

# **Assignment 1 - ACT1**

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### Problem 1:

Let  $C$  be an  $[n, k, d]_q$  code over a finite field  $\mathbb{F}_q$  with the generator matrix  $G$ . If  $G$  does not have a column containing all zeros, then show that

$$\sum_{\mathbf{c} \in C} \text{wt}(\mathbf{c}) = n(q-1)q^{k-1},$$

where  $\text{wt}(\mathbf{c})$  denotes the number of nonzero coordinates in  $\mathbf{c} \in \mathbb{F}_q^n$ .

### Solution 1:

Let  $C$  be an  $[n, k, d]_q$  linear code over the finite field  $\mathbb{F}_q$  with the generator matrix  $G$ . The code has length  $n$ , dimension  $k$ , and minimum distance  $d$ . Since  $C$  is a linear code, it is generated by a  $k \times n$  generator matrix  $G$ , which we denote as:

$$G = \begin{pmatrix} g_{11} & g_{12} & \cdots & g_{1n} \\ g_{21} & g_{22} & \cdots & g_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ g_{k1} & g_{k2} & \cdots & g_{kn} \end{pmatrix}.$$

Each codeword  $\mathbf{c}$  in  $C$  can be expressed as a linear combination of the rows of  $G$ . Let  $\mathbf{v} = (v_1, v_2, \dots, v_k) \in \mathbb{F}_q^k$  be a vector representing the coefficients of this linear combination. Then, the codeword corresponding to  $\mathbf{v}$  is:

$$\mathbf{c} = \mathbf{v}G = (v_1, v_2, \dots, v_k) \begin{pmatrix} g_{11} & g_{12} & \cdots & g_{1n} \\ g_{21} & g_{22} & \cdots & g_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ g_{k1} & g_{k2} & \cdots & g_{kn} \end{pmatrix}.$$

This gives us a codeword  $\mathbf{c} = (c_1, c_2, \dots, c_n)$  where each coordinate  $c_j$  is computed as:

$$c_j = v_1 g_{1j} + v_2 g_{2j} + \cdots + v_k g_{kj}.$$

Since we are given that  $G$  does not have any column that contains all zeros, for each column  $j$  in  $G$ , at least one of the elements  $g_{1j}, g_{2j}, \dots, g_{kj}$  is non-zero. This implies that it is possible to select coefficients  $v_1, v_2, \dots, v_k$  such that the sum  $v_1 g_{1j} + v_2 g_{2j} + \cdots + v_k g_{kj}$  is non-zero.

For a fixed coordinate  $j$  (where  $1 \leq j \leq n$ ), we consider how many choices of the vector  $\mathbf{v} = (v_1, v_2, \dots, v_k)$  result in  $c_j \neq 0$ . Since the sum  $v_1 g_{1j} + v_2 g_{2j} + \cdots + v_k g_{kj}$  forms a linear combination over the field  $\mathbb{F}_q$ , for each possible choice of values for  $v_2, v_3, \dots, v_k$ , there are exactly  $q-1$  non-zero choices for  $v_1$  that result in a non-zero sum. Since there are  $q^{k-1}$  possible ways to choose the remaining coefficients  $v_2, \dots, v_k$ , the total number of ways to choose  $(v_1, v_2, \dots, v_k)$  such that  $c_j \neq 0$  is  $(q-1)q^{k-1}$ .

This argument holds for each coordinate  $j = 1, 2, \dots, n$  because none of the columns of  $G$  are all zeros. Therefore, each of the  $n$  coordinates contributes  $(q-1)q^{k-1}$  non-zero entries when summed over all codewords. Therefore,

$$\sum_{\mathbf{c} \in C} \text{wt}(\mathbf{c}) = n \cdot (q-1)q^{k-1},$$

which completes the proof. ■

## Problem 2:

Let  $C$  be an  $[n, k]_q$  code where the block length and the dimension of  $C$  are  $n$  and  $k$ , respectively. The code  $C$  is called self-dual if  $C = C^\perp$ , that is, the code  $C$  is the same as its dual. For any prime  $q$ , is there an  $[8, 4]_q$  self-dual code over  $\mathbb{F}_q$ ?

