

PP-Module for VPN Client



Version: 3.0
2025-09-30

National Information Assurance Partnership

Revision History

| Version | Date | Comment |
|---------|------------|--|
| 2.1 | 2019-11-14 | Initial Release |
| 2.2 | 2021-01-05 | Update release |
| 2.3 | 2021-08-10 | Support for MDF, Bluetooth updates |
| 2.4 | 2022-03-31 | Incorporation of TC feedback |
| 2.5 | 2024-06-24 | Incorporation of TC feedback: <ul style="list-style-type: none">• Incorporation of TDs: 0662, 0672, 0690, 0697, 0711, 0725, 0753, 0788• Corrections to Base_PP references• Definition of auditable events for Additional SFRs• Explicit association of evaluation activities with components and elements |
| 3.0 | 2025-09-30 | CC:2022 conversion, limitation of cryptographic algorithms to CNSA 1.0, incorporation of TDs |

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1 Introduction

1.1 Overview

The scope of this Protection Profile Module (**PP-Module**) is to describe the security functionality of a virtual private network (**VPN**) client in terms of [\[CC\]](#) and to define functional and assurance requirements for such products. This **PP-Module** is intended for use with the following **Base-PPs**:

- Protection Profile for General Purpose Operating Systems (GPOS **PP**), Version 5.0
- Protection Profile for Mobile Device Fundamentals (MDF **PP**), Version 4.0
- Protection Profile for Application Software (App **PP**), Version 2.0
- Protection Profile for Mobile Device Management (MDM **PP**), Version 5.0

These **Base-PPs** are all valid because a **VPN** client may be a specific type of stand-alone software application or a built-in component of an operating system (**OS**), whether desktop or mobile. Regardless of which **Base-PP** is claimed, the **VPN** client functionality defined by this **PP-Module** will rely on the **Base-PP**. Sections 5.1 through 5.4 of this **PP-Module** describe the relevant functionality for each **Base-PP**, including specific selections and assignments, or inclusion of optional requirements that must be made as needed to support the **VPN** client functionality.

1.2 Terms

The following sections list Common Criteria and technology terms used in this document.

1.2.1 Common Criteria Terms

| | |
|--|---|
| Assurance | Grounds for confidence that a TOE meets the SFRs [CC] . |
| Base Protection Profile (Base-PP) | Protection Profile used as a basis to build a PP-Configuration. |
| Collaborative Protection Profile (cPP) | A Protection Profile developed by international technical communities and approved by multiple schemes. |
| Common Criteria (CC) | Common Criteria for Information Technology Security Evaluation (International Standard ISO/IEC 15408). |
| Common Criteria Testing Laboratory | Within the context of the Common Criteria Evaluation and Validation Scheme (CCEVS), an IT security evaluation facility accredited by the National Voluntary Laboratory Accreditation Program (NVLAP) and approved by the NIAP Validation Body to conduct Common Criteria-based evaluations. |
| Common Evaluation Methodology (CEM) | Common Evaluation Methodology for Information Technology Security Evaluation. |
| Direct Rationale | A type of Protection Profile, PP-Module, or Security Target in which the security problem definition (SPD) elements are mapped directly to the SFRs and possibly to the security objectives for the operational environment. There are no security objectives for the TOE. |
| Distributed TOE | A TOE composed of multiple components operating as a logical whole. |
| Extended Package (EP) | A deprecated document form for collecting SFRs that implement a particular protocol, technology, or functionality. See Functional Packages. |
| Functional Package (FP) | A document that collects SFRs for a particular protocol, technology, or functionality. |

| | |
|---|---|
| Operational Environment (OE) | Hardware and software that are outside the TOE boundary that support the TOE functionality and security policy. |
| Protection Profile (PP) | An implementation-independent set of security requirements for a category of products. |
| Protection Profile Configuration (PP-Configuration) | A comprehensive set of security requirements for a product type that consists of at least one Base-PP and at least one PP-Module. |
| Protection Profile Module (PP-Module) | An implementation-independent statement of security needs for a TOE type complementary to one or more Base-PPs. |
| Security Assurance Requirement (SAR) | A requirement to assure the security of the TOE. |
| Security Functional Requirement (SFR) | A requirement for security enforcement by the TOE. |
| Security Target (ST) | A set of implementation-dependent security requirements for a specific product. |
| Target of Evaluation (TOE) | The product under evaluation. |
| TOE Security Functionality (TSF) | The security functionality of the product under evaluation. |
| TOE Summary Specification (TSS) | A description of how a TOE satisfies the SFRs in an ST. |

1.2.2 Technical Terms

| | |
|-----------------------------------|--|
| Administrator | A user that has administrative privilege to configure the TOE in privileged mode. |
| Authorized | An entity granted access privileges to an object, system, or system entity. |
| Critical Security Parameter (CSP) | Security related information such as secret and private cryptographic keys, and authentication data such as passwords and PINs, whose disclosure or modification can compromise the security of a cryptographic module. |
| Entropy Source | This cryptographic function provides a seed for a random number generator by accumulating the outputs from one or more noise sources. The functionality includes a measure of the minimum work required to guess a given output and tests to ensure that the noise sources are operating properly. |
| IT Environment | Hardware and software that are outside the TOE boundary that support the TOE functionality and security policy. |
| Private Network | A network that is protected from access by unauthorized users or entities. |
| Privileged Mode | A TOE operational mode that allows a user to perform functions that require IT environment administrator privileges. |
| Public Network | A network that is visible to all users and entities and does not protect against unauthorized access (e.g., internet). |
| Threat Agent | An entity that tries to harm an information system through destruction, disclosure, modification of data, or denial of service. |
| Unauthorized User | An entity (device or user) that has not been authorized by an authorized administrator to access the TOE or private network. |

| | |
|-------------------|--|
| Unprivileged Mode | A TOE operational mode that only provides VPN client functions for the VPN client user. |
| VPN Client | The TOE; allows remote users to use client computers to establish an encrypted IPsec tunnel across an unprotected public network to a private network. |
| VPN Client User | A user operating the TOE in unprivileged mode. |
| VPN Gateway | A component that performs encryption and decryption of IP packets as they cross the boundary between a private network and a public network. |

1.3 Compliant Targets of Evaluation

The TOE defined by this PP-Module is the VPN client, a software application that runs on a physical or virtual host platform, used to establish a secure IPsec connection between that host platform and a remote system. The VPN client is intended to be located outside or inside of a private network, and establishes a secure tunnel to an IPsec peer. For the purposes of this PP-Module, IPsec peers are defined as:

- VPN gateways
- Other VPN clients
- An IPsec-capable network device (supporting IPsec for the purposes of management)

The tunnel provides confidentiality, integrity, and data authentication for information that travels across a less trusted (sometimes public) network. All VPN clients that comply with this document will support IPsec.

This PP-Module extends the GPOS PP when the VPN client is installed on an OS discussed in that PP (e.g., Windows, Mac OS, Linux). This PP-Module extends the MDF PP when the VPN client is installed on a self-contained mobile device that is bundled with an OS (e.g., Android, BlackBerry OS, iOS, Windows Mobile). This PP-Module extends the App PP when the VPN client is provided by a third party and is a standalone application that is not a bundled part of an OS or mobile device. This PP-Module extends the MDM PP when the VPN client is included with MDM server software that is used for centralized deployment and administration of enterprise mobile device policies.

As a PP-Module of any of these PPs, it is expected that the content of this PP-Module and the chosen Base-PP be appropriately combined in the context of each product-specific ST. This PP-Module has been specifically defined such that there should be no difficulty or ambiguity in doing so. When this PP-Module is used, conformant TOEs are obligated to implement the functionality required in the claimed Base-PP with the additional functionality defined in this PP-Module in response to the threat environment discussed in this PP-Module.

1.3.1 TOE Boundary

The TOE defined by this PP-Module is purely a software solution executing on a platform (some sort of OS running on hardware). Depending on the Base-PP claimed as part of the TOE, the platform may also be part of the TOE or it may be an environmental component that the TOE vendor has no control over. Regardless of whether the platform itself is within the scope of the evaluation, the VPN client itself will rely on the platform for its execution domain and proper usage. The vendor is expected to provide sufficient installation and configuration instructions to identify an Operational Environment (OE) with the necessary features and to provide instructions for how to configure it correctly.

The PP-Module contains requirements that must be met by the TOE. Depending on the Base-PP that is claimed, there may be some variation in the applicable requirements. This is because a given Base-PP may include one or more requirements that the VPN client can inherit but are not shared between each possible Base-PP.

This is somewhat different than other PPs, but addresses most implementations of VPN clients where some part of the functionality of the IPsec tunnel is provided by the platform. In terms of the cryptographic primitives (random bit generation, encryption and decryption, key generation, etc.) it is actually desirable that a well-tested implementation in the platform is used rather than trying to implement these functions in each client.

Requirements that can be satisfied by either the TOE or the platform are identified in Section 5 by text such as “The [selection: TSE, TOE platform] shall...” The ST author will make the appropriate selection based on where that element is implemented. It is allowable for some elements in a component to be implemented by the TOE, while other elements in that same component be implemented by the platform (requirements on the usage of X.509 certificates is an example of where this might be the case, where using the information contained in the certificates and the implementation of revocation checking may be done by the

TOE, but storage and protection of the certificates may be done by the platform). Note that in the cases where this PP-Module is used to extend the GPOS PP or MDF PP, the TOE includes both the VPN client and the platform. In this case, it is appropriate to indicate that the TOE satisfies this requirement. However, the ST author should make it clear, for each of these components, which are implemented by the VPN client portion of the TOE versus the platform portion.

A Supporting Document (SD) accompanies this PP-Module and contains guidance for how to evaluate the requirements defined by the PP-Module, expressed as Evaluation Activities (EAs). EAs will differ based on where the function that meets the requirement is implemented. In most cases, requirements implemented by the platform will require that the evaluator examine documents pertaining to the platform (generally the ST), while requirements implemented by the TOE may require examination of the TSS, examination of the Operational Guidance, or execution of evaluator testing. For requirements implemented by the platform, there may also be requirements where the evaluator must examine the interfaces used by the TOE to access these functions on the platform. This ensures that the functionality being invoked to satisfy the requirements of this PP-Module is the same functionality that was evaluated.

Given the degree of coupling between a VPN client and its underlying platform, it is expected that the client will be tested on each platform claimed in the ST. In cases where the platforms are simply different versions of the same OS (provided by the same platform vendor), an equivalency argument may be made in lieu of testing on each version. The argument would have to demonstrate that the client interacts in exactly the same way with the versions of the OS (i.e., the same APIs are used with the same parameters, the network stack is modified with exactly the same kernel modules). The evaluator shall use the operational guidance to configure the TOE and underlying platform.

A TOE that conforms to this PP-Module will implement the Internet Engineering Task Force (IETF) IPsec Security Architecture for the Internet Protocol, RFC 4301, as well as the IPsec Encapsulating Security Payload (ESP) protocol. IPsec ESP is specified in RFC 4303. The IPsec VPN client will support ESP in either tunnel mode, transport mode, or both.

The IPsec VPN client will use the Internet Key Exchange (IKE)v2 protocol. IKEv2 is implemented as specified in RFC 7296 and 4307 to authenticate and establish session keys with the VPN entities. The IKEv2 implementation also requires mandatory support for network address translation (NAT) traversal as specified in section 2.23 of RFC 7296.

To show that the TSF implements the RFCs correctly, the evaluator shall perform the EAs documented in the SD that accompanies this PP-Module. In future versions of this PP-Module, EAs may be modified or new ones may be introduced that cover more aspects of RFC compliance than what is currently described in this publication.

The IPsec VPN client enables encryption of all information that flows between itself and its IPsec peer. The VPN client serves as an endpoint for an IPsec VPN connection and performs a number of cryptographic functions related to establishing and maintaining that connection. If the cryptography used to perform endpoint authentication, generate keys, and encrypt information is sufficiently robust and the implementation has no critical design mistakes, an adversary will be unable to exhaust the encryption key space to obtain the data. Compliance with IPsec standards, use of a properly seeded Random Bit Generator (RBG), and secure authentication factors will ensure that access to the transmitted information cannot be obtained with less work than a full exhaust of the key space. Any plaintext secret and private keys or other cryptographic security parameters will be zeroized when no longer in use to prevent disclosure of security critical data.

1.4 Use Cases

A VPN client allows users on the TOE platform to establish secure IPsec communications, providing confidentiality, integrity, and protection of data, across a less trusted network to secure data in transit. This PP-Module defines three use cases for VPN clients. A conformant TOE will implement one or more of the use cases specified below.

[USE CASE 1] TOE to VPN Gateway

A VPN client allows users on the TOE platform to establish an encrypted IPsec tunnel across a less trusted, often unprotected, public network to a private network (see [Figure 1](#)). In this case, the TOE provides encryption and decryption of network packets as they leave and arrive on the VPN client's underlying platform. IP packets crossing from the private network to the public network will be encrypted if their destination is a remote access VPN client supporting the same VPN policy as the source network.

The TOE is responsible for encrypting the packets that are intended to be received by the target on the private network and then encapsulating these packets in a way that allows the VPN gateway to securely receive them and forward them

to their final destination.

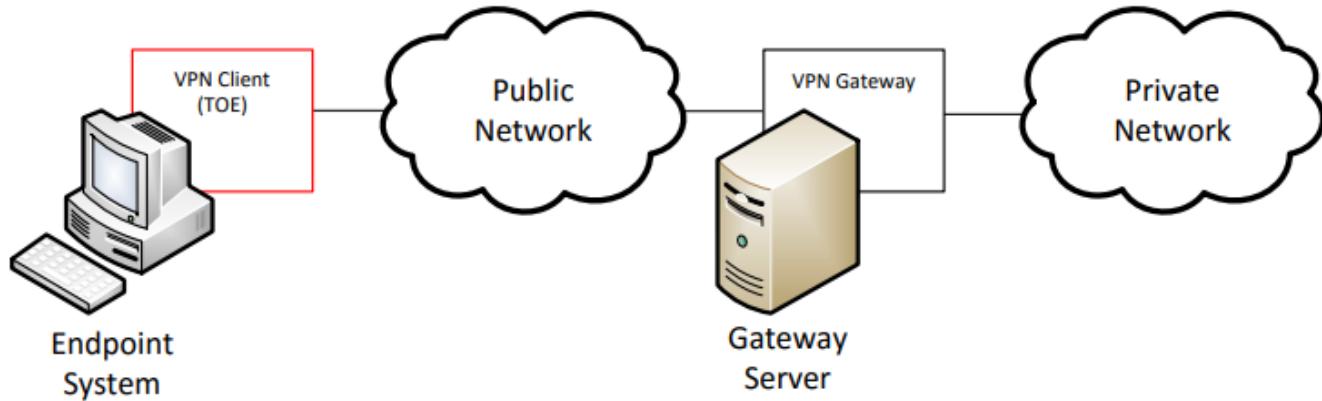


Figure 1: TOE to VPN Gateway

[USE CASE 2] TOE to VPN Client

A VPN client may additionally or alternatively allow a client computer to connect directly to another computer running a VPN client (see [Figure 2](#)). In this case, the functionality of the VPN client is to connect directly to another endpoint system to facilitate point-to-point communications with that system.

IPsec transport mode is used for end-to-end communications. In this use case, the content of the packet data (payload) is encrypted but the original IP header is preserved. Inherent to this use case, when two peers are communicating directly, is the disclosure of the source and destination of the packets. Users should take into consideration any security risks associated with this disclosure when architecting their networks in line with this use case.

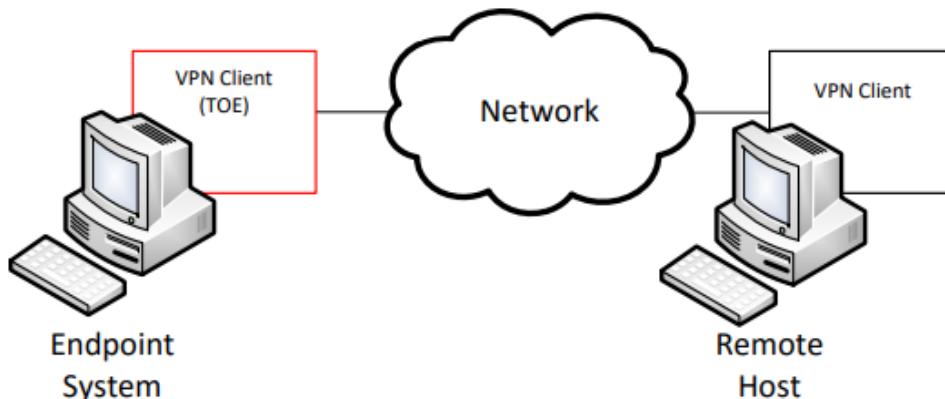


Figure 2: TOE to VPN Client

[USE CASE 3] TOE to IPsec-Capable Network Device

Similar to Use Case 2 above, a VPN client TOE can also be used to establish a secure connection to an IPsec-capable network device using IPsec, similar to how an SSH connection might be used. In this case, where a network device is being managed remotely over an IPsec connection, the network device itself must contain IPsec functionality to act as the peer for the connection (see [Figure 3](#)).

While this will behave functionally the same way as the scenario described by Use Case 2, the user of the TOE in Use Case 3 is a network administrator who is assumed to have administrative access to the network device they are

connecting to.

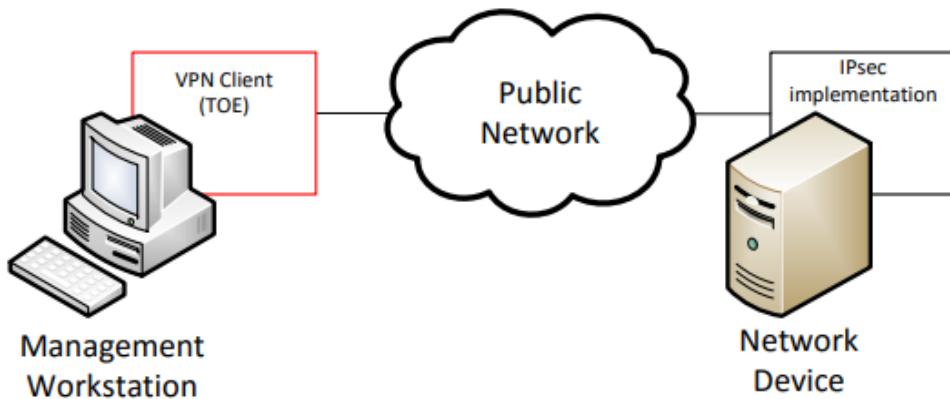


Figure 3: TOE to IPsec-Capable Network Device

1.5 Package Usage

This section contains selections and assignments that are required when the listed Functional Packages are claimed by this PP-Module.

Package Usage guidance defined in the TOE's relevant Base-PP applies to the usage of the packages for this module, unless explicitly stated otherwise in this section.

Functional Package for X.509, Version 1.0

Certificate Verification and Assertion Required in FIA_XCU_EXT.1.1

Because this PP-Module mandates support for mutual authentication for TLS, the ST author shall select the options to verify and assert certificate identities in FIA_XCU_EXT.1.1.

Required Selections for FIA_X509_EXT.2.1

The ST author shall ensure that the assignment and selections in FIA_X509_EXT.2.1 reflect the usage of X.509 certificates for IPsec exchanges. If the TOE supports EAP-TLS and claims FCS_EAP_EXT.1, the ST author shall ensure that the assignment and selections within FIA_X509_EXT.2.1 reflect the usage of X.509 for EAP-TLS. Other selections and assignments may be made as appropriate for other TOE functionality.

Functional Package for Transport Layer Security (TLS), Version 2.1

TLS or DTLS Client Functionality Required in FCS_TLS_EXT.1.1 for EAP-TLS

This usage requirement applies only if the TOE supports EAP-TLS. The ST author shall select the option to support client functionality for TLS or DTLS in FCS_TLS_EXT.1.1. The selection shall correspond with other protocol selections made in FCS_EAP_EXT.1.

Mutual Authentication Required in FCS_TLSC_EXT.1.1 or FCS_DTLSC_EXT.1.1 for EAP-TLS

This usage requirement applies only if the TOE supports EAP-TLS. The ST author shall select the option to support mutual authentication for TLS or DTLS, according with the protocol support selected in FCS_TLS_EXT.1.1.

1.6 Requirements Focus

Regardless of the specific usage of the TOE, the focus of the Security Functional Requirements (SFRs) in this PP-Module is on the following fundamental aspects of a VPN client.

