N$  of  $M$  which is closed under the action of ring elements.

**Proposition 10.3.** (*The Submodule Criterion*) Let  $R$  be a ring and let  $M$  be an  $R$ -module. A subset  $N$  of  $M$  is a submodule of  $M$  if and only if

1.  $N \neq \emptyset$ , and
2.  $x + ry \in N$  for all  $r \in R$  and for all  $x, y \in M$ .

**Definition 10.4.** Let  $R$  be a ring and let  $M$  and  $N$  be  $R$ -modules.

1. A map  $\varphi : M \rightarrow N$  is an  **$R$ -module homomorphism** if it respects the  $R$ -module structures of  $M$  and  $N$ , i.e.,
  - (a)  $\varphi(x+y) = \varphi(x) + \varphi(y)$ , for all  $x, y \in M$  and
  - (b)  $\varphi(rx) = r\varphi(x)$ , for all  $r \in R$ ,  $x \in M$ .
2. An  $R$ -module homomorphism is an **isomorphism** if it is both injective and surjective. The modules  $M$  and  $N$  are said to be **isomorphic**, denoted  $M \cong N$  if there is some  $R$ -module isomorphism  $\varphi : M \rightarrow N$ .
3. If  $\varphi : M \rightarrow N$  is an  $R$ -module homomorphism, let  $\ker(\varphi) = \{m \in M \mid \varphi(m) = 0\}$  and let  $\varphi(M) = \{n \in N \mid n = \varphi(m) \text{ for some } m \in M\}$ .
4. Let  $M$  and  $N$  be  $R$ -modules and define  $\text{Hom}_R(M, N)$  to be the set of  $R$ -module homomorphisms from  $M$  to  $N$ .

**Proposition 10.5.** Let  $M$ ,  $N$ , and  $L$  be  $R$ -modules

1. A map  $\varphi : M \rightarrow N$  is an  $R$ -module homomorphism if and only if  $\varphi(rx + y) = r\varphi(x) + \varphi(y)$  for all  $x, y \in M$  and  $r \in R$ .
2. Let  $\varphi, \psi$  be elements of  $\text{Hom}_R(M, N)$ . Define  $\varphi + \psi$  by

$$(\varphi + \psi)(m) = \varphi(m) + \psi(m) \quad \text{for all } m \in M.$$

Then  $\varphi + \psi \in \text{Hom}_R(M, N)$  and with this operation  $\text{Hom}_R(M, N)$  is an abelian group. If  $R$  is a commutative ring then for  $r \in R$  define  $r\varphi$  by

$$(r\varphi)(m) = r(\varphi(m)) \quad \text{for all } m \in M.$$

Then  $r\varphi \in \text{Hom}_R(M, N)$  and with this action of the commutative ring  $R$  the abelian group  $\text{Hom}_R(M, N)$  is an  $R$ -module.

3. If  $\varphi \in \text{Hom}_R(L, M)$  and  $\psi \in \text{Hom}_R(M, N)$  then  $\psi \circ \varphi \in \text{Hom}_R(L, N)$ .
4. With addition as above and multiplication defined as function composition,  $\text{Hom}_R(M, M)$  is an  $R$ -algebra.

**Definition 10.6.** The ring  $\text{Hom}_R(M, M)$  is called the **endomorphism ring of  $M$**  and will often be denoted by  $\text{End}_R(M)$ . Elements of  $\text{End}(M)$  are called **endomorphisms**.

**Proposition 10.7.** Let  $R$  be a ring, let  $M$  be an  $R$ -module, and let  $N$  be a submodule of  $M$ . The quotient group  $M/N$  can be made into an  $R$ -module by defining an action of elements of  $R$  by

$$r(x + N) = (rx) + N, \quad \text{for all } r \in R, x + N \in M/N.$$

The natural projection map  $\pi : M \rightarrow M/N$  is an  $R$ -module homomorphism with kernel  $N$ .

**Definition 10.8.** Let  $A, B$  be submodules of the  $R$ -module  $M$ . The *sum* of  $A$  and  $B$  is the set

$$A + B = \{a + b \mid a \in A, b \in B\}.$$

**Definition 10.9.** Let  $M$  be an  $R$ -module and let  $N_1, \dots, N_n$  be submodules of  $M$ .

1. The **sum** of  $N_1, \dots, N_n$  is the set of all finite sums of elements from the sets  $N_i : \{a_1 + \dots + a_n \mid a_i \in N_i\}$ . Denote this sum by  $N_1 + \dots + N_n$ .
2. For any subset  $A$  of  $M$  let

$$RA = \{r_1 a_1 + \dots + r_m a_m \mid a_i \in A, r_i \in R, m \in \mathbb{Z}^+\}.$$

If  $A$  is finite we may write  $Ra_1 + Ra_2 + \dots + Ra_m$ . Call  $RA$  the **submodule of  $M$  generated by  $A$** . If  $N$  is a submodule of  $M$  and  $N = RA$  for some subset  $A$  of  $M$ , we call  $A$  a set of generators or a generating set for  $N$ , and we say that  $N$  is generated by  $A$ .

3. A submodule  $N$  of  $M$  is **finitely generated** if there is some finite subset  $A$  of  $M$  such that  $N = RA$ .
4. A submodule  $N$  of  $M$  is **cyclic** if there exists an element  $a \in M$  such that  $N = Ra$ , that is, if  $N$  is generated by one element.

**Proposition 10.10.** Let  $N_1, N_2, \dots, N_k$  be submodules of the  $R$ -module  $M$ . Then the following are equivalent

1. The map  $\pi : N_1 \times N_2 \times \dots \times N_k \rightarrow N_1 + N_2 + \dots + N_k$  defined by

$$\pi(a_1, a_2, \dots, a_k) = a_1 + a_2 + \dots + a_k$$

is an isomorphism (of  $R$ -modules)

2.  $N_j \cap (N_1 + \dots + N_{j-1} + N_{j+1} + \dots + N_k) = 0$  for all  $j \in \{1, 2, \dots, k\}$ .
3. Every  $x \in N_1 + \dots + N_k$  can be written *uniquely* in the form  $a_1 + a_2 + \dots + a_k$  for  $a_i \in N_i$ .

**Definition 10.11.** If an  $R$ -module  $M = N_1 + N_2 + \dots + N_k$  is the sum of submodules  $N_1, N_2, \dots, N_k$  of  $M$  satisfying the equivalent conditions in the above proposition, then  $M$  is said to be the **(internal) direct sum** of  $N_1, N_2, \dots, N_k$  written

$$M = N_1 \oplus N_2 \oplus \dots \oplus N_k.$$

**Definition 10.12.** And  $R$ -module  $F$  is said to be **free** on the subset  $A$  of  $F$  if for every nonzero element  $x$  of  $F$ , there exist unique nonzero elements  $r_1, r_2, \dots, r_n$  of  $R$  and unique  $a_1, a_2, \dots, a_n$  in  $A$  such that  $x = r_1 a_1 + r_2 a_2 + \dots + r_n a_n$ , for some  $n \in \mathbb{Z}^+$ . In this situation we say  $A$  is a **basis** or **set of free generators** for  $F$ . If  $R$  is a commutative ring the cardinality of  $A$  is called the **rank** of  $F$ .

**Theorem 10.13.** For any set  $A$  there is a free  $R$ -module  $F(A)$  on the set  $A$  and  $F(A)$  satisfies the following **universal property**: if  $M$  is any  $R$ -module and  $\varphi : A \rightarrow M$  is any map of sets, then there is a unique  $R$ -module homomorphism  $\Phi : F(A) \rightarrow M$  such that  $\Phi(a) = \varphi(a)$ , for all  $a \in A$ , that is, the following diagram commutes.

$$\begin{array}{ccc} A & \xrightarrow{\iota} & F(A) \\ & \searrow \varphi & \downarrow \Phi \\ & & M \end{array}$$

**Corollary 10.14.**

1. If  $F_1$  and  $F_2$  are free modules on the same set  $A$ , there is a unique isomorphism between  $F_1$  and  $F_2$  which is the identity map on  $A$ .
2. If  $F$  is any free  $R$ -module with basis  $A$ , then  $F \cong F(A)$ . In particular,  $F$  enjoys the same universal property with respect to  $A$  as  $F(A)$  does in the previous theorem.

## 11. Vector Spaces

**Definition 11.1.** If  $F$  is a field and  $V$  is an  $F$ -module, then  $V$  is called a *vector space over  $F$* .

**Definition 11.2.**

1. A subset  $S$  of  $V$  is called a set of **linearly independent** vectors if an equation  $\alpha_1 v_1 + \dots + \alpha_n v_n = 0$  with  $\alpha_1, \dots, \alpha_n \in F$  and  $v_1, \dots, v_n \in S$  implies  $\alpha_1 = \alpha_2 = \dots = \alpha_n = 0$ . (Note: an infinite set is linearly independent if this condition holds for any finite subset.)
2. A **basis** of a vector space  $V$  is an **ordered set** of linearly independent vectors which span  $V$ . In particular, two bases will be considered different even if one is simply a rearrangement of the other. This is sometimes referred to as an *ordered basis*.

**Proposition 11.3.** Assume that  $\mathcal{A} = \{v_1, v_2, \dots, v_n\}$  spans the vector space  $V$  but no proper subset of  $\mathcal{A}$  spans  $V$ . Then  $\mathcal{A}$  is a basis of  $V$ . In particular, any finitely generated vector space over  $F$  is a free  $F$ -module.

**Theorem 11.4.** (*A Replacement Theorem*) Assume  $\mathcal{A} = \{a_1, a_2, \dots, a_n\}$  is a basis for  $V$  containing  $n$  elements and  $\{b_1, b_2, \dots, b_m\}$  is a set of linearly independent vectors in  $V$ . Then there is an ordering  $a_1, a_2, \dots, a_n$  such that for each  $k \in \{1, 2, \dots, m\}$  the set  $\{b_1, \dots, b_k, a_{k+1}, \dots, a_n\}$  is a basis of  $V$ . In other words, the elements of  $b_1, b_2, \dots, b_m$  can be used to successively replace the elements of the basis  $\mathcal{A}$ , still retaining a basis. In particular  $n \geq m$ .

