

SE CV01

Conversion of UK Societas (UKS) to a Public Limited Company (PLC)

A fee is payable with this form.

Please see 'How to pay' on the last page.

What this form is for

You may use this form to convert an
existing UKS to a PLC.

What this form is NOT for

You cannot use this form to form a
UKS by any other means.

Do not use this form if any
individual person with significant
control is applying or has applied for
protection from having their details
disclosed on the public register.

Contact secure@companieshouse.gov.uk to get a separate form.

Part 1

UK Societas details

A1

Details of converting UK Societas

UKS number

--	--	--	--	--	--	--	--	--

Full name of
converting UKS

A2

Proposed name

Please show the proposed company name below.

Proposed PLC name
in full ¹

For official use

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A3

Company name restrictions

Please tick the box only if the proposed company name contains sensitive or
restricted words or expressions that require you to seek comments of a
government department or other specified body.

- ☐ I confirm that the proposed company name contains sensitive or restricted
words or expressions and that approval, where appropriate, has been sought
of a government department or other specified body and I attach a copy of
their response.

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A4

Situation of registered office

Please tick the appropriate box below that describes the situation of the proposed registered office (only one box must be ticked):

- ☐ England and Wales
☐ Wales
☐ Scotland
☐ Northern Ireland

A5

Proposed registered office address in the UK

Building name/number

Street

Post town

County/Region

Postcode

A6

Details of draft terms

Please give the date that the UK Societas named in Part 1 was first registered

Registration date

Please give the date that the draft terms of conversion were drawn up.

Drawing up date

Please give the date that the certificate was prepared.

Certificate date

Please give the date of the meeting that the draft terms and articles of association were approved.

Approved date

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A7

Attachments

Please tick the boxes below to confirm that **all** the following documents have been attached to this form.

Document description

- ☐ Report by management or administrative organ of the UKS converting to PLC in accordance with Article 66(3) of Council Regulation (EC) No 2157/2001.
- ☐ Copy of certificate(s) of expert(s) in accordance with Article 66(5) of Council Regulation (EC) No 2157/2001.
- ☐ Articles of proposed PLC.
- ☐ Copy of Resolution approving conversion of UKS to PLC in accordance with Article 66(6) of Council Regulation (EC) No 2157/2001.

Part 2

Officer details

Public companies are required to appoint at least one secretary and must appoint at least two directors, one of which must be an individual.

For a secretary who is an individual, go to **Section B1**; for a corporate secretary, go to **Section C1**; for a director who is an individual, go to **Section D1**; and for a corporate director, go to **Section E1**.

Secretary

Please use a continuation page if you need to enter more details.

B1

Secretary appointments

Please use this section to list all the secretary appointments. For a corporate secretary, complete **Sections C1-C4**.

Title

Full forename(s)

Surname

Former name(s) ²

B2

Secretary's service address

Building name/number

Street

Post town

County/Region

Postcode

Country

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C1

Corporate secretary appointments^①

Please use this section to list all the corporate secretary appointments.

Name of corporate
body or firm

Building name/number^②

Street

Post town

County/Region

Postcode

Country

C2

Location of the registry of the corporate body or firm

Is the corporate secretary a limited company registered in the UK?

→ **Yes** Complete **Section C3 only**

→ **No** Complete **Section C4 only**

C3

UK-registered limited companies

Please give the company registration number.

You can find the registration
number on our website <https://beta.companieshouse.gov.uk>

Registration number

C4

Non-EEA companies

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Where you have provided details of
the register (including state) where
the company or firm is registered,
you must also provide its number in
that register.

Legal form of the
corporate body
or firm

Governing law


If applicable, where
the company/firm is
registered^④

If applicable, the
registration number

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Director

D1		Director appointments							
		Please use this section to list all the director appointments. For a corporate director, complete Sections E1-E4 .							
Title									
Full forename(s)									
Surname									
Former name(s)									
Country/State of residence									
Nationality									
Month/year of birth	X	X	<table><tr><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td></tr></table>	m	m	y	y	y	y
m	m	y	y	y	y				
Business occupation (if any) 									

D2		Director's service address									
		Please complete the service address below. You must also fill in the director's usual residential address in Section D4 .									
Building name/number											
Street											
Post town											
County/Region											
Postcode	<table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>										
Country											

This page is not shown on the public record

Do not cover this barcode

D3**New director's date of birth ¹**

Please complete your full date of birth below.

