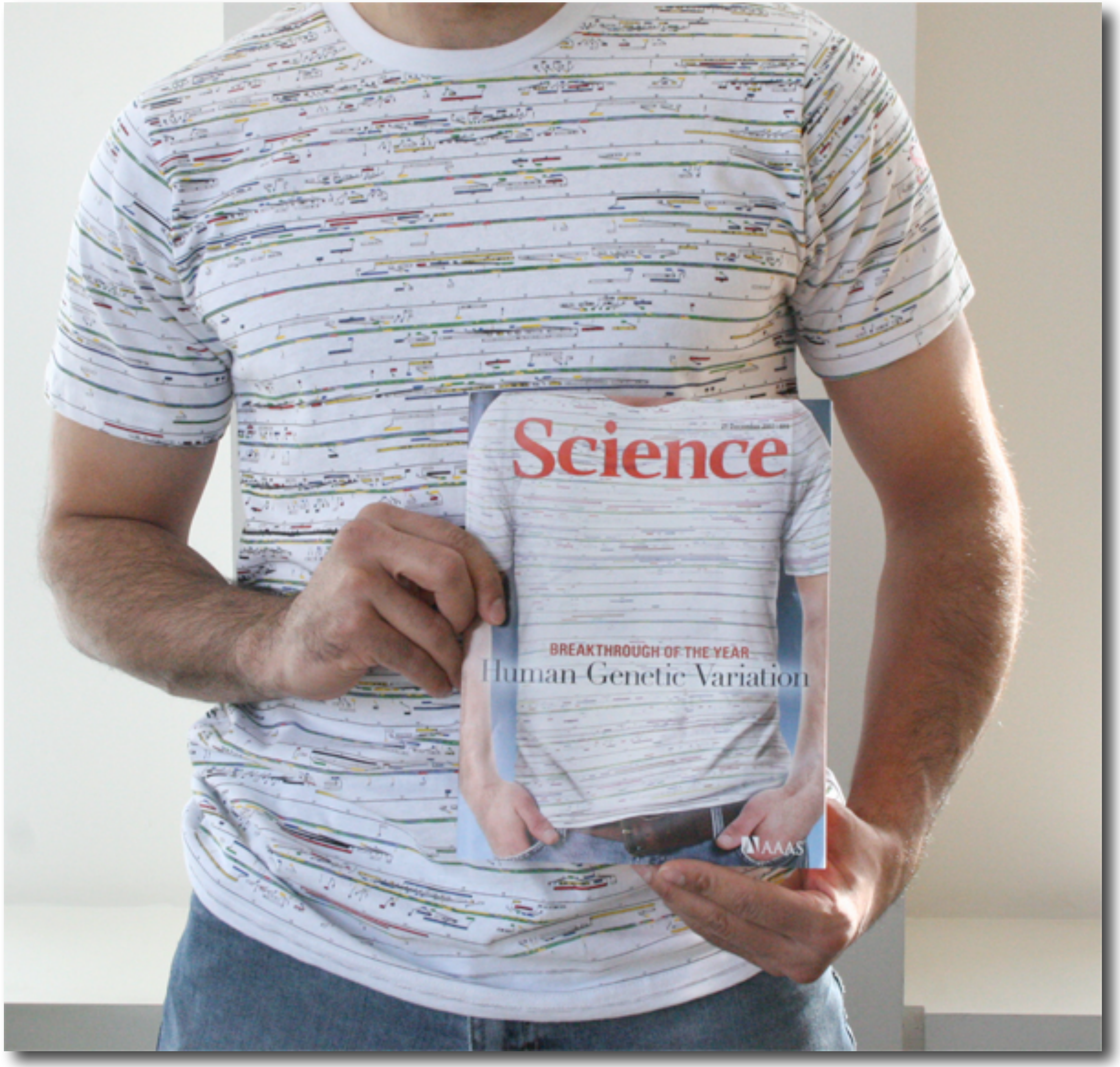
BIO392
Bioinformatics of Genome Variations

Data Sharing & Privacy

Michael Baudis UZH SIB
Computational Oncogenomics

University of Zurich UZH

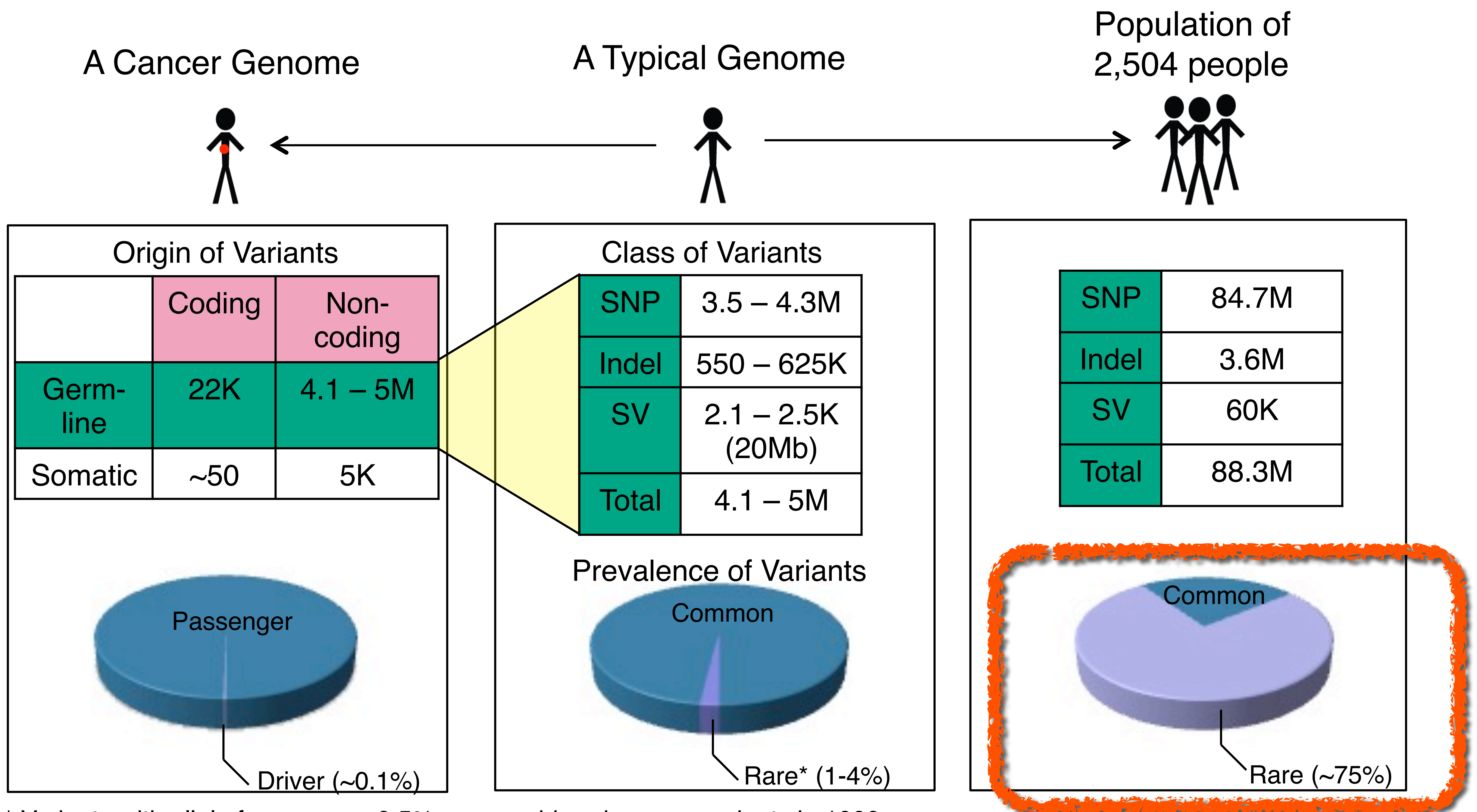
The trouble with human genome variation



Finding Somatic Mutations In Cancer

Many Needles in a Large Haystack

- a typical human genome (~3 billion base pairs) has ~5 million variants
- most of them are "**rare**"; i.e. can only be identified as recurring when sequencing thousands of people
- cancer cells accumulate additional variants, only **few** of which ("**drivers**") are relevant for the disease



* Variants with allele frequency < 0.5% are considered as rare variants in 1000 genomes project.

The 1000 Genomes Project Consortium, Nature. 2015. 526:68-74
Khurana E. et al. Nat. Rev. Genet. 2016. 17:93-108

Graphic adapted from Mark Gerstein (GersteinLab.org; @markgerstein)

GA4GH to solve accessibility…

Global Alliance
for Genomics & Health

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Enabling genomic data sharing for the benefit of human health

The Global Alliance for Genomics and Health (GA4GH) is a policy-framing and technical standards-setting organization, seeking to enable responsible genomic data sharing within a human rights framework

