

# PP-Module for Authentication Servers



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**National Information Assurance Partnership**

## Revision History

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# 1 Introduction

## 1.1 Overview

An authentication server provides assertions to a relying party that a particular request for access is from an authentic digital identity associated with various identity attributes, such as a registered account within an information system, or a certified identity as validated by a trusted certification authority or both. The digital identities can represent people, devices or processes. Authentication servers validate various authenticators controlled by the entities represented by the presented digital identity. When the entity is a person, authenticators can provide indications of what the entity knows (e.g., a password, pin or passphrase), what the entity has (e.g., a registered device in the control of the user), or what the entity is (a biometric). NIST SP 800-63-3 Part B provides recommendations about how these authenticators can be leveraged individually or in combinations to provide assurance that the entity is authentic and describes requirements for validation of the authenticators to various assurance levels. A relying party may delegate verification of authenticator(s) to an authentication server; such delegation creates a relationship between the relying party and the authentication server that is referred to as an identity federation. Assertions to a federated relying party can be via bearer assertions or via direct communication with the relying party. The latter mechanism is modeled after that used by Authentication, Access and Accounting (AAA) servers, which used the RADIUS protocol. RADIUS has been largely replaced by DIAMETER, a protocol that addresses many of the security issues with RADIUS. These provide direct, back-end assertions protected by an authenticated and encrypted channel to a Network Access Server that further governs accesses to resources on a network.

This PP-module describes the security functionality of authentication servers supporting RADIUS/DIAMETER and other messaging protocols intended for direct communications with relying parties via authenticated, real-time protected channels.

The scope of this PP-Module is to describe the security functionality of an authentication server in terms of [CC] and to define functional and assurance requirements for such products. This PP-Module is intended for use with the following Base-PP:

- collaborative Protection Profile for Network Devices, Version 2.2e (NDcPP)

This Base-PP is valid because an authentication server can be deployed as a dedicated network appliance. The use case of deploying the authentication server as an application on a general-purpose computer is outside the scope of this PP-Module. Authentication server products allow enterprises to provide a centralized and standardized method of evaluating user authentication requests made throughout the enterprise. This enables a centralized definition for user identity and credential data and allows for uniform application of authentication policies that define what credentials and user attributes are necessary to gain access to various systems and applications in the enterprise environment.

Note that the NDcPP defines an optional architecture for a “distributed TOE” that allows for security functionality to be spread across multiple distinct components. This PP-Module does not require or prohibit the TOE from being a distributed system when the TOE conforms to the NDcPP; the TOE may be standalone or distributed in this case.

## 1.2 Terms

The following sections list Common Criteria and technology terms used in this document.

### 1.2.1 Common Criteria Terms

Assurance	Grounds for confidence that a TOE meets the SFRs [CC].
Base Protection Profile (Base-PP)	Protection Profile used as a basis to build a PP-Configuration.
Collaborative Protection Profile (cPP)	A Protection Profile developed by international technical communities and approved by multiple schemes.
Common Criteria (CC)	Common Criteria for Information Technology Security Evaluation (International Standard ISO/IEC 15408).
Common Criteria Testing Laboratory	Within the context of the Common Criteria Evaluation and Validation Scheme (CCEVS), an IT security evaluation facility accredited by the National Voluntary Laboratory Accreditation Program (NVLAP) and approved by the NIAP Validation Body to conduct Common Criteria-based evaluations.
Common Evaluation Methodology (CEM)	Common Evaluation Methodology for Information Technology Security Evaluation.

Distributed TOE	A TOE composed of multiple components operating as a logical whole.
Operational Environment (OE)	Hardware and software that are outside the TOE boundary that support the TOE functionality and security policy.
Protection Profile (PP)	An implementation-independent set of security requirements for a category of products.
Protection Profile Configuration (PP-Configuration)	A comprehensive set of security requirements for a product type that consists of at least one Base-PP and at least one PP-Module.
Protection Profile Module (PP-Module)	An implementation-independent statement of security needs for a TOE type complementary to one or more Base-PPs.
Security Assurance Requirement (SAR)	A requirement to assure the security of the TOE.
Security Functional Requirement (SFR)	A requirement for security enforcement by the TOE.
Security Target (ST)	A set of implementation-dependent security requirements for a specific product.
Target of Evaluation (TOE)	The product under evaluation.
TOE Security Functionality (TSF)	The security functionality of the product under evaluation.
TOE Summary Specification (TSS)	A description of how a TOE satisfies the SFRs in an ST.

### 1.2.2 Technical Terms

Assertion	A statement from the TOE to an RP that contains information about a subscriber. Assertions may also contain verified attributes. For the purposes of this PP-Module, Assertions containing authentication status and identity attributes are made by EAP response messages in accordance with EAP-TLS or EAP-TTLS.
Authentication Policy	A policy that specifies which authenticator types are required for a particular entity. The policy may be implicit for all entities, or configurable.
Authenticator	Something the claimant possesses and controls (typically a cryptographic module or password) that is used to authenticate the claimant's identity.
Authenticator Output	The output value generated by an authenticator. The ability to generate valid authenticator outputs on demand proves that the claimant possesses and controls the authenticator. Protocol messages sent to the verifier are dependent upon the authenticator output, but they may or may not explicitly contain it.
Claimant	A subject whose identity is to be verified using one or more authentication protocols.
Credential	An object or data structure that authoritatively binds an identity - via an identifier or identifiers - and (optionally) additional attributes, to at least one authenticator possessed and controlled by a subscriber.
Federation Protocol	A protocol to establish a trusted relationship with a relying party, and for the purposes of this PP module, to communicate authentication status for entities requesting access to resources managed by the relying party. In this PP-module, Federation Protocols include RADIUS, DIAMETER, and other standard protocols used in direct communication between the relying party and the TOE. Federation protocols that only support bearer assertions are out of scope for this PP-Module.

Relying Party (RP)	An entity that relies upon the subscriber's authenticator(s) and credentials or a verifier's assertion of a claimant's identity, typically to process a transaction or grant access to information or a system.
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### 1.3 Compliant Targets of Evaluation

This PP-Module specifically addresses a dedicated network device that performs entity (device or user) authentication via direct, back-end connections with a relying party. The entity to be authenticated is referred to as the claimant, though different terms have been used for specific protocols (e.g., peer for RADIUS/DIAMETER). The relying party can manage a single resource or provide access control for resources within a network. For example, a Wireless Local Area Network (WLAN) Access System may use the services of a dedicated authentication server during tunnel establishment. In this use case, an authentication server must support IEEE 802.1X Port-Based Network Access Control and must fulfill the IEEE 802.11 authentication server role using Extensible Authentication Protocol (EAP) messaging.

Similarly, the authentication server may be used during Virtual Private Network (VPN) tunnel establishment. The relying party in this case is a VPN Gateway acting as a Network Access Server using passthrough between the VPN client and authentication server (the TOE), also using EAP messaging.

In general, any relying party using a direct authentication federation protocol that supports EAP-TLS or EAP-TTLS messaging is addressed by this PP-Module.

The combination of the NDcPP and this PP-Module is a network device that provides authentication server functionality in addition to all of the security functionality expected of a network device as mandated by the NDcPP.

This PP-Module describes the functional requirements and threats specific to authentication servers. A TOE that conforms to this PP-Module must also conform to the Base-PP.

### 1.4 TOE Boundary

This document specifies SFRs for an authentication server. An authentication server is designed to authenticate a claimant that attempts to access a relying party – an access gateway to a protected network, or individual resources and services – and provide assertions to one or more relying parties about the authentication state of the claimant. A claimant forwards one or more authenticator outputs to the authentication server; the authentication server verifies the authenticator outputs and may also provide additional identity attributes to allow the relying party to determine whether the claimant meets its authentication policy.

The authentication server defined by this PP-Module is one or more dedicated network appliances; the TOE is not intended to run as an application on a general-purpose computer. The authentication server can be co-located with an access management or privilege management system, or it may be separate from such services. Regardless of the deployment, access control functions and management of non-identity attributes are outside the scope of this PP-Module.

An authentication server may be part of a larger system that also provides authorization information, either as part of an AAA server, an authorization server, or a domain controller. This PP-Module specifies the functional requirements for authentication services only; as in the case where the TOE may be co-located with the relying party, the TOE's logical boundary only includes the authentication server functionality. However, the TOE boundary includes the ability to generate audit events that are specific to the authentication functionality but may be used to support other functions (e.g., AAA servers).



Figure 1: NAS with an Authentication Server



**Figure 2: Generic Authentication Server User Case**

## 1.5 Use Cases

This PP-Module defines potential use cases for the authentication server TOE, defined below. The first use case defines the physical embodiment of the TOE, while the latter three define its role in a network infrastructure.

### [USE CASE 1] Dedicated Appliance

The authentication server is integrated on a standalone network appliance. In this use case, conformance to the NDcPP and this PP-Module is sufficient to ensure security. This PP-Module does not cover the use case where the authentication server is an application that is installed on a general-purpose computer.

### [USE CASE 2] Standalone Server

The system on which the authentication server is deployed is solely responsible for acting as an authenticator. In this deployment, the authentication server's only network infrastructure role is to communicate with the relying party for receiving challenges and issuing responses.

### [USE CASE 3] Relying Party Co-Location

The system on which the authentication server is deployed acts as both the relying party or its proxy and the authentication server. In this deployment, the authentication server's interactions with the relying party are internal-only. Regardless of whether the relying party is a standalone component or the authentication server executable code also provides relying party functionality, the TOE's logical boundary still only includes the authentication server component. Additionally, if the authentication server is a software application that can be deployed independently of the relying party, the required external trusted communications must still be supported; an authentication server cannot use the fact that it can be deployed on the same physical server as the relying party as a way to exempt itself from implementation of IPsec, RadSec or mutually authenticated (D)TLS with an external relying party.

### [USE CASE 4] Integrated as an Authorization Server Component

The system on which the authentication server is deployed also acts as an authorization server (e.g., as part of an AAA server) that provides authorization services in addition to the authentication server. Assertions made via the direct connection can also include authorization information, and an unauthorized, but authenticated user may result in a negative response to the relying party. Regardless of whether these are all standalone components or whether the authentication server executable code also provides authorization functionality, the TOE's logical boundary still only includes the authentication server component. As in the case where the authentication server is co-located with the relying party, this deployment does not exempt the TOE from being able to implement all the functionality that this PP-Module requires.

# 2 Conformance Claims

## Conformance Statement

This PP-Module inherits exact conformance as required from the specified Base-PP and as defined in the CC and CEM addenda for Exact Conformance, Selection-Based SFRs, and Optional SFRs (dated May 2017).

No PPs or PP-Modules may be specified in a PP-Configuration with this PP-Module other than the Base-PP specified in [Section 1.1 Overview](#).

## CC Conformance Claims

This PP-Module is conformant to Parts 2 (extended) and 3 (conformant) of Common Criteria Version 3.1, Release 5 [CC].

## Package Claims

This PP-Module does not claim conformance to any packages.

# 3 Security Problem Description

The security problem is described in terms of the threats that the TOE is expected to address, assumptions about its operational environment, and any organizational security policies that the TOE is expected to enforce.

## 3.1 Threats

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The following threats that are defined in this PP-Module extend the threats that are defined by the Base-PP.

