

# Supporting Document Mandatory Technical Document



collaborative Protection Profile for Dedicated Security Component

Version: 1.0

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**National Information Assurance Partnership**

## Foreword

This is a Supporting Document (SD), intended to complement the Common Criteria version 3 and the associated Common Evaluation Methodology for Information Technology Security Evaluation.

SDs may be “Guidance Documents”, that highlight specific approaches and application of the standard to areas where no mutual recognition of its application is required, and as such, are not of normative nature, or “Mandatory Technical Documents”, whose application is mandatory for evaluations whose scope is covered by that of the SD. The usage of the latter class is not only mandatory, but certificates issued as a result of their application are recognized under the CCRA.

### Technical Editor:

National Information Assurance Partnership (NIAP)

### Document history:

Version	Date	Comment
1.0	2020-09-10	First published release version.
1.0x	2021-04-06	Start of first XML version.

### General Purpose:

The purpose of this SD is to define evaluation methods for the functional behavior of Dedicated Security Components products.

### Acknowledgements:

This SD was developed with support from NIAP Dedicated Security Components Technical Community members, with representatives from industry, government agencies, Common Criteria Test Laboratories, and members of academia.

## Table of Contents

- 1 Introduction
  - 1.1 Technology Area and Scope of Supporting Document
  - 1.2 Structure of the Document
  - 1.3 Terms
    - 1.3.1 Common Criteria Terms
    - 1.3.2 Technical Terms
- 2 Evaluation Activities for SFRs
  - 2.1 TOE SFR Evaluation Activities
    - 2.1.1 Cryptographic Support (FCS)
    - 2.1.2 User Data Protection
    - 2.1.3 Identification and Authentication
  - 2.2 Evaluation Activities for Optional SFRs
    - 2.2.1 Cryptographic Support (FCS)
    - 2.2.2 User Data Protection
    - 2.2.3 Identification and Authentication
  - 2.3 Evaluation Activities for Selection-Based SFRs
  - 2.4 Evaluation Activities for Objective SFRs
- 3 Evaluation Activities for SARs
  - 3.1 Class ADV: Development

# 1 Introduction

## 1.1 Technology Area and Scope of Supporting Document

The scope of the collaborative Protection Profile for Dedicated Security Component is to describe the security functionality of Dedicated Security Components products in terms of [CC] and to define functional and assurance requirements for them.

Although Evaluation Activities are defined mainly for the evaluators to follow, in general they also help developers to prepare for evaluation by identifying specific requirements for their TOE. The specific requirements in Evaluation Activities may in some cases clarify the meaning of Security Functional Requirements (SFR), and may identify particular requirements for the content of Security Targets (ST) (especially the TOE Summary Specification), user guidance documentation, and possibly supplementary information (e.g. for entropy analysis or cryptographic key management architecture).

## 1.2 Structure of the Document

Evaluation Activities can be defined for both SFRs and Security Assurance Requirements (SAR), which are themselves defined in separate sections of the SD.

If any Evaluation Activity cannot be successfully completed in an evaluation, then the overall verdict for the evaluation is a 'fail'. In rare cases there may be acceptable reasons why an Evaluation Activity may be modified or deemed not applicable for a particular TOE, but this must be approved by the Certification Body for the evaluation.

In general, if all Evaluation Activities (for both SFRs and SARs) are successfully completed in an evaluation then it would be expected that the overall verdict for the evaluation is a 'pass'. To reach a 'fail' verdict when the Evaluation Activities have been successfully completed would require a specific justification from the evaluator as to why the Evaluation Activities were not sufficient for that TOE.

Similarly, at the more granular level of assurance components, if the Evaluation Activities for an assurance component and all of its related SFR Evaluation Activities are successfully completed in an evaluation then it would be expected that the verdict for the assurance component is a 'pass'. To reach a 'fail' verdict for the assurance component when these Evaluation Activities have been successfully completed would require a specific justification from the evaluator as to why the Evaluation Activities were not sufficient for that TOE.

## 1.3 Terms

The following sections list Common Criteria and technology terms used in this document.

### 1.3.1 Common Criteria Terms

Assurance	Grounds for confidence that a TOE meets the SFRs [CC].
Base Protection Profile (Base-PP)	Protection Profile used as a basis to build a PP-Configuration.
Common Criteria (CC)	Common Criteria for Information Technology Security Evaluation (International Standard ISO/IEC 15408).
Common Criteria Testing Laboratory	Within the context of the Common Criteria Evaluation and Validation Scheme (CCEVS), an IT security evaluation facility, accredited by the National Voluntary Laboratory Accreditation Program (NVLAP) and approved by the NIAP Validation Body to conduct Common Criteria-based evaluations.
Common Evaluation Methodology (CEM)	Common Evaluation Methodology for Information Technology Security Evaluation.
Distributed TOE	A TOE composed of multiple components operating as a logical whole.
Operational Environment (OE)	Hardware and software that are outside the TOE boundary that support the TOE functionality and security policy.
Protection Profile (PP)	An implementation-independent set of security requirements for a category of products.

Protection Profile Configuration (PP-Configuration)	A comprehensive set of security requirements for a product type that consists of at least one Base-PP and at least one PP-Module.
Protection Profile Module (PP-Module)	An implementation-independent statement of security needs for a TOE type complementary to one or more Base Protection Profiles.
Security Assurance Requirement (SAR)	A requirement to assure the security of the TOE.
Security Functional Requirement (SFR)	A requirement for security enforcement by the TOE.
Security Target (ST)	A set of implementation-dependent security requirements for a specific product.
TOE Security Functionality (TSF)	The security functionality of the product under evaluation.
TOE Summary Specification (TSS)	A description of how a TOE satisfies the SFRs in an ST.
Target of Evaluation (TOE)	The product under evaluation.

### 1.3.2 Technical Terms

Address Space Layout Randomization (ASLR)	An anti-exploitation feature which loads memory mappings into unpredictable locations. ASLR makes it more difficult for an attacker to redirect control to code that they have introduced into the address space of a process.
Administrator	An administrator is responsible for management activities, including setting policies that are applied by the enterprise on the operating system. This administrator could be acting remotely through a management server, from which the system receives configuration policies. An administrator can enforce settings on the system which cannot be overridden by non-administrator users.
Application (app)	Software that runs on a platform and performs tasks on behalf of the user or owner of the platform, as well as its supporting documentation.
Application Programming Interface (API)	A specification of routines, data structures, object classes, and variables that allows an application to make use of services provided by another software component, such as a library. APIs are often provided for a set of libraries included with the platform.
Credential	Data that establishes the identity of a user, e.g. a cryptographic key or password.
Critical Security Parameters (CSP)	Information that is either user or system defined and is used to operate a cryptographic module in processing encryption functions including cryptographic keys and authentication data, such as passwords, the disclosure or modification of which can compromise the security of a cryptographic module or the security of the information protected by the module.
DAR Protection	Countermeasures that prevent attackers, even those with physical access, from extracting data from non-volatile storage. Common techniques include data encryption and wiping.
Data Execution Prevention (DEP)	An anti-exploitation feature of modern operating systems executing on modern computer hardware, which enforces a non-execute permission on pages of memory. DEP prevents pages of memory from containing both data and instructions, which makes it more difficult for an attacker to introduce and execute code.
Developer	An entity that writes OS software. For the purposes of this document, vendors and developers are the same.
General Purpose Operating System	A class of OSes designed to support a wide-variety of workloads consisting of many concurrent applications or services. Typical characteristics for OSes in this class include support for third-party applications, support for multiple users, and security separation between users and their respective resources. General Purpose Operating Systems also lack the real-time constraint that defines Real Time Operating Systems (RTOS). RTOSes typically power routers, switches, and embedded devices.
Host-based	A software-based firewall implementation running on the OS for filtering inbound and

Firewall	outbound network traffic to and from processes running on the OS.
Operating System (OS)	Software that manages physical and logical resources and provides services for applications. The terms <i>TOE</i> and <i>OS</i> are interchangeable in this document.
Personally Identifiable Information (PII)	Any information about an individual maintained by an agency, including, but not limited to, education, financial transactions, medical history, and criminal or employment history and information which can be used to distinguish or trace an individual's identity, such as their name, social security number, date and place of birth, mother's maiden name, biometric records, etc., including any other personal information which is linked or linkable to an individual. <a href="#">[OMB]</a>
Sensitive Data	Sensitive data may include all user or enterprise data or may be specific application data such as PII, emails, messaging, documents, calendar items, and contacts. Sensitive data must minimally include credentials and keys. Sensitive data shall be identified in the OS's TSS by the ST author.
User	A user is subject to configuration policies applied to the operating system by administrators. On some systems under certain configurations, a normal user can temporarily elevate privileges to that of an administrator. At that time, such a user should be considered an administrator.
Virtual Machine (VM)	Blah Blah Blah

## 2 Evaluation Activities for SFRs

The EAs presented in this section capture the actions the evaluator performs to address technology specific aspects covering specific SARs (e.g. ASE\_TSS.1, ADV\_FSP.1, AGD\_OPE.1, and ATE\_IND.1) - this is in addition to the CEM work units that are performed in [Section 3 Evaluation Activities for SARs](#).

Regarding design descriptions (designated by the subsections labelled TSS, as well as any required supplementary material that may be treated as proprietary), the evaluator must ensure there is specific information that satisfies the EA. For findings regarding the TSS section, the evaluator's verdicts will be associated with the CEM work unit ASE\_TSS.1-1. Evaluator verdicts associated with the supplementary evidence will also be associated with ASE\_TSS.1-1, since the requirement to provide such evidence is specified in ASE in the PP.

For ensuring the guidance documentation provides sufficient information for the administrators/users as it pertains to SFRs, the evaluator's verdicts will be associated with CEM work units ADV\_FSP.1-7, AGD\_OPE.1-4, and AGD\_OPE.1-5.

Finally, the subsection labelled Tests is where the authors have determined that testing of the product in the context of the associated SFR is necessary. While the evaluator is expected to develop tests, there may be instances where it is more practical for the developer to construct tests, or where the developer may have existing tests. Therefore, it is acceptable for the evaluator to witness developer-generated tests in lieu of executing the tests. In this case, the evaluator must ensure the developer's tests are executing both in the manner declared by the developer and as mandated by the EA. The CEM work units that are associated with the EAs specified in this section are: ATE\_IND.1-3, ATE\_IND.1-4, ATE\_IND.1-5, ATE\_IND.1-6, and ATE\_IND.1-7.

### 2.1 TOE SFR Evaluation Activities

#### 2.1.1 Cryptographic Support (FCS)

##### FCS\_CKM.1 Cryptographic Key Generation

###### **TSS**

The evaluator shall examine the TSS to verify that it describes how the TOE obtains a cryptographic key through importation of keys from external sources as specified in FDP\_ITC\_EXT.1 and FDP\_ITC\_EXT.2. The evaluator shall also examine the TSS to determine whether it describes any supported asymmetric or symmetric key generation functionality consistent with the claims made in FCS\_CKM.1.1.

###### **Guidance**

The evaluator shall verify that the guidance instructs the administrator how to configure the TOE to use the selected key types for all uses identified in the ST.

###### **KMD**

The evaluator shall confirm that the KMD describes:

- The parsing interface and how the TSF imports keys for internal use
- The asymmetric key generation interfaces and how the TSF internally creates asymmetric keys, if claimed
- The symmetric key generation interfaces and how the TSF internally creates symmetric keys, if claimed

If the TOE uses the generated key in a key chain/hierarchy then the KMD shall describe how the key is used as part of the key chain/hierarchy.

###### **Tests**

Testing for this function is performed in conjunction with FDP\_ITC\_EXT.1 and FDP\_ITC\_EXT.2. If asymmetric or symmetric key generation functionality is claimed, testing for this function is also performed in conjunction with FCS\_CKM.1/AK or FCS\_CKM.1/SK.

## **FCS\_CKM.1/AK Cryptographic Key Generation (Asymmetric Keys)**

## **FCS\_CKM.1/SK Cryptographic Key Generation (Symmetric Encryption Key)**

## **FCS\_CKM.1/KEK Cryptographic Key Generation (Key Encryption Key)**

### **TSS**

The evaluator shall examine the key hierarchy section of the TSS to ensure that the formation of all KEKs is described and that the key sizes match that described by the ST author. The evaluator shall examine the key hierarchy section of the TSS to ensure that each KEK encrypts keys of equal or lesser security strength using one of the selected methods.

[conditional] If the KEK is generated according to an asymmetric key scheme, the evaluator shall review the TSS to determine that it describes how the functionality described by FCS\_CKM.1/AK is invoked. The evaluator uses the description of the key generation functionality in FCS\_CKM.1/AK or documentation available for the operational environment to determine that the key strength being requested is greater than or equal to 112 bits.

[conditional] If the KEK is generated according to a symmetric key scheme, the evaluator shall review the TSS to determine that it describes how the functionality described by FCS\_CKM.1/SK is invoked. The evaluator uses the description of the RBG functionality in FCS\_RBG\_EXT.1, or the key derivation functionality in either FCS\_CKM\_EXT.5 or FCS\_COP.1/PBKDF, depending on the key generation method claimed, to determine that the key size being requested is greater than or equal to the key size and mode to be used for the encryption/decryption of the data.

[conditional] If the KEK is formed from derivation, the evaluator shall verify that the TSS describes the method of derivation and that this method is consistent with FCS\_CKM\_EXT.5.

### **Guidance**

There are no guidance evaluation activities for this component.

### **KMD**

The evaluator shall iterate through each of the methods selected by the ST and confirm that the KMD describes the applicable selected methods.

### **Tests**

The evaluator shall iterate through each of the methods selected by the ST and perform all applicable tests from the selected methods.

## **FCS\_CKM.2 Cryptographic Key Establishment**

### **TSS**

The evaluator shall examine the TSS to ensure that ST supports at least one key establishment scheme. The evaluator also ensures that for each key establishment scheme selected by the ST in FCS\_CKM.2.1 it also supports one or more corresponding methods selected in FCS\_COP.1/KAT. If the ST selects RSA in FCS\_CKM.2.1, then the TOE must support one or more of "KAS1," or "KAS2," "KTS-OAEP," from FCS\_COP.1/KAT. If the ST selects elliptic curve-based, then the TOE must support one or more of "ECDH-NIST" or "ECDH-BPC" from FCS\_COP.1/KAT. If the ST selects Diffie-Hellman-based key establishment, then the TOE must support "DH" from FCS\_COP.1/KAT.

### **Guidance**

The evaluator shall verify that the guidance instructs the administrator how to configure the TOE to use the selected key establishment scheme.

### **KMD**

There are no KMD evaluation activities for this component.

### **Tests**

Testing for this SFR is performed under the corresponding functions in FCS\_COP.1/KAT.

## **FCS\_CKM.4 Cryptographic Key Destruction**

### **TSS**

The evaluator shall examine the TSS to ensure it lists all relevant keys and keying material (describing the source of the data, all memory types in which the data is stored (covering storage both during and outside of a session, and both plaintext and non-plaintext forms of the data)), all relevant destruction situations (including the point in time at which the destruction occurs; e.g. factory reset or device wipe function, change of authorization data, change of DEK, completion of use of an intermediate key) and the destruction method used in each case. The evaluator shall confirm that the description of the data and storage locations is consistent with the functions carried out by the TOE (e.g. that all keys in the key chain are accounted for). (Where keys are stored encrypted or wrapped under another key then this may need to be explained in order to allow the evaluator to confirm the consistency of the description of keys with the TOE functions).

The evaluator shall check that the TSS identifies any configurations or circumstances that may not conform to the key destruction requirement (see further discussion in the AGD section below). Note that reference may be made to the AGD for description of the detail of such cases where destruction may be prevented or delayed.

Where the ST specifies the use of "a value that does not contain any sensitive data" to overwrite keys, the evaluator shall examine the TSS to ensure that it describes how that pattern is obtained and used, and that this justifies the claim that the pattern does not contain any sensitive data.

### **Guidance**

The evaluator shall check that the guidance documentation for the TOE requires users to ensure that the TOE

remains under the user's control while a session is active.

A TOE may be subject to situations that could prevent or delay data destruction in some cases. The evaluator shall check that the guidance documentation identifies configurations or circumstances that may not strictly conform to the key destruction requirement, and that this description is consistent with the relevant parts of the TSS (and KMD). The evaluator shall check that the guidance documentation provides guidance on situations where key destruction may be delayed at the physical layer, identifying any additional mitigation actions for the user (e.g. there might be some operation the user can invoke, or the user might be advised to retain control of the device for some particular time to maximise the probability that garbage collection will have occurred).

For example, when the TOE does not have full access to the physical memory, it is possible that the storage may implement wear-levelling and garbage collection. This may result in additional copies of the data that are logically inaccessible but persist physically. Where available, the TOE might then describe use of the TRIM command and garbage collection to destroy these persistent copies upon their deletion (this would be explained in TSS and guidance documentation).

Where TRIM is used then the TSS or guidance documentation is also expected to describe how the keys are stored such that they are not inaccessible to TRIM, (e.g. they would need not to be contained in a file less than 982 bytes which would be completely contained in the master file table).

### **KMD**

The evaluator shall examine the KMD to verify that it identifies and describes the interfaces that are used to service commands to read/write memory. The evaluator shall examine the interface description for each different media type to ensure that the interface supports the selections made by the ST author.

45 The evaluator shall examine the KMD to ensure that all keys and keying material identified in the TSS and KMD have been accounted for.

46 Note that where selections include 'destruction of reference to the key directly followed by a request for garbage collection' (for volatile memory) then the evaluator shall examine the KMD to ensure that it explains the nature of the destruction of the reference, the request for garbage collection, and of the garbage collection process itself.

### **Tests**

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

The evaluator shall perform the following tests:

- **Test 1:** Applied to each key or keying material held as plaintext in volatile memory and subject to destruction by overwrite by the TOE (whether or not the plaintext value is subsequently encrypted for storage in volatile or non-volatile memory).

The evaluator shall:

1. Record the value of the key or keying material.
2. Cause the TOE to dump the SDO/SDE memory of the TOE into a binary file.
3. Search the content of the binary file created in Step #2 to locate all instances of the known key value from Step #1.

Note that the primary purpose of Step #3 is to demonstrate that appropriate search commands are being used for Steps #8 and #9.

4. Cause the TOE to perform normal cryptographic processing with the key from Step #1.
5. Cause the TOE to destroy the key.
6. Cause the TOE to stop execution but not exit.
7. Cause the TOE to dump the SDO/SDE memory of the TOE into a binary file.
8. Search the content of the binary file created in Step #7 for instances of the known key value from Step #1.
9. Break the key value from Step #1 into an evaluator-chosen set of fragments and perform a search using each fragment. (Note that the evaluator shall first confirm with the developer how the key is normally stored, in order to choose fragment sizes that are the same or smaller than any fragmentation of the data that may be implemented by the TOE. The endianness or byte-order should also be taken into account in the search.)

Steps #1-8 ensure that the complete key does not exist anywhere in volatile memory. If a copy is found, then the test fails.