**Corollary 11.5.**

1. Suppose  $V$  has a finite basis with  $n$  elements. Any set of linearly independent vectors has  $\leq n$  elements. Any spanning set has  $\geq n$  elements.
2. If  $V$  has some finite basis, then any two bases of  $V$  have the same cardinality.

**Definition 11.6.** If  $V$  is a finitely generated  $F$ -module the cardinality of any basis is called the *dimension* of  $V$  and is denoted  $\dim_F(V)$ , or just  $\dim(V)$  when  $F$  is clear from the context, and  $V$  is said to be *finite dimensional over  $F$* . If  $V$  is not finitely generated,  $V$  is said to be *infinite dimensional*.



**Corollary 11.7.** If  $A$  is a set of linearly independent vectors in the finite dimensional vector space  $V$ , then there exists a basis of  $V$  containing  $A$

**Theorem 11.8.** If  $V$  is an  $n$  dimensional vector space over  $F$ , the  $V \cong F^n$ . In particular, any two finite dimensional vector spaces over  $F$  of the same dimension are isomorphic.

*Proof.* Let  $v_1, v_2, \dots, v_n$  be a basis for  $V$ . Define the map

$$\varphi : F^n \rightarrow V : (\alpha_1, \alpha_2, \dots, \alpha_n) \mapsto \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n.$$

The map  $\varphi$  is clearly  $F$ -linear, is surjective since the  $v_i$  span  $V$ , and is injective since the  $v_i$  are linearly independent, hence is an isomorphism. ♣

**Theorem 11.9.** Let  $V$  be a vector space over  $F$  and let  $W$  be a subspace of  $V$ . Then  $V/W$  is a vector space with  $\dim(V) = \dim(W) + \dim(V/W)$ .

**Corollary 11.10.** Let  $\varphi : V \rightarrow U$  be a linear transformation of vector spaces over  $F$ . Then  $\ker(\varphi)$  is a subspace of  $V$ ,  $\varphi(V)$  is a subspace of  $U$ , and  $\dim(V) = \dim(\ker(\varphi)) + \dim(\varphi(V))$ .

**Corollary 11.11.** Let  $\varphi : V \rightarrow U$  be a linear transformation of vector spaces of the same finite dimension. Then the following are equivalent

1.  $\varphi$  is an isomorphism
2.  $\varphi$  is injective, i.e.,  $\ker(\varphi) = 0$
3.  $\varphi$  is surjective
4.  $\varphi$  sends a basis of  $V$  to a basis of  $W$ .

**Definition 11.12.** If  $\varphi : V \rightarrow U$  is a linear transformation of vector spaces over  $F$ ,  $\ker(\varphi)$  is sometimes called the **null space** of  $\varphi$ . and the dimension of  $\ker(\varphi)$  is called the **nullity** of  $\varphi$ . The dimension of  $\varphi(V)$  is called the **rank** of  $\varphi$ . If  $\ker(\varphi) = 0$ , then the transformation is said to be **nonsingular**.

**Definition 11.13.** The  $m \times m$  matrix  $A = (a_{ij})$  associated to the linear transformation  $\varphi$  is said to *represent* the linear transformation  $\varphi$  with respect to the bases  $\mathcal{B}, \mathcal{E}$ . Similarly,  $\varphi$  is the linear transformation represented by  $A$  with respect to the bases  $\mathcal{B}, \mathcal{E}$ .

**Theorem 11.14.** Let  $B$  be a vector space over  $F$  of dimension  $n$  and let  $W$  be a vector space over  $F$  of dimension  $m$ , with bases  $\mathcal{B}, \mathcal{E}$  respectively. Then the map  $\text{Hom}_F(V, W) \rightarrow M_{m \times n}(F)$  from the space of linear transformations from  $v$  to  $W$  to the space of  $m \times n$  matrices with coefficients in  $F$  defined by  $\varphi \mapsto M_{\mathcal{B}}^{\mathcal{E}}(\varphi)$  is a vector space isomorphism. In particular, there is a bijective correspondence between linear transformations and their associated matrices with respect to a fixed choice of bases.

**Corollary 11.15.** The dimension of  $\text{Hom}_F(V, W)$  is  $(\dim(V))(\dim(W))$ .

**Definition 11.16.** An  $m \times n$  matrix  $A$  is called **nonsingular** if  $Ax = 0$  with  $x \in F^n$  implies  $x = 0$ .

**Theorem 11.17.** With notation as above  $M_{\mathcal{B}}^{\mathcal{E}}(\varphi \circ \psi) = M_{\mathcal{B}}^{\mathcal{E}}(\varphi)M_{\mathcal{B}}^{\mathcal{E}}(\psi)$ .

**Corollary 11.18.** Matrix multiplication is associative and distributive. An  $n \times n$  matrix  $A$  is nonsingular if and only if it is invertible.

**Corollary 11.19.**

1. If  $\mathcal{B}$  is a basis of the  $n$ -dimensional space  $V$ , the map  $\varphi \mapsto M_{\mathcal{B}}^{\mathcal{B}}(\varphi)$  is a ring and a vector space isomorphism of  $\text{Hom}_F(V, V)$  onto the space  $M_n(F)$  of  $n \times n$  matrices with coefficients in  $F$ .
2.  $GL(V) \cong GL_n(F)$  where  $\dim(V) = n$ .

**Definition 11.20.** If  $A$  is any  $m \times n$  matrix with entries of  $F$ , the **row rank** of  $A$  is the maximal number of linearly independent rows of  $A$ .

**Definition 11.21.** Two  $n \times n$  matrices  $A$  and  $B$  are said to be **similar** if there is an invertible  $n \times n$  matrix  $P$  such that  $P^{-1}AP = B$ . Two linear transformations  $\varphi$  and  $\psi$  from a vector space  $V$  to itself are said to be **similar** if there is a nonsingular linear transformation  $\xi$

**Definition 11.22.**

1. For  $V$  any vector space over  $F$  let  $V^* = \text{Hom}_F(V, F)$  be the space of linear transformations from  $V$  to  $F$ , called the **dual space** of  $V$ . Elements of  $V^*$  are called **linear functionals**.
2. If  $\mathcal{B} = \{v_1, v_2, \dots, v_n\}$  is a basis of the finite dimensional space  $V$ , define  $v_i^* \in V^*$  for each  $i = 1..n$  by its action on the basis  $\mathcal{B}$ :

$$v_i^*(v_j) = \begin{cases} 1, & \text{if } i = j \\ 0, & \text{if } i \neq j \end{cases} \quad 1 \leq j \leq n.$$

**Proposition 11.23.** With notations as above,  $\{v_1^*, v_2^*, \dots, v_n^*\}$  is a basis of  $V^*$ . In particular, if  $V$  is finite dimensional then  $V^*$  has the same dimension as  $V$ .

*Proof.* (Copied from D&F) Observe that since  $V$  is finite dimensional,  $\dim(V^*) = \dim(\text{Hom}_F(V, F)) = \dim(V) = n$  (**Corollary 11.11**), so since there are  $n$  of the  $v_i^*$ 's it suffices to prove that they are linearly independent. If

$$\alpha_1 v_1^* + \alpha_2 v_2^* + \dots + \alpha_n v_n^* = 0 \quad \text{in } \text{Hom}_F(V, F),$$

then applying this element to  $v_i$  and using the equation above gives us that  $\alpha_i = 0$ . Since  $i$  is arbitrary these elements are linearly independent. ♣

**Definition 11.24.** The basis  $\{v_1^*, v_2^*, \dots, v_n^*\}$  of  $V^*$  is called the **dual basis** to  $\{v_1, v_2, \dots, v_n\}$ .

**Theorem 11.25.** There is a natural injective linear transformation from  $V$  to  $V^{**}$ . If  $V$  is finite dimensional then this linear transformation is an isomorphism.

*Sketch of proof.* Let  $v \in V$  and define the evaluation map  $E_v : V^* \rightarrow F : f \mapsto f(v)$ . This is a linear transformation from  $V^*$  to  $F$ , and so is an element of  $\text{Hom}_F(V^*, F) = V^{**}$ . This defines a natural map  $\varphi : V \rightarrow V^{**} : v \mapsto E_v$ . This map is injective for all  $V$  and  $\varphi$  is an isomorphism if  $V$  is finite dimensional.

**Theorem 11.26.** Let  $V, W$  be finite dimensional vector spaces over  $F$  with bases  $\mathcal{B}, \mathcal{E}$ , respectively and let  $\mathcal{B}^*, \mathcal{E}^*$  be the dual bases. Fix some  $\varphi \in \text{Hom}(V, W)$ . Then for each  $f \in W^*$ , the composite  $f \circ \varphi$  is a linear transformation from  $V$  to  $F$ , that is  $f \circ \varphi \in V^*$ . Thus, we can define a map  $\varphi^* : W^* \rightarrow V^* : f \mapsto f \circ \varphi$  (called the **pullback** of  $f$ ) and the matrix  $M_{\mathcal{E}^*}^{\mathcal{B}^*}(\varphi^*)$  is the transpose of the matrix  $M_{\mathcal{B}}^{\mathcal{E}}(\varphi)$ .

**Corollary 11.27.** For any matrix  $A$ , the row rank of  $A$  equals the column rank of  $A$ .

**Definition 11.28.**

1. A map  $\varphi : V_1 \times V_2 \times \dots \times V_n \rightarrow W$  is called **multilinear** if for each fixed  $i$  and fixed  $i$  and fixed elements  $v_j \in V_j, j \neq i$ , the map

$$V_i \rightarrow W \quad \text{defined by} \quad x \mapsto \varphi(v_1, \dots, v_{i-1}, x, v_{i+1}, \dots, v_n)$$

is an  $R$ -module homomorphism. If  $V_i = V$ ,  $i = 1, 2, \dots, n$ , then  $\varphi$  is called an  $n$ -multilinear function on  $V$ , and if in addition  $W = R$ ,  $\varphi$  is called an  $n$ -multilinear form on  $V$ .