Genomic Data Toolkit →

Regulatory & Ethics Toolkit →

Data Security Toolkit →

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GA4GH API promotes sharing

GENOMICS

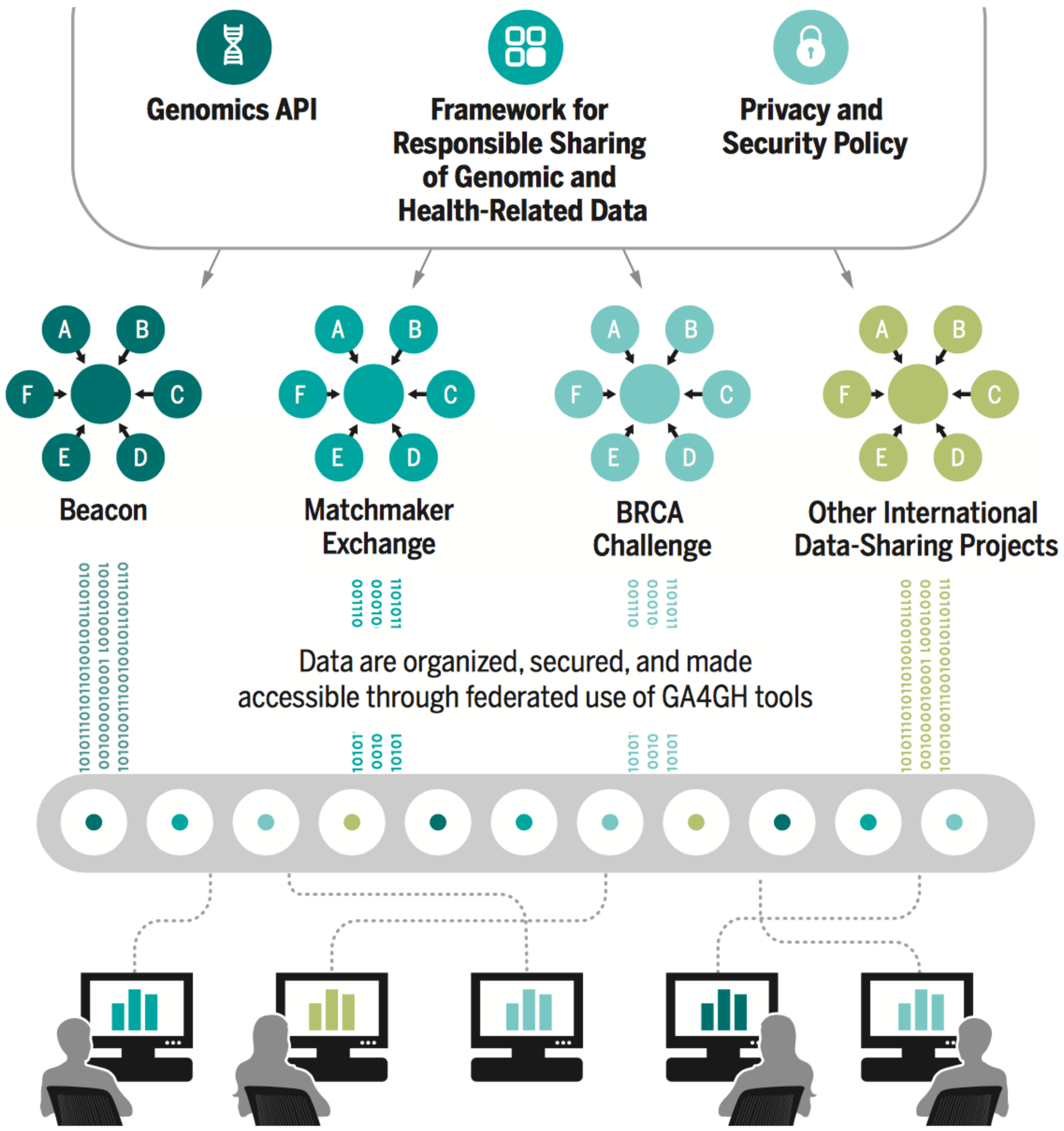
A federated ecosystem for sharing genomic, clinical data

Silos of genome data collection are being transformed into seamlessly connected, independent systems

The Global Alliance for Genomics and Health*

SCIENCE 10 JUNE 2016 • VOL 352 ISSUE 6291

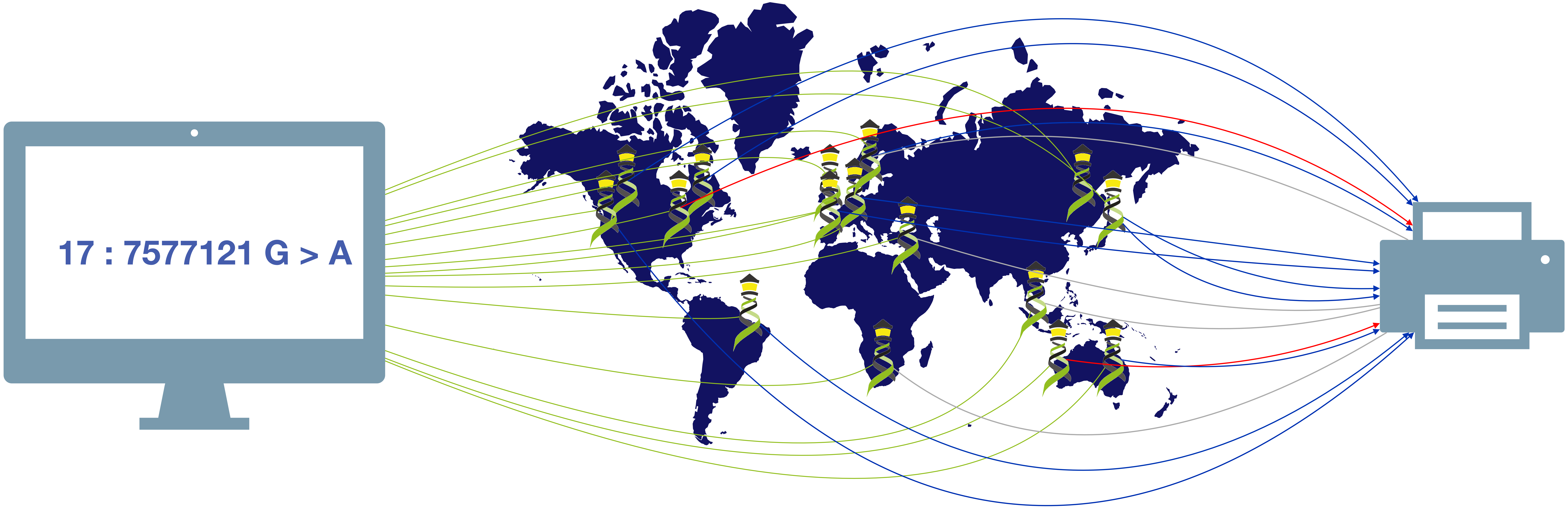
A federated data ecosystem. To share genomic data globally, this approach furthers medical research without requiring compatible data sets or compromising patient identity.



Global Alliance for Genomics & Health

A ***Beacon*** answers a query for a specific genome variant against individual or aggregate genome collections

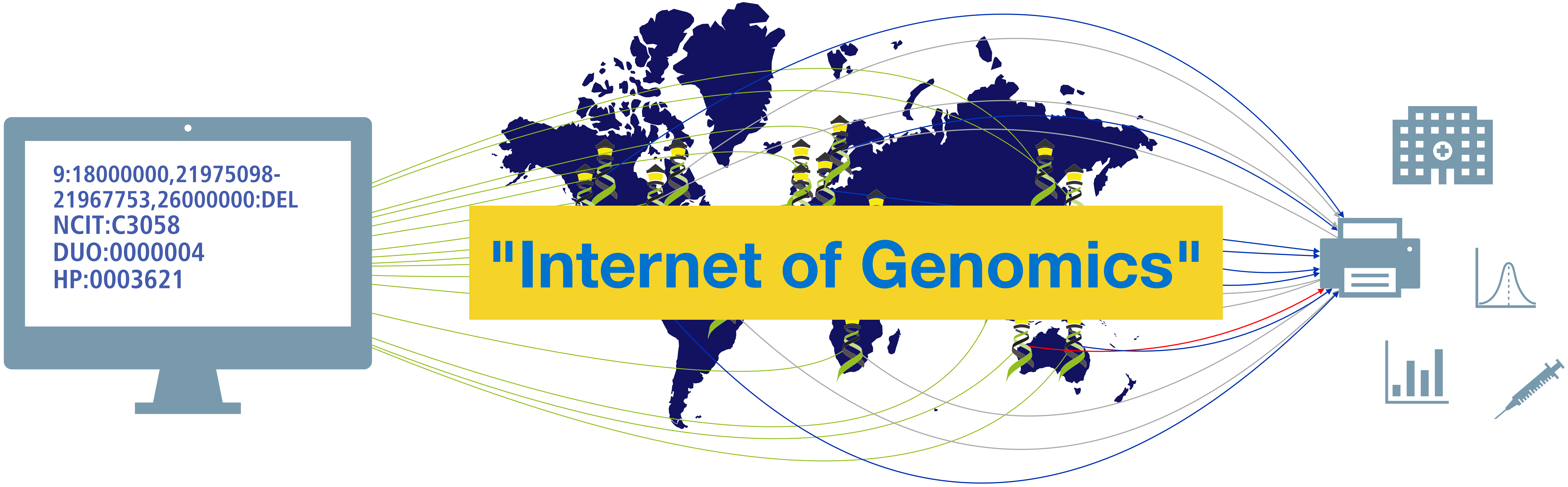
YES | **NO** | **\0**



Have you seen this variant? It came up in my patient and we don't know if this is a common SNP or worth following up.

A Beacon network federates *genome variant queries* across databases that support the ***Beacon API***

Here: The variant has been found in **few** resources, and those are from **disease** specific **collections**.

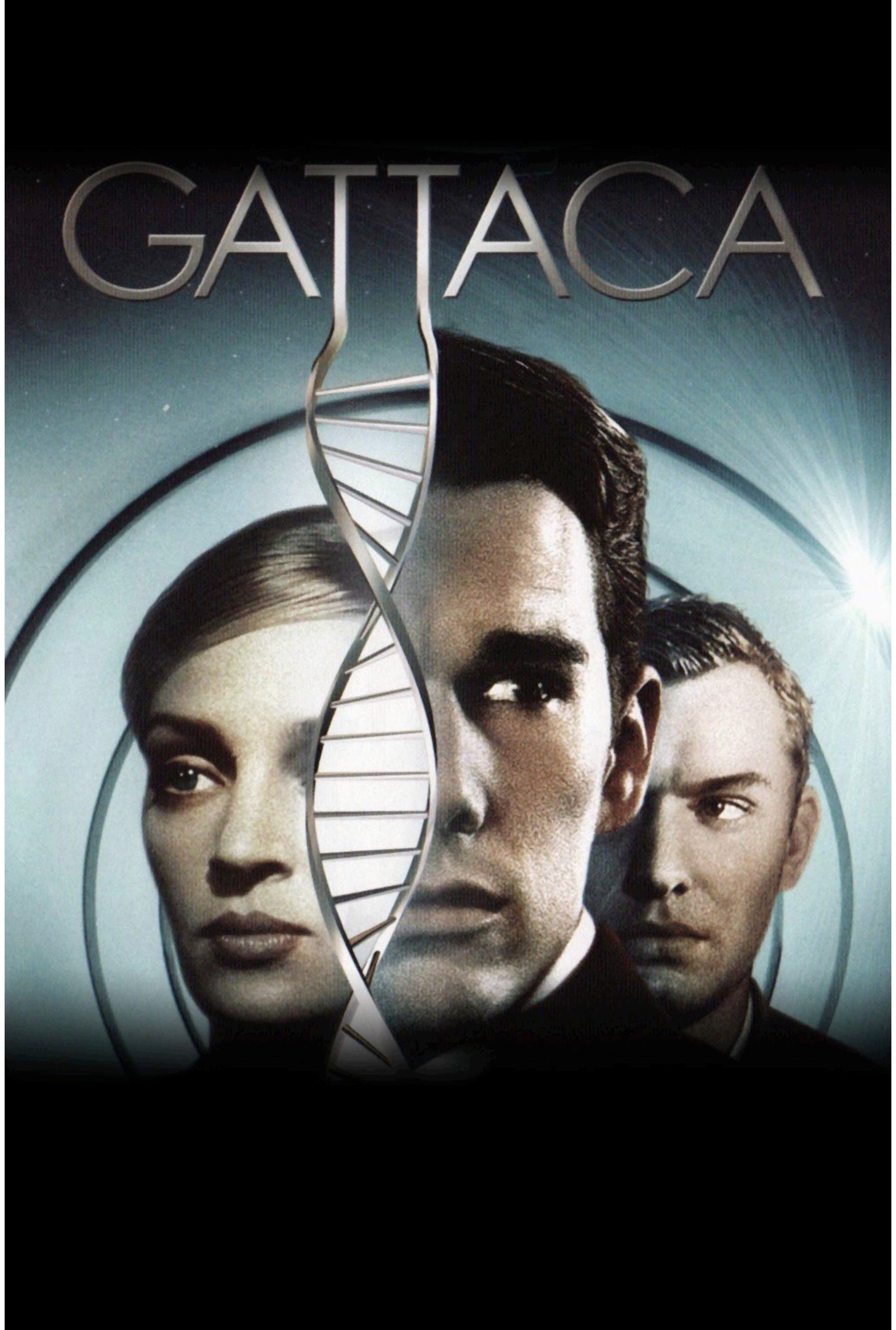


Have you seen deletions in this region on chromosome 9 in Glioblastomas from a juvenile patient, in a dataset with unrestricted access?