Date of birth

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

D4**Director's usual residential address**

Please complete your usual residential address below.

Building name/number

Street

Post town

County/Region

Postcode

Country

Section 243 of
Companies Act 2006**Section 243 exemption**

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

☐**Different postal address:**

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

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Director

D1

Director appointments

Please use this section to list all the director appointments. For a corporate director, complete **Sections E1-E4**.

Title	
Full forename(s)	
Surname	
Former name(s)	
Country/State of residence	
Nationality	
Month/year of birth	X X <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>
Business occupation (if any) 	

D2

Director's service address

Please complete the service address below. You must also fill in the director's usual residential address in **Section D4**.

Building name/number	
Street	
Post town	
County/Region	
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Country	

This page is not shown on the public record**Do not cover this barcode****D3****New director's date of birth ^①**

Please complete your full date of birth below.

Date of birth

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

D4**Director's usual residential address**

Please complete your usual residential address below.

Building name/number

Street

Post town

County/Region

Postcode

Country

Section 243 of
Companies Act 2006**Section 243 exemption**

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

☐**Different postal address:**

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

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Corporate director

E1	Corporate director appointments	
	Please use this section to list all the corporate director appointments.	
Name of corporate body or firm		
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		

E2	Location of the registry of the corporate body or firm	
	Is the corporate director a limited company registered in the UK? → Yes Complete Section E3 only → No Complete Section E4 only	

E3	UK-registered limited companies	
	Please give the company registration number.	
Registration number		

E4	Other corporate bodies and firms	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered ④		
If applicable, the registration number		

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Complete the table(s) below to show the share capital.

Complete a separate table for each currency (if appropriate). For example, add pound sterling in 'Currency table A' and Euros in 'Currency table B'

**Totals (including continuation
pages)**

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F2

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Section F1**.

Class of share

Prescribed particulars

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Class of share		
Prescribed particulars		

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Class of share		
Prescribed particulars		

Part 4**People with significant control (PSC)**

Use this Part to tell us about people with significant control or registrable relevant legal entities in respect of the company. Do not use this Part to tell us about any individual people with significant control whose particulars must not be disclosed on the public record. You must use a separate form, which you can get by contacting us enquiries@companieshouse.gov.uk

If on registration there will be someone who will count as a person with significant control (either a registrable person or registrable relevant legal entity (RLE)) in relation to the company, tick the box in G1 and complete any relevant sections. If there will be no registrable person or RLE tick the box in G2 and go to **Part 5 Consent to act**.

G1**Statement of initial significant control**

- ☐ On registration, there will be someone who will count as a person with significant control (either a registrable person or registrable RLE) in relation to the company.

G2**Statement of no PSC**

(Please tick the statement below if appropriate)

- ☐ The company knows or has reason to believe that there will be no person with significant control (either a registrable person or RLE) in relation to the company

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Individual PSC

G3

Individual's details

Use **sections G3-G9** as appropriate to tell us about individuals with significant control who are registrable persons and the nature of their control in relation to the company

Title*																					
Full forename(s)																					
Surname																					
Country/State of residence																					
Nationality																					
Month/year of birth	<table><tr><td>X</td><td>X</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td></td><td></td><td>m</td><td>m</td><td></td><td>y</td><td>y</td><td>y</td><td>y</td><td></td></tr></table>	X	X											m	m		y	y	y	y	
X	X																				
		m	m		y	y	y	y													

G4

Individual's service address

Please complete the individual's service address below. You must also complete the individual's usual residential address in **Section G6**.

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

This page is not shown on the public record**Do not cover this barcode****G5****Individual's date of birth¹**

Please complete the full date of birth below.

Date of birth

Please give the full date of birth.

Date of birth

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

G6**Individual's usual residential address**

Please complete the individual's usual residential address below.