2. An  $n$ -multilinear function  $\varphi$  on  $V$  is called *alternating* if  $\varphi(v_1, v_2, \dots, v_n) = 0$  whenever  $v_i = v_{i+1}$  for some  $i \in \{1, 2, \dots, n-1\}$ . The function  $\varphi$  is called *symmetric* if interchanging  $v_i$  and  $v_j$  for any  $i$  and  $j$  in  $(V_1, v_2, \dots, v_n)$  does not alter the value of  $\varphi$  on this  $n$ -tuple.

**Proposition 11.29.** Let  $\varphi$  be an  $n$ -multilinear alternating function on  $V$ . Then

1.  $\varphi(v_1, \dots, v_{i-1}, v_{i+1}, v_i, v_{i+2}, \dots, v_n) = -\varphi(v_1, v_2, \dots, v_n)$  for any  $i \in \{1, 2, \dots, n-1\}$ .
2. For each  $\sigma \in S_n$ ,  $\varphi(v_{\sigma(1)}, v_{\sigma(2)}, \dots, v_{\sigma(n)}) = \text{sgn}(\sigma)\varphi(v_1, v_2, \dots, v_n)$ .
3. If  $v_i = v_j$  for any pair of distinct  $i, j \in \{1, 2, \dots, n\}$  then  $\varphi(v_1, v_2, \dots, v_n) = 0$ .
4. If  $v_i$  is replaced by  $v_i + \alpha v_j$  in  $(v_1, v_2, \dots, v_n)$  for any  $j \neq i$  and any  $\alpha \in R$ , the value of  $\varphi$  on this  $n$ -tuple is not changed.

**Proposition 11.30.** Assume  $\varphi$  is an  $n$ -multilinear alternating function on  $V$  and that for some  $v_1, v_2, \dots, v_n$  and  $w_1, w_2, \dots, w_n \in V$  and some  $\alpha_{ij} \in R$  we have

$$\begin{aligned} w_1 &= \alpha_{11}v_1 + \alpha_{21}v_2 + \dots + \alpha_{n1}v_n \\ w_2 &= \alpha_{12}v_1 + \alpha_{22}v_2 + \dots + \alpha_{n2}v_n \\ &\vdots \\ w_n &= \alpha_{1n}v_1 + \alpha_{2n}v_2 + \dots + \alpha_{nn}v_n. \end{aligned}$$

Then

$$\varphi(w_1, w_2, \dots, w_n) = \sum_{\sigma \in S_n} \text{sgn}(\sigma) \alpha_{\sigma(1)1} \alpha_{\sigma(2)2} \dots \alpha_{\sigma(n)n} \varphi(v_1, v_2, \dots, v_n).$$

**Definition 11.31.** An  $n \times n$  *determinant function* on  $R$  is any function

$$\det : M_{n \times n}(R) \rightarrow R$$

that satisfies the following two axioms:

1.  $\det$  is an  $n$ -multilinear alternating form on  $R^n (= V)$ , where the  $n$ -tuples are the  $n$  columns of the matrices in  $M_{n \times n}(R)$ .
2.  $\det(I) = 1$ .

**Theorem 11.32.** There is a unique  $n \times n$  determinant function on  $R$  and it can be computed for any  $n \times n$  matrix  $(\alpha_{ij})$  by the formula:

$$\det(\alpha_{ij}) = \sum_{\sigma \in S_n} \text{sgn}(\sigma) \alpha_{\sigma(1)1} \alpha_{\sigma(2)2} \dots \alpha_{\sigma(n)n}$$

**Corollary 11.33.** The determinant is an  $n$ -multilinear function of the rows of  $M_{n \times n}(R)$  and for any  $n \times n$  matrix  $A$ ,  $\det(A) = \det(A^t)$ .

**Theorem 11.34.** (*Cramer's Rule*) If  $A_1, A_2, \dots, A_n$  are the columns of an  $n \times n$  matrix  $A$  and  $B = \beta_1 A_1 + \beta_2 A_2 + \dots + \beta_n A_n$ , for some  $\beta_1, \dots, \beta_n \in R$ , then

$$\beta_i \det(A) = \det(A_1, \dots, A_{i-1}, B, A_{i+1}, \dots, A_n).$$

**Corollary 11.35.** If  $R$  is an integral domain, then  $\det(R) = 0$  for  $A \in M_n(R)$  if and only if the columns of  $A$  are  $R$ -linearly dependent as elements of the free  $R$ -module of rank  $n$ . Also  $\det(A) = 0$  if and only if the rows of  $A$  are  $R$ -linearly dependent.

**Theorem 11.36.** For matrices  $A, B \in M_{n \times n}(R)$ ,  $\det(A, B) = \det(A) \det(B)$ .

**Definition 11.37.** Let  $A = (\alpha_{ij})$  be an  $n \times n$  matrix. For each  $i, j$ , let  $A_{ij}$  be the  $(n-1) \times (n-1)$  matrix obtained from  $A$  by deleting its  $i^{th}$  row and  $j^{th}$  column. Then  $(-1)^{i+j} \det(A_{ij})$  is called the  $ij$  **cofactor** of  $A$ .

**Theorem 11.38.** (*The Cofactor Expansion Formula along the  $i^{th}$  row*) If  $A = (\alpha_{ij})$  is an  $n \times n$  matrix, then for each fixed  $i \in \{1, 2, \dots, n\}$  the determinant of  $A$  can be computed from the formula

$$\det(A) = (-1)^{i+1} \alpha_{i1} \det(A_{i1}) + (-1)^{i+2} \alpha_{i2} \det(A_{i2}) + \dots + (-1)^{i+n} \alpha_{in} \det(A_{in}).$$

**Theorem 11.39.** (*Cofactor Formula for the Inverse of a Matrix*) Let  $A = (\alpha_{ij})$  be an  $n \times n$  matrix and let  $B$  be the transpose of its matrix of cofactors, i.e.,  $B = (\beta_{ij})$ , where  $\beta_{ij} = (-1)^{i+j} \det(A_{ji})$ ,  $1 \leq i, j \leq n$ . Then  $AB = BA = \det(A)I$ . Moreover,  $\det(A)$  is a unit in  $R$  if and only if  $A$  is a unit in  $M_{n \times n}(R)$ ; in this case the matrix  $\frac{1}{\det(A)}B$  is the inverse of  $A$ .

## 12. Modules over Principal Ideal Domains

**Definition 12.1.**

1. The left  $R$  module  $M$  is said to be a **Noetherian  $R$ -module** or to satisfy the **ascending chain condition on submodules** if there are no infinite increasing chains of submodules (any increasing chain stabilizes).
2. The ring  $R$  is said to be **Noetherian** if it is Noetherian as a left module over itself.

**Theorem 12.2.** Let  $R$  be a ring and let  $M$  be a left  $R$ -module. Then the following are equivalent:

1.  $M$  is a Noetherian  $R$ -module.
2. Every nonempty set of submodules of  $M$  contains a maximal element under inclusion.
3. Every submodule of  $M$  is finitely generated.

**Corollary 12.3.** If  $R$  is a PID then every nonempty set of ideal of  $R$  has a maximal element and  $R$  is a Noetherian ring.

**Proposition 12.4.** Let  $R$  be an integral domain and let  $M$  be a free  $R$ -module of rank  $n < \infty$ . Then any  $n+1$  elements of  $M$  are  $R$ -linearly dependent.

**Definition 12.5.** For any integral domain  $R$  the **rank** of an  $R$ -module  $M$  is the maximum number of  $R$ -linearly independent elements of  $M$ .

**Theorem 12.6.** Let  $R$  be a PID, let  $M$  be a free  $R$ -module of finite rank  $n$  and let  $N$  be a submodule of  $M$ . Then

1.  $N$  is free of rank  $m$ ,  $M \leq n$
2. there exists a basis  $y_1, y_2, \dots, y_n$  of  $M$  so that  $a_1 y_1, \dots, a_m y_m$  is a basis of  $N$  where  $a_1, a_2, \dots, a_m$  are nonzero elements of  $R$  with the divisibility relations

$$a_1 \mid a_2 \mid \dots \mid a_m.$$

**Theorem 12.7.** (*Fundamental Theorem, Existence: Invariant Factor Form*) Let  $R$  be a PID and let  $M$  be a finitely generated  $R$ -module.

1. Then  $M$  is isomorphic to the direct sum of finitely many cyclic modules. More precisely,

$$M \cong R^r \oplus R/(a_1) \oplus R/(a_2) \oplus \cdots \oplus R/(a_m)$$

for some integer  $r \geq 0$  and nonzero elements  $a_1, a_2, \dots, a_m$  of  $R$  which are not units in  $R$  and which satisfy the divisibility relations

$$a_1 \mid a_2 \mid \cdots \mid a_m.$$

2.  $M$  is torsion free if and only if  $M$  is free.
3. In the decomposition in (1) the set of torsion elements,

$$\text{Tor}(M) \cong R/(a_1) \oplus R/(a_2) \oplus \cdots \oplus R/(a_m)$$

(Recall:  $\text{Tor}(M) = \{m \in M \mid rm = 0 \text{ for some nonzero } r \in R\}$ ). In particular,  $M$  is a torsion module if and only if  $r = 0$  and in this case the annihilator of  $M$  is the ideal  $(a_m)$ .

**Definition 12.8.** The integer  $r$  in the previous theorem is called the *free rank* or the *Betti number* of  $M$  and the elements  $a_1, a_2, \dots, a_m \in R$  are called the *invariant factors* of  $M$ .

**Theorem 12.9.** (*Fundamental Theorem, Existence: Elementary Divisor Form*) Let  $R$  be a PID and let  $M$  be a finitely generated  $R$ -module. Then  $M$  is the direct sum of a finite number of cyclic module whose annihilators are either  $(0)$  or generated by powers of the primes in  $R$ , i.e.,

$$M \cong R^r \oplus R/(p_1^{\alpha_1}) \oplus R/(p_2^{\alpha_2}) \oplus \cdots \oplus R/(p_t^{\alpha_t})$$

where  $r \geq 0$  is an integer and  $p_1^{\alpha_1}, \dots, p_t^{\alpha_t}$  are positive powers of (not necessarily distinct) primes in  $R$ .