Beacon v2 API

The Beacon API v2 proposal opens the way for the design of a simple but powerful "**genomics API**".

Genomes & Privacy



Generalkonsent

BENEFIT

BLOCKCHAIN

HEALTH

PRIVACY

SECURITY

CONSENT

ACCESS

Right to Research

HACKERS

LAWS

Genetic
Information
Nondiscrimination
Act

Health
Insurance
Portability and
Accountability
Act

SAFETY

CRYPTOGRAPHY

Genome *Beacons* Compromise Security?

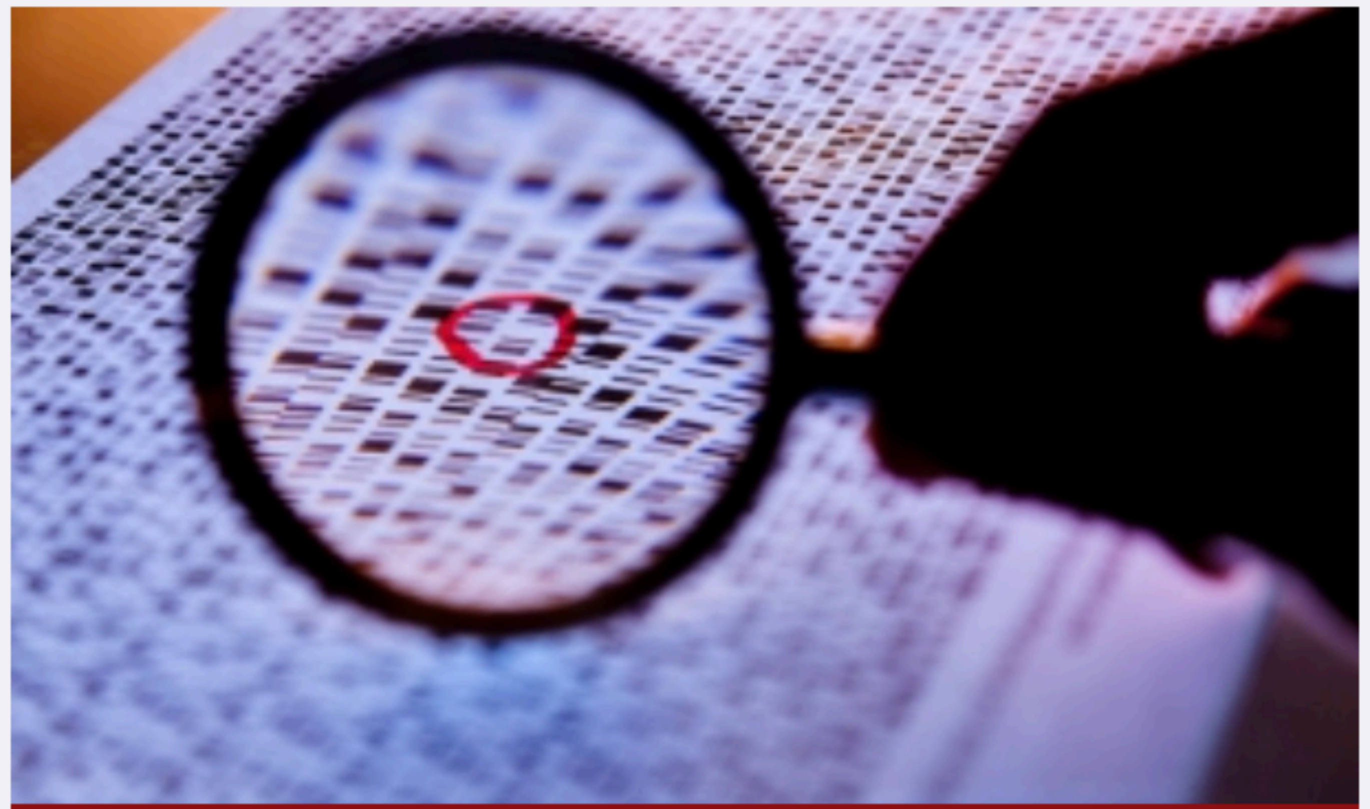
Querying for thousands of specific SNV occurrences in a genomic data pool can identify individuals in an anonymized genomic data collection

Stanford researchers identify potential security hole in genomic data-sharing network

Hackers with access to a person's genome might find out if that genome is in an international network of disease databases.

OCT 29
2015

Sharing genomic information among researchers is critical to the advance of biomedical research. Yet genomic data contains identifiable information and, in the wrong hands, poses a risk to individual privacy. If someone had access to your genome sequence — either directly from your saliva or other tissues, or from a popular genomic information service — they could check to see if you appear in a database of people with certain medical conditions, such as heart disease, lung cancer or autism.



Stanford researchers are working with the Global Alliance for Genomics and Health to make genomic information in the Beacon Project more secure.
Science photo/Shutterstock

Work by a pair of researchers at the Stanford University School of Medicine makes that genomic data more secure. Suyash Shringarpure, PhD, a postdoctoral scholar in genetics, and Carlos Bustamante, PhD, a professor of genetics, have demonstrated a technique for hacking a network of global genomic databases and how to prevent it. They are working with investigators from the Global Alliance for Genomics and Health on implementing preventive measures.

The work, published Oct. 29 in *The American Journal of Human Genetics,* also bears importantly on the larger question of how to analyze mixtures of genomes, such as those from different people at a crime scene.

IDENTIFICATION OF INDIVIDUALS FROM MIXED COLLECTIONS USING RARE ALLELES

The American Journal of Human Genetics 97, 631–646, November 5, 2015

Privacy Risks from Genomic Data-Sharing Beacons

Suyash S. Shringarpure[1,*] and Carlos D. Bustamante[1,*]

The human genetics community needs robust protocols that enable secure sharing of genomic data from participants in genetic research. Beacons are web servers that answer allele-presence queries—such as "Do you have a genome that has a specific nucleotide (e.g., A) at a specific genomic position (e.g., position 11,272 on chromosome 1)?"—with either "yes" or "no." Here, we show that individuals in a beacon are susceptible to re-identification even if the only data shared include presence or absence information about alleles in a beacon. Specifically, we propose a likelihood-ratio test of whether a given individual is present in a given genetic beacon. Our test is not dependent on allele frequencies and is the most powerful test for a specified false-positive rate. Through simulations, we showed that in a beacon with 1,000 individuals, re-identification is possible with just 5,000 queries. Relatives can also be identified in the beacon. Re-identification is possible even in the presence of sequencing errors and variant-calling differences. In a beacon constructed with 65 European individuals from the 1000 Genomes Project, we demonstrated that it is possible to detect membership in the beacon with just 250 SNPs. With just 1,000 SNP queries, we were able to detect the presence of an individual genome from the Personal Genome Project in an existing beacon. Our results show that beacons can disclose membership and implied phenotypic information about participants and do not protect privacy a priori. We discuss risk mitigation through policies and standards such as not allowing anonymous pings of genetic beacons and requiring minimum beacon sizes.

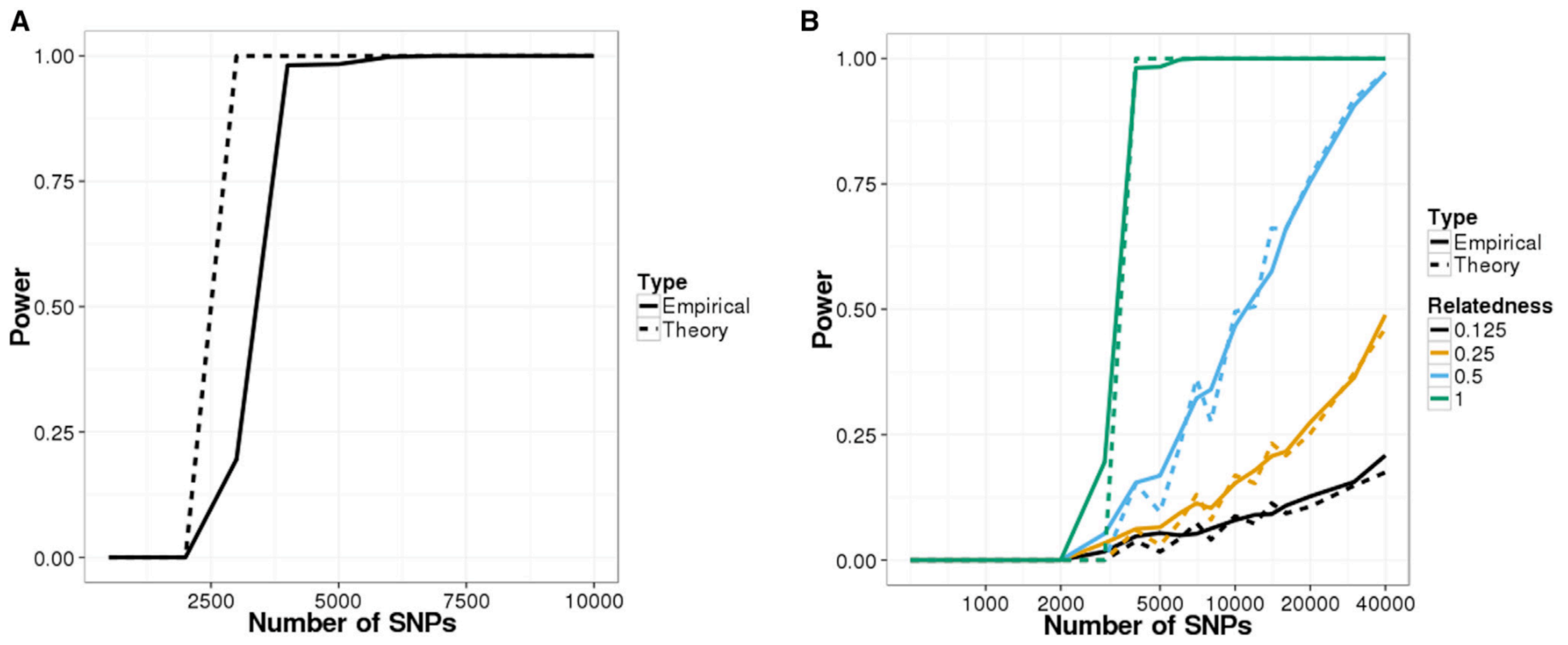


Figure 1. Power of Re-identification Attacks on Beacons Constructed with Simulated Data
Power curves for the likelihood-ratio test (LRT) on (A) a simulated beacon with 1,000 individuals and (B) detecting relatives in the simulated beacon. The false-positive rate was set to 0.05 for all scenarios.