Building name/number

Street

Post town

County/Region

Postcode

Country

Section 790ZF of
Companies Act 2006**Section 790ZF exemption**

Only tick the box below if the individual is in the process of applying for, or has been granted, exemption by the Registrar from disclosing his or her usual residential address to credit reference agencies under section 790ZF of the Companies Act 2006.

☐**Different postal address:**

If the individual is applying for, or has been granted, a section 790ZF exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where the individual is applying for a section 790ZF exemption with this form, the application and this form must be posted together.

G7

Nature of control for an individual

Please indicate how the individual is a person with significant control over the company

Ownership of shares

The individual holds, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of voting rights

The individual holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of right to appoint/remove directors

- ☐ The individual holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the company

Significant influence or control (Only tick if none of the above apply)

- ☐ The individual has the right to exercise, or actually exercises, significant influence or control over the company

G8

Nature of control by a firm over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

- ☐ the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- ☐ the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

G9**Nature of control by a trust over which the individual has significant control**

The individual has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

☐ the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

☐ the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

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Individual PSC

G3

Individual's details

Use **sections G3-G9** as appropriate to tell us about individuals with significant control who are registrable persons and the nature of their control in relation to the company

Title*																					
Full forename(s)																					
Surname																					
Country/State of residence ¹																					
Nationality																					
Month/year of birth ²	<table><tr><td>X</td><td>X</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td><td></td><td></td><td></td><td></td></tr></table>	X	X									m	m	y	y	y	y				
X	X																				
m	m	y	y	y	y																

G4

Individual's service address

Please complete the individual's service address below. You must also complete the individual's usual residential address in **Section G6**.

Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

This page is not shown on the public record**Do not cover this barcode****G5****Individual's date of birth**

Please complete the full date of birth below.

Date of birth

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

G6**Individual's usual residential address**

Please complete the individual's usual residential address below.

Building name/number

Street

Post town

County/Region

Postcode

Country

Section 790ZF of
Companies Act 2006**Section 790ZF exemption**

Only tick the box below if the individual is in the process of applying for, or has been granted, exemption by the Registrar from disclosing his or her usual residential address to credit reference agencies under section 790ZF of the Companies Act 2006.

☐**Different postal address:**

If the individual is applying for, or has been granted, a section 790ZF exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where the individual is applying for a section 790ZF exemption with this form, the application and this form must be posted together.

G7

Nature of control for an individual

Please indicate how the individual is a person with significant control over the company

Ownership of shares

The individual holds, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of voting rights

The individual holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of right to appoint/remove directors

- ☐ The individual holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the company

Significant influence or control (Only tick if none of the above apply)

- ☐ The individual has the right to exercise, or actually exercises, significant influence or control over the company

G8

Nature of control by a firm over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

- ☐ the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- ☐ the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

G9**Nature of control by a trust over which the individual has significant control**

The individual has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

☐ the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

☐ the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

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Relevant legal entity (RLE)

H1	RLE details	
Corporate or firm name		
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		

H2	Legal form and governing law	
	Please give details of the legal form of the RLE and the law by which it is governed. If applicable, please also give details of the register of companies in which it is entered (including the country/state) and its registration number in that register.	
Legal form		
Governing law		
If applicable, register in which RLE is entered		
Country/State		
Registration number		

H3

Nature of control for the RLE

Please indicate how the RLE has significant control over the company

Ownership of shares

The RLE holds, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of voting rights

The RLE holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of right to appoint/remove directors

- ☐ The RLE holds the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

Significant influence or control (only tick if none of the above apply)

- ☐ The RLE has the right to exercise, or actually exercises, significant influence or control over the company

H4

Nature of control by a firm over which the RLE has significant control

The RLE has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

- ☐ the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- ☐ the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

H5**Nature of control by a trust over which the RLE has significant control**

The RLE has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

☐ the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

☐ the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

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Other registrable person (ORP)

I1	ORP details	
	An 'other registrable person' is: <ul style="list-style-type: none">• a corporation sole• a government or government department of a country or territory or a part of a country or territory• an international organisation whose members include two or more countries or territories (or their governments)• a local authority or local government body in the UK or elsewhere	
Name of ORP	<div></div> <div></div>	

I2	Principal office address	
Building name/number	<div></div>	
Street	<div></div> <div></div>	
Post town	<div></div>	
County/Region	<div></div>	
Postcode	<div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div>	
Country	<div></div>	

I3	Legal form and governing law	
Legal form	<div></div> <div></div>	
Governing law	<div></div>	

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Nature of control

Please show how the ORP has significant control over the company

Ownership of shares

The ORP holds, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of voting rights

The ORP holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of right to appoint/remove directors

- ☐ The ORP holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the company

Significant influence or control (Only tick if none of the above apply)

- ☐ The ORP has the right to exercise, or actually exercises, significant influence or control over the company.