Step #9 ensures that partial key fragments do not remain in memory. If the evaluator finds a 32-or-greater-consecutive-bit fragment, then fail immediately. Otherwise, there is a chance that it is not within the context of a key (e.g., some random bits that happen to match). If this is the case the test should be repeated with a different key in Step #1. If a fragment is also found in this repeated run then the test fails unless the developer provides a reasonable explanation for the collision, then the evaluator may give a pass on this test.

- **Test 2:** Applied to each key and keying material held in non-volatile memory and subject to destruction by overwrite by the TOE.
  1. Record the value of the key or keying material.
  2. Cause the TOE to perform normal cryptographic processing with the key from Step #1.
  3. Search the non-volatile memory the key was stored in for instances of the known key value from Step #1.

Note that the primary purpose of Step #3 is to demonstrate that appropriate search commands are being used for Steps #5 and #6.

4. Cause the TOE to clear the key.
5. Search the non-volatile memory in which the key was stored for instances of the known key value from Step #1. If a copy is found, then the test fails.
6. Break the key value from Step #1 into an evaluator-chosen set of fragments and perform a search using each fragment. (Note that the evaluator shall first confirm with the developer how the key is normally stored, in order to choose fragment sizes that are the same or smaller than any fragmentation of the data that may be implemented by the TOE. The endianness or byte-order should also be taken into account in the search).

Step #6 ensures that partial key fragments do not remain in non-volatile memory. If the evaluator finds a 32-or-greater-consecutive-bit fragment, then fail immediately. Otherwise, there is a chance that it is not within the context of a key (e.g., some random bits that happen to match). If this is the case the test should be repeated with a different key in Step #1. If a fragment is also found in this repeated run then the test fails unless the developer provides a reasonable explanation for the collision, then the evaluator may give a pass on this test.

- **Test 3:** Applied to each key and keying material held in non-volatile memory and subject to destruction by overwrite by the TOE.
  1. Record memory of the key or keying material.
  2. Cause the TOE to perform normal cryptographic processing with the key from Step #1.
  3. Cause the TOE to clear the key. Record the value to be used for the overwrite of the key.
  4. Examine the memory from Step #1 to ensure the appropriate pattern (recorded in Step #3) is used.

The test succeeds if correct pattern is found in the memory location. If the pattern is not found, then the test fails.

## **FCS\_CKM\_EXT.4 Cryptographic Key and Key Material Destruction Timing**

### **TSS**

The evaluator shall verify the TSS provides a high-level description of what it means for keys and key material to be no longer needed and when this data should be expected to be destroyed.

### **Guidance**

There are no guidance evaluation activities for this component.

### **KMD**

The evaluator shall verify that the KMD includes a description of the areas where keys and key material reside and when this data is no longer needed.

The evaluator shall verify that the KMD includes a key lifecycle that includes a description where key materials reside, how the key materials are used, how it is determined that keys and key material are no longer needed, and how the data is destroyed once it is no longer needed. The evaluator shall also verify that all key destruction operations are performed in a manner specified by FCS\_CKM.4.

### **Tests**

There are no test evaluation activities for this component

## **FCS\_CKM\_EXT.5 Cryptographic Key Derivation**

### **FCS\_COP.1/Hash Cryptographic Operation (Hashing)**

### **TSS**

The evaluator shall check that the association of the hash function with other TSF cryptographic functions (for example, the digital signature verification function) is documented in the TSS. The evaluator shall also check that the TSS identifies whether the implementation is bit-oriented or byte-oriented.

### **Guidance**

The evaluator checks the AGD documents to determine that any configuration that is required to configure the required hash sizes is present. The evaluator also checks the AGD documents to confirm that the instructions for establishing the evaluated configuration use only those hash algorithms selected in the ST.

### **KMD**

There are no KMD evaluation activities for this component.

### **Tests**

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

### **SHA-1 and SHA-2 Tests**

The tests below are derived from the "The Secure Hash Algorithm Validation System (SHAVS), Updated: May 21, 2014" from the National Institute of Standards and Technology.

The TSF hashing functions can be implemented with one of two orientations. The first is a byte-oriented implementation: this hashes messages that are an integral number of bytes in length (i.e., the length (in bits) of the message to be hashed is divisible by 8). The second is a bit-oriented implementation: this hashes messages of arbitrary length. Separate tests for each orientation are given below.

The evaluator shall perform all of the following tests for each hash algorithm and orientation implemented by the TSF and used to satisfy the requirements of this PP. The evaluator shall compare digest values produced by a known-good SHA implementation against those generated by running the same values through the TSF.

### **Short Messages Test, Bit-oriented Implementation**

The evaluators devise an input set consisting of  $m+1$  messages, where  $m$  is the block length of the hash algorithm in bits (see SHA Properties Table). The length of the messages ranges sequentially from 0 to  $m$  bits. The message text shall be pseudorandomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

### **Short Messages Test, Byte-oriented Implementation**

The evaluators devise an input set consisting of  $m/8+1$  messages, where  $m$  is the block length of the hash algorithm in bits (see SHA Properties Table). The length of the messages ranges sequentially from 0 to  $m/8$  bytes, with each message being an integral number of bytes. The message text shall be pseudo-randomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

### **Selected Long Messages Test, Bit-oriented Implementation**

The evaluators devise an input set consisting of  $m$  messages, where  $m$  is the block length of the hash algorithm in bits (see SHA Properties Table). The length of the  $i$ th message is  $m + 99*i$ , where  $1 \leq i \leq m$ . The message text shall be pseudorandomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

### **Selected Long Messages Test, Byte-oriented Implementation**

The evaluators devise an input set consisting of  $m/8$  messages, where  $m$  is the block length of the hash algorithm in bits (see SHA Properties Table). The length of the  $i$ th message is  $m + 8*99*i$ , where  $1 \leq i \leq m/8$ . The message text shall be pseudorandomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

### **Pseudo-randomly Generated Messages Test**

The evaluators randomly generate a seed that is  $n$  bits long, where  $n$  is the length of the message digest produced by the hash function to be tested. The evaluators then formulate a set of 100 messages and associated digests by following the algorithm provided in Figure 1 of SHAVS, section 6.4. The evaluators then ensure that the correct result is produced when the messages are provided to the TSF.

### **SHA-3 Tests**

The tests below are derived from the The Secure Hash Algorithm-3 Validation System (SHA3VS), Updated: April 7, 2016, from the National Institute of Standards and Technology.

For each SHA-3-XXX implementation, XXX represents  $d$ , the digest length in bits. The capacity,  $c$ , is equal to  $2d$  bits. The rate is equal to  $1600-c$  bits.

65 The TSF hashing functions can be implemented with one of two orientations. The first is a bit-oriented mode that hashes messages of arbitrary length. The second is a byte-oriented mode that hashes messages that are an integral number of bytes in length (i.e., the length (in bits) of the message to be hashed is divisible by 8). Separate tests for each orientation are given below.

The evaluator shall perform all of the following tests for each hash algorithm and orientation implemented by the TSF and used to satisfy the requirements of this PP. The evaluator shall compare digest values produced by a known-good SHA-3 implementation against those generated by running the same values through the TSF.

### **Short Messages Test, Bit-oriented Mode**

The evaluators devise an input set consisting of  $rate+1$  short messages. The length of the messages ranges sequentially from 0 to  $rate$  bits. The message text shall be pseudo-randomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF. The message of length 0 is omitted if the TOE does not support zero-length messages.

### **Short Messages Test, Byte-oriented Mode**

The evaluators devise an input set consisting of  $rate/8+1$  short messages. The length of the messages ranges sequentially from 0 to  $rate/8$  bytes, with each message being an integral number of bytes. The message text shall be pseudo-randomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF. The message of length 0 is omitted if the TOE does not support zero-length messages.

### **Selected Long Messages Test, Bit-oriented Mode**

The evaluators devise an input set consisting of 100 long messages ranging in size from  $rate+(rate+1)$  to  $rate+(100*(rate+1))$ , incrementing by  $rate+1$ . (For example, SHA-3-256 has a rate of 1088 bits. Therefore, 100 messages will be generated with lengths 2177, 3266, ..., 109988 bits.) The message text shall be pseudo-randomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

### **Selected Long Messages Test, Byte-oriented Mode**

The evaluators devise an input set consisting of 100 messages ranging in size from  $(rate+(rate+8))$  to  $(rate+100*(rate+8))$ , incrementing by  $rate+8$ . (For example, SHA-3-256 has a rate of 1088 bits. Therefore 100 messages will be generated of lengths 2184, 3280, 4376, ..., 110688 bits.) The message text shall be pseudorandomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

### **Pseudo-randomly Generated Messages Monte Carlo) Test, Byte-oriented Mode**

The evaluators supply a seed of  $d$  bits (where  $d$  is the length of the message digest produced by the hash function to be tested. This seed is used by a pseudorandom function to generate 100,000 message digests. One hundred of the digests (every 1000th digest) are recorded as checkpoints. The TOE then uses the same



procedure to generate the same 100,000 message digests and 100 checkpoint values. The evaluators then compare the results generated ensure that the correct result is produced when the messages are generated by the TSF.

### **FCS\_COP.1/HMAC Cryptographic Operation (Keyed Hash)**

#### **TSS**

The evaluator shall examine the TSS to ensure that it specifies the following values used by the HMAC and KMAC functions: output MAC length used.

#### **Guidance**

There are no guidance evaluation activities for this component.

#### **KMD**

There are no KMD evaluation activities for this component.

#### **Tests**

The following test requires the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

This test is derived from The Keyed-Hash Message Authentication Code Validation System (HMACVS), updated 6 May 2016.

The evaluator shall provide 15 sets of messages and keys for each selected hash algorithm and hash length/key size/MAC size combination. The evaluator shall have the TSF generate HMAC or KMAC tags for these sets of test data. The evaluator shall verify that the resulting HMAC or KMAC tags match the results from submitting the same inputs to a known-good implementation of the HMAC or KMAC function, having the same characteristics.

### **FCS\_COP.1/KAT Cryptographic Operation (Key Agreement/Transport)**

#### **TSS**

The evaluator shall ensure that the selected RSA and ECDH key agreement/transport schemes correspond to the key generation schemes selected in FCS\_CKM.1/AK, and the key establishment schemes selected in FCS\_CKM.2. If the ST selects DH, the TSS shall describe how the implementation meets the relevant sections of RFC 3526 (Section 3-7) and RFC 7919 (Appendices A.1-A.5). If the ST selects ECIES, the TSS shall describe the key sizes and algorithms (e.g. elliptic curve point multiplication, ECDH with either NIST or Brainpool curves, AES in a mode permitted by FCS\_COP.1/SKC, a SHA-2 hash algorithm permitted by FCS\_COP.1/Hash, and a MAC algorithm permitted by FCS\_COP.1/HMAC) that are supported for the ECIES implementation.

The evaluator shall ensure that, for each key agreement/transport scheme, the size of the derived keying material is at least the same as the intended strength of the key agreement/transport scheme, and where feasible this should be twice the intended security strength of the key agreement/transport scheme.

Table 2 of NIST SP 800-57 identifies the key strengths for the different algorithms that can be used for the various key agreement/transport schemes.

#### **Guidance**

There are no guidance evaluation activities for this component.

#### **KMD**

There are no KMD evaluation activities for this component.

#### **Tests**

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

The evaluator shall verify the implementation of the key generation routines of the supported schemes using the following tests:

#### **If ECDH-NIST or ECDH-BPC is claimed:**

##### **SP800-56A Key Agreement Schemes**

The evaluator shall verify a TOE's implementation of SP800-56A key agreement schemes using the following Function and Validity tests. These validation tests for each key agreement scheme verify that a TOE has implemented the components of the key agreement scheme according to the specifications in the Recommendation. These components include the calculation of the DLC primitives (the shared secret value Z) and the calculation of the derived keying material (DKM) via the Key Derivation Function (KDF). If key confirmation is supported, the evaluator shall also verify that the components of key confirmation have been implemented correctly, using the test procedures described below. This includes the parsing of the DKM, the generation of MACdata and the calculation of MACtag.

##### *Function Test*

The Function test verifies the ability of the TOE to implement the key agreement schemes correctly. To conduct this test the evaluator shall generate or obtain test vectors from a known good implementation of the TOE supported schemes. For each supported key agreement scheme-key agreement role combination, KDF type, and, if supported, key confirmation role-key confirmation type combination, the tester shall generate 10 sets of test vectors. The data set consists of one set of domain parameter values (FFC) or the NIST approved curve (ECC) per 10 sets of public keys. These keys are static, ephemeral or both depending on the scheme being tested.

The evaluator shall obtain the DKM, the corresponding TOE's public keys (static or ephemeral), the MAC tags, and any inputs used in the KDF, such as the Other Information field OI and TOE id fields.

If the TOE does not use a KDF defined in SP 800-56A, the evaluator shall obtain only the public keys and the

hashed value of the shared secret.

The evaluator shall verify the correctness of the TSF's implementation of a given scheme by using a known good implementation to calculate the shared secret value, derive the keying material DKM, and compare hashes or MAC tags generated from these values.

If key confirmation is supported, the TSF shall perform the above for each implemented approved MAC algorithm.

#### *Validity Test*

The Validity test verifies the ability of the TOE to recognize another party's valid and invalid key agreement results with or without key confirmation. To conduct this test, the evaluator shall obtain a list of the supporting cryptographic functions included in the SP800-56A key agreement implementation to determine which errors the TOE should be able to recognize. The evaluator generates a set of 24 (FFC) or 30 (ECC) test vectors consisting of data sets including domain parameter values or NIST approved curves, the evaluator's public keys, the TOE's public/private key pairs, MACTag, and any inputs used in the KDF, such as the other info and TOE id fields.

The evaluator shall inject an error in some of the test vectors to test that the TOE recognizes invalid key agreement results caused by the following fields being incorrect: the shared secret value Z, the DKM, the other information field OI, the data to be MACed, or the generated MACTag. If the TOE contains the full or partial (only ECC) public key validation, The evaluator shall also individually inject errors in both parties' static public keys, both parties' ephemeral public keys and the TOE's static private key to assure the TOE detects errors in the public key validation function or the partial key validation function (in ECC only). At least two of the test vectors shall remain unmodified and therefore should result in valid key agreement results (they should pass).

The TOE shall use these modified test vectors to emulate the key agreement scheme using the corresponding parameters. The evaluator shall compare the TOE's results with the results using a known good implementation verifying that the TOE detects these errors.

#### **If KAS1, KAS2, KTS-OAEP, or RSAES-PKCS1-v1\_5 is claimed:**

##### **SP800-56B and PKCS#1 Key Establishment Schemes**

If the TOE acts as a sender, the following evaluation activity shall be performed to ensure the proper operation of every TOE supported combination of RSA-based key establishment scheme:

To conduct this test the evaluator shall generate or obtain test vectors from a known good implementation of the TOE supported schemes. For each combination of supported key establishment scheme and its options (with or without key confirmation if supported, for each supported key confirmation MAC function if key confirmation is supported, and for each supported mask generation function if KTS-OAEP is supported), the tester shall generate 10 sets of test vectors. Each test vector shall include the RSA public key, the plaintext keying material, any additional input parameters if applicable, the MacKey and MacTag if key confirmation is incorporated, and the outputted ciphertext. For each test vector, the evaluator shall perform a key establishment encryption operation on the TOE with the same inputs (in cases where key confirmation is incorporated, the test shall use the MacKey from the test vector instead of the randomly generated MacKey used in normal operation) and ensure that the outputted ciphertext is equivalent to the ciphertext in the test vector.

If the TOE acts as a receiver, the following evaluation activities shall be performed to ensure the proper operation of every TOE supported combination of RSA-based key establishment scheme:

To conduct this test the evaluator shall generate or obtain test vectors from a known good implementation of the TOE supported schemes. For each combination of supported key establishment scheme and its options (with or without key confirmation if supported, for each supported key confirmation MAC function if key confirmation is supported, and for each supported mask generation function if KTS-OAEP is supported), the tester shall generate 10 sets of test vectors. Each test vector shall include the RSA private key, the plaintext keying material (KeyData), any additional input parameters if applicable, the MacTag in cases where key confirmation is incorporated, and the outputted ciphertext. For each test vector, the evaluator shall perform the key establishment decryption operation on the TOE and ensure that the outputted plaintext keying material (KeyData) is equivalent to the plain text keying material in the test vector. In cases where key confirmation is incorporated, the evaluator shall perform the key confirmation steps and ensure that the outputted MacTag is equivalent to the MacTag in the test vector.

The evaluator shall ensure that the TSS describes how the TOE handles decryption errors. In accordance with NIST Special Publication 800-56B, the TOE must not reveal the particular error that occurred, either through the contents of any outputted or logged error message or through timing variations. If KTS-OAEP is supported, the evaluator shall create separate contrived ciphertext values that trigger each of the three decryption error checks described in NIST Special Publication 800-56B section 7.2.2.3, ensure that each decryption attempt results in an error, and ensure that any outputted or logged error message is identical for each.

#### **DH:**

The evaluator shall verify the correctness of each TSF implementation of each supported Diffie-Hellman group by comparison with a known good implementation.

#### **Curve25519:**

The evaluator shall verify a TOE's implementation of the key agreement scheme using the following Function and Validity tests. These validation tests for each key agreement scheme verify that a TOE has implemented

the components of the key agreement scheme according to the specification. These components include the calculation of the shared secret K and the hash of K.

### **Function Test**

The Function test verifies the ability of the TOE to implement the key agreement schemes correctly. To conduct this test the evaluator shall generate or obtain test vectors from a known good implementation of the TOE supported schemes. For each supported key agreement role and hash function combination, the tester shall generate 10 sets of public keys. These keys are static, ephemeral or both depending on the scheme being tested.

The evaluator shall obtain the shared secret value K, and the hash of K. The evaluator shall verify the correctness of the TSF's implementation of a given scheme by using a known good implementation to calculate the shared secret value K and compare the hash generated from this value.

### **Validity Test**

The Validity test verifies the ability of the TOE to recognize another party's valid and invalid key agreement results. To conduct this test, the evaluator generates a set of 30 test vectors consisting of data sets including the evaluator's public keys and the TOE's public/private key pairs.

The evaluator shall inject an error in some of the test vectors to test that the TOE recognizes invalid key agreement results caused by the following fields being incorrect: the shared secret value K or the hash of K. At least two of the test vectors shall remain unmodified and therefore should result in valid key agreement results (they should pass).

The TOE shall use these modified test vectors to emulate the key agreement scheme using the corresponding parameters. The evaluator shall compare the TOE's results with the results using a known good implementation verifying that the TOE detects these errors.

### **ECIES:**

The evaluator shall verify the correctness of each TSF implementation of each supported use of ECIES by comparison with a known good implementation.

### **FCS\_COP.1/KeyEnc Cryptographic Operation (Key Encryption)**

#### **TSS**

The evaluator shall examine the TSS to ensure that it identifies whether the implementation of this cryptographic operation for key encryption (including key lengths and modes) is an implementation that is tested in FCS\_COP.1/SKC.

The evaluator shall check that the TSS includes a description of the key wrap functions and shall check that this uses a key wrap algorithm and key sizes according to the specification selected in the ST out of the table as provided in the CPP table.