- rare allelic variants can be used to identify an individual (or her relatives) in a genome collection without having access to individual datasets
- however, such an approach requires previous knowledge about the individual's SNPs

IDENTIFICATION OF INDIVIDUALS BASED ON "GENOMIC FINGERPRINTS"

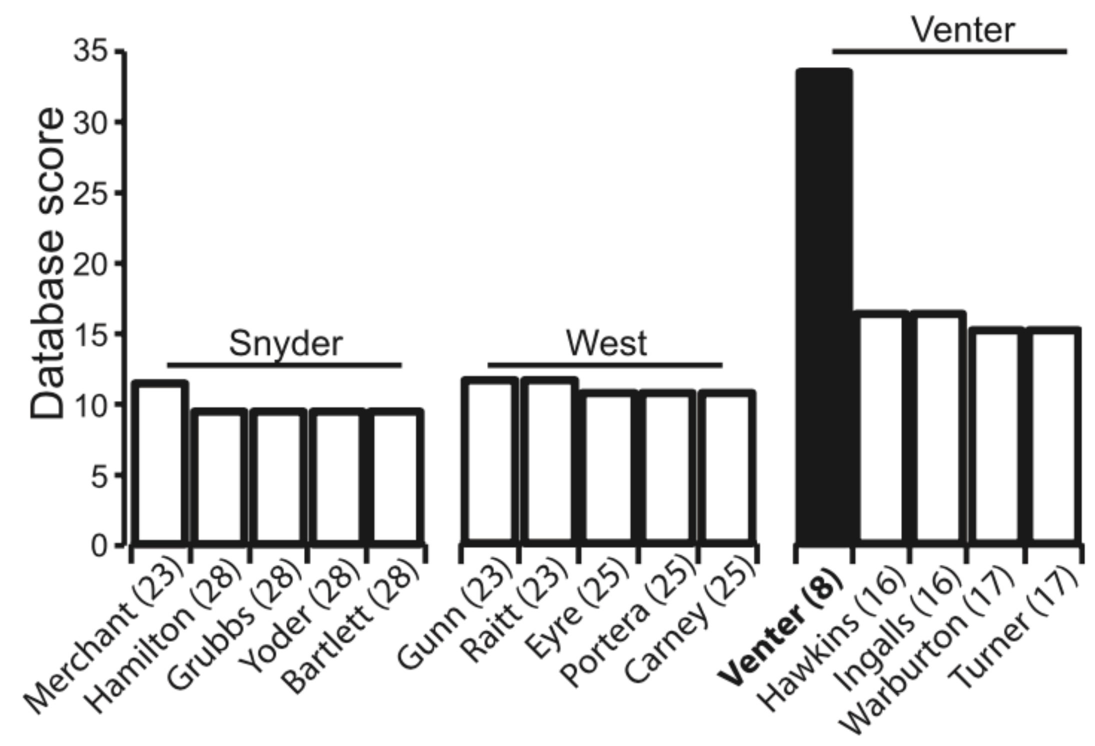
18 JANUARY 2013 VOL 339 SCIENCE www.sciencemag.org

Identifying Personal Genomes by Surname Inference

Melissa Gymrek,[1,2,3,4] Amy L. McGuire,[5] David Golan,[6] Eran Halperin,[7,8,9] Yaniv Erlich[1]*

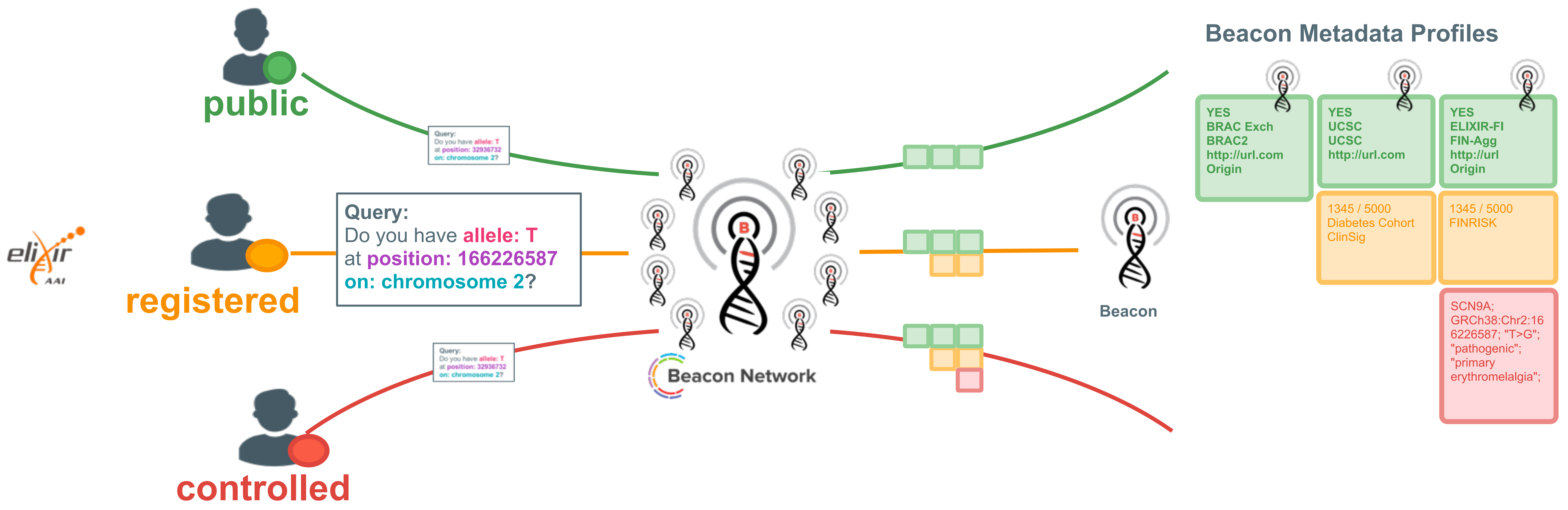
Sharing sequencing data sets without identifiers has become a common practice in genomics. Here, we report that surnames can be recovered from personal genomes by profiling short tandem repeats on the Y chromosome (Y-STRs) and querying recreational genetic genealogy databases. We show that a combination of a surname with other types of metadata, such as age and state, can be used to triangulate the identity of the target. A key feature of this technique is that it entirely relies on free, publicly accessible Internet resources. We quantitatively analyze the probability of identification for U.S. males. We further demonstrate the feasibility of this technique by tracing back with high probability the identities of multiple participants in public sequencing projects.

Fig. 2. The top five records retrieved after searching Ysearch with the Y-STR haplotypes of Michael Snyder, John West, and Craig Venter. The expected number of generations to the MRCA is given in parentheses for each record. Searching with Craig Venter returned a "Venter" record (closed bar) as the top match.



- Genomic data of many types can be used to re-identify individuals in data collections

Integrating permissions and discovery



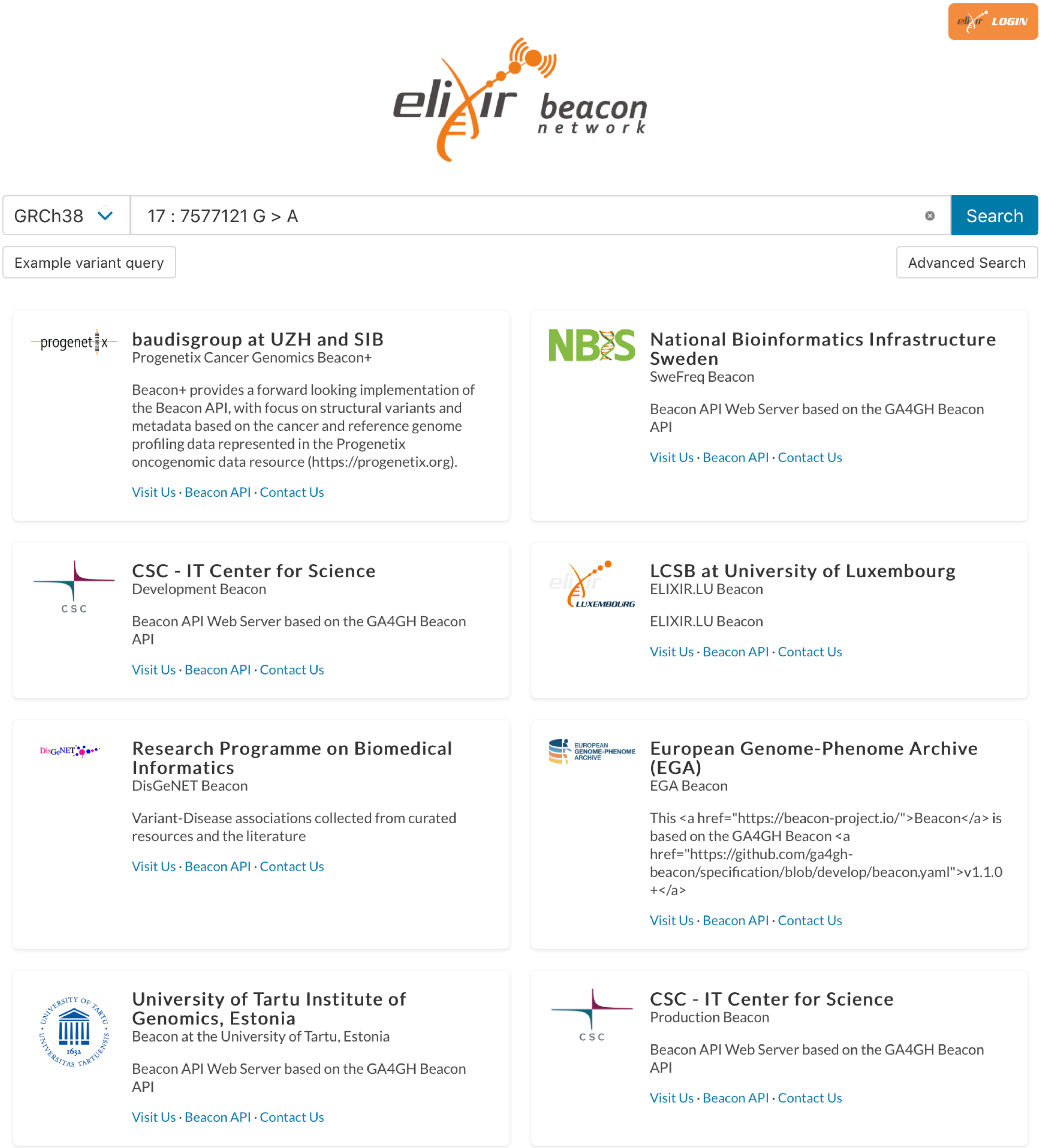
https://www.youtube.com/watch?v=LyfmvAs7LtQ&feature=youtu.be

