

Argus : Debugging Performance Issues in Modern Applications with Interactive Causal Tracing

Abstract

Prior systems used causal tracing, a powerful technique that traces low-level events and builds dependency graphs, to diagnose performance issues. However, they all assume that accurate dependencies can be inferred from low-level tracing by either limiting applications to using only a few supported communication patterns or relying on developers to manually provide dependency schema upfront for all involved components. Unfortunately, based on our own study and experience of building a causal tracing system for macOS, we found that it is extremely difficult, if not impossible, to build accurate dependency graphs. We report patterns such as data dependency, batch processing, and custom communication primitives that introduce imprecision. We present Argus, a practical system for effectively debugging performance issues in modern desktop applications despite the imprecision of causal tracing. Argus lets a user easily inspect current diagnostics and interactively provide more domain knowledge on demand to counter the inherent imprecision of causal tracing. We implemented Argus in macOS and evaluated it on 11 real-world, open spinning-cursor issues in widely used applications. The root causes of these issues were largely previously unknown. Our results show that Argus effectively helped us locate all root causes of the issues and incurred 7% CPU overhead in its system-wide tracing.

1. Introduction

Today’s web and desktop applications are predominantly parallel or distributed, making performance issues in them extremely difficult to diagnose because the handling of an external request is often spread across many threads, processes, and asynchronous contexts instead of in one sequential execution segment [16]. To manually reconstruct this graph of execution segments for debugging, developers have to sift through a massive amount of log entries and potentially code of related application components [10, 27, 23, 18, 25]. More often than not, developers give up and resort to guessing the root cause, producing “fixes” that sometimes make the matter worse. For instance, a bug in the Chrome browser engine causes a spinning (busy) cursor in macOS when a user switches the input method [5]. It was first reported in 2012, and developers attempted to add timeouts to work around the issue. Unfortunately, the bug has remained open for seven years and the timeouts obscured diagnosis further.

Prior work proposed what we call *Causal tracing*, a powerful technique to construct request graphs (semi-)automatically [26]. It does so by inferring (1) the beginning

and ending boundaries of the execution segments (vertices in the graph) involved in handling a request; and (2) the causality between the segments (edges)—how a segment causes others to do additional handling of the request. Prior causal tracing systems all assumed certain programming idioms to automate inference. For instance, if a segment sends a message, signals a condition variable, or posts a task to a work queue, it wakes up additional execution segments, and prior systems assume that wake-ups reflect causality. Similarly, they assume that the execution segment from the beginning of a callback invocation to the end is entirely for handling the request that causes the callback to be installed [26, 19]. Compared to debuggers such as `spindump` that capture only the current system state, causal tracing is quite effective at aiding developers to understand complex causal behaviors and pinpoint real-world performance issues.

Unfortunately, based on our own study and experience of building a causal tracing system for the commercial operating system macOS, we found that modern applications frequently violate these assumptions. Hence, the request graphs computed by causal tracing are inaccurate in several ways. First, an inferred segment may be larger than the actual event handling segment due to batch processing. Specifically, for performance, an application or its underlying frameworks may bundle together work on behalf of multiple requests with no clear distinguishing boundaries. For instance, `WindowServer` in macOS sends a reply for a previous request and receives a message for the current request using one system call `mach_msg_overwrite_trap`, presumably to reduce user-kernel crossings.

Second, the graphs may be missing numerous causal edges. For instance, consider data dependencies in which the code sets a flag (e.g., “`need_display = 1`” in macOS animation rendering) and later queries the flag to process a request further. This pattern is broader than ad hoc synchronization [22] because data dependency occurs even within a single thread (such as the buffer holding the reply in the preceding `WindowServer` example). Although the number of these flags may be small, they often express critical causality, and not tracing them would lead to many missing edges in the request graph. However, without knowing where the flags reside in memory, a tool would have to trace all memory operations, incurring prohibitive overhead and adding many superfluous edges to the request graph.

Third, in any case, many inferred edges may be superfluous because wake-ups do not necessarily reflect causality. Consider an `unlock()` operation waking up a thread waiting in

`lock()`. This wake-up may be just a happens-stance and the developer intent is only mutual exclusion. However, the actual semantics of the code may also enforce a causal order between the two operations.

We believe that, without detailed understanding of application semantics, request graphs computed by causal tracing are *inherently* inaccurate and both over- and under-approximate reality. Although developer annotations can help improve precision [9, 20], modern applications use more and more third-party libraries whose source code is not available. In the case of tech-savvy users debugging performance issues such as a spinning cursor on her own laptop, the application’s code is often not available. Given the frequent use of custom synchronizations, work queues, and data flags in modern applications, it is hopeless to count on manual annotations to ensure accurate capture of request graphs.

In this work, we present Argus, an interactive system for debugging performance issues in modern desktop applications despite the imprecision of causal tracing. Argus does not construct a per-request causal graph which requires extremely accurate causal tracing. Instead, it captures an approximate event graph for a duration of the system execution to aid diagnosis, and tracks both true causality edges as well as weak ones that may or may not reflect causality. It keeps humans in the loop, as a debugger should rightly do, and lets users easily inspect current diagnostics and guide the next steps to counter the inherent imprecision of causal tracing. Specifically, during debugging, Argus queries users a judiciously few times to (1) resolve a few inaccurate edges that represent false dependencies, (2) select one out of several vertices as a baseline to the buggy vertex in question; and (3) identify potential data flags. Argus represents a dramatically different approach in the design space of causal tracing because it enables users to provide necessary schematic information on demand, as opposed to full manual schema upfront for all involved applications and daemons [9].

We implemented Argus in macOS, a widely used commercial operating system. macOS is closed-source, as are its common frameworks and many of its applications. This environment therefore provides a true test of Argus. We address multiple nuances of macOS that complicate causal tracing, and built a system-wide, low-overhead, always-on tracer. Argus enables users to optionally increase the granularity of tracing (e.g., logging call stacks and instruction streams) by integrating with existing debuggers such as `lldb`.