- Authentication of the IPsec peer
- Cryptographic protection of data in transit
- Implementation of services

A VPN client can establish VPN connectivity to either a VPN gateway with traffic bound for a remote endpoint in the private network that is protected by the VPN gateway (Use Case 1), to a VPN client peer residing on a remote endpoint in the same

network as the **TOE** (Use Case 2), or to a network device with IPsec capability for the purposes of managing that device (Use Case 3). In the first case, the entire **IP** packet is encapsulated and a new header is applied so that the gateway can route the packet to its intended destination. This is known as tunnel mode. In the latter two cases, the original **IP** header is preserved and only the payload is encrypted. This is known as transport mode.

Beyond the implementation differences specified by these use cases, the remaining security functionality is expected to be implemented by all **VPN** clients, regardless of whether it supports one or more of the use cases. Regardless of the intended use case, **VPN** endpoints authenticate each other to ensure they are communicating with an authorized external **IT** entity.

Authentication of IPsec peers is performed as part of the Internet Key Exchange (**IKE**) negotiation. The **IKE** negotiation uses a pre-existing public key infrastructure for authentication and can optionally use a pre-shared key. When **IKE** completes, an IPsec tunnel secured with Encapsulating Security Payload (**ESP**) is established.

It is assumed that the **VPN** client is implemented properly and contains no critical design mistakes. The **VPN** client relies on the system or device on which it is installed for its proper execution. The vendor is required to provide configuration guidance (AGD_PRE, AGD_OPE) to correctly install and administer the client machine and the **TOE** for every **OE** supported.

2 Conformance Claims

Conformance Statement

An ST must claim exact conformance to this PP-Module.

The evaluation methods used for evaluating the TOE are a combination of the workunits defined in [CEM] as well as the Evaluation Activities for ensuring that individual SFRs and SARs have a sufficient level of supporting evidence in the Security Target and guidance documentation and have been sufficiently tested by the laboratory as part of completing ATE_IND.1. Any functional packages this PP claims similarly contain their own Evaluation Activities that are used in this same manner.

CC Conformance Claims

This PP-Module is conformant to Part 2 (extended) and Part 3 (extended) of Common Criteria CC:2022, Revision 1.

PP Claim

This PP-Module does not claim conformance to any Protection Profile.

The following PPs and PP-Modules are allowed to be specified in a PP-Configuration with this PP-Module:

- Protection Profile for General Purpose Operating Systems, Version 5.0
- Protection Profile for Mobile Device Fundamentals, Version 4.0
- Protection Profile for Mobile Device Management, Version 5.0
- Protection Profile for Application Software, Version 2.0
- cPP-Module for Wireless LAN Clients, version 1.1
- PP-Module for Bluetooth, version 1.1
- PP-Module for Mobile Device Management Agent, version 2.0
- cPP-Module for Biometric Enrolment and Verification, version 1.1

Package Claim

- This PP-Module is Functional Package for Transport Layer Security Version 2.1 conformant.
- This PP-Module is Functional Package for X.509 Version 1.0 conformant.
- This PP-Module is Assurance Package for Flaw Remediation Version 1.0 conformant.

The functional packages to which the PP conforms may include SFRs that are not mandatory to claim for the sake of conformance. An ST that claims one or more of these functional packages may include any non-mandatory SFRs that are appropriate to claim based on the capabilities of the TSF and on any triggers for their inclusion based inherently on the SFR selections made.

3 Security Problem Definition

The security problem is described in terms of the threats that the TOE is expected to address, assumptions about its QE, and any organizational security policies that the TOE is expected to enforce.

This PP-Module is written to address the situation in which a user accesses a private network (e.g., the user's office network) or terminal endpoint (e.g., a network device) using a less trusted network (such as a public Wi-Fi network or local area network). Protection of network packets is desired as they traverse a public network. To protect the data in transit from disclosure and modification, a VPN is created to establish secure communications. The VPN client provides one end of the secure VPN tunnel and performs encryption and decryption of network packets in accordance with a VPN security policy negotiated between the VPN client (TOE) and its IPsec peer.

The proper installation and configuration of the VPN client is critical to its correct operation such that proper handling of the TOE by an administrator is also addressed.

Note that as a PP-Module, all threats, assumptions, and organizational security policies (OSPs) defined in the Base-PP will also apply to a TOE unless otherwise specified, depending on which of the Base-PPs it extends. The SFRs defined in this PP-Module will mitigate the threats that are defined in the PP-Module but may also mitigate some threats defined in the Base-PPs in more comprehensive detail due to the specific capabilities provided by a VPN client.

3.1 Threats

The following threats defined in this PP-Module extend the threats defined by the Base-PPs.

T.TSF_CONFIGURATION

Configuring VPN tunnels is a complex and time-consuming process, and prone to errors if the interface for doing so is not well-specified or well-behaved. The inability or failure of an ignorant or careless administrator to configure certain aspects of the interface may also lead to the incorrect specification of the desired communications policy or use of cryptography that may be desired or required for a particular site. This may result in unintended weak or plaintext communications while the user thinks that their data are being protected. Other aspects of configuring the TOE or using its security mechanisms (for example, the update process) may also result in a reduction in the trustworthiness of the VPN client.

T.TSF_FAILURE

Security mechanisms of the TOE generally build up from a primitive set of mechanisms (e.g., memory management, privileged modes of process execution) to more complex sets of mechanisms. Failure of the primitive mechanisms could lead to a compromise in more complex mechanisms, resulting in a compromise of the TSF.

T.UNAUTHORIZED_ACCESS

This PP-Module does not include requirements that can protect against an insider threat. Authorized users are not considered hostile or malicious and are trusted to follow appropriate guidance. Only authorized personnel should have access to the system or device that contains the IPsec VPN client. Therefore, the primary threat agents are the unauthorized entities that try to gain access to the protected network (in cases where tunnel mode is used) or to plaintext data that traverses the public network (regardless of whether transport mode or tunnel mode is used).

The endpoint of the network communication can be both geographically and logically distant from the TOE and can pass through a variety of other systems. These intermediate systems may be under the control of the adversary, and offer an opportunity for communications over the network to be compromised.

Plaintext communication over the network may allow critical data (such as passwords, configuration settings, and user data) to be read or manipulated directly by a malicious user or process on intermediate systems, leading to a compromise of the TOE or to the secured environmental systems that the TOE is being used to facilitate communications with. IPsec can be used to provide protection for this communication; however, there are numerous options that can be implemented for the protocol to be compliant to the protocol specification listed in the RFC. Some of these options can have negative

impacts on the security of the connection. For instance, using a weak encryption algorithm (even one that is allowed by the RFC, such as DES) can allow an adversary to read and even manipulate the data on the encrypted channel, thus circumventing countermeasures in place to prevent such attacks. Further, if the protocol is implemented with little-used or non-standard options, it may be compliant with the protocol specification, but will not be able to interact with other diverse equipment that is typically found in large enterprises.

Even though the communication path is protected, there is a possibility that the IPsec peer could be tricked into thinking that a malicious third-party user or system is the TOE. For instance, a middleman could intercept a connection request to the TOE and respond to the request as if it were the TOE. In a similar manner, the TOE could also be tricked into thinking that it is establishing communications with a legitimate IPsec peer when in fact it is not. An attacker could also mount a malicious man-in-the-middle-type of attack, in which an intermediate system is compromised, and the traffic is proxied, examined, and modified by this system. This attack can even be mounted via encrypted communication channels if appropriate countermeasures are not applied. These attacks are, in part, enabled by a malicious attacker capturing network traffic (for instance, an authentication session) and “playing back” that traffic in order to fool an endpoint into thinking it was communicating with a legitimate remote entity.

T.USER_DATA_REUSE

Data traversing the TOE could inadvertently be sent to a different user as a consequence of a poorly-designed TOE; since these data may be sensitive, this may cause a compromise that is unacceptable. The specific threat that must be addressed concerns user data that is retained by the TOE in the course of processing network traffic that could be inadvertently reused in sending network traffic to a user other than that intended by the sender of the original network traffic.

3.2 Assumptions

These assumptions are made on the Operational Environment (OE) in order to be able to ensure that the security functionality specified in the PP-Module can be provided by the TOE. If the TOE is placed in an OE that does not meet these assumptions, the TOE may no longer be able to provide all of its security functionality.

A.NO_TOE_BYPASS

Information cannot flow onto the network to which the VPN client's host is connected without passing through the TOE.

A.PHYSICAL

Physical security, commensurate with the value of the TOE and the data it contains, is assumed to be provided by the environment.

A.TRUSTED_CONFIG

Personnel configuring the TOE and its OE will follow the applicable security configuration guidance.

3.3 Organizational Security Policies

This PP defines no Organizational Security Policies beyond those defined in the claimed Base-PP(s).

4 Security Objectives

4.1 Security Objectives for the Operational Environment

The QE of the TOE implements technical and procedural measures to assist the TOE in correctly providing its security functionality (which is defined by the security objectives for the TOE). The security objectives for the QE consist of a set of statements describing the goals that the QE should achieve. This section defines the security objectives that are to be addressed by the IT domain or by non-technical or procedural means. The assumptions identified in Section 3 are incorporated as security objectives for the environment.

OE.NO_TOE_BYPASS

Information cannot flow onto the network to which the VPN client's host is connected without passing through the TOE.

OE.PHYSICAL

Physical security, commensurate with the value of the TOE and the data it contains, is assumed to be provided by the environment.

OE.TRUSTED_CONFIG

Personnel configuring the TOE and its QE will follow the applicable security configuration guidance.

4.2 Security Objectives Rationale

This section describes how the assumptions and organizational security policies map to operational environment security objectives.

Table 1: Security Objectives Rationale

| Assumption or OSP | Security Objectives | Rationale |
|-------------------|---------------------|--|
| A.NO_TOE_BYPASS | OE.NO_TOE_BYPASS | This assumption is satisfied by the environmental objective that ensures network routes do not exist that allow traffic to be transmitted from the <u>TOE</u> system to its intended destination without going through the <u>TOE</u> 's IPsec tunnel. |
| A.PHYSICAL | OE.PHYSICAL | This assumption is satisfied by the environmental objective that ensures the <u>TOE</u> is not deployed on a system that is vulnerable to loss of physical custody. |
| A.TRUSTED_CONFIG | OE.TRUSTED_CONFIG | This assumption is satisfied by the environmental objective that ensures that anyone responsible for administering the <u>TOE</u> can be trusted not to misconfigure it, whether intentionally or not. |

5 Security Requirements

This chapter describes the security requirements which have to be fulfilled by the product under evaluation. Those requirements comprise functional components from Part 2 and assurance components from Part 3 of [CC]. The following conventions are used for the completion of operations:

- **Refinement** operation (denoted by **bold text** or ~~strikethrough text~~): Is used to add details to a requirement or to remove part of the requirement that is made irrelevant through the completion of another operation, and thus further restricts a requirement.
- **Selection** (denoted by *italicized text*): Is used to select one or more options provided by the [CC] in stating a requirement.
- **Assignment** operation (denoted by *italicized text*): Is used to assign a specific value to an unspecified parameter, such as the length of a password. Showing the value in square brackets indicates assignment.
- **Iteration** operation: Is indicated by appending the SFR name with a slash and unique identifier suggesting the purpose of the operation, e.g. "/EXAMPLE1."

5.1 Protection Profile for Protection Profile for General Purpose Operating System Security Functional Requirements Direction

In a PP-Configuration that includes the GPOS PP, the VPN client is expected to rely on some of the security functions implemented by the QS as a whole and evaluated against the Base-PP. In this case, the following sections describe any modifications that the ST author must make to the SFRs defined in the Base-PP in addition to what is mandated by section 5.5.

5.1.1 Modified SFRs

The SFRs listed in this section are defined in the GPOS PP and relevant to the secure operation of the TOE.

5.1.1.1 Cryptographic Support (FCS)

FCS_CKM.1: Cryptographic Key Generation

This SFR is functionally identical to what is defined in the GPOS PP except that ECC key generation with support for P-384 has been made mandatory in support of IPsec due to the mandated support for Diffie-Hellman (DH) group 20 in FCS_IPSEC_EXT.1.8.

The text of the requirement is replaced with:

The TSF shall generate **asymmetric** cryptographic keys in accordance with a specified cryptographic key generation algorithm

- **ECC schemes using ["NIST curves" P-384 and [selection: P-521, no other curves]] that meet the following: FIPS PUB 186-5, "Digital Signature Standard (DSS)," Appendix A.2 and**

[selection:

- CNSA 2.0 Compliant Algorithms: [selection:
 - Leighton-Micali Signature Algorithm using the parameter sets [selection: LMS_SHAKE_M24_H5, LMS_SHAKE_M24_H10, LMS_SHAKE_M24_H15, LMS_SHAKE_M24_H25, LMS_SHAKE_M32_H5, LMS_SHAKE_M32_H10, LMS_SHAKE_M32_H15, LMS_SHAKE_M32_H25, LMS_SHA256_M24_H5, LMS_SHA256_M24_H10, LMS_SHA256_M24_H15, LMS_SHA256_M24_H25, LMS_SHA256_M32_H5, LMS_SHA256_M32_H10, LMS_SHA256_M32_H15, LMS_SHA256_M32_H25] that meet the following [NIST SP 800-208, "Recommendation for Stateful Hash-Based Signature Schemes"]
 - eXtended Merkle Signature Scheme Algorithm using the parameter sets [selection: XMSS-SHA2_10_192, XMSS-SHA2_16_192, XMSS-SHA2_20_192, XMSS-SHA2_10_256, XMSS-

- SHA2_16_256, XMSS-SHA2_20_256, XMSS-SHAKE_10_192, XMSS-SHAKE_16_192, XMSS-SHAKE_20_192, XMSS-SHAKE_10_256, XMSS-SHAKE_16_256, XMSS-SHAKE_20_256] that meets the following: [NIST SP 800-208, "Recommendation for Stateful Hash-Based Signature Schemes"]*
 - *Module-Lattice-Based Key-Encapsulation Mechanism Standard using the parameter set ML-KEM-1024 that meets the following: [FIPS 203, Module-Lattice-Based Key-Encapsulation Mechanism Standard]*
 - *Module-Lattice-Based Digital Signature Standard using the parameter set ML-DSA-87 that meets the following [FIPS 204, Module-Lattice-Based Digital Signature Standard]*
 - CNSA 1.0 Compliant Algorithms: **[selection:**
 - RSA schemes using cryptographic key sizes of **[assignment:** 3072-bit or greater] that meet the following: FIPS PUB 186-5, "Digital Signature Standard (DSS)", Appendix A.1
 - **ECC** schemes using "safe-prime" groups **[selection:** MODP-3072, MODP-4096, MODP-6144, MODP-8192, ffdhe-3072, ffdhe-4096, ffdhe-6144, ffdhe-8192] that meet the following: 'NIST Special Publication 800-56A Revision 3, "Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography"', and **[selection:** RFC 3526, RFC 7919]
 - **No other key generation methods**
-].

FCS_CKM.2: Cryptographic Key Establishment

This SFR is functionally identical to what is defined in the GPOS PP except that ECC key generation with support for P-384 has been made mandatory in support of IPsec due to the mandated support for DH group 20 in [FCS_IPSEC_EXT.1.8](#).

The text of the requirement is replaced with:

The TSF shall **implement functionality to perform cryptographic key establishment** in accordance with a specified cryptographic key **establishment** method:

- *Elliptic curve-based key establishment schemes that meet the following: NIST Special Publication 800-56A Revision 3, "Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography," and*

[selection:

 - CNSA 2.0 Compliant Algorithm:
 - *Module-Lattice-Based Key-Encapsulation Mechanism Standard using the parameter set ML-KEM-1024 that meets the following: [FIPS 203, Module-Lattice-Based Key-Encapsulation Mechanism Standard]*
 - CNSA 1.0 Compliant Algorithm:
 - *Finite field-based key establishment schemes using "safe-prime" groups that meets NIST Special Publication 800-56A Revision 3, "Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography"*
 - **No other key establishment methods**

].

FCS_COP.1/ENCRYPT: Cryptographic Operation - Encryption/Decryption

This SFR is identical to what is defined in the GPOS PP except that support for GCM mode is mandatory in order to address the requirements for [FCS_IPSEC_EXT.1](#).

The text of the requirement is replaced with:

The TSF shall perform **[encryption/decryption services for data]** in accordance with a specified cryptographic algorithm

- **AES-CBC (as defined in NIST SP 800-38A),**
- **AES-GCM (as defined in NIST SP 800-38D),**

and **[selection:**

- **AES-XTS (as defined in NIST SP 800-38E)**

- *AES-CTR (as defined in NIST SP 800-38A)*
- *AES Key Wrap (KW) (as defined in NIST SP 800-38F)*
- *AES Key Wrap with Padding (KWP) (as defined in NIST SP 800-38F)*
- *AES-CCMP-256 (as defined in NIST SP 800-38C and IEEE 802.11ac-2013)*
- *AES-GCMP-256 (as defined in NIST SP 800-38D and IEEE 802.11ac-2013)*
- *AES-CCM (as defined in NIST SP 800-38D)*
- *no other modes*

] and cryptographic key sizes 256-bit and [**selection:** 128-bit, no other bit size].

5.1.2 Additional SFRs

This section defines additional SFRs that must be added to the TOE boundary in order to implement the functionality in any PP-Configuration where the GPOS PP is claimed as the Base-PP.

5.1.2.1 Auditable Events for GPOS PP Additional SFRs

Table 2: Auditable Events for GPOS PP Additional SFRs

| Requirement | Auditable Events | Additional Audit Record Contents |
|----------------|---|---|
| FCS_CKM_EXT.2 | No events specified | N/A |
| FIA_X509_EXT.4 | No events specified | N/A |
| FTP_ITC.1 | Initiation of the trusted channel. | Identification of the initiator and target. |
| | Termination of the trusted channel. | No additional information. |
| | Failure of the trusted channel functions. | Identification of the initiator and target, reason for failure. |

5.1.2.2 Cryptographic Support (FCS)

FCS_CKM_EXT.2 Cryptographic Key Storage

FCS_CKM_EXT.2.1

The [**selection:** *VPN client, QS*] shall store persistent secrets and private keys when not in use in *QS*-provided key storage.

Application Note: This requirement ensures that persistent secrets (credentials, secret keys) and private keys are stored securely when not in use. If some secrets or keys are manipulated by the *VPN* client and others are manipulated by the *QS*, then both of the selections can be specified by the *ST* author.

5.1.2.3 Identification and Authentication (FIA)

FIA_X509_EXT.4 X.509 Certificate Use and Management

FIA_X509_EXT.4.1

The *TSF* shall use X.509v3 certificates as defined by *RFC 5280* to support authentication for IPsec exchanges, and [**selection:** *digital signatures for FPT_TUD_EXT.1, integrity checks for FPT_TST_EXT.1, no additional uses*].

FIA_X509_EXT.4.2

When a connection to determine the validity of a certificate cannot be established, the [**selection, choose one of:** *VPN client, QS*] shall [**selection, choose one of:** *allow the administrator to choose whether to accept the certificate in these cases, accept the certificate, not accept the certificate*].

Application Note: Oftentimes a connection must be established to perform a verification of the revocation status of a certificate - either to download a certificate revocation list (CRL) or

to use the online certificate status protocol (OCSP) to check revocation status. The selection is used to describe the behavior in the event that such a connection cannot be established (for example, due to a network error). The behavior of the TOE in these cases is described by the second selection. If the TOE has determined the certificate is valid according to all other rules in FIA_X509_EXT.1 in [Functional Package for X.509, version 1.0](#), the behavior indicated in the second selection will determine the validity. The TOE must not accept the certificate if it fails any of the other validation rules in FIA_X509_EXT.1 in [Functional Package for X.509, version 1.0](#). If the administrator-configured option is selected by the ST Author, the ST author must also make the appropriate selection in [FMT_SMF.1/VPN](#).

FIA_X509_EXT.4.3

The [selection, choose one of: *VPN client, QS*] shall not establish an SA if a certificate or certificate path is deemed invalid.

5.1.2.4 Trusted Path/Channels (FTP)

FTP_ITC.1 Inter-TSF Trusted Channel

FTP_ITC.1.1

The [selection, choose one of: *VPN client, QS*] shall use IPsec to provide a trusted communication channel between itself and [selection]:

- *a remote VPN gateway*
- *a remote VPN client*
- *a remote IPsec-capable network device*

] that is logically distinct from other communication channels and provides assured identification of its end points and protection of the channel data from **disclosure and detection of modification of the channel data**.

FTP_ITC.1.2

The [selection, choose one of: *VPN client, QS*] shall permit [*the TSF*] to initiate communication via the trusted channel.

FTP_ITC.1.3

The [selection, choose one of: *VPN client, QS*] shall initiate communication via the trusted channel for [*all traffic traversing that connection*].

Application Note: The intent of the above requirement is to demonstrate that IPsec can be used to establish remote communications in transport mode, tunnel mode, or both.