#### **Guidance**

The evaluator checks the AGD documents to confirm that the instructions for establishing the evaluated configuration use only those key wrap functions selected in the ST. If multiple key access modes are supported, the evaluator shall examine the guidance documentation to determine that the method of choosing a specific mode/key size by the end user is described.

#### **KMD**

The evaluator shall examine the KMD to ensure that it describes when the key wrapping occurs, that the KMD description is consistent with the description in the TSS, and that for all keys that are wrapped the TOE uses a method as described in the CPP table. No uncertainty should be left over which is the wrapping key and the key to be wrapped and where the wrapping key potentially comes from i.e. is derived from.

If "AES-GCM" or "AES-CCM" is used the evaluator shall examine the KMD to ensure that it describes how the IV is generated and that the same IV is never reused to encrypt different plaintext pairs under the same key. Moreover in the case of GCM, he must ensure that, at each invocation of GCM, the length of the plaintext is at most  $(2^{32}-2)$  blocks.

#### **Tests**

Refer to FCS\_COP.1/SKC for the required testing for each symmetric key wrapping method selected from the table and to FCS\_COP.1/KAT for the required testing for each asymmetric key wrapping method selected from the table. Each distinct implementation shall be tested separately.

If the implementation of the key encryption operation is the same implementation tested under FCS\_COP.1/SKC or FCS\_COP.1/KAT, and it has been tested with the same key lengths and modes, then no further testing is required. If key encryption uses a different implementation, (where "different implementation" includes the use of different key lengths or modes), then the evaluator shall additionally test the key encryption implementation using the corresponding tests specified for FCS\_COP.1/SKC or FCS\_COP.1/KAT.

### **FCS\_COP.1/pbkdf Cryptographic Operation (Password-Based Key Derivation Functions)**

### **FCS\_COP.1/SigGen Cryptographic Operation (Signature Generation)**

#### **TSS**

The evaluator shall examine the TSS to ensure that all signature generation functions use the approved algorithms and key sizes.

**Guidance**

There are no AGD evaluation activities for this component.

**KMD**

There are no KMD evaluation activities for this component.

**Tests**

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

Each section below contains tests the evaluators must perform for each selected digital signature scheme.

Based on the assignments and selections in the requirement, the evaluators choose the specific activities that correspond to those selections.

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are not found on the TOE in its evaluated configuration.

**If SigGen1: RSASSA-PKCS1-v1\_5 or SigGen4: RSASSA-PSS is claimed:**

The below test is derived from The 186-4 RSA Validation System (RSA2VS). Updated 8 July 2014, Section 6.3, from the National Institute of Standards and Technology.

To test the implementation of RSA signature generation the evaluator uses the system under test to generate signatures for 10 messages for each combination of modulus size and SHA algorithm. The evaluator then uses a known-good implementation and the associated public keys to verify the signatures.

**If SigGen2: Digital Signature Scheme 2 (DSS2) or SigGen3: Digital Signature Scheme 3 (DSS3):**

To test the implementation of DSS2/3 signature generation the evaluator uses the system under test to generate signatures for 10 messages for each combination of SHA algorithm, hash size and key size. The evaluator then uses a known-good implementation and the associated public keys to verify the signatures.

**If SigGen5: ECDSA is claimed:**

The below test is derived from The FIPS 186-4 Elliptic Curve Digital Signature Algorithm Validation System (ECDSA2VS). Updated 18 March 2014, Section 6.4, from the National Institute of Standards and Technology.

To test the implementation of ECDSA signature generation the evaluator uses the system under test to generate signatures for 10 messages for each combination of curve, SHA algorithm, hash size, and key size. The evaluator then uses a known-good implementation and the associated public keys to verify the signatures.

**FCS\_COP.1/SigVer Cryptographic Operation (Signature Verification)****TSS**

The evaluator shall check the TSS to ensure that it describes the overall flow of the signature verification. This should at least include identification of the format and general location (e.g., "firmware on the hard drive device" rather than "memory location 0x00007A4B") of the data to be used in verifying the digital signature; how the data received from the operational environment are brought onto the device; and any processing that is performed that is not part of the digital signature algorithm (for instance, checking of certificate revocation lists).

**Guidance**

There are no AGD evaluation activities for this component.

**KMD**

There are no KMD evaluation activities for this component.

**Tests**

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

Each section below contains tests the evaluators must perform for each selected digital signature scheme.

Based on the assignments and selections in the requirement, the evaluators choose the specific activities that correspond to those selections.

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are not found on the TOE in its evaluated configuration.

**SigVer1: RSASSA-PKCS1-v1\_5 and SigVer4: RSASSA-PSS**

These tests are derived from The 186-4 RSA Validation System (RSA2VS), updated 8 Jul 2014, Section 6.4.

The FIPS 186-4 RSA Signature Verification Test tests the ability of the TSF to recognize valid and invalid signatures. The evaluator shall provide a modulus and three associated key pairs (d, e) for each combination of selected SHA algorithm, modulus size and hash size. Each private key d is used to sign six pseudorandom messages each of 1024 bits. For five of the six messages, the public key (e), message, IR format, padding, or signature is altered so that signature verification should fail. The test passes only if all the signatures made using unaltered parameters result in successful signature verification, and all the signatures made using altered parameters result in unsuccessful signature verification.

**SigVer5: ECDSA on NIST and Brainpool Curves**

These tests are derived from The FIPS 186-4 Elliptic Curve Digital Signature Algorithm Validation System (ECDSA2VS), updated 18 Mar 2014, Section 6.5.

The FIPS 186-4 ECC Signature Verification Test tests the ability of the TSF to recognize valid and invalid signatures. The evaluator shall provide a modulus and associated key pair (x, y) for each combination of selected curve, SHA algorithm, modulus size, and hash size. Each private key (x) is used to sign 15

pseudorandom messages of 1024 bits. For eight of the fifteen messages, the message, IR format, padding, or signature is altered so that signature verification should fail. The test passes only if all the signatures made using unaltered parameters result in successful signature verification, and all the signatures made using altered parameters result in unsuccessful signature verification.

## SigVer2: Digital Signature Scheme 2

The following or equivalent steps shall be taken to test the TSF.

For each supported modulus size, underlying hash algorithm, and length of the trailer field (1- or 2-byte), the evaluator shall generate NT sets of recoverable message (M1), non-recoverable message (M2), salt, public key and signature ( $\Sigma$ ).

1.  $N_T$  shall be greater than or equal to 20.
2. The length of salts shall be selected from its supported length range of salt. The typical length of salt is equal to the output block length of underlying hash algorithm (see 9.2.2 of ISO/IEC 9796-2:2010).
3. The length of recoverable messages should be selected by considering modulus size, output block length of underlying hash algorithm, and length of salt ( $L_S$ ). As described in Annex D of ISO/IEC 9796-2:2010, it is desirable to maximise the length of recoverable message. The following table shows the maximum bit-length of recoverable message that is divisible by 512, for some combinations of modulus size, underlying hash algorithm, and length of salt. None that 2-byte trailer field is assumed in calculating the maximum length of recoverable message

Maximum length of recoverable message divisible by 512 (bits)	Modulus size (bits)	Underlying hash algorithm (bits)	Length of salt $L_S$ (bits)
1536	2048	SHA-256	128
1024			256
1024		SHA-512	128
1024			256
512			512
2560	3072	SHA-256	128
2048			256
2048		SHA-512	128
2048			256
1536			512

4. The length of non-recoverable messages should be selected by considering the underlying hash algorithm and usages. If the TSF is used for verifying the authenticity of software/firmware updates, the length of non-recoverable messages should be selected greater than or equal to 2048-bit. With this length range, it means that the underlying hash algorithm is also tested for two or more input blocks.
5. The evaluator shall select approximately one half of  $N_T$  sets and shall alter one of the values (non-recoverable message, public key exponent or signature) in the sets. In altering public key exponent, the evaluator shall alter the public key exponent while keeping the exponent odd. In altering signatures, the following ways should be considered:
  - a. Altering a signature just by replacing a bit in the bit-string representation of the signature
  - b. Altering a signature so that the trailer in the message representative cannot be interpreted. This can be achieved by following ways:
    - Setting the rightmost four bits of the message representative to the values other than '1100'.
    - In the case when 1-byte trailer is used, setting the rightmost byte of the message representative to the values other than '0xbc', while keeping the rightmost four bits to '1100'.
    - In the case when 2-byte trailer is used, setting the rightmost byte of the message representative to the values other than '0xcc', while keeping the rightmost four bits to '1100'.
  - c. In the case when 2-byte trailer is used, altering a signature so that the hash algorithm identifier in the trailer (i.e. the left most byte of the trailer) does not correspond to hash algorithms identified in the SFR. The hash algorithm identifiers are 0x34 for SHA-256 (see Clause 10 of ISO/IEC 10118-3:2018), and 0x35 for SHA-512 (see Clause 11 of ISO/IEC 10118-3:2018).
  - d. Let  $L_S$  be the length of salt, altering a signature so that the intermediate bit string  $D$  in the message representative is set to all zeroes except for the rightmost  $L_S$  bits of  $D$ .
  - e. (non-conformant signature length) Altering a signature so that the length of signature  $\Sigma$  is changed to modulus size and the most significant bit of signature  $\Sigma$  is set equal to '1'.
  - f. (non-conformant signature) Altering a signature so that the integer converted from signature  $\Sigma$  is greater than modulus  $n$ .

The evaluator shall supply the NT sets to the TSF and obtain in response a set of NT Verification-Success or Verification-Fail values. When the VerificationSuccess is obtained, the evaluator shall also obtain recovered message (M 1\*).

The evaluator shall verify that Verification-Success results correspond to the unaltered sets and Verification-

Fail results correspond to the altered sets.

For each recovered message, the evaluator shall compare the recovered message (M1\*) with the corresponding recoverable message (M 1) in the unaltered sets.

The test passes only if all the signatures made using unaltered sets result in Verification-Success, each recovered message (M 1\*) is equal to corresponding M 1 in the unaltered sets, and all the signatures made using altered sets result in Verification-Fail.

### **SigVer3: Digital Signature Scheme 3**

The evaluator shall perform the test described in SigVer2: Digital Signature Scheme 2 while using a fixed salt for NT sets.

### **FCS\_COP.1/SKC Cryptographic Operation (Symmetric Key Cryptography)**

#### **TSS**

The evaluator shall check that the TSS includes a description of encryption functions used for symmetric key encryption. The evaluator should check that this description of the selected encryption function includes the key sizes and modes of operations as specified in the cPP table 9 "Supported Methods for Symmetric Key Cryptography Operation."

The evaluator shall check that the TSS describes the means by which the TOE satisfies constraints on algorithm parameters included in the selections made for 'cryptographic algorithm' and 'list of standards'.

#### **Guidance**

If the product supports multiple modes, the evaluator shall examine the vendor's documentation to determine that the method of choosing a specific mode/key size by the end user is described.

#### **KMD**

The evaluator shall examine the KMD to ensure that the points at which symmetric key encryption and decryption occurs are described, and that the complete data path for symmetric key encryption is described. The evaluator checks that this description is consistent with the relevant parts of the TSS.

Assessment of the complete data path for symmetric key encryption includes confirming that the KMD describes the data flow from the device's host interface to the device's non-volatile memory storing the data, and gives information enabling the user data datapath to be distinguished from those situations in which data bypasses the data encryption engine (e.g. read-write operations to an unencrypted Master Boot Record area). The evaluator shall ensure that the documentation of the data path is detailed enough that it thoroughly describes the parts of the TOE that the data passes through (e.g. different memory types, processors and co-processors), its encryption state (i.e. encrypted or unencrypted) in each part, and any places where the data is stored. For example, any caching or buffering of the data should be identified and distinguished from the final destination in non-volatile memory (the latter represents the location from which the host will expect to retrieve the data in future).

If support for AES-CTR is claimed and the counter value source is internal to the TOE, the evaluator shall verify that the KMD describes the internal counter mechanism used to ensure that it provides unique counter block values.

#### **Tests**

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

The following tests are conditional based upon the selections made in the SFR. The evaluator shall perform the following test or witness respective tests executed by the developer. The tests must be executed on a platform that is as close as practically possible to the operational platform (but which may be instrumented in terms of, for example, use of a debug mode). Where the test is not carried out on the TOE itself, the test platform shall be identified and the differences between test environment and TOE execution environment shall be described.

Preconditions for testing:

- Specification of keys as input parameter to the function to be tested
- specification of required input parameters such as modes
- Specification of user data (plaintext)
- Tapping of encrypted user data (ciphertext) directly in the non-volatile memory

#### **AES-CBC:**

For the AES-CBC tests described below, the plaintext, ciphertext, and IV values shall consist of 128-bit blocks. To determine correctness, the evaluator shall compare the resulting values to those obtained by submitting the same inputs to a known-good implementation.

These tests are intended to be equivalent to those described in NIST's AES Algorithm Validation Suite (AESAVS) ( <http://csrc.nist.gov/groups/STM/cavp/documents/aes/AESAVS.pdf>). It is not recommended that evaluators use values obtained from static sources such as the example NIST's AES Known Answer Test Values from the AESAVS document, or use values not generated expressly to exercise the AES-CBC implementation.

#### **AES-CBC Known Answer Tests**

**KAT-1 (GFSBox):** To test the encrypt functionality of AES-CBC, the evaluator shall supply a set of five different plaintext values for each selected key size and obtain the ciphertext value that results from AES-CBC encryption of the given plaintext using a key value of all zeros and an IV of all zeros.

To test the decrypt functionality of AES-CBC, the evaluator shall supply a set of five different ciphertext values for each selected key size and obtain the plaintext value that results from AES-CBC decryption of the given ciphertext using a key value of all zeros and an IV of all zeros.

**KAT-2 (KeySBox):** To test the encrypt functionality of AES-CBC, the evaluator shall supply a set of five different key values for each selected key size and obtain the ciphertext value that results from AES-CBC encryption of an all-zeros plaintext using the given key value and an IV of all zeros.

To test the decrypt functionality of AES-CBC, the evaluator shall supply a set of five different key values for each selected key size and obtain the plaintext that results from AES-CBC decryption of an all-zeros ciphertext using the given key and an IV of all zeros.

**KAT-3 (Variable Key):** To test the encrypt functionality of AES-CBC, the evaluator shall supply a set of keys for each selected key size (as described below) and obtain the ciphertext value that results from AES encryption of an all-zeros plaintext using each key and an IV of all zeros.

Key  $i$  in each set shall have the leftmost  $i$  bits set to ones and the remaining bits to zeros, for values of  $i$  from 1 to the key size. The keys and corresponding ciphertext are listed in AESAVS, Appendix E.

To test the decrypt functionality of AES-CBC, the evaluator shall use the same keys as above to decrypt the ciphertext results from above. Each decryption should result in an all-zeros plaintext.

**KAT-4 (Variable Text):** To test the encrypt functionality of AES-CBC, for each selected key size, the evaluator shall supply a set of 128-bit plaintext values (as described below) and obtain the ciphertext values that result from AES-CBC encryption of each plaintext value using a key of each size and IV consisting of all zeros.

Plaintext value  $i$  shall have the leftmost  $i$  bits set to ones and the remaining bits set to zeros, for values of  $i$  from 1 to 128. The plaintext values are listed in AESAVS, Appendix D.

To test the decrypt functionality of AES-CBC, for each selected key size, use the plaintext values from above as ciphertext input, and AES-CBC decrypt each ciphertext value using key of each size consisting of all zeros and an IV of all zeros.

### **AES-CBC Multi-Block Message Test**

The evaluator shall test the encrypt functionality by encrypting nine  $i$ -block messages for each selected key size, for  $2 \leq i \leq 10$ . For each test, the evaluator shall supply a key, an IV, and a plaintext message of length  $i$  blocks, and encrypt the message using AES-CBC. The resulting ciphertext values shall be compared to the results of encrypting the plaintext messages using a known good implementation.

The evaluator shall test the decrypt functionality by decrypting nine  $i$ -block messages for each selected key size, for  $2 \leq i \leq 10$ . For each test, the evaluator shall supply a key, an IV, and a ciphertext message of length  $i$  blocks, and decrypt the message using AES-CBC. The resulting plaintext values shall be compared to the results of decrypting the ciphertext messages using a known good implementation.

### **AES-CBC Monte Carlo Tests**

The evaluator shall test the encrypt functionality for each selected key size using 100 3-tuples of pseudo-random values for plaintext, IVs, and keys.

The evaluator shall supply a single 3-tuple of pseudo-random values for each selected key size. This 3-tuple of plaintext, IV, and key is provided as input to the below algorithm to generate the remaining 99 3-tuples, and to run each 3-tuple through 1000 iterations of AES-CBC encryption.

```
# Input: PT, IV, Key
Key[0] = Key
IV[0] = IV
PT[0] = PT
for i = 0 to 99 {
    Output Key[i], IV[i], PT[0]
    for j = 0 to 999 {
        if (j == 0) {
            CT[j] = AES-CBC-Encrypt(Key[i], IV[i], PT[j])
            PT[j+1] = IV[i]
        } else {
            CT[j] = AES-CBC-Encrypt(Key[i], PT[j])
            PT[j+1] = CT[j-1]
        }
    }
    Output CT[j]
    If (KeySize == 128) Key[i+1] = Key[i] xor CT[j]
    If (KeySize == 192) Key[i+1] = Key[i] xor (last 64 bits of CT[j-1] || C
    If (KeySize == 256) Key[i+1] = Key[i] xor ((CT[j-1] | CT[j]))
    IV[i+1] = CT[j]
    PT[0] = CT[j-1]
}
```

The ciphertext computed in the 1000th iteration (CT[999]) is the result for each of the 100 3-tuples for each selected key size. This result shall be compared to the result of running 1000 iterations with the same values using a known good implementation.

The evaluator shall test the decrypt functionality using the same test as above, exchanging CT and PT, and replacing AES-CBC-Encrypt with AES-CBC-Decrypt.

### **AES-CCM:**

These tests are intended to be equivalent to those described in the NIST document, "The CCM Validation System (CCMVS)," updated 9 Jan 2012, found at <http://csrc.nist.gov/groups/STM/cavp/documents/mac/CCMVS.pdf>.

It is not recommended that evaluators use values obtained from static sources such as <http://csrc.nist.gov/groups/STM/cavp/documents/mac/ccmtestvectors.zip> or use values not generated expressly to exercise the AES-CCM implementation.

The evaluator shall test the generation-encryption and decryption-verification functionality of AES-CCM for the following input parameter and tag lengths:

- **Keys:** All supported and selected key sizes (e.g., 128, 192, or 256 bits).
- **Associated Data:** Two or three values for associated data length: The minimum ( $\geq 0$  bytes) and maximum ( $\leq 32$  bytes) supported associated data lengths, and  $2^{16}$  (65536) bytes, if supported.
- **Payload:** Two values for payload length: The minimum ( $\geq 0$  bytes) and maximum ( $\leq 32$  bytes) supported payload lengths.
- **Nonces:** All supported nonce lengths (e.g., 8, 9, 10, 11, 12, 13) in bytes.
- **Tag:** All supported tag lengths (e.g., 4, 6, 8, 10, 12, 14, 16) in bytes.