**Definition 12.10.** Let  $R$  be a PID and let  $M$  be a finitely generated  $R$ -module as in the previous theorem. The prime powers  $p_1^{\alpha_1}, \dots, p_t^{\alpha_t}$  are called the *elementary divisors* of  $M$ .

**Theorem 12.11.** (*The Primary Decomposition Theorem*) Let  $R$  be a PID and let  $M$  be a nonzero torsion  $R$ -module with nonzero annihilator  $a$ . Suppose the factorization of  $A$  into distinct prime powers in  $R$  is

$$a = up_1^{\alpha_1} p_2^{\alpha_2} \cdots p_n^{\alpha_n}$$

and let  $N_i = \{x \in M \mid p_i^{\alpha_i} x = 0\}$ .  $1 \leq i \leq n$ . Then  $N_i$  is a submodule of  $M$  with annihilator  $p_i^{\alpha_i}$  and is the submodule of  $M$  of all the elements annihilated by some power of  $p_i$ . We have

$$M \cong N_1 \oplus N_2 \oplus \cdots \oplus N_n.$$

If  $M$  is finitely generated then each  $N_i$  is the direct sum of finitely many cyclic module whose annihilators are divisors of  $p_i^{\alpha_i}$ .

**Definition 12.12.** The submodule  $N_i$  given in the previous theorem is called the  *$p_i$ -primary component* of  $M$ .

**Lemma 12.13.** Let  $R$  be a PID and let  $p$  be a prime in  $R$ . Let  $F$  denote the field  $R/(p)$ .

1. Let  $M = R^r$ . Then  $M/pM \cong F^r$ .
2. Let  $M = R/(a)$  where  $a$  is a nonzero element of  $R$ . Then

$$M/pM \cong \begin{cases} F & \text{if } p \text{ divides } a \text{ in } R \\ 0 & \text{if } p \text{ does not divide } a \text{ in } R. \end{cases}$$

3. Let  $M \cong R/(a_1) \oplus R/(a_2) \oplus \cdots \oplus R/(a_k)$  where each  $a_i$  is divisible by  $p$ . Then  $M/pM \cong F^k$ .

**Theorem 12.14.** (*Fundamental Theorem, Uniqueness*) Let  $R$  be a PID.

1. Two finitely generated  $R$ -modules  $M_1$  and  $M_2$  are isomorphic if and only if they have the same free rank and list of invariant factors.
2. Two finitely generated  $R$ -modules  $M_1$  and  $M_2$  are isomorphic if and only if they have the same free rank and the same list of elementary divisors.

**Corollary 12.15.** Let  $R$  be a PID and let  $M$  be a finitely generated  $R$ -module.

1. The elementary divisors of  $M$  are the prime power factors of the invariant factor of  $M$ .
2. The largest invariant factor of  $M$  is the product of the largest of the distinct prime powers among the elementary divisors of  $M$ , the next largest invariant factor is the product of the largest of the distinct prime powers among the remaining elementary divisors of  $M$ , and so on.

**Corollary 12.16.** (*The Fundamental Theorem of Finitely Generated Abelian Groups*) See [Theorem 5.3](#) and [Theorem 5.5](#).

**Definition 12.17.**

1. An element  $\lambda$  of  $F$  is called an **eigenvalue** of a linear transformation  $T$  if there is a nonzero vector  $v \in V$  such that  $T(v) = \lambda v$ . In this situation  $v$  is called an **eigenvector** of  $T$  with corresponding eigenvalue  $\lambda$ .
2. If  $A$  is an  $n \times n$  matrix with coefficients in  $F$ , and element  $\lambda$  is called an **eigenvalue** of  $A$  with corresponding eigenvector  $v$  if  $v$  is a nonzero  $n \times 1$  column vector such that  $Av = \lambda v$ .
3. If  $\lambda$  is an eigenvalue of the linear transformation  $T$ , the set  $\{v \in V \mid T(v) = \lambda v\}$  is called the **eigenspace** of  $T$  corresponding to the eigenvalue  $\lambda$ . Similarly, if  $\lambda$  is an eigenvalue of the  $n \times n$  matrix  $A$ , the set of  $n \times 1$  matrices  $v$  with  $Av = \lambda v$  is called the **eigenspace** of  $A$  corresponding to the eigenvalue  $\lambda$ .

**Definition 12.18.** The determinant of a linear transformation from  $V$  to  $V$  is the determinant of any matrix representing the linear transformation.

**Proposition 12.19.** The following are equivalent:

1.  $\lambda$  is an eigenvalue of  $T$ .
2.  $\lambda I - T$  is a singular linear transformation.
3.  $\det(\lambda I - T) = 0$ .

**Definition 12.20.** Let  $x$  be an indeterminate over  $F$ . The polynomial  $\det(xI - T)$  is called the **characteristic polynomial** of  $T$  and will be denoted  $c_T(x)$ . If  $A$  is an  $n \times n$  matrix with coefficients in  $F$ ,  $\det(xI - A)$  is called the **characteristic polynomial** of  $A$  and will be denoted  $c_A(x)$ .

**Definition 12.21.** The unique monic polynomial which generates the ideal  $\text{Ann}(V)$  in  $F[x]$  is called the **minimal polynomial** of  $T$  and will be denoted  $m_T(x)$ . The unique monic polynomial of smallest degree which when evaluated at the matrix  $A$  is the zero matrix is called the **minimal polynomial** of  $A$  and will be denoted  $m_A(x)$ .

**Note:** Since  $V$  is finite dimensional, we know that  $V$  is a finitely generated module over  $F$ . So  $V$  is torsion over  $F[x]$  and we have that

$$V \cong F[x]/(a_1(x)) \oplus F[x]/(a_2(x)) \oplus \cdots \oplus F[x]/(a_m(x))$$

where the  $a_i(x)$  are subject to the divisibility relations

$$a_1(x) \mid a_2(x) \mid \cdots \mid a_m(x).$$

These  $a_i(x)$  are called the invariant factors of  $V$ .

**Proposition 12.22.** The minimal polynomial  $m_T(x)$  is the largest invariant factor of  $V$ . All the invariant factors of  $V$  divide  $m_T(x)$ .

**Definition 12.23.** Let  $a(x) = x^k + b_{k-1}x^{k-1} + \cdots + b_1x + b_0$  be any monic polynomial in  $F[x]$ . The **companion matrix** of  $a(x)$  is the  $k \times k$  matrix with 1's down the first subdiagonal,  $-b_0, -b_1, \dots, -b_{k-1}$  down the last column and zeros elsewhere. The companion matrix of  $a(x)$  will be denoted  $\mathcal{C}_{a(x)}$ .

$$\mathcal{C}_{a(x)} = \begin{pmatrix} 0 & 0 & \cdots & \cdots & \cdots & -b_0 \\ 1 & 0 & \cdots & \cdots & \cdots & -b_1 \\ 0 & 1 & \cdots & \cdots & \cdots & -b_2 \\ 0 & 0 & \ddots & & & \vdots \\ \vdots & \vdots & & \ddots & & \vdots \\ 0 & 0 & \cdots & \cdots & 1 & -b_{k-1} \end{pmatrix}$$

**Definition 12.24.**

1. A matrix is said to be in **rational canonical form** if it is the direct sum of companion matrices for monic polynomials  $a_1(x), \dots, a_m(x)$  of degree at least one with  $a_1(x) \mid a_2(x) \mid \cdots \mid a_m(x)$ . The polynomials  $a_i(x)$  are called the *invariant factors* of the matrix. Such a matrix is also said to be a **block diagonal** matrix with block of the companion matrices for the  $a_i(x)$ .

$$\begin{pmatrix} \mathcal{C}_{a_1(x)} & & & \\ & \mathcal{C}_{a_1(x)} & & \\ & & \ddots & \\ & & & \mathcal{C}_{a_m(x)} \end{pmatrix}$$

2. A **rational canonical form** for a linear transformation  $T$  is a matrix representing  $T$  which is in rational canonical form.

**Theorem 12.25.** (*Rational Canonical Form for Linear Transformations*) Let  $V$  be a finite dimensional vector space over the field  $F$  and let  $T$  be a linear transformation of  $V$ .

1. There is a basis for  $V$  with respect to which the matrix for  $T$  is in rational canonical form.
2. The rational canonical form is unique

**Theorem 12.26.** Let  $S$  and  $T$  be linear transformations of  $V$ . Then the following are equivalent:

1.  $S$  and  $T$  are similar linear transformations
2. the  $F[x]$ -modules obtained from  $V$  via  $S$  and via  $T$  are isomorphic  $F[x]$ -modules
3.  $S$  and  $T$  have the same rational canonical form.

**Theorem 12.27.** (*Rational Canonical Form for Linear Transformations*) Let  $A$  be a  $n \times n$  matrix over a field  $F$ .

1. The matrix  $A$  is similar to a matrix in rational canonical form.
2. The rational canonical form of  $A$  is unique.

**Definition 12.28.** The *invariant factors* of an  $n \times n$  matrix over a field  $F$  are the invariant factors of its rational canonical form.

**Theorem 12.29.** Let  $A$  and  $B$  be  $n \times n$  matrices over a field  $F$ . Then  $A$  and  $B$  are similar if and only if  $A$  and  $B$  have the same rational canonical form.

**Corollary 12.30.** Let  $A$  and  $B$  be two  $n \times n$  matrices over a field  $F$  and suppose  $F$  is a subfield of the field  $K$ .

1. The rational canonical form of  $A$  is the same whether it is computed over  $K$  or over  $F$ . The minimal and characteristic polynomials and the invariant factors of  $A$  are the same whether  $A$  is considered as a matrix over  $F$  or as a matrix over  $K$ .
2. The matrices  $A$  and  $B$  are similar over  $K$  if and only if they are similar over  $F$ .