We evaluated Argus on 11 real-world, open spinning-cursor issues in widely used applications such as the Chromium browser engine and macOS System Preferences, Installer, and Notes. The root causes of all 11 issues were previously unknown to us and, to a large extent, the public. Our results show that Argus is effective: it helped us non-developers of the applications find all root causes of the issues, including the Chromium issue that remained open for seven years. Argus needs only XXX user queries per issue but they are crucial in

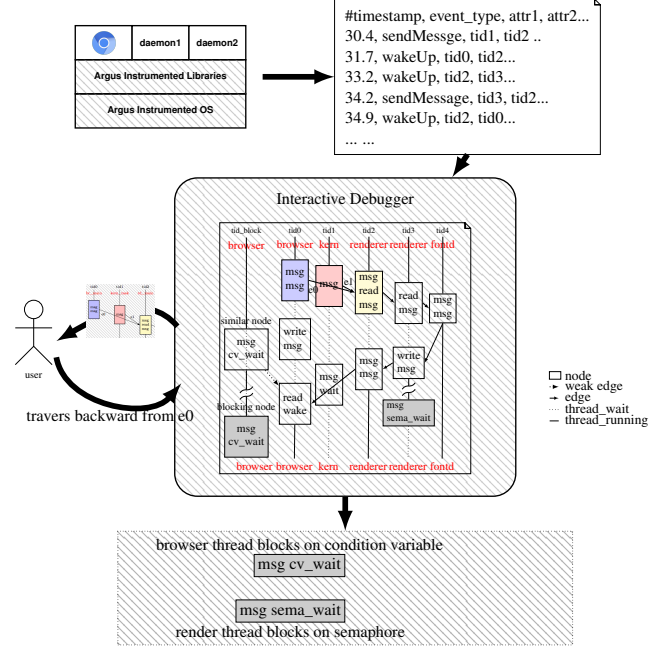


Figure 1: Argus Work Flow

aiding diagnosis. Argus is also fast: its systems-wide tracing incurs only 7% CPU overhead overall.

This paper makes the following contributions: our conceptual realization that causal tracing is inherently inaccurate and that interactive causal tracing is superior than prior work in debugging performance issues in modern applications; our system Argus that performs system-wide tracing in macOS with little overhead and handles several macOS trickeries that complicate causal tracing; and our results diagnosing real-world spinning cursors and finding root causes for performance issues that have remained open for seven years.

This paper is organized as follows. In Section 2, we present an overview of using Argus and a Chromium example. Section 4 describes our event graph from causal tracing, and Section 5 describes our tracing implementation and tools for user interaction. In Section 6 we present other case studies, and Section 7 contains performance evaluation. We summarize related work in Section 8, and end with conclusion in Section 9.

2. Overview

2.1. Argus Work Flow

In this section, we describe the steps a user takes to investigate a performance anomaly with Argus. Figure 1 shows Argus’s work flow, which consists of two phases. A user runs command “Argus start” to enter the system-wide tracing phase, within which Argus logs events as listed in Table ?? (§4.1). Whenever a user detects a performance issue such as a spinning cursor, she runs “Argus debug” to enter the diagnosis phase.

Central to our system is our *event graph*, a generalized control-flow graph which includes inter-thread and inter-process dependencies. Diagnosis and inferences are performed within this graph, in a semi-automated fashion: Argus performs searches to trace logical events as they flow through the system, and it judiciously queries the user for guidance. Next, we describe how Argus assists the user to diagnose a performance issue.

2.2. Diagnosis with Graph

Algorithm 1 Diagnosis algorithm.

Input: g - EventGraph; spinning_vertex- the vertex in the UI thread when the spinning cursor occurs

Output: root_cause_vertices-collecting root casuse vertices for user inspect

```

1: function DIAGNOSE( $g$ , spinning_vertex)
2:   switch spinning_vertex.block_type do
3:     case LongRunning
4:       slice  $\leftarrow$  InteractiveSlice(spinning_vertex)
5:       return vertex contains UI event
6:     case RepeatedYield
7:       if DataFlagEvent  $\notin$  {event types in spinning_vertex } then
8:         Require users to annotate data flag
9:         abort()
10:      end if
11:      /* Fall through */
12:     case LongWait
13:       similar_vertex  $\leftarrow$  vertex has similar event sequence to spinning_vertex
14:       baseline_path  $\leftarrow$  InteractiveSlice(similar_vertex)
15:       for each  $t$  in {threads in baseline_path} do
16:         vertex $t$   $\leftarrow$  vertex in  $t$  before spinning_vertex gets spinning
17:         if vertex $t$   $\in$  {LongRunning, RepeatedYield, LongWait}
18:           then
19:             root_cause_vertices.append(vertex $t$ )
20:             root_cause_vertices.append(Diagnose( $g$ , vertex $t$ ))
21:           end if
22:           /* if  $t$  is normal running, disgnose the next thread */
23:         end for
24:       end switch
25:       return root_cause_vertices
26: end function

26: function INTERACTIVESLICING( $g$ , vertex)
27:   loop
28:     path_slice.append(vertex)
29:     if vertex has 1 incoming edge then
30:       vertex  $\leftarrow$  predecessor vertex
31:     else if vertex has multiple incoming edges then
32:       vertex  $\leftarrow$  ask user to pick from predecessors
33:     else if vertex had weak edges then
34:       vertex  $\leftarrow$  ask user to pick from predecessors
35:     else
36:       /* The first vertex of current thread */
37:       return path_slice
38:     end if
39:     if vertex is invalid then
40:       /* user chooses to stop traversal with invalid input */
41:       return path_slice
42:     end if
43:   end loop
44: end function

```

Consider a common performance bug on macOS, the *spinning cursor*, which indicates the current application’s main thread has not processed any UI events for over two seconds. To initialize debugging a spinning cursor, Argus first constructs an event graph from the system-wide event log recorded. It then queries the event graph to find the ongoing event in the application’s main thread concurrent to the display of the spinning cursor. Given the event graph and the spinning vertex, Argus runs Algorithm 1 to interactively pinpoint the root cause.

Specifically, upon examining what the main thread is actually doing, there are three potential cases.