ELIXIR Beacon Network

- developed under lead from ELIXIR Finland
- **authenticated access** w/ ELIXIR AAI
- **incremental extension**, starting with ELIXIR Beacon resources adhering to the **latest specification** (contrast to legacy networks)
- service details provided by individual Beacons, using **GA4GH service-info**
- **registration service**

➡**integrator** throughout ELIXIR Human Data

➡starting point for "**beyond ELIXIR**" **feature rich** federated Beacon **services**

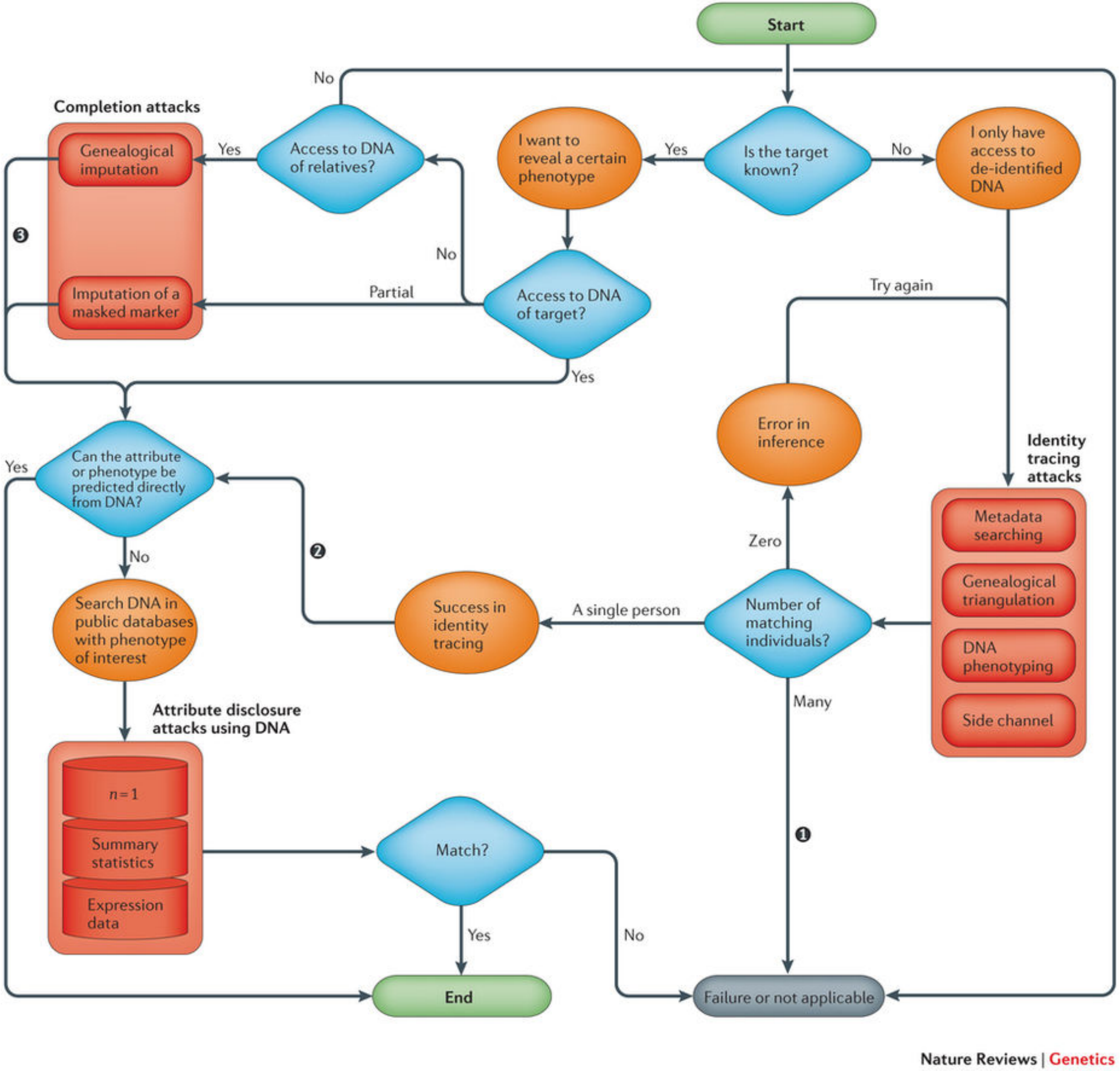


Routes for breaching and protecting genetic privacy

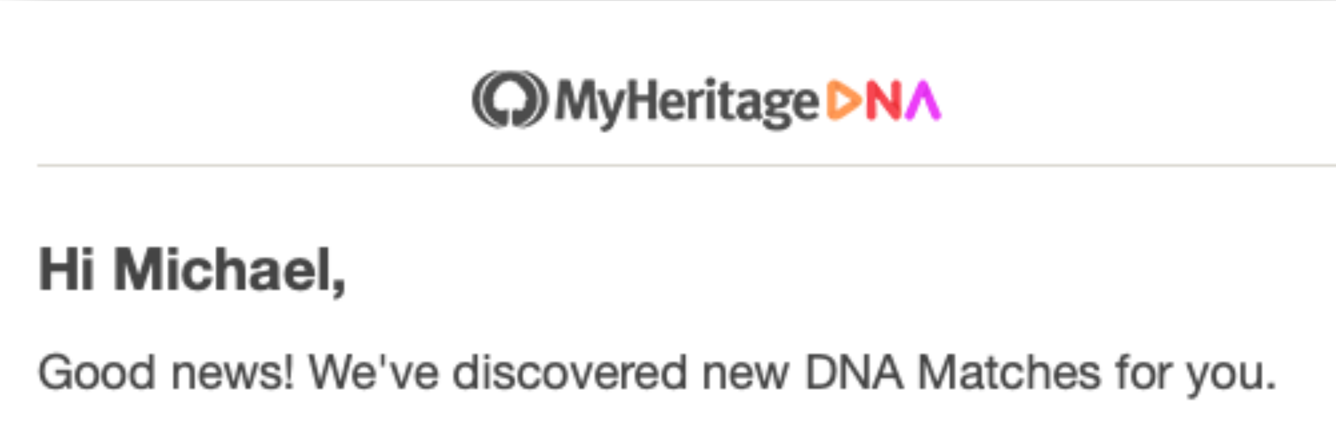
The map contrasts different scenarios, such as identifying de-identified genetic data sets, revealing an attribute from genetic data and unmasking of data. It also shows the interdependencies between the techniques and suggests potential routes to exploit further information after the completion of one attack. There are several simplifying assumptions (black circles).

In certain scenarios (such as insurance decisions), uncertainty about the target's identity within a small group of people could still be considered a success (assumption 1). For certain privacy harms (such as surveillance), identity tracing can be considered a success and the end point of the process (assumption 2). The complete DNA sequence is not always necessary (assumption 3).

Yaniv Erlich & Arvind Narayanan. Nature Reviews Genetics 15, 409–421 (2014)



Long-Range Familial Searches

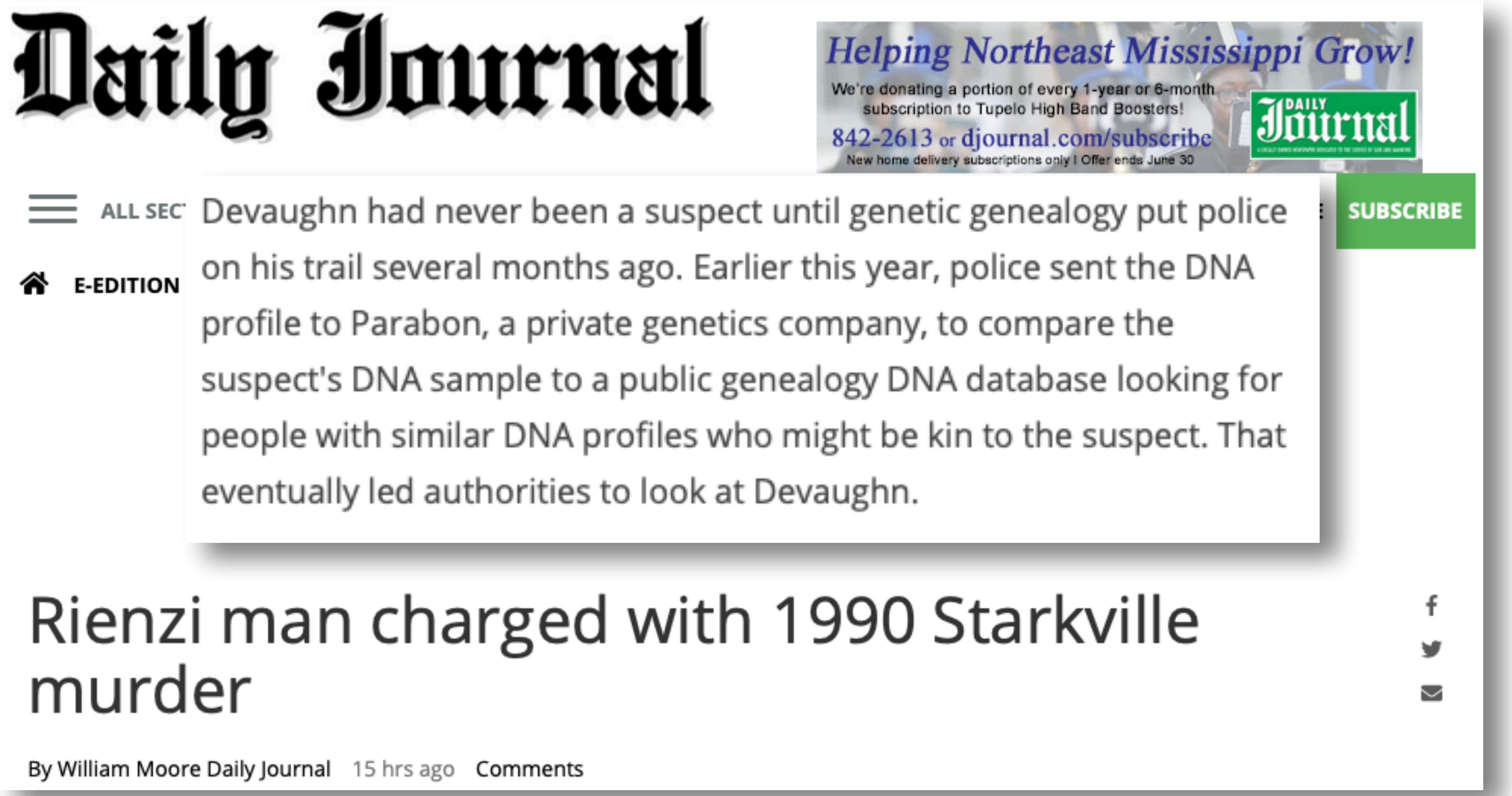


MyHeritage DNA

Hi Michael,

Good news! We've discovered new DNA Matches for you.