The testing for CCM consists of five tests. To determine correctness in each of the below tests, the evaluator shall compare the ciphertext with the result of encryption of the same inputs with a known good implementation.

**Variable Associated Data Test:** For each supported key size and associated data length, and any supported payload length, nonce length, and tag length, the evaluator shall supply one key value, one nonce value, and 10 pairs of associated data and payload values, and obtain the resulting ciphertext.

**Variable Payload Text:** For each supported key size and payload length, and any supported associated data length, nonce length, and tag length, the evaluator shall supply one key value, one nonce value, and 10 pairs of associated data and payload values, and obtain the resulting ciphertext.

**Variable Nonce Test:** For each supported key size and nonce length, and any supported associated data length, payload length, and tag length, the evaluator shall supply one key value, one nonce value, and 10 pairs of associated data and payload values, and obtain the resulting ciphertext.

**Variable Tag Test:** For each supported key size and tag length, and any supported associated data length, payload length, and nonce length, the evaluator shall supply one key value, one nonce value, and 10 pairs of associated data and payload values, and obtain the resulting ciphertext.

**Decryption-Verification Process Test:** To test the decryption-verification functionality of AES-CCM, for each combination of supported associated data length, payload length, nonce length, and tag length, the evaluator shall supply a key value and 15 sets of input plus ciphertext, and obtain the decrypted payload. Ten of the 15 input sets supplied should fail verification and five should pass.

**AES-GCM:** These tests are intended to be equivalent to those described in the NIST document, "The Galois/Counter Mode (GCM) and GMAC Validation System (GCMVS) with the Addition of XPN Validation Testing," rev. 15 Jun 2016, section 6.2, found at <http://csrc.nist.gov/groups/STM/cavp/documents/mac/gcmvs.pdf>.

It is not recommended that evaluators use values obtained from static sources such as <http://csrc.nist.gov/groups/STM/cavp/documents/mac/gcmtestvectors.zip>, or use values not generated expressly to exercise the AES-GCM implementation.

The evaluator shall test the authenticated encryption functionality of AES-GCM by supplying 15 sets of Key, Plaintext, AAD, IV, and Tag data for every combination of the following parameters as selected in the ST and supported by the implementation under test:

- **Key size in bits:** Each selected and supported key size (e.g., 128, 192, or 256 bits).
- **Plaintext length in bits:** Up to four values for plaintext length: Two values that are non-zero integer multiples of 128, if supported. And two values that are non-multiples of 128, if supported.
- **AAD length in bits:** Up to five values for AAD length: Zero-length, if supported. Two values that are non-zero integer multiples of 128, if supported. And two values that are integer non-multiples of 128, if supported.
- **IV length in bits:** Up to three values for IV length: 96 bits. Minimum and maximum supported lengths, if different.
- **MAC length in bits:** Each supported length (e.g., 128, 120, 112, 104, 96).

To determine correctness, the evaluator shall compare the resulting values to those obtained by submitting the same inputs to a known-good implementation.

The evaluator shall test the authenticated decrypt functionality of AES-GCM by supplying 15 Ciphertext-Tag pairs for every combination of the above parameters, replacing Plaintext length with Ciphertext length. For each parameter combination the evaluator shall introduce an error into either the Ciphertext or the Tag such that approximately half of the cases are correct and half the cases contain errors. To determine correctness, the evaluator shall compare the resulting pass/fail status and Plaintext values to the results obtained by submitting the same inputs to a known-good implementation.

#### **AES-CTR:**

For the AES-CTR tests described below, the plaintext and ciphertext values shall consist of 128-bit blocks. To determine correctness, the evaluator shall compare the resulting values to those obtained by submitting the same inputs to a known-good implementation.



These tests are intended to be equivalent to those described in NIST's AES Algorithm Validation Suite (AESAVS) ( <http://csrc.nist.gov/groups/STM/cavp/documents/aes/AESAVS.pdf>). It is not recommended that evaluators use values obtained from static sources such as the example NIST's AES Known Answer Test Values from the AESAVS document, or use values not generated expressly to exercise the AES-CTR implementation.

### **AES-CTR Known Answer Tests**

**KAT-1 (GFSBox):** To test the encrypt functionality of AES-CTR, the evaluator shall supply a set of five different plaintext values for each selected key size and obtain the ciphertext value that results from AES-CTR encryption of the given plaintext using a key value of all zeros.

To test the decrypt functionality of AES-CTR, the evaluator shall supply a set of five different ciphertext values for each selected key size and obtain the plaintext value that results from AES-CTR decryption of the given ciphertext using a key value of all zeros.

**KAT-2 (KeySBox):** To test the encrypt functionality of AES-CTR, the evaluator shall supply a set of five different key values for each selected key size and obtain the ciphertext value that results from AES-CTR encryption of an all-zeros plaintext using the given key value.

To test the decrypt functionality of AES-CTR, the evaluator shall supply a set of five different key values for each selected key size and obtain the plaintext that results from AES-CTR decryption of an all-zeros ciphertext using the given key.

**KAT-3 (Variable Key):** To test the encrypt functionality of AES-CTR, the evaluator shall supply a set of keys for each selected key size (as described below) and obtain the ciphertext value that results from AES encryption of an all-zeros plaintext using each key.

Key  $i$  in each set shall have the leftmost  $i$  bits set to ones and the remaining bits to zeros, for values of  $i$  from 1 to the key size. The keys and corresponding ciphertext are listed in AESAVS, Appendix E.

To test the decrypt functionality of AES-CTR, the evaluator shall use the same keys as above to decrypt the ciphertext results from above. Each decryption should result in an all-zeros plaintext.

**KAT-4 (Variable Text):** To test the encrypt functionality of AES-CTR, for each selected key size, the evaluator shall supply a set of 128-bit plaintext values (as described below) and obtain the ciphertext values that result from AES-CTR encryption of each plaintext value using a key of each size.

Plaintext value  $i$  shall have the leftmost  $i$  bits set to ones and the remaining bits set to zeros, for values of  $i$  from 1 to 128. The plaintext values are listed in AESAVS, Appendix D.

To test the decrypt functionality of AES-CTR, for each selected key size, use the plaintext values from above as ciphertext input, and AES-CTR decrypt each ciphertext value using key of each size consisting of all zeros.

### **AES-CTR Multi-Block Message Test**

The evaluator shall test the encrypt functionality by encrypting nine  $i$ -block messages for each selected key size, for  $2 \leq i \leq 10$ . For each test, the evaluator shall supply a key and a plaintext message of length  $i$  blocks, and encrypt the message using AES-CTR. The resulting ciphertext values shall be compared to the results of encrypting the plaintext messages using a known good implementation.

The evaluator shall test the decrypt functionality by decrypting nine  $i$ -block messages for each selected key size, for  $2 \leq i \leq 10$ . For each test, the evaluator shall supply a key and a ciphertext message of length  $i$  blocks, and decrypt the message using AES-CTR. The resulting plaintext values shall be compared to the results of decrypting the ciphertext messages using a known good implementation.

### **AES-CTR Monte Carlo Tests**

The evaluator shall test the encrypt functionality for each selected key size using 100 2-tuples of pseudo-random values for plaintext and keys.

The evaluator shall supply a single 2-tuple of pseudo-random values for each selected key size. This 2-tuple of plaintext and key is provided as input to the below algorithm to generate the remaining 99 2-tuples, and to run each 2-tuple through 1000 iterations of AES-CTR encryption.

```
# Input: PT, Key
Key[0] = Key
PT[0] = PT
for i = 0 to 99 {
    Output Key[i], PT[0]
    for j = 0 to 999 {
        CT[j] = AES-CTR-Encrypt(Key[i], PT[j])
        PT[j+1] = CT[j]
    }
    Output CT[j]
    If (KeySize == 128) Key[i+1] = Key[i] xor CT[j]
    If (KeySize == 192) Key[i+1] = Key[i] xor (last 64 bits of CT[j-1] || C
    If (KeySize == 256) Key[i+1] = Key[i] xor ((CT[j-1] | CT[j]))
    PT[0] = CT[j]
}
```

The ciphertext computed in the 1000th iteration (CT[999]) is the result for each of the 100 2-tuples for each selected key size. This result shall be compared to the result of running 1000 iterations with the same values using a known good implementation.

The evaluator shall test the decrypt functionality using the same test as above, exchanging CT and PT, and replacing AES-CTR-Encrypt with AES-CTR-Decrypt. 198 Note additional design considerations for this mode are addressed in the KMD requirements.

**XTS-AES:** These tests are intended to be equivalent to those described in the NIST document, "The XTS-AES Validation System (XTSVS)," updated 5 Sept 2013, found at <http://csrc.nist.gov/groups/STM/cavp/documents/aes/XTSVS.pdf>

It is not recommended that evaluators use values obtained from static sources such as the XTS-AES test vectors at <http://csrc.nist.gov/groups/STM/cavp/documents/aes/XTSTestVectors.zip> or use values not generated expressly to exercise the XTS-AES implementation.

The evaluator shall generate test values as follows:

For each supported key size (256 bit (for AES-128) and 512 bit (for AES-256) keys), the evaluator shall provide up to five data lengths:

- Two data lengths divisible by the 128-bit block size, If data unit lengths of complete block sizes are supported.
- Two data lengths not divisible by the 128-bit block size, if data unit lengths of partial block sizes are supported.
- The largest data length supported by the implementation, or  $2^{16}$  (65536), whichever is larger.

The evaluator shall specify whether the implementation supports tweak values of 128-bit hexadecimal strings or a data unit sequence numbers, or both.

For each combination of key size and data length, the evaluator shall provide 100 sets of input data and obtain the ciphertext that results from XTS-AES encryption. If both kinds of tweak values are supported then each type of tweak value shall be used in half of every 100 sets of input data, for all combinations of key size and data length. The evaluator shall verify that the resulting ciphertext matches the results from submitting the same inputs to a known-good implementation of XTS-AES.

The evaluator shall test the decrypt functionality of XTS-AES using the same test as for encrypt, replacing plaintext values with ciphertext values and XTS-AES encrypt with XTS- AES decrypt.

The evaluator shall check that the full length keys are created by methods that ensure that the two halves are different and independent.

#### **AES-KWP:**

The tests below are derived from "The Key Wrap Validation System (KWVS), Updated: June 20, 2014" from the National Institute of Standards and Technology.

The evaluator shall test the authenticated-encryption functionality of AES-KWP (KWP-AE) using the same test as for AES-KW authenticated-encryption with the following change in the five plaintext lengths:

- Four lengths that are multiples of 8 bits
- The largest supported length less than or equal to 4096 bits.

The evaluator shall test the authenticated-decryption (KWP-AD) functionality of AES-KWP using the same test as for AES-KWP authenticated-encryption, replacing plaintext values with ciphertext values and AES-KWP authenticatedencryption with AES-KWP authenticated-decryption. For the Authenticated Decryption test, 20 out of the 100 trials per plaintext length have ciphertext values that fail authentication.

Additionally, the evaluator shall perform the following negative tests:

#### **Test 1: (invalid plaintext length):**

Determine the valid plaintext lengths of the implementation from the TOE specification. Verify that the implementation of KWP-AE in the TOE rejects plaintexts of invalid length by testing plaintext of the following lengths: 1) plaintext with length greater than 64 semi-blocks, 2) plaintext with bit-length not divisible by 8, and 3) plaintext with length 0.

**Test 2: (invalid ciphertext length):** Determine the valid ciphertext lengths of the implementation from the TOE specification. Verify that the implementation of KWP-AD in the TOE rejects ciphertexts of invalid length by testing ciphertext of the following lengths: 1) ciphertext with length greater than 65 semi-blocks, 2) ciphertext with bit-length not divisible by 64, 3) ciphertext with length 0, and 4) ciphertext with length of one semi-block.

**Test 3: (invalid ICV2):** Test that the implementation detects invalid ICV2 values by encrypting any plaintext value four times using a different value for ICV2 each time as follows: Start with a base ICV2 of 0xA65959A6. For each of the four tests change a different byte of ICV2 to a different value, so that each of the four bytes is changed once. Verify that the implementation of KWP-AD in the TOE outputs FAIL for each test.

**Test 4: (invalid padding length):** Generate one ciphertext using algorithm KWP-AE with substring  $[\text{len}(P)/8]32$  of S replaced by each of the following 32-bit values, where  $\text{len}(P)$  is the length of P in bits and  $[]32$  denotes the representation of an integer in 32 bits:

- $[0]32$
- $[\text{len}(P)/8-8]32$
- $[\text{len}(P)/8+8]32$
- $[513]32$ .

Verify that the implementation of KWP-AD in the TOE outputs FAIL on those inputs.

### Test 5: (invalid padding bits):

If the implementation supports plaintext of length not a multiple of 64-bits, then

```
for each PAD length [1..7]
  for each byte in PAD set a zero PAD value;
  replace current byte by a non-zero value and use the resulting plaintext
  input to algorithm KWP-AE to generate ciphertexts;
  verify that the implementation of KWP-AD in the TOE outputs FAIL on
  this input.
```

### AES-KW:

The tests below are derived from “The Key Wrap Validation System (KWVS), Updated: June 20, 2014” from the National Institute of Standards and Technology.

The evaluator shall test the authenticated-encryption functionality of AES-KW for each combination of the following input parameters:

- Supported key lengths selected in the ST (e.g. 128 bits, 256 bits)
- Five plaintext lengths:
  - Two lengths that are non-zero multiples of 128 bits (two semi-block lengths)
  - Two lengths that are odd multiples of the semi-block length (64 bits)
  - The largest supported plaintext length less than or equal to 4096 bits.

For each set of the above parameters the evaluator shall generate a set of 100 key and plaintext pairs and obtain the ciphertext that results from AES-KW authenticated encryption. To determine correctness, the evaluator shall compare the results with those obtained from the AES-KW authenticated-encryption function of a known good implementation.

The evaluator shall test the authenticated-decryption functionality of AES-KW using the same test as for authenticated-encryption, replacing plaintext values with ciphertext values and AES-KW authenticated-encryption (KW-AE) with AES-KW authenticated-decryption (KW-AD). For the authenticated-decryption test, 20 out of the 100 trials per plaintext length must have ciphertext values that are not authentic; that is, they fail authentication.

Additionally, the evaluator shall perform the following negative tests:

#### Test 1 (invalid plaintext length):

Determine the valid plaintext lengths of the implementation from the TOE specification. Verify that the implementation of KW-AE in the TOE rejects plaintexts of invalid length by testing plaintext of the following lengths: 1) plaintext length greater than 64 semi-blocks, 2) plaintext bit-length not divisible by 64, 3) plaintext with length 0, and 4) plaintext with one semi-block.

#### Test 2 (invalid ciphertext length):

Determine the valid ciphertext lengths of the implementation from the TOE specification. Verify that the implementation of KW-AD in the TOE rejects ciphertexts of invalid length by testing ciphertext of the following lengths: 1) ciphertext with length greater than 65 semi-blocks, 2) ciphertext with bit-length not divisible by 64, 3) ciphertext with length 0, 4) ciphertext with length of one semiblock, and 5) ciphertext with length of two semi-blocks.

#### Test 3 (invalid ICV1):

222 Test that the implementation detects invalid ICV1 values by encrypting any plaintext value eight times using a different value for ICV1 each time as follows: Start with a base ICV1 of 0xA6A6A6A6A6A6A6A6. For each of the eight tests change a different byte to a different value, so that each of the eight bytes is changed once. Verify that the implementation of KW-AD in the TOE outputs FAIL for each test.

### CAM-CBC:

To test the encrypt and decrypt functionality of Camellia in CBC mode, the evaluator shall perform the tests as specified in 10.2.1.2 of ISO/IEC 18367:2016.

### CAM-CCM:

To test the encrypt functionality of Camellia in CCM mode, the evaluator shall perform the tests as specified in 10.6.1.1 of ISO/IEC 18367:2016.

To test the decrypt functionality of Camellia in CCM mode, the evaluator shall perform the tests as specified in 10.6.1.2 of ISO/IEC 18367:2016.

As a prerequisite for these tests, the evaluator shall perform the test for encrypt functionality of Camellia in ECB mode as specified in 10.2.1.2 of ISO/IEC 18367:2016.

### CAM-GCM:

To test the encrypt functionality of Camellia in GCM, the evaluator shall perform the tests as specified in 10.6.1.1 of ISO/IEC 18367:2016.

To test the decrypt functionality of Camellia in GCM, the evaluator shall perform the tests as specified in 10.6.1.2 of ISO/IEC 18367:2016.

As a prerequisite for these tests, the evaluator shall perform the test for encrypt functionality of Camellia in ECB mode as specified in 10.2.1.2 of ISO/IEC 18367:2016.

#### **XTS-CAM:**

These tests are intended to be equivalent to those described in the IPA document, ATR-01-B, "Specifications of Cryptographic Algorithm Implementation Testing — Symmetric-Key Cryptography", found at [https://www.ipa.go.jp/security/jcmvp/jcmvp\\_e/documents/atr/atr01b\\_en.pdf](https://www.ipa.go.jp/security/jcmvp/jcmvp_e/documents/atr/atr01b_en.pdf).

The evaluator shall generate test values as follows:

For each supported key size (256 bit (for Camellia-128) and 512 bit (for Camellia256) keys), the evaluator shall provide up to five data lengths:

- Two data lengths divisible by the 128-bit block size, If data unit lengths of complete block sizes are supported.
- Two data lengths not divisible by the 128-bit block size, if data unit lengths of partial block sizes are supported.
- The largest data length supported by the implementation, or  $2^{16}$  (65536), whichever is larger.

The evaluator shall specify whether the implementation supports tweak values of 128-bit hexadecimal strings or a data unit sequence numbers, or both.

For each combination of key size and data length, the evaluator shall provide 100 sets of input data and obtain the ciphertext that results from XTS-Camellia encryption. If both kinds of tweak values are supported, 50 of each 100 sets of input data shall use each type of tweak value. The resulting ciphertext shall be compared to the results of a known-good implementation.

As a prerequisite for this test, the evaluator shall perform the test for encrypt functionality of Camellia in ECB mode as specified in 10.2.1.2 of ISO/IEC 18367:2016.

The evaluator shall test the decrypt functionality of XTS-Camellia using the same test as for encrypt, replacing plaintext values with ciphertext values and XTSCamellia encrypt with XTS- Camellia decrypt.

As a prerequisite for this test, the evaluator shall perform the test for decrypt functionality of Camellia in ECB mode as specified in 10.2.1.2 of ISO/IEC 18367:2016.