- **LongRunning** (lines XX-XX). The main thread is busy performing lengthy CPU operations. This case is the simplest, and Argus traverses the event graph backwards to find a slice originating from the offending UI event to the long running CPU operations. This slice is particularly useful for further diagnosing the bug. As shown in FunctionXXX, Argus may encounter vertices with multiple incoming edges or weak edges that may not reflect causality when traversing the graph. It queries the user to resolve them.
- **RepeatedYield** (lines XX-XX). The main thread is in a yield loop, which is highly indicative it is waiting on a data flag (e.g., “while(!done) thread_switch();”). If Argus cannot find any record of data flags in the spinning vertex, it terminates debugging by prompting the user to identify data flags and re-trace the application. Here we assume that the performance issue reproduces with a reasonable probability because, fortunately, a one-off issue that never reproduces is not as annoying as one that occurs frequently. If Argus finds the data flag the spinning vertex is waiting for, it falls through to the next case.
- **LongWait** (lines XX-XX). The main thread is in a lengthy blocking wait and the wake-up has been missing. Argus handles this case by finding a baseline scenario where the wake-up indeed arrives, and then figures out which wake-up edge is missing in the spinning scenario along the expected wake-up path. Specifically, Argus first finds a similar vertex to the spinning one based solely on the semantical events such as system calls in each vertex. It then traverses backwards from the similar vertex to find the baseline wake-up path. For each thread in the wake-up path, it examines the vertex in the thread right before the spinning vertex waits. If this vertex is also abnormal, Argus appends it to the path of root cause vertices, and applies Function DiagnoseXX recursively diagnose “the culprit of the culprit.” For each such vertex, it queries the user to determine whether to proceed or stop because based on our experience the user needs to inspect only a few vertices to find the root cause.

Based on our results and experience, the first case is the most common, but the second and third represent more severe bugs. Long-running CPU operations tend to be more straightforward to diagnose with existing tools such as *spindump* except they do not connect the CPU operations back to UI

events. Repeated yielding or long waiting cases involve multiple threads and processes, and are extremely hard to understand and fix even for the application’s original developers. Therefore, issues remain unaddressed for years and significantly impact the user experience. Algorithm ?? is semi-automated but can integrate user input at each stage to leverage hypotheses or expert knowledge as to why a hang may occur. Our results show that user inputs, albeit few, are crucial in this process (§??).

2.3. Chromium Spinning Cursor Example

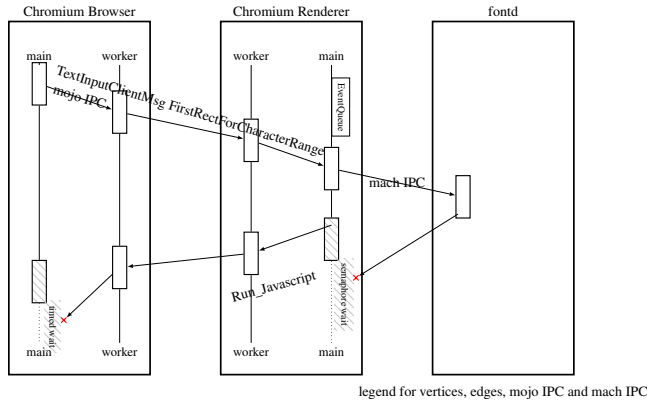


Figure 2: Chromium Example

One of the authors experienced first-hand the aforementioned performance issue in Chromium, an open-source browser engine that powers Google Chrome and, starting recently, Microsoft Edge [4]. She tried to type in the Chromium search box a Chinese word using SCIM, the default Chinese Input Method Editor that ships with MacOS. The browser appeared frozen and the spinning cursor occurs for a few seconds. Afterwards everything went back to normal. This issue is reproducible and always ruins her experience, but it is quite challenging to diagnose because two applications Chromium and SCIM and many daemons ran and exchanged messages. This issue was reported by other users for other non-English input methods, too.

To diagnose this issue with Argus, the author started system-wide tracing, and then reproduced the spinning cursor with a Chinese search string typed via SCIM while the page was loading. It produced normal cases for the very first few characters, and the browser got blocked with the rest input as spinning cases. The entire session took roughly five minutes.

She then ran Argus to construct the event graph. The graph had 2,749,628 vertexes and 3,606,657 edges, almost fully connected. It spans across 17 applications; 109 daemons including fontd, mdworker, nsurlsessiond and helper tools by applications; 126 processes; 679 threads, and 829,287 IPC messages. Given the scale of the graph and the diverse communication patterns, it would be extremely challenging for

prior automated causal tracing tools [7, 26, 8, 12] because they handle a fairly limited set of patterns. Tools that require manual schema [9, 20], would be prohibitive because developers would have to provide schema for all involved applications and daemons.

Next she ran Argus to find the spinning vertex in the main thread of the browser process. Argus returned a `Wait` event on condition variable with timeout that blocked the main thread for a few seconds. Thus Argus compares the spinning vertex to a similar one in normal case where the `Wait` was signaled quickly with Algorithm ?. Argus reported three, and confirmed with the user which one she wanted.

Argus then found the normal-case wake-up path which connects five threads. The browser main thread was signaled by a browser worker thread, which received IPC from a worker thread of `renderer` where the rendering view and WebKit code run. The worker thread is woken up by the `renderer` main thread, which in turn woken by `fontd`, the font service daemon. Argus further compared the wake-up path with the spinning case with the Algorithm ?, and returned the `wait` event on semaphore in the `renderer` main thread, the culprit that delayed waking up the browser main thread over 4 seconds.

What caused the wait in the `renderer` main thread though? She thus continued diagnosis and recursively applied Argus to the wait in `renderer`, and got the wake-up path. The culprit that delayed the semaphore was the timeouts in the browser’s main thread. At this point, a circular wait formed. To understand what exactly happens in the situation, she inspected the full call stacks by Argus scripts, taking the reported vertex from the `renderer` and the browser as input. Inspection reveals that the `renderer` requested the browser’s help to render Javascript and waited for reply with semaphore. The browser was waiting for the `renderer` to return the string bounding box and the `renderer` was waiting for the browser to help render Javascript. This circular wait was broken by a timeout in the browser main thread (the `wait` on `cv` timeout was 1,500 ms). While the system was able to make progress, the next key press caused the spinning cursor to display for another 1,500 ms. The timeout essentially converted a deadlock into a livelock.