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Nature of control by a firm over which the ORP has significant control

The ORP has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

- ☐ the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- ☐ the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

I6

Nature of control by a trust over which the ORP has significant control

The ORP has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

☐ the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

☐ the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

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Part 5 Consent to act

J1

Please tick the box to confirm consent.

☐ I confirm that each of the persons named as a director or secretary has consented to act in that capacity.

Part 6 Statement about individual PSC particulars

K1

Particulars of an individual PSC

Please tick the box to confirm.

☐ The member(s) of the management or administrative organ of the UK Societas confirm that each person named in this application as an individual PSC knows that their particulars are being supplied as part of this application.

Part 7 Statement of Compliance

L1

Statement of compliance

I am signing this form on behalf of the UK Societas and confirm that all the requirements of these Regulations and the EC Regulation in respect of the conversion of a UK Societas into a public company (including as to registration) have been complied with.

Signature

Signature

X

This form may be signed by:
A member of the management or administrative organ of the UK Societas named in Part 1

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How to pay

A fee of £20 is payable to Companies House in respect of a conversion.

Make cheques or postal orders payable to 'Companies House.'

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For UK Societas registered in England and Wales:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For UK Societas registered in Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For UK Societas registered in Northern Ireland:

The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.

Section 243 OR Section 790ZF exemption

If you are applying for, or have been granted, a section 243 or 790ZF exemption, please post this whole form to the different postal address below:

The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE.

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Secretary

B1	Secretary appointments
	Please use this section to list all the secretary appointments. For a corporate secretary, complete Sections C1-C4 .
Title	
Full forename(s)	
Surname	
Former name(s) ²	

B2	Secretary's service address
Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

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Corporate secretary

C1 Corporate secretary appointments

Please use this section to list all the corporate secretary appointments.

Name of corporate
body or firm

Building name/number

Street

Post town

County/Region

Postcode

Country

C2 Location of the registry of the corporate body or firm

Is the corporate secretary a limited company registered in the UK?

→ **Yes** Complete **Section C3 only**

→ **No** Complete **Section C4 only**

C3 UK-registered limited companies

Please give the company registration number.

Registration number

C4 Other corporate bodies and firms

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the
corporate body
or firm

Governing law

If applicable, where
the company/firm is
registered

If applicable, the
registration number

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Director

D1		Director appointments	
	Please use this section to list all the director appointments. For a corporate director, complete Sections E1-E4 .		
Title			
Full forename(s)			
Surname			
Former name(s)			
Country/State of residence			
Nationality			
Month/year of birth	X	X	<div> <div>m</div> <div>m</div> <div>y</div> <div>y</div> <div>y</div> <div>y</div> </div>
Business occupation (if any)			

D2		Director's service address	
	Please complete the service address below. You must also fill in the director's usual residential address in Section D4 .		
Building name/number			
Street			
Post town			
County/Region			
Postcode	<div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> <div></div> </div>		
Country			

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D3

New director's date of birth ^①

Please complete your full date of birth below.

Date of birth

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

D4

Director's usual residential address ^②

Please complete your usual residential address below.

Building name/number

Street

Post town

County/Region

Postcode

Country

Section 243 of
Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

☐

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.

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Corporate director

E1 Corporate director appointments

Please use this section to list all the corporate director appointments.

Name of corporate
body or firm

Building name/number

Street

Post town

County/Region

Postcode

Country

E2 Location of the registry of the corporate body or firm

Is the corporate director a limited company registered in the UK?

→ Yes Complete **Section E3 only**

→ No Complete **Section E4 only**

E3 UK-registered limited companies

Please give the company registration number.