### **T.FALSE\_ENDPOINTS**

A malicious actor may falsely impersonate the TOE or a federated relying party in order to cause the TOE to operate in an insecure manner or to extract security-relevant, or sensitive user data from the TOE or its Operational Environment.

### **T.INVALID\_USERS**

A malicious user may supply incorrect or insufficient credential data or an otherwise invalid authentication request that is approved or ignored by the TSF such that protected resources are subject to unauthenticated access.

## 3.2 Assumptions

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These assumptions are made on the Operational Environment (OE) in order to be able to ensure that the security functionality specified in the PP-Module can be provided by the TOE. If the TOE is placed in an OE that does not meet these assumptions, the TOE may no longer be able to provide all of its security functionality. All assumptions for the OE of the Base-PP also apply to this PP-Module.

### **A.RP\_FEDERATION**

It is assumed that the TOE is federated with one or more relying parties that transmit authentication requests to it.

## 3.3 Organizational Security Policies

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An organization deploying the TOE is expected to satisfy the organizational security policy listed below in addition to all organizational security policies defined by the claimed Base-PP.

This document does not define any additional OSPs.



# 4 Security Objectives

## 4.1 Security Objectives for the TOE

### O.TRUSTED\_RP

The TOE shall provide mechanisms to authenticate itself to a federated RP and authenticate a federated RP before providing an identity assertion.

### O.USER\_AUTH

The TOE shall provide a mechanism to assess authentication requests and respond with an authentication assertion based on data that is supplied in the request.

### O.SECURITY\_ASSOCIATION

The TOE shall provide the information to the relying party to enable the relying party to verify that the claimant has possession of an authentication key.

## 4.2 Security Objectives for the Operational Environment

All objectives for the OE of the Base-PP also apply to this PP-Module.

### OE.RP\_FEDERATION

The TOE will be deployed in such a manner that it is federated with one or more relying parties that transmit authentication requests to it.

## 4.3 Security Objectives Rationale

This section describes how the assumptions, threats, and organizational security policies map to the security objectives.

Table 1: Security Objectives Rationale		
Threat, Assumption, or OSP	Security Objectives	Rationale
T.FALSE_ENDPOINTS	O.TRUSTED_RP	The TOE’s enforcement of mutual authentication allows it and the relying party to identify and reject attempts for each component to be impersonated.
T.INVALID_USERS	O.SECURITY_ASSOCIATION	The TOE’s ability to maintain a security association ensures that a mechanism exists for the TSF to assert to an external entity that a given user is valid.
	O.USER_AUTH	The TOE’s proper implementation of the claimant authentication ensures that it will accurately process authentication attempts to allow only valid authentication attempts. The TOE’s ability to use trusted communications as part of the federation protocol implementation ensures that modification or disclosure of authentication data cannot be used as a method to gain access to credentials or modify an authentication result.
A.RP_FEDERATION	OE.RP_FEDERATION	The OE objective OE.RP_FEDERATION is realized through A.RP_FEDERATION.

# 5 Security Requirements

This chapter describes the security requirements which have to be fulfilled by the product under evaluation. Those requirements comprise functional components from Part 2 and assurance components from Part 3 of [CC]. The following conventions are used for the completion of operations:

- **Refinement** operation (denoted by **bold text** or ~~striketrough text~~): Is used to add details to a requirement (including replacing an assignment with a more restrictive selection) or to remove part of the requirement that is made irrelevant through the completion of another operation, and thus further restricts a requirement.
- **Selection** (denoted by *italicized text*): Is used to select one or more options provided by the [CC] in stating a requirement.
- **Assignment** operation (denoted by *italicized text*): Is used to assign a specific value to an unspecified parameter, such as the length of a password. Showing the value in square brackets indicates assignment.
- **Iteration** operation: Is indicated by appending the SFR name with a slash and unique identifier suggesting the purpose of the operation, e.g. "/EXAMPLE1."

## 5.1 NDcPP Security Functional Requirements Direction

In a PP-Configuration that includes the NDcPP, the TOE is expected to rely on some of the security functions implemented by the Authentication Server as a whole and evaluated against the NDcPP. The following sections describe any modifications that the ST author must make to the SFRs defined in the NDcPP in addition to what is mandated by [Section 5.2 TOE Security Functional Requirements](#).

### 5.1.1 Modified SFRs

The SFRs listed in this section are defined in the NDcPP and relevant to the secure operation of the TOE.

#### 5.1.1.1 Identification and Authentication (FIA)

##### FIA\_X509\_EXT.2 X.509 Certificate Authentication

FIA\_X509\_EXT.2.1

This SFR is selection-based in the NDcPP. When the TOE conforms to this PP-Module it is mandatory because of the PP-Module's requirement for implementation of mutually-authenticated TLS or DTLS.

##### Evaluation Activities ▼

[FIA\\_X509\\_EXT.2](#)

**TSS**  
*There are no additional TSS evaluation activities for this component beyond what the NDcPP requires.*

**Guidance**  
*There are no additional guidance evaluation activities for this component beyond what the NDcPP requires.*

**Tests**  
*There are no additional test evaluation activities for this component beyond what the NDcPP requires.*

##### FIA\_X509\_EXT.3 X.509 Certificate Requests

FIA\_X509\_EXT.3.1

This SFR is selection-based in the NDcPP. When the TOE conforms to this PP-Module it is mandatory because of the PP-Module's requirement for implementation of mutually-authenticated TLS or DTLS.

##### Evaluation Activities ▼

[FIA\\_X509\\_EXT.3](#)

**TSS**  
*There are no additional TSS evaluation activities for this component beyond what the NDcPP requires.*

**Guidance**  
*There are no additional guidance evaluation activities for this component beyond what the*

NDcPP requires.

### Tests

There are no additional test evaluation activities for this component beyond what the NDcPP requires.

## 5.2 TOE Security Functional Requirements

The following section describes the SFRs that must be satisfied by any TOE that claims conformance to this PP-Module. These SFRs must be claimed regardless of which PP-Configuration is used to define the TOE.

### 5.2.1 Security Audit (FAU)

#### FAU\_GEN.1/AuthSvr Audit Data Generation

FAU\_GEN.1.1/AuthSvr

The TSF shall be able to generate an audit record of the following auditable events:

- Start-up and shutdown of the audit functions;
- All auditable events for the [not specified] level of audit; and
- [Auditable events listed in the Auditable Events table ([Table 2](#))

Requirement	Auditable Events	Additional Audit Record Contents
<a href="#">FCO_NRO.1</a>	Claimant request for which the TOE does not have credential verification data	Identity of the claimant, contents of EAP-response (if present)
<a href="#">FCO_NRR.1</a>	None	
FCS_CKM.3	[ <i>selection: attempt to export plaintext key or CSI via defined interface, none</i> ]  Note: if no defined interfaces have access to persistent keys or CSI, select 'none'	If attempt is detected, record process identifier, authorized user's identifier (if any)
FCS_EAP-TLS_EXT.1	Protocol failures	If failure occurs, record a descriptive reason for the failure
	Successful authentication of claimant	Identifier of claimant
FCS_RADIUS_EXT.1	Protocol failures	If failure occurs, record a descriptive reason for the failure
<a href="#">FCS_RADSEC_EXT.1</a> (selection-based)	None	
<a href="#">FCS_RADSEC_EXT.2</a> (selection-based)	None	
FCS_STG_EXT.1	None	
FIA_AFL.1/AuthSvr	The reaching of the threshold for the unsuccessful authentication attempts	The claimed identity of the entity attempting to authenticate or the IP where the attempts originated
	Disabling an account due to the threshold being reached	

FIA_HOTP_EXT.1 (selection-based)	Generation of a HOTP seed value	Entity identifier
	Entity HOTP value comparison	Result of comparison - success or failure
FIA_PSK_EXT.1 (selection-based)	None	
FIA_PSK_EXT.2 (selection-based)	None	
FIA_PSK_EXT.3 (selection-based)	None	
FIA_TOTP_EXT.1 (selection-based)	Generation of a TOTP seed value	Entity identifier
	Entity TOTP value comparison	Result of comparison - success or failure
FIA_X509_EXT.1/AuthSvr	Certificate validation failure	Reason for failure
FIA_UAU.6	All use of the authentication mechanism	Origin of the attempt (e.g., IP address)
FMT_SMF.1/AuthSvr	All management actions	Identifier of initiator
FTA_TSE.1	Denial of session establishment due to the session establishment mechanism	Reason for denial, origin of establishment attempt
FTP_ITC.1/NAS	Initiation of the trusted channel	Identification of the initiator
	Termination of the trusted channel	Identification of the initiator
	Failure of the trusted channel functions	Target of failed trusted channels establishment attempt

Table 2: Auditable Events

**Application Note:** The auditable events defined in [Table 2](#) are for the SFRs that are explicitly defined in this PP-Module and are intended to extend FAU\_GEN.1 in the Base-PP.

The events in the Auditable Events table should be combined with those of the NDcPP in the context of a conforming Security Target.

The Auditable Events ([Table 2](#)) includes optional and objective SFRs. The auditing of optional and objective SFRs is only required if that SFR is included in the ST.

Per FAU\_STG\_EXT.1 in the Base-PP, the TOE must support transfer of the audit data to an external IT entity using a trusted channel.

## Evaluation Activities ▼

[FAU\\_GEN.1/AuthSvr](#)

### TSS

*There are no TSS evaluation activities for this SFR.*

### Guidance

*The evaluator shall ensure that the operational guidance identifies the auditable events and includes representative examples of each event so that the presentation of each event can be identified.*

### Tests

*The evaluator shall test the TOE's ability to correctly generate audit records by having the TOE*

generate audit records in accordance with the evaluation activities associated with the functional requirements in this PP-Module. When verifying the test results, the evaluator will ensure the audit records generated during testing match the format specified in the administrative guide and that the fields in each audit record have the proper entries.

Note that the testing here can be accomplished in conjunction with the testing of the security mechanisms directly.

## 5.2.2 Communications (FCO)

### FCO\_NRO.1 Selective Proof of Origin

FCO\_NRO.1.1

The TSF shall be able to generate evidence of origin for transmitted [identity authentication assertions, [**selection:** authentication requests, IKE authentication phase security associations, [**assignment:** claimant identity attributes], no other data ]] at the request of the [relying party, [**selection:** external authentication servers in support of pass-through, no other entities ]].

**Application Note:** The intent of this requirement is for the TOE to provide source of origin (non-repudiation) for assertions and sensitive data associated to claimants it provides to relying parties. The ST author will claim 'authentication requests' and 'external authentication servers...' if the TSF supports pass-through communication with external authentication servers. The ST author claims additional information provided to a relying party via an authenticated channel as appropriate.

FCO\_NRO.1.2

The TSF shall be able to relate the [authenticator] of the originator of the information, and the [authentication request] of the information to which the evidence applies.

**Application Note:** The intent of this requirement is for the TOE to be able to associate authentication assertions it makes to requests made to it by a relying party. For pass-through functionality, the TOE relates requests and response messages it forwards between external entities via identity information asserted in the EAP headers.

FCO\_NRO.1.3

The TSF shall provide a capability to verify the evidence of origin of information to [recipient] given [an authenticated channel is established with a trusted relying party]].