The finding was verified with chromium source code. Shortening the timeout interval in the main browser thread proportionally shortens the waiting of the main render thread on processing the javascript. Skipping certain javascripts processing in the `renderer` thread cuts down the success rate of spinning case reproducing.

2.4. Limitations

Argus is designed to support interactive debugging of performance issues. It sometimes requires the user to reproduce a performance issue so Argus can capture more fine-grained event traces such as accesses to data flags. Fortunately, a performance issue that almost never reproduces is probably not

as annoying as one that occurs frequently.

We implemented Argus in the closed-source macOS which presents a harsh test for Argus, but we have not ported Argus to other operating systems yet. It is possible that the ideas and techniques do not generalize to other operating systems. However, modern operating systems share many similarities, and good ideas tend to flow both ways, so we are hopeful that the ideas in Argus are generally applicable. Similarly, the applications and performance issues used in our evaluation may be non-representative, though we strive to cover a diverse set of common applications ranging from browsers to text editors.

3. Inherent Inaccuracies in Causal Tracing

As explained in §1, causal tracing builds a graph to connect execution segments on behalf of a request that spread across separate threads and processes. Based on our experience building a causal tracing system on commercial, closed-source macOS, we believe such graphs are inherently inaccurate and contain both *over-connections* – edges that do not really map to causality) – and *under-connections* – missing edges between two vertices with one causally influencing the other. In this section, we present several inherently inaccurate patterns we observed and their examples in macOS.

3.1. Over Connections

Over connections usually occur when (1) intra-thread boundaries are missing due to unknown batch processing programming paradigms or (2) superfluous wake-ups that do not always imply causality.

Dispatch message batching While traditional causal tracing assumes the entire execution of a callback function is on behalf of one request, we found some daemons implement their service loop inside the callback function and create false dependencies. In the code snippet below from the `fontd` daemon, function `dispatch_execute` is installed as a callback to a work from dispatch queue. It subsequently calls `dispatch_mig_server()` which runs the typical server loop and handles messages from different apps.

To avoid incorrectly linking many irrelevant processes through such batching processing patterns, Argus adopts the aforementioned heuristics to split an execution segment when it observes that the segment sends out messages to two distinct processes. Any application or daemon can implement its own server loop this way, which makes it fundamentally difficult to automatically infer event handling boundaries.

Batching in event processing Message activities inside a system call are assumed to be related traditionally. However, to presumably save on kernel boundary crossings, WindowServer MacOS system daemon uses a single system call to receive data and send data for an unrelated event from different processes in its event loop. This batch processing artificially makes many events appear dependent.

```

1 //worker thread in fontd:
2 //enqueue a block
3 block = dispatch_mig_sevice;
4 dispatch_async(block);

1 //main thread in fontd:
2 //dequeue blocks
3 block = dequeue();
4 dispatch_execute(block);

//implementation of dipatch_mig_server
2 dispatch_mig_server()
3 for(;;) //batch processing
4     mach_msg(send_reply, rcv_request)
5     call_back(rcv_request)
6     set_reply(send_reply)

//inside a single thread
2 while() {
3     CGXPostReplyMessage(msg) {
4         // send _gOutMsg if it hasn't been sent
5         push_out_message(_gOutMsg)
6         _gOutMsg = msg
7         _gOutMessagePending = 1
8     }
9     CGXRunOneServicePass() {
10        if (_gOutMessagePending)
11            mach_msg_overwrite(MSG_SEND | MSG_RECV, _gOutMsg)
12        else
13            mach_msg(MSG_RECV)
14        ... // process received message
15    }
16 }
```

Mutual exclusion In a typical implementation of mutual exclusion, a thread's unlock operation wakes up a thread waiting in lock. Such a wake-up may be, but is not always, intended as causality. However, without knowing the developer intent, any wake-up is typically treated as causality by traditional causal tracing tools.

3.2. Under Connections

We observe that under connections mostly result from missing data dependencies. This pattern is more general than shared-memory flags in ad hoc synchronization [22] because it occurs even within a single thread.

Data dependency in event processing The code for Batching in event processing above also illustrates a causal linkage caused by data dependency in one thread. WindowServer saves the reply message in variable `_gOutMsg` inside function `CGXPostReplyMessage`. When it calls `CGXRunOneServicePass`, it sends out `_gOutMsg` if there is any pending message.

CoreAnimation shared flags As shown in the code snippet below, worker thread can set a field `need_display` inside a `CoreAnimation` object whenever the object needs to be repainted. The main thread iterates over all animation objects and reads this flag, rendering any such object. This shared-memory communication creates a dependency between the main thread and the worker so accesses to these field flags need to be tracked.

```

1 //Worker thread:           1 //Main thread:
2 //needs to update UI:      2 //traverse all CA objects
3 obj->need_display = 1      3 if(obj->need_display == 1)
                               4     render(obj)

```

Spinning cursor shared flag As shown in Figure 3, whenever the system determines that the main thread has hung for a certain period, and the spinning beach ball should be displayed, a shared-memory flag is set. Access to the flag is controlled via a lock, i.e. the lock is used for mutual exclusion, and does not imply a happens before relationship.

4. Handling Inaccuracies

In this section, we first describe the basics of Argus event graphs (§4.1), and then discuss how Argus mitigates over-connections (§??) and under-connections (§??) in them.

4.1. Event Graph Basics

To construct event graphs, Argus collects three categories of events in its systems-wide event logs. The first category contains semantical events, such as system calls, call stacks collected when certain operations such as macOS message operations are run, and user actions such as key presses. These events indicate what the developer intents might be, and are stored as contents in each vertex in the event graph. They are primarily for providing information to user during diagnosis and for finding similar vertices (§2).