**Lemma 12.31.** Let  $a(x) \in F[x]$  be any monic polynomial.

1. The characteristic polynomial of the companion matrix of  $a(x)$  is  $a(x)$ .
2. If  $M$  is the block diagonal matrix

$$\begin{pmatrix} A_1 & 0 & \cdots & 0 \\ 0 & A_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & A_k \end{pmatrix}$$

given by the direct sum of matrices  $A_1, A_2, \dots, A_k$  then the characteristic polynomial of  $M$  is the product of the characteristic polynomials of  $A_1, A_2, \dots, A_k$ .

**Proposition 12.32.** Let  $A$  be an  $n \times n$  matrix over the field  $F$ .

1. The characteristic polynomial of  $A$  is the product of all the invariant factors of  $A$ .
2. **(The Cayley-Hamilton Theorem)** The minimal polynomial of  $A$  divides the characteristic polynomial of  $A$ .
3. The characteristic polynomial of  $A$  divides some power of the minimal polynomial of  $A$ . In particular these polynomials have the same roots, not counting multiplicities.

**Theorem 12.33.** Let  $A$  be an  $n \times n$  matrix over the field  $F$ . Using the three elementary rows and column operations, the  $n \times n$  matrix  $xI - A$  with entries from  $F[x]$  can be put into the diagonal **Smith Normal Form** given by

$$\begin{pmatrix} 1 & & & & & \\ & \ddots & & & & \\ & & 1 & & & \\ & & & a_1(x) & & \\ & & & & a_2(x) & \\ & & & & & \ddots \\ & & & & & & a_m(x) \end{pmatrix}$$

**Definition 12.34.** The  $k \times k$  matrix with  $\lambda$  along the main diagonal and 1 along the first superdiagonal is called the  $k \times k$  *elementary Jordan matrix with eigenvalue  $\lambda$*  or the *Jordan block of size  $k$  with eigenvalue  $\lambda$* .

$$\begin{pmatrix} \lambda & 1 & & & \\ & \lambda & \ddots & & \\ & & \ddots & 1 & \\ & & & \lambda & 1 \\ & & & & \lambda \end{pmatrix}$$



**Definition 12.35.**

1. A matrix is said to be in **Jordan canonical form** if it is a block diagonal matrix with Jordan blocks along the diagonal.
2. A **Jordan canonical form** for a linear transformation  $T$  is a matrix representing  $T$  which is in Jordan canonical form.

**Theorem 12.36.** (*Jordan Canonical Form for Linear Transformations*) Let  $V$  be a finite dimensional vector space over the field  $F$  and let  $T$  be a linear transformation of  $V$ . Assume that  $F$  contains all the eigenvalues of  $T$ .

1. There is a basis for  $V$  with respect to which the matrix for  $T$  is in Jordan canonical form.
2. The Jordan canonical for  $T$  is unique up to a permutation of the Jordan blocks along the diagonal.

**Theorem 12.37.** (*Jordan Canonical Form for Matrices*) Let  $A$  be a  $n \times n$  matrix over the field  $F$  and assume that  $F$  contains all the eigenvalues of  $A$ .

1. The matrix  $A$  is similar to a matrix in Jordan canonical form.
2. The Jordan canonical for  $A$  is unique up to a permutation of the Jordan blocks along the diagonal.

**Corollary 12.38.**

1. If a matrix  $A$  is similar to a diagonal matrix  $D$ , then  $D$  is the Jordan canonical form of  $A$ .
2. Two diagonal matrices are similar if and only if their diagonal entries are the same up to a permutation.

**Corollary 12.39.** If  $A$  is an  $n \times n$  matrix with entries from  $F$  and  $F$  contains all the eigenvalues of  $A$ , then  $A$  is similar to a diagonal matrix over  $F$  if and only if the minimal polynomial of  $A$  has no repeated roots.

## 13. Field Theory

**Definition 13.1.** The *characteristic* of a field  $F$ , denoted  $ch(F)$ , is defined to be the smallest positive integer  $p$  such that  $p \cdots 1_F = 0$  if such a  $p$  is defined to be 0 otherwise.

**Proposition 13.2.** The characteristic of a field  $F$ ,  $ch(F)$  is either 0 or a prime  $p$ . If  $ch(F) = p$  then for any  $\alpha \in F$ ,

$$p \cdot \alpha = \underbrace{\alpha + \alpha + \cdots + \alpha}_{p \text{ times}} = 0.$$

**Definition 13.3.** The **prime subfield** of a field  $F$  is the subfield of  $F$  generated by the multiplicative identity  $1_F$  of  $F$ . It is (isomorphic to) either  $\mathbb{Q}$  or  $\mathbb{F}_p$ .

**Definition 13.4.** If  $K$  is a field containing the subfield  $F$ , then  $K$  is said to be an **extension field** of  $F$ , denoted  $K/F$  or by the digram

$$\begin{array}{c} K \\ | \\ F \end{array}$$

In particular, every field  $F$  is an extension of its prime subfield. The field  $F$  is sometimes called the **base field** of the extension.

**Definition 13.5.** The **degree** (or **relative degree** or **index**) of a field extension  $K/F$ , denoted  $[K : F]$ , is the dimension of  $K$  as a vector space over  $F$ . The extension is said to be **finite** if the degree of  $K$  is finite and infinite otherwise.

**Proposition 13.6.** Let  $\varphi : F \rightarrow F'$  be a homomorphism of fields. Then  $\varphi$  is either identically 0 or is injective, so that the image of  $\varphi$  is either 0 or isomorphic to  $F$ .

**Theorem 13.7.** Let  $F$  be a field and let  $p(x) \in F[x]$  be an irreducible polynomial. Then there exists a field  $K$  containing an isomorphic copy of  $F$  in which  $p(x)$  has a root. Identifying  $F$  with this isomorphic copy show that there exists an extension of  $F$  in which  $p(x)$  has a root.

**Theorem 13.8.** Let  $p(x) \in F[x]$  be an irreducible polynomial of degree  $n$  over the field  $F$  and let  $K$  be the field  $F[x]/(p(x))$ . Let  $\theta = x \bmod (p(x)) \in K$ . Then the elements

$$1, \theta, \theta^2, \dots, \theta^{n-1}$$

are a basis for  $K$  as a vector space over  $F$ , so the degree of the extension is  $n$ , i.e.,  $[K : F] = n$ . Hence

$$K = \{a_0 + a_1\theta + a_2\theta^2 + \dots + a_{n-1}\theta^{n-1} \mid a_0, a_1, \dots, a_{n-1} \in F\}$$

consists of all polynomials of degree  $< n$  in  $\theta$ .

**Corollary 13.9.** Let  $K$  be as in the previous theorem, and let  $a(\theta), b(\theta) \in K$ . be two polynomials of degree  $< n$  in  $\theta$ . Then addition in  $K$  is defined simply by the usual polynomial addition and multiplication in  $K$  is defined by

$$a(\theta)b(\theta) = r(\theta)$$

where  $r(x)$  is the remainder obtained after dividing the polynomial  $a(x)b(x)$  by  $p(x)$  in  $F[x]$ .

**Definition 13.10.** Let  $K$  be an extension of the field  $F$  and let  $\alpha, \beta, \dots \in K$  be a collection of elements of  $K$ . Then the smallest subfield of  $K$  containing both  $F$  and the elements of  $\alpha, \beta, \dots$  denoted  $F(\alpha, \beta, \dots)$  is called the field **generated by**  $\alpha, \beta, \dots$  **over**  $F$ .

**Definition 13.11.** If the field  $K$  is generated by a single element  $\alpha$  over  $F$ ,  $K = F(\alpha)$ , then  $K$  is said to be a **simple** extension of  $F$  and the element  $\alpha$  is called a **primitive element** for the extension.

**Theorem 13.12.** Let  $F$  be a field and let  $p(x) \in F[x]$  be an irreducible polynomial. Suppose  $K$  is an extension field of  $F$  containing a root  $\alpha$  of  $p(x)$ :  $p(\alpha) = 0$ . Let  $F(\alpha)$  denote the subfield of  $K$  generated over  $F$  by  $\alpha$ . Then

$$F(\alpha) \cong F[x]/(p(x)).$$

**Corollary 13.13.** Suppose in the previous theorem that  $p(x)$  is of degree  $n$ . Then

$$F(\alpha) = \{a_0 + a_1\alpha + a_2\alpha^2 + \dots + a_{n-1}\alpha^{n-1} \mid a_0, a_1, \dots, a_{n-1} \in F\} \subseteq K.$$

**Theorem 13.14.** Let  $\varphi : F \xrightarrow{\sim} F'$  be an isomorphism of fields. Let  $p(x) \in F[x]$  be an irreducible polynomial and let  $p'(x) \in F'[x]$  be the irreducible polynomial obtained by applying the map  $\varphi$  to the coefficients of  $p(x)$ . Let  $\alpha$  be a root of  $p(x)$  and let  $\beta$  be a root of  $p'(x)$ . Then there is an isomorphism

$$\sigma : F(\alpha) \xrightarrow{\sim} F'(\beta)$$

$$\alpha \mapsto \beta$$

**Definition 13.15.** The element  $\alpha \in K$  is said to be **algebraic** over  $F$  if  $\alpha$  is a root of some nonzero polynomial  $f(x) \in F[x]$ . If  $\alpha$  is not algebraic over  $F$  then  $\alpha$  is said to be **transcendental** over  $F$ . The extension  $K/F$  is said to be **algebraic** if every element of  $K$  is algebraic over  $F$ .

**Note:** If  $K$  is algebraic then it is not necessarily true that  $K$  is finite. Consider the set  $A$  of all algebraic numbers over  $\mathbb{Q}$ . Then  $\mathbb{Q}(A)$  is algebraic but is certainly not finite.