## Solution 2:

A self-dual code  $C$  of length  $n$  and dimension  $k$  over  $\mathbb{F}_q$  satisfies  $C = C^\perp$ . For an  $[8, 4]_q$  self-dual code, the parity-check matrix  $H$  must satisfy  $HH^T = 0$ . We consider two cases based on the properties of the finite field  $\mathbb{F}_q$ .

**Case 1:**  $q = 2$  or  $q \equiv 1 \pmod{4}$

In this case, there exists an element  $a \in \mathbb{F}_q$  such that  $a^2 + 1 = 0$ . For  $q = 2$ ,  $a = 1$  works. For  $q \equiv 1 \pmod{4}$ , the existence of such an element  $a$  follows from number theory.

Consider the matrix:

$$H = \begin{bmatrix} 1 & 0 & 0 & 0 & a & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & a & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & a & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & a \end{bmatrix}.$$

Clearly,  $H$  is full-rank. Also,

$$\begin{aligned} HH^T &= \begin{bmatrix} 1 & 0 & 0 & 0 & a & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & a & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & a & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & a \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ a & 0 & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & a & 0 \\ 0 & 0 & 0 & a \end{bmatrix} \\ &= \begin{bmatrix} 1+a^2 & 0 & 0 & 0 \\ 0 & 1+a^2 & 0 & 0 \\ 0 & 0 & 1+a^2 & 0 \\ 0 & 0 & 0 & 1+a^2 \end{bmatrix}. \end{aligned}$$

Since  $a^2 + 1 = 0$ , so we have,  $HH^T = 0$ , i.e., the code is self-dual.

**Case 2:**  $q \equiv 3 \pmod{4}$

In this case, there exist elements  $a, b \in \mathbb{F}_q$  such that  $a^2 + b^2 + 1 = 0$  (by Problem 3.7 of the Practice Problem Set). Consider the matrix:

$$H = \begin{bmatrix} 1 & 0 & 0 & 0 & a & b & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & a & b & 0 \\ 0 & 0 & 1 & 0 & b & -a & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & b & -a & 0 \end{bmatrix}.$$

Here also,  $H$  is clearly full rank. We have,

$$\begin{aligned}
HH^T &= \begin{bmatrix} 1 & 0 & 0 & 0 & a & b & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & a & b & 0 \\ 0 & 0 & 1 & 0 & b & -a & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & b & -a & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ a & 0 & b & 0 \\ b & a & -a & b \\ 0 & b & 0 & -a \\ 0 & 0 & 0 & 0 \end{bmatrix} \\
&= \begin{bmatrix} 1+a^2+b^2 & 0 & 0 & 0 \\ 0 & 1+a^2+b^2 & 0 & 0 \\ 0 & 0 & 1+a^2+b^2 & 0 \\ 0 & 0 & 0 & 1+a^2+b^2 \end{bmatrix}.
\end{aligned}$$

Since  $a^2 + b^2 + 1 = 0$ , we get  $HH^T = 0$ , i.e., the code is self-dual.

Therefore, for any prime  $q$ , there exists an  $[8, 4]_q$  self-dual code over the finite field  $\mathbb{F}_q$ . The structure of the parity-check matrix depends on whether  $q \equiv 1 \pmod{4}$  or  $q \equiv 3 \pmod{4}$ , but in both cases, a self-dual code can be constructed, as shown above. ■

### Problem 3:

The set of all  $n_2 \times n_1$  matrices over  $\mathbb{F}_2$  forms a vector space  $V$  of dimension  $n_1 n_2$ . For  $i = 1, 2$ , let  $C_i$  be an  $[n_i, k_i, d_i]_2$  linear code over  $\mathbb{F}_2$ . Let  $C$  be the subsets of  $V$  consisting of those matrices for which every column, respectively every row, is a codeword in  $C_1$ , respectively  $C_2$ . Show that  $C$  is an  $[n_1 n_2, k_1 k_2, d_1 d_2]_2$  code. The code  $C$  is called the *direct product* of  $C_1$  and  $C_2$ .