### Evaluation Activities ▼

#### [FCO\\_NRO.1](#)

##### **TSS**

The evaluator shall ensure that the ST includes a description of authentication assertions, security associations or sensitive data associated with a claimant that is provided to a relying party, and a description of each protocol that carries such data.

The evaluator shall ensure that the ST includes a description of support for pass-through methods and the method it uses to mutually authenticate to, external authentication servers.

The evaluator shall verify that the descriptions indicate how the TSF authenticates itself to the external entities via those protocols, and that no data is passed via an unauthenticated protocol.

The evaluator shall verify that the ST describes how the TSF handles session interruptions and resumptions to ensure the relying party is able to associate data associated with a claimant to the authentication request by the relying party and the authenticator provided by the claimant.

##### **Guidance**

The evaluator shall ensure that any instructions for configuring the TSF to meet the requirements are provided.

##### **Tests**

The evaluator shall perform the following tests:

- **Test 1:**

- Step 1: The evaluator shall establish a connection with the TSF from two trusted relying parties RP1 and RP2 and verify that each of RP1 and RP2 are able to authenticate the TOE.
- Step 2: The evaluator shall initiate an authentication request for a claimant C1 via RP1, providing valid authentication data, and verify that RP1 receives an authentication assertion via the authenticated channel indicating C1 is authenticated.

- *Step 3: The evaluator shall initiate an authentication request for a claimant C2 via RP2, providing invalid authentication data and confirm that the TOE does not provide an authentication assertion indicating C2 is authenticated via the authenticated channel.*
- *Step 4: The evaluator shall send correct authentication data associated with claimant C2 via RP1 without sending a new authentication request and observe that the TOE ignores the request.*
- **Test 2:** *(conditional on support for pass-through). The intent of this test is to demonstrate the TSF is able to authenticate to external entities for registered users over a pass-through method, and ignores requests for non-registered users.*
  - *Step 1: The evaluator shall follow AGD instructions to configure the TOE to connect to an external authentication server using pass-through functionality, and initiate a request from a trusted relying party that results in the TSF exercising pass-through functionality to authenticate a registered claimant.*
  - *Step 2: The evaluator shall observe that the TSF authenticates to the external authentication server prior to sending any authentication requests.*
  - *Step 3: The evaluator shall then follow AGD guidance to de-register the claimant at the TOE, and ensure the claimant is still registered at the external authentication server. The evaluator shall repeat initiation of the authentication request for the claimant, and observe that the TSF associates the identifier of the request by dropping the request without forwarding.*

## FCO\_NRR.1 Selective Proof of Receipt

### FCO\_NRR.1.1

The TSF shall be able to generate evidence of receipt for received [authentication requests, **[selection:** authentication responses and queries, none ]] at the request of the [originator].

**Application Note:** The intent of this requirement is for the TOE to be able to return a valid response to the relying party upon receipt of an Access-Request. If the TSF supports pass-through functionality, the ST author claims 'authentication responses and queries' in the selection for authentication in communications with external authentication servers.

### FCO\_NRR.1.2

The TSF shall be able to relate the [claimant identifier, claimant authenticators] of the recipient of the information, and the [identity assertion, information requests and error responses] of the information to which the evidence applies.

**Application Note:** The intent of this requirement is for the ST author to list the information supplied by the TOE in response to an authentication request that confirms receipt of the request, and identifies:

- the authentication request that is being responded to;
- the mutually authenticated channel between the trusted relying party and the TOE.

### FCO\_NRR.1.3

The TSF shall provide a capability to verify the evidence of receipt of information to [originator] given [establishment of a mutually authenticated channel with a trusted relying party].

## Evaluation Activities ▼

### FCO\_NRR.1

#### TSS

The evaluator shall ensure that the ST includes a description of each messaging protocol and the specific messages provided to a relying party in response to authentication requests, to include any affirmative and negative responses, and requests for additional information.

The evaluator shall verify that the descriptions indicate how the TSF indicates the identity of the claimant associated with any responses to a request.

The evaluator shall verify that the ST describes how the TSF handles session interruptions and resumptions to ensure the relying party is able to associate data associated with a claimant to the authentication request by the relying party and the authenticator provided by the claimant.

#### Guidance

The evaluator shall ensure that any instructions for configuring the TSF to meet the requirements are provided.

#### Tests



The evaluator shall perform the following tests:

- **Test 1:**

- Step 1: The evaluator shall establish a connection with the TSF from two trusted relying parties RP1 and RP2 and verify that each of RP1 and RP2 are able to authenticate the TOE.
- Step 2: The evaluator shall initiate an authentication request for a claimant C1 via RP1, providing valid authentication data, and verify that RP1 receives an authentication assertion via the authenticated channel indicating C1 is authenticated.
- Step 3: The evaluator shall initiate an authentication request for a claimant C2 via RP2, providing invalid authentication data and confirm that the TOE does not provide an authentication assertion indicating C2 is authenticated via the authenticated channel.
- Step 4: The evaluator shall send correct authentication data associated with claimant C2 via RP1 without sending a new authentication request and observe that the TOE ignores the request.

- **Test 2:** (conditional on support for pass-through). The intent of this test is to demonstrate the TSF is able to authenticate to external entities for registered users over a pass-through method, and ignores requests for non-registered users.

- Step 1: The evaluator shall follow AGD instructions to configure the TOE to connect to an external authentication server using pass-through functionality, and initiate a request from a trusted relying party that results in the TSF exercising pass-through functionality to authenticate a registered claimant.
- Step 2: The evaluator shall observe that the TSF authenticates to the external authentication server prior to sending any authentication requests.
- Step 3: The evaluator shall then follow AGD guidance to de-register the claimant at the TOE, and ensure the claimant is still registered at the external authentication server. The evaluator shall repeat initiation of the authentication request for the claimant, and observe that the TSF associates the identifier of the request by dropping the request without forwarding.

## 5.2.3 Cryptographic Support (FCS)

### FCS\_CKM.1/WPA Cryptographic Key Generation (Symmetric Keys for WPA2 Connections)

FCS\_CKM.1.1/WPA

The TSF shall generate **symmetric** cryptographic keys in accordance with a specified cryptographic key generation algorithm [PRF-384 and **[selection: PRF-512, PRF-704, no other algorithm ]]** and specified cryptographic key sizes [256 bits and **[selection: 128 bits, 192 bits, no other key sizes ]]** **using a Random Bit Generator as specified in FCS\_RBG\_EXT.1** that meet the following: [IEEE 802.11-2020 and **[selection: IEEE 802.11ax-2021, no other standards ]]**.

**Application Note:** The cryptographic key derivation algorithm required by IEEE 802.11-2020 (Section 12.7.1.2) and verified in WPA2 certification is PRF-384, which uses the HMAC-SHA-1 function and outputs 384 bits. The use of GCMP is defined in IEEE 802.11ax-2021 (Section 12.5.5) and requires a Key Derivation Function (KDF) based on HMAC-SHA-256 (for 128-bit symmetric keys) or HMAC-SHA-384 (for 256-bit symmetric keys). This KDF outputs 704 bits.

This requirement applies only to the keys that are generated or derived for the communications between the AP and the client once the client has been authenticated. It refers to the derivation of the Group Temporal Key (GTK), through the Random Bit Generator (RBG) specified in this PP-Module, as well as the derivation of the Pairwise Transient Key (PTK) from the Pairwise Master Key (PMK), which is done using a random value generated by the RBG specified in this PP-Module, the HMAC function as specified in this PP-Module, as well as other information. This is specified in IEEE 802.11-2020, primarily in chapter 12. FCS\_RBG\_EXT.1 is defined in the NDCPP.

## Evaluation Activities ▼

### [FCS\\_CKM.1/WPA](#)

#### TSS

The cryptographic primitives will be verified through evaluation activities specified elsewhere in this PP-Module. The evaluator will verify that the TSS describes how the primitives defined and implemented by this PP-Module are used by the TOE in establishing and maintaining secure connectivity to the wireless clients. This description will include how the GTK and PTK are generated or derived. The TSS will also provide a description of the developer's methods of assuring that their implementation conforms to the cryptographic standards; this includes not only testing done by the developing organization, but also proof of third-party testing that is

performed (e.g. WPA2 certification). The evaluator will ensure that the description of the testing methodology is of sufficient detail to determine the extent to which the details of the protocol specifics are tested.

### **Guidance**

There are no guidance evaluation activities for this component.

### **Tests**

The evaluator will perform the following test using a packet sniffing tool to collect frames between the TOE and a wireless client:

Step 1: The evaluator will configure the AP to an unused channel and configure the WLAN sniffer to sniff only on that channel (i.e., lock the sniffer on the selected channel). The sniffer should also be configured to filter on the MAC address of the TOE and client.

Step 2: The evaluator will configure the TOE to communicate with a WLAN client using IEEE 802.11-2020 and a 256-bit (64 hex values 0-f) pre-shared key, setting up the connections as described in the operational guidance. The pre-shared key is only used for testing.

Step 3: The evaluator will start the sniffing tool, initiate a connection between the TOE and WLAN client, and allow the TOE to authenticate, associate, and successfully complete the four-way handshake with the client.

Step 4: The evaluator will set a timer for one minute, at the end of which the evaluator will disconnect the client from the TOE and stop the sniffer.

Step 5: The evaluator will identify the four-way handshake frames (denoted EAPOL-key in Wireshark captures) and derive the PTK from the four-way handshake frames and pre-shared key as specified in IEEE 802.11-2020.

Step 6: The evaluator will select the first data frame from the captured packets that was sent between the client and TOE after the four-way handshake successfully completed and without the frame control value 0x4208 (the first two bytes are 08 42). The evaluator will use the PTK to decrypt the data portion of the packet as specified in IEEE 802.11-2020 and verify that the decrypted data contains ASCII-readable text.

Step 7: The evaluator will repeat Step 6 for the next two data frames between the TOE and client, and without frame control value 0x4208.

Additionally, the evaluator will test the PRF function using the test vectors from:

- Section 2.4 "The PRF Function – PRF (key, prefix, data, length)" of the IEEE 802.11-02/362r6 document "Proposed Test vectors for IEEE 802.11 TGi" dated September 10, 2002
- Annex J.3 "PRF reference implementation and test vectors" of IEEE 802.11-2020

## **FCS\_CKM.2/GTK Cryptographic Key Distribution (GTK)**

### **FCS\_CKM.2.1/GTK**

The TSF shall distribute **Group Temporal Key (GTK)** in accordance with a specified cryptographic key distribution method: [**selection:** AES Key Wrap in an EAPOL-Key frame, AES Key Wrap with Padding in an EAPOL-Key frame ] that meets the following: [NIST SP 800-38F, IEEE 802.11-2020 for the packet format and timing considerations] **and does not expose the cryptographic keys.**

**Application Note:** This requirement applies to the Group Temporal Key (GTK) that is generated by the TOE for use in broadcast and multicast messages to clients to which it is connected. 802.11-2020 specifies the format for the transfer as well as the fact that it must be wrapped by the AES Key Wrap method specified in NIST SP 800-38F.

## **Evaluation Activities ▼**

### **[FCS\\_CKM.2/GTK](#)**

#### **TSS**

The evaluator will check the TSS to ensure that it describes how the GTK is wrapped prior to being distributed using the AES implementation specified in this PP-Module, and also how the GTKs are distributed when multiple clients connect to the TOE.