**Proposition 13.16.** Let  $\alpha$  be algebraic over  $F$ . Then there is a unique, monic, irreducible polynomial  $m_{\alpha,F}(x) \in F[x]$  which has  $\alpha$  as a root. A polynomial  $f(x) \in F[x]$  has  $\alpha$  as a root if and only if  $m_{\alpha,F}(x)$  divides  $f(x)$  in  $F[x]$ .

**Corollary 13.17.** If  $L/F$  is an extension of fields and  $\alpha$  is algebraic over both  $F$  and  $L$ , then  $m_{\alpha,L}(x)$  divides  $m_{\alpha,F}(x)$  in  $L[x]$ .

**Definition 13.18.** The polynomial  $m_{\alpha,F}(x)$  is called the *minimal polynomial* for  $\alpha$  over  $F$ . The *degree* of  $m_{\alpha,F}(x)$  is called the *degree* of  $\alpha$ .

**Proposition 13.19.** Let  $\alpha$  be algebraic over the field  $F$  and let  $F(\alpha)$  be the field generated by  $\alpha$  over  $F$ . Then

$$F(\alpha) \cong F[x]/(m_{\alpha,F}(x))$$

so that in particular

$$[F(\alpha) : F] = \deg(m_{\alpha,F}(x)) = \deg \alpha,$$

i.e., the degree of  $\alpha$  over  $F$  is the degree of the extension it generates over  $F$ .

**Proposition 13.20.** The element  $\alpha$  is algebraic over  $F$  if and only if the simple extension  $F(\alpha)/F$  is finite.

**Corollary 13.21.** If the extension  $K/F$  is finite, then it is algebraic.

**Theorem 13.22.** Let  $F \subseteq K \subseteq L$  be fields. Then

$$[L : F] = [L : K][K : F].$$

**Corollary 13.23.** Suppose  $L/F$  is a finite extension and let  $K$  be any subfield of  $L$  containing  $F$ ,  $F \subseteq K \subseteq L$ . Then  $[K : F]$  divides  $[L : F]$ .

**Definition 13.24.** An extension  $K/F$  is *finitely generated* if there are elements  $\alpha_1, \alpha_2, \dots, \alpha_k$  in  $K$  such that  $K = F(\alpha_1, \alpha_2, \dots, \alpha_k)$ .

**Lemma 13.25.**  $F(\alpha, \beta) = (F(\alpha))(\beta)$ .

**Theorem 13.26.** The extension  $K/F$  is finite if and only if  $K$  is generated by a finite number of algebraic elements over  $F$ . More precisely, a field generated over  $F$  by a finite number of algebraic elements of degrees  $n_1, n_2, \dots, n_k$  is algebraic of degree  $\leq n_1 n_2 \cdots n_k$ .

**Corollary 13.27.** Suppose  $\alpha$  and  $\beta$  are algebraic over  $F$ . Then  $\alpha \pm \beta$ ,  $\alpha\beta$ ,  $\alpha/\beta$  (for  $\beta \neq 0$ ), are all algebraic.

**Corollary 13.28.** Let  $L/F$  be an arbitrary extension. Then the collection of elements of  $L$  that are algebraic over  $F$  form a subfield  $K$  of  $L$ .

**Theorem 13.29.** If  $K$  is algebraic over  $F$  and  $L$  is algebraic over  $K$ , then  $L$  is algebraic over  $F$ .

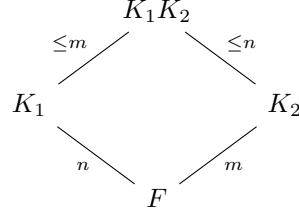
**Definition 13.30.** Let  $K_1$  and  $K_2$  be two subfields of a field  $K$ . Then the **composite field** of  $K_1$  and  $K_2$ , denoted  $K_1K_2$ , is the smallest subfield of  $K$  containing both  $K_1$  and  $K_2$ . Similarly, the composite of any collection of subfields of  $K$  is the smallest subfield containing all the subfields.

**Proposition 13.31.** Let  $K_1$  and  $K_2$  be two finite extensions of a field  $F$  contained in  $K$ . Then

$$[K_1K_2 : F] \leq [K_1 : F][K_2 : F]$$

with equality if and only if an  $F$ -basis for one of the fields remains linearly independent over the other field. If  $\alpha_1, \alpha_2, \dots, \alpha_n$  and  $\beta_1, \beta_2, \dots, \beta_m$  are bases for  $K_1$  and  $K_2$  over  $F$ , respectively, then the elements  $\alpha_i\beta_j$  for  $i = 1..n$  and  $j = 1..m$  span  $K_1K_2$  over  $F$ .

By this proposition, we have the following diagram



**Corollary 13.32.** Suppose that  $[K_1 : F] = n$ ,  $[K_2 : F] = m$  in the previous proposition, where  $m$  and  $n$  are relatively prime. Then  $[K_1K_2 : F] = [K_1 : F][K_2 : F]$ .

**Proposition 13.33.** If the element  $\alpha \in \mathbb{R}$  is obtained from a field  $F \subset \mathbb{R}$  by a series of straightedge and compass constructions then  $[F(\alpha) : F] = 2^k$  for some integer  $k \geq 0$ .

**Definition 13.34.** The extension field  $K$  of  $F$  is called a **splitting field** for the polynomial  $f(x) \in F[x]$  if  $f(x)$  factors completely in  $K[x]$  and  $f(x)$  does not factor completely into linear factors over any proper subfield of  $K$  containing  $F$ .

**Theorem 13.35.** For any field  $F$ , if  $f(x) \in F[x]$  then there exists an extension  $K$  of  $F$  which is a splitting field for  $f(x)$ .

**Definition 13.36.** If  $K$  is an algebraic extension of  $F$  which is the splitting field over  $F$  for a collection of polynomials  $f(x) \in F[x]$  then  $K$  is called a **normal extension** of  $F$ .

**Proposition 13.37.** A splitting field of a polynomial of degree  $n$  over  $F$  is of degree at most  $n!$  over  $F$ .

**Definition 13.38.** A generator of the cyclic group of all  $n^{\text{th}}$  roots of unity is called a **primitive  $n^{\text{th}}$  root of unity**.

**Definition 13.39.** The field  $\mathbb{Q}(\zeta_n)$  is called the **cyclotomic field of  $n^{\text{th}}$  roots of unity**.

**Theorem 13.40.** Let  $\varphi : F \xrightarrow{\sim} F'$  be an isomorphism of fields. Let  $f(x) \in F[x]$  be a polynomial and let  $f'(x) \in F'[x]$  be the polynomial obtained by applying  $\varphi$  to the coefficients of  $f(x)$ . Let  $E$  be a splitting field for  $f(x)$  over  $F$  and let  $E'$  be a splitting field for  $f'(x)$  over  $F'$ . Then the isomorphism  $\varphi$  extends to an isomorphism  $\sigma : E \xrightarrow{\sim} E'$ , i.e.,  $\sigma$  restricted to  $F$  is the isomorphism  $\varphi$ :

$$\begin{array}{ccc}
 \sigma : & E & \xrightarrow{\sim} E' \\
 & \downarrow & \downarrow \\
 \varphi : & F & \xrightarrow{\sim} F'
 \end{array}$$

**Corollary 13.41.** (*Uniqueness of Splitting Fields*) Any two splitting fields for a polynomial  $f(x) \in F[x]$  over a field  $F$  are isomorphic.

**Definition 13.42.** The field  $\overline{F}$  is called an **algebraic closure** of  $F$  if  $\overline{F}$  is algebraic over  $F$  and if every polynomial  $f(x) \in F[x]$  splits completely over  $\overline{F}$ .

**Definition 13.43.** A field  $K$  is said to be **algebraically closed** if every polynomial with coefficients in  $K$  has root in  $K$ .

**Proposition 13.44.** Let  $\overline{F}$  be an algebraic closure of  $F$ . Then  $\overline{F}$  is algebraically closed.

**Proposition 13.45.** For any field  $F$  there exists an algebraically closed field  $K$  containing  $F$ .

**Proposition 13.46.** Let  $K$  be an algebraically closed field and let  $F$  be a subfield of  $K$ . Then the collection of elements  $\overline{F}$  of  $K$  that are algebraic over  $F$  is an algebraic closure of  $F$ . An algebraic closure is unique up to isomorphism.

**Definition 13.47.** A polynomial over  $F$  is called **separable** if it has no multiple roots. A polynomial which is not separable is called **inseparable**.

**Definition 13.48.** The **derivative** of the polynomial

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0 \in F[x]$$

is defined to be the polynomial

$$D_x f(x) = n a_n x^{n-1} + (n-1) a_{n-1} x^{n-2} + \cdots + 2 a_2 x + a_1 \in F[x].$$

**Proposition 13.49.** A polynomial  $f(x)$  has a multiple root  $\alpha$  if and only if  $\alpha$  is also a root of  $D_x f(x)$ . In particular,  $f(x)$  is separable if and only if it is relatively prime to its derivative  $\gcd(f(x), D_x f(x)) = 1$ .

**Corollary 13.50.** Every *irreducible* polynomial over a field of characteristic 0 is separable. A polynomial over such a field is separable if and only if it is the product of distinct irreducible polynomials.

**Proposition 13.51.** Let  $F$  be a field of characteristic  $p$ . Then for any  $a, b \in F$ ,

$$(a + b)^p = a^p + b^p, \quad \text{and} \quad (ab)^p = a^p b^p.$$

Put another way, the  $p^{\text{th}}$ -power map defined by  $\varphi(a) = a^p$  is an injective field homomorphism from  $F$  to  $F$ . This map is called the **Frobenius endomorphism** of  $F$ .

**Corollary 13.52.** Suppose that  $\mathbb{F}$  is a finite field of characteristic  $p$ . Then every element of  $\mathbb{F}$  is a  $p^{\text{th}}$  power in  $\mathbb{F}$  (notationally  $\mathbb{F} = \mathbb{F}^p$ ).

**Proposition 13.53.** Every irreducible polynomial over a finite field  $\mathbb{F}$  is separable. A polynomial in  $\mathbb{F}[x]$  is separable if and only if it is the product of distinct irreducible polynomials in  $\mathbb{F}[x]$ .