### Solution 3:

Here,  $V$  is the vector space of all  $n_2 \times n_1$  matrices over the finite field  $\mathbb{F}_2$ . The dimension of  $V$  is  $n_1 n_2$  since each matrix has  $n_1 n_2$  entries and each entry can independently take a value in  $\mathbb{F}_2$ .

First, we need to show that  $C$  is a subspace of  $V$ . Consider any two matrices  $A, B \in C$ . By definition, every column of  $A$  and  $B$  is a codeword in  $C_1$ , and every row of  $A$  and  $B$  is a codeword in  $C_2$ . Since  $C_1$  and  $C_2$  are linear codes, the sum of any two codewords in these codes is also a codeword in the same code. Therefore, for the sum  $A + B$ , each column remains a codeword in  $C_1$  and each row remains a codeword in  $C_2$ , implying that  $A + B \in C$ . Closure under scalar multiplication is trivial since the scalars in  $\mathbb{F}_2$  are 0 and 1, so multiplying by a scalar either results in the zero matrix or leaves the matrix unchanged. Thus,  $C$  is closed under both addition and scalar multiplication, making it a subspace of  $V$  and hence a linear code.

Next, we determine the dimension of  $C$ . Each matrix in  $C$  has  $n_1$  columns, each of which must be a codeword in the  $[n_1, k_1, d_1]_2$  code  $C_1$ . There are  $k_1$  degrees of freedom in choosing these columns since  $C_1$  has dimension  $k_1$ . Similarly, each matrix in  $C$  has  $n_2$  rows, each of which must be a codeword in the  $[n_2, k_2, d_2]_2$  code  $C_2$ , giving  $k_2$  degrees of freedom in choosing these rows. Therefore, the total number of independent choices for constructing the matrix is  $k_1 k_2$ . Consequently, the dimension of  $C$  is  $k_1 k_2$ .

Now, we compute the minimum distance of  $C$ . Consider a non-zero matrix  $A \in C$ . Since each column of  $A$  is a codeword in  $C_1$ , if at least one column is non-zero, it must contain at least  $d_1$  non-zero entries, as the minimum distance of  $C_1$  is  $d_1$ . Similarly, since each row of  $A$  is a codeword in  $C_2$ , if at least one row is non-zero, it must contain at least  $d_2$  non-zero entries, as the minimum distance of  $C_2$  is  $d_2$ . To satisfy both conditions simultaneously, the matrix must have at least  $d_1 d_2$  non-zero entries. Therefore, the minimum distance of the code  $C$  is  $d_1 d_2$ .

Thus,  $C$  is a linear code of length  $n_1 n_2$ , dimension  $k_1 k_2$ , and minimum distance  $d_1 d_2$ . Hence,  $C$  is an  $[n_1 n_2, k_1 k_2, d_1 d_2]_2$  code. ■

#### Problem 4:

Show that  $[15, 8, 5]_2$  code does not exist.

#### Solution 4:

Let  $\mathcal{L}(k, d)$  be the minimum length of a binary code with Hamming distance  $\geq d$  and dimension  $k$ . Let  $C$  be an  $[n, k, d]_2$  code. Then, from Problem 5(a), we have, there exists an  $[n - d, k - 1, d']_q$  code with  $d' \geq \lceil d/2 \rceil$ . Therefore, the length of such a code is  $\geq \mathcal{L}(k - 1, \lceil \frac{d}{2} \rceil)$ . Therefore,

$$\mathcal{L}(k, d) = d + \mathcal{L}\left(k - 1, \left\lceil \frac{d}{2} \right\rceil\right).$$

Putting  $k = 8$  and  $d = 5$ , we get:

$$\mathcal{L}(8, 5) \geq 5 + \mathcal{L}(7, 3). \tag{1}$$

The generalized Hamming bound is the following:

$$n - k \geq \log_q \left( \sum_{i=0}^{\lceil \frac{d-1}{2} \rceil} \binom{n}{i} (q-1)^i \right).$$

Putting  $q = 2, k = 7$  and  $d = 2$ , we have

$$n - 7 \geq \log_2 \left( \sum_{i=0}^1 \binom{n}{i} \right) \geq \log_2(1 + n). \tag{2}$$