#### **Guidance**

There are no guidance evaluation activities for this component.

#### **Tests**

The evaluator will perform the following test using a packet sniffing tool to collect frames between a wireless client and the TOE (which may be performed in conjunction with the



evaluation activity for FCS\_CKM.1/PMK.

To fully test the broadcast and multicast functionality, these steps will be performed as the evaluator connects multiple clients to the TOE. The evaluator will ensure that GTKs established are sent to the appropriate participating clients.

*Step 1: The evaluator will configure the AP to an unused channel and configure the WLAN sniffer to sniff only on that channel (i.e., lock the sniffer on the selected channel). The sniffer should also be configured to filter on the MAC address of the TOE and client.*

*Step 2: The evaluator will configure the TOE to communicate with the client using IEEE 802.11-2020 and a 256-bit (64 hex values 0-f) pre-shared key, setting up the connections as described in the operational guidance. The pre-shared key is only used for testing.*

*Step 3: The evaluator will start the sniffing tool, initiate a connection between the TOE and client, and allow the client to authenticate, associate and successfully complete the four-way handshake with the TOE.*

*Step 4: The evaluator will set a timer for one minute, at the end of which the evaluator will disconnect the TOE from the client and stop the sniffer.*

*Step 5: The evaluator will identify the four-way handshake frames (denoted EAPOL-key in Wireshark captures) and derive the PTK and GTK from the four-way handshake frames and pre-shared key as specified in IEEE 802.11-2020.*

*Step 6: The evaluator will select the first data frame from the captured packets that was sent between the TOE and client after the four-way handshake successfully completed, and with the frame control value 0x4208 (the first two bytes are 08 42). The evaluator will use the GTK to decrypt the data portion of the selected packet as specified in IEEE 802.11-2020 and verify that the decrypted data contains ASCII-readable text.*

*Step 7: The evaluator will repeat Step 6 for the next two data frames with frame control value 0x4208.*

The evaluator will also perform the following testing based on the supported GTK distribution methods:

#### **AES Key Wrap (AES-KW Tests)**

- **Test 1:** The evaluator will test the authenticated encryption functionality of AES-KW for each combination of the following input parameter lengths:
  - 128 and 256 bit key encryption keys (KEKs)
  - Three plaintext lengths:
    1. One of the plaintext lengths will be two semi-blocks (128 bits).
    2. One of the plaintext lengths will be three semi-blocks (192 bits).
    3. The third data unit length will be the longest supported plaintext length less than or equal to 64 semi-blocks (4096 bits).

For each combination, generate a set of 100 key and plaintext pairs and obtain the ciphertext that results from AES-KW authenticated encryption. To determine correctness, the evaluator will use the same key and plaintext values and encrypt them using a known good implementation of AES-KW authenticated-encryption, and ensure that the resulting respective ciphertext values are identical.

- **Test 2:** The evaluator will test the authenticated-decryption functionality of AES-KW using the same test as for authenticated-encryption, replacing plaintext values with ciphertext values and AES-KW authenticated-encryption with AES-KW authenticated-decryption. Additionally, the evaluator will modify one byte of the ciphertext, attempt to decrypt the modified ciphertext, and ensure that a failure is returned rather than plaintext.

#### **AES Key Wrap with Padding (AES-KWP Tests)**

- **Test 1:**

The evaluator will test the authenticated-encryption functionality of AES-KWP for each combination of the following input parameter lengths:

128 and 256 bit key encryption keys (KEKs)

Three plaintext lengths. One plaintext length will be one octet. One plaintext length will be 20 octets (160 bits). One plaintext length will be the longest supported plaintext length less than or equal to 512 octets (4096 bits).

using a set of 100 key and plaintext pairs and obtain the ciphertext that results from AES-KWP authenticated encryption. To determine correctness, the evaluator will use the AES-KWP authenticated-encryption function of a known good implementation.

- **Test 2:** The evaluator will test the authenticated-decryption functionality of AES-KWP using the same test as for AES-KWP authenticated-encryption, replacing plaintext values with ciphertext values and AES-KWP authenticated-encryption with AES-KWP authenticated-decryption. Additionally, the evaluator will modify one byte of the ciphertext, attempt to decrypt the modified ciphertext, and ensure that a failure is returned rather than plaintext.

## FCS\_CKM.2/PMK Cryptographic Key Distribution (PMK)

### FCS\_CKM.2.1/PMK

The TSF shall **receive the 802.11 Pairwise Master Key (PMK)** in accordance with a specified cryptographic key distribution method: [*from 802.1X Authorization Server*] that meets the following: [*IEEE 802.11-2020*] **and does not expose the cryptographic keys.**

**Application Note:** This requirement applies to the Pairwise Master Key that is received from the RADIUS server by the TOE. The intent of this requirement is to ensure conformant TOEs implement 802.1X authentication prior to establishing secure communications with the client. The intent is that any WLAN AS evaluated against this PP-Module will support both WPA2-Enterprise and WPA3-Enterprise at a minimum and certificate-based authentication mechanisms and therefore disallows implementations that support only pre-shared keys. Because communications with the RADIUS server are required to be performed over a protected connection, the transfer of the PMK will be protected.

### Evaluation Activities ▼

#### *FCS\_CKM.2/PMK*

##### **TSS**

*The evaluator will examine the TSS to determine that it describes how the PMK is transferred (that is, through what EAP attribute) to the TOE.*

##### **Guidance**

*There are no guidance evaluation activities for this component.*

##### **Tests**

*The evaluator will establish a session between the TOE and a RADIUS server according to the configuration guidance provided. The evaluator will then examine the traffic that passes between the RADIUS server and the TOE during a successful attempt to connect a wireless client to the TOE to determine that the PMK is not exposed.*

## 5.2.4 Identification and Authentication (FIA)

### **FIA\_8021X\_EXT.1 802.1X Port Access Entity (Authenticator) Authentication**

#### FIA\_8021X\_EXT.1.1

The TSF shall conform to IEEE Standard 802.1X for a Port Access Entity (PAE) in the “Authenticator” role.

#### FIA\_8021X\_EXT.1.2

The TSF shall support communications to a RADIUS authentication server conforming to RFCs 2865 and 3579.

#### FIA\_8021X\_EXT.1.3

The TSF shall ensure that no access to its 802.1X controlled port is given to the wireless client prior to successful completion of this authentication exchange.

**Application Note:** This requirement covers the TOE's role as the authenticator in an 802.1X authentication exchange. If the exchange is completed successfully, the TOE will obtain the PMK from the RADIUS server and perform the four-way handshake with the wireless client (supplicant) to begin 802.11 communications. As indicated previously, there are at least three communication paths present during the exchange; two with the TOE as an endpoint and one with the TOE acting as a transfer point only. The TOE establishes an EAP over Local Area Network (EAPOL) connection with the wireless client as specified in 802.1X-2007. The TOE also establishes (or has established) a RADIUS protocol connection protected either by IPsec or RadSec (TLS) with the RADIUS server. The wireless client and RADIUS server establish an EAP-TLS session (RFC 5216); in this transaction the TOE merely takes the EAP-TLS packets from its EAPOL/RADIUS endpoint and transfers them to the other endpoint. Because the specific authentication method (TLS in this case) is opaque to the TOE, there are no requirements with respect to RFC 5126 in this PP-Module. However, the base RADIUS protocol (2865) has an update (3579) that will need to be addressed in the implementation and evaluation activities. Additionally, RFC 5080 contains implementation issues that will need to be addressed by developers but which levy no new requirements.

The point of performing 802.1X authentication is to provide access to the network (assuming the authentication was successful and that all 802.11

negotiations are performed successfully); in the terminology of 802.1X, this means the wireless client has access to the "controlled port" maintained by the TOE.

## Evaluation Activities ▼

### [FIA\\_8021X\\_EXT.1](#)

#### **TSS**

*In order to show that the TSF implements the 802.1X-2010 standard correctly, the evaluator will ensure that the TSS contains the following information:*

- *The sections (clauses) of the standard that the TOE implements*
- *For each identified section, any options selected in the implementation allowed by the standards are specified*
- *For each identified section, any non-conformance is identified and described, including a justification for the non-conformance*

*Because the connection to the RADIUS server will be contained in an IPsec or RadSec (TLS) tunnel, the security mechanisms detailed in the RFCs identified in the requirement are not relied on to provide protection for these communications. Consequently, no extensive analysis of the RFCs is required. However, the evaluator will ensure that the TSS describes the measures (documentation, testing) that are taken by the product developer to ensure that the TOE conforms to the RFCs listed in this requirement.*

#### **Guidance**

*There are no guidance evaluation activities for this component.*

#### **Tests**

*The evaluator will perform the following tests:*

- **Test 1:** *The evaluator will demonstrate that a wireless client has no access to the test network. After successfully authenticating with a RADIUS server through the TOE, the evaluator will demonstrate that the wireless client does have access to the test network.*
- **Test 2:** *The evaluator will demonstrate that a wireless client has no access to the test network. The evaluator will attempt to authenticate using an invalid client certificate, such that the EAP-TLS negotiation fails. This should result in the wireless client still being unable to access the test network.*
- **Test 3:** *The evaluator will demonstrate that a wireless client has no access to the test network. The evaluator will attempt to authenticate using an invalid RADIUS certificate, such that the EAP-TLS negotiation fails. This should result in the wireless client still being unable to access the test network.*

**Note:** *Tests 2 and 3 above are not tests that "EAP-TLS works," although that is a by-product of the test. The test is actually that a failed authentication (under two failure modes) results in denial of access to the network, which demonstrates the enforcement of [FIA\\_8021X\\_EXT.1.3](#).*

## FIA\_UAU.6 Re-Authenticating

### FIA\_UAU.6.1

The TSF shall re-authenticate the **administrative** user under the conditions [when the user changes their password, [**selection**: following TSF-initiated session locking, [**assignment**: other conditions], no other conditions ]].

## Evaluation Activities ▼

### [FIA\\_UAU.6](#)

#### **TSS**

*There are no TSS evaluation activities for this component.*

#### **Guidance**

*There are no guidance evaluation activities for this component.*

#### **Tests**

*The evaluator will attempt to change their password as directed by the operational guidance. While making this attempt, the evaluator will verify that re-authentication is required.*

*If other re-authentication conditions are specified, the evaluator will cause those conditions to occur and verify that the TSF re-authenticates the authenticated user.*

## FMT\_SMF.1/AccessSystem Specification of Management Functions (WLAN Access Systems)

### FMT\_SMF.1.1/AccessSystem

The TSF shall be capable of performing the following management functions:

- Configure the security policy for each wireless network, including:
  - Security type
  - Authentication protocol
  - Client credentials to be used for authentication
  - SSID
  - If the SSID is broadcasted
  - Frequency band set to [**selection:** 2.4 GHz, 5 GHz, 6 GHz ]
  - Transmit power level

### Evaluation Activities ▼

#### [FMT\\_SMF.1/AccessSystem](#)

##### **TSS**

*The evaluator will confirm that the TSS includes which security types (e.g., WPA3), authentication protocol (e.g., SAE), and frequency bands the WLAN AS supports. The evaluator will confirm that the TSS includes how connection attempts from clients that are not operating on an approved security type are handled.*

##### **Guidance**

*The evaluator will confirm that the operational guidance includes instructions for configuring the WLAN AS for each feature listed.*

##### **Tests**

- **Test 1:** For each security type specified in the TSS, configure the network to the approved security type and verify that the client can establish a connection. Maintaining the same SSID, change the security type of the client to a non-approved security type and attempt to establish a connection. Verify that the connection was unsuccessful.
- **Test 2:** For each authentication protocol specified in the TSS, configure the network accordingly per the AGD. Verify that the client connection attempt is successful when using the correct client credentials and that the connection is unsuccessful when incorrect authentication credentials are used.
- **Test 3:** Configure the SSID to be broadcasted. Using a network sniffing tool, capture a beacon frame and confirm that the SSID is included. Configure the SSID to be hidden. Using a network sniffing tool, capture a beacon frame and confirm that the SSID is not listed.
- **Test 4:** The evaluator will configure the AS to operate in each of the selected frequency bands and verify using a network sniffing tool.
- **Test 5:** The evaluator will demonstrate that the client can establish a connection to the AS on the default power level. After disconnecting, the power level should be adjusted and then the client should be able to successfully connect to the AS again.