The second category of events are boundary events that mark the beginning and ending of execution segments or vertices in the graph. Argus handles common callback invocations such as `dispatch_invoke` and `runloop_invoke` and mark their entry and return as boundaries.

The third category of events are for forming edges in the graph. For instance, an operation that installs a callback is connected to the execution of the callback. A message send is connected to a message receive. The arming of a timer is connected to the execution of the timer callback. A unique design in Argus is to trace general wake-up and wait operations inside the kernel to ensure coverage across many diverse user-level, possibly custom wake-up and wait operations because their implementations almost always use kernel wake-up and wait. This approach necessarily includes spurious edges in the graph, including those due to mutual exclusion; Argus handles them by querying the user when it encounters a node with multiple incoming causal edges during diagnosis (see §2). We also observed that a waiting kernel thread is frequently woken up to perform tasks such as interrupt handling and scheduler maintenance; Argus recognizes them and culls them out from the graph automatically.

Compared to tools such as `spindump` that capture only the current system state, event graphs capture the causal path of events, enabling users to trace across threads and process to events happened in the past (hence cannot be captured by

`spindump`) that explain present anomalies.

4.2. Mitigating Over-Connections

From a high-level, Argus deals with over-connections by heuristically splitting an execution segment that appears mixing handling of multiple requests. It adds weak causal edges between the split segments in case the splitting was incorrect. When a weak edge is encountered during diagnosis, it queries the user to decide whether to follow the weak edge or stop (§2).

Specifically, Argus splits based three criteria. First, Argus recognizes a small set of well-known batch processing patterns such as `dispatch_mig_server()` in §3 and splits the batch into individual items. Second, when a wait operation such as `recv()` blocks, Argus splits the segment at the entry of the blocking wait. The rationale is that blocking wait is typically done at the last during one step of event processing. Third, if a segment communicates to too many peering processes, Argus splits the segment when the set of peers differs. Specifically, for each message, Argus maintains a set of two peers including (1) the direct sender or receiver of the message and (2) the beneficiary of the message (macOS allows a process to send or receive messages on behalf of a third process). Argus splits when two consecutive message operations have non-overlapping peer sets.

4.3. Mitigating Under-Connections

Under-connections are primarily due to data dependencies. Currently Argus queries the user to identify the memory locations of the data flags. It is conceivable to leverage memory protection techniques to infer them automatically, as demonstrated in previous record-replay work [?], it is out of the scope of this paper and we leave it for future work. Currently, to discover a data flag, the user re-runs the application with Argus to collect instruction traces of the concurrent events in both the normal and spinning cases and detects where the control flow diverges. She then reruns the application with Argus to collect register values for the basic blocks before the divergence and uncovers the address of the data flag. Once the user identifies a data flag, Argus traces it using either binary instrument, such as the `need_display` flag in `CoreAnimation` (§??), or with watchpoints. Argus add a causal edge between a write to a data flag to the corresponding read to the flag.

5. Implementation

We now discuss how we collect tracing events from both kernel and libraries.

5.1. Event Tracing

Current macOS systems support a system-wide tracing infrastructure built by Apple [1]. By default, the infrastructure temporarily stores events in memory and flushes them to screen or disk when an internal buffer is filled. We extended this

```

1 //NSEvent thread:
2 CGEventCreateNextEvent() {
3     if (sCGEventIsMainThreadSpinning == 0x0)
4         if (sCGEventIsDispatchToMainThread == 0x1)
5             CFRRunLoopTimerCreateWithHandler{
6                 if (sCGEventIsDispatchToMainThread == 0x1)
7                     sCGEventIsMainThreadSpinning = 0x1
8                     CGSCConnectionSetSpinning(0x1);
9             }
10 }

```

```

1 //Main thread:
2 {
3     ... //pull events from event queue
4     ConvertICGEvent(0x1);
5     if (sCGEventIsMainThreadSpinning == 0x1){
6         CGSCConnectionSetSpinning(0x0);
7         sCGEventIsMainThreadSpinning = 0x0;
8         sCGEventIsDispatchedToMainThread = 0x0;
9     }
10 }

```

Figure 3: Spinning Cursor Shared Flags

infrastructure to support larger-scale tests and avoid filling up the disk with a file-backed ring buffer. Each log file is 2GB by default, which users can override. This size corresponds corresponds to approximately 19 million events (about 5 minutes with normal operations).

The default tracing points in macOS provide too limited information to enact causal tracing. As a result, we instrument both the kernel [2] (at the source level) and key libraries (at the binary level), to gather more tracing data. We instrumented the kernel with 1,193 lines of code, and binary-instrumented the following libraries: `libsystem_kernel.dylib`, `libdispatch.dylib`, `libpthread.dylib`, `CoreFoundation`, `CoreGraphics`, `HIToolbox`, `AppKit` and `QuartzCore` in XXX different places.

5.2. Instrumentation

Most libraries as well as many of the applications used day-to-day are closed-source in macOS. To add tracing points to such code, techniques such as library preloading to override individual functions are not applicable on macOS, as libraries use two-level executable namespace [3]. Hence, we implemented a binary instrumentation mechanism that allows developers to add tracing at any location in a binary image.

Like Detour [17], we use static analysis to decide which instrumentation to perform, and then enact this instrumentation at runtime. Firstly, the user finds a location of interest in the image related to a specific event by searching a sequence of instructions. Then the user replaces a call instruction to invoke a trampoline target function, in which we overwrite the victimized instructions and produce tracing data with API from Apple. All of the trampoline functions are grouped into a new image, as well as an initialization function which carries out the drop-in replacement. Then command tools from Argus helps to configure the image with the following steps: (1) re-export all symbols from the original image so that the original code can be called like an shared library; (2) rename the original image, and use original name for the new one to ensure the modifications are properly loaded; (3) invoke the initialization function externally through `dispatch_once` during the loading.