#### **FCS\_RBG\_EXT.1 Random Bit Generation**

#### **FCS\_SLT\_EXT.1 Cryptographic Salt Generation**

#### **FCS\_STG\_EXT.1 Protected Storage**

#### **FCS\_STG\_EXT.2 Key Storage Encryption**

#### **FCS\_STG\_EXT.3 Key Integrity Protection**

### **2.1.2 User Data Protection**

#### **FDP\_ACC.1 Subset Access Control**

#### **FDP\_ACF.1 Security Attribute Based Access Control**

#### **FCS\_ETC\_EXT.2 Propagation of SDOs**

#### **FDP\_FRS\_EXT.1 Factory Reset**

#### **FDP\_ITC\_EXT.1 Parsing of SDEs**

#### **FDP\_ITC\_EXT.2 Parsing of SDOs**

#### **FDP\_MFW\_EXT.1 Mutable/Immutable Firmware**

#### **FDP\_RIP.1 Subset Residual Information Protection**

#### **FDP\_SDC\_EXT.1 Confidentiality of SDEs**

#### **FDP\_SDI.2 Stored Data Integrity Monitoring and Action**

### **2.1.3 Identification and Authentication**

#### **FIA\_AFL\_EXT.1 Authorization Failure Handling**

#### **FIA\_SOS.2 TSF Generation of Secrets**

#### **FIA\_UAU.2 User Authentication before Any Action**

#### **FIA\_UAU.5 Multiple Authentication Mechanisms**

## 2.2 Evaluation Activities for Optional SFRs

### 2.2.1 Cryptographic Support (FCS)

#### FCS\_CKM.1 Cryptographic Key Generation

##### **TSS**

The evaluator shall examine the TSS to verify that it describes how the TOE obtains a cryptographic key through importation of keys from external sources as specified in FDP\_ITC\_EXT.1 and FDP\_ITC\_EXT.2. The evaluator shall also examine the TSS to determine whether it describes any supported asymmetric or symmetric key generation functionality consistent with the claims made in FCS\_CKM.1.1.

##### **Guidance**

The evaluator shall verify that the guidance instructs the administrator how to configure the TOE to use the selected key types for all uses identified in the ST.

##### **KMD**

The evaluator shall confirm that the KMD describes:

- The parsing interface and how the TSF imports keys for internal use
- The asymmetric key generation interfaces and how the TSF internally creates asymmetric keys, if claimed
- The symmetric key generation interfaces and how the TSF internally creates symmetric keys, if claimed

If the TOE uses the generated key in a key chain/hierarchy then the KMD shall describe how the key is used as part of the key chain/hierarchy.

##### **Tests**

Testing for this function is performed in conjunction with FDP\_ITC\_EXT.1 and FDP\_ITC\_EXT.2. If asymmetric or symmetric key generation functionality is claimed, testing for this function is also performed in conjunction with FCS\_CKM.1/AK or FCS\_CKM.1/SK.

#### FCS\_CKM.1/AK Cryptographic Key Generation (Asymmetric Keys)

#### FCS\_CKM.1/SK Cryptographic Key Generation (Symmetric Encryption Key)

#### FCS\_CKM.1/KEK Cryptographic Key Generation (Key Encryption Key)

##### **TSS**

The evaluator shall examine the key hierarchy section of the TSS to ensure that the formation of all KEKs is described and that the key sizes match that described by the ST author. The evaluator shall examine the key hierarchy section of the TSS to ensure that each KEK encrypts keys of equal or lesser security strength using one of the selected methods.

[conditional] If the KEK is generated according to an asymmetric key scheme, the evaluator shall review the TSS to determine that it describes how the functionality described by FCS\_CKM.1/AK is invoked. The evaluator uses the description of the key generation functionality in FCS\_CKM.1/AK or documentation available for the operational environment to determine that the key strength being requested is greater than or equal to 112 bits.

[conditional] If the KEK is generated according to a symmetric key scheme, the evaluator shall review the TSS to determine that it describes how the functionality described by FCS\_CKM.1/SK is invoked. The evaluator uses the description of the RBG functionality in FCS\_RBG\_EXT.1, or the key derivation functionality in either FCS\_CKM\_EXT.5 or FCS\_COP.1/PBKDF, depending on the key generation method claimed, to determine that the key size being requested is greater than or equal to the key size and mode to be used for the encryption/decryption of the data.

[conditional] If the KEK is formed from derivation, the evaluator shall verify that the TSS describes the method of derivation and that this method is consistent with FCS\_CKM\_EXT.5.

##### **Guidance**

There are no guidance evaluation activities for this component.

##### **KMD**

The evaluator shall iterate through each of the methods selected by the ST and confirm that the KMD describes the applicable selected methods.

##### **Tests**

The evaluator shall iterate through each of the methods selected by the ST and perform all applicable tests from the selected methods.

#### FCS\_CKM.2 Cryptographic Key Establishment

##### **TSS**

The evaluator shall examine the TSS to ensure that ST supports at least one key establishment scheme. The evaluator also ensures that for each key establishment scheme selected by the ST in FCS\_CKM.2.1 it also supports one or more corresponding methods selected in FCS\_COP.1/KAT. If the ST selects RSA in FCS\_CKM.2.1, then the TOE must support one or more of "KAS1," or "KAS2," "KTS-OAEP," from FCS\_COP.1/KAT. If the ST selects elliptic curve-based, then the TOE must support one or more of "ECDH-NIST" or "ECDH-BPC" from FCS\_COP.1/KAT. If the ST selects Diffie-Hellman-based key establishment, then the TOE must support "DH" from FCS\_COP.1/KAT.

### **Guidance**

The evaluator shall verify that the guidance instructs the administrator how to configure the TOE to use the selected key establishment scheme.

### **KMD**

There are no KMD evaluation activities for this component.

### **Tests**

Testing for this SFR is performed under the corresponding functions in FCS\_COP.1/KAT.

## **FCS\_CKM.4 Cryptographic Key Destruction**

### **TSS**

The evaluator shall examine the TSS to ensure it lists all relevant keys and keying material (describing the source of the data, all memory types in which the data is stored (covering storage both during and outside of a session, and both plaintext and non-plaintext forms of the data)), all relevant destruction situations (including the point in time at which the destruction occurs; e.g. factory reset or device wipe function, change of authorization data, change of DEK, completion of use of an intermediate key) and the destruction method used in each case. The evaluator shall confirm that the description of the data and storage locations is consistent with the functions carried out by the TOE (e.g. that all keys in the key chain are accounted for). (Where keys are stored encrypted or wrapped under another key then this may need to be explained in order to allow the evaluator to confirm the consistency of the description of keys with the TOE functions).

The evaluator shall check that the TSS identifies any configurations or circumstances that may not conform to the key destruction requirement (see further discussion in the AGD section below). Note that reference may be made to the AGD for description of the detail of such cases where destruction may be prevented or delayed.

Where the ST specifies the use of “a value that does not contain any sensitive data” to overwrite keys, the evaluator shall examine the TSS to ensure that it describes how that pattern is obtained and used, and that this justifies the claim that the pattern does not contain any sensitive data.

### **Guidance**

The evaluator shall check that the guidance documentation for the TOE requires users to ensure that the TOE remains under the user’s control while a session is active.

A TOE may be subject to situations that could prevent or delay data destruction in some cases. The evaluator shall check that the guidance documentation identifies configurations or circumstances that may not strictly conform to the key destruction requirement, and that this description is consistent with the relevant parts of the TSS (and KMD). The evaluator shall check that the guidance documentation provides guidance on situations where key destruction may be delayed at the physical layer, identifying any additional mitigation actions for the user (e.g. there might be some operation the user can invoke, or the user might be advised to retain control of the device for some particular time to maximise the probability that garbage collection will have occurred).

For example, when the TOE does not have full access to the physical memory, it is possible that the storage may implement wear-levelling and garbage collection. This may result in additional copies of the data that are logically inaccessible but persist physically. Where available, the TOE might then describe use of the TRIM command and garbage collection to destroy these persistent copies upon their deletion (this would be explained in TSS and guidance documentation).

Where TRIM is used then the TSS or guidance documentation is also expected to describe how the keys are stored such that they are not inaccessible to TRIM, (e.g. they would need not to be contained in a file less than 982 bytes which would be completely contained in the master file table).

### **KMD**

The evaluator shall examine the KMD to verify that it identifies and describes the interfaces that are used to service commands to read/write memory. The evaluator shall examine the interface description for each different media type to ensure that the interface supports the selections made by the ST author.

45 The evaluator shall examine the KMD to ensure that all keys and keying material identified in the TSS and KMD have been accounted for.

46 Note that where selections include ‘destruction of reference to the key directly followed by a request for garbage collection’ (for volatile memory) then the evaluator shall examine the KMD to ensure that it explains the nature of the destruction of the reference, the request for garbage collection, and of the garbage collection process itself.

### **Tests**

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

The evaluator shall perform the following tests:

- **Test 1:** Applied to each key or keying material held as plaintext in volatile memory and subject to destruction by overwrite by the TOE (whether or not the plaintext value is subsequently encrypted for storage in volatile or non-volatile memory).

The evaluator shall:

1. Record the value of the key or keying material.
2. Cause the TOE to dump the SDO/SDE memory of the TOE into a binary file.
3. Search the content of the binary file created in Step #2 to locate all instances of the known key value from Step #1.

Note that the primary purpose of Step #3 is to demonstrate that appropriate search commands are being used for Steps #8 and #9.

4. Cause the TOE to perform normal cryptographic processing with the key from Step #1.
5. Cause the TOE to destroy the key.
6. Cause the TOE to stop execution but not exit.
7. Cause the TOE to dump the SDO/SDE memory of the TOE into a binary file.
8. Search the content of the binary file created in Step #7 for instances of the known key value from Step #1.
9. Break the key value from Step #1 into an evaluator-chosen set of fragments and perform a search using each fragment. (Note that the evaluator shall first confirm with the developer how the key is normally stored, in order to choose fragment sizes that are the same or smaller than any fragmentation of the data that may be implemented by the TOE. The endianness or byte-order should also be taken into account in the search.)

Steps #1-8 ensure that the complete key does not exist anywhere in volatile memory. If a copy is found, then the test fails.

Step #9 ensures that partial key fragments do not remain in memory. If the evaluator finds a 32-or-greater-consecutive-bit fragment, then fail immediately. Otherwise, there is a chance that it is not within the context of a key (e.g., some random bits that happen to match). If this is the case the test should be repeated with a different key in Step #1. If a fragment is also found in this repeated run then the test fails unless the developer provides a reasonable explanation for the collision, then the evaluator may give a pass on this test.

- **Test 2:** Applied to each key and keying material held in non-volatile memory and subject to destruction by overwrite by the TOE.
  1. Record the value of the key or keying material.
  2. Cause the TOE to perform normal cryptographic processing with the key from Step #1.
  3. Search the non-volatile memory the key was stored in for instances of the known key value from Step #1.

Note that the primary purpose of Step #3 is to demonstrate that appropriate search commands are being used for Steps #5 and #6.

4. Cause the TOE to clear the key.
5. Search the non-volatile memory in which the key was stored for instances of the known key value from Step #1. If a copy is found, then the test fails.
6. Break the key value from Step #1 into an evaluator-chosen set of fragments and perform a search using each fragment. (Note that the evaluator shall first confirm with the developer how the key is normally stored, in order to choose fragment sizes that are the same or smaller than any fragmentation of the data that may be implemented by the TOE. The endianness or byte-order should also be taken into account in the search.)

Step #6 ensures that partial key fragments do not remain in non-volatile memory. If the evaluator finds a 32-or-greater-consecutive-bit fragment, then fail immediately. Otherwise, there is a chance that it is not within the context of a key (e.g., some random bits that happen to match). If this is the case the test should be repeated with a different key in Step #1. If a fragment is also found in this repeated run then the test fails unless the developer provides a reasonable explanation for the collision, then the evaluator may give a pass on this test.

- **Test 3:** Applied to each key and keying material held in non-volatile memory and subject to destruction by overwrite by the TOE.
  1. Record memory of the key or keying material.
  2. Cause the TOE to perform normal cryptographic processing with the key from Step #1.
  3. Cause the TOE to clear the key. Record the value to be used for the overwrite of the key.
  4. Examine the memory from Step #1 to ensure the appropriate pattern (recorded in Step #3) is used.The test succeeds if correct pattern is found in the memory location. If the pattern is not found, then the test fails.

## **FCS\_CKM\_EXT.4 Cryptographic Key and Key Material Destruction Timing**

### **TSS**

The evaluator shall verify the TSS provides a high-level description of what it means for keys and key material to be no longer needed and when this data should be expected to be destroyed.

### **Guidance**

There are no guidance evaluation activities for this component.

### **KMD**

The evaluator shall verify that the KMD includes a description of the areas where keys and key material reside and when this data is no longer needed.

The evaluator shall verify that the KMD includes a key lifecycle that includes a description where key materials reside, how the key materials are used, how it is determined that keys and key material are no longer needed, and how the data is destroyed once it is no longer needed. The evaluator shall also verify that all key destruction operations are performed in a manner specified by FCS\_CKM.4.

### **Tests**

There are no test evaluation activities for this component

## **FCS\_CKM\_EXT.5 Cryptographic Key Derivation**

## **FCS\_COP.1/Hash Cryptographic Operation (Hashing)**

### **TSS**

The evaluator shall check that the association of the hash function with other TSF cryptographic functions

(for example, the digital signature verification function) is documented in the TSS. The evaluator shall also check that the TSS identifies whether the implementation is bit-oriented or byte-oriented.

#### **Guidance**

The evaluator checks the AGD documents to determine that any configuration that is required to configure the required hash sizes is present. The evaluator also checks the AGD documents to confirm that the instructions for establishing the evaluated configuration use only those hash algorithms selected in the ST.

#### **KMD**

There are no KMD evaluation activities for this component.

#### **Tests**

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

### **SHA-1 and SHA-2 Tests**

The tests below are derived from the “The Secure Hash Algorithm Validation System (SHAVS), Updated: May 21, 2014” from the National Institute of Standards and Technology.

The TSF hashing functions can be implemented with one of two orientations. The first is a byte-oriented implementation: this hashes messages that are an integral number of bytes in length (i.e., the length (in bits) of the message to be hashed is divisible by 8). The second is a bit-oriented implementation: this hashes messages of arbitrary length. Separate tests for each orientation are given below.

The evaluator shall perform all of the following tests for each hash algorithm and orientation implemented by the TSF and used to satisfy the requirements of this PP. The evaluator shall compare digest values produced by a known-good SHA implementation against those generated by running the same values through the TSF.

#### **Short Messages Test, Bit-oriented Implementation**

The evaluators devise an input set consisting of  $m+1$  messages, where  $m$  is the block length of the hash algorithm in bits (see SHA Properties Table). The length of the messages ranges sequentially from 0 to  $m$  bits. The message text shall be pseudorandomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

#### **Short Messages Test, Byte-oriented Implementation**

The evaluators devise an input set consisting of  $m/8+1$  messages, where  $m$  is the block length of the hash algorithm in bits (see SHA Properties Table). The length of the messages ranges sequentially from 0 to  $m/8$  bytes, with each message being an integral number of bytes. The message text shall be pseudo-randomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

#### **Selected Long Messages Test, Bit-oriented Implementation**

The evaluators devise an input set consisting of  $m$  messages, where  $m$  is the block length of the hash algorithm in bits (see SHA Properties Table). The length of the  $i$ th message is  $m + 99*i$ , where  $1 \leq i \leq m$ . The message text shall be pseudorandomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

#### **Selected Long Messages Test, Byte-oriented Implementation**

The evaluators devise an input set consisting of  $m/8$  messages, where  $m$  is the block length of the hash algorithm in bits (see SHA Properties Table). The length of the  $i$ th message is  $m + 8*99*i$ , where  $1 \leq i \leq m/8$ . The message text shall be pseudorandomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

#### **Pseudo-randomly Generated Messages Test**

The evaluators randomly generate a seed that is  $n$  bits long, where  $n$  is the length of the message digest produced by the hash function to be tested. The evaluators then formulate a set of 100 messages and associated digests by following the algorithm provided in Figure 1 of SHAVS, section 6.4. The evaluators then ensure that the correct result is produced when the messages are provided to the TSF.

### **SHA-3 Tests**

The tests below are derived from the The Secure Hash Algorithm-3 Validation System (SHA3VS), Updated: April 7, 2016, from the National Institute of Standards and Technology.

For each SHA-3-XXX implementation, XXX represents  $d$ , the digest length in bits. The capacity,  $c$ , is equal to  $2d$  bits. The rate is equal to  $1600-c$  bits.

65 The TSF hashing functions can be implemented with one of two orientations. The first is a bit-oriented mode that hashes messages of arbitrary length. The second is a byte-oriented mode that hashes messages that are an integral number of bytes in length (i.e., the length (in bits) of the message to be hashed is divisible by 8). Separate tests for each orientation are given below.

The evaluator shall perform all of the following tests for each hash algorithm and orientation implemented by the TSF and used to satisfy the requirements of this PP. The evaluator shall compare digest values produced by a known-good SHA-3 implementation against those generated by running the same values through the TSF.

#### **Short Messages Test, Bit-oriented Mode**

The evaluators devise an input set consisting of  $rate+1$  short messages. The length of the messages ranges sequentially from 0 to  $rate$  bits. The message text shall be pseudo-randomly generated. The evaluators



compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF. The message of length 0 is omitted if the TOE does not support zero-length messages.

### **Short Messages Test, Byte-oriented Mode**

The evaluators devise an input set consisting of  $\text{rate}/8+1$  short messages. The length of the messages ranges sequentially from 0 to  $\text{rate}/8$  bytes, with each message being an integral number of bytes. The message text shall be pseudo-randomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF. The message of length 0 is omitted if the TOE does not support zero-length messages.

### **Selected Long Messages Test, Bit-oriented Mode**

The evaluators devise an input set consisting of 100 long messages ranging in size from  $\text{rate}+(\text{rate}+1)$  to  $\text{rate}+(100*(\text{rate}+1))$ , incrementing by  $\text{rate}+1$ . (For example, SHA-3-256 has a rate of 1088 bits. Therefore, 100 messages will be generated with lengths 2177, 3266, ..., 109988 bits.) The message text shall be pseudo-randomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

### **Selected Long Messages Test, Byte-oriented Mode**

The evaluators devise an input set consisting of 100 messages ranging in size from  $(\text{rate}+(\text{rate}+8))$  to  $(\text{rate}+100*(\text{rate}+8))$ , incrementing by  $\text{rate}+8$ . (For example, SHA-3-256 has a rate of 1088 bits. Therefore 100 messages will be generated with lengths 2184, 3280, 4376, ..., 110688 bits.) The message text shall be pseudorandomly generated. The evaluators compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.

### **Pseudo-randomly Generated Messages Monte Carlo) Test, Byte-oriented Mode**

The evaluators supply a seed of  $d$  bits (where  $d$  is the length of the message digest produced by the hash function to be tested. This seed is used by a pseudorandom function to generate 100,000 message digests. One hundred of the digests (every 1000th digest) are recorded as checkpoints. The TOE then uses the same procedure to generate the same 100,000 message digests and 100 checkpoint values. The evaluators then compare the results generated ensure that the correct result is produced when the messages are generated by the TSF.

## **FCS\_COP.1/HMAC Cryptographic Operation (Keyed Hash)**

### **TSS**

The evaluator shall examine the TSS to ensure that it specifies the following values used by the HMAC and KMAC functions: output MAC length used.

### **Guidance**

There are no guidance evaluation activities for this component.

### **KMD**

There are no KMD evaluation activities for this component.

### **Tests**

The following test requires the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

This test is derived from The Keyed-Hash Message Authentication Code Validation System (HMACVS), updated 6 May 2016.