## FMT\_SMR\_EXT.1 No Administration from Client

### FMT\_SMR\_EXT.1.1

The TSF shall ensure that the ability to administer remotely the TOE from a wireless client shall be disabled by default.

### Evaluation Activities ▼

#### [FMT\\_SMR\\_EXT.1](#)

##### **TSS**

*There are no TSS evaluation activities for this component.*

##### **Guidance**

*The evaluator will review the operational guidance to ensure that it contains instructions for administering the TOE both locally and remotely, including any configuration that needs to be performed on the client for remote administration. The evaluator will confirm that the TOE does not permit remote administration from a wireless client by default.*

##### **Tests**

*The evaluator will demonstrate that after configuring the TOE for first use from the operational guidance, it is possible to establish an administrative session with the TOE on the “wired” portion of the device. They will then demonstrate that an identically configured wireless client that can successfully connect to the TOE cannot be used to perform administration.*

## 5.2.6 Protection of the TSF (FPT)

### FPT\_FLS.1 Failure with Preservation of Secure State

FPT\_FLS.1.1

The TSF shall preserve a secure state when the following types of failures occur:  
[failure of the self-tests].

**Application Note:** The intent of this requirement is to express the fail secure capabilities that the TOE possesses. This means that the TOE must be able to attain a secure, safe state (shutdown) when any of the identified failures occur.

#### Evaluation Activities ▼

[FPT\\_FLS.1](#)

##### TSS

*The evaluator will examine the TSS to determine that the TOE's implementation of the fail secure functionality is documented. The evaluator will examine the TSS to ensure that it describes all failure conditions and how a secure state is preserved if any of these failures occur. The evaluator will ensure that the definition of a secure state is suitable to ensure the continued protection of any key material and user data.*

##### Guidance

*The evaluator will examine the operational guidance to verify that it describes applicable recovery instructions for each TSF failure state.*

##### Tests

*For each failure mode specified in the ST, the evaluator will ensure that the TOE attains a secure state (e.g., shutdown) after initiating each failure mode type.*

## 5.2.7 TOE Access (FTA)

### FTA\_TSE.1 TOE Session Establishment

FTA\_TSE.1.1

The TSF shall be able to deny session establishment **of a wireless client session** based on [TOE interface, time, day, [**selection:** [**assignment:** other attributes], no other attributes ]].

**Application Note:** The "TOE interface" can be specified in terms of the device in the TOE that the WLAN client is connecting to (e.g. specific WLAN APs). "Time" and "day" refer to time-of-day and day-of-week, respectively.

The assignment is to be used by the ST author to specify additional attributes on which denial of session establishment can be based.

#### Evaluation Activities ▼

[FTA\\_TSE.1](#)

##### TSS

*The evaluator will examine the TSS to determine that all of the attributes on which a client session can be denied are specifically defined.*

##### Guidance

*The evaluator will examine the operational guidance to determine that it contains guidance for configuring each of the attributes identified in the TSS.*

##### Tests

*For each supported attribute, the evaluator will perform the following test:*

- **Test 1:** The evaluator successfully establishes a client session with a wireless client. The evaluator then follows the operational guidance to configure the system so that the client's access is denied based on a specific value of the attribute. The evaluator will then attempt to establish a session in contravention to the attribute setting (for instance, the client is denied WLAN access based upon the TOE interface (e.g. WLAN AP) it is connecting to, or that the client is denied access based upon the time-of-day or day-of-week it is attempting connection on). The evaluator will observe that the access attempt fails.

### 5.2.8 Trusted Path/Channels (FTP)

#### FTP\_ITC.1/Client Inter-TSF Trusted Channel (WLAN Client Communications)

FTP_ITC.1.1/Client	The TSF shall be capable of using WPA3-Enterprise, WPA2-Enterprise and [selection: WPA3-SAE, WPA3-SAE-PK, WPA2-PSK, no other mode ] as defined by IEEE 802.11-2020 to provide a trusted communication channel between itself and WLAN clients that is logically distinct from other communication channels and provides assured identification of its end points and protection of the channel data from disclosure and detection of modification of the channel data.
FTP_ITC.1.2/Client	The TSF shall permit the authorized IT entities to initiate communication via the trusted channel.
FTP_ITC.1.3/Client	The TSF shall initiate communication via the trusted channel for [no services].

#### Evaluation Activities ▼

[FTP\\_ITC.1/Client](#)  
*This component is adequately evaluated when performing the evaluation activities for FTP\_ITC.1 in the [Network Device, version 2.2e](#) base-PP.*

### 5.3 TOE Security Functional Requirements Rationale

The following rationale provides justification for each security objective for the TOE, showing that the SFRs are suitable to meet and achieve the security objectives:

Table 3: SFR Rationale		
Objective	Addressed by	Rationale
O.TRUSTED_RP	FCS_EAP-TLS_EXT.1	TBD
	FIA_PSK_EXT.1/Auth	TBD
	FTP_ITC.1/NAS	TBD
O.USER_AUTH	FIA_AFL.1/AuthSvr	TBD
	FIA_UAU.6	TBD
	FTA_TSE.1	TBD
O.SECURITY_ASSOCIATION	FCS_EAP-TLS_EXT.1	TBD

### 5.4 TOE Security Assurance Requirements

This PP-Module does not define any SARs beyond those defined within the Base-PP to which it can claim conformance. It is important to note that a TOE that is evaluated against this PP-Module is inherently evaluated against the NDcPP as well. This PP includes a number of EAs associated with both Security Functional Requirements (SFRs) and SARs. Additionally, this PP-Module includes a number of SFR-based EAs that similarly refine the SARs of the Base-PP. The evaluation laboratory will evaluate the TOE against the chosen Base-PP and supplement that evaluation with the necessary SFRs that are taken from this PP-Module.



# 6 Consistency Rationale

## 6.1 Collaborative Protection Profile for NDs

### 6.1.1 Consistency of TOE Type

When this PP-Module is used to extend the NDcPP, the TOE type for the overall TOE is still a network device. The TOE boundary is simply extended to include authentication server functionality that is provided by the network device.

### 6.1.2 Consistency of Security Problem Definition

PP-Module Threat, Assumption, OSP	Consistency Rationale
<a href="#">T.FALSE_ENDPOINTS</a>	This threat is similar to the T.WEAK_AUTHENTICATION_ENDPOINTS threat in the NDcPP but it applies specifically to the NAS, which is an environmental component that is defined specifically in this PP-Module.
<a href="#">T.INVALID_USERS</a>	This threat is similar to the T.UNAUTHORIZED_ADMINISTRATOR_ACCESS threat in the NDcPP but it applies to user authentication brokered by the TSF rather than to administrator authentication to the TOE itself. It is also similar to the T.UNTRUSTED_COMMUNICATION_CHANNELS threat in the NDcPP except that it applies specifically to the RADIUS communications and the protocols used to secure those, which is an interface that is defined specifically in this PP-Module.
<a href="#">A.RP_FEDERATION</a>	The NDcPP does not define any assumptions for the intended network architecture that the TOE is deployed into. Therefore, an assumption that the network can be set up in such a way that the TOE will have direct connectivity with one or more relying parties does not violate any assumptions of the NDcPP.

### 6.1.3 Consistency of Objectives

The objectives for the TOEs are consistent with the NDcPP based on the following rationale:

PP-Module TOE Objective	Consistency Rationale
<a href="#">O.TRUSTED_RP</a>	The NDcPP does not define any TOE objectives; instead, it maps SFRs directly to threats. The TOE objectives defined by this PP-Module are therefore assumed not to conflict with the NDcPP by virtue of the fact that the SFRs used to satisfy these objectives do not conflict with the NDcPP SFRs.
<a href="#">O.USER_AUTH</a>	The NDcPP does not define any TOE objectives; instead, it maps SFRs directly to threats. The TOE objectives defined by this PP-Module are therefore assumed not to conflict with the NDcPP by virtue of the fact that the SFRs used to satisfy these objectives do not conflict with the NDcPP SFRs.
<a href="#">O.SECURITY_ASSOCIATION</a>	The NDcPP does not define any TOE objectives; instead, it maps SFRs directly to threats. The TOE objectives defined by this PP-Module are therefore assumed not to conflict with the NDcPP by virtue of the fact that the SFRs used to satisfy these objectives do not conflict with the NDcPP SFRs.

The objectives for the TOE's OE are consistent with the NDcPP based on the following rationale:

PP-Module OE Objective	Consistency Rationale
<a href="#">OE.RP_FEDERATION</a>	The Base-PP does not define where in a particular network architecture a network device must be deployed since it is designed to be generic to various types of network devices. This PP-Module defines the expected architectural deployment specifically for a network device that acts as an authentication server.

### 6.1.4 Consistency of Requirements

This PP-Module identifies several SFRs from the NDcPP that are needed to support Authentication Servers functionality. This is considered to be consistent because the functionality provided by the NDcPP is being used for its intended purpose. The PP-Module also identifies a number of modified SFRs from the NDcPP that are used entirely to provide functionality for Authentication Servers. The rationale for why this does not conflict with the claims defined by the NDcPP are as follows:

**Modified SFRs**

<a href="#">FIA_X509_EXT.2</a>	This PP-Module modifies the Base-PP's definition of the SFR by making it mandatory rather than selection-based.
<a href="#">FIA_X509_EXT.3</a>	This PP-Module modifies the Base-PP's definition of the SFR by making it mandatory rather than selection-based.

**Additional SFRs**

This PP-Module does not add any requirements when the NDcPP is the base.