The requirement implies that not only are communications protected when they are initially established, but also on resumption after an outage. It may be the case that some part of the TOE setup involves manually setting up tunnels to protect other communication, and if after an outage the TOE attempts to reestablish the communication automatically with (the necessary) manual intervention, there may be a window created where an attacker might be able to gain critical information or compromise a connection.

5.2 Protection Profile for Protection Profile for Mobile Device Fundamentals Security Functional Requirements Direction

In a PP-Configuration that includes the MDF PP, the VPN client is expected to rely on some of the security functions implemented by the QS as a whole and evaluated against the Base-PP. In this case, the following sections describe any modifications that the ST author must make to the SFRs defined in the Base-PP in addition to what is mandated by section 5.5.

5.2.1 Modified SFRs

The SFRs listed in this section are defined in the MDF PP and relevant to the secure operation of the TOE.

5.2.1.1 Cryptographic Support (FCS)

FCS_CKM.1: Cryptographic Key Generation

This ~~SER~~ is functionally identical to what is defined in the MDF ~~PP~~ except that elliptic curve cryptography (ECC) key generation with support for P-384 has been made mandatory in support of IPsec due to the mandated support for DH group 20 in [FCS_IPSEC_EXT.1.8](#). Curve25519 schemes remain selectable for their potential use in satisfying FDP_DAR_EXT.2.2 in the MDF ~~PP~~; these schemes are not used in support of IPsec. RSA support remains present as a selection since it may be used by parts of the ~~TOE~~ that are not specifically related to VPN client functionality.

The text of the requirement is replaced with:

The ~~TSF~~ shall generate **asymmetric** cryptographic keys in accordance with a specified cryptographic key generation algorithm

- *ECC schemes using [“NIST curves” P-384 and [selection: P-521, no other curves]] that meet the following: FIPS PUB 186-5, “Digital Signature Standard (DSS),” Appendix A.2*

and [selection]:

- *CNSA 2.0 Compliant Algorithms: [selection:
 - Leighton-Micali Signature Algorithm using the parameter sets [selection: LMS_SHAKE_M24_H5, LMS_SHAKE_M24_H10, LMS_SHAKE_M24_H15, LMS_SHAKE_M24_H25, LMS_SHAKE_M32_H5, LMS_SHAKE_M32_H10, LMS_SHAKE_M32_H15, LMS_SHAKE_M32_H25, LMS_SHA256_M24_H5, LMS_SHA256_M24_H10, LMS_SHA256_M24_H15, LMS_SHA256_M24_H25, LMS_SHA256_M32_H5, LMS_SHA256_M32_H10, LMS_SHA256_M32_H15, LMS_SHA256_M32_H25] that meet the following [NIST SP 800-208, "Recommendation for Stateful Hash-Based Signature Schemes"]
 - eXtended Merkle Signature Scheme Algorithm using the parameter sets [selection: XMSS-SHA2_10_192, XMSS-SHA2_16_192, XMSS-SHA2_20_192, XMSS-SHA2_10_256, XMSS-SHA2_16_256, XMSS-SHA2_20_256, XMSS-SHAKE_10_192, XMSS-SHAKE_16_192, XMSS-SHAKE_20_192, XMSS-SHAKE_10_256, XMSS-SHAKE_16_256, XMSS-SHAKE_20_256] that meets the following: [NIST SP 800-208, "Recommendation for Stateful Hash-Based Signature Schemes"]
 - Module-Lattice-Based Key-Encapsulation Mechanism Standard using the parameter set ML-KEM-1024 that meets the following: [FIPS 203, Module-Lattice-Based Key-Encapsulation Mechanism Standard]
 - Module-Lattice-Based Digital Signature Standard using the parameter set ML-DSA-87 that meets the following [FIPS 204, Module-Lattice-Based Digital Signature Standard]]*
- *CNSA 1.0 Compliant Algorithms: [selection:
 - RSA schemes using cryptographic key sizes of [assignment: 3072 bits or greater] that meet the following: FIPS PUB 186-5, "Digital Signature Standard (DSS)", Appendix A.1
 - ECC schemes using "safe-prime" groups [selection: MODP-3072, MODP-4096, MODP-6144, MODP-8192, ffdhe-3072, ffdhe-4096, ffdhe-6144, ffdhe-8192] that meet the following: 'NIST Special Publication 800-56A Revision 3, "Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography"', and [selection: RFC 3526, RFC 7919]]*
- *Non-CNSA Algorithms:
 - ECC schemes using Curve25519 schemes that meet the following: [RFC 7748]]*
- **No other key generation methods**

].

FCS_CKM.2/UNLOCKED: Cryptographic Key Establishment (When Unlocked)

This ~~SER~~ differs from its definition in the MDF ~~PP~~ by moving elliptic curve-based key establishment schemes from selectable to mandatory, due to the mandated support for DH group 20 in [FCS_IPSEC_EXT.1.8](#). This ~~PP-Module~~ does not require the use of RSA for any function but it is present in the selection in case other MDF ~~PP~~ functions require its use.

The text of the requirement is replaced with:

The ~~TSF~~ shall perform **cryptographic key establishment** in accordance with a specified cryptographic key establishment method:

- [Elliptic curve-based key establishment schemes] that meet the following: [NIST Special Publication 800-56A Revision 3, “Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography”]

[selection:

- CNSA 2.0 Compliant Algorithm:
 - Module-Lattice-Based Key-Encapsulation Mechanism Standard using the parameter set ML-KEM-1024 that meets the following: [FIPS 203, Module-Lattice-Based Key-Encapsulation Mechanism Standard]
- CNSA 1.0 Compliant Algorithm:
 - Finite field-based key establishment schemes using "safe-prime" groups that meets NIST Special Publication 800-56A Revision 3, “Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography”
- No other key establishment methods

].

FCS_COP.1/ENCRYPT: Cryptographic Operation

This SFR is identical to what is defined in the MDF PP except that support for GCM mode and support for 256-bit key sizes are both mandatory in order to address the requirements for FCS_IPSEC_EXT.1.

The text of the requirement is replaced with:

The TSF shall perform [*encryption/decryption*] in accordance with a specified cryptographic algorithm: [

- AES-CBC (as defined in FIPS PUB 197, and NIST SP 800-38A) mode
- AES-CCMP-256 (as defined in NIST SP 800-38C and IEEE 802.11ac-2013),
- AES-GCM (as defined in NIST SP 800-38D), and
- [selection:
 - AES Key Wrap (KW) (as defined in NIST SP 800-38F)
 - AES Key Wrap with Padding (KWP) (as defined in NIST SP 800-38F)
 - AES-CCM (as defined in NIST SP 800-38C)
 - AES-XTS (as defined in NIST SP 800-38E) mode
 - AES-GCMP-256 (as defined in NIST SP 800-38D and IEEE 802.11ac-2013)
 - no other modes

]

] and cryptographic key sizes [256 bits].

5.2.1.2 User Data Protection (FDP)

FDP_IFC_EXT.1: Subset Information Flow Control

This SFR is identical to its definition in the Base-PP except that the selection item that requires the TOE to implement its own VPN client is always selected when the TOE's conformance claim includes this PP-Module.

The text of the requirement is replaced with:

The TSF shall [

- provide a VPN client which can protect all IP traffic using IPsec as defined in the PP-Module for VPN Client
-] with the exception of IP traffic needed to manage the VPN connection, and [selection: assignment: traffic needed for correct functioning of the TOE], no other traffic] when the VPN is enabled.

5.2.1.3 Security Management (FMT)

FMT_SMF_EXT.1: Specification of Management Functions

This **PP-Module** requires that Always On **VPN** protection be enabled across the entire device and does not permit this to be applied at the level of an application or group of application processes.

This **SFR** is not reproduced in its entirety for size purposes. The only change to this **SFR** is the following change to management function 45:

| | | | | |
|---|----------|---|---|---|
| 45. enable/disable the Always On VPN protection: <ul style="list-style-type: none"> • across device • [no other method] | M | O | O | O |
|---|----------|---|---|---|

5.2.1.4 Trusted Path/Channels (FTP)

FTP_ITC_EXT.1: Trusted Channel Communication

This **SFR** is identical to what is defined in the **Base-PP** except that support for IPsec is mandated. Additionally, since the **Base-PP** requires ‘at least one of’ the selected protocols which previously included IPsec, ‘no other protocols’ is now available as an option in the selection.

The text of FTP_ITC_EXT.1.1 is replaced with (the other elements are unaffected):

The **TSF** shall use

- 802.11-2012 in accordance with the [**PP-Module for Wireless LAN Clients, version 1.1**],
- 802.1X in accordance with the [**PP-Module for Wireless LAN Clients, version 1.1**],
- EAP-TLS in accordance with the [**PP-Module for Wireless LAN Clients, version 1.1**],
- Mutually authenticated TLS in accordance with the [**Functional Package for TLS, version 2.1**],
- **IPsec in accordance with the [PP-Module for VPN Clients, version 3.0]**,

and [**selection**]:

- *mutually authenticated DTLS as defined in the Functional Package for TLS, version 2.1*
- *HTTPS*
- *no other*

] protocols to provide a communication channel between itself and another trusted **IT** product using certificates as defined in [**Functional Package for X.509, version 1.0**] that is logically distinct from other communication channels, provides assured identification of its end points, protects channel data from disclosure, and detects modification of the channel data.

5.2.2 Additional SFRs

This section defines additional **SFRs** that must be added to the **TOE** boundary in order to implement the functionality in any **PP-Configuration** where the MDF **PP** is claimed as the **Base-PP**.

5.2.2.1 Auditable Events for MDF PP Additional SFRs

Table 3: Auditable Events for MDF PP Additional SFRs

| Requirement | Auditable Events | Additional Audit Record Contents |
|---------------|---------------------|----------------------------------|
| FDP_VPN_EXT.1 | No events specified | N/A |

5.2.2.2 User Data Protection (FDP)

FDP_VPN_EXT.1 Split Tunnel Prevention

FDP_VPN_EXT.1.1

The **TSF** shall ensure that all **IP** traffic (other than **IP** traffic required to establish the **VPN** connection) flow through the IPsec **VPN** client.

Application Note: This requirement is implementation-dependent on the MDF PP being the Base-PP claimed by the TOE. In this case, this requirement must be claimed.

For all other Base-PPs, this requirement is strictly optional.

This requirement is used when the VPN client is able to enforce the requirement through its own components. This generally will have to be done through using hooks provided by the platform such that the TOE is able to ensure that no IP traffic can flow through other network interfaces.

5.3 Protection Profile for Protection Profile for Application Software Security Functional Requirements Direction

In a PP-Configuration that includes the App PP, the VPN client is expected to rely on some of the security functions implemented by the QS as a whole and evaluated against the Base-PP. In this case, the following sections describe any modifications that the ST author must make to the SFRs defined in the Base-PP in addition to what is mandated by section 5.5.

5.3.1 Modified SFRs

The SFRs listed in this section are defined in the App PP and relevant to the secure operation of the TOE.

5.3.1.1 Cryptographic Support (FCS)

FCS_CKM.1/AK: Cryptographic Asymmetric Key Generation

This SFR is selection-based in the App PP depending on the selection made in FCS_CKM_EXT.1. Because key generation services (whether implemented by the TOE or invoked from the platform) are required for IPsec, this SFR is mandatory for any TOE that claims conformance to this PP-Module.

This SFR is functionally identical to what is defined in the App PP except that ECC key generation with P-384 has been made mandatory in support of IPsec due to the mandated support for DH group 20 in FCS_IPSEC_EXT.1.8. RSA remains present as a selection since it may be used by parts of the TOE that are not specifically related to VPN client functionality. The selection for "no other key generation methods" was added in case the algorithms that IPsec requires are the TSF's only use of key generation.

The text of the requirement is replaced with:

The **application** shall [selection]:

- *invoke platform-provided functionality*
- *implement functionality*

] to generate **asymmetric** cryptographic keys in accordance with a specified cryptographic key generation algorithm

- [ECC schemes] using [“NIST curves” P-384 and [selection: P-521, no other curves]] that meet the following: [FIPS PUB 186-5, “Digital Signature Standard (DSS),” Appendix A.2]

and [selection]:

- [RSA schemes] using cryptographic key sizes of [assignment: 3072-bit or greater] that meet the following: [FIPS PUB 186-5, “Digital Signature Standard (DSS),” Appendix A.1]
- [FFC Schemes] using [“safe-prime” groups] [selection:
 - MODP-3072
 - MODP-4096
 - MODP-6144
 - MODP-8192
 - ffdhe-3072
 - ffdhe-4096
 - ffdhe-6144
 - ffdhe-8192

] that meet the following: [NIST Special Publication 800-56A Revision 3, “Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography” and [selection: RFC 3526, RFC 7919]]

- **Leighton-Micali Signature Algorithm** using the parameter sets

- LMS_SHAKE_M24_H5
- LMS_SHAKE_M24_H10
- LMS_SHAKE_M24_H15
- LMS_SHAKE_M24_H25
- LMS_SHAKE_M32_H5
- LMS_SHAKE_M32_H10
- LMS_SHAKE_M32_H15
- LMS_SHAKE_M32_H25
- LMS_SHA256_M24_H5
- LMS_SHA256_M24_H10
- LMS_SHA256_M24_H15
- LMS_SHA256_M24_H25
- LMS_SHA256_M32_H5
- LMS_SHA256_M32_H10
- LMS_SHA256_M32_H15
- LMS_SHA256_M32_H25

] that meet the following: [NIST SP 800-208, "Recommendation for Stateful Hash-Based Signature Schemes"]

- **eXtended Merkle Signature Scheme Algorithm** using the parameter sets

- XMSS-SHA2_10_192
- XMSS-SHA2_16_192
- XMSS-SHA2_20_192
- XMSS-SHA2_10_256
- XMSS-SHA2_16_256
- XMSS-SHA2_20_256
- XMSS-SHAKE_10_192
- XMSS-SHAKE_16_192
- XMSS-SHAKE_20_192
- XMSS-SHAKE_10_256
- XMSS-SHAKE_16_256
- XMSS-SHAKE_20_256

] bits that meets the following: [NIST SP 800-208, "Recommendation for Stateful Hash-Based Signature Schemes"]

- **Module-Lattice-Based Key-Encapsulation Mechanism Standard** using the parameter set ML-KEM-1024 that meets the following: [FIPS 203, Module-Lattice-Based Key-Encapsulation Mechanism Standard]
- **Module-Lattice-Based Digital Signature Standard** using the parameter set ML-DSA-87 that meets the following [FIPS 204, Module-Lattice-Based Digital Signature Standard]
- **no other key generation methods**

].

FCS_CKM.2: Cryptographic Key Establishment

This SFR differs from its definition in the App PP by moving elliptic curve-based key establishment schemes from selectable to mandatory due to the mandated support for DH group 20 in FCS_IPSEC_EXT.1.8. The selection for "no other schemes" was added in case the algorithms that IPsec requires are the TSF's only use of key establishment.

The text of the requirement is replaced with:

The application shall [selection:

- **invoke platform-provided functionality**
- **implement functionality**

] to perform cryptographic key establishment in accordance with a specified cryptographic key establishment method:

- [Elliptic curve-based key establishment schemes] that meet the following: [NIST Special Publication 800-56A Revision 3, "Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography"]

[selection:

- [RSA-based key establishment schemes] that meet the following: [NIST Special Publication 800-56B, “Recommendation for Pair-Wise Key Establishment Schemes Using Integer Factorization Cryptography”]
- [FEC Schemes using “safe-prime” groups] that meet the following: ‘NIST Special Publication 800-56A Revision 3, “Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography” and [selection: RFC 3526, RFC 7919]
- Module-Lattice-Based Key-Encapsulation Mechanism Standard using the parameter set ML-KEM-1024 that meets the following: [FIPS 203, Module-Lattice-Based Key-Encapsulation Mechanism Standard]
- no other key establishment schemes

].

FCS_CKM_EXT.1: Cryptographic Key Generation Services

This selection differs from its definition in the App PP by removing the selection for “generate no asymmetric cryptographic keys” for this PP-Module because a VPN client TOE will either perform its own key generation or interface with the underlying platform to provide this service, either of which causes FCS_CKM.1/AK to be claimed.

The text of the requirement is replaced with:

The application shall [selection:

- invoke platform-provided functionality for asymmetric key generation
- implement asymmetric key generation

].

FCS_COP.1/SKC: Cryptographic Operation - Encryption/Decryption

This SFR is selection-based in the Base-PP and remains selection-based here because this PP-Module allows for the possibility that the TSF relies on platform-provided cryptographic algorithm services for its own implementation of IPsec. However, if the TSF does claim this SFR to support IPsec, the ST author must select at minimum AES-GCM for consistency with the relevant IPsec claims (FCS_IPSEC_EXT.1.4 and FCS_IPSEC_EXT.1.6 require AES-GCM). The “no other modes” selection is added for the case where no AES claims need to be made beyond what is mandated for IPsec.

The text of the requirement is replaced with:

The application shall [selection: **perform, invoke the platform to perform**] [encryption and decryption] in accordance with a specified cryptographic algorithm

- AES-CBC (as defined in NIST SP 800-38A) mode
- AES-GCM (as defined in NIST SP 800-38D) mode

and [selection:

- AES-XTS (as defined in NIST SP 800-38E) mode
- AES-CCM (as defined in NIST SP 800-38C) mode
- AES-CTR (as defined in NIST SP 800-38A) mode
- no other modes

] and cryptographic key size of [256-bits].

5.3.1.2 Trusted Path/Channels

FTP_DIT_EXT.1: Protection of Data in Transit

This SFR is identical to what is defined in the App PP except that the selection for IPsec is mandated, the ST author is forced to select the “encrypt all transmitted sensitive data” option, and the options for invoking platform-provided IPsec functionality have been removed. Since it is possible for a conformant TOE to implement IPsec while relying on the platform for some other protocol (e.g., using platform-provided TLS to obtain IPsec configuration from a gateway), the other platform-provided protocol selections remain. Additionally, since it is possible that a conformant

TOE may not implement any encryption protocols other than IPsec, “no other protocols” is provided as a selectable option in the list of supported protocols.

The text of the requirement is replaced with:

The application shall [selection]:

- encrypt all transmitted [sensitive data] with **IPsec as defined in the PP-Module for VPN Client and [selection]:**
 - *HTTPS as a client in accordance with FCS_HTTPS_EXT.1 and FCS_HTTPS_EXT.2*
 - *HTTPS as a server in accordance with FCS_HTTPS_EXT.1*
 - *HTTPS as a server using mutual authentication in accordance with FCS_HTTPS_EXT.1 and FCS_HTTPS_EXT.2*
 - *TLS as a server as defined in the Functional Package for TLS and also supports functionality for [selection]: mutual authentication, none*
 - *TLS as a client as defined in the Functional Package for TLS*
 - *DTLS as a server as defined in the Functional Package for TLS and also supports functionality for [selection]: mutual authentication, none*
 - *DTLS as a client as defined in the Functional Package for TLS*
 - *SSH as defined in the Functional Package for Secure Shell*
 - **no other functions**
- *] for [assignment: function(s)] using certificates as defined in the Functional Package for X.509*
- invoke platform-provided functionality to encrypt all transmitted sensitive data with **[selection: HTTPS, TLS, DTLS, SSH]** for **[assignment: function(s)] using certificates as defined in the Functional Package for X.509**

] between itself and another trusted IT product.

5.3.2 Additional SFRs

This section defines additional SFRs that must be added to the TOE boundary in order to implement the functionality in any PP-Configuration where the App PP is claimed as the Base-PP.

5.3.2.1 Auditable Events for App PP Additional SFRs

Table 4: Auditable Events for App PP Additional SFRs

| Requirement | Auditable Events | Additional Audit Record Contents |
|---------------|-----------------------------------|----------------------------------|
| FCS_CKM.6 | Destruction of cryptographic key. | Identification of key. |
| FCS_CKM_EXT.2 | No events specified | N/A |

5.3.2.2 Cryptographic Support (FCS)

FCS_CKM.6 Cryptographic Key Destruction

FCS_CKM.6.1

The **[selection: TOE, TOE platform]** shall destroy **[assignment: list of cryptographic keys (including keying material)]** when **[selection: no longer needed, [assignment: other circumstances for key or keying material destruction]]**.

FCS_CKM.6.2

The TSF shall destroy cryptographic keys and keying material specified by **FCS_CKM.6.1** in accordance with a specified cryptographic key destruction method **[assignment: cryptographic key destruction method]** that meets the following: **[assignment: list of standards]**.

Application Note: Any security related information (such as keys, authentication data, and passwords) must be zeroized when no longer in use to prevent the disclosure or modification of security critical data.

The zeroization indicated above applies to each intermediate storage area for plaintext key or ~~CSP~~ data (i.e., any storage, such as memory buffers, that is included in the path of such data) upon the transfer of the key or ~~CSP~~ to another location.