**Definition 13.54.** A field  $K$  of characteristic  $p$  is called **perfect** if every element of  $K$  is a  $p^{\text{th}}$  power in  $K$ , i.e.,  $K = K^p$ . Any field of characteristic 0 is also called perfect.

**Proposition 13.55.** Let  $p(x)$  be an irreducible polynomial over a field  $F$  of characteristic  $p$ . Then there is a unique integer  $k \geq 0$  and a unique irreducible, separable polynomial  $p_{sep}(x) \in F[x]$  such that

$$p(x) = p_{sep}(x^{p^k}).$$

**Definition 13.56.** Let  $p(x)$  be an irreducible polynomial over field of characteristic  $p$ . The degree  $p_{sep}(x)$  in the last proposition is called the **inseparable degree** of  $p(x)$ , denoted  $deg_i(p(x))$ .

**Definition 13.57.** The field  $K$  is said to **separable** over  $F$  if every element of  $K$  is the root of a separable polynomial over  $F$ . A field which is not separable is **inseparable**.

**Corollary 13.58.** Every finite extension of a perfect field is separable. In particular, every finite extension of either  $\mathbb{Q}$  or a finite field is separable.

**Definition 13.59.** Let  $\mu_n$  denote the **group of  $n^{\text{th}}$  roots of unity over  $\mathbb{Q}$** .

**Definition 13.60.** Define the  $n^{\text{th}}$  **cyclotomic polynomial**  $\Phi_n(x)$  to be the polynomial whose roots are primitive  $n^{\text{th}}$  roots of unity:

$$\Phi_n(x) = \prod_{\zeta \text{ primitive} \in \mu_n} (x - \zeta) = \prod_{\substack{1 \leq a \leq n \\ (a, n) = 1}} (x - \zeta_n^a)$$

(which is of degree  $\varphi(n)$  for the Euler  $\varphi$ ).

**Lemma 13.61.** The cyclotomic polynomial  $\Phi_n(x)$  is a monic polynomial in  $\mathbb{Z}[x]$  of degree  $\varphi(n)$ .

**Theorem 13.62.** The cyclotomic polynomial  $\Phi_n(x)$  is an irreducible, monic polynomial in  $\mathbb{Z}[x]$  of degree  $\varphi(n)$ .

**Corollary 13.63.** The degree over  $\mathbb{Q}$  of the cyclotomic field of  $n^{\text{th}}$  roots of unity is  $\varphi(n)$ :

$$[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \varphi(n).$$

## 14. Galois Theory

**Definition 14.1.**

1. An isomorphism  $\sigma$  of  $K$  with itself is called an **automorphism** of  $K$ . The collection of automorphisms of  $K$  is denoted  $\text{Aut}(K)$ . If  $\alpha \in K$  we shall write  $\sigma\alpha$  for  $\sigma(\alpha)$ .
2. An automorphism  $\sigma \in \text{Aut}(K)$  is said to **fix** an element  $\alpha \in K$  if  $\sigma\alpha = \alpha$ . If  $F$  is a subset of  $K$ , then an automorphism  $\sigma$  is said to **fix**  $F$  if it fixes all the elements of  $F$ .

**Definition 14.2.** Let  $K/F$  be an extension of fields. Let  $\text{Aut}(K/F)$  be the collections of automorphisms of  $K$  which fix  $F$ .

**Proposition 14.3.**  $\text{Aut}(K)$  is a group under composition and  $\text{Aut}(K/F)$  is a subgroup.

**Proposition 14.4.** Let  $K/F$  be a field extension and let  $\alpha \in K$  be an algebraic over  $F$ . Then for any  $\sigma \in \text{Aut}(K/F)$ ,  $\sigma\alpha$  is a root of the minimal polynomial for  $\alpha$  over  $F$ , i.e.,  $\text{Aut}(K/F)$  permutes the roots of irreducible polynomials. Equivalently, any polynomial with coefficients in  $F$  having  $\alpha$  as a root also has  $\sigma\alpha$  as a root.

**Proposition 14.5.** Let  $H \leq \text{Aut}(K)$  be a subgroup of the group of automorphisms of  $K$ . Then the collection  $F$  of elements of  $K$  fixed by all elements of  $H$  is a subfield of  $K$ .

**Definition 14.6.** If  $H$  is a subgroup of the group of automorphisms of  $K$ , the subfield of  $K$  fixed by all elements of  $H$  is called the **fixed field** of  $H$ .

**Proposition 14.7.** The association of groups to fields and fields to groups defined above is inclusion reversing, namely

1. if  $F_1 \subseteq F_2 \subseteq K$  are two subfields of  $K$  then  $\text{Aut}(K/F_2) \leq \text{Aut}(K/F_1)$ , and
2. if  $H_1 \leq H_2 \leq \text{Aut}(K)$  are two subgroups of automorphisms with associated fixed fields  $F_1$  and  $F_2$ , respectively, then  $F_2 \subseteq F_1$ .

**Proposition 14.8.** Let  $E$  be the splitting field over  $F$  of the polynomial  $f(x) \in F[x]$ . Then

$$|\text{Aut}(E/F)| \leq [E : F]$$

with equality if  $f(x)$  is separable over  $F$ .

**Definition 14.9.** Let  $K/F$  be a finite extension. Then  $K$  is said to be **Galois** over  $F$  and  $K/F$  is a **Galois extension** if  $|\text{Aut}(K/F)| = [K : F]$ . If  $K/F$  is Galois the group of automorphisms  $\text{Aut}(K/F)$  is called the **Galois group** of  $K/F$ , denoted  $\text{Gal}(K/F)$ .

**Corollary 14.10.** If  $K$  is the splitting field over  $F$  of a separable polynomial  $f(x)$  then  $K/F$  is Galois.

**Definition 14.11.** If  $f(x)$  is a separable polynomial over  $F$ , then the **Galois group of  $f(x)$  over  $F$**  is the Galois group of the splitting field of  $f(x)$  over  $F$ .

**Definition 14.12.** A **character**  $\chi$  of a group  $G$  with values in a field  $L$  is a homomorphism from  $G$  to the multiplicative group of  $L$ :

$$\chi : G \rightarrow L^\times$$

i.e.,  $\chi(g_1g_2) = \chi(g_1)\chi(g_2)$  for all  $g_1, g_2 \in G$  and  $\chi(g)$  is a nonzero element of  $L$  for all  $g \in G$ .

**Definition 14.13.** The characters  $\chi_1, \chi_2, \dots, \chi_n$  of  $G$  are said to be **linearly independent** over  $L$  if they are linearly independent as functions on  $G$ , i.e., if there is no nontrivial relation

$$a_1\chi_1 + a_2\chi_2 + \dots + a_n\chi_n = 0$$

as a function on  $G$  (that is,  $a_1\chi_1 + a_2\chi_2 + \dots + a_n\chi_n = 0$  for all  $g \in G$ ).

**Theorem 14.14.** (*Linear Independence of Characters*) If  $\chi_1, \chi_2, \dots, \chi_n$  are distinct characters of  $G$  with values in  $L$  then they are linearly independent over  $L$ .

**Corollary 14.15.** If  $\sigma_1, \sigma_2, \dots, \sigma_n$  are distinct embeddings (injective homomorphisms) of a field  $K$  into a field  $L$ , then they are linearly independent as functions on  $K$ . In particular distinct automorphisms of a field  $K$  are linearly independent as functions on  $K$ .

**Theorem 14.16.** Let  $G = \{\sigma_1 = 1, \sigma_2, \dots, \sigma_n\}$  be a subgroup of the automorphisms of a field  $K$  and let  $F$  be the fixed field. Then

$$[K : F] = n = |G|.$$

**Corollary 14.17.** Let  $K/F$  be any finite extension. Then

$$|\text{Aut}(K/F)| \leq [K : F]$$

with equality if and only if  $F$  is the fixed field of  $\text{Aut}(K/F)$ . Put another way,  $K/F$  is Galois if and only if  $F$  is the fixed field of  $\text{Aut}(K/F)$ .

*Proof.* Let  $F_1$  be the fixed field of  $\text{Aut}(K/F)$ . Then since every  $\sigma \in \text{Aut}(K/F)$  fixes  $F$ , we have that

$$F \subseteq F_1 \subseteq K.$$

By **Theorem 14.9** we then get that  $[K : F_1] = |\text{Aut}(K/F)|$ . Hence  $[K : F] = |\text{Aut}(K/F)|[F_1 : F]$ . ♣

**Corollary 14.18.** Let  $G$  be a finite subgroup of automorphisms of a field  $K$  and let  $F$  be the fixed field. Then every automorphism of  $K$  fixing  $F$  is contained in  $G$ , i.e,  $\text{Aut}(K/F) = G$ , so that  $K/F$  is Galois, with Galois group  $G$ .

**Corollary 14.19.** If  $G_1 \neq G_2$  are distinct finite subgroups of automorphisms of a field  $K$  then their fixed fields are also distinct.

**Theorem 14.20.** The extension  $K/F$  is Galois if and only if  $K$  is the splitting field of some separable polynomial over  $F$ . Furthermore, if this is the case then every irreducible polynomial with coefficients in  $F$  which has a root in  $K$  is separable and has all its roots in  $K$  (so in particular  $K/F$  is a separable extension).

**Definition 14.21.** Let  $K/F$  be a Galois extension. If  $\alpha \in K$  the elements  $\sigma\alpha$  for  $\sigma$  in  $\text{Gal}(K/F)$  are called *conjugates* (or *Galois conjugates*) of  $\alpha$  over  $F$ . If  $E$  is a subfield of  $K$  containing  $F$ , the field  $\sigma(E)$  is called the *conjugate field* of  $E$  over  $F$ .

**Note.** We now have 4 characterizations of Galois extensions  $K/F$ :

1. splitting fields of separable polynomials over  $F$
2. fields where  $F$  is precisely the set of elements fixed by  $\text{Aut}(K/F)$
3. fields with  $[K : F] = |\text{Aut}(K/F)|$
4. finite, normal, separable extensions.