5.3. Tracing Data flags

As described in (§??), under-connection due to the missing data dependency requires users' interaction. Argus provides a command line tool which sets the watch point registers in ad-hoc manner. This is one way users can easily collect the tracing events, and the more tech-savvy users can also instrument the binary. The tool takes the process id, path to image where the variable is defined and the symbol of the variable as input. We show the simple example how a user ask Argus to trace `_gOutMsgPending` in the following command.

```

1 ./bp_watch pidofWindowServer Path/to/CoreGraphics\
2 _gOutMsgPending

```

Argus hooks the watch point break handler in `CoreFoundation` to make sure that it is loaded correctly into the address space of our target application. The handler invokes the event tracing API from Apple to record the value of the data flag and the operation type: read or write.

5.4. Find baseline scenario

Argus finds a baseline scenario to figure out the missing wake-up edge in spinning case. It begins from identifying a vertex which shares the same high level semantics of the spinning vertex but bares different execution results.

Argus identifies the high level semantics of vertices with semantical events in vertices, including system calls, call stacks, user actions. Argus compares their sequential order and the event attributes unchanged in runtime. For example, Argus compares the system call number and symbols in callstack. To improve the accuracy, Argus also checks the events for forming edges in graph, including messages, dipatch queue operations, runloop operations, `data_flag_read` and `data_flag_write`, as they might reveals the low level behaviors of developer intent. Argus compares their peers. Depending on the report detail of spinning vertex, user can request Argus to compare proceeding vertices to improve accuracy of finding the same semantic vertices.

By default, Argus compares the execution time and the wait results to manifest different execution results. If multiple similar vertex are identified, Argus usually asks users for a confirmation, or choose the most recent one hueristicstically.

5.5. Capturing Instructions for Diagnosis

Users may need to gather more information, such as instructions executed to verify and come up a binary patch. Argus integrates with lldb to capture instructions executed and add them to the nodes in the event graph. It generates the debugging scripts with the light weight call stack from the root cause vertices.

The debugging scripts go through the instructions of apps and frameworks step by step to capture the parameters tainted by user inputs. At each beginning of a function call, the script records a full call stack for it. Considering the overhead and usefulness, it steps over and only records the return value of APIs from libraries with a filename extension `.dylib`.

The supplementary information are subject to the users review to pinpoint the root cause of spinning beachball on macOS.

6. Case Studies

In this section, we demonstrate how Argus helps to diagnose 11 spinning-cursor cases in 11 popular applications. Table 1 describes these spinning-cursor cases.

We studied how WindowServer and NSEvent thread per application coordinate to determine when a spinning cursor needs to be displayed because it is fundamental in understanding all spinning-cursor cases yet not straightforward. Two data flags “`is_mainthread_spinning`” and “`dispatch_to_mainthread`” are involved. We refer to this case XXXBug-ID.

We compared Argus with traditional causal tracing methods [?] on edges and vertices Argus mitigated, and studied the ratios of vertices divided by with message peers heuristically to reduce over-connection, and edges added by both data flags and wait event inside a block to avoid under-connection. User interactions are critical in the path slicing due to the inherent inaccurate in the graph. Our results shows the user interaction does not overwhelm the debugging process while makes the debugging much more efficient. Table 2 demonstrates our results with the length of path sliced with Argus, which is much shorter than the one generated automatically by heuristically choosing the most recent edges if multiple predecessors.

In the remaining of this section, we present the case studies by category in (§??).

6.1. Long Running

In this section, we discuss the cases where the spinning vertex is busy on the CPU. Most of the text editing apps fall into this bug category. We studied TeXstudio, TextEdit, Microsoft Word, Sublime Text, Text Mate and CotEditor to reveal their root causes.

TeXStudio TeXstudio [?] is an integrated writing environment for creating LaTeX documents from github. We noticed a user reported spinning cursor when he modified his bib file.

Bug ID	Application	Bug description
0	WindowServer	display spinning cursor
1-SystemPref	System Preferences	Disabling an online external monitor and rearranging windows cause the freeze.
2-SequelPro	Sequel Pro	Lost connection freezes the whole application.
3-TeXStudio	TeXStudio	Modification on bib file from vim causes the main window spinning.
4-Installer	Installer	Move cursor out of the authentication window causes spinning.
5-Notes	Notes	launching Notes with a saved relatively long note.
6-TextEdit	TextEdit	copy on text over 30M cause freeze.
7-MSWord	Microsoft Words	copy a whole document over 400 pages cause hang.
8-SIText	Sublime Text	Copy and paste in file over 49000 lines.
9-TextMate	TextMate	Paste text over 4000 lines causes spinning.
10-CotEditor	CotEditor	Paste in file over 4000 lines causes spinning.

Table 1: Bug Descriptions

Although the issue was closed by author for incomplete information to reproduce, we reproduced the bug with a large bib file opened in a tab. Each time we touched the file through other editor, vim for example, the application window shows a spinning cursor.

Argus figures out the spinning vertex is busy processing. Slicing the path from the vertex, Argus reaches the daemon “`fseventd`” and figures out the busy processing is invoked by the callback function from the daemon. The advantage of Argus over other debugging tools is it helps to narrow down the root cause with the path. If the user reported the information with Argus, it should have provided the author more hints to reproduce the bug successfully.

Other Editing Apps Select, copy, paste, delete, insert and save are common operations for text editing. However, these operations on a large context usually trigger spinning cursors. Depending on their implementations, CotEditor and TextMate successfully avoid hangs on copy and selection operation. Argus can helps the developer to figure out the more efficient way to implement event handlers. We briefly list the reports from spinning vertex, including the event handler and most costly functions. We also list corresponding user input event from the path slicing in Table 3.

7-MSWord User interactions in Argus help to speed up the path slicing. In bug 7-MSWord, the length of path interactively sliced from the spinning vertex is 67, while the automatic slicing generates a path of 136 vertices. We compared the path and find out the earliest difference exists in the predecessor of

Bug ID	rate of vertices divided by Argus msg heuristics	rate of edges added by share flag and Argus wait heuristics	# of data flag	length of Argus baseline/spinning path slicing	# of user interaction	length of auto baseline/spinning path slicing
1-SystemPref			5			
2-SequelPro			3	5	2	
3-TeXStudio			3	6	3	
4-Installer						
5-Notes						
6-TextEdit(copy)			3	21	5	21
7-MSWord(copy)			3	67	12	136
8-SIText(copy)			3	3	1	
9-TextMate(paste)			3	23	0	
10-CotEditor(paste)			3	4	1	

Table 2: Graph Comparison

the third vertex in backward paths.