In practice, the ~~TOE~~ will not implement all of the functionality associated with the requirement, since if it performs zeroization at all it will be by invoking platform interfaces to perform the storage location clear or overwrite function. The ~~ST~~ author should select "TOE" when, for at least one of the keys needed to meet the requirements of this ~~PP-Module~~, the ~~TOE~~ manipulates (reads, writes) the data identified in the requirement and thus needs to ensure that those data are cleared. In these cases, it is sufficient for the ~~TOE~~ to invoke the correct underlying functions of the host to perform the zeroization—it does not imply that the ~~TOE~~ has to include a kernel-mode memory driver to ensure the data are zeroized. The ~~ST~~ author should select "TOE platform" when native ~~QS~~ functionality is used to perform the key destruction.

In the likely event that some of the data are manipulated by the ~~TOE~~ and other data are manipulated entirely by the platform, the ~~ST~~ author must select both options.

FCS_CKM_EXT.2 Cryptographic Key Storage

FCS_CKM_EXT.2.1

The [selection: ~~VPN client, QS~~] shall store persistent secrets and private keys when not in use in platform-provided key storage.

Application Note: This requirement ensures that persistent secrets and private keys are stored securely when not in use. This differs from FCS_STO_EXT.1 in the ~~Base-PP~~, which only applies to secure storage of administrative credentials. If some secrets or keys are manipulated by the ~~TOE~~ and others are manipulated by the platform, then both of the selections can be specified by the ~~ST~~ author.

5.4 Protection Profile for Protection Profile for Mobile Device Management Security Functional Requirements Direction

In a PP-Configuration that includes the MDM PP, the ~~VPN~~ client is expected to rely on some of the security functions implemented by the ~~QS~~ as a whole and evaluated against the ~~Base-PP~~. In this case, the following sections describe any modifications that the ~~ST~~ author must make to the SFRs defined in the ~~Base-PP~~ in addition to what is mandated by section 5.5.

5.4.1 Modified SFRs

The SFRs listed in this section are defined in the MDM PP and relevant to the secure operation of the ~~TOE~~.

5.4.1.1 Cryptographic Support (FCS)

FCS_CKM.1: Cryptographic Key Generation

This SFR is modified from its definition in the MDM PP by mandating the key generation algorithms that are required by this ~~PP-Module~~ in support of IPsec due to the mandated support for DH group 20 in FCS_IPSEC_EXT.1.8. Other selections may be chosen by the ~~ST~~ author as needed for parts of the ~~TOE~~ that are not specifically related to ~~VPN~~ client functionality. The selection for "no other key generation methods" was added in case the algorithms that IPsec requires are the ~~TSF~~'s only use of key generation.

The text of the requirement is replaced with:

The ~~TSF~~ shall [selection:

- *invoke platform-provided functionality*
- *implement functionality*

] to generate **asymmetric** cryptographic keys in accordance with a specified cryptographic key generation algorithm

- [ECC schemes] using [“*NIST curves*” P-384 and [selection: P-521, no other curves]] that meet the following: [FIPS PUB 186-5, “Digital Signature Standard (DSS),” Appendix A.2]

and [selection]:

- [RSA schemes] using cryptographic key sizes of [assignment: 3072-bit or greater] that meet the following: [FIPS PUB 186-5, “Digital Signature Standard (DSS),” Appendix A.1]
- [ECC Schemes] using [“safe-prime” groups] [selection:
 - MODP-3072
 - MODP-4096
 - MODP-6144
 - MODP-8192
 - ffdhe-3072
 - ffdhe-4096
 - ffdhe-6144
 - ffdhe-8192

] that meet the following: [*NIST Special Publication 800-56A Revision 3, “Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography”* and [selection: RFC 3526, RFC 7919]]

- **Leighton-Micali Signature Algorithm** using the parameter sets

- LMS_SHAKE_M24_H5
- LMS_SHAKE_M24_H10
- LMS_SHAKE_M24_H15
- LMS_SHAKE_M24_H25
- LMS_SHAKE_M32_H5
- LMS_SHAKE_M32_H10
- LMS_SHAKE_M32_H15
- LMS_SHAKE_M32_H25
- LMS_SHA256_M24_H5
- LMS_SHA256_M24_H10
- LMS_SHA256_M24_H15
- LMS_SHA256_M24_H25
- LMS_SHA256_M32_H5
- LMS_SHA256_M32_H10
- LMS_SHA256_M32_H15
- LMS_SHA256_M32_H25

] that meet the following: [*NIST SP 800-208, “Recommendation for Stateful Hash-Based Signature Schemes”*]

- **eXtended Merkle Signature Scheme Algorithm** using the parameter sets

- XMSS-SHA2_10_192
- XMSS-SHA2_16_192
- XMSS-SHA2_20_192
- XMSS-SHA2_10_256
- XMSS-SHA2_16_256
- XMSS-SHA2_20_256
- XMSS-SHAKE_10_192
- XMSS-SHAKE_16_192
- XMSS-SHAKE_20_192
- XMSS-SHAKE_10_256
- XMSS-SHAKE_16_256
- XMSS-SHAKE_20_256

] bits that meets the following: [*NIST SP 800-208, “Recommendation for Stateful Hash-Based Signature Schemes”*]

- **Module-Lattice-Based Key-Encapsulation Mechanism Standard** using the parameter set ML-KEM-1024 that meets the following: [FIPS 203, Module-Lattice-Based Key-Encapsulation Mechanism Standard]
- **Module-Lattice-Based Digital Signature Standard** using the parameter set ML-DSA-87 that meets the following [FIPS 204, Module-Lattice-Based Digital Signature Standard]
- **no other key generation methods**

].

FCS_CKM.2: Cryptographic Key Establishment

This SFR is modified from its definition in the MDM PP by mandating the key establishment algorithms that are required by this PP-Module in support of IPsec due to the mandated support for DH group 20 in **FCS_IPSEC_EXT.1.8**. Other selections may be chosen by the ST author as needed for parts of the TOE that are not specifically related to VPN client functionality. The selection for "no other schemes" was added in case the algorithms that IPsec requires are the TSF's only use of key establishment.

The text of the requirement is replaced with:

The TSF shall [selection]:

- *invoke platform-provided functionality*
- *implement functionality*

] in accordance with a specified cryptographic key **establishment** method:

- *Elliptic curve-based key establishment schemes that meet the following: NIST Special Publication 800-56A Revision 3, “Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography,” and*

[selection:]

- *CNSA 2.0 Compliant Algorithm:*
 - *Module-Lattice-Based Key-Encapsulation Mechanism Standard using the parameter set ML-KEM-1024 that meets the following: [FIPS 203, Module-Lattice-Based Key-Encapsulation Mechanism Standard]*
- *CNSA 1.0 Compliant Algorithm:*
 - *Finite field-based key establishment schemes using "safe-prime" groups that meets NIST Special Publication 800-56A Revision 3, “Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography”*
- **No other key establishment methods**

].

FCS_COP.1/CONF_ALG: Cryptographic Operation (Confidentiality Algorithms)

This SFR is modified from its definition in the Base-PP by mandating support for both 256-bit implementations of AES-GCM (which this PP-Module requires for the use of IKE and ESP). Other AES modes may be selected by the ST author as needed to address functions not required by this PP-Module. The "no other modes" selection is added for the case where no AES claims need to be made beyond what is mandated for IPsec.

The text of the requirement is replaced with:

The TSF shall [selection: *invoke platform-provided functionality, implement functionality*] to perform [*encryption and decryption*] in accordance with a specified cryptographic algorithm: [

- **AES-CBC (as defined in FIPS PUB 197, and NIST SP 800-38A) mode**
- **AES-GCM (as defined in NIST SP 800-38D)**

and [selection:]

- *AES Key Wrap (KW) (as defined in NIST SP 800-38F)*
- *AES Key Wrap with Padding (KWP) (as defined in NIST SP 800-38F)*
- *AES-CCM (as defined in NIST SP 800-38C)*
- **no other modes**

] and a cryptographic key size of 256-bits.

5.4.1.2 Protection of the TSF (FPT)

FPT_ITT.1/INTER_XFER: Internal TOE TSF Data Transfer (Distributed MDM Server)

When the MDM TOE claims this PP-Module, at least one of its interfaces will implement IPsec communications. However, this PP-Module does not specify that any one particular interface must be implemented using IPsec. If the TOE is distributed and uses IPsec to secure communications between its distributed components, FPT_ITT.1/INTER_XFER is defined as below.

The text of the requirement is replaced with:

The TSF shall [*implement functionality using [IPsec as defined in the PP-Module for VPN Clients]*] **to** protect **all** data from [*disclosure and modification*] when it is **transferred** between separate parts of the TOE.

This SFR is selection-based in the Base-PP depending on the selections made in the Base-PP requirement FTP_ITC_EXT.1. This is not changed by the PP-Module.

This SFR is modified from its definition in the Base-PP by mandating that the TSF implement IPsec communications and by prohibiting the TOE from relying on platform-provided functionality to implement this.

5.4.1.3 Trusted Path/Channels (FTP)

FTP_ITC.1/INTER_XFER_IT: Inter-TSF Trusted Channel (Authorized IT Entities)

When the MDM TOE claims this PP-Module, at least one of its interfaces will implement IPsec communications. However, this PP-Module does not specify that any one particular interface must be implemented using IPsec. If the TOE uses IPsec to secure communications between itself and external trusted IT entities, FTP_ITC.1/INTER_XFER_IT is refined as noted by the refinements above.

This SFR is refined from its definition in the Base-PP by mandating that the “implement functionality” selection be chosen at minimum for IPsec and by prohibiting the TOE from relying on platform-provided IPsec functionality. Since the TOE may support multiple trusted channel interfaces, the ST author is given the option to select other protocols (SSH, TLS, DTLS, HTTPS) either as being implemented by the TSF or invoked from the platform. The “not invoke or implement any other protocol functionality” is added for the case where the TOE’s implementation of IPsec is the only trusted channel protocol the TSF uses.

The text of FTP_ITC.1.1/INTER_XFER_IT is replaced with (the other elements are unaffected):

The TSF shall

- **implement functionality using IPsec as defined in the PP-Module for VPN Client, and [selection]:**
 - **invoke platform-provided functionality to use [selection]:**
 - **SSH**
 - **mutually authenticated TLS**
 - **mutually authenticated DTLS**
 - **HTTPS**
 - **implement functionality using [selection]:**
 - **SSH as defined in the Functional Package for Secure Shell**
 - **mutually authenticated TLS as defined in the Package for Transport Layer Security**
 - **mutually authenticated DTLS as defined in the Package for Transport Layer Security**
 - **HTTPS in accordance with FCS_HTTPS_EXT.1**
 - **not invoke or implement any other protocol functionality**

] **to provide a trusted communication channel between itself and authorized IT entities supporting the following capabilities: audit server, [selection: authentication server, [assignment: other capabilities]]** that is logically distinct from other communication channels and provides assured identification of its endpoints and protection of the channel data from modification or disclosure.

FTP_TRP.1/TRUSTPATH_Rem_ADMIN: Trusted Path (for Remote Administration)

When the MDM TOE claims this PP-Module, at least one of its interfaces will implement IPsec communications. However, this PP-Module does not specify that any one particular interface must be implemented using IPsec. If the TOE uses IPsec to secure communications between itself and trusted remote administrators, FPT_TRP.1/TRUSTPATH_Rem_ADMIN is refined as below.

This SFR is refined from its definition in the Base-PP by mandating that the “implement functionality” selection be chosen at minimum for IPsec and by prohibiting the TOE from relying on platform-provided IPsec functionality. Since the TOE may support multiple remote administrative interfaces, the ST author is given the option to select other protocols (SSH, TLS, HTTPS) either as being implemented by the TSF or invoked from the platform. The “not invoke or implement any other protocol functionality” is added for the case where the TOE’s implementation of IPsec is the only trusted path protocol the TSF uses.

The text of FPT_TRP.1.1/TRUSTPATH_Rem_ADMIN is replaced with (the other elements are unaffected):

The TSF shall

- **implement functionality using IPsec as defined in the PP-Module for VPN Client, and**

[selection:

- **invoke platform-provided functionality to use [selection:**
 - **TLS**
 - **HTTPS**
 - **SSH**
- **implement functionality using [selection:**
 - **TLS as defined in the Package for Transport Layer Security**
 - **HTTPS in accordance with FCS_HTTPS_EXT.1**
 - **SSH as defined in the Functional Package for Secure Shell**
- **not invoke or implement any other protocol functionality**

] to provide a **trusted** communication path between itself as a **[selection: server, peer]** and another **trusted IT product** that is logically distinct from other communication paths and provides assured identification of its endpoints and protection of the communicated data from **[modification or disclosure]**.

5.4.2 Additional SFRs

This PP-Module does not define any additional SFRs for any PP-Configuration where the MDM PP is claimed as the Base-PP.

5.5 TOE Security Functional Requirements

The following section describes the SFRs that must be satisfied by any TOE that claims conformance to this PP-Module. These SFRs must be claimed regardless of which PP-Configuration is used to define the TOE.

5.5.1 Auditable Events for Mandatory SFRs

Table 5 must be included as part of FAU_GEN.1/VPN for GPOS, MDF, and MDM Base-PPs. When App PP is the Base-PP, it should be included only if implementation-dependent requirement FAU_GEN.1/VPN is included in the ST.

Table 5: Auditable Events for Mandatory SFRs

| Requirement | Auditable Events | Additional Audit Record Contents |
|-----------------|--|--|
| FCS_CKM.1/VPN | No events specified | N/A |
| FCS_IPSEC_EXT.1 | Decisions to DISCARD or BYPASS network packets processed by the TOE. | <ul style="list-style-type: none"> • Presumed identity of source subject. • The entry in the SPD that applied to the decision. |
| | Failure to establish an IPsec SA. | <ul style="list-style-type: none"> • Source IP address, if applicable. • Identity of destination subject. |

| | | |
|-------------------|--|---|
| | | <ul style="list-style-type: none"> • Reason for failure. |
| | Establishment/Termination of an IPsec SA. | <ul style="list-style-type: none"> • Identity of destination subject. • Transport layer protocol, if applicable. • Source subject service identifier, if applicable. • Non-TOE endpoint of connection (IP address) for both successes and failures. |
| FDP_RIP.2 | No events specified | N/A |
| FMT_SMF.1/VPN | Success or failure of management function. | No additional information. |
| FPT_TST_EXT.1/VPN | No events specified | N/A |

5.5.2 Cryptographic Support (FCS)

FCS_CKM.1/VPN VPN Cryptographic Key Generation (IKE)

FCS_CKM.1.1/VPN

The TSF shall [selection, choose one of: *invoke platform-provided functionality, implement functionality*] to generate **asymmetric** cryptographic keys **used for IKE peer authentication** in accordance with: [selection:

- *FIPS PUB 186-5, “Digital Signature Standard (DSS),” Appendix A.1 for RSA schemes*
- *FIPS PUB 186-5, “Digital Signature Standard (DSS),” Appendix A.2 for ECDSA schemes and implementing “NIST curve” P-384 and [selection: P-521, no other curves]*

] and specified cryptographic key sizes [*equivalent to, or greater than, a symmetric key strength of 128 bits*] that meet the following: [assignment: *list of standards*].

Application Note: The keys that are required to be generated by the TOE through this requirement are intended to be used for the authentication of the VPN entities during the IKEv2 key exchange. While it is required that the public key be associated with an identity in an X509v3 certificate, this association is not required to be performed by the TOE, and instead is expected to be performed by a Certificate Authority in the OE.

As indicated in [FCS_IPSEC_EXT.1](#), the TOE is required to implement support for RSA or ECDSA (or both) for authentication.

See NIST Special Publication 800-57, “Recommendation for Key Management” for information about equivalent key strengths.

FCS_IPSEC_EXT.1 IPsec

FCS_IPSEC_EXT.1.1

The TSF shall implement the IPsec architecture as specified in [RFC 4301](#).

Application Note: In the following elements of the [FCS_IPSEC_EXT.1](#) component, it is allowable for some or all of the individual elements to be implemented by the platform on which the VPN client operates. However, this is only the case when the platform is within the TOE boundary, as is the case where this PP-Module is being claimed on top of a general-purpose QS or a mobile device.

When the TOE is a standalone software application, the IPsec functionality must be implemented by the TSF, though it is permissible for the TSF to invoke cryptographic algorithm services from the TOE platform to support the TOE’s implementation of IPsec. The TOE may also rely on the TOE platform for X.509 certificate validation services, though it is

the responsibility of the TSF to take the proper action based on the validation response that is returned.

It is also permissible for the TSF to rely on low-level capabilities of the platform to perform enforcement and routing functions as a result of the policies the TSF maintains. For example, while the TSF must provide the capability to implement the Security Policy Database (SPD) abstraction, it is permissible for the TSF to depend on the platform-provided network stack to perform the low-level packet filtering and routing actions once the TSF has set up those rules as defined by the SPD.

While enforcement of the IPsec requirements must be implemented by the TSF, it is permissible for the TSF to receive configuration of the IPsec behavior from an environmental source, most notably a VPN gateway.

RFC 4301 calls for an IPsec implementation to protect IP traffic through the use of an SPD. The SPD is used to define how IP packets are to be handled: PROTECT the packet (e.g., encrypt the packet), BYPASS the IPsec services (e.g., no encryption), or DISCARD the packet (e.g., drop the packet). The SPD can be implemented in various ways, including router access control lists, firewall rulesets, a "traditional" SPD, etc. Regardless of the implementation details, there is a notion of a "rule" that a packet is "matched" against and a resulting action that takes place.

While there must be a means to order the rules, a general approach to ordering is not mandated, as long as the TOE can distinguish the IP packets and apply the rules accordingly. There may be multiple SPDs (one for each network interface), but this is not required.

A VPN gateway fully implements the IPsec capability and provides an administrative interface to establish and populate an SPD. A VPN client is not required to provide an administrative interface to create or maintain an SPD.

As an alternative, a client may provide an interface that can be used by another application or network entity, such as a VPN gateway, as a means to establish and populate the SPD. In either of these cases (the client provides an administrative interface, or an API), while the client is expected to maintain the SPD abstraction, it is permitted for the low-level enforcement and routing activities to be implemented by platform capabilities (e.g., a network driver) as configured by the client.

FCS_IPSEC_EXT.1.2

The TSF shall implement [**selection**: *tunnel mode, transport mode*].

Application Note: If the TOE is used to connect to a VPN gateway for the purposes of establishing a secure connection to a private network, the ST author is expected to select tunnel mode. If the TOE uses IPsec to establish an end-to-end connection to another IPsec VPN client, the ST author is expected to select transport mode. If the TOE uses IPsec to establish a connection to a specific endpoint device for the purpose of secure remote administration, the ST author is expected to select transport mode.

FCS_IPSEC_EXT.1.3

The TSF shall have a nominal, final entry in the SPD that matches anything that is otherwise unmatched, and discards it.

FCS_IPSEC_EXT.1.4

The TSF shall implement the IPsec protocol ESP as defined by RFC 4303 using the cryptographic algorithms [AES-GCM-256 as specified in RFC 4106].

Application Note: If this functionality is configurable, the TSF may be configured by a VPN gateway or by an administrator of the TOE itself.

FCS_IPSEC_EXT.1.5

The TSF shall implement the protocol: [IKEv2 as defined in RFC 7296 (with mandatory support for NAT traversal as specified in section 2.23), RFC 8247, and RFC 4868 for hash

functions].

FCS_IPSEC_EXT.1.6

The ~~TSF~~ shall ensure the encrypted payload in the [IKEv2] protocol uses the cryptographic algorithms [*AES-GCM-256 as specified in RFC 5282*] and no other algorithm.

Application Note: If this functionality is configurable, the ~~TSF~~ may be configured by a ~~VPN~~ gateway or by an administrator of the ~~TOE~~ itself.

FCS_IPSEC_EXT.1.7

The ~~TSF~~ shall ensure that [IKEv2 SA] lifetimes can be configured by [**selection: an administrator, a VPN gateway**] based on [**selection: number of packets/number of bytes, length of time**]]. If length of time is used, it must include at least one option that is 24 hours or less for IKE SAs and eight hours or less for Child SAs.

Application Note: There is a selection that allows the ~~ST~~ author to specify which entity is responsible for “configuring” the life of the security association (SA). An implementation that allows an administrator to configure the client or a ~~VPN~~ gateway that pushes the SA lifetime down to the client are both acceptable.

As far as SA lifetimes are concerned, the ~~TOE~~ can limit the lifetime based on the number of bytes transmitted, or the number of packets transmitted. Either packet-based or volume-based SA lifetimes are acceptable; the ~~ST~~ author makes the appropriate selection to indicate which type of lifetime limits are supported.