**Theorem 14.22.** (**Fundamental Theorem of Galois Theory**) Let  $K/F$  be a Galois extension and set  $G = \text{Gal}(K/F)$ . Then there is a bijection

$$\left\{ \begin{array}{c} \text{subfields } E \\ \text{of } K \\ \text{containing } F \end{array} \begin{array}{c} K \\ | \\ E \\ | \\ F \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{c} \text{subgroups } H \\ \text{of } G \end{array} \begin{array}{c} 1 \\ | \\ H \\ | \\ G \end{array} \right\}$$

given by the correspondences

$$\begin{array}{ccc} E & \longrightarrow & \left\{ \begin{array}{c} \text{the elements of } G \\ \text{fixing } E \end{array} \right\} \\ \left\{ \begin{array}{c} \text{the fixed field} \\ \text{of } H \end{array} \right\} & \longleftarrow & H \end{array}$$

which are inverse to each other. Under this correspondence,

1. (inclusion reversing) If  $E_1, E_2$  correspond to  $H_1, H_2$ , respectively, then  $E_1 \subseteq E_2$  if and only if  $H_2 \leq H_1$



2.  $[K : E] = |H|$  and  $[E : F] = |G : H|$ , the index of  $H$  in  $G$ :

$$\begin{array}{ccc} K & & \\ | & \} & |H| \\ E & & \\ | & \} & |G : H| \\ F & & \end{array}$$

3.  $K/E$  is always Galois, with Galois group  $\text{Gal}(K/E) = H$ :

$$\begin{array}{ccc} K & & \\ | & H & \\ E & & \end{array}$$

4.  $E$  is Galois over  $F$  if and only if  $H$  is a normal subgroup in  $G$ . If this is the case, then the Galois group is isomorphic to the quotient group

$$\text{Gal}(E/F) \cong G/H.$$

More generally, even if  $H$  is not necessarily normal in  $G$ , the isomorphisms of  $E$  which fix  $F$  are in one to one correspondence with the cosets  $\{\sigma H\}$  of  $H$  in  $G$ .

5. If  $E_1, E_2$  correspond to  $H_1, H_2$ , respectively, then the intersection  $E_1 \cap E_2$  corresponds to the group  $\langle H_1, H_2 \rangle$  generated by  $H_1$  and  $H_2$  and the composite field  $E_1 E_2$  corresponds to the intersection  $H_1 \cap H_2$ . Hence the lattice of subfields of  $K$  containing  $F$  and the lattice of subgroups of  $F$  are "dual" (the lattice diagram for one is the lattice diagram for the other turned upside down).

**Proposition 14.23.** Any finite field is isomorphic to  $\mathbb{F}_{p^n}$  for some prime  $p$  and some integer  $n \geq 1$ . The field  $\mathbb{F}_{p^n}$  is the splitting field over  $\mathbb{F}_p$  of the polynomial  $x^{p^n} - x$ , with cyclic Galois group of order  $n$  generated by the Frobenius automorphism  $\sigma_p$ . The subfields of  $\mathbb{F}_{p^n}$  are all Galois over  $\mathbb{F}_p$  and are in one to one correspondence with the divisors  $d$  of  $n$ . They are the fields  $\mathbb{F}_{p^d}$ , the fixed fields of  $\sigma_p^d$ .

**Corollary 14.24.** The irreducible polynomial  $x^4 + 1 \in \mathbb{Z}[x]$  is reducible modulo every prime  $p$ .

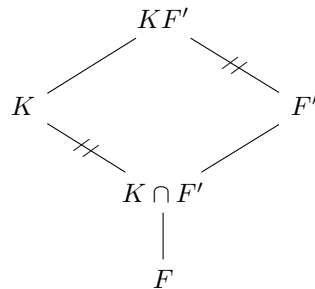
**Proposition 14.25.** The finite field  $\mathbb{F}_{p^n}$  is a simple extension of  $\mathbb{F}_p$ . In particular, there exists an irreducible polynomial of degree  $n$  over  $\mathbb{F}_p$  for every  $n \geq 1$ .

**Proposition 14.26.** The polynomial  $x^{p^n} - x$  is precisely the product of all the distinct irreducible polynomials in  $\mathbb{F}_p[x]$  of degree  $d$  where  $d$  runs through all the divisors of  $n$ .

**Proposition 14.27.** Suppose  $K/F$  is a Galois extension and  $F'/F$  is any extension. Then  $KF'/F'$  is a Galois extension, with Galois group

$$\text{Gal}(KF'/F') \cong \text{Gal}(K/K \cap F')$$

isomorphic to a subgroup of  $\text{Gal}(K/F)$ . Pictorially,



**Corollary 14.28.** Suppose  $K/F$  is a Galois extension and  $F'/F$  is any finite extension. Then

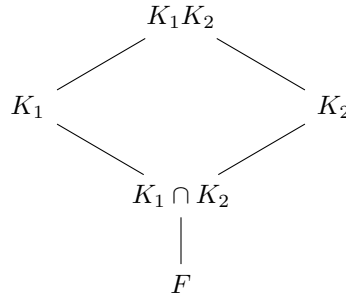
$$[KF' : F] = \frac{[K : F][F' : F]}{[K \cap F' : F]}.$$

**Proposition 14.29.** Let  $K_1$  and  $K_2$  be Galois extensions of a field  $F$ . Then

1. The intersection  $K_1 \cap K_2$  is Galois over  $F$ .
2. The composite  $K_1 K_2$  is Galois over  $F$ . The Galois group is isomorphic to the subgroup

$$H = \{ \langle \sigma, \tau \rangle \mid \sigma|_{K_1 \cap K_2} = \tau|_{K_1 \cap K_2} \}$$

of the direct product  $\text{Gal}(K_1/F) \times \text{Gal}(K_2/F)$  consisting of elements whose restrictions to the intersection  $K_1 \cap K_2$  are equal.



**Corollary 14.30.** Let  $K_1$  and  $K_2$  be Galois extensions of a field  $F$  with  $K_1 \cap K_2 = F$ . Then

$$\text{Gal}(K_1 K_2 / F) \cong \text{Gal}(K_1 / F) \times \text{Gal}(K_2 / F).$$

Conversely, if  $K$  is Galois over  $F$  and  $G = \text{Gal}(K/F) = G_1 \times G_2$  is the direct product of two subgroups  $G_1$  and  $G_2$ , then  $K$  is the composite of two Galois extensions  $K_1$  and  $K_2$  of  $F$  with  $K_1 \cap K_2 = F$ .

**Corollary 14.31.** Let  $E/F$  be any finite, separable extension. Then  $E$  is contained in an extension  $K$  which is Galois over  $F$  and is minimal in the sense that in a fixed algebraic closure of  $K$  any other Galois extension of  $F$  containing  $E$  contains  $K$ .

**Definition 14.32.** The Galois extension  $K$  of  $F$  containing  $E$  in the previous corollary is called the **Galois closure** of  $E$  over  $F$ .

**Proposition 14.33.** Let  $K/F$  be a finite extension. Then  $K = F(\theta)$  if and only if there exist finitely many subfields of  $K$  containing  $F$ .

**Theorem 14.34.** (*The Primitive Element Theorem*) If  $K/F$  is finite and separable, then  $K/F$  is simple. In particular, any finite extension of fields of characteristic 0 is simple.

**Theorem 14.35.** The Galois group of the cyclotomic field  $\mathbb{Q}(\zeta_n)$  of  $n^{\text{th}}$  roots of unity is isomorphic to the multiplicative group  $(\mathbb{Z}/n\mathbb{Z})^\times$ . The isomorphism is given explicitly by the map

$$\begin{aligned} (\mathbb{Z}/n\mathbb{Z})^\times &\xrightarrow{\sim} \text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \\ a \pmod n &\longmapsto \sigma_a \end{aligned}$$

where  $\sigma_a$  is the automorphism defined by

$$\sigma_a(\zeta_n) = \zeta_n^a.$$

**Corollary 14.36.** Let  $n = p_1^{a_1} p_2^{a_2} \cdots p_k^{a_k}$  be the decomposition of the positive integer  $n$  into distinct prime powers. The cyclotomic fields  $\mathbb{Q}(\zeta_{p_i^{a_i}})$ ,  $i = 1..k$  intersect only in the field  $\mathbb{Q}$  and their composite is the cyclotomic field  $\mathbb{Q}(\zeta_n)$ . We have

$$\text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \cong \text{Gal}(\mathbb{Q}(\zeta_{p_1^{a_1}})/\mathbb{Q}) \times \text{Gal}(\mathbb{Q}(\zeta_{p_2^{a_2}})/\mathbb{Q}) \times \cdots \times \text{Gal}(\mathbb{Q}(\zeta_{p_k^{a_k}})/\mathbb{Q})$$

which under the isomorphism given in the previous theorem is the Chinese Remainder Theorem

$$(\mathbb{Z}/n\mathbb{Z})^\times \cong (\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z})^\times \times (\mathbb{Z}/p_2^{\alpha_2}\mathbb{Z})^\times \times \cdots \times (\mathbb{Z}/p_k^{\alpha_k}\mathbb{Z})^\times.$$

**Definition 14.37.** The extension  $K/F$  is called an **abelian** extension if  $K/F$  is Galois and  $\text{Gal}(K/F)$  is an abelian group.

**Corollary 14.38.** Let  $G$  be any finite abelian group. Then there is a subfield  $K$  of a cyclotomic field with  $\text{Gal}(K/\mathbb{Q}) \cong G$ .

**Theorem 14.39.** (*Kronecker-Weber*) Let  $K$  be a finite abelian extension of  $\mathbb{Q}$ . Then  $K$  is contained in a cyclotomic extension of  $\mathbb{Q}$ .

**Proposition 14.40.** The regular  $n$ -gon can be constructed by straightedge and compass if and only if  $n = 2^k p_1 \cdots p_r$  is the product of a power of 2 and distinct Fermat primes.

**Note:** Fermat primes are primes of the form  $2^{2^n} + 1$ .