- Commercial, "Direct to Customer" DNA analyses are provided through independend sites and such affiliated to genealogy services (MyHeritage, Ancestry.com, 23andMe...)
- Genealogy sites identify individuals with matching haplotype blocks & provide a prediction about degree of genetic relation
- Law enforcement agencies (and who else?!) can send individual SNP profiles (e.g. recovered from evidence many years after a crime) using a *Jane Doe* identity, to identify relatives of the suspect - **long range familial search**



Daily Journal

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Devaughn had never been a suspect until genetic genealogy put police on his trail several months ago. Earlier this year, police sent the DNA profile to Parabon, a private genetics company, to compare the suspect's DNA sample to a public genealogy DNA database looking for people with similar DNA profiles who might be kin to the suspect. That eventually led authorities to look at Devaughn.

Rienzi man charged with 1990 Starkville murder

By William Moore Daily Journal 15 hrs ago Comments

The New York Times

How a Genealogy Site Led to the Front Door of the Golden State Killer Suspect

Investigators used DNA from crime scenes that had been stored all these years and plugged the genetic profile of the suspected assailant into an online genealogy database. One such service, GEDmatch, said in a statement on Friday that law enforcement officials had used its database to crack the case. Officers found distant relatives of Mr. DeAngelo's and, despite his years of eluding the authorities, traced their DNA to his front door.

The New York Times, April 26, 2018

Attacks Associated With the Golden State Killer

Rapid re-identification of human samples

...

We developed a rapid, inexpensive, and portable strategy to re-identify human DNA using the MinION. Our strategy requires only ~60 min preparation and 5-30 minutes of MinION sequencing, works with low input DNA, and enables familial searches using Direct-to-Consumer genomic reference datasets. This method can be implemented in a variety of fields:

Forensics

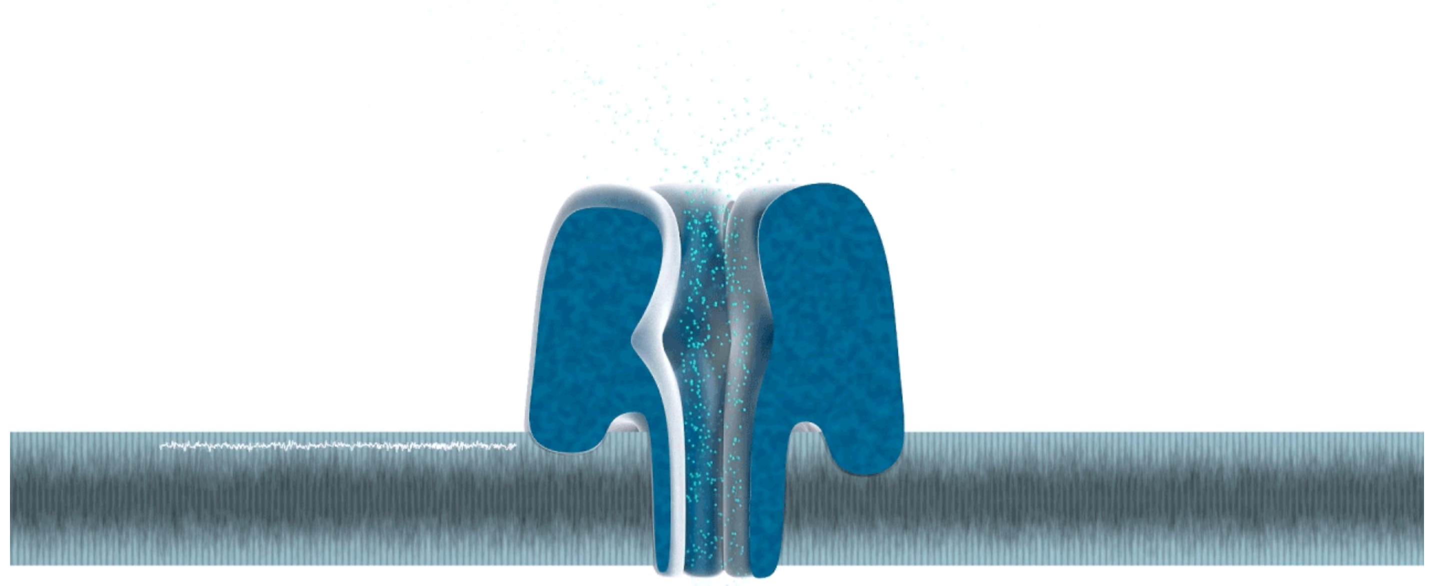
Identification of abandoned meterial using DNA fingerprinting is a common practice. The main challange currently being: time. Our method allows rapid sample preparation at the crime scene (see movie). We envision that the method can be adopted in the field for rapid checks, after a mass disaster, and can be adopted in border control to fight human traffacking.

Clinic

Clinics procces many samples, either for analysis or, for example, organ donations. These samples are DNA fingerprinted to prevent sample mix-up mistakes. Our method can be implemnted in the clinic for rapid sanitiy-check of all incoming samples.

Cell line identification

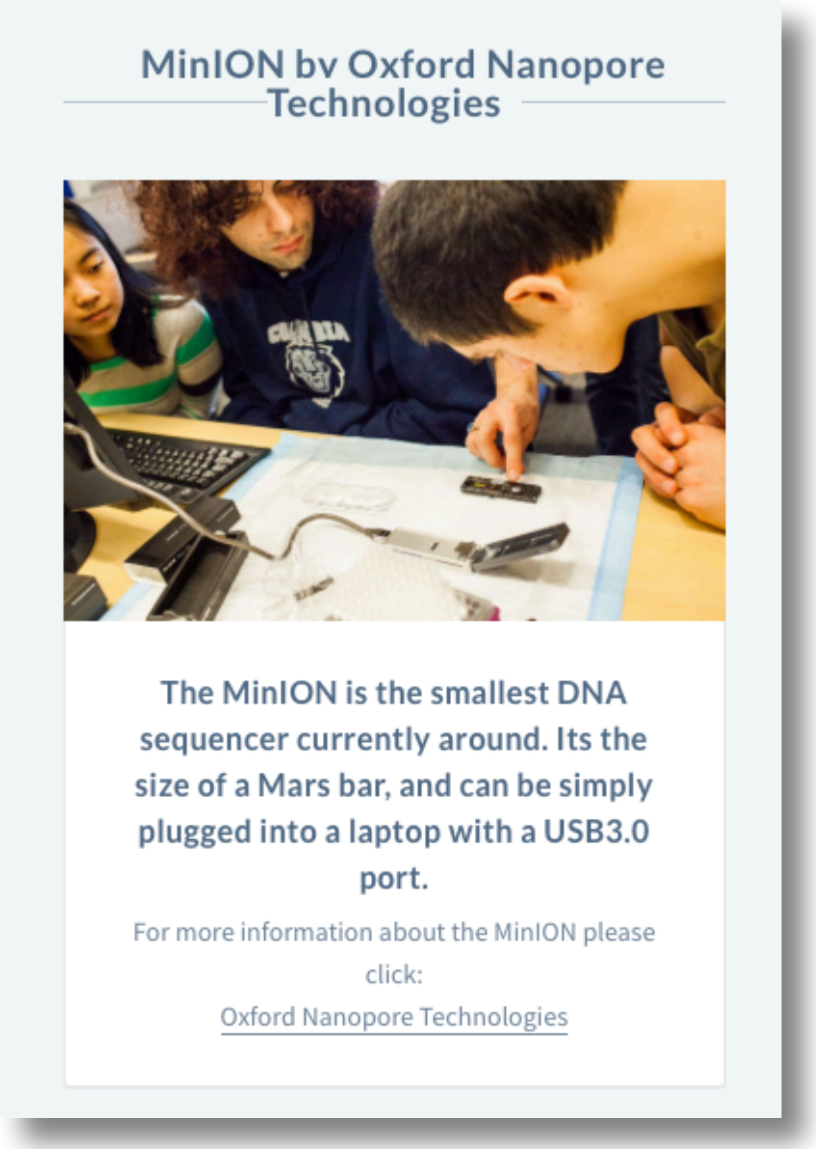
Cross contamination of cell lines in science is a major problem. It results in unreproducible data, and clinical trails based on inaccurate findings. This problem costs billions of dollars per year. We envision labs can adopt our identification method to ensure the purity of the cell line, and detect contamination.