The evaluator shall provide 15 sets of messages and keys for each selected hash algorithm and hash length/key size/MAC size combination. The evaluator shall have the TSF generate HMAC or KMAC tags for these sets of test data. The evaluator shall verify that the resulting HMAC or KMAC tags match the results from submitting the same inputs to a known-good implementation of the HMAC or KMAC function, having the same characteristics.

## **FCS\_COP.1/KAT Cryptographic Operation (Key Agreement/Transport)**

### **TSS**

The evaluator shall ensure that the selected RSA and ECDH key agreement/transport schemes correspond to the key generation schemes selected in FCS\_CKM.1/AK, and the key establishment schemes selected in FCS\_CKM.2 If the ST selects DH, the TSS shall describe how the implementation meets the relevant sections of RFC 3526 (Section 3-7) and RFC 7919 (Appendices A.1-A.5). If the ST selects ECIES, the TSS shall describe the key sizes and algorithms (e.g. elliptic curve point multiplication, ECDH with either NIST or Brainpool curves, AES in a mode permitted by FCS\_COP.1/SKC, a SHA-2 hash algorithm permitted by FCS\_COP.1/Hash, and a MAC algorithm permitted by FCS\_COP.1/HMAC) that are supported for the ECIES implementation.

The evaluator shall ensure that, for each key agreement/transport scheme, the size of the derived keying material is at least the same as the intended strength of the key agreement/transport scheme, and where feasible this should be twice the intended security strength of the key agreement/transport scheme.

Table 2 of NIST SP 800-57 identifies the key strengths for the different algorithms that can be used for the various key agreement/transport schemes.

### **Guidance**

There are no guidance evaluation activities for this component.

### **KMD**

There are no KMD evaluation activities for this component.

### **Tests**

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

The evaluator shall verify the implementation of the key generation routines of the supported schemes using the following tests:

**If ECDH-NIST or ECDH-BPC is claimed:**

**SP800-56A Key Agreement Schemes**

The evaluator shall verify a TOE's implementation of SP800-56A key agreement schemes using the following Function and Validity tests. These validation tests for each key agreement scheme verify that a TOE has implemented the components of the key agreement scheme according to the specifications in the Recommendation. These components include the calculation of the DLC primitives (the shared secret value Z) and the calculation of the derived keying material (DKM) via the Key Derivation Function (KDF). If key confirmation is supported, the evaluator shall also verify that the components of key confirmation have been implemented correctly, using the test procedures described below. This includes the parsing of the DKM, the generation of MACdata and the calculation of MACTag.

*Function Test*

The Function test verifies the ability of the TOE to implement the key agreement schemes correctly. To conduct this test the evaluator shall generate or obtain test vectors from a known good implementation of the TOE supported schemes. For each supported key agreement scheme-key agreement role combination, KDF type, and, if supported, key confirmation role-key confirmation type combination, the tester shall generate 10 sets of test vectors. The data set consists of one set of domain parameter values (FFC) or the NIST approved curve (ECC) per 10 sets of public keys. These keys are static, ephemeral or both depending on the scheme being tested.

The evaluator shall obtain the DKM, the corresponding TOE's public keys (static or ephemeral), the MAC tags, and any inputs used in the KDF, such as the Other Information field OI and TOE id fields.

If the TOE does not use a KDF defined in SP 800-56A, the evaluator shall obtain only the public keys and the hashed value of the shared secret.

The evaluator shall verify the correctness of the TSF's implementation of a given scheme by using a known good implementation to calculate the shared secret value, derive the keying material DKM, and compare hashes or MAC tags generated from these values.

If key confirmation is supported, the TSF shall perform the above for each implemented approved MAC algorithm.

*Validity Test*

The Validity test verifies the ability of the TOE to recognize another party's valid and invalid key agreement results with or without key confirmation. To conduct this test, the evaluator shall obtain a list of the supporting cryptographic functions included in the SP800-56A key agreement implementation to determine which errors the TOE should be able to recognize. The evaluator generates a set of 24 (FFC) or 30 (ECC) test vectors consisting of data sets including domain parameter values or NIST approved curves, the evaluator's public keys, the TOE's public/private key pairs, MACTag, and any inputs used in the KDF, such as the other info and TOE id fields.

The evaluator shall inject an error in some of the test vectors to test that the TOE recognizes invalid key agreement results caused by the following fields being incorrect: the shared secret value Z, the DKM, the other information field OI, the data to be MACed, or the generated MACTag. If the TOE contains the full or partial (only ECC) public key validation, The evaluator shall also individually inject errors in both parties' static public keys, both parties' ephemeral public keys and the TOE's static private key to assure the TOE detects errors in the public key validation function or the partial key validation function (in ECC only). At least two of the test vectors shall remain unmodified and therefore should result in valid key agreement results (they should pass).

The TOE shall use these modified test vectors to emulate the key agreement scheme using the corresponding parameters. The evaluator shall compare the TOE's results with the results using a known good implementation verifying that the TOE detects these errors.

**If KAS1, KAS2, KTS-OAEP, or RSAES-PKCS1-v1\_5 is claimed:**

**SP800-56B and PKCS#1 Key Establishment Schemes**

If the TOE acts as a sender, the following evaluation activity shall be performed to ensure the proper operation of every TOE supported combination of RSA-based key establishment scheme:

To conduct this test the evaluator shall generate or obtain test vectors from a known good implementation of the TOE supported schemes. For each combination of supported key establishment scheme and its options (with or without key confirmation if supported, for each supported key confirmation MAC function if key confirmation is supported, and for each supported mask generation function if KTS-OAEP is supported), the tester shall generate 10 sets of test vectors. Each test vector shall include the RSA public key, the plaintext keying material, any additional input parameters if applicable, the MacKey and MacTag if key confirmation is incorporated, and the outputted ciphertext. For each test vector, the evaluator shall perform a key establishment encryption operation on the TOE with the same inputs (in cases where key confirmation is incorporated, the test shall use the MacKey from the test vector instead of the randomly generated MacKey used in normal operation) and ensure that the outputted ciphertext is equivalent to the ciphertext in the test vector.

If the TOE acts as a receiver, the following evaluation activities shall be performed to ensure the proper operation of every TOE supported combination of RSA-based key establishment scheme:

To conduct this test the evaluator shall generate or obtain test vectors from a known good implementation of the TOE supported schemes. For each combination of supported key establishment scheme and its options (with or without key confirmation if supported, for each supported key confirmation MAC function if key confirmation is supported, and for each supported mask generation function if KTSOAEP is supported), the tester shall generate 10 sets of test vectors. Each test vector shall include the RSA private key, the plaintext keying material (KeyData), any additional input parameters if applicable, the MacTag in cases where key confirmation is incorporated, and the outputted ciphertext. For each test vector, the evaluator shall perform the key establishment decryption operation on the TOE and ensure that the outputted plaintext keying material (KeyData) is equivalent to the plain text keying material in the test vector. In cases where key confirmation is incorporated, the evaluator shall perform the key confirmation steps and ensure that the outputted MacTag is equivalent to the MacTag in the test vector.

The evaluator shall ensure that the TSS describes how the TOE handles decryption errors. In accordance with NIST Special Publication 800-56B, the TOE must not reveal the particular error that occurred, either through the contents of any outputted or logged error message or through timing variations. If KTS-OAEP is supported, the evaluator shall create separate contrived ciphertext values that trigger each of the three decryption error checks described in NIST Special Publication 800-56B section 7.2.2.3, ensure that each decryption attempt results in an error, and ensure that any outputted or logged error message is identical for each.

#### **DH:**

The evaluator shall verify the correctness of each TSF implementation of each supported Diffie-Hellman group by comparison with a known good implementation.

#### **Curve25519:**

The evaluator shall verify a TOE's implementation of the key agreement scheme using the following Function and Validity tests. These validation tests for each key agreement scheme verify that a TOE has implemented the components of the key agreement scheme according to the specification. These components include the calculation of the shared secret K and the hash of K.

#### **Function Test**

The Function test verifies the ability of the TOE to implement the key agreement schemes correctly. To conduct this test the evaluator shall generate or obtain test vectors from a known good implementation of the TOE supported schemes. For each supported key agreement role and hash function combination, the tester shall generate 10 sets of public keys. These keys are static, ephemeral or both depending on the scheme being tested.

The evaluator shall obtain the shared secret value K, and the hash of K. The evaluator shall verify the correctness of the TSF's implementation of a given scheme by using a known good implementation to calculate the shared secret value K and compare the hash generated from this value.

#### **Validity Test**

The Validity test verifies the ability of the TOE to recognize another party's valid and invalid key agreement results. To conduct this test, the evaluator generates a set of 30 test vectors consisting of data sets including the evaluator's public keys and the TOE's public/private key pairs.

The evaluator shall inject an error in some of the test vectors to test that the TOE recognizes invalid key agreement results caused by the following fields being incorrect: the shared secret value K or the hash of K. At least two of the test vectors shall remain unmodified and therefore should result in valid key agreement results (they should pass).

The TOE shall use these modified test vectors to emulate the key agreement scheme using the corresponding parameters. The evaluator shall compare the TOE's results with the results using a known good implementation verifying that the TOE detects these errors.

#### **ECIES:**

The evaluator shall verify the correctness of each TSF implementation of each supported use of ECIES by comparison with a known good implementation.

#### **FCS\_COP.1/KeyEnc Cryptographic Operation (Key Encryption)**

##### **TSS**

The evaluator shall examine the TSS to ensure that it identifies whether the implementation of this cryptographic operation for key encryption (including key lengths and modes) is an implementation that is tested in FCS\_COP.1/SKC.

The evaluator shall check that the TSS includes a description of the key wrap functions and shall check that this uses a key wrap algorithm and key sizes according to the specification selected in the ST out of the table as provided in the cPP table.

##### **Guidance**

The evaluator checks the AGD documents to confirm that the instructions for establishing the evaluated configuration use only those key wrap functions selected in the ST. If multiple key access modes are supported, the evaluator shall examine the guidance documentation to determine that the method of choosing a specific mode/key size by the end user is described.

### **KMD**

The evaluator shall examine the KMD to ensure that it describes when the key wrapping occurs, that the KMD description is consistent with the description in the TSS, and that for all keys that are wrapped the TOE uses a method as described in the cPP table. No uncertainty should be left over which is the wrapping key and the key to be wrapped and where the wrapping key potentially comes from i.e. is derived from.

If "AES-GCM" or "AES-CCM" is used the evaluator shall examine the KMD to ensure that it describes how the IV is generated and that the same IV is never reused to encrypt different plaintext pairs under the same key. Moreover in the case of GCM, he must ensure that, at each invocation of GCM, the length of the plaintext is at most  $(2^{32}-2)$  blocks.

### **Tests**

Refer to FCS\_COP.1/SKC for the required testing for each symmetric key wrapping method selected from the table and to FCS\_COP.1/KAT for the required testing for each asymmetric key wrapping method selected from the table. Each distinct implementation shall be tested separately.

If the implementation of the key encryption operation is the same implementation tested under FCS\_COP.1/SKC or FCS\_COP.1/KAT, and it has been tested with the same key lengths and modes, then no further testing is required. If key encryption uses a different implementation, (where "different implementation" includes the use of different key lengths or modes), then the evaluator shall additionally test the key encryption implementation using the corresponding tests specified for FCS\_COP.1/SKC or FCS\_COP.1/KAT.

## **FCS\_COP.1/pbkdf Cryptographic Operation (Password-Based Key Derivation Functions)**

## **FCS\_COP.1/SigGen Cryptographic Operation (Signature Generation)**

### **TSS**

The evaluator shall examine the TSS to ensure that all signature generation functions use the approved algorithms and key sizes.

### **Guidance**

There are no AGD evaluation activities for this component.

### **KMD**

There are no KMD evaluation activities for this component.

### **Tests**

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

Each section below contains tests the evaluators must perform for each selected digital signature scheme. Based on the assignments and selections in the requirement, the evaluators choose the specific activities that correspond to those selections.

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are not found on the TOE in its evaluated configuration.

### **If SigGen1: RSASSA-PKCS1-v1\_5 or SigGen4: RSASSA-PSS is claimed:**

The below test is derived from The 186-4 RSA Validation System (RSA2VS). Updated 8 July 2014, Section 6.3, from the National Institute of Standards and Technology.

To test the implementation of RSA signature generation the evaluator uses the system under test to generate signatures for 10 messages for each combination of modulus size and SHA algorithm. The evaluator then uses a known-good implementation and the associated public keys to verify the signatures.

### **If SigGen2: Digital Signature Scheme 2 (DSS2) or SigGen3: Digital Signature Scheme 3 (DSS3):**

To test the implementation of DSS2/3 signature generation the evaluator uses the system under test to generate signatures for 10 messages for each combination of SHA algorithm, hash size and key size. The evaluator then uses a known-good implementation and the associated public keys to verify the signatures.

### **If SigGen5: ECDSA is claimed:**

The below test is derived from The FIPS 186-4 Elliptic Curve Digital Signature Algorithm Validation System (ECDSA2VS). Updated 18 March 2014, Section 6.4, from the National Institute of Standards and Technology.

To test the implementation of ECDSA signature generation the evaluator uses the system under test to generate signatures for 10 messages for each combination of curve, SHA algorithm, hash size, and key size. The evaluator then uses a known-good implementation and the associated public keys to verify the signatures.

## **FCS\_COP.1/SigVer Cryptographic Operation (Signature Verification)**

### **TSS**

The evaluator shall check the TSS to ensure that it describes the overall flow of the signature verification. This should at least include identification of the format and general location (e.g., "firmware on the hard drive device" rather than "memory location 0x00007A4B") of the data to be used in verifying the digital signature; how the data received from the operational environment are brought onto the device; and any processing that is performed that is not part of the digital signature algorithm (for instance, checking of certificate revocation lists).

### **Guidance**

There are no AGD evaluation activities for this component.

### **KMD**

There are no KMD evaluation activities for this component.

### Tests

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

Each section below contains tests the evaluators must perform for each selected digital signature scheme. Based on the assignments and selections in the requirement, the evaluators choose the specific activities that correspond to those selections.

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are not found on the TOE in its evaluated configuration.

### **SigVer1: RSASSA-PKCS1-v1\_5 and SigVer4: RSASSA-PSS**

These tests are derived from The 186-4 RSA Validation System (RSA2VS), updated 8 Jul 2014, Section 6.4.

The FIPS 186-4 RSA Signature Verification Test tests the ability of the TSF to recognize valid and invalid signatures. The evaluator shall provide a modulus and three associated key pairs (d, e) for each combination of selected SHA algorithm, modulus size and hash size. Each private key d is used to sign six pseudorandom messages each of 1024 bits. For five of the six messages, the public key (e), message, IR format, padding, or signature is altered so that signature verification should fail. The test passes only if all the signatures made using unaltered parameters result in successful signature verification, and all the signatures made using altered parameters result in unsuccessful signature verification.

### **SigVer5: ECDSA on NIST and Brainpool Curves**

These tests are derived from The FIPS 186-4 Elliptic Curve Digital Signature Algorithm Validation System (ECDSA2VS), updated 18 Mar 2014, Section 6.5.

The FIPS 186-4 ECC Signature Verification Test tests the ability of the TSF to recognize valid and invalid signatures. The evaluator shall provide a modulus and associated key pair (x, y) for each combination of selected curve, SHA algorithm, modulus size, and hash size. Each private key (x) is used to sign 15 pseudorandom messages of 1024 bits. For eight of the fifteen messages, the message, IR format, padding, or signature is altered so that signature verification should fail. The test passes only if all the signatures made using unaltered parameters result in successful signature verification, and all the signatures made using altered parameters result in unsuccessful signature verification.

### **SigVer2: Digital Signature Scheme 2**

The following or equivalent steps shall be taken to test the TSF.

For each supported modulus size, underlying hash algorithm, and length of the trailer field (1- or 2-byte), the evaluator shall generate NT sets of recoverable message (M1), non-recoverable message (M2), salt, public key and signature ( $\Sigma$ ).

1.  $N_T$  shall be greater than or equal to 20.
2. The length of salts shall be selected from its supported length range of salt. The typical length of salt is equal to the output block length of underlying hash algorithm (see 9.2.2 of ISO/IEC 9796-2:2010).
3. The length of recoverable messages should be selected by considering modulus size, output block length of underlying hash algorithm, and length of salt ( $L_S$ ). As described in Annex D of ISO/IEC 9796-2:2010, it is desirable to maximise the length of recoverable message. The following table shows the maximum bit-length of recoverable message that is divisible by 512, for some combinations of modulus size, underlying hash algorithm, and length of salt. None that 2-byte trailer field is assumed in calculating the maximum length of recoverable message

Maximum length of recoverable message divisible by 512 (bits)	Modulus size (bits)	Underlying hash algorithm (bits)	Length of salt $L_S$ (bits)
1536	2048	SHA-256	128
1024			256
1024		SHA-512	128
1024			256
512	3072	SHA-256	512
2560			128
2048		SHA-512	256
2048			128
2048	1536	SHA-512	256
1536			512

4. The length of non-recoverable messages should be selected by considering the underlying hash algorithm and usages. If the TSF is used for verifying the authenticity of software/firmware updates, the

length of non-recoverable messages should be selected greater than or equal to 2048-bit. With this length range, it means that the underlying hash algorithm is also tested for two or more input blocks.

5. The evaluator shall select approximately one half of  $N_T$  sets and shall alter one of the values (non-recoverable message, public key exponent or signature) in the sets. In altering public key exponent, the evaluator shall alter the public key exponent while keeping the exponent odd. In altering signatures, the following ways should be considered:
  - a. Altering a signature just by replacing a bit in the bit-string representation of the signature
  - b. Altering a signature so that the trailer in the message representative cannot be interpreted. This can be achieved by following ways:
    - Setting the rightmost four bits of the message representative to the values other than '1100'.
    - In the case when 1-byte trailer is used, setting the rightmost byte of the message representative to the values other than '0xbc', while keeping the rightmost four bits to '1100'.
    - In the case when 2-byte trailer is used, setting the rightmost byte of the message representative to the values other than '0xcc', while keeping the rightmost four bits to '1100'.
  - c. In the case when 2-byte trailer is used, altering a signature so that the hash algorithm identifier in the trailer (i.e. the left most byte of the trailer) does not correspond to hash algorithms identified in the SFR. The hash algorithm identifiers are 0x34 for SHA-256 (see Clause 10 of ISO/IEC 10118-3:2018), and 0x35 for SHA-512 (see Clause 11 of ISO/IEC 10118-3:2018).
  - d. Let  $L_S$  be the length of salt, altering a signature so that the intermediate bit string  $D$  in the message representative is set to all zeroes except for the rightmost  $L_S$  bits of  $D$ .
  - e. (non-conformant signature length) Altering a signature so that the length of signature  $\Sigma$  is changed to modulus size and the most significant bit of signature  $\Sigma$  is set equal to '1'.
  - f. (non-conformant signature) Altering a signature so that the integer converted from signature  $\Sigma$  is greater than modulus  $n$ .