Registration number

E4 Other corporate bodies and firms

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the
corporate body
or firm

Governing law

If applicable, where
the company/firm is
registered ②

If applicable, the
registration number

Statement of capital

Complete a separate table for each currency.

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F2

Statement of capital (Prescribed particulars of rights attached to shares)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Section F1**.

Class of share

Prescribed particulars

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Individual PSC

G3	Individual's details	
	Use sections E3-E8 as appropriate to tell us about individuals with significant control who are registrable persons and the nature of their control in relation to the company	
Title*		
Full forename(s)		
Surname		
Country/State of residence		
Nationality		
Month/year of birth	<div><div>X</div><div>X</div><div>m</div><div>m</div><div>y</div><div>y</div><div>y</div><div>y</div></div>	

G4	Individual's service address	
	Please complete the individual's service address below. You must also complete the individual's usual residential address in Section G6 .	
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		

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G5

Individual's date of birth

Please complete the full date of birth below.

Date of birth

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

G6

Individual's usual residential address

Please complete the individual's usual residential address below.

Building name/number

Street

Post town

County/Region

Postcode

Country

Section 790ZF of
Companies Act 2006

Section 790ZF exemption⁹

Only tick the box below if the individual is in the process of applying for, or has been granted, exemption by the Registrar from disclosing his or her usual residential address to credit reference agencies under section 790ZF of the Companies Act 2006.

☐

Different postal address:

If the individual is applying for, or has been granted, a section 790ZF exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where the individual is applying for a section 790ZF exemption with this form, the application and this form must be posted together.

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G7

Nature of control for an individual

Please indicate how the individual is a person with significant control over the company

Ownership of shares

The individual holds, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of voting rights

The individual holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of right to appoint/remove directors

- ☐ The individual holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the company

Significant influence or control (Only tick if none of the above apply)

- ☐ The individual has the right to exercise, or actually exercises, significant influence or control over the company

G8

Nature of control by a firm over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

- ☐ the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- ☐ the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

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G9

Nature of control by a trust over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

☐ the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

☐ the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

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Relevant legal entity (RLE)

H1	RLE details	
Corporate or firm name		
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		

H2	Legal form and governing law
	Please give details of the legal form of the RLE and the law by which it is governed. If applicable, please also give details of the register of companies in which it is entered (including the country/state) and its registration number in that register.
Legal form	
Governing law	
If applicable, register in which RLE is entered	
Country/State	
Registration number	

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H3

Nature of control for the RLE

Please indicate how the RLE has significant control over the company

Ownership of shares

The RLE holds, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of voting rights

The RLE holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of right to appoint/remove directors

- ☐ The RLE holds the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

Significant influence or control (only tick if none of the above apply)

- ☐ The RLE has the right to exercise, or actually exercises, significant influence or control over the company

H4

Nature of control by a firm over which the RLE has significant control

The RLE has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

- ☐ the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- ☐ the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

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H5

Nature of control by a trust over which the RLE has significant control

The RLE has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

☐ the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

☐ the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

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Other registrable person (ORP)

I1	ORP details	
	An 'other registrable person' is: <ul style="list-style-type: none">• a corporation sole• a government or government department of a country or territory or a part of a country or territory• an international organisation whose members include two or more countries or territories (or their governments)• a local authority or local government body in the UK or elsewhere	
Name of registrable person		

I2	Principal office address	
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		

I3	Legal form and governing law	
Legal form		
Governing law		

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14

Nature of control

Please show how the ORP has significant control over the company

Ownership of shares

The ORP holds, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of voting rights

The ORP holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

Ownership of right to appoint/remove directors

- ☐ The ORP holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the company

Significant influence or control (Only tick if none of the above apply)

- ☐ The ORP has the right to exercise, or actually exercises, significant influence or control over the company.

15

Nature of control by a firm over which the ORP has significant control

The ORP has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

- ☐ the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- ☐ the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

I6

Nature of control by a trust over which the ORP has significant control

The ORP has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- ☐ more than 25% but not more than 50%
- ☐ more than 50% but less than 75%
- ☐ 75% or more

☐ the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

☐ the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company