**Mandatory SFRs**

<a href="#">FAU_GEN.1/AuthSvr</a>	This SFR iterates the FAU_GEN.1 SFR defined in the Base-PP to define auditable events for the functionality that is specific to this PP-Module.
<a href="#">FCO_NRO.1</a>	This SFR applies to implementation of the supported authentication protocol, which is beyond the original scope of the Base-PP.
<a href="#">FCO_NRR.1</a>	This SFR applies to implementation of the supported authentication protocol, which is beyond the original scope of the Base-PP.
<a href="#">FCS_CKM.1/WPA</a>	This SFR defines additional cryptographic functionality not defined in the Base-PP, but it implements this using the DRBG mechanism already defined in the Base-PP.
<a href="#">FCS_CKM.2/GTK</a>	This SFR defines additional cryptographic functionality not defined in the Base-PP that is used for functionality outside the original scope of the Base-PP.
<a href="#">FCS_CKM.2/PMK</a>	This SFR defines additional cryptographic functionality not defined in the Base-PP that is used for functionality outside the original scope of the Base-PP.
<a href="#">FIA_8021X_EXT.1</a>	This SFR defines support for 802.1X communications, which is a logical interface that extends the scope of what the Base-PP originally defined.
<a href="#">FIA_UAU.6</a>	This SFR defines support for re-authentication of wireless users, which are a type of subject beyond the scope of what the Base-PP originally defined.
<a href="#">FMT_SMF.1/AccessSystem</a>	This SFR defines additional management functionality that is specific to the Module's product type and would therefore not be expected to be present in the Base-PP.
<a href="#">FMT_SMR_EXT.1</a>	This SFR applies restrictions on when the execution of management functions is authorized. It does not prevent proper administration of the TSF.
<a href="#">FPT_FLS.1</a>	This SFR extends the functionality described by FPT_TST_EXT.1 in the Base-PP by defining the specific TSF reaction in the event of a failed self-test.
<a href="#">FTA_TSE.1</a>	This SFR applies restrictions on establishment of wireless communications, which is a logical interface that extends the scope of what the Base-PP originally defined.
<a href="#">FTP_ITC.1/Client</a>	This SFR iterates the FTP_ITC.1 SFR defined in the Base-PP to define trusted communication channels for the functionality that is specific to this PP-Module.

**Optional SFRs**

<a href="#">FCS_CKM.2/DISTRIB</a>	This SFR defines an additional use for the cryptographic and self-protection mechanisms defined in the Base-PP.
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**Selection-based SFRs**

<a href="#">FCS_RADSEC_EXT.1</a>	This SFR defines the implementation of RadSec and the peer authentication method that it uses. This relies on the TLS requirements defined by the Base-PP and may also use the X.509v3 certificate validation methods specified in the Base-PP, depending on the selected peer authentication method.
<a href="#">FCS_RADSEC_EXT.2</a>	This SFR defines the implementation of RadSec when pre-shared key authentication is used. This functionality is outside the original scope of the Base-PP, but it relies on the TLS client protocol implementation, cryptographic algorithms, and random bit generation functions defined by the Base-PP.
<a href="#">FCS_RADSEC_EXT.3</a>	This SFR defines the implementation of RadSec when pre-shared key authentication with RSA is used. This functionality is outside the original scope



of the Base-PP, but it relies on the TLS client protocol implementation, cryptographic algorithms, and random bit generation functions defined by the Base-PP.

#### [FIA\\_PSK\\_EXT.1](#)

This SFR defines parameters for pre-shared key generation. The Base-PP supports pre-shared keys as a potential authentication method for IPsec. This PP-Module does not prevent this from being used but does define restrictions on how pre-shared keys may be generated and what constitutes an acceptable key. This may also be used for RadSec, which is outside the original scope of the Base-PP.

### **Objective SFRs**

This PP-Module does not define any Objective requirements.

### **Implementation-based SFRs**

This PP-Module does not define any Implementation-based requirements.

# Appendix A - Optional SFRs

## A.1 Strictly Optional Requirements

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### A.1.1 Cryptographic Support (FCS)

#### FCS\_CKM.2/DISTRIB Cryptographic Key Distribution (802.11 Keys)

FCS\_CKM.2.1/DISTRIB

The TSF shall distribute **the IEEE 802.11** keys in accordance with a specified key distribution method: [*trusted channel protocol specified in FPT\_ITT.1(Base-PP)* ] that meets the following: [*standards specified in the various iterations of FCS\_COP.1*] **and does not expose the cryptographic keys.**

**Application Note:** This requirement applies to any key necessary for successful IEEE 802.11 connections not covered by [FCS\\_CKM.2/GTK](#). In cases where a key must be distributed to other APs, this communication must be performed via a mechanism of commensurate cryptographic strength. Because communications with any component of a distributed TOE are required to be performed over a trusted connection, the transfer of these keys will be protected.  
FCS\_COP.1 and FPT\_ITT.1 are defined in the NDcPP.

#### Evaluation Activities ▼

[FCS\\_CKM.2/DISTRIB](#)

**TSS**

*The evaluator will examine the TSS to determine that it describes which keys are distributed outside the TOE, where they are sent, and the purpose for this transfer.*

**Guidance**

*If this function is dependent on TOE configuration, the evaluator will confirm that the operational guidance contains instructions for how to configure that the keys are adequately protected.*

**Tests**

*This requirement will be tested in conjunction with the tests for the cryptographic primitives, the secure protocols, and FPT\_ITT.1 (Base-PP).*

## A.2 Objective Requirements

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This PP-Module does not define any Objective SFRs.

## A.3 Implementation-dependent Requirements

---

This PP-Module does not define any Implementation-dependent SFRs.

# Appendix B - Selection-based Requirements

## B.1 Cryptographic Support (FCS)

### FCS\_RADSEC\_EXT.1 RadSec

#### FCS\_RADSEC\_EXT.1.1

The TSF shall implement RADIUS over TLS as specified in RFC 6614 to communicate securely with a RADIUS server.

#### FCS\_RADSEC\_EXT.1.2

The TSF shall perform peer authentication using [**selection:** X.509v3 certificates, pre-shared keys ].

**Application Note:** This SFR is applicable if "RADIUS over TLS" is selected in FTP\_ITC.1.1.

If X.509v3 certificates is selected in FCS\_RADSEC\_EXT.1.2, then FCS\_TLSC\_EXT.2 from the NDcPP must be claimed. If pre-shared keys is selected in FCS\_RADSEC\_EXT.1.2, then FCS\_RADSEC\_EXT.2 and FIA\_PSK\_EXT.1 in this PP-Module must be claimed.

### Evaluation Activities ▼

#### [FCS\\_RADSEC\\_EXT.1](#)

##### **TSS**

*The evaluator will verify that the TSS description includes the use of RADIUS over TLS, as described in RFC 6614.*

*If X.509v3 certificates is selected, the evaluator will ensure that the TSS description includes the use of client-side certificates for TLS mutual authentication.*

##### **Guidance**

*The evaluator will verify that any configuration necessary to meet the requirement must be contained in the guidance.*

##### **Tests**

*The evaluator will demonstrate the ability to successfully establish a RADIUS over TLS connection with a RADIUS server. This test will be performed with X.509v3 certificates if selected and performed with pre-shared keys if selected.*

### FCS\_RADSEC\_EXT.2 RadSec using Pre-Shared Keys

***The inclusion of this selection-based component depends upon selection in [FCS\\_RADSEC\\_EXT.1.2](#).***

#### FCS\_RADSEC\_EXT.2.1

The TSF shall implement [**selection:** TLS 1.2 (RFC 5246), TLS 1.1 (RFC 4346) ] and no earlier TLS versions when acting as a RADIUS over TLS client that supports the following ciphersuites: [**selection:**

- TLS\_PSK\_WITH\_AES\_128\_CBC\_SHA
- TLS\_PSK\_WITH\_AES\_256\_CBC\_SHA
- TLS\_DHE\_PSK\_WITH\_AES\_128\_CBC\_SHA
- TLS\_DHE\_PSK\_WITH\_AES\_256\_CBC\_SHA
- TLS\_RSA\_PSK\_WITH\_AES\_128\_CBC\_SHA
- TLS\_RSA\_PSK\_WITH\_AES\_256\_CBC\_SHA
- TLS\_PSK\_WITH\_AES\_128\_GCM\_SHA256
- TLS\_PSK\_WITH\_AES\_256\_GCM\_SHA384
- TLS\_DHE\_PSK\_WITH\_AES\_128\_GCM\_SHA256
- TLS\_DHE\_PSK\_WITH\_AES\_256\_GCM\_SHA384
- TLS\_RSA\_PSK\_WITH\_AES\_128\_GCM\_SHA256
- TLS\_RSA\_PSK\_WITH\_AES\_256\_GCM\_SHA384

].

**Application Note:** If any of the TLS\_RSA\_PSK ciphersuites are selected by the ST author, it is necessary to claim the selection-based requirement [FCS\\_RADSEC\\_EXT.3](#).

The above ciphersuites are only for use when the TSF is acting as a RADIUS over TLS client, not for other uses of the TLS protocol. The ciphersuites to be tested in the evaluated configuration are limited by this requirement. The ST author should select the ciphersuites that are supported. If "X.509v3 certificates" is selected in [FCS\\_RADSEC\\_EXT.1.2](#), the ciphersuites selected in (and tested by) FCS\_TLSC\_EXT.2.1 are also supported for RADIUS over TLS client use.

#### FCS\_RADSEC\_EXT.2.2

The TSF shall be able to [**selection:** *accept, generate using the random bit generator specified in FCS\_RBG\_EXT.1* ] bit-based pre-shared keys.

### Evaluation Activities ▼

#### [FCS\\_RADSEC\\_EXT.2](#)

##### **TSS**

The evaluator will check the description of the implementation of this protocol in the TSS to ensure that the ciphersuites supported are specified. The evaluator will check the TSS to ensure that the ciphersuites specified are identical to those listed for this component. The evaluator will also verify that the TSS contains a description of the denial of old SSL and TLS versions.

The evaluator will examine the TSS to ensure it describes the process by which the bit-based pre-shared keys are generated (if the TOE supports this functionality) and confirm that this process uses the RBG specified in FCS\_RBG\_EXT.1.

##### **Guidance**

The evaluator will verify that any configuration necessary to meet the requirement must be contained in the guidance.

The evaluator will also check the guidance documentation to ensure that it contains instructions on configuring the TOE so that RADIUS over TLS conforms to the description in the TSS (for instance, the set of ciphersuites advertised by the TOE may have to be restricted to meet the requirements).

The evaluator will confirm the operational guidance contains instructions for either entering bit-based pre-shared keys or generating a bit-based pre-shared key (or both).

##### **Tests**

### FCS\_RADSEC\_EXT.3 RadSec using Pre-Shared Keys and RSA

**The inclusion of this selection-based component depends upon selection in [FCS\\_RADSEC\\_EXT.2.1](#).**

#### FCS\_RADSEC\_EXT.3.1

When the TSF negotiates a TLS\_RSA\_PSK cipher suite, the TSF shall verify that the presented identifier matches the reference identifier per RFC 6125 section 6.

**Application Note:** This requirement must be claimed if any ciphersuites beginning with 'TLS\_RSA\_PSK' are selected in [FCS\\_RADSEC\\_EXT.2.1](#).

The rules for verification of identity are described in Section 6 of RFC 6125. The reference identifier is typically established by configuration (e.g. configuring the name of the authentication server). Based on a singular reference identifier's source domain and application service type (e.g. HTTP, SIP, LDAP), the client establishes all reference identifiers which are acceptable, such as a Common Name for the Subject Name field of the certificate and a (case-insensitive) DNS name for the Subject Alternative Name field. The client then compares this list of all acceptable reference identifiers to the presented identifiers in the TLS server's certificate.