The evaluator shall supply the NT sets to the TSF and obtain in response a set of NT Verification-Success or Verification-Fail values. When the VerificationSuccess is obtained, the evaluator shall also obtain recovered message (M 1\*).

The evaluator shall verify that Verification-Success results correspond to the unaltered sets and Verification-Fail results correspond to the altered sets.

For each recovered message, the evaluator shall compare the recovered message (M1\*) with the corresponding recoverable message (M 1) in the unaltered sets.

The test passes only if all the signatures made using unaltered sets result in Verification-Success, each recovered message (M 1\*) is equal to corresponding M 1 in the unaltered sets, and all the signatures made using altered sets result in Verification-Fail.

### **SigVer3: Digital Signature Scheme 3**

The evaluator shall perform the test described in SigVer2: Digital Signature Scheme 2 while using a fixed salt for NT sets.

## **FCS\_COP.1/SKC Cryptographic Operation (Symmetric Key Cryptography)**

### **TSS**

The evaluator shall check that the TSS includes a description of encryption functions used for symmetric key encryption. The evaluator should check that this description of the selected encryption function includes the key sizes and modes of operations as specified in the cPP table 9 "Supported Methods for Symmetric Key Cryptography Operation."

The evaluator shall check that the TSS describes the means by which the TOE satisfies constraints on algorithm parameters included in the selections made for 'cryptographic algorithm' and 'list of standards'.

### **Guidance**

If the product supports multiple modes, the evaluator shall examine the vendor's documentation to determine that the method of choosing a specific mode/key size by the end user is described.

### **KMD**

The evaluator shall examine the KMD to ensure that the points at which symmetric key encryption and decryption occurs are described, and that the complete data path for symmetric key encryption is described. The evaluator checks that this description is consistent with the relevant parts of the TSS.

Assessment of the complete data path for symmetric key encryption includes confirming that the KMD describes the data flow from the device's host interface to the device's non-volatile memory storing the data, and gives information enabling the user data datapath to be distinguished from those situations in which data bypasses the data encryption engine (e.g. read-write operations to an unencrypted Master Boot Record area). The evaluator shall ensure that the documentation of the data path is detailed enough that it thoroughly describes the parts of the TOE that the data passes through (e.g. different memory types, processors and co-processors), its encryption state (i.e. encrypted or unencrypted) in each part, and any places where the data is stored. For example, any caching or buffering of the data should be identified and distinguished from the final destination in non-volatile memory (the latter represents the location from which the host will expect to retrieve the data in future).

If support for AES-CTR is claimed and the counter value source is internal to the TOE, the evaluator shall verify that the KMD describes the internal counter mechanism used to ensure that it provides unique counter block values.

### **Tests**

The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

The following tests are conditional based upon the selections made in the SFR. The evaluator shall perform the following test or witness respective tests executed by the developer. The tests must be executed on a platform that is as close as practically possible to the operational platform (but which may be instrumented in terms of, for example, use of a debug mode). Where the test is not carried out on the TOE itself, the test platform shall be identified and the differences between test environment and TOE execution environment shall be described.

Preconditions for testing:

- Specification of keys as input parameter to the function to be tested
- specification of required input parameters such as modes
- Specification of user data (plaintext)
- Tapping of encrypted user data (ciphertext) directly in the non-volatile memory

### **AES-CBC:**

For the AES-CBC tests described below, the plaintext, ciphertext, and IV values shall consist of 128-bit blocks. To determine correctness, the evaluator shall compare the resulting values to those obtained by submitting the same inputs to a known-good implementation.

These tests are intended to be equivalent to those described in NIST's AES Algorithm Validation Suite (AESAVS) ( <http://csrc.nist.gov/groups/STM/cavp/documents/aes/AESAVS.pdf>). It is not recommended that evaluators use values obtained from static sources such as the example NIST's AES Known Answer Test Values from the AESAVS document, or use values not generated expressly to exercise the AES-CBC implementation.

### **AES-CBC Known Answer Tests**

**KAT-1 (GFSBox):** To test the encrypt functionality of AES-CBC, the evaluator shall supply a set of five different plaintext values for each selected key size and obtain the ciphertext value that results from AES-CBC encryption of the given plaintext using a key value of all zeros and an IV of all zeros.

To test the decrypt functionality of AES-CBC, the evaluator shall supply a set of five different ciphertext values for each selected key size and obtain the plaintext value that results from AES-CBC decryption of the given ciphertext using a key value of all zeros and an IV of all zeros.

**KAT-2 (KeySBox):** To test the encrypt functionality of AES-CBC, the evaluator shall supply a set of five different key values for each selected key size and obtain the ciphertext value that results from AES-CBC encryption of an all-zeros plaintext using the given key value and an IV of all zeros.

To test the decrypt functionality of AES-CBC, the evaluator shall supply a set of five different key values for each selected key size and obtain the plaintext that results from AES-CBC decryption of an all-zeros ciphertext using the given key and an IV of all zeros.

**KAT-3 (Variable Key):** To test the encrypt functionality of AES-CBC, the evaluator shall supply a set of keys for each selected key size (as described below) and obtain the ciphertext value that results from AES encryption of an all-zeros plaintext using each key and an IV of all zeros.

Key  $i$  in each set shall have the leftmost  $i$  bits set to ones and the remaining bits to zeros, for values of  $i$  from 1 to the key size. The keys and corresponding ciphertext are listed in AESAVS, Appendix E.

To test the decrypt functionality of AES-CBC, the evaluator shall use the same keys as above to decrypt the ciphertext results from above. Each decryption should result in an all-zeros plaintext.

**KAT-4 (Variable Text):** To test the encrypt functionality of AES-CBC, for each selected key size, the evaluator shall supply a set of 128-bit plaintext values (as described below) and obtain the ciphertext values that result from AES-CBC encryption of each plaintext value using a key of each size and IV consisting of all zeros.

Plaintext value  $i$  shall have the leftmost  $i$  bits set to ones and the remaining bits set to zeros, for values of  $i$  from 1 to 128. The plaintext values are listed in AESAVS, Appendix D.

To test the decrypt functionality of AES-CBC, for each selected key size, use the plaintext values from above as ciphertext input, and AES-CBC decrypt each ciphertext value using key of each size consisting of all zeros and an IV of all zeros.

### **AES-CBC Multi-Block Message Test**

The evaluator shall test the encrypt functionality by encrypting nine  $i$ -block messages for each selected key size, for  $2 \leq i \leq 10$ . For each test, the evaluator shall supply a key, an IV, and a plaintext message of length  $i$  blocks, and encrypt the message using AES-CBC. The resulting ciphertext values shall be compared to the results of encrypting the plaintext messages using a known good implementation.

The evaluator shall test the decrypt functionality by decrypting nine  $i$ -block messages for each selected key size, for  $2 \leq i \leq 10$ . For each test, the evaluator shall supply a key, an IV, and a ciphertext message of length  $i$  blocks, and decrypt the message using AES-CBC. The resulting plaintext values shall be compared to the results of decrypting the ciphertext messages using a known good implementation.

### **AES-CBC Monte Carlo Tests**

The evaluator shall test the encrypt functionality for each selected key size using 100 3-tuples of pseudo-random values for plaintext, IVs, and keys.

The evaluator shall supply a single 3-tuple of pseudo-random values for each selected key size. This 3-tuple of plaintext, IV, and key is provided as input to the below algorithm to generate the remaining 99 3-tuples, and to run each 3-tuple through 1000 iterations of AES-CBC encryption.

```

# Input: PT, IV, Key
Key[0] = Key
IV[0] = IV
PT[0] = PT
for i = 0 to 99 {
    Output Key[i], IV[i], PT[0]
    for j = 0 to 999 {
        if (j == 0) {
            CT[j] = AES-CBC-Encrypt(Key[i], IV[i], PT[j])
            PT[j+1] = IV[i]
        } else {
            CT[j] = AES-CBC-Encrypt(Key[i], PT[j])
            PT[j+1] = CT[j-1]
        }
    }
    Output CT[j]
    If (KeySize == 128) Key[i+1] = Key[i] xor CT[j]
    If (KeySize == 192) Key[i+1] = Key[i] xor (last 64 bits of CT[j-1] || C
    If (KeySize == 256) Key[i+1] = Key[i] xor ((CT[j-1] | CT[j])
    IV[i+1] = CT[j]
    PT[0] = CT[j-1]
}

```

The ciphertext computed in the 1000th iteration (CT[999]) is the result for each of the 100 3-tuples for each selected key size. This result shall be compared to the result of running 1000 iterations with the same values using a known good implementation.

The evaluator shall test the decrypt functionality using the same test as above, exchanging CT and PT, and replacing AES-CBC-Encrypt with AES-CBC-Decrypt.

### AES-CCM:

These tests are intended to be equivalent to those described in the NIST document, "The CCM Validation System (CCMVS)," updated 9 Jan 2012, found at <http://csrc.nist.gov/groups/STM/cavp/documents/mac/CCMVS.pdf>.

It is not recommended that evaluators use values obtained from static sources such as <http://csrc.nist.gov/groups/STM/cavp/documents/mac/ccmtestvectors.zip> or use values not generated expressly to exercise the AES-CCM implementation.

The evaluator shall test the generation-encryption and decryption-verification functionality of AES-CCM for the following input parameter and tag lengths:

- **Keys:** All supported and selected key sizes (e.g., 128, 192, or 256 bits).
- **Associated Data:** Two or three values for associated data length: The minimum ( $\geq 0$  bytes) and maximum ( $\leq 32$  bytes) supported associated data lengths, and  $2^{16}$  (65536) bytes, if supported.
- **Payload:** Two values for payload length: The minimum ( $\geq 0$  bytes) and maximum ( $\leq 32$  bytes) supported payload lengths.
- **Nonces:** All supported nonce lengths (e.g., 8, 9, 10, 11, 12, 13) in bytes.
- **Tag:** All supported tag lengths (e.g., 4, 6, 8, 10, 12, 14, 16) in bytes.

The testing for CCM consists of five tests. To determine correctness in each of the below tests, the evaluator shall compare the ciphertext with the result of encryption of the same inputs with a known good implementation.

**Variable Associated Data Test:** For each supported key size and associated data length, and any supported payload length, nonce length, and tag length, the evaluator shall supply one key value, one nonce value, and 10 pairs of associated data and payload values, and obtain the resulting ciphertext.

**Variable Payload Text:** For each supported key size and payload length, and any supported associated data length, nonce length, and tag length, the evaluator shall supply one key value, one nonce value, and 10 pairs of associated data and payload values, and obtain the resulting ciphertext.

**Variable Nonce Test:** For each supported key size and nonce length, and any supported associated data length, payload length, and tag length, the evaluator shall supply one key value, one nonce value, and 10 pairs of associated data and payload values, and obtain the resulting ciphertext.

**Variable Tag Test:** For each supported key size and tag length, and any supported associated data length, payload length, and nonce length, the evaluator shall supply one key value, one nonce value, and 10 pairs of associated data and payload values, and obtain the resulting ciphertext.

**Decryption-Verification Process Test:** To test the decryption-verification functionality of AES-CCM, for each combination of supported associated data length, payload length, nonce length, and tag length, the evaluator shall supply a key value and 15 sets of input plus ciphertext, and obtain the decrypted payload. Ten of the 15 input sets supplied should fail verification and five should pass.

**AES-GCM:** These tests are intended to be equivalent to those described in the NIST document, "The Galois/Counter Mode (GCM) and GMAC Validation System (GCMVS) with the Addition of XPN Validation Testing," rev. 15 Jun 2016, section 6.2, found at <http://csrc.nist.gov/groups/STM/cavp/documents/mac/gcmvs.pdf>.

It is not recommended that evaluators use values obtained from static sources such as <http://csrc.nist.gov/groups/STM/cavp/documents/mac/gcmtestvectors.zip>, or use values not generated expressly to exercise the AES-GCM implementation.



The evaluator shall test the authenticated encryption functionality of AES-GCM by supplying 15 sets of Key, Plaintext, AAD, IV, and Tag data for every combination of the following parameters as selected in the ST and supported by the implementation under test:

- **Key size in bits:** Each selected and supported key size (e.g., 128, 192, or 256 bits).
- **Plaintext length in bits:** Up to four values for plaintext length: Two values that are non-zero integer multiples of 128, if supported. And two values that are non-multiples of 128, if supported.
- **AAD length in bits:** Up to five values for AAD length: Zero-length, if supported. Two values that are non-zero integer multiples of 128, if supported. And two values that are integer non-multiples of 128, if supported.
- **IV length in bits:** Up to three values for IV length: 96 bits. Minimum and maximum supported lengths, if different.
- **MAC length in bits:** Each supported length (e.g., 128, 120, 112, 104, 96).

To determine correctness, the evaluator shall compare the resulting values to those obtained by submitting the same inputs to a known-good implementation.

The evaluator shall test the authenticated decrypt functionality of AES-GCM by supplying 15 Ciphertext-Tag pairs for every combination of the above parameters, replacing Plaintext length with Ciphertext length. For each parameter combination the evaluator shall introduce an error into either the Ciphertext or the Tag such that approximately half of the cases are correct and half the cases contain errors. To determine correctness, the evaluator shall compare the resulting pass/fail status and Plaintext values to the results obtained by submitting the same inputs to a known-good implementation.

### **AES-CTR:**

For the AES-CTR tests described below, the plaintext and ciphertext values shall consist of 128-bit blocks. To determine correctness, the evaluator shall compare the resulting values to those obtained by submitting the same inputs to a known-good implementation.

These tests are intended to be equivalent to those described in NIST's AES Algorithm Validation Suite (AESAVS) ( <http://csrc.nist.gov/groups/STM/cavp/documents/aes/AESAVS.pdf>). It is not recommended that evaluators use values obtained from static sources such as the example NIST's AES Known Answer Test Values from the AESAVS document, or use values not generated expressly to exercise the AES-CTR implementation.

### ***AES-CTR Known Answer Tests***

**KAT-1 (GFSBox):** To test the encrypt functionality of AES-CTR, the evaluator shall supply a set of five different plaintext values for each selected key size and obtain the ciphertext value that results from AES-CTR encryption of the given plaintext using a key value of all zeros.

To test the decrypt functionality of AES-CTR, the evaluator shall supply a set of five different ciphertext values for each selected key size and obtain the plaintext value that results from AES-CTR decryption of the given ciphertext using a key value of all zeros.

**KAT-2 (KeySBox):** To test the encrypt functionality of AES-CTR, the evaluator shall supply a set of five different key values for each selected key size and obtain the ciphertext value that results from AES-CTR encryption of an all-zeros plaintext using the given key value.

To test the decrypt functionality of AES-CTR, the evaluator shall supply a set of five different key values for each selected key size and obtain the plaintext that results from AES-CTR decryption of an all-zeros ciphertext using the given key.

**KAT-3 (Variable Key):** To test the encrypt functionality of AES-CTR, the evaluator shall supply a set of keys for each selected key size (as described below) and obtain the ciphertext value that results from AES encryption of an all-zeros plaintext using each key.

Key  $i$  in each set shall have the leftmost  $i$  bits set to ones and the remaining bits to zeros, for values of  $i$  from 1 to the key size. The keys and corresponding ciphertext are listed in AESAVS, Appendix E.

To test the decrypt functionality of AES-CTR, the evaluator shall use the same keys as above to decrypt the ciphertext results from above. Each decryption should result in an all-zeros plaintext.

**KAT-4 (Variable Text):** To test the encrypt functionality of AES-CTR, for each selected key size, the evaluator shall supply a set of 128-bit plaintext values (as described below) and obtain the ciphertext values that result from AES-CTR encryption of each plaintext value using a key of each size.

Plaintext value  $i$  shall have the leftmost  $i$  bits set to ones and the remaining bits set to zeros, for values of  $i$  from 1 to 128. The plaintext values are listed in AESAVS, Appendix D.

To test the decrypt functionality of AES-CTR, for each selected key size, use the plaintext values from above as ciphertext input, and AES-CTR decrypt each ciphertext value using a key of each size consisting of all zeros.

### ***AES-CTR Multi-Block Message Test***

The evaluator shall test the encrypt functionality by encrypting nine  $i$ -block messages for each selected key size, for  $2 \leq i \leq 10$ . For each test, the evaluator shall supply a key and a plaintext message of length  $i$  blocks, and encrypt the message using AES-CTR. The resulting ciphertext values shall be compared to the results of encrypting the plaintext messages using a known good implementation.

The evaluator shall test the decrypt functionality by decrypting nine  $i$ -block messages for each selected key size, for  $2 \leq i \leq 10$ . For each test, the evaluator shall supply a key and a ciphertext message of length  $i$  blocks, and decrypt the message using AES-CTR. The resulting plaintext values shall be compared to the

results of decrypting the ciphertext messages using a known good implementation.

### **AES-CTR Monte Carlo Tests**

The evaluator shall test the encrypt functionality for each selected key size using 100 2-tuples of pseudo-random values for plaintext and keys.

The evaluator shall supply a single 2-tuple of pseudo-random values for each selected key size. This 2-tuple of plaintext and key is provided as input to the below algorithm to generate the remaining 99 2-tuples, and to run each 2-tuple through 1000 iterations of AES-CTR encryption.

```
# Input: PT, Key
Key[0] = Key
PT[0] = PT
for i = 0 to 99 {
    Output Key[i], PT[0]
    for j = 0 to 999 {
        CT[j] = AES-CTR-Encrypt(Key[i], PT[j])
        PT[j+1] = CT[j]
    }
    Output CT[j]
    If (KeySize == 128) Key[i+1] = Key[i] xor CT[j]
    If (KeySize == 192) Key[i+1] = Key[i] xor (last 64 bits of CT[j-1] || C
    If (KeySize == 256) Key[i+1] = Key[i] xor ((CT[j-1] | CT[j]))
    PT[0] = CT[j]
}
```

The ciphertext computed in the 1000th iteration (CT[999]) is the result for each of the 100 2-tuples for each selected key size. This result shall be compared to the result of running 1000 iterations with the same values using a known good implementation.

The evaluator shall test the decrypt functionality using the same test as above, exchanging CT and PT, and replacing AES-CTR-Encrypt with AES-CTR-Decrypt. 198 Note additional design considerations for this mode are addressed in the KMD requirements.

**XTS-AES:** These tests are intended to be equivalent to those described in the NIST document, "The XTS-AES Validation System (XTSVS)," updated 5 Sept 2013, found at <http://csrc.nist.gov/groups/STM/cavp/documents/aes/XTSVS.pdf>

It is not recommended that evaluators use values obtained from static sources such as the XTS-AES test vectors at <http://csrc.nist.gov/groups/STM/cavp/documents/aes/XTSTestVectors.zip> or use values not generated expressly to exercise the XTS-AES implementation.

The evaluator shall generate test values as follows:

For each supported key size (256 bit (for AES-128) and 512 bit (for AES-256) keys), the evaluator shall provide up to five data lengths:

- Two data lengths divisible by the 128-bit block size, If data unit lengths of complete block sizes are supported.
- Two data lengths not divisible by the 128-bit block size, if data unit lengths of partial block sizes are supported.
- The largest data length supported by the implementation, or  $2^{16}$  (65536), whichever is larger.