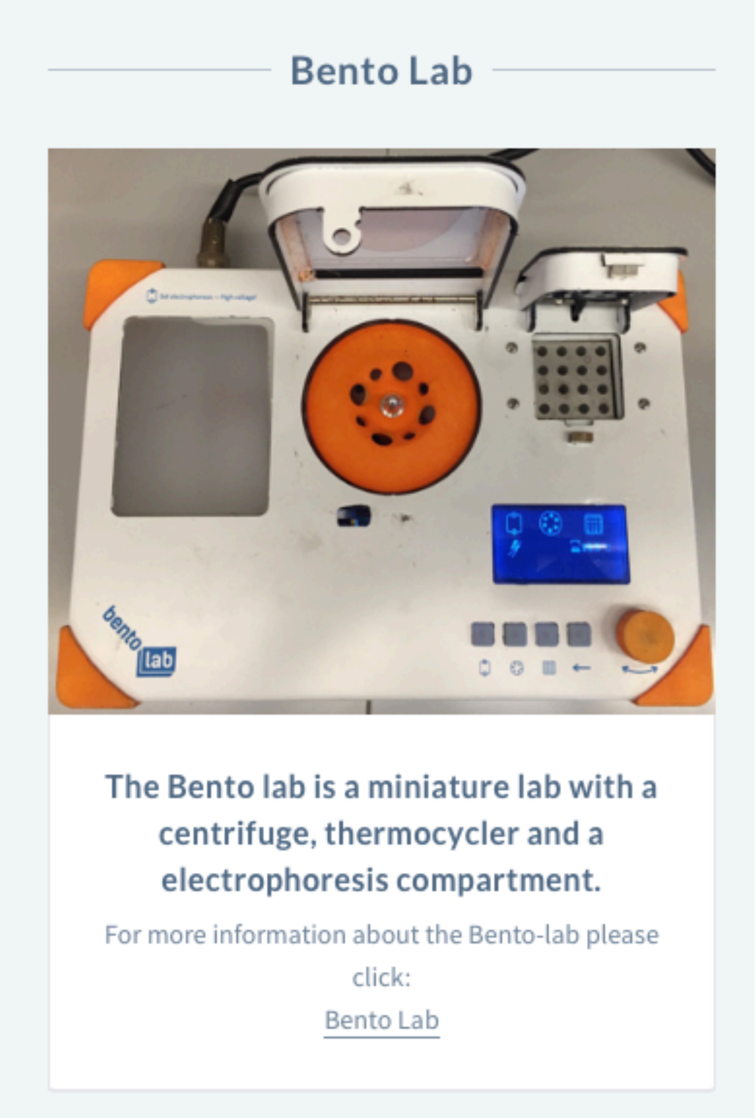


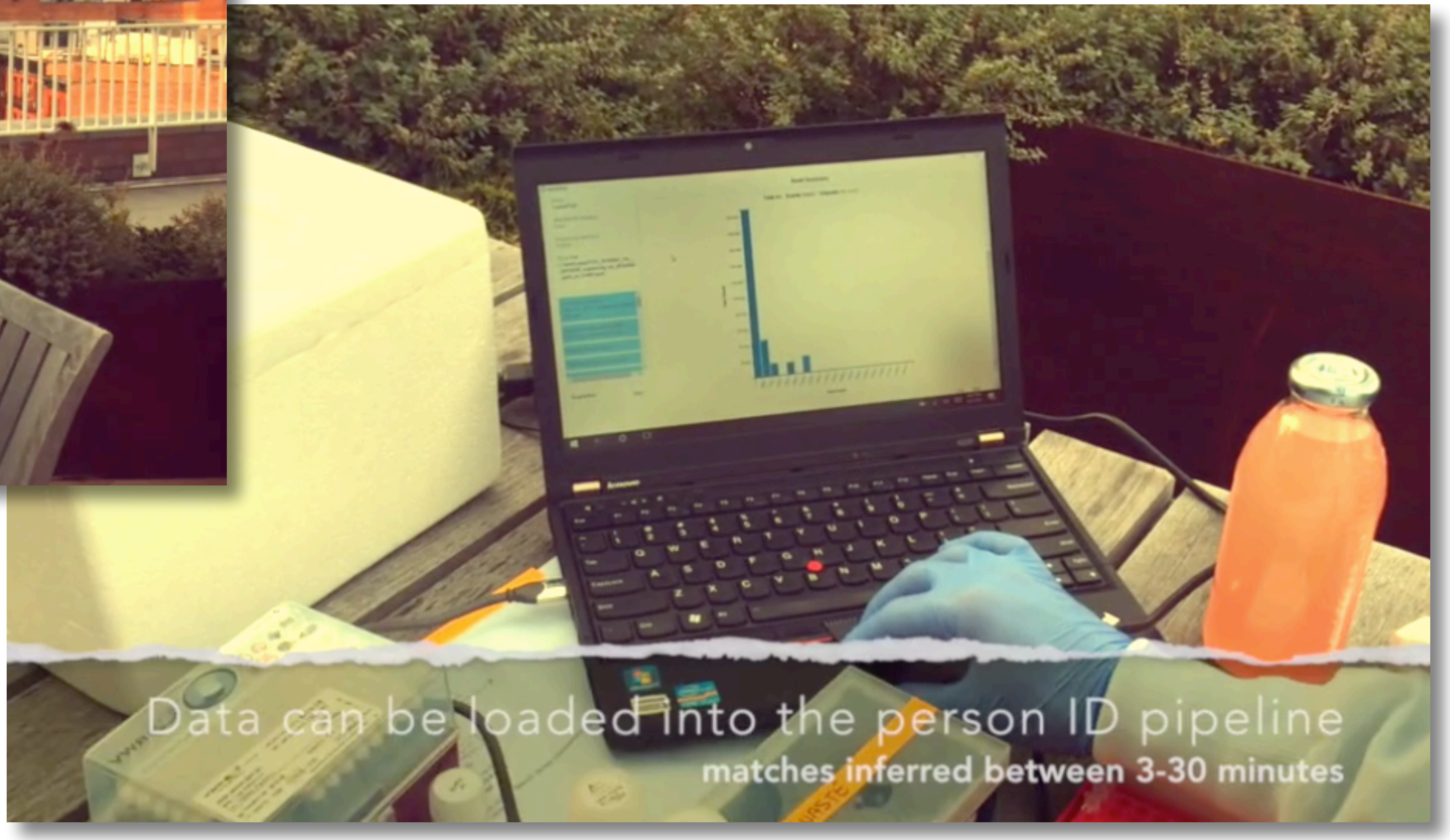
The MinION (Oxford Nanopore)
Source: Sophie Zaaijer
https://medium.com/neodotlife/nanopore-6443c81d76d3

DEMOCRATIZING DNA FINGERPRINTING

Sophie Zaaijer, Assaf Gordon, Robert Piccone, Daniel Speyer, Yaniv Erlich, 2016
ddf.teamerlich.org







DNA sequencing for identification/fingerprinting soon "commodity" technology (in contrast with technological/data challenges in "precision medicine")

Rapid DNA

Legalizing DNA Tests for DNA Indexing

Congress / Bills / H.R. 510 (115th) / Summary

H.R. 510 (115th): Rapid DNA Act of 2017

Overview **Summary** Details Text Study Guide

GovTrack's Summary Library of Congress

Rapid DNA is a new technique that can analyze DNA samples in about 90 minutes, instead of days or even weeks as it took previously. A bill that passed the Senate and House last week would expand the use of this technology.

What the bill does

The Rapid DNA Act establishes a system for Rapid DNA's nationwide coordination among law enforcement departments, by connecting it to the FBI's Combined DNA Index System.

Labelled S. 139 in the Senate and H.R. 510 in the House, the legislation was introduced by Sen. Orrin Hatch (R-UT) and Rep. James Sensenbrenner (R-WI5).

Former FBI Director James Comey cited a real-life example of how the technology could be used effectively. "[It will] allow us, in booking stations around the country, if someone's arrested, to know instantly—or near instantly—whether that person is the rapist who's been on the loose in a particular community before they're released on bail and get away or to clear somebody, to show that they're not the person," Comey said in testimony.

Rapid DNA was used for the first time ever in a criminal investigation in 2013, to nab burglars who stole more than $30,000 worth of items from an Air Force Member's Florida home while they were serving in Afghanistan. Presumably more such cases would be solved and quickly with expanded use of rapid DNA.

What supporters say

Supporters say it will save both time and taxpayer dollars by speeding up the DNA analysis process in a manner that's no less effective, reducing the backlog of samples waiting to be tested.

"It will enable officers to take advantage of exciting new developments in DNA technology to more quickly solve crimes and exonerate innocent suspects," Senate lead sponsor Hatch said in a press release. "Under this legislation, rather than having to all send DNA samples to crime labs and wait weeks for results, trained officers will be able to process many samples in less than two hours."

What opponents say

GovTrack Insider could not locate any members of Congress who expressed public opposition to the legislation, but some members of the public are concerned. The *New Republic* called the rise of rapid DNA "troubling," citing the potential for privacy violations and misuses by immigration authorities. They also noted that the FBI already has DNA samples from more than 3.5 percent of Americans, a number likely to grow thanks to a 2015 Supreme Court decision allowing DNA samples to be taken without a warrant.

The Electronic Frontier Foundation expressed doubts about the accuracy of Rapid DNA. "Rapid DNA has only been tested on single-source samples—like a swab taken directly from a person's inner cheek," the EFF writes. "And yet, Rapid DNA manufacturers are trying to convince law enforcement agencies to buy these machines to get through their backlog of rape kits and for low-level property crimes—situations where there's a very good chance the DNA came from multiple people—some of whom may have had no connection to the crime at all.

Votes and odds of passage

The legislation attracted a bipartisan mix of 12 Senate cosponsors, seven Republicans and five Democrats, and 24 House cosponsors, 17 Republicans and seven Democrats. It passed both the House and Senate on May 16, by a unanimous consent voice vote in both chambers, meaning no record of individual votes was recorded. It now goes to President Trump's desk, where he appears likely to sign it.

https://www.govtrack.us/congress/bills/115/hr510/summary

The Right to Scientific Knowledge

In 1948, the General assembly of the United nations adopted the Universal Declaration of Human Rights (UDHr) to guarantee the rights of every individual in the world. Included were twin rights "to share in scientific advancement and its benefits" and "to the protection of the moral and material interests resulting from any scientific...production of which [a person] is the author" (art. 27, United nations 1948).

from *Knoppers et al, 2014*

Hum Genet (2014) 133:895–903
DOI 10.1007/s00439-014-1432-6

ORIGINAL INVESTIGATION

A human rights approach to an international code of conduct for genomic and clinical data sharing

Bartha M. Knoppers · Jennifer R. Harris · Isabelle Budin-Ljøsne · Edward S. Dove

Received: 9 December 2013 / Accepted: 16 February 2014 / Published online: 27 February 2014

Abstract Fostering data sharing is a scientific and ethical imperative. Health gains can be achieved more comprehensively and quickly by combining large, information-rich datasets from across conventionally siloed disciplines and geographic areas. While collaboration for data sharing is increasingly embraced by policymakers and the international biomedical community, we lack a common ethical and legal framework to connect regulators, funders, consortia, and research projects so as to facilitate genomic and clinical data linkage, global science collaboration, and responsible research conduct. Governance tools can be used to responsibly steer the sharing of data for proper stewardship of research discovery, genomics research resources, and their clinical applications. In this article, we propose that an international code of conduct be designed to enable global genomic and clinical data sharing for biomedical research. To give this proposed code universal application and accountability, however, we propose to position it within a human rights framework. This proposition is not without precedent: international treaties have long recognized that everyone has a right to the benefits of scientific progress and its applications, and a right to the protection of the moral and material interests resulting from scientific productions. It is time to apply these twin rights to internationally collaborative genomic and clinical data sharing.