Clearly,  $n = 11$  is the smallest value of  $n$  which satisfies equation (2), i.e.,

$$\mathcal{L}(7, 3) \geq 11.$$

Using this in equation (1), we get:

$$\mathcal{L}(8, 5) \geq 16.$$

Therefore,  $[15, 8, 5]_2$  code does not exist. ■

### Problem 5:

- (a) If there exists an  $[n, k, d]_q$  code, then there exists an  $[n - d, k - 1, d']_q$  code with  $d' \geq \lceil d/q \rceil$ .
- (b) For any  $[n, k, d]_q$ -code,

$$n \geq \sum_{i=0}^{k-1} \left\lceil \frac{d}{q^i} \right\rceil.$$

It is known as *Griesmer Bound*.

### Solution 5:

- (a) Let  $C$  be an  $[n, k, d]_q$ -code. Let  $G$  be a generator matrix of  $C$ . We can always assume, without loss of generality, that the first row vector of  $G$  is of the form  $v = (1, \dots, 1, 0, \dots, 0)$ , with weight  $d$ . Then,  $G$  can be written as:

$$G = \begin{pmatrix} 1 & \cdots & 1 & 0 & \cdots & 0 \\ * & * & * & & G' & \end{pmatrix},$$

where  $G'$  is a  $(k - 1) \times (n - d)$  matrix.

Now, consider the code  $C'$  generated by  $G'$ . The code  $C'$  has length  $n - d$  and dimension  $k - 1$ . Let  $d'$  be the minimum distance of  $C'$ . Let  $\mathbf{u} \in C'$  such that  $\text{wt}(\mathbf{u}) = d'$ . Then,  $\exists$  some  $\mathbf{w} = (w_1, w_2, \dots, w_d) \in \mathbb{F}_q^d$  such that  $(\mathbf{w} \mid \mathbf{u}) \in C$ , where  $(\mathbf{w} \mid \mathbf{u})$  represents the concatenation of  $\mathbf{w}$  and  $\mathbf{u}$ .

By the Pigeonhole Principle, there exists some  $\alpha \in \mathbb{F}_q$  such that at least  $\lceil \frac{d}{q} \rceil$  of  $w_1, w_2, \dots, w_d$  are equal to  $\alpha$ . Since  $(\mathbf{w} \mid \mathbf{u}) - \alpha \mathbf{v} \in C$ , we have:

$$\begin{aligned} d &\leq \text{wt}((\mathbf{w} \mid \mathbf{u}) - \alpha \mathbf{v}) \\ &= \text{wt}((\mathbf{w} - (\alpha, \dots, \alpha)) \mid \mathbf{u}) \\ &= \text{wt}(\mathbf{w} - (\alpha, \dots, \alpha)) + \text{wt}(\mathbf{u}) \\ &\leq \left( d - \left\lceil \frac{d}{q} \right\rceil \right) + d'. \end{aligned}$$

Thus, we obtain:

$$d' \geq \left\lceil \frac{d}{q} \right\rceil,$$

which proves the result.

- (b) For a given  $k$  and  $d$ , let  $N_{k,d}$  be the minimum value of  $n$  for which there exists an  $[n, k, d]_q$ -code. We shall prove this result by induction on  $k$ .

**Base Case:** When  $k = 0$ , the result is clear.

**Inductive Step:** Assume that the result is true for  $k = k_0 - 1$ . Let  $C$  be an  $[N_{k_0,d}, k_0, d]_q$ -code. By part (a), there exists an  $[N_{k_0,d} - d, k_0 - 1, d']_q$ -code with  $d' \geq \lceil d/q \rceil$ .