The evaluator shall specify whether the implementation supports tweak values of 128-bit hexadecimal strings or a data unit sequence numbers, or both.

For each combination of key size and data length, the evaluator shall provide 100 sets of input data and obtain the ciphertext that results from XTS-AES encryption. If both kinds of tweak values are supported then each type of tweak value shall be used in half of every 100 sets of input data, for all combinations of key size and data length. The evaluator shall verify that the resulting ciphertext matches the results from submitting the same inputs to a known-good implementation of XTS-AES.

The evaluator shall test the decrypt functionality of XTS-AES using the same test as for encrypt, replacing plaintext values with ciphertext values and XTS-AES encrypt with XTS-AES decrypt.

The evaluator shall check that the full length keys are created by methods that ensure that the two halves are different and independent.

### **AES-KWP:**

The tests below are derived from "The Key Wrap Validation System (KWVS), Updated: June 20, 2014" from the National Institute of Standards and Technology.

The evaluator shall test the authenticated-encryption functionality of AES-KWP (KWP-AE) using the same test as for AES-KW authenticated-encryption with the following change in the five plaintext lengths:

- Four lengths that are multiples of 8 bits
- The largest supported length less than or equal to 4096 bits.

The evaluator shall test the authenticated-decryption (KWP-AD) functionality of AES-KWP using the same test as for AES-KWP authenticated-encryption, replacing plaintext values with ciphertext values and AES-KWP authenticated encryption with AES-KWP authenticated-decryption. For the Authenticated Decryption test, 20 out of the 100 trials per plaintext length have ciphertext values that fail authentication.

Additionally, the evaluator shall perform the following negative tests:

**Test 1: (invalid plaintext length):**

Determine the valid plaintext lengths of the implementation from the TOE specification. Verify that the implementation of KWP-AE in the TOE rejects plaintexts of invalid length by testing plaintext of the following lengths: 1) plaintext with length greater than 64 semi-blocks, 2) plaintext with bit-length not divisible by 8, and 3) plaintext with length 0.

**Test 2: (invalid ciphertext length):** Determine the valid ciphertext lengths of the implementation from the TOE specification. Verify that the implementation of KWP-AD in the TOE rejects ciphertexts of invalid length by testing ciphertext of the following lengths: 1) ciphertext with length greater than 65 semi-blocks, 2) ciphertext with bit-length not divisible by 64, 3) ciphertext with length 0, and 4) ciphertext with length of one semi-block.

**Test 3: (invalid ICV2):** Test that the implementation detects invalid ICV2 values by encrypting any plaintext value four times using a different value for ICV2 each time as follows: Start with a base ICV2 of 0xA65959A6. For each of the four tests change a different byte of ICV2 to a different value, so that each of the four bytes is changed once. Verify that the implementation of KWP-AD in the TOE outputs FAIL for each test.

**Test 4: (invalid padding length):** Generate one ciphertext using algorithm KWP-AE with substring  $[\text{len}(P)/8]_{32}$  of S replaced by each of the following 32-bit values, where  $\text{len}(P)$  is the length of P in bits and  $[\ ]_{32}$  denotes the representation of an integer in 32 bits:

- $[0]_{32}$
- $[\text{len}(P)/8-8]_{32}$
- $[\text{len}(P)/8+8]_{32}$
- $[513]_{32}$ .

Verify that the implementation of KWP-AD in the TOE outputs FAIL on those inputs.

**Test 5: (invalid padding bits):**

If the implementation supports plaintext of length not a multiple of 64-bits, then

```
for each PAD length [1..7]
    for each byte in PAD set a zero PAD value;
        replace current byte by a non-zero value and use the resulting plaintext
            input to algorithm KWP-AE to generate ciphertexts;
        verify that the implementation of KWP-AD in the TOE outputs FAIL on
            this input.
```

**AES-KW:**

The tests below are derived from “The Key Wrap Validation System (KWVS), Updated: June 20, 2014” from the National Institute of Standards and Technology.

The evaluator shall test the authenticated-encryption functionality of AES-KW for each combination of the following input parameters:

- Supported key lengths selected in the ST (e.g. 128 bits, 256 bits)
- Five plaintext lengths:
  - Two lengths that are non-zero multiples of 128 bits (two semi-block lengths)
  - Two lengths that are odd multiples of the semi-block length (64 bits)
  - The largest supported plaintext length less than or equal to 4096 bits.

For each set of the above parameters the evaluator shall generate a set of 100 key and plaintext pairs and obtain the ciphertext that results from AES-KW authenticated encryption. To determine correctness, the evaluator shall compare the results with those obtained from the AES-KW authenticated-encryption function of a known good implementation.

The evaluator shall test the authenticated-decryption functionality of AES-KW using the same test as for authenticated-encryption, replacing plaintext values with ciphertext values and AES-KW authenticated-encryption (KW-AE) with AES-KW authenticated-decryption (KW-AD). For the authenticated-decryption test, 20 out of the 100 trials per plaintext length must have ciphertext values that are not authentic; that is, they fail authentication.

Additionally, the evaluator shall perform the following negative tests:

**Test 1 (invalid plaintext length):**

Determine the valid plaintext lengths of the implementation from the TOE specification. Verify that the implementation of KW-AE in the TOE rejects plaintexts of invalid length by testing plaintext of the following lengths: 1) plaintext length greater than 64 semi-blocks, 2) plaintext bit-length not divisible by 64, 3) plaintext with length 0, and 4) plaintext with one semi-block.

**Test 2 (invalid ciphertext length):**

Determine the valid ciphertext lengths of the implementation from the TOE specification. Verify that the implementation of KW-AD in the TOE rejects ciphertexts of invalid length by testing ciphertext of the following lengths: 1) ciphertext with length greater than 65 semi-blocks, 2) ciphertext with bit-length not divisible by 64, 3) ciphertext with length 0, 4) ciphertext with length of one semiblock, and 5) ciphertext with length of two semi-blocks.

### **Test 3 (invalid ICV1):**

222 Test that the implementation detects invalid ICV1 values by encrypting any plaintext value eight times using a different value for ICV1 each time as follows: Start with a base ICV1 of 0xA6A6A6A6A6A6A6A6. For each of the eight tests change a different byte to a different value, so that each of the eight bytes is changed once. Verify that the implementation of KW-AD in the TOE outputs FAIL for each test.

### **CAM-CBC:**

To test the encrypt and decrypt functionality of Camellia in CBC mode, the evaluator shall perform the tests as specified in 10.2.1.2 of ISO/IEC 18367:2016.

### **CAM-CCM:**

To test the encrypt functionality of Camellia in CCM mode, the evaluator shall perform the tests as specified in 10.6.1.1 of ISO/IEC 18367:2016.

To test the decrypt functionality of Camellia in CCM mode, the evaluator shall perform the tests as specified in 10.6.1.2 of ISO/IEC 18367:2016.

As a prerequisite for these tests, the evaluator shall perform the test for encrypt functionality of Camellia in ECB mode as specified in 10.2.1.2 of ISO/IEC 18367:2016.

### **CAM-GCM:**

To test the encrypt functionality of Camellia in GCM, the evaluator shall perform the tests as specified in 10.6.1.1 of ISO/IEC 18367:2016.

To test the decrypt functionality of Camellia in GCM, the evaluator shall perform the tests as specified in 10.6.1.2 of ISO/IEC 18367:2016.

As a prerequisite for these tests, the evaluator shall perform the test for encrypt functionality of Camellia in ECB mode as specified in 10.2.1.2 of ISO/IEC 18367:2016.

### **XTS-CAM:**

These tests are intended to be equivalent to those described in the IPA document, ATR-01-B, "Specifications of Cryptographic Algorithm Implementation Testing — Symmetric-Key Cryptography", found at [https://www.ipa.go.jp/security/jcmvp/jcmvp\\_e/documents/atr/atr01b\\_en.pdf](https://www.ipa.go.jp/security/jcmvp/jcmvp_e/documents/atr/atr01b_en.pdf).

The evaluator shall generate test values as follows:

For each supported key size (256 bit (for Camellia-128) and 512 bit (for Camellia256) keys), the evaluator shall provide up to five data lengths:

- Two data lengths divisible by the 128-bit block size, If data unit lengths of complete block sizes are supported.
- Two data lengths not divisible by the 128-bit block size, if data unit lengths of partial block sizes are supported.
- The largest data length supported by the implementation, or  $2^{16}$  (65536), whichever is larger.

The evaluator shall specify whether the implementation supports tweak values of 128-bit hexadecimal strings or a data unit sequence numbers, or both.

For each combination of key size and data length, the evaluator shall provide 100 sets of input data and obtain the ciphertext that results from XTS-Camellia encryption. If both kinds of tweak values are supported, 50 of each 100 sets of input data shall use each type of tweak value. The resulting ciphertext shall be compared to the results of a known-good implementation.

As a prerequisite for this test, the evaluator shall perform the test for encrypt functionality of Camellia in ECB mode as specified in 10.2.1.2 of ISO/IEC 18367:2016.

The evaluator shall test the decrypt functionality of XTS-Camellia using the same test as for encrypt, replacing plaintext values with ciphertext values and XTSCamellia encrypt with XTS- Camellia decrypt.

As a prerequisite for this test, the evaluator shall perform the test for decrypt functionality of Camellia in ECB mode as specified in 10.2.1.2 of ISO/IEC 18367:2016.

### **FCS\_RBG\_EXT.1 Random Bit Generation**

### **FCS\_SLT\_EXT.1 Cryptographic Salt Generation**

### **FCS\_STG\_EXT.1 Protected Storage**

### **FCS\_STG\_EXT.2 Key Storage Encryption**

### **FCS\_STG\_EXT.3 Key Integrity Protection**

## **2.2.2 User Data Protection**

### **FDP\_ACC.1 Subset Access Control**

**FDP\_ACF.1 Security Attribute Based Access Control**

**FCS\_ETC\_EXT.2 Propagation of SDOs**

**FDP\_FRS\_EXT.1 Factory Reset**

**FDP\_ITC\_EXT.1 Parsing of SDEs**

**FDP\_ITC\_EXT.2 Parsing of SDOs**

**FDP\_MFW\_EXT.1 Mutable/Immutable Firmware**

**FDP\_RIP.1 Subset Residual Information Protection**

**FDP\_SDC\_EXT.1 Confidentiality of SDEs**

**FDP\_SDI.2 Stored Data Integrity Monitoring and Action**

## **2.2.3 Identification and Authentication**

**FIA\_AFL\_EXT.1 Authorization Failure Handling**

**FIA\_SOS.2 TSF Generation of Secrets**

**FIA\_UAU.2 User Authentication before Any Action**

**FIA\_UAU.5 Multiple Authentication Mechanisms**

**FIA-UAU.6 Re-Authenticating**

## **2.3 Evaluation Activities for Selection-Based SFRs**

The PP-Module does not define any selection-based requirements.

## **2.4 Evaluation Activities for Objective SFRs**

The PP-Module does not define any objective requirements.

# **3 Evaluation Activities for SARs**

## **3.1 Class ADV: Development**

### **ADV\_FSP.1 Basic Functional Specification (ADV\_FSP.1)**

There are no specific assurance activities associated with these SARs, except ensuring the information is provided. The functional specification documentation is provided to support the evaluation activities described in Section 5.1 Security Functional Requirements, and other activities described for AGD, ATE, and AVA SARs. The requirements on the content of the functional specification information is implicitly assessed by virtue of the other assurance activities being performed; if the evaluator is unable to perform an activity because there is insufficient interface information, then an adequate functional specification has not been provided.

## **3.2 Class AGD: Guidance Documentation**

### **AGD\_OPE.1 Operational User Guidance (AGD\_OPE.1)**

Some of the contents of the operational guidance are verified by the assurance activities in Section 5.1 Security Functional Requirements and evaluation of the OS according to the [\[CEM\]](#). The following additional information is also required. If cryptographic functions are provided by the OS, the operational guidance shall contain instructions for configuring the cryptographic engine associated with the evaluated configuration of the OS. It shall provide a warning to the administrator that use of other cryptographic engines was not evaluated nor tested during the CC evaluation of the OS. The documentation must describe the process for verifying updates to the OS by verifying a digital signature – this may be done by the OS or the underlying platform. The evaluator will verify that this process includes the following steps: Instructions for obtaining the update itself. This should include instructions for making the update accessible to the OS (e.g., placement in a specific directory). Instructions for initiating the update process, as well as discerning whether the process was successful or unsuccessful. This includes generation of the hash/digital signature. The OS will likely contain security functionality that does not fall in the scope of evaluation under this PP. The operational guidance shall make it clear to an administrator which security functionality is covered by the evaluation activities.

### **AGD\_PRE.1 Preparative Procedures (AGD\_PRE.1)**

As indicated in the introduction above, there are significant expectations with respect to the documentation—

especially when configuring the operational environment to support OS functional requirements. The evaluator shall check to ensure that the guidance provided for the OS adequately addresses all platforms claimed for the OS in the ST.

### **3.3 Class ALC: Life-cycle Support**

#### **ALC\_CMC.1 Labeling of the TOE (ALC\_CMC.1)**

The evaluator will check the ST to ensure that it contains an identifier (such as a product name/version number) that specifically identifies the version that meets the requirements of the ST. Further, the evaluator will check the AGD guidance and OS samples received for testing to ensure that the version number is consistent with that in the ST. If the vendor maintains a web site advertising the OS, the evaluator will examine the information on the web site to ensure that the information in the ST is sufficient to distinguish the product.

#### **ALC\_CMS.1 TOE CM Coverage (ALC\_CMS.1)**

The "evaluation evidence required by the SARs" in this PP is limited to the information in the ST coupled with the guidance provided to administrators and users under the AGD requirements. By ensuring that the OS is specifically identified and that this identification is consistent in the ST and in the AGD guidance (as done in the assurance activity for ALC\_CMC.1), the evaluator implicitly confirms the information required by this component. Life-cycle support is targeted aspects of the developer's life-cycle and instructions to providers of applications for the developer's devices, rather than an in-depth examination of the TSF manufacturer's development and configuration management process. This is not meant to diminish the critical role that a developer's practices play in contributing to the overall trustworthiness of a product; rather, it's a reflection on the information to be made available for evaluation.

The evaluator will ensure that the developer has identified (in guidance documentation for application developers concerning the targeted platform) one or more development environments appropriate for use in developing applications for the developer's platform. For each of these development environments, the developer shall provide information on how to configure the environment to ensure that buffer overflow protection mechanisms in the environment(s) are invoked (e.g., compiler and linker flags). The evaluator will ensure that this documentation also includes an indication of whether such protections are on by default, or have to be specifically enabled. The evaluator will ensure that the TSF is uniquely identified (with respect to other products from the TSF vendor), and that documentation provided by the developer in association with the requirements in the ST is associated with the TSF using this unique identification.

#### **ALC\_TSU\_EXT.1 Timely Security Updates**

The evaluator will verify that the TSS contains a description of the timely security update process used by the developer to create and deploy security updates. The evaluator will verify that this description addresses the entire application. The evaluator will also verify that, in addition to the OS developer's process, any third-party processes are also addressed in the description. The evaluator will also verify that each mechanism for deployment of security updates is described.

The evaluator will verify that, for each deployment mechanism described for the update process, the TSS lists a time between public disclosure of a vulnerability and public availability of the security update to the OS patching this vulnerability, to include any third-party or carrier delays in deployment. The evaluator will verify that this time is expressed in a number or range of days.

The evaluator will verify that this description includes the publicly available mechanisms (including either an email address or website) for reporting security issues related to the OS. The evaluator shall verify that the description of this mechanism includes a method for protecting the report either using a public key for encrypting email or a trusted channel for a website.

### **3.4 Class ATE: Tests**

#### **ATE\_IND.1 Independent Testing - Conformance (ATE\_IND.1)**

The evaluator will prepare a test plan and report documenting the testing aspects of the system, including any application crashes during testing. The evaluator shall determine the root cause of any application crashes and include that information in the report. The test plan covers all of the testing actions contained in the [CEM] and the body of this PP's Assurance Activities.

While it is not necessary to have one test case per test listed in an Assurance Activity, the evaluator must document in the test plan that each applicable testing requirement in the ST is covered. The test plan identifies the platforms to be tested, and for those platforms not included in the test plan but included in the ST, the test plan provides a justification for not testing the platforms. This justification must address the differences between the tested platforms and the untested platforms, and make an argument that the differences do not affect the testing to be performed. It is not sufficient to merely assert that the differences have no affect; rationale must be provided. If all platforms claimed in the ST are tested, then no rationale is necessary. The test plan describes the composition of each platform to be tested, and any setup that is necessary beyond what is contained in the AGD documentation. It should be noted that the evaluator is expected to follow the AGD documentation for installation and setup of each platform either as part of a test or as a standard pre-test condition. This may include special test drivers or tools. For each driver or tool, an argument (not just an assertion) should be provided that the driver or tool will not adversely affect the performance of the functionality by the OS and its platform.

This also includes the configuration of the cryptographic engine to be used. The cryptographic algorithms implemented by this engine are those specified by this PP and used by the cryptographic protocols being evaluated (IPsec, TLS). The test plan identifies high-level test objectives as well as the test procedures to be followed to achieve those objectives. These procedures include expected results.

The test report (which could just be an annotated version of the test plan) details the activities that took place



when the test procedures were executed, and includes the actual results of the tests. This shall be a cumulative account, so if there was a test run that resulted in a failure; a fix installed; and then a successful re-run of the test, the report would show a “fail” and “pass” result (and the supporting details), and not just the “pass” result.

### 3.5 Class AVA: Vulnerability Assessment

#### AVA\_VAN.1 Vulnerability Survey (AVA\_VAN.1)

The evaluator will generate a report to document their findings with respect to this requirement. This report could physically be part of the overall test report mentioned in ATE\_IND, or a separate document. The evaluator performs a search of public information to find vulnerabilities that have been found in similar applications with a particular focus on network protocols the application uses and document formats it parses. The evaluator documents the sources consulted and the vulnerabilities found in the report. For each vulnerability found, the evaluator either provides a rationale with respect to its non-applicability, or the evaluator formulates a test (using the guidelines provided in ATE\_IND) to confirm the vulnerability, if suitable. Suitability is determined by assessing the attack vector needed to take advantage of the vulnerability. If exploiting the vulnerability requires expert skills and an electron microscope, for instance, then a test would not be suitable and an appropriate justification would be formulated.

## 4 Required Supplementary Information

This Supporting Document has no required supplementary information beyond the ST, operational guidance, and testing.

## Appendix A - References

Identifier	Title
[CEM]	<a href="#">Common Evaluation Methodology for Information Technology Security - Evaluation Methodology</a> , CCMB-2012-09-004, Version 3.1, Revision 4, September 2012.
[CESG]	<a href="#">CESG - End User Devices Security and Configuration Guidance</a>
[CSA]	<a href="#">Computer Security Act of 1987</a> , H.R. 145, June 11, 1987.
[OMB]	<a href="#">Reporting Incidents Involving Personally Identifiable Information and Incorporating the Cost for Security in Agency Information Technology Investments</a> , OMB M-06-19, July 12, 2006.