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Introduction

In 1948, the General Assembly of the United Nations adopted the *Universal Declaration of Human Rights* (UDHR) to guarantee the rights of every individual in the world. Included were twin rights "to share in scientific advancement and its benefits" and "to the protection of the moral and material interests resulting from any scientific…production of which [a person] is the author" (Art. 27, United Nations 1948). In the 21st century, where are we in realizing the sharing of scientific advancement and its benefits, and the importance of protecting a scientific producer's moral and material interests? In this article, we argue that these little-developed twin rights, what we call the right "to benefit from" and "to be recognized for", have direct application to internationally collaborative genomic and clinical data sharing, and can be activated through an international code of conduct.

Sharing genomic and clinical data is critical to achieve precision medicine (National Research Council 2011), that is, more accurate disease classification based on molecular profiles to enable tailored effective treatments, interventions, and models for prevention. Better communication flow across borders and research teams, encompassing data from clinical and population research, enables researchers to connect the diverse types of datasets and expertise needed to elucidate the genomic basis and complexities of disease etiology. Such data integration can make it possible to reveal the genetic basis of cancer, inherited diseases,

Modernizing Patient Consent

forward looking, transparent and technically feasible regulations for enabling access to research material and data while empowering ***patients***

Generalkonsent: Eine einheitliche Vorlage soll schweizweite Forschung erleichtern

Art des Forschungs-materials / Personenbezug	Biologisches Material und genetische Daten	Nicht-genetische Daten
Unverschlüsselt (identifizierend)	Information + Einwilligung in jedes einzelne Forschungsprojekt	Information über Weiterverwendung für zukünftige noch unbestimmte Forschungsprojekte + Generalkonsent für Forschungszwecke
Verschlüsselt	Information über Weiterverwendung für zukünftige noch unbestimmte Forschungs-projekte + Generalkonsent für Forschungs-zwecke	Information über Weiterverwendung für zukünftige noch unbestimmte Forschungs-projekte + über Möglichkeit Weiterverwen-dung abzulehnen > Widerspruchsrecht
Anonymisiert	**Genetische Daten:** Information über Weiterverwendung für zukünftige noch unbestimmte Forschungszwecke + über Möglichkeit Weiterverwendung abzulehnen > Widerspruchsrecht **Proben:** Information zur Anonymisierung > Widerspruchsrecht	Ausserhalb des Geltungsbereichs des HFG

SAMW ASSM

Switzerland: Definition of a unified "Generalkonsent", to provide a single framework to manage permissions for access to patient derived material and related data

Consent Codes: Upholding Standard Data Use Conditions

Stephanie O. M. Dyke[1]*, Anthony A. Philippakis[2], Jordi Rambla De Argila[3,4], Dina N. Paltoo[5], Erin S. Luetkemeier[5], Bartha M. Knoppers[1], Anthony J. Brookes[6], J. Dylan Spalding[7], Mark Thompson[8], Marco Roos[8], Kym M. Boycott[9], Michael Brudno[10,11], Matthew Hurles[12], Heidi L. Rehm[2,13], Andreas Matern[14], Marc Fiume[15], Stephen T. Sherry[16]

Global Alliance for Genomics & Health

Consent Codes		
Name	**Abbreviation**	**Description**
Primary Categories (I^{ry})		
no restrictions	NRES	No restrictions on data use.
general research use and clinical care	GRU(CC)	For health/medical/biomedical purposes and other biological research, including the study of population origins or ancestry.
health/medical/biomedical research and clinical care	HMB(CC)	Use of the data is limited to health/medical/biomedical purposes, does not include the study of population origins or ancestry.
disease-specific research and clinical care	DS-[XX](CC)	Use of the data must be related to [disease].
population origins/ancestry research	POA	Use of the data is limited to the study of population origins or ancestry.
Secondary Categories (II^{ry}) (can be one or more extra conditions, in addition to I^{ry} category)		
other research-specific restrictions	RS-[XX]	Use of the data is limited to studies of [research type] (e.g., pediatric research).
research use only	RUO	Use of data is limited to research purposes (e.g., does not include its use in clinical care).
no “general methods” research	NMDS	Use of the data includes methods development research (e.g., development of software or algorithms) ONLY within the bounds of other data use limitations.
genetic studies only	GSO	Use of the data is limited to genetic studies only (i.e., no research using only the phenotype data).
Requirements		
not-for-profit use only	NPU	Use of the data is limited to not-for-profit organizations.
publication required	PUB	Requestor agrees to make results of studies using the data available to the larger scientific community.
collaboration required	COL-[XX]	Requestor must agree to collaboration with the primary study investigator(s).
return data to database/resource	RTN	Requestor must return derived/enriched data to the database/resource.
ethics approval required	IRB	Requestor must provide documentation of local IRB/REC approval.
geographical restrictions	GS-[XX]	Use of the data is limited to within [geographic region].
publication moratorium/embargo	MOR-[XX]	Requestor agrees not to publish results of studies until [date].
time limits on use	TS-[XX]	Use of data is approved for [x months].
user-specific restrictions	US	Use of data is limited to use by approved users.
project-specific restrictions	PS	Use of data is limited to use within an approved project.
institution-specific restrictions	IS	Use of data is limited to use within an approved institution.

SOM Dyke, *et al*. Consent Codes: Upholding Standard Data Use Conditions. *PLoS Genetics* 12(1): e1005772.
http://journals.plos.org/plosgenetics/article?id=10.1371/journal.pgen.1005772

Contact: Dr. Stephanie Dyke (stephanie.dyke@mcgill.ca)

Health Related Data & Privacy

Considerations when evaluating risks of data sharing

- Is the genetic condition outwardly visible?
- How severe is it? (serious disease, penetrance, age of onset)
- Is it associated with what could be considered to be stigmatizing health information (e.g., associated with mental health, reproductive care, disability)?
- Is it familial (i.e., potential carrier status/reproductive implications for family/relatives)?
- Does it provide information about the likely geographical location of individuals?
- Does it provide information about ethnicity that may be considered potentially stigmatizing information?

Sharing health-related data: a privacy test?

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Greater sharing of potentially sensitive data raises important ethical, legal and social issues (ELSI), which risk hindering and even preventing useful data sharing if not properly addressed. One such important issue is respecting the privacy-related interests of individuals whose data are used in genomic research and clinical care. As part of the Global Alliance for Genomics and Health (GA4GH), we examined the ELSI status of health-related data that are typically considered 'sensitive' in international policy and data protection laws. We propose that 'tiered protection' of such data could be implemented in contexts such as that of the GA4GH Beacon Project to facilitate responsible data sharing. To this end, we discuss a Data Sharing Privacy Test developed to distinguish degrees of sensitivity within categories of data recognised as 'sensitive'. Based on this, we propose guidance for determining the level of protection when sharing genomic and health-related data for the Beacon Project and in other international data sharing initiatives.

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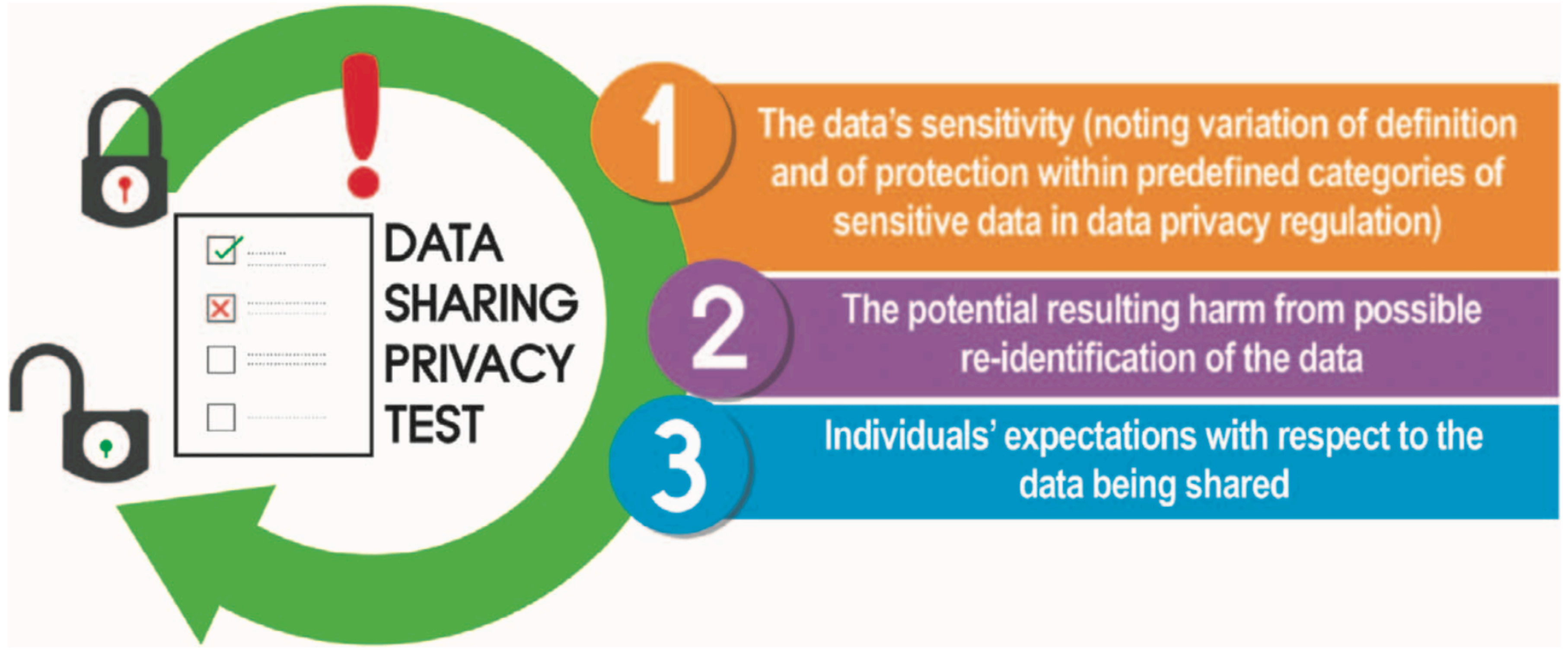

Figure 1. The three steps of a Data Sharing Privacy Test to distinguish degrees of data sensitivity within categories of data recognised as 'sensitive'.

Share *YOUR* Genome data?

- The Beacon concept - balanced approach for accessing genome variant data from internationally distributed resources
- However: Genome data has the inherent “risk” of being identified and linked to a person

Solutions from Technology or Society? Discourse!

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