For IKEv2, there are no hard-coded limits, therefore it is required that an administrator be able to configure the values. In general, instructions for setting the parameters of the implementation, including lifetime of the SAs, should be included in the operational guidance generated for AGD_OPE. It is appropriate to refine the requirement in terms of number of MB or KB instead of number of packets, as long as the ~~TOE~~ is capable of setting a limit on the amount of traffic that is protected by the same key (the total volume of all IPsec traffic protected by that key).

FCS_IPSEC_EXT.1.8

The ~~TSF~~ shall ensure that ~~IKE~~ implements ~~DH~~ Groups

- 20 (384-bit Random ECP) according to ~~REC~~ 5114 and

[selection:

- 15 (3072-bit MODP) according to ~~REC~~ 3526
- 16 (4096-bit MODP) according to ~~REC~~ 3526
- 17 (6144-bit MODP) according to ~~REC~~ 3526
- 18 (8192-bit MODP) according to ~~REC~~ 3526
- 21 (521-bit Random ECP) according to ~~REC~~ 5114

].

Application Note: The selection is used to specify additional ~~DH~~ groups supported. This applies to IKEv2 exchanges. It should be noted that if any additional ~~DH~~ groups are specified, they must comply with the requirements (in terms of the ephemeral keys that are established) listed in FCS_CKM.1.

Since the implementation may allow different ~~DH~~ groups to be negotiated for use in forming the SAs, the assignments in [FCS_IPSEC_EXT.1.9](#) and [FCS_IPSEC_EXT.1.10](#) may contain multiple values. For each ~~DH~~ group supported, the ~~ST~~ author consults Table 2 in 800-57 to determine the “bits of security” associated with the ~~DH~~ group. Each unique value is then used to fill in the assignment (for 1.9 they are doubled; for they are inserted directly into the assignment). For example, suppose the implementation supports ~~DH~~ group 15 (3072-bit MODP) and group 20 (ECDH using NIST curve P-384). From Table 2, the bits of security value for group 15 is 128, and for group 20 it is 192. For [FCS_IPSEC_EXT.1.9](#), then, the assignment would read “[256, 384]” and for [FCS_IPSEC_EXT.1.10](#) it would read “[128, 192]”

FCS_IPSEC_EXT.1.9

The TSF shall generate the secret value x used in the IKE DH key exchange (“ x ” in $g^x \bmod p$) using the random bit generator specified in FCS_RBG.1 (**or FCS_RBG_EXT.1 in the case of [App PPI]**), and having a length of at least **[assignment: (one or more) numbers of bits that is at least twice the “bits of security” value associated with the negotiated DH group as listed in Table 2 of NIST SP 800-57, Recommendation for Key Management – Part 1: General]** bits.

FCS_IPSEC_EXT.1.10

The TSF shall generate nonces used in IKE exchanges in a manner such that the probability that a specific nonce value will be repeated during the life of a specific IPsec SA is less than 1 in $2^{[\text{assignment: (one or more) “bits of security” values associated with the negotiated DH group as listed in Table 2 of NIST SP 800-57, Recommendation for Key Management – Part 1: General}]}$.

FCS_IPSEC_EXT.1.11

The TSF shall ensure that [IKEv2] performs peer authentication using **[selection: RSA, ECDSA] that use X.509v3 certificates that conform to RFC 4945 and [selection: Pre-shared Keys that conform to RFC 8784, Pre-shared Keys transmitted via EAP-TTLS, EAP-TLS, no other method]]**.

Application Note: At least one public-key-based peer authentication method is required in order to conform to this PP-Module; one or more of the public key schemes is chosen by the ST author to reflect what is implemented. The ST author also ensures that appropriate FCS requirements reflecting the algorithms used (and key generation capabilities, if provided) are listed to support those methods. Note that the TSS will elaborate on the way in which these algorithms are to be used. X.509 certificates will be validated against FIA_X509_EXT.1 from Functional Package for X.509, version 1.0, which is referenced in each supported Base-PP.

If a selection with “EAP-TLS” or “EAP-TTLS” is chosen, the selection-based requirement FCS_EAP_EXT.1 must be claimed. When an EAP method is used, verification occurs via an external authentication server.

If any selection including “pre-shared keys” is chosen, the selection-based requirement FIA_PSK_EXT.1 must be claimed.

Multifactor support can be achieved via traffic filtering in accordance with PPF_MFA_EXT.1.

It is acceptable for different use cases to leverage different selections. If this is the case, it must be identified.

This SER is modified from its definition in the Base-PP by adding new selections for authentication methods.

FCS_IPSEC_EXT.1.12

The TSF shall not establish an SA if the **[selection: IP address, Fully Qualified Domain Name (FQDN), user FQDN, Distinguished Name (DN)] and [selection: no other reference identifier type, [assignment: other supported reference identifier types]]** contained in a certificate does not match the expected values for the entity attempting to establish a connection.

Application Note: The TOE must support at least one of the following identifier types: IP address, FQDN, user FQDN, or DN. In the future, the TOE will be required to support all of these identifier types. The TOE is expected to support as many IP address formats (IPv4 and IPv6) as IP versions supported by the TOE in general. The ST author may assign additional supported identifier types in the second selection.

FCS_IPSEC_EXT.1.13

The TSF shall not establish an SA if the presented identifier does not match the configured reference identifier of the peer.

Application Note: At this time, only the comparison between the presented identifier in the peer's certificate and the peer's reference identifier is mandated by the testing below. However, in the future, this requirement will address two aspects of the peer certificate validation: 1) comparison of the peer's ID payload to the peer's certificate, which are both presented identifiers, as required by [RFC 4945](#) and 2) verification that the peer identified by the ID payload and the certificate is the peer expected by the [TOE](#) (per the reference identifier). At that time, the [TOE](#) will be required to demonstrate both aspects (i.e. that the [TOE](#) enforces that the peer's ID payload matches the peer's certificate, which both match configured peer reference identifiers).

Excluding the [DN](#) identifier type (which is necessarily the Subject [DN](#) in the peer certificate), the [TOE](#) may support the identifier in either the Common Name or Subject Alternative Name ([SAN](#)) or both. If both are supported, the preferred logic is to compare the reference identifier to a presented SAN, and only if the peer's certificate does not contain a SAN, to fall back to a comparison against the Common Name. In the future, the [TOE](#) will be required to compare the reference identifier to the presented identifier in the SAN only, ignoring the Common Name.

The configuration of the peer reference identifier is addressed by [FMT_SMF.1.1/VPN](#).

FCS_IPSEC_EXT.1.14

The [**selection: TSF, VPN gateway**] shall be able to ensure by default that the strength of the symmetric algorithm (in terms of the number of bits in the key) negotiated to protect the [[IKEv2 IKE_SA](#)] connection is greater than or equal to the strength of the symmetric algorithm (in terms of the number of bits in the key) negotiated to protect the [[IKEv2 CHILD_SA](#)] connection.

Application Note: If this functionality is configurable, the [TSF](#) may be configured by a [VPN gateway](#) or by an administrator of the [TOE](#) itself

While it is acceptable for this capability to be configurable, the default configuration in the evaluated configuration (either "out of the box" or by configuration guidance in the AGD documentation) must enable this functionality.

5.5.3 User Data Protection (FDP)

FDP_RIP.2 Full Residual Information Protection

FDP_RIP.2.1

The [**selection, choose one of: TOE, TOE platform**] shall ensure that any previous information content of a resource is made unavailable upon the [**selection: allocation of the resource to, deallocation of the resource from**] all objects.

Application Note: This requirement ensures, for example, that protocol data units (PDUs) are not padded with residual information such as cryptographic key material. The [ST](#) author uses the selection to specify when previous information is made unavailable.

5.5.4 Security Management (FMT)

The [TOE](#) is not required to maintain a separate management role. It is, however, required to provide functionality to configure certain aspects of [TOE](#) operation that should not be available to the general user population. It is possible for the [TOE](#), [TOE Platform](#), or [VPN gateway](#) to provide this functionality. The client itself has to be configurable - whether it is from the [EUD](#) or from a [VPN gateway](#).

FMT_SMF.1/VPN Specification of Management Functions (VPN)

FMT_SMF.1.1/VPN

The [TSF](#) shall be capable of performing the following management functions: [**selection:**

- *Specify [VPN gateways to use for connections](#)*
- *Specify [IPsec VPN clients to use for connections](#)*

- *Specify IPsec-capable network devices to use for connections*
 - *Specify client credentials to be used for connections*
 - *Configure the reference identifier of the peer*
 - *[assignment: any additional management functions]*
-]

Application Note: Several of the management functions defined above correspond to the use cases of the TOE as follows:

- “Specify VPN gateways to use for connections” – Use Case 1
- “Specify IPsec VPN clients to use for connections” – Use Case 2 (specifically refers to different end points to use for client-to-client connections)
- “Specify IPsec-capable network devices to use for connections” – Use Case 3

Selections appropriate for the use cases supported by the TOE should be claimed. "Client credentials" will include the client certificate used for IPsec authentication, and may also include a PSK.

For TOEs that support only IP address and FQDN identifier types, configuration of the reference identifier may be the same as configuration of the peer's name for the purposes of connection.

If there are additional management functions performed by the TOE (including those specified in [FCS_IPSEC_EXT.1](#)), they should be added in the assignment.

5.5.5 Protection of the TSF (FPT)

FPT_TST_EXT.1/VPN TSF Self-Test

FPT_TST_EXT.1.1/VPN

The [selection, choose one of: TOE, TOE platform] shall run a suite of self tests during initial start-up (on power on) to demonstrate the correct operation of the TSF.

FPT_TST_EXT.1.2/VPN

The [selection, choose one of: TOE, TOE platform] shall provide the capability to verify the integrity of stored TSF executable code when it is loaded for execution through the use of the [assignment: *cryptographic services provided either by the portion of the TOE described by the Base-PP or by the QE*].

Application Note: While the TOE is typically a software package running in the IT Environment, it is still capable of performing the self-test activities required above. It should be understood, however, that there is a significant dependency on the host environment in assessing the assurance provided by the tests mentioned above (meaning that if the host environment is compromised, the self-tests will not be meaningful).

Cryptographic verification of the integrity is required, but the method by which this can be accomplished is specified in the ST in the assignment. The ST author will fill in the assignment with references to the cryptographic functions used to perform the integrity checks; this will include hashing and may potentially include digital signatures signed using X.509 certificates. If the TSF provides the cryptographic services used to verify updates, all relevant FCS_COP requirements will be identified in the assignment by the ST author.

5.6 TOE Security Functional Requirements Rationale

The following rationale provides justification for each SFR for the TOE, showing that the SFRs are suitable to address the specified threats:

Table 6: SFR Rationale

| Threat | Addressed by | Rationale |
|--------|--------------|-----------|
|--------|--------------|-----------|

| | | |
|-----------------------|--|--|
| T.TSF_CONFIGURATION | FAU_GEN.1/VPN (implementation-dependent) | This SFR mitigates the threat by optionally requiring the TOE to generate audit data for its behavior. |
| | FAU_SEL.1/VPN (objective) | This SFR mitigates the threat by optionally requiring the TOE to allow for the configuration of what behavior is audited. |
| | FIA_X509_EXT.4 (additional to GPOS PP) | This SFR mitigates the threat by providing the ability to verify the integrity of the TSF using X.509 certificates. |
| | FMT_SMF.1/VPN | This SFR mitigates the threat by requiring the TOE to implement certain administratively-configurable functions. |
| | FPT_TST_EXT.1/VPN | This SFR mitigates the threat by requiring the TOE to execute self-tests that demonstrate that its integrity is maintained. |
| T.TSF_FAILURE | FAU_GEN.1/VPN (implementation-dependent) | This SFR mitigates the threat by optionally requiring the TOE to generate audit data for its behavior. |
| | FAU_SEL.1/VPN (objective) | This SFR mitigates the threat by optionally requiring the TOE to allow for the configuration of what behavior is audited. |
| | FPT_TST_EXT.1/VPN | This SFR mitigates the threat by requiring the TOE to execute self-tests that demonstrate that its integrity is maintained. |
| T.UNAUTHORIZED_ACCESS | FCS_EAP_EXT.1 (selection-based) | This SFR mitigates the threat by optionally implementing EAP-TLS or EAP-TTLS as a mechanism for authentication. |
| | FCS_IPSEC_EXT.1 | This SFR mitigates the threat by requiring the TOE 's implementation of IPsec to include requirements for how the remote VPN gateway or peer is authenticated. |
| | FIA_BMA_EXT.1 (optional) | This SFR mitigates the threat by optionally defining the TOE 's support for a platform-based biometric mechanism to use as an authentication mechanism. |
| | FIA_PSK_EXT.1 (selection-based) | This SFR mitigates the threat by optionally requiring support for pre-shared keys as an alternate authentication method for IPsec. |
| | FIA_PSK_EXT.2 (selection-based) | This SFR mitigates the threat by optionally specifying whether the TOE generates its own pre-shared keys used for authentication or accept them from an external source. |
| | FIA_PSK_EXT.3 (selection-based) | This SFR mitigates the threat by optionally defining the composition and use of password-based pre-shared keys used for authentication. |
| | FIA_PSK_EXT.4 (selection-based) | This SFR mitigates the threat by optionally defining HOTP as an authentication mechanism. |
| | FIA_PSK_EXT.5 (selection-based) | This SFR mitigates the threat by optionally defining TOTP as an authentication mechanism. |
| | FPF_MFA_EXT.1 (optional) | This SFR mitigates the threat by optionally enforcing a multifactor authentication requirement on an IPsec connection. |
| | FTP_ITC.1 (additional to GPOS PP) | This SFR mitigates the threat by defining the use of IPsec for protecting data in transit. |

| | | |
|-------------------|---------------------------------------|---|
| T.USER_DATA_REUSE | FCS_CKM_EXT.2 (additional to App PP) | This SER mitigates the threat by requiring the TOE or its platform to store sensitive data in the OS' key storage. |
| | FCS_CKM_EXT.2 (additional to GPOS PP) | This SER mitigates the threat by requiring the TOE to store sensitive data in the OS' key storage. |
| | FCS_CKM.6 (additional to App PP) | This SER mitigates the threat by requiring the TOE or its platform to zeroize key data when no longer needed. |
| | FDP_RIP.2 | This SER mitigates the threat by requiring the TOE or its platform to ensure that residual data is purged from the system. |
| | FDP_VPN_EXT.1 (additional to MDF PP) | This SER mitigates the threat by optionally requiring the TOE to prohibit split-tunneling so that network traffic cannot be transmitted outside of an established IPsec tunnel. |
| | PPF_MFA_EXT.1 (optional) | This SER mitigates the threat by optionally requiring the TOE to prohibit transmission of packet data aside from those packets needed to perform multifactor authentication. |

6 Consistency Rationale

6.1 Protection Profile for Protection Profile for General Purpose Operating System

6.1.1 Consistency of TOE Type

If this PP-Module is used to extend the GPOS PP, the TOE type for the overall TOE is still a general-purpose OS. The TOE boundary is simply extended to include VPN client functionality that is built into the OS so that additional security functionality is claimed within the scope of the TOE.

6.1.2 Consistency of Security Problem Definition

The threats and assumptions defined by this PP-Module (see sections 3.1 and 3.2) supplement those defined in the GPOS PP as follows:

Table 7: Consistency of Security Problem Definition (GPOS PP base)

| PP-Module Threat, Assumption, OSP | Consistency Rationale |
|-----------------------------------|--|
| T.TSF_CONFIGURATION | The threat of a misconfigured VPN client is consistent with the T.NETWORK_ATTACK and T.NETWORK_EAVESDROP threats on the GPOS PP because misconfiguration could allow VPN traffic to be subjected unexpectedly to unauthorized modification or disclosure. |
| T.TSF_FAILURE | A failure of TSF functionality could compromise the local system, which is consistent with the T.LOCAL_ATTACK threat in the GPOS PP. |
| T.UNAUTHORIZED_ACCESS | The threat of an attacker gaining access to a network interface or data that is transmitted over it is consistent with the T.NETWORK_ATTACK and T.NETWORK_EAVESDROP threats in the GPOS PP. |
| T.USER_DATA_REUSE | Inadvertent disclosure of user data to an unauthorized recipient is consistent with the T.NETWORK_EAVESDROP threat in the GPOS PP. |
| A.NO_TOE_BYPASS | The A.NO_TOE_BYPASS assumption assumes that the OE is configured in such a manner that the only network route to the protected network is through the TOE. This does not conflict with the GPOS PP because the GPOS PP makes no assumptions about the network architecture in which the TOE is deployed. |
| A.PHYSICAL | The assumption that physical security is provided by the environment is not explicitly stated in the GPOS PP but is consistent with the A.PLATFORM assumption defined in the GPOS PP, which expects the computing platform to be trusted. |
| A.TRUSTED_CONFIG | The assumption that personnel responsible for the TOE's configuration are trusted to follow the guidance is consistent with the A.PROPER_ADMIN defined in the GPOS PP. |

6.1.3 Consistency of OE Objectives

Table 8: Consistency of OE Objectives (GPOS PP base)

| PP-Module OE Objective | Consistency Rationale |
|------------------------|-----------------------|
|------------------------|-----------------------|

| | |
|-------------------|---|
| OE.NO_TOE_BYPASS | This objective addresses behavior that is out of scope of the GPOS PP and does not define an environment that a GPOS TOE is incapable of existing in. |
| OE.PHYSICAL | This is part of satisfying OE.PLATFORM as defined in the GPOS PP because physical security is required for hardware to be considered ‘trusted.’ |
| OE.TRUSTED_CONFIG | The expectation of trusted configuration is consistent with OE.PROPER_USER and OE.PROPER_ADMIN in the GPOS PP. |

6.1.4 Consistency of Requirements

This PP-Module identifies several SFRs from the GPOS PP that are needed to support VPN client functionality. This is considered to be consistent because the functionality provided by the GPOS PP is being used for its intended purpose. The PP-Module also identifies a number of modified SFRs from the GPOS PP as well as new SFRs that are used entirely to provide functionality for VPN client. The rationale for why this does not conflict with the claims defined by the GPOS PP are as follows:

Table 9: Consistency of Requirements (GPOS PP base)

| PP-Module Requirement | Consistency Rationale |
|------------------------|--|
| Modified SFRs | |
| FCS_CKM.1 | The ST author is instructed to make specific selections at minimum to address VPN client requirements; the SFR behavior itself is unmodified. |
| FCS_CKM.2 | The ST author is instructed to make specific selections at minimum to address VPN client requirements; the SFR behavior itself is unmodified. |
| FCS_COP.1/ENCRYPT | The SFR is refined to mandate AES modes that must be supported to address VPN client requirements; the use of these modes for VPN connectivity does not impact the ability of the TSF to satisfy any of its other security requirements. |
| Additional SFRs | |
| FCS_CKM_EXT.2 | Storage of key data related to VPN functionality can be accomplished using the same mechanism defined by FCS_STO_EXT.1 in the GPOS PP. |
| FIA_X509_EXT.4 | This SFR defines additional uses for X.509 certificate functionality that do not conflict with those defined in the GPOS PP. |
| FTP_ITC.1 | This SFR defines a trusted channel for IPsec, which is added functionality that does not prevent the existing GPOS functions from being performed. |
| Mandatory SFRs | |
| FCS_CKM.1/VPN | Generation of IKE peer authentication keys is added functionality that does not prevent the existing GPOS functions from being performed. |
| FCS_IPSEC_EXT.1 | This SFR defines the VPN client’s IPsec implementation, which is added functionality that does not interfere with the GPOS functions. |
| FDP_RIP.2 | The requirement to protect against reuse of residual data is a property of the VPN client behavior and does not impact the GPOS functionality. |
| FMT_SMF.1/VPN | The ability to configure the VPN client behavior does not affect whether the GPOS as a whole can perform its security functions. |
| FPT_TST_EXT.1/VPN | Self-testing of the VPN client functionality does not impact the ability of the GPOS to perform its security functions. |

Optional SFRs

| | |
|-------------------------------|--|
| FIA_BMA_EXT.1 | This SFR relates to biometric authentication, which does not conflict with the GPOS PP because it may be a function offered by the part of the TOE described by the GPOS PP. |
| FPF_MFA_EXT.1 | This SFR relates specifically to the handling of traffic that is used for the establishment of IPsec connections. |

Objective SFRs

| | |
|-------------------------------|---|
| FAU_SEL.1/VPN | The ability to suppress the generation of certain audit data related to VPN activity does not interfere with the ability of the GPOS to satisfy its security functionality. |
|-------------------------------|---|

Implementation-dependent SFRs

| | |
|-------------------------------|---|
| FAU_GEN.1/VPN | Audit data generated by the VPN client does not interfere with GPOS functionality. The possibility of the underlying OS platform generating audit records is consistent with the GPOS PP, which already contains FAU_GEN.1. |
|-------------------------------|---|

Selection-based SFRs

| | |
|-------------------------------|---|
| FCS_EAP_EXT.1 | This SFR defines an additional cryptographic protocol that is beyond the scope of those defined in the GPOS PP but does not prevent any GPOS PP functionality from being implemented. |
| FIA_PSK_EXT.1 | This SFR defines the use of pre-shared keys, which is behavior that only relates to the establishment of IPsec connections. |
| FIA_PSK_EXT.2 | This SFR relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |
| FIA_PSK_EXT.3 | This SFR relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |
| FIA_PSK_EXT.4 | This SFR relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |
| FIA_PSK_EXT.5 | This SFR relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |

6.2 Protection Profile for Protection Profile for Mobile Device Fundamentals

6.2.1 Consistency of TOE Type

If this PP-Module is used to extend the MDF PP, the TOE type for the overall TOE is still a mobile device. The TOE boundary is simply extended to include VPN client functionality that is built into the device's software so that additional security functionality is claimed within the scope of the TOE.