In the vertex, user can learn from the callstack that Microsoft Word launches a service `NSServiceControllerCopyServiceDictionary` after woken by another thread of itself. The thread continues to send message to `launchd` to add service and receive a reply message there. With the most recent edge heuristics in automatic slicing, Argus choose `launchd` as its predecessor, while the user can identify that the execution segment is working for the request from the thread intra process. Along the path exists multiple such patterns.

BUG-ID	costly API	UI
5-Notes	1) <code>NSDetectScrollDevices</code> Then <code>InvokeOnMainQueue</code>	-
6-TextEdit	1) <code>[NSTextView(NSPasteboard) _writeRTFDInRanges:toPasteboard:]</code> 2) <code>get_vImage_converter</code> 3) <code>get_full_conversion_code_fragment</code>	key c
7-MSWord	1) <code>[-[NSPasteboard setData:forType:index:usesPboardTypes:]]</code> 2) <code>_CFStringCreateImmutableFunnel3</code> 3) <code>platform_memmove</code> 4) <code>lseek, 5) fstat64, 6) fcntl</code>	key c
8-SIText	1) <code>px_copy_to_clipboard</code> 2) <code>__CFToUTF8Len</code>	key c
9-TextMate	1) <code>[-[OakTextView paste:]]</code> 2) <code>CFAttributedStringSet</code> 3) <code>TASCIIEncoder::Encode</code>	key v
10-CotEditor	1) <code>CFStorageGetValueAtIndex</code> 2) <code>[-[NSBigMutableString characterAtIndex:]]</code>	key v

Table 3: Root cause of spinning cursor in editing Apps

6.2. Long Wait and Repeated Yield

In this section, we discuss the cases where the spinning vertex is blocking on wait event or yielding loop, corresponding to **Long Wait** and **Repeated Yield**.

Spinning curosr When the spinning cursor shows up, a hang reporting tool, `spindump` usually kicks in automatically to sample callstacks for debugging. To figure out the spinning vertex in the main thread, we turn to the event graph, and slice path backward from the launch of `spindump`.

The path shows the `spindump` is launched after receiving a message from `WindowServer`, which received a message from the `NSEvent` thread of the freezing app. The call stack attached to the messages further reveal `NSEvent` thread per process fetches `CoreGraphics` events from `WindowServer`, converts and creates `NSApp` events for the main UI thread. If the main thread is not spinning, a timer is armed to count down for the `NSApp` event. If the main thread fails to process it before the timer fires, `NSEvent` thread sends a message to `WindowServer` via the API `"CGSConnectionSetSpinning"` from the timer handler, and `WindowServer` notifies the `CoreGraphics` to draw a spinning cursor over the application window. Moreover, while exploiting how `NSEvent` thread communicates with the main thread, we found two variables, `"is_mainthread_spinning"` and `"dispatch_to_mainthread"`, indicating the main thread status. As a result, Argus can make use of either the API or the data flag to identify the spinning vertex in the main thread.

SequelPro Sequel Pro is a fast, easy-to-use Mac database management application for working with MySQL databases. It allows user to connect to database with a standard way, socket or ssh. We noticed spinning cursors while the network connection was lost and Sequel Pro tried re-connections.

We collected tracing data in two cases: 1) network connected quickly when we login, and 2) Sequel Pro lost connection for a while. Argus first identifies the spinning vertex from SequelPro’s main thread. With the Algorithm `FindSimilarNode`, Argus gets a baseline node(N_1) in case 1). From there, Argus goes along the weak edge, from wait event to wake_up event, to figure out the vertex missing in case 2), and slices path backwards from the node(N_1). Argus carries out the comparison of the vertices in the thread after the normal vertex gets waken and before the spinning cursor shows up. The close examination tells that the main thread is waiting for the kernel thread, which in turn waits for the ssh thread.

Installer When Installer pops up a window for privileged permission during the installation of `jdk-7u80-macosx-x64`, moving the cursor out of the popup window triggers spinning cursor. Examining the spinning vertex reported by Argus, it is easy to figure out the main thread blocks in `[IFRunnerProxy`

`requestKeyForRights:askUser:]).` The function sends a synchronous message to daemon *authd*. The root cause is the authentication of user’s privilege synchronously in the main thread, instead of the handler for moving cursor.

SystemPreferences System Preferences provides a central location in macOS to customize system settings, including configuring additional monitors. A tool called *DisableMonitor* [6] completes its function with enable/disable monitors online. We blocked on the spinning cursor while disabling an external monitor and rearranging windows in “Display” panel.

We collected data with the Argus, which contains 1) enable the external monitor and rearrange the windows, and 2) disable the external monitor and rearrange the windows. The spinning vertex in the main UI thread is dominated by *mach_msg* and *thread_switch*, which falls into the category of yielding loop. We finds two missing shared variables via the reverse engineering and “_gCGWillReconfigureSeen” and “_gCGDidReconfigureSeen”, which are used to signify the display configuration status.

Argus compares the two cases and reveals in both cases the first variable is set to indicate the begin of display configuration. However, in case 1) the main thread of System Preferences receives a datagram which set the variable “_gCGDidReconfigureSeen” in *display_notify_proc* and finish display reconfiguration, while in case 2) the main thread yields and sends message for the available of such datagram ping until timed out.

Argus reports a lot of similar vertices due to the limit of semantics in the vertex. As a result, we instruct Argus to extends the comparison with its proceeding vertices in the main thread. In conclusion, the bug is from the design in CoreGraphics library and would have to fixed by Apple. We verified the bug by coming up a dynamic binary patch with lldb.

7. Performance Evaluation

In this section we present the performance impact of the live deployment of Argus. We deploy Argus on a MacBookPro9,2, which has Intel Core i5-3210M CPU with 2 cores and 4 thread, 10GB DDR3 memory and a 1T SSD.