The preferred method for verification is the Subject Alternative Name using DNS names, URI names, or Service Names. Verification using the Common Name is required for the purposes of backwards compatibility. Additionally, support for use of IP addresses in the Subject Name or Subject Alternative name is discouraged as against best practices but may be implemented. Finally, support for wildcards is discouraged but may be implemented. If the client supports wildcards, the client must follow the best practices regarding matching; these best practices are captured in the evaluation activity.

#### FCS\_RADSEC\_EXT.3.2

When the TSF negotiates a TLS\_RSA\_PSK cipher suite, the TSF shall [**selection:** *not establish the connection, request authorization to establish the connection, [assignment: other action]* ] if the presented server certificate is deemed invalid.

**Application Note:** This requirement must be claimed if any ciphersuites beginning with 'TLS\_RSA\_PSK' are selected in [FCS\\_RADSEC\\_EXT.2.1](#). Validity is determined by the identifier verification, certificate path, the expiration date, and the revocation status in accordance with RFC 5280. Certificate validity is tested in accordance with testing performed for FIA\_X509\_EXT.1/Rev in the NDcPP.

## Evaluation Activities ▼

### [FCS\\_RADSEC\\_EXT.3](#)

#### **TSS**

The evaluator will ensure that the TSS describes the client's method of establishing all reference identifiers from the administrator and application-configured reference identifier, including which types of reference identifiers are supported (e.g., Common Name, DNS Name, URI Name, Service Name, or other application-specific Subject Alternative Names) and whether IP addresses and wildcards are supported. The evaluator will ensure that this description identifies whether and the manner in which certificate pinning is supported or used by the TOE.

#### **Guidance**

The evaluator will verify that the operational guidance includes instructions for setting the reference identifier to be used for the purposes of certificate validation in TLS.

#### **Tests**

The evaluator will perform the following tests:

- **Test 1:** The evaluator will attempt to establish the connection using a server with a server certificate that contains the Server Authentication purpose in the extendedKeyUsage field and verify that a connection is established. The evaluator will then verify that the client rejects an otherwise valid server certificate that lacks the Server Authentication purpose in the extendedKeyUsage field and a connection is not established. Ideally, the two certificates should be identical except for the extendedKeyUsage field.
- **Test 2:** The evaluator will present a server certificate that does not contain an identifier in either the Subject Alternative Name (SAN) or Common Name (CN) that matches the reference identifier. The evaluator will verify that the connection fails.
- **Test 3:** The evaluator will present a server certificate that contains a CN that matches the reference identifier, contains the SAN extension, but does not contain an identifier in the SAN that matches the reference identifier. The evaluator will verify that the connection fails. The evaluator will repeat this test for each supported SAN type.
- **Test 4:** The evaluator will present a server certificate that contains a CN that does not match the reference identifier but does contain an identifier in the SAN that matches. The evaluator will verify that the connection succeeds.
- **Test 5:** [conditional] If the TOE does not mandate the presence of the SAN extension, the evaluator will present a server certificate that contains a CN that matches the reference identifier and does not contain the SAN extension. The evaluator will verify that the connection succeeds. If the TOE does mandate the presence of the SAN extension, this test will be omitted.
- **Test 6:** [conditional] If wildcards are supported by the TOE, the evaluator will perform the following tests:
  - The evaluator will present a server certificate containing a wildcard that is not in the left-most label of the presented identifier (e.g. foo.\*.example.com) and verify that the connection fails.
  - The evaluator will present a server certificate containing a wildcard in the left-most label but not preceding the public suffix (e.g. \*.example.com). The evaluator will configure the reference identifier with a single left-most label (e.g. foo.example.com). The evaluator will verify that the connection succeeds. The evaluator will configure the reference identifier without a left-most label as in the certificate (e.g. example.com) and verify that the connection fails. The evaluator will configure the reference identifier with two left-most labels (e.g. bar.foo.example.com) and verify that the connection fails.
  - The evaluator will present a server certificate containing a wildcard in the left-most label immediately preceding the public suffix (e.g. \*.com). The evaluator will configure the reference identifier with a single left-most label (e.g. foo.com) and verify that the connection fails. The evaluator will configure the reference identifier with two left-most labels (e.g. bar.foo.com) and verify that the connection fails.
- **Test 7:** [conditional] If wildcards are not supported by the TOE, the evaluator will present a server certificate containing a wildcard and verify that the connection fails.
- **Test 8:** [conditional] If URI or Service name reference identifiers are supported, the evaluator will configure the DNS name and the service identifier. The evaluator will present a server certificate containing the correct DNS name and service identifier in the URIName or SRVName fields of the SAN and verify that the connection succeeds. The evaluator will repeat this test with the wrong service identifier (but correct DNS name) and verify that the connection fails.

## B.2 Identification and Authentication (FIA)

### FIA\_PSK\_EXT.1 Pre-Shared Key Composition

**The inclusion of this selection-based component depends upon selection in [FCS\\_RADSEC\\_EXT.1.2](#).**

FIA\_PSK\_EXT.1.1

The TSF shall be able to use pre-shared keys for [**selection:** *RADIUS over TLS (RadSec), IPsec, WPA3-SAE, WPA3-SAE-PK, IEEE 802.11 WPA2-PSK, [assignment: other protocols that use pre-shared keys]* ].

FIA\_PSK\_EXT.1.2

The TSF shall be able to accept text-based pre-shared keys that:

- are 22 characters and [**selection:** *[assignment: other supported lengths]*, *no other lengths* ];
- are composed of any combination of upper and lower case letters, numbers, and special characters (that include: "!", "@", "#", "\$", "%", "^", "&", "\*", "(", and ")").

FIA\_PSK\_EXT.1.3

The TSF shall be able to [**selection:** *accept, generate using the random bit generator specified in FCS\_RBG\_EXT.1* ] bit-based pre-shared keys.

**Application Note:** This requirement must be included if IPsec or another protocol that uses pre-shared keys is claimed, and pre-shared key authentication is selected (e.g., "Pre-shared Keys" is selected in FCS\_IPSEC\_EXT.1.13 or "pre-shared keys" is selected in [FCS\\_RADSEC\\_EXT.1.2](#)). The intent of this requirement is that all protocols will support both text-based and bit-based pre-shared keys.

For the length of the text-based pre-shared keys, a common length (22 characters) is required to help promote interoperability. If other lengths are supported, they should be listed in the assignment; this assignment can also specify a range of values (e.g., "lengths from 5 to 55 characters") as well.

For [FIA\\_PSK\\_EXT.1.3](#), the ST author specifies whether the TSF merely accepts bit-based pre-shared keys or is capable of generating them. If it generates them, the requirement specifies that they must be generated using the RBG provided by the TOE.

### Evaluation Activities ▼

#### [FIA\\_PSK\\_EXT.1](#)

##### **TSS**

The evaluator will verify that the TSS describes

1. the protocols that can use pre-shared keys and that these are consistent with the selections made in [FIA\\_PSK\\_EXT.1.1](#).
2. the allowable values for pre-shared keys and that they are consistent with the selections made in [FIA\\_PSK\\_EXT.1.2](#).
3. the way bit-based pre-shared keys are procured and that it is consistent with the selections made in [FIA\\_PSK\\_EXT.1.3](#).

##### **Guidance**

The evaluator will examine the operational guidance to determine that it provides guidance to administrators on the composition of strong text-based pre-shared keys, and (if the selection indicates keys of various lengths can be entered) that it provides information on the range of lengths supported. The guidance must specify the allowable characters for pre-shared keys, and that list must be a superset of the list contained in [FIA\\_PSK\\_EXT.1.2](#).

The evaluator will confirm the operational guidance contains instructions for either entering bit-based pre-shared keys for each protocol identified in the requirement or for generating a bit-based pre-shared key (or both).

##### **Tests**

The evaluator will also perform the following tests for each protocol (or instantiation of a protocol, if performed by a different implementation on the TOE). Note that one or more of these tests can be performed with a single test case.

- **Test 1:** The evaluator will compose a pre-shared key of 22 characters that contains a combination of the allowed characters in accordance with the operational guidance and demonstrates that a successful protocol negotiation can be performed with the key.
- **Test 2:** [conditional]: If the TOE supports pre-shared keys of multiple lengths, the evaluator will repeat Test 1 using the minimum length; the maximum length; a length inside the allowable range; and invalid lengths beyond the supported range (both higher and lower). The minimum, maximum, and included length tests should be successful, and the invalid lengths must be rejected by the TOE.
- **Test 3:** [conditional]: If the TOE does not generate bit-based pre-shared keys, the evaluator will obtain a bit-based pre-shared key of the appropriate length and enter it according to the instructions in the operational guidance. The evaluator will then demonstrate that a successful protocol negotiation can be performed with the key.
- **Test 4:** [conditional]: If the TOE does generate bit-based pre-shared keys, the evaluator will generate a bit-based pre-shared key of the appropriate length and use it according to the instructions in the operational guidance. The evaluator will then demonstrate that a successful protocol negotiation can be performed with the key.



# Appendix C - Extended Component Definitions

This appendix contains the definitions for all extended requirements specified in the Module.

## C.1 Extended Components Table

All extended components specified in the Module are listed in this table:

Table 4: Extended Component Definitions	
Functional Class	Functional Components
Cryptographic Support (FCS)	FCS_RADSEC_EXT RadSec
Identification and Authentication (FIA)	FIA_8021X_EXT 802.1X Port Access Entity (Authenticator) Authentication FIA_PSK_EXT Pre-Shared Key Composition
Security Management (FMT)	FMT_SMR_EXT Security Management Restrictions

## C.2 Extended Component Definitions

### C.2.1 Cryptographic Support (FCS)

This Module defines the following extended components as part of the FCS class originally defined by CC Part 2:

#### C.2.1.1 FCS\_RADSEC\_EXT RadSec

##### Family Behavior

Components in this family describe requirements for implementation of the RadSec (RADIUS over TLS) protocol.

##### Component Leveling



[FCS\\_RADSEC\\_EXT.1](#), RadSec, requires the TSF to implement RadSec using a specified peer authentication method.

[FCS\\_RADSEC\\_EXT.2](#), RadSec using Pre-Shared Keys, requires the TSF to implement RadSec using pre-shared key authentication in a manner that conforms to relevant TLS specifications.

[FCS\\_RADSEC\\_EXT.3](#), RadSec using Pre-Shared Keys and RSA, requires the TSF to validate the external entity used for trusted communications.

##### Management: FCS\_RADSEC\_EXT.1

No specific management functions are identified.

##### Audit: FCS\_RADSEC\_EXT.1

There are no auditable events foreseen.

##### FCS\_RADSEC\_EXT.1 RadSec

Hierarchical to: No other components.

Dependencies to: FCS\_TLSC\_EXT.1 TLS Client Protocol

FIA\_PSK\_EXT.1 Pre-Shared Key Composition

FIA\_X509\_EXT.1 X.509v3 Certificate Validation

##### FCS\_RADSEC\_EXT.1.1

The TSF shall implement RADIUS over TLS as specified in RFC 6614 to communicate securely with a RADIUS server.

##### FCS\_RADSEC\_EXT.1.2

The TSF shall perform peer authentication using [assignment: some authentication method].