6.2.2 Consistency of Security Problem Definition

The threats and assumptions defined by this PP-Module (see sections 3.1 and 3.2) supplement those defined in the MDF PP as follows:

Table 10: Consistency of Security Problem Definition (MDF PP base)

| PP-Module Threat, Assumption, OSP | Consistency Rationale |
|--|--|
| T.TSF_CONFIGURATION | The threat of a misconfigured VPN client is consistent with the T.NETWORK and T.EAVESDROP threats in the MDF PP because failure to mitigate against misconfiguration makes these threats more significant. |

| | |
|-----------------------|--|
| T.TSF_FAILURE | A failure of TSF functionality could compromise the local system, which is consistent with the T.FLAWAPP threat in the MDF PP. |
| T.UNAUTHORIZED_ACCESS | The threat of an attacker gaining access to a network interface or data that is transmitted over it is consistent with the T.NETWORK and T.EAVESDROP threats in the MDF PP. |
| T.USER_DATA_REUSE | Inadvertent disclosure of user data to an unauthorized recipient is consistent with the T.EAVESDROP threat in the MDF PP. |
| A.NO_TOE_BYPASS | The A.NO_TOE_BYPASS assumption assumes that the QE is configured in such a manner that the only network route to the protected network is through the TOE. This does not conflict with the MDF PP because the MDF PP makes no assumptions about the network architecture in which the TOE is deployed. |
| A.PHYSICAL | The MDF PP includes the A.NOTIFY and A.PRECAUTION assumptions to mitigate the risk of physical theft of the TOE. This is consistent with the A.PHYSICAL assumption in this PP-Module because the MDF PP includes reasonable assumptions about the physical security of the TOE. |
| A.TRUSTED_CONFIG | This assumption is consistent with the MDF PP because the MDF PP includes the A.CONFIG assumption which assumes that all security functions are appropriately configured. |

6.2.3 Consistency of OE Objectives

Table 11: Consistency of OE Objectives (MDF PP base)

| PP-Module OE Objective | Consistency Rationale |
|------------------------|--|
| OE.NO_TOE_BYPASS | This objective addresses behavior that is out of scope of the MDF PP and does not define an environment that an MDF TOE is incapable of existing in. |
| OE.PHYSICAL | The operational environment of a mobile device cannot guarantee physical security, but the QE.PRECAUTION objective in the MDF PP ensures that an appropriate level of physical security is provided. |
| OE.TRUSTED_CONFIG | The expectation of trusted configuration is consistent with QE.CONFIG in the MDF PP. |

6.2.4 Consistency of Requirements

This PP-Module identifies several SFRs from the MDF PP that are needed to support VPN client functionality. This is considered to be consistent because the functionality provided by the MDF PP is being used for its intended purpose. The PP-Module also identifies a number of modified SFRs from the MDF PP as well as new SFRs that are used entirely to provide functionality for VPN client. The rationale for why this does not conflict with the claims defined by the MDF PP are as follows:

Table 12: Consistency of Requirements (MDF PP base)

| PP-Module Requirement | Consistency Rationale |
|-----------------------|---|
| | Modified SFRs |
| FCS_CKM.1 | The ST author is instructed to make specific selections at minimum to address VPN client requirements; the SFR behavior itself is unmodified. |
| FCS_CKM.2/UNLOCKED | The ST author is instructed to make specific selections at minimum to address VPN client requirements; the SFR behavior itself is unmodified. |
| FCS_COP.1/ENCRYPT | The ST author is instructed to make specific selections at minimum to address VPN client requirements; the SFR behavior itself is unmodified. |

| | |
|---------------|--|
| FDP_IFC_EXT.1 | The <u>ST</u> author is instructed to make specific selections at minimum to address <u>VPN</u> client requirements; the <u>SER</u> behavior itself is unmodified. |
| FMT_SMF_EXT.1 | This <u>PP-Module</u> modifies the management function regarding Always-on <u>VPN</u> protection. |
| FTP_ITC_EXT.1 | This <u>PP-Module</u> adds IPsec as a new protocol that is used to implement trusted channels. |

Additional SERs

| | |
|---------------|--|
| FDP_VPN_EXT.1 | The ability of the <u>VPN</u> client to prevent split tunneling of IPsec traffic requires it to have hooks into lower-level mobile device behavior, but there are no requirements in the MDF <u>PP</u> that would prevent this functionality from being supported. |
|---------------|--|

Mandatory SERs

| | |
|-------------------|--|
| FCS_CKM.1/VPN | This <u>SER</u> defines the method of key generation for <u>IKE</u> peer authentication, which is a function that does not interfere with the functionality defined in the MDF <u>PP</u> . |
| FCS_IPSEC_EXT.1 | This <u>SER</u> defines the <u>VPN</u> client's IPsec implementation, which is added functionality that does not interfere with the MDF functions. |
| FDP_RIP.2 | The requirement to protect against reuse of residual data is a property of the <u>VPN</u> client behavior and does not impact the MDF functionality. |
| FMT_SMF.1/VPN | The ability to configure the <u>VPN</u> client behavior does not affect whether the MDF as a whole can perform its security functions. |
| FPT_TST_EXT.1/VPN | Self-testing of the <u>VPN</u> client functionality does not impact the ability of the MDF to perform its security functions. |

Optional SERs

| | |
|---------------|---|
| FIA_BMA_EXT.1 | This <u>SER</u> relates to biometric authentication, which does not conflict with the MDF <u>PP</u> because it may be a function offered by the part of the <u>TOE</u> described by the MDF <u>PP</u> . |
| FPF_MFA_EXT.1 | This <u>SER</u> relates specifically to the handling of traffic that is used for the establishment of IPsec connections. |

Objective SERs

| | |
|---------------|--|
| FAU_SEL.1/VPN | The ability to suppress the generation of certain <u>VPN</u> client audit data does not interfere with MDM functionality. The MDF <u>PP</u> already contains FAU_SEL.1 as an objective <u>SER</u> which means that this functionality does not conflict with the expected behavior of a mobile device. |
|---------------|--|

Implementation-dependent SERs

| | |
|---------------|--|
| FAU_GEN.1/VPN | Audit data generated by the <u>VPN</u> client does not interfere with MDF functionality. The possibility of the underlying MDF platform generating audit data is consistent with the MDF <u>PP</u> , which already contains FAU_GEN.1. |
|---------------|--|

Selection-based SERs

| | |
|---------------|--|
| FCS_EAP_EXT.1 | This <u>SER</u> defines an additional cryptographic protocol that is beyond the scope of those defined in the MDF <u>PP</u> but does not prevent any MDF <u>PP</u> functionality from being implemented. |
| FIA_PSK_EXT.1 | This <u>SER</u> defines the use of pre-shared keys, which is behavior that only relates to the establishment of IPsec connections. |
| FIA_PSK_EXT.2 | This <u>SER</u> relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |

| | |
|---------------|---|
| FIA_PSK_EXT.3 | This <u>SFR</u> relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |
| FIA_PSK_EXT.4 | This <u>SFR</u> relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |
| FIA_PSK_EXT.5 | This <u>SFR</u> relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |

6.3 Protection Profile for Protection Profile for Application Software

6.3.1 Consistency of TOE Type

If this PP-Module is used to extend the App PP, the TOE type for the overall TOE is still a software application. The TOE boundary is made more specific by defining the TOE as a specific type of application.

6.3.2 Consistency of Security Problem Definition

The threats and assumptions defined by this PP-Module (see sections 3.1 and 3.2) supplement those defined in the App PP as follows:

Table 13: Consistency of Security Problem Definition (App PP base)

| <u>PP-Module Threat, Assumption, OSP</u> | <u>Consistency Rationale</u> |
|--|--|
| T.TSF_CONFIGURATION | The threat of a misconfigured <u>VPN</u> client is consistent with the T.LOCAL_ATTACK threat in the App <u>PP</u> . |
| T.TSF_FAILURE | A failure of <u>TSF</u> functionality could compromise the local system, which is consistent with the T.LOCAL_ATTACK threat in the App <u>PP</u> . |
| T.UNAUTHORIZED_ACCESS | The threat of an attacker gaining access to a network interface or data that is transmitted over it is consistent with the T.NETWORK_ATTACK and T.NETWORK_EAVESDROP threats in the App <u>PP</u> . |
| T.USER_DATA_REUSE | Inadvertent disclosure of user data to an unauthorized recipient is consistent with the T.NETWORK_EAVESDROP threat in the App <u>PP</u> . |
| A.NO_TOE_BYPASS | The A.NO_TOE_BYPASS assumption assumes that the <u>OE</u> is configured in such a manner that the only network route to the protected network is through the <u>TOE</u> . This does not conflict with the App <u>PP</u> because the App <u>PP</u> makes no assumptions about the network architecture in which the <u>TOE</u> is deployed. |
| A.PHYSICAL | The assumption that physical security is provided by the environment is not explicitly stated in the App <u>PP</u> but is consistent with the A.PLATFORM assumption defined in the App <u>PP</u> , which expects the computing platform to be trusted. |
| A.TRUSTED_CONFIG | The assumption that personnel responsible for the <u>TOE</u> 's configuration are trusted to follow the guidance is consistent with the A.PROPER_ADMIN defined in the App <u>PP</u> . |

6.3.3 Consistency of OE Objectives

Table 14: Consistency of OE Objectives (App PP base)

| <u>PP-Module OE Objective</u> | <u>Consistency Rationale</u> |
|-------------------------------|--|
| OE.NO_TOE_BYPASS | This objective addresses behavior that is out of scope of the App <u>PP</u> and does not define an environment that is globally applicable to all software applications. |

| | |
|--------------------------|--|
| OE.PHYSICAL | This is part of satisfying OE.PLATFORM as defined in the App PP because physical security is required for the underlying platform to be considered ‘trustworthy’. |
| OE.TRUSTED_CONFIG | The expectation of trusted configuration is consistent with OE.PROPER_USER and OE.PROPER_ADMIN in the App PP. |

6.3.4 Consistency of Requirements

This **PP-Module** identifies several **SFRs** from the App PP that are needed to support **VPN** client functionality. This is considered to be consistent because the functionality provided by the App PP is being used for its intended purpose. The **PP-Module** also identifies a number of modified **SFRs** from the App PP as well as new **SFRs** that are used entirely to provide functionality for **VPN** client. The rationale for why this does not conflict with the claims defined by the App PP are as follows:

Table 15: Consistency of Requirements (App PP base)

| PP-Module Requirement | Consistency Rationale |
|------------------------------|---|
| Modified SFRs | |
| FCS_CKM.1/AK | The ST author is instructed to make specific selections at minimum to address VPN client requirements; the SFR behavior itself is unmodified. Additionally, this behavior is selection-based in the App PP but is made mandatory since it is required for VPN client functionality. |
| FCS_CKM.2 | The ST author is instructed to make specific selections at minimum to address VPN client requirements and is modified to include DH group 14 as an additional supported method for key establishment. |
| FCS_CKM_EXT.1 | The ST author is instructed to make specific selections at minimum to address VPN client requirements; specifically, since key generation services are required in some capacity in order to support VPN functionality, the ST author loses the choice of stating that the application does not have any key generation functionality. Additionally, this behavior is selection-based in the App PP but is made mandatory since it is required for VPN client functionality. |
| FCS_COP.1/SKC | The ST author is instructed to make specific selections at minimum to address VPN client requirements; the SFR behavior itself is unmodified. |
| FTP_DIT_EXT.1 | This PP-Module requires the existing selection of IPsec to be chosen to satisfy the dependency on this PP-Module |
| Additional SFRs | |
| FCS_CKM.6 | This PP-Module adds a requirement for key destruction, which is new functionality when compared to the App PP but does not interfere with its existing security functions. |
| FCS_CKM_EXT.2 | This PP-Module adds a requirement for key storage, which is new functionality when compared to the App PP but does not interfere with its existing security functions. |
| Mandatory SFRs | |
| FCS_CKM.1/VPN | This SFR defines the method of key generation for IKE peer authentication, which is a function that does not interfere with the functionality defined in the App PP. |
| FCS_IPSEC_EXT.1 | This SFR defines the VPN client’s IPsec implementation, which is added functionality that does not interfere with the application functions. |
| FDP_RIP.2 | The requirement to protect against reuse of residual data is a property of the VPN client behavior and does not impact the general application functionality. |
| FMT_SMF.1/VPN | The ability to configure the VPN client behavior does not affect whether the application as a whole can perform its security functions. |

| | |
|--------------------------------------|---|
| FPT_TST_EXT.1/VPN | Self-testing of the VPN client functionality does not impact the ability of the application to perform its security functions. |
| Optional SFRs | |
| FIA_BMA_EXT.1 | This SFR relates to biometric authentication, which does not conflict with the App PP because it may be a function offered by the TOE in which a TOE defined by the App PP is deployed. |
| FPF_MFA_EXT.1 | This SFR relates specifically to the handling of traffic that is used for the establishment of IPsec connections. |
| Objective SFRs | |
| FAU_SEL.1/VPN | The ability to suppress the generation of certain audit data related to VPN activity does not interfere with the ability of the application to satisfy its security functionality. |
| Implementation-dependent SFRs | |
| FAU_GEN.1/VPN | Audit data generated by the VPN client does not interfere with application functionality. For cases where auditing is performed by the TOE platform, a software application is installed on a general-purpose OS or mobile device, both of which can reasonably be expected to provide audit functionality. |
| Selection-based SFRs | |
| FCS_EAP_EXT.1 | This SFR defines an additional cryptographic protocol that is beyond the scope of those defined in the App PP but does not prevent any App PP functionality from being implemented. |
| FIA_PSK_EXT.1 | This SFR defines the use of pre-shared keys, which is behavior that only relates to the establishment of IPsec connections. |
| FIA_PSK_EXT.2 | This SFR relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |
| FIA_PSK_EXT.3 | This SFR relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |
| FIA_PSK_EXT.4 | This SFR relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |
| FIA_PSK_EXT.5 | This SFR relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections. |

6.4 Protection Profile for Protection Profile for Mobile Device Management

6.4.1 Consistency of TOE Type

If this PP-Module is used to extend the MDM PP, the TOE type for the overall TOE is still a mobile device management solution. The TOE boundary is simply extended to include VPN client functionality that is included with the MDM software so that additional security functionality is claimed within the scope of the TOE.

6.4.2 Consistency of Security Problem Definition

The threats and assumptions defined by this PP-Module (see sections 3.1 and 3.2) supplement those defined in the MDM PP as follows:

Table 16: Consistency of Security Problem Definition (MDM PP base)

**PP-Module Threat,
Assumption, OSP**

Consistency Rationale

| | |
|-----------------------|--|
| T.TSF_CONFIGURATION | The threat of a misconfigured VPN client is consistent with the T.NETWORK_ATTACK and T.NETWORK_EAVESDROP threats in the MDM PP because failure to mitigate against misconfiguration makes these threats more significant. |
| T.TSF_FAILURE | A failure of TSF functionality could compromise the implementation of the IPsec channel, which would lead to an exploitation of the T.NETWORK_ATTACK threat. |
| T.UNAUTHORIZED_ACCESS | The threat of an attacker gaining access to a network interface or data that is transmitted over it is consistent with the T.NETWORK_ATTACK and T.NETWORK_EAVESDROP threats in the MDM PP. |
| T.USER_DATA_REUSE | Inadvertent disclosure of user data to an unauthorized recipient is consistent with the T.NETWORK_EAVESDROP threat in the MDM PP. |
| A.NO_TOE_BYPASS | The A.NO_TOE_BYPASS assumption assumes that the QE is configured in such a manner that the only network route to the protected network is through the TOE. This does not conflict with the MDM PP because the MDM PP makes no assumptions about the network architecture in which the TOE is deployed. |
| A.PHYSICAL | The assumption that physical security is provided by the environment is not explicitly stated in the MDM PP but is consistent with the A.MDM_SERVER_PLATFORM assumption defined in the MDM PP, which expects the computing platform to be trusted. |
| A.TRUSTED_CONFIG | The assumption that personnel responsible for the TOE's configuration are trusted to follow the guidance is consistent with the A.PROPER_ADMIN defined in the MDM PP. |

6.4.3 Consistency of OE Objectives

Table 17: Consistency of OE Objectives (MDM PP base)

| PP-Module OE Objective | Consistency Rationale |
|------------------------|--|
| OE.NO_TOE_BYPASS | This objective addresses behavior that is out of scope of the MDM PP and does not define an environment that an MDM TOE is incapable of existing in. |
| OE.PHYSICAL | This is part of satisfying QE.IT_ENTERPRISE as defined in the MDM PP because provisioning of physical security is a reasonable expectation for an IT enterprise. |
| OE.TRUSTED_CONFIG | The expectation of trusted configuration is consistent with QE.PROPER_USER and QE.PROPER_ADMIN in the MDM PP. |

6.4.4 Consistency of Requirements

This PP-Module identifies several SFRs from the MDM PP that are needed to support VPN client functionality. This is considered to be consistent because the functionality provided by the MDM PP is being used for its intended purpose. The PP-Module also identifies a number of modified SFRs from the MDM PP that are used entirely to provide functionality for VPN client. The rationale for why this does not conflict with the claims defined by the MDM PP are as follows:

Table 18: Consistency of Requirements (MDM PP base)

| PP-Module Requirement | Consistency Rationale |
|-----------------------|---|
| Modified SFRs | |
| FCS_CKM.1 | The ST author is instructed to make specific selections at minimum to address VPN client requirements; the SFR behavior itself is unmodified. |

| | |
|-------------------------------|---|
| FCS_CKM.2 | The ST author is instructed to make specific selections at minimum to address VPN client requirements; the SFR behavior itself is unmodified. |
| FCS_COP.1/CONF_ALG | The ST author is instructed to make specific selections at minimum to address VPN client requirements; the SFR behavior itself is unmodified. |
| FPT_ITT.1/INTER_XFER | When this SFR relates to the PP-Module 's functionality, the ST author is instructed to make specific selections to implement this behavior using the VPN client. This is done by forcing the ST author to make specific selections that are already present in the MDM PP definition of the SFR ; no new behavior is introduced by this. |
| FTP_ITC.1/INTER_XFER_IT | When this SFR relates to the PP-Module 's functionality, the ST author is instructed to make specific selections to implement this behavior using the VPN client at minimum. This is done by forcing the ST author to make a specific selection that is already present in the MDM PP definition of the SFR , and by removing a selection option; no new behavior is introduced by this. |
| FTP_TRP.1/TRUSTPATH_Rem_ADMIN | When this SFR relates to the PP-Module 's functionality, the ST author is instructed to make specific selections to implement this behavior using the VPN client at minimum. This is done by forcing the ST author to make a specific selection that is already present in the MDM PP definition of the SFR , and by removing a selection option; no new behavior is introduced by this. |

Additional SFRs

This **PP-Module** does not add any requirements when the MDM **PP** is the base.

Mandatory SFRs

| | |
|-------------------|--|
| FCS_CKM.1/VPN | This SFR defines the method of key generation for IKE peer authentication, which is a function that does not interfere with the functionality defined in the MDM PP . |
| FCS_IPSEC_EXT.1 | This SFR defines the VPN client's IPsec implementation, which is added functionality that does not interfere with the MDM functions. |
| FDP_RIP.2 | The requirement to protect against reuse of residual data is a property of the VPN client behavior and does not impact the MDM functionality. |
| FMT_SMF.1/VPN | The ability to configure the VPN client behavior does not affect whether the MDM as a whole can perform its security functions. |
| FPT_TST_EXT.1/VPN | Self-testing of the VPN client functionality does not impact the ability of the MDM to perform its security functions. |

Optional SFRs

| | |
|---------------|---|
| FIA_BMA_EXT.1 | This SFR relates to biometric authentication, which does not conflict with the MDM PP because it may be a function offered by the part of the TOE described by the MDM PP . |
| FPF_MFA_EXT.1 | This SFR relates specifically to the handling of traffic that is used for the establishment of IPsec connections. |

Objective SFRs

| | |
|---------------|--|
| FAU_SEL.1/VPN | The ability to suppress the generation of certain VPN client audit data does not interfere with MDM functionality. The MDM PP already contains FAU_SEL.1 as an optional SFR , which means that this functionality does not conflict with the expected behavior of an MDM. |
|---------------|--|

Implementation-dependent SERs

[FAU_GEN.1/VPN](#)

Audit data generated by the VPN client do not interfere with MDM functionality. The possibility of the MDM as a whole generating audit records is consistent with the MDM PP, which already contains FAU_GEN.1.