Argus has a very small space overhead with the configuration of its tracing tool. It uses the ring buffer with configured 2G by default to collect tracing events. The memory used to store events is fixed to 512M by Apple, which is pretty low with regards to the memory usage of modern applications. In the remaining of this section, we measure Argus’s overhead overall with iBench scores, IO throughput degradation with *bonnie++*, *iozone* and CPU overhead with chromium benchmarks.

iBench We first show the five runs of iBench with and without Argus to evaluate the overall performance. The machine is clean booted for each run, and the higher score means it

	1st	2nd	3rd	4th	5th
without Argus	5.98	6.23	6.18	6.05	6.28
with Argus	6.29	6.01	6.09	6.28	6.01
average overhead	0.13%				

Table 4: Score From iBench

performs better. As shown in Table 4, their performance are almost of no difference, only 0.13% degradation on average.

IO Throughput Next, we evaluate the IO throughput with *bonnie++* and *iozone*. As shown in the Table 5, the throughputs of sequential read and write by characters with and without Argus are almost same. Read and write by block imposes less than 10% overhead in the both microbenchmarks, *bonnie++* and *iozone*. With the selected event types in our system, the tracing tool integrated in Argus only adds 5% IO overhead on average.

	kb/s	With Argus	Without Argus	overhead
bonnie++	read char	21922	22149	0.01
	read block	226931	244089	0.07
	rewrite	246807	267491	0.08
	write char	22924	22936	0.00
	write block	4073361	4396387	0.07
seq	file create	17391	17381	0.00
	file delete	18089	19401	0.07
random	create	17472	17887	0.02
	delete	8849	9567	0.08
iozone	initial write	1199453	1318572	0.09
	rewerite	3663066	4059912	0.10
	average	-	-	0.05

Table 5: IO throughput with *bonnie++* and *iozone*

CPU We evaluate Argus’s CPU overhead with chromium benchmarks by recording their time usage on real, user and sys. Although the sys time overhead is relatively high due to the tracing events usually across the kernel boundary, they are not triggered too frequently in our daily software usage, including browsers. The time cost is mostly under 5%, except the *dummy_benchmark.histogram*. As shown in Table 6, the time overhead for real, user and sys are 7%, 5% and 40% respectively.

8. Related Work

While there is currently no system that can help users debug performance issues in closed-source applications on proprietary macOS, several active research topics are closely related.

Event tracing. Magpie [9] is perhaps the closest to our work. It monitors server applications in Windows with the goal to model the normal behaviors of a server application in response to a workload. This model further helps detecting anomalies statistically. In contrast, Argus’s goal is to identify the root causes of performance issues. Its graphs are not request graphs, but rather graphs that may contain many requests. In addition, it logs normal and abnormal executions in the same event trace. In addition, Magpie requires a manual-written event schema

Chromium Benchmark (in seconds)	with Argus			without Argus			Overhead		
	real	user	sys	real	user	sys	real	user	sys
system_health.memory_desktop	11592	18424	1821	11317	18401	1415	0.02	0.00	0.29
rasterize_and_record_micro.top_25	1579	2142	135	1654	2166	116	-0.05	-0.01	0.16
blink_perf	16210	17227	959	15877	16724	766	0.02	0.03	0.25
webrtc	726	2023	225	725	2130	168	0.00	-0.05	0.34
memory_desktop	1231	2238	267	1188	2200	190	0.04	0.02	0.41
loading_desktop.network_service	24580	52751	6294	23696	52327	4197	0.04	0.01	0.50
dromaeo	206	227	15	192	212	12	0.07	0.07	0.29
dummy_benchmark.histogram	49	48	8	33	36	4	0.50	0.32	0.96
v8.browsing_desktop	2462	4489	491	2325	4440	303	0.06	0.01	0.62
octan_desktop	112	142	8	98	124	5	0.14	0.15	0.44
speedometer	618	802	31	600	782	24	0.03	0.03	0.32
page_cycler_v2.typical_2	8020	14435	1453	7847	14215	1019	0.02	0.02	0.43
smoothness.oop_rasterization.top_25_smooth	864	1450	156	833	1412	126	0.04	0.03	0.24
AVERAGE	-	-	-	-	-	-	0.07	0.05	0.4

Table 6: Chromium benchmark

for all involved applications to capture precise request graphs, whereas Argus has a simple, application-agnostic schema for system-wide tracing and enables users to provide more application-specific knowledge on demand.

Panappticon [26] monitors a mobile system and uses the trace to characterize the user transactions of mobile apps. Although it aims to track system-wide events and correlate them without developer input, it supports only two models of communication: work queue and thread pooling.

AppInsight [19] instruments application to identify the critical execution path in a user transaction. It supports the event callback pattern, and does not trace across process or app boundaries.

XTrace, Pinpoint and etc [14, 10, 11] trace the path of a request through a system using a unique identifier attached to each request and stitch traces together with the identifier. Argus does not assume the presence of a unified identifier in closed-source, third-party applications, frameworks, and libraries.

Aguilela [7] uses timing analysis to correlate messages to recover their input-output relations while treating the application as a black box.

Performance anomaly detection. Several systems detect performance anomalies automatically. [15, 25] leverage the user logs and call stacks to identify the performance anomaly. [12, 21, 23, 13] apply the machine learning method to identify the unusual event sequence as an anomaly. [24] generates the wait and waken graph from sampled call stacks to study a case of performance anomaly.

These systems are orthogonal to Argus as Argus’s goal is to diagnose an already-detected performance anomaly. These systems can help Argus by detecting more accurately when a performance issue arises.

9. Conclusion

Our key insight in this paper is that causal tracing is inherently imprecise. We have reported patterns we observed that pose big precision challenges to causal tracing, and built Argus,

a practical system for effectively debugging performance issues in macOS applications despite the imprecision of causal tracing. To do so, it lets a user provide domain knowledge interactively on demand. Our results show that Argus effectively helped us locate all root causes of the issues, including a bug in Chromium, 01 and incurred 7% CPU overhead overall in its system-wide tracing.

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