By the induction hypothesis, we have:

$$N_{k_0-1,d'} \geq \sum_{i=0}^{k_0-2} \left\lceil \frac{d'}{q^i} \right\rceil.$$

Since  $d' \geq \lceil d/q \rceil$ , it follows that:

$$N_{k_0,d} - d \geq \sum_{i=0}^{k_0-2} \left\lceil \frac{\lceil d/q \rceil}{q^i} \right\rceil.$$

Thus,

$$N_{k_0,d} \geq d + \sum_{i=0}^{k_0-2} \left\lceil \frac{d}{q^{i+1}} \right\rceil = \sum_{i=0}^{k_0-1} \left\lceil \frac{d}{q^i} \right\rceil,$$

which completes the proof. ■

### Problem 6:

Let  $q \geq 2$  be an integer. Let  $\delta \in (0, 1 - \frac{1}{q})$ . Let  $\epsilon \in [0, 1 - H_q(\delta)]$  and  $n$  be a positive integer. Let  $k = (1 - H_q(\delta) - \epsilon)n$ . Let  $H$  be an  $(n - k) \times n$  matrix over  $\mathbb{F}_q$  picked uniformly and randomly. Then, show that  $H$  is a parity-check matrix of a code of block length  $n$ , rate  $1 - H_q(\delta) - \epsilon$ , and relative distance at least  $\delta$  with probability at least  $1 - q^{-\epsilon n}$ .

### Solution 6:

Let  $q \geq 2$  be an integer and let  $\mathbb{F}_q$  be the finite field with  $q$  elements. Given  $\delta \in (0, 1 - \frac{1}{q})$  and  $\epsilon \in [0, 1 - H_q(\delta)]$ , let  $n$  be a positive integer, and define  $k = (1 - H_q(\delta) - \epsilon)n$ . Let  $H$  be an  $(n - k) \times n$  matrix over  $\mathbb{F}_q$  chosen uniformly at random. We want to show that the matrix  $H$  is the parity-check matrix of a code with block length  $n$ , rate  $1 - H_q(\delta) - \epsilon$ , and relative distance at least  $\delta$  with probability at least  $1 - q^{-\epsilon n}$ .

The rate of the code is given by  $R = \frac{k}{n}$ . Since  $k = (1 - H_q(\delta) - \epsilon)n$ , we have  $R = 1 - H_q(\delta) - \epsilon$ . Therefore, the code will have the desired rate provided the parity-check matrix  $H$  has full rank, i.e., rank  $n - k$ . The probability that a random matrix  $H$  does not have full rank is negligible for large  $n$ , so the rate condition is satisfied with high probability.

Next, we consider the relative distance of the code. The relative distance of a linear code is determined by the minimum Hamming weight of its non-zero codewords. Codewords correspond to vectors in the null space of  $H$ . To show that the code has a relative distance at least  $\delta$ , we need to bound the probability that there exists a non-zero vector  $c$  in the null space of  $H$  with Hamming weight less than or equal to  $\delta n$ .

Since  $H$  is chosen uniformly at random, for any fixed non-zero vector  $c \in \mathbb{F}_q^n$ , the probability that  $c$  belongs to the null space of  $H$  and has Hamming weight at most  $\delta n$  is given by:

$$\frac{\text{Vol}_q(n, \delta n)}{q^n} \leq q^{(H_q(\delta)-1)n},$$

where  $\text{Vol}_q(n, \delta n)$  represents the volume of a Hamming ball of radius  $\delta n$  in the space  $\mathbb{F}_q^n$ .

The null space of  $H$  contains  $q^k$  vectors. The probability that there exists at least one non-zero codeword in this space with weight less than or equal to  $\delta n$  can be bounded by applying the union bound:

$$q^k \cdot q^{(H_q(\delta)-1)n} = q^{(1-H_q(\delta)-\epsilon)n} \cdot q^{(H_q(\delta)-1)n} = q \cdot q^{-\epsilon n} = q^{1-\epsilon n}.$$

The probability that no non-zero codeword in the null space of  $H$  has weight less than or equal to  $\delta n$  is the complement of the above probability, which is  $1 - q^{1-\epsilon n}$ . Since  $q^{1-\epsilon n}$  becomes extremely small for large  $n$  (as  $\epsilon > 0$ ), this probability approaches 1. Thus, we can conclude that with probability at least  $1 - q^{-\epsilon n}$ , the random parity-check matrix  $H$  defines a linear code with rate  $1 - H_q(\delta) - \epsilon$  and relative distance at least  $\delta$ . ■