Selection-based SERs

[FCS_EAP_EXT.1](#)

This SER defines an additional cryptographic protocol that is beyond the scope of those defined in the MDM PP but does not prevent any MDM PP functionality from being implemented.

[FIA_PSK_EXT.1](#)

This SER defines the use of pre-shared keys, which is behavior that only relates to the establishment of IPsec connections.

[FIA_PSK_EXT.2](#)

This SER relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections.

[FIA_PSK_EXT.3](#)

This SER relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections.

[FIA_PSK_EXT.4](#)

This SER relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections.

[FIA_PSK_EXT.5](#)

This SER relates to use of pre-shared keys, which is behavior that only applies to the establishment of IPsec connections.

Appendix A - Optional SFRs

A.1 Strictly Optional Requirements

A.1.1 Auditable Events for Strictly Optional SFRs

Entries from [Table 19](#) must be included for GPOS, MDF, and MDM [Base-PPs](#) if the strictly optional [SFRs](#) are included in the [ST](#). When the App [PP](#) is the [Base-PP](#), if any strictly optional [SFRs](#) are included in the [ST](#), corresponding auditable event entries must be included only if the implementation-dependent requirement [FAU_GEN.1/VPN](#) is included in the [ST](#).

Table 19: Auditable Events for Strictly Optional SFRs

| Requirement | Auditable Events | Additional Audit Record Contents |
|-------------------------------|---------------------|----------------------------------|
| FIA_BMA_EXT.1 | No events specified | N/A |
| FPF_MFA_EXT.1 | No events specified | N/A |

A.1.2 Identification and Authentication (FIA)

The [TOE](#) may support leveraging the biometric API provided by the platform.

FIA_BMA_EXT.1 Biometric Activation

FIA_BMA_EXT.1.1

The [TSF](#) shall leverage the platform biometric features to confirm the user before initiating a trusted channel.

Application Note: In this context the platform refers to the [OS](#) or device and may be part of the [TOE](#) if those [Base-PPs](#) are leveraged.

A.1.3 Packet Filtering (FPF)

FPF_MFA_EXT.1 Multifactor Authentication Filtering

FPF_MFA_EXT.1.1

The [TSF](#) shall not forward packets to the internal network until the [IKE/IPsec](#) tunnel has been established, except those necessary to ensure that the client is authenticated according to [FIA_PSK_EXT.1](#).

Application Note: If [FPF_MFA_EXT.1](#) is included, [FIA_PSK_EXT.1](#) must be included.

A.2 Objective Requirements

A.2.1 Auditable Events for Objective SFRs

Entries from [Table 20](#) must be included for GPOS, MDF, and MDM [Base-PPs](#) if the objective [SFRs](#) are included in the [ST](#). When the App [PP](#) is the [Base-PP](#), if any objective [SFRs](#) are included in the [ST](#), corresponding auditable event entries must be included only if the implementation-dependent requirement [FAU_GEN.1/VPN](#) is included in the [ST](#).

Table 20: Auditable Events for Objective SFRs

| Requirement | Auditable Events | Additional Audit Record Contents |
|-------------|------------------|----------------------------------|
| | | |

| | | |
|---------------|---|----------------------------|
| FAU_SEL.1/VPN | All modifications to the audit configuration that occur while the audit collection functions are operating. | No additional information. |
|---------------|---|----------------------------|

A.2.2 Security Audit (FAU)

FAU_SEL.1/VPN Selective Audit

FAU_SEL.1.1/VPN

The [selection, choose one of: *TSF*, *TOE platform*] shall be able to select the set of events to be audited from the set of all auditable events based on the following attributes: [*event type*, *success of auditable security events*, *failure of auditable security events*], [*assignment*: list of additional attributes that audit selectivity is based upon]].

Application Note: The intent of this requirement is to identify all criteria that can be selected to trigger an audit event. This can be configured through an interface on the client for a user or administrator to invoke, or it could be an interface that the *VPN* gateway uses to instruct the client on which events are to be audited. For the *ST* author, the assignment is used to list any additional criteria or “none”. The auditable event types are listed in the Auditable Events table

The intent of the first selection is to allow for the case where the underlying platform is responsible for some audit log generation functionality.

A.3 Implementation-dependent Requirements

A.3.1 Auditable Events for Implementation-dependent SFRs

Entries from [Table 21](#) must be included for GPOS, MDF, and MDM *Base-PPs* if the implementation-dependent *SFRs* are included in the *ST*. When the *App PP* is the *Base-PP*, if any implementation-dependent *SFRs* are included in the *ST*, corresponding auditable event entries must be included only if the implementation-dependent requirement [FAU_GEN.1/VPN](#) is included in the *ST*.

Table 21: Auditable Events for Implementation-dependent SFRs

| Requirement | Auditable Events | Additional Audit Record Contents |
|-------------------------------|---------------------|----------------------------------|
| FAU_GEN.1/VPN | No events specified | N/A |

A.3.2 Security Audit (FAU)

FAU_GEN.1/VPN Audit Data Generation

FAU_GEN.1.1/VPN

The *TSF* and [selection, choose one of: *TOE platform*, no other component] shall be able to generate audit data of the following auditable events:

1. Start-up and shutdown of the audit functions;
2. All auditable events for the [*not specified*] level of audit;
3. All administrative actions;
4. [*Specifically defined auditable events listed in the Auditable Events tables*].

Application Note: This requirement is implementation-dependent on the MDF *PP*, GPOS *PP*, or MDM *PP* being the *Base-PP* claimed by the *TOE*. In this case, this requirement must be claimed.

For the *App PP Base-PP*, this requirement is strictly optional.

In the case of "a," the audit functions referred to are those provided by the *TOE*. For example,

in the case that the **TOE** was a stand-alone executable, auditing the startup and the shutdown of the **TOE** itself would be sufficient to meet the requirements of this clause.

Many auditable aspects of the **SFRs** included in this document deal with administrative actions. Item c above requires all administrative actions to be auditable, so no additional specification of the auditability of these actions is present in the Auditable Events table. While the **TOE** itself does not need to provide the ability to perform I&A for an administrator, this requirement implies that the **TOE** possess the capability to audit the events described by the **Base-PP** as "administrative actions" (primarily dealing with configuration of the functionality provided by the **TOE**).

The auditable events defined in the Auditable Events table are for the **SFRs** that are explicitly defined in this **PP-Module** ([Table 5](#), [Table 19](#), [Table 20](#), [Table 21](#), and [Table 22](#)). For any **SFRs** that are included as part of the **TOE** based on the claimed **Base-PP** (as defined in the Auditable Events tables in the Additional **SFRs** section for the corresponding **Base-PP** claim), it is expected that any applicable auditable events defined for those **SFRs** in the **Base-PP** are also claimed as part of the **TSE**. These auditable events only apply if the client actually performs these functions. If the platform performs any of these actions, then the platform is responsible for performing the auditing, not the **TSE**.

FAU_GEN.1.2/VPN

The **TSE** and [selection, choose one of: **TOE platform, no other component**] shall record within the audit data at least the following information:

1. Date and time of the event, type of event, subject identity, and the outcome (success or failure) of the event; and
2. For each audit event type, based on the auditable event definitions of the functional components included in the **PP-Module/ST**, [*information specified in column three of the Auditable Events tables*].

Appendix B - Selection-based Requirements

B.1 Auditable Events for Selection-based SFRs

Entries from [Table 22](#) must be included for GPOS, MDF, and MDM [Base-PPs](#) if the selection-based [SFRs](#) are included in the [ST](#). When the App [PP](#) is the [Base-PP](#), if any selection-based [SFRs](#) are included in the [ST](#), corresponding auditable event entries must be included only if the implementation-dependent requirement [FAU_GEN.1/VPN](#) is included in the [ST](#).

Table 22: Auditable Events for Selection-Based SFRs

| Requirement | Auditable Events | Additional Audit Record Contents |
|-------------------------------|---------------------|----------------------------------|
| FCS_EAP_EXT.1 | No events specified | N/A |
| FIA_PSK_EXT.1 | No events specified | N/A |
| FIA_PSK_EXT.2 | No events specified | N/A |
| FIA_PSK_EXT.3 | No events specified | N/A |
| FIA_PSK_EXT.4 | No events specified | N/A |
| FIA_PSK_EXT.5 | No events specified | N/A |

B.2 Cryptographic Support (FCS)

FCS_EAP_EXT.1 EAP-TLS

The inclusion of this selection-based component depends upon selection in [FCS_IPSEC_EXT.1.11](#).

FCS_EAP_EXT.1.1

The [TSF](#) shall support [[selection: EAP-TLS as specified in RFC 5216 and updated by RFC 8996, EAP-TTLS as specified in RFC 5281 and updated by RFC 8996](#)] over a protected channel per the [Base-PP](#) with an authentication server.

FCS_EAP_EXT.1.2

The [TSF](#) shall implement [[selection: EAP-TLS, EAP-TTLS](#)] with the [TSF](#) as the EAP client, an external authentication server as the EAP server and the [VPN](#) peer as the supplicant.

FCS_EAP_EXT.1.3

The [TSF](#) shall use the MSK from the [[selection: EAP-TLS, EAP-TTLS](#)] response as the IKEv2 shared secret in the authentication payload.

B.3 Identification and Authentication (FIA)

The [TOE](#) may support pre-shared keys for use in the IPsec protocol, and may use pre-shared keys in other protocols as well. PSK in the context of this document refer to generated values, memorized values subject to conditioning, one-time passwords, and combinations of the above as described in [FIA_PSK_EXT.1.2](#).

FIA_PSK_EXT.1 Pre-Shared Key Composition

The inclusion of this selection-based component depends upon selection in [FCS_IPSEC_EXT.1.11](#).

This component must be included in the ST if any of the following SFRs are included:

- [FPF_MFA_EXT.1](#)

FIA_PSK_EXT.1.1

The TSF shall be able to use pre-shared keys for [selection: *IKEv2, multifactor authentication filtering*].

FIA_PSK_EXT.1.2

The TSF shall be able to accept the following as pre-shared keys: [selection: *generated bit-based, password-based, HMAC-based one-time password, time-based one-time password, combination of a generated bit-based and HMAC-based one-time password, combination of a generated bit-based and time-based one-time password, combination of a password-based and HMAC-based one-time password, combination of a password-based and time-based one-time password*] keys.

Application Note: If "pre-shared keys that conform to REC 8784" is selected in [FCS_IPSEC_EXT.1.11](#), a generated, bit-based PSK must be used.

If any selection including "generated bit-based" is chosen, then [FIA_PSK_EXT.2](#) must be included.

If any selection including password-based keys is chosen, then [FIA_PSK_EXT.3](#) must be included.

If any selection including HMAC-based one-time password keys is chosen, then [FIA_PSK_EXT.4](#) must be included.

If any selection including time-based one-time password is chosen, then [FIA_PSK_EXT.5](#) must be included.

This requirement is selection-based on [FCS_IPSEC_EXT.1.11](#) or inclusion of [FPF_MFA_EXT.1](#).

FIA_PSK_EXT.2 Generated Pre-Shared Keys

The inclusion of this selection-based component depends upon selection in [FIA_PSK_EXT.1.2](#).

FIA_PSK_EXT.2.1

The TSF shall be able to [selection: *accept externally generated pre-shared keys, generate 256 bit-based pre-shared keys via the random number generator used by the TSF*].

Application Note: Generated PSKs are expected to be shared between components via an out-of-band mechanism.

This requirement is selection-based on [FIA_PSK_EXT.1](#).

FIA_PSK_EXT.3 Password-Based Pre-Shared Keys

The inclusion of this selection-based component depends upon selection in [FIA_PSK_EXT.1.2](#).

FIA_PSK_EXT.3.1

The TSF shall support a PSK of up to [assignment: *positive integer of 64 or more*] characters.

FIA_PSK_EXT.3.2

The TSF shall allow PSKs to be composed of any combination of upper case characters, lower case characters, numbers, and the following special characters: "!", "@", "#", "\$", "%", "^", "&", "*", "(", and ")"., and [selection: *assignment: other supported special characters, no other characters*].

FIA_PSK_EXT.3.3

The TSF shall perform Password-based Key Derivation Functions in accordance with a specified cryptographic algorithm [*HMAC-SHA-384*], with [assignment: *positive integer of 4096 or more*] iterations, and output cryptographic key sizes [*256 bits*] that meet the following: [*NIST SP 800-132*].

FIA_PSK_EXT.3.4

The TSF shall not accept PSKs less than [selection: *a value settable by the administrator, assignment: minimum PSK length accepted by the TOE, must be >= 6*] and greater than the maximum PSK length defined in [FIA_PSK_EXT.3.1](#).

FIA_PSK_EXT.3.5

The TSF shall generate all salts using an RBG that meets [selection: *FCS_RB.G.1, FCS_RB.G_EXT.1*] and with entropy of [assignment: *value equal to or greater than 256*] bits.

Application Note: For the first selection, the ST author selects FCS_RB.G.1 if the TOE implements its own DRBG. The ST author selects FCS_RB.G_EXT.1 if [App PP] is the Base-PP for the TOE and the TSF relies on a DRBG in its operational environment.

FIA_PSK_EXT.3.6

The TSF shall require the PSK to be entered before every initiated connection.

FIA_PSK_EXT.3.7

The TSF shall [selection: *provide a password strength meter, check the password against a denylist, perform no action to assist the user in choosing a strong password*].

Application Note: For [FIA_PSK_EXT.3.1](#), the ST author assigns the maximum size of the PSK it supports; it must support at least 64 characters or a length defined by the platform.

For [FIA_PSK_EXT.3.2](#), the ST author assigns any other supported characters; if there are no other supported characters, they should select "no other characters."

For [FIA_PSK_EXT.3.3](#), the ST author selects the parameters based on the PBKDF used by the TSF.

For [FIA_PSK_EXT.3.4](#), if the minimum length is settable, then the ST author chooses "a value settable by the administrator." If the minimum length is not settable, the ST author fills in the assignment with the minimum length the PSK must be. This requirement is to ensure bounds work properly.

For [FIA_PSK_EXT.3.7](#), the ST author may select one, both, or neither of the functions in alignment with NIST SP 800-63b.

This requirement is selection-based on [FIA_PSK_EXT.1](#).

FIA_PSK_EXT.4 HMAC-Based One-Time Password Pre-shared Keys Support

The inclusion of this selection-based component depends upon selection in [FIA_PSK_EXT.1.2](#).

FIA_PSK_EXT.4.1

The TSF shall accept and send an HOTP while initiating a VPN connection.

Application Note: This requirement is selection-based on [FIA_PSK_EXT.1](#)

FIA_PSK_EXT.5 Time-Based One-Time Password Pre-shared Keys Support

The inclusion of this selection-based component depends upon selection in FIA_PSK_EXT.1.2.

FIA_PSK_EXT.5.1

The TSF shall accept and send a TOTP while initiating a VPN connection.

Application Note: This requirement is selection-based on [FIA_PSK_EXT.1](#).

Appendix C - Extended Component Definitions

This appendix contains the definitions for all extended requirements specified in the PP-Module.

C.1 Extended Components Table

All extended components specified in the PP-Module are listed in this table:

Table 23: Extended Component Definitions

| Functional Class | Functional Components |
|---|---|
| Cryptographic Support (FCS) | FCS_CKM_EXT Cryptographic Key Management FCS_EAP_EXT EAP-TLS FCS_IPSEC_EXT IPsec |
| Identification and Authentication (FIA) | FIA_BMA_EXT Biometric Activation FIA_PSK_EXT Pre-Shared Key Composition FIA_X509_EXT X.509 Certificate Use and Management |
| Packet Filtering (FPF) | FPF_MFA_EXT Multifactor Authentication Filtering |
| Protection of the TSF (FPT) | FPT_TST_EXT TSF Self-Test |
| User Data Protection (FDP) | FDP_VPN_EXT Subset Information Flow Control |

C.2 Extended Component Definitions

C.2.1 Cryptographic Support (FCS)

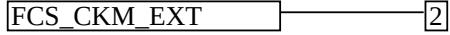
This PP-Module defines the following extended components as part of the FCS class originally defined by CC Part 2:

C.2.1.1 FCS_CKM_EXT Cryptographic Key Management

Family Behavior

Components in this family describe requirements for key management functionality such as key storage and destruction.

Component Leveling



[FCS_CKM_EXT.2](#), Cryptographic Key Storage, requires the TSF to securely store key data when not in use.

Management: FCS_CKM_EXT.2

No specific management functions are identified.

Audit: FCS_CKM_EXT.2

There are no auditable events foreseen.

FCS_CKM_EXT.2 Cryptographic Key Storage

Hierarchical to: No other components.

Dependencies to: No dependencies.

FCS_CKM_EXT.2.1

The [selection: *VPN client, OS*] shall store persistent secrets and private keys when not in use in *OS*-provided key storage.

C.2.1.2 FCS_IPSEC_EXT IPsec

Family Behavior

Components in this family describe requirements for IPsec implementation.

Component Leveling



[FCS_IPSEC_EXT.1](#), IPsec, requires the *TSF* to securely implement the IPsec protocol.

Management: FCS_IPSEC_EXT.1

The following actions could be considered for the management functions in FMT:

- Specify *VPN* gateways to use for connections
- Specify IPsec *VPN* clients to use for connections
- Specify IPsec-capable network devices to use for connections
- Specify client credentials to be used for connections

Audit: FCS_IPSEC_EXT.1

The following actions should be auditable if FAU_GEN Security Audit Data Generation is included in the *PP/ST*:

- Decisions to DISCARD or BYPASS network packets processed by the *TOE*
- Failure to establish an IPsec *SA*
- Establishment/Termination of an IPsec *SA*

FCS_IPSEC_EXT.1 IPsec

Hierarchical to: No other components.

Dependencies to: FCS_CKM.1 Cryptographic Key Generation

FCS_CKM.2 Cryptographic Key Distribution

FCS_COP.1 Cryptographic Operation

FCS_IPSEC_EXT.1.1

The *TSF* shall implement the IPsec architecture as specified in [RFC 4301](#).

FCS_IPSEC_EXT.1.2

The *TSF* shall implement [selection: *tunnel mode, transport mode*].

FCS_IPSEC_EXT.1.3

The *TSF* shall have a nominal, final entry in the *SPD* that matches anything that is otherwise unmatched, and discards it.

FCS_IPSEC_EXT.1.4

The TSF shall implement the IPsec protocol ESP as defined by RFC 4303 using the cryptographic algorithms [assignment: *supported cryptographic algorithms*].

FCS_IPSEC_EXT.1.5

The TSF shall implement the protocol: [assignment: *key exchange protocol*].

FCS_IPSEC_EXT.1.6

The TSF shall ensure the encrypted payload in the [assignment: *key exchange protocol*] protocol uses the cryptographic algorithms [assignment: *supported cryptographic algorithms*] and no other algorithm.

FCS_IPSEC_EXT.1.7

The TSF shall ensure that [assignment: *key exchange protocol*] lifetimes can be configured by [assignment: *authorized subjects*] based on [assignment: *values or metrics for maximum validity of negotiated keys*]. If length of time is used, it must include at least one option that is 24 hours or less for Phase 1 SAs and eight hours or less for Phase 2 SAs.

FCS_IPSEC_EXT.1.8

The TSF shall ensure that IKE implements DH Groups

- 20 (384-bit Random ECP) according to RFC 5114 and

[selection:

- 15 (3072-bit MODP) according to RFC 3526
- 16 (4096-bit MODP) according to RFC 3526
- 17 (6144-bit MODP) according to RFC 3526
- 18 (8192-bit MODP) according to RFC 3526
- 21 (521-bit Random ECP) according to RFC 5114

].

FCS_IPSEC_EXT.1.9

The TSF shall generate the secret value x used in the IKE DH key exchange (“x” in $g^x \bmod p$) using the random bit generator specified in FCS_RBG.1, and having a length of at least [assignment: *number of bits*] bits.

FCS_IPSEC_EXT.1.10

The TSF shall generate nonces used in IKE exchanges in a manner such that the probability that a specific nonce value will be repeated during the life of a specific IPsec SA is less than 1 in $2^{[assignment: (one or more) “bits of security” values associated with the negotiated DH group as listed in Table 2 of NIST SP 800-57, Recommendation for Key Management – Part 1: General]}$.