##### Management: FCS\_RADSEC\_EXT.2



No specific management functions are identified.

#### **Audit: FCS\_RADSEC\_EXT.2**

There are no auditable events foreseen.

#### **FCS\_RADSEC\_EXT.2 RadSec using Pre-Shared Keys**

Hierarchical to: No other components.

Dependencies to: FCS\_CKM.1 Cryptographic Key Generation

FCS\_COP.1 Cryptographic Operation

FCS\_RADSEC\_EXT.1 RadSec

FCS\_RBG\_EXT.1 Random Bit Generation

##### **FCS\_RADSEC\_EXT.2.1**

The TSF shall implement [**assignment:** *list of allowed TLS versions*] and reject all other TLS and SSL versions. The TLS implementation shall support the following ciphersuites for use when acting as a RADIUS over TLS client: [**assignment:** *list of supported ciphersuites*].

##### **FCS\_RADSEC\_EXT.2.2**

The TSF shall be able to [**selection:** *accept, generate using the random bit generator specified in FCS\_RBG\_EXT.1* ] bit-based pre-shared keys.

#### **Management: FCS\_RADSEC\_EXT.3**

No specific management functions are identified.

#### **Audit: FCS\_RADSEC\_EXT.3**

There are no auditable events foreseen.

#### **FCS\_RADSEC\_EXT.3 RadSec using Pre-Shared Keys and RSA**

Hierarchical to: No other components.

Dependencies to: [FCS\\_RADSEC\\_EXT.2](#) RadSec using Pre-Shared Keys

FIA\_X509\_EXT.1 X.509v3 Certificate Validation

##### **FCS\_RADSEC\_EXT.3.1**

When the TSF negotiates a TLS\_RSA\_PSK cipher suite, the TSF shall verify that the presented identifier matches the reference identifier per RFC 6125 section 6.

##### **FCS\_RADSEC\_EXT.3.2**

When the TSF negotiates a TLS\_RSA\_PSK cipher suite, the TSF shall [**selection:** *not establish the connection, request authorization to establish the connection, [assignment: other action]* ] if the presented server certificate is deemed invalid.

### **C.2.2 Identification and Authentication (FIA)**

This Module defines the following extended components as part of the FIA class originally defined by CC Part 2:

#### **C.2.2.1 FIA\_8021X\_EXT 802.1X Port Access Entity (Authenticator) Authentication**

##### **Family Behavior**

Components in this family describe requirements for implementation of 802.1X port-based network access control.

##### **Component Leveling**

FIA\_8021X\_EXT ————— 1

[FIA\\_8021X\\_EXT.1](#), 802.1X Port Access Entity (Authenticator) Authentication, requires the TSF to securely implement IEEE 802.1X as an authenticator.

##### **Management: FIA\_8021X\_EXT.1**

No specific management functions are identified.

##### **Audit: FIA\_8021X\_EXT.1**

The following actions should be auditable if FAU\_GEN Security Audit Data Generation is included in the ST:

- Attempts to access the 802.1X controlled port prior to successful completion of the authentication

exchange

## **FIA\_8021X\_EXT.1 802.1X Port Access Entity (Authenticator) Authentication**

Hierarchical to: No other components.

Dependencies to: No dependencies

### **FIA\_8021X\_EXT.1.1**

The TSF shall conform to IEEE Standard 802.1X for a Port Access Entity (PAE) in the “Authenticator” role.

### **FIA\_8021X\_EXT.1.2**

The TSF shall support communications to a RADIUS authentication server conforming to RFCs 2865 and 3579.

### **FIA\_8021X\_EXT.1.3**

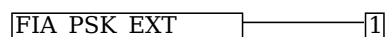
The TSF shall ensure that no access to its 802.1X controlled port is given to the wireless client prior to successful completion of this authentication exchange.

## **C.2.2.2 FIA\_PSK\_EXT Pre-Shared Key Composition**

### **Family Behavior**

Components in this family describe requirements for the creation and composition of pre-shared keys used to establish trusted communications channels.

### **Component Leveling**



[FIA\\_PSK\\_EXT.1](#), Pre-Shared Key Composition, requires the TSF to support pre-shared keys that meet various characteristics for specific communications usage.

### **Management: FIA\_PSK\_EXT.1**

No specific management functions are identified.

### **Audit: FIA\_PSK\_EXT.1**

There are no auditable events foreseen.

## **FIA\_PSK\_EXT.1 Pre-Shared Key Composition**

Hierarchical to: No other components.

Dependencies to: FCS\_RBG\_EXT.1 Random Bit Generation

### **FIA\_PSK\_EXT.1.1**

The TSF shall be able to use pre-shared keys for [**selection:** *RADIUS over TLS (RadSec), IPsec, WPA3-SAE, WPA3-SAE-PK, IEEE 802.11 WPA2-PSK*, [**assignment:** *other protocols that use pre-shared keys*] ].

### **FIA\_PSK\_EXT.1.2**

The TSF shall be able to accept text-based pre-shared keys that:

- are 22 characters and [**selection:** [**assignment:** *other supported lengths*], *no other lengths* ];
- are composed of any combination of upper and lower case letters, numbers, and special characters (that include: “!”, “@”, “#”, “\$”, “%”, “^”, “&”, “\*”, “(”, and “”).

### **FIA\_PSK\_EXT.1.3**

The TSF shall be able to [**selection:** *accept, generate using the random bit generator specified in FCS\_RBG\_EXT.1* ] bit-based pre-shared keys.

## **C.2.3 Security Management (FMT)**

This Module defines the following extended components as part of the FMT class originally defined by CC Part 2:

### **C.2.3.1 FMT\_SMR\_EXT Security Management Restrictions**

#### **Family Behavior**

Components in this family describe architectural restrictions on security administration that are not defined in CC Part 2.

## Component Leveling

FMT\_SMR\_EXT — 1

[FMT\\_SMR\\_EXT.1](#), No Administration from Client, requires the TSF to reject remote administration from a wireless client by default.

### Management: FMT\_SMR\_EXT.1

No specific management functions are identified.

### Audit: FMT\_SMR\_EXT.1

There are no auditable events foreseen.

### FMT\_SMR\_EXT.1 No Administration from Client

Hierarchical to: No other components.

Dependencies to: FMT\_SMF.1 Specification of Management Functions

#### FMT\_SMR\_EXT.1.1

The TSF shall ensure that the ability to administer remotely the TOE from a wireless client shall be disabled by default.

# Appendix D - Implicitly Satisfied Requirements

This appendix lists requirements that should be considered satisfied by products successfully evaluated against this Module. These requirements are not featured explicitly as SFRs and should not be included in the ST. They are not included as standalone SFRs because it would increase the time, cost, and complexity of evaluation. This approach is permitted by [\[CC\]](#) Part 1, 8.2 Dependencies between components.

This information benefits systems engineering activities which call for inclusion of particular security controls. Evaluation against the PP provides evidence that these controls are present and have been evaluated.

This PP-Module has no implicitly satisfied requirements. All SFR dependencies are explicitly met either through SFRs defined by the PP-Module or inherited from the Base-PP.

# Appendix E - Allocation of Requirements in Distributed TOEs

For a distributed TOE, the security functional requirements in this PP-Module need to be met by the TOE as a whole, but not all SFRs will necessarily be implemented by all components. The following categories are defined in order to specify when each SFR must be implemented by a component:

- **All Components ("All")** —All components that comprise the distributed TOE must independently satisfy the requirement.
- **At least one Component ("One")** —This requirement must be fulfilled by at least one component within the distributed TOE.
- **Feature Dependent ("Feature Dependent")** — These requirements will only be fulfilled where the feature is implemented by the distributed TOE component (note that the requirement to meet the PP-Module as a whole requires that at least one component implements these requirements if they are claimed by the TOE).

The table below specifies how each of the SFRs in this PP-Module must be met, using the categories above.

Requirement	Description	Distributed TOE SFR Allocation
FAU_GEN.1/WLAN	Audit Data Generation	All
FCS_CKM.1/WPA	Cryptographic Key Generation (Symmetric Keys for WPA2 Connections)	One
FCS_CKM.2/GTK	Cryptographic Key Distribution (GTK)	Feature Dependent
FCS_CKM.2/PMK	Cryptographic Key Distribution (PMK)	Feature Dependent
FIA_8021X_EXT.1	802.1X Port Access Entity (Authenticator) Authentication	One
FIA_UAU.6	Re-Authenticating	Feature Dependent
FMT_SMF.1/AccessSystem	Specification of Management Functions	Feature Dependent
FMT_SMR_EXT.1	No Administration from Client	All
FPT_FLS.1	Failure with Preservation of Secure State	All
FTA_TSE.1	TOE Session Establishment	All
FTP_ITC.1/Client	Inter-TSF Trusted Channel (WLAN Client Communications)	All
FCS_CKM.2/DISTRIB	Cryptographic Key Distribution (802.11 Keys)	Feature Dependent
FCS_RADSEC_EXT.1	RadSec	Feature Dependent
FCS_RADSEC_EXT.2	RadSec using Pre-Shared Keys	Feature Dependent
FCS_RADSEC_EXT.3	RadSec using Pre-Shared Keys and RSA	Feature Dependent
FIA_PSK_EXT.1	Pre-Shared Key Composition	Feature Dependent

# Appendix F - Entropy Documentation and Assessment

The TOE does not require any additional supplementary information to describe its entropy sources beyond the requirements outlined in the Base-PP.

# Appendix G - Acronyms

Acronym	Meaning
AAA	Authentication, Authorization, and Accounting
Base-PP	Base Protection Profile
CC	Common Criteria
CEM	Common Evaluation Methodology
cPP	Collaborative Protection Profile
CSI	Cryptographic Security Information
EAP	Extensible Authentication Protocol
HOTP	Hash-Based One-Time Password
IPsec	Internet Protocol Security
OE	Operational Environment
PP	Protection Profile
PP-Configuration	Protection Profile Configuration
PP-Module	Protection Profile Module
RADIUS	Remote Authentication Dial In User Service
RP	Relying Party
SAR	Security Assurance Requirement
SFR	Security Functional Requirement
SSH	Secure Shell
ST	Security Target
TLS	Transport Layer Security
TOE	Target of Evaluation
TOTP	Time-Based One-Time Password
TSF	TOE Security Functionality
TSFI	TSF Interface
TSS	TOE Summary Specification
WLAN	Wireless Local Area Network

# Appendix H - Bibliography

Identifier	Title
[CC]	Common Criteria for Information Technology Security Evaluation - <ul style="list-style-type: none"><li>• <a href="#">Part 1: Introduction and General Model</a>, CCMB-2017-04-001, Version 3.1 Revision 5, April 2017.</li><li>• <a href="#">Part 2: Security Functional Components</a>, CCMB-2017-04-002, Version 3.1 Revision 5, April 2017.</li><li>• <a href="#">Part 3: Security Assurance Components</a>, CCMB-2017-04-003, Version 3.1 Revision 5, April 2017.</li></ul>
[NDcPP]	<a href="#">collaborative Protection Profile for Network Devices</a> , Version 2.2e, March 23, 2020
[NDcPP SD]	<a href="#">Supporting Document - Evaluation Activities for Network Device cPP</a> , Version 2.2, December 2019