FCS_IPSEC_EXT.1.11

The TSF shall ensure that [assignment: *key exchange protocol*] performs peer authentication using [assignment: *supported authentication mechanisms*].

FCS_IPSEC_EXT.1.12

The TSF shall not establish an SA if the [selection: *IP address, Fully Qualified Domain Name (FQDN), user FQDN, Distinguished Name (DN)*] and [selection: *no other reference identifier type, [assignment: other supported reference identifier types]*] contained in a certificate does not match the expected values for the entity attempting to establish a connection.

FCS_IPSEC_EXT.1.13

The TSF shall not establish an SA if the presented identifier does not match the configured reference identifier of the peer.

FCS_IPSEC_EXT.1.14

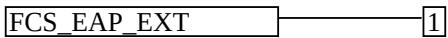
The [selection: *TSE, VPN gateway*] shall be able to ensure by default that the strength of the symmetric algorithm (in terms of the number of bits in the key) negotiated to protect the [selection: *IKEv1 Phase 1, IKEv2 IKE_SA*] connection is greater than or equal to the strength of the symmetric algorithm (in terms of the number of bits in the key) negotiated to protect the [selection: *IKEv1 Phase 2, IKEv2 CHILD_SA*] connection.

C.2.1.3 FCS_EAP_EXT EAP-TLS

Family Behavior

Components in this family describe the requirements for EAP-TLS.

Component Leveling



[FCS_EAP_EXT.1](#), EAP-TLS, defines the use of EAP-TLS.

Management: FCS_EAP_EXT.1

No specific management functions are identified.

Audit: FCS_EAP_EXT.1

No specific audit functions are identified.

FCS_EAP_EXT.1 EAP-TLS

Hierarchical to: No other components.

Dependencies to: FCS_IPSEC_EXT.1 IPsec

FCS_EAP_EXT.1.1

The *TSF* shall support [selection: *EAP-TLS as specified in RFC 5216 and updated by RFC 8996, EAP-TTLS as specified in RFC 5281 and updated by RFC 8996*] over a protected channel per the *Base-PP* with an authentication server.

FCS_EAP_EXT.1.2

The *TSF* shall implement [selection: *EAP-TLS, EAP-TTLS*] with the *TSF* as the EAP client, an external authentication server as the EAP server and the *VPN* peer as the supplicant.

FCS_EAP_EXT.1.3

The *TSF* shall use the MSK from the [selection: *EAP-TLS, EAP-TTLS*] response as the IKEv2 shared secret in the authentication payload.

C.2.2 Identification and Authentication (FIA)

This *PP-Module* defines the following extended components as part of the FIA class originally defined by CC Part 2:

C.2.2.1 FIA_X509_EXT X.509 Certificate Use and Management

Family Behavior

Components in this family describe the requirements that pertain to *IP* traffic and information flow through the *VPN* client.

Component Leveling

FIA_X509_EXT.4, X.509 Certificate Use and Management, requires the TOE to perform X.509 certificate authentication and describes the behavior that is followed if the status of the certificate is unknown or invalid.

Management: FIA_X509_EXT.4

No specific management functions are identified.

Audit: FIA_X509_EXT.4

There are no auditable events foreseen.

FIA_X509_EXT.4 X.509 Certificate Use and Management

Hierarchical to: No other components.

Dependencies to: FIA_X509_EXT.1 X.509 Certificate Validation

FPT_TST_EXT.1 TSF Self-Test

FPT_TUD_EXT.1 Trusted Update

FIA_X509_EXT.4.1

The TSF shall use X.509v3 certificates as defined by RFC 5280 to support authentication for IPsec exchanges, and [selection: digital signatures for FPT_TUD_EXT.1, integrity checks for FPT_TST_EXT.1, no additional uses].

FIA_X509_EXT.4.2

When a connection to determine the validity of a certificate cannot be established, the [selection, choose one of: VPN client, QS] shall [selection, choose one of: allow the administrator to choose whether to accept the certificate in these cases, accept the certificate, not accept the certificate].

FIA_X509_EXT.4.3

The [selection, choose one of: VPN client, QS] shall not establish an SA if a certificate or certificate path is deemed invalid.

C.2.2.2 FIA_BMA_EXT Biometric Activation

Family Behavior

Components in this family describe the requirements for biometrics when using the VPN client.

Component Leveling

FIA_BMA_EXT.1, Biometric Activation, defines the use of biometrics when using the VPN client.

Management: FIA_BMA_EXT.1

No specific management functions are identified.

Audit: FIA_BMA_EXT.1

No specific audit functions are identified.

FIA_BMA_EXT.1 Biometric Activation

Hierarchical to: No other components.

Dependencies to: No dependencies.

FIA_BMA_EXT.1.1

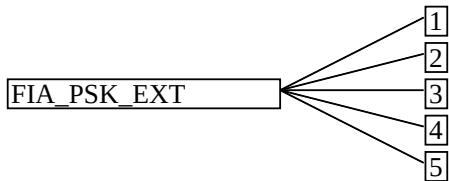
The TSF shall leverage the platform biometric features to confirm the user before initiating a trusted channel.

C.2.2.3 FIA_PSK_EXT Pre-Shared Key Composition

Family Behavior

Components in this family describe the requirements for pre-shared keys when implementing IPsec.

Component Leveling



[FIA_PSK_EXT.1](#), Pre-Shared Key Composition, defines the use and composition of pre-shared keys used for IPsec.

[FIA_PSK_EXT.2](#), Generated Pre-Shared Keys, defines the use and composition of generated pre-shared keys used for IPsec.

[FIA_PSK_EXT.3](#), Password-Based Pre-Shared Keys, defines the use and composition of password-based pre-shared keys used for IPsec.

[FIA_PSK_EXT.4](#), HMAC-Based One-Time Password Pre-shared Keys Support, defines the use and composition of HOTP pre-shared keys used for IPsec.

[FIA_PSK_EXT.5](#), Time-Based One-Time Password Pre-shared Keys Support, defines the use and composition of TOTP pre-shared keys used for IPsec.

Management: FIA_PSK_EXT.1

No specific management functions are identified.

Audit: FIA_PSK_EXT.1

No specific audit functions are identified.

FIA_PSK_EXT.1 Pre-Shared Key Composition

Hierarchical to: No other components.

Dependencies to: FCS_IPSEC_EXT.1 IPsec

FIA_PSK_EXT.1.1

The TSF shall be able to use pre-shared keys for [**selection: IKEv2, multifactor authentication filtering**].

FIA_PSK_EXT.1.2

The TSF shall be able to accept the following as pre-shared keys: [**selection: generated bit-based, password-based, HMAC-based one-time password, time-based one-time password, combination of a generated bit-based and HMAC-based one-time password, combination of a generated bit-based and time-based one-time password, combination of a password-based and HMAC-based one-time password, combination of a password-based and time-based one-time password**] keys.

Management: FIA_PSK_EXT.2

No specific management functions are identified.

Audit: FIA_PSK_EXT.2

The following actions should be auditable if FAU_GEN Security Audit Data Generation is included in the PP/ST:

- Failure of the randomization process

FIA_PSK_EXT.2 Generated Pre-Shared Keys

Hierarchical to: No other components.

Dependencies to: FIA_PSK_EXT.1 Pre-Shared Key Composition

FIA_PSK_EXT.2.1

The TSF shall be able to [selection: accept externally generated pre-shared keys, generate 256 bit-based pre-shared keys via the random number generator used by the TSF].

Management: FIA_PSK_EXT.3

No specific management functions are identified.

Audit: FIA_PSK_EXT.3

The following actions should be auditable if FAU_GEN Security Audit Data Generation is included in the PP/ST:

- Failure of the randomization process

FIA_PSK_EXT.3 Password-Based Pre-Shared Keys

Hierarchical to: No other components.

Dependencies to: FCS_RB.G.1 Random Bit Generation (RBG) FIA_PSK_EXT.1 Pre-Shared Key Composition

FIA_PSK_EXT.3.1

The TSF shall support a PSK of up to [assignment: positive integer of 64 or more] characters.

FIA_PSK_EXT.3.2

The TSF shall allow PSKs to be composed of any combination of upper case characters, lower case characters, numbers, and the following special characters: "!", "@", "#", "\$", "%", "^", "&", "*", "(", and ")". and [selection: [assignment: other supported special characters], no other characters].

FIA_PSK_EXT.3.3

The TSF shall perform Password-based Key Derivation Functions in accordance with a specified cryptographic algorithm [assignment: cryptographic algorithm used for key derivation], with [assignment: positive integer of 4096 or more] iterations, and output cryptographic key sizes [assignment: output key size] that meet the following: [assignment: list of standards].

FIA_PSK_EXT.3.4

The TSF shall not accept PSKs less than [selection: a value settable by the administrator, [assignment: minimum PSK length accepted by the TOE, must be >= 6]] and greater than the maximum PSK length defined in [FIA_PSK_EXT.3.1](#).

FIA_PSK_EXT.3.5

The TSF shall generate all salts using an RBG that meets FCS_RBG.1 and with entropy of [**assignment: value equal to or greater than 256**] bits.

FIA_PSK_EXT.3.6

The TSF shall require the PSK to be entered before every initiated connection.

FIA_PSK_EXT.3.7

The TSF shall [**selection: provide a password strength meter, check the password against a denylist, perform no action to assist the user in choosing a strong password**].

Management: FIA_PSK_EXT.4

No specific management functions are identified.

Audit: FIA_PSK_EXT.4

No specific audit functions are identified.

FIA_PSK_EXT.4 HMAC-Based One-Time Password Pre-shared Keys Support

Hierarchical to: No other components.

Dependencies to: FIA_PSK_EXT.1 Pre-Shared Key Composition

FIA_PSK_EXT.4.1

The TSF shall accept and send an HOTP while initiating a VPN connection.

Management: FIA_PSK_EXT.5

No specific management functions are identified.

Audit: FIA_PSK_EXT.5

No specific audit functions are identified.

FIA_PSK_EXT.5 Time-Based One-Time Password Pre-shared Keys Support

Hierarchical to: No other components.

Dependencies to: FIA_PSK_EXT.1 Pre-Shared Key Composition

FIA_PSK_EXT.5.1

The TSF shall accept and send a TOTP while initiating a VPN connection.

C.2.3 Packet Filtering (PPF)

This class contains families that describe packet filtering behavior. Packet filtering refers to the notion that network traffic that is transmitted “through” the TOE (i.e. the source and destination of the traffic is not the TOE but the TOE is on the routing path between these two entities) can be treated differently by the TSF based on attributes associated with the traffic. As this class is defined solely to contain an extended component defined for this PP-Module, it has one family, FPF_MFA_EXT.

C.2.3.1 FPF_MFA_EXT Multifactor Authentication Filtering

Family Behavior

Components in this family describe the requirements for multifactor authentication filtering when using the VPN client.

Component Leveling



FPF_MFA_EXT.1, Multifactor Authentication Filtering, defines the use and composition of multifactor authentication filtering.

Management: FPF_MFA_EXT.1

No specific management functions are identified.

Audit: FPF_MFA_EXT.1

No specific audit functions are identified.

FPF_MFA_EXT.1 Multifactor Authentication Filtering

Hierarchical to: No other components.

Dependencies to: No dependencies.

FPF_MFA_EXT.1.1

The TSF shall not forward packets to the internal network until the IKE/IPsec tunnel has been established, except those necessary to ensure that the client is authenticated according to FIA_PSK_EXT.1.

C.2.4 Protection of the TSF (FPT)

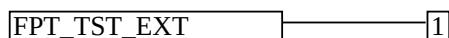
This PP-Module defines the following extended components as part of the FPT class originally defined by CC Part 2:

C.2.4.1 FPT_TST_EXT TSF Self-Test

Family Behavior

Components in this family describe requirements for self-test to verify functionality and integrity of the TOE.

Component Leveling



FPT_TST_EXT.1, TSF Self-Test, requires the TOE to perform power on self-tests to verify its functionality and the integrity of its stored executable code.

Management: FPT_TST_EXT.1

No specific management functions are identified.

Audit: FPT_TST_EXT.1

There are no auditable events foreseen.

FPT_TST_EXT.1 TSF Self-Test

Hierarchical to: No other components.

Dependencies to: No dependencies.

FPT_TST_EXT.1.1

The [selection, choose one of: *TOE*, *TOE platform*] shall run a suite of self tests during initial start-up (on power on) to demonstrate the correct operation of the *TSE*.

FPT_TST_EXT.1.2

The [selection, choose one of: *TOE*, *TOE platform*] shall provide the capability to verify the integrity of stored *TSF* executable code when it is loaded for execution through the use of the [assignment: *cryptographic services provided either by the portion of the TOE described by the Base-PP or by the OE*].

C.2.5 User Data Protection (FDP)

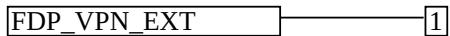
This *PP-Module* defines the following extended components as part of the FDP class originally defined by *CC Part 2*:

C.2.5.1 FDP_VPN_EXT Subset Information Flow Control

Family Behavior

Components in this family describe the requirements that pertain to *IP* traffic and information flow through the *VPN* client.

Component Leveling



FDP_VPN_EXT.1, Split Tunnel Prevention, requires the *TSE* to process all *IP* traffic through its *VPN* client functionality.

Management: FDP_VPN_EXT.1

No specific management functions are identified.

Audit: FDP_VPN_EXT.1

There are no auditable events foreseen.

FDP_VPN_EXT.1 Split Tunnel Prevention

Hierarchical to: No other components.

Dependencies to: FCS_IPSEC_EXT.1 IPsec

FDP_VPN_EXT.1.1

The *TSF* shall ensure that all *IP* traffic (other than *IP* traffic required to establish the *VPN* connection) flow through the IPsec *VPN* client.

Appendix D - Implicitly Satisfied Requirements

This appendix lists requirements that should be considered satisfied by products successfully evaluated against this [PP-Module](#). These requirements are not featured explicitly as [SFRs](#) and should not be included in the [ST](#). They are not included as standalone [SFRs](#) because it would increase the time, cost, and complexity of evaluation. This approach is permitted by [\[CC\]](#) Part 1, 8.3 Dependencies between components.

This information benefits systems engineering activities which call for inclusion of particular security controls. Evaluation against the [PP-Module](#) provides evidence that these controls are present and have been evaluated.

Table 24: Implicitly Satisfied Requirements

| Requirement | Rationale for Satisfaction |
|---|---|
| FCS_CKM.2 – Cryptographic Key Distribution, or FCS_COP.1 – Cryptographic Operation | FCS_CKM.1 (which is defined in this PP-Module as FCS_CKM.1/VPN) requires one of FCS_CKM.2 or FCS_COP.1 to be claimed so that the generated keys can serve some security-relevant purpose. Each of the Base-PPs for this PP-Module define an iteration of FCS_COP.1 for symmetric cryptography that is expected to use the IKE keys generated by FCS_CKM.1/VPN . Therefore, this dependency is satisfied through requirements defined in the Base-PPs . |
| FCS_COP.1 – Cryptographic Operation | FCS_IPSEC_EXT.1 has a dependency on FCS_COP.1 because of the cryptographic operations that are needed in support of implementing the IPsec protocol. FCS_COP.1 is not defined in this PP-Module because each of the supported Base-PPs define iterations of FCS_COP.1 that support the functions that are relevant to IPsec. |
| FMT_MTD.1 – Management of TSF Data | FAU_SEL.1/VPN has a dependency on FMT_MTD.1 to enforce appropriate access controls on the audit configuration, as this is TSF data. This SFR is not explicitly defined in any of the supported Base-PPs but the dependency is implicitly addressed by each Base-PP in the following manner: <ul style="list-style-type: none">• GPOS PP: The GPOS PP implicitly defines the existence of ‘user’ and ‘administrator’ roles in the extended SFRs FMT_MOF_EXT.1 and FMT_SMF_EXT.1. A TOE that conforms to this Base-PP can associate the ability to perform the functionality defined by FAU_SEL.1/VPN to one or both of these roles.• MDF PP: The MDF PP implicitly defines the existence of ‘user,’ ‘administrator,’ and ‘MDM’ roles in the SFRs FMT_MOF_EXT.1 and FMT_SMF.1. A TOE that conforms to this Base-PP can associate the ability to perform the functionality defined by FAU_SEL.1/VPN to one or more of these roles.• App PP: The App PP does not define the existence of a separately authenticated management interface; instead, the App PP assumes that authentication to the underlying OS platform is sufficient authorization to access the application’s management functionality.• MDM PP: The MDM PP defines the existence of management roles in FMT_SMR.1/SECMAN_ROLES. A TOE that conforms to this Base-PP can associate the ability to perform the functionality defined by FAU_SEL.1/VPN to one or more of the roles defined here. |
| FPT_STM.1 – Reliable Time Stamps | FAU_GEN.1/VPN has a dependency on FPT_STM.1 because audit data is required to have timestamps that are based on reliable clock data. All of the supported Base-PPs either define this requirement explicitly or provide rationale for why the reader should expect that a reliable clock service should be present. Depending on the claimed Base-PP , the dependency is satisfied in the following manner: <ul style="list-style-type: none">• GPOS PP: The GPOS PP states that FPT_STM.1 is implicitly satisfied by the requirements of FAU_GEN.1 since that requirement could not be satisfied if no clock |

service was present. Additionally, a clock service is reasonably assumed to be provided by a general-purpose OS.

- MDF PP: The MDF PP explicitly defines FPT STM.1.
- App PP: The App PP assumption A.PLATFORM assumes that the general-purpose computing platform on which the TOE is installed is ‘a trustworthy computing platform.’ System time data is not explicitly mentioned but a clock service is reasonably assumed to be provided by a general-purpose computer.
- MDM PP: The MDM PP assumption A.MDM_SERVER_PLATFORM assumes that the platform on which the TOE is installed will provide reliable time services.

FPT STM.1 – Reliable Time Stamps

FAU_GEN.1 has a dependency on FPT STM.1. While not explicitly stated in the PP, it is assumed that this will be provided by the underlying hardware platform on which the TOE is installed. This is because the TOE is installed as a software or firmware product that runs on general-purpose computing hardware so a hardware clock is assumed to be available.

Appendix E - Entropy Documentation and Assessment

The TOE does not require any additional supplementary information to describe its entropy sources beyond the requirements outlined in the Base-PPs. As with other Base-PP requirements, the only additional requirement is that the entropy documentation also applies to the specific VPN client capabilities of the TOE in addition to the functionality required by the claimed Base-PP.

Appendix F - Acronyms

Table 25: Acronyms

| Acronym | Meaning |
|---------|--|
| AES | Advanced Encryption Standard |
| Base-PP | Base Protection Profile |
| CC | Common Criteria |
| CEM | Common Evaluation Methodology |
| cPP | Collaborative Protection Profile |
| CRL | Certificate Revocation List |
| CSP | Critical Security Parameter |
| DH | Diffie-Hellman |
| DN | Distinguished Name |
| DSS | Digital Signature Standard |
| ECC | Elliptic Curve Cryptography |
| EP | Extended Package |
| ESP | Encapsulating Security Protocol |
| EUD | End-User Device |
| FFC | Finite Field Cryptography |
| FIPS | Federal Information Processing Standards |
| FP | Functional Package |
| FQDN | Fully Qualified Domain Name |
| IKE | Internet Key Exchange |
| IP | Internet Protocol |
| IT | Information Technology |
| MD | Mobile Device (Fundamentals) |
| NAT | Network Address Translation |
| NIST | National Institute of Standards and Technology |
| OCSP | Online Certificate Status Protocol |
| OE | Operational Environment |

| | |
|-------------------------|------------------------------------|
| <u>OS</u> | (General Purpose) Operating System |
| <u>OSP</u> | Organizational Security Policy |
| <u>PP</u> | Protection Profile |
| <u>PP-Configuration</u> | Protection Profile Configuration |
| <u>PP-Module</u> | Protection Profile Module |
| <u>PUB</u> | Publication |
| <u>RBG</u> | Random Bit Generation |
| <u>RFC</u> | Request For Comment |
| <u>SA</u> | Security Association |
| <u>SAR</u> | Security Assurance Requirement |
| <u>SD</u> | Supporting Document |
| <u>SFR</u> | Security Functional Requirement |
| <u>SHA</u> | Secure Hash Algorithm |
| <u>SPD</u> | Security Policy Database |
| <u>ST</u> | Security Target |
| <u>TOE</u> | Target of Evaluation |
| <u>TSE</u> | TOE Security Functionality |
| <u>TSEI</u> | TSF Interface |
| <u>TSS</u> | TOE Summary Specification |
| <u>VPN</u> | Virtual Private Network |

Appendix G - Bibliography

Table 26: Bibliography

| Identifier | Title |
|------------|--|
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