## Computability Theory

Fall 2020

Lecture 7: The Equivalence of Computability and Recursiveness

Lecturer: Renjie Yang

## 7.1 The Arithmetization of The Theory of Turing Machines

**Definition 7.1** Let M be an expression consisting of the symbols  $\gamma_1, \gamma_2, \ldots, \gamma_n$ . Let  $a_1, a_2, \ldots, a_n$  be the corresponding integers associated with these symbols. Then the **Gödel number** of M is the integer

$$gn(M) = r = \prod_{k=1}^{n} Pr(k)^{a_k}$$

If M is the empty expression, we let 1 be the Gödel number of M.

**Note** We adopt a convention to associate each symbol with an even number:  $R \to 3$ ,  $S \to 5$ ,  $q_i \to 4i + 5$ ,  $S_i \to 4i + 7$ .

**Example**  $gn(q_11Rq_2) = 2^9 \cdot 3^{11} \cdot 5^3 \cdot 7^{13}$ 

Corollary 7.2 If M and N are given expressions such that gn(M) = gn(N), then M = N.

**Proof:** According to the Fundamental Theorem of Arithmetic, every natural number can be uniquely represented in the form  $p_1^{m_1}p_2^{m_2}\cdots p_k^{m_k}$ , where  $p_1,\ldots,p_k$  are distinct primes. Therefore if M=N then their prime factorizations are the same.

**Note** A computation is a finite sequence of expressions; a Turing machine is a finite set of expressions.

**Definition 7.3** If n = gn(M), we also write M = Exp(n)

**Definition 7.4** Let  $M_1, \ldots, M_n$  be a finite sequence of expressions. Then the Gödel number of this sequence of expressions is the number

$$\prod_{k=1}^{n} Pr(k)^{g_n(M_k)}$$

**Example**  $gn(\{q_11Bq_1, q_1BRq_2\}) = 2^{2^9 \cdot 3^{11} \cdot 5^7 \cdot 7^9} \cdot 3^{2^9 \cdot 3^7 \cdot 5^3 \cdot 7^{13}}$ 

**Corollary 7.5** No integer is the Gödel number both of an expression and of a sequence of expressions.

**Proof:** A Gödel number of an expression or a sequence of expressions is of the form  $2^n \cdot m$ . n is odd for expressions, and even for sequences of expressions.

Corollary 7.6 Two sequences of expressions that have the same Gödel number are identical.

**Definition 7.7** Let Z be a Turing machine. Let  $M_1, \ldots, M_n$  be any arrangement of the quadruples of Z without repetitions. Then, the Gödel number of the sequence  $M_1, \ldots, M_n$  is called a **Gödel** number of the Turing machine Z.

Note A Turing machine consisting of n quadruples has n! distinct Gödel numbers.

**Definition 7.8** For each n > 0 and for each set of integers A, let  $T_n(z, x_1, ..., x_n, y)$  be the predicate that means, for given  $z, x_1, ..., x_n, y$  that z is a Gödel number of a Turing machine Z, and that y is the Gödel number of a computation, with respect to Z, beginning with the instantaneous description  $q_1(\overline{x_1, ..., x_n})$ .

**Theorem 7.9**  $T_n(z, x_1, ..., x_n, y)$  is primitive recursive.

**Proof:** The proof proceeds by a detailed list of primitive recursive functions and predicates until we get  $T_n(z, x_1, \ldots, x_n, y)$ .

**Group 1** Functions and predicates which concern Gödel numbers of expressions and sequences of expressions:

1. 
$$n Gl x = \mathfrak{M}_{y=0}^{x} [(Pr(n)^{y}|x)] \wedge \neg (Pr(n)^{y+1}|x)]$$

The Gödel encoding of the nth symbol in the expression represented by x.

The Gödel encoding of the nth expression in the sequence of expression represented by x.

2. 
$$\mathcal{L}(x) = \mathfrak{M}_{y=0}^{x} [(y \ Gl \ x > 0) \land \forall i ((0 \leqslant i \leqslant x) \to (y+1+i) \ Gl \ x = 0)]$$

The length of the expression represented by x.

The length of the sequence of expression represented by x.

- 3.  $GN(x) \leftrightarrow \neg \exists (1 \leq y \leq \mathcal{L}(x))[(y \ Gl \ x = 0) \land ((y+1) \ Gl \ x \neq 0)]$ x is a Gödel Numbers of some expression or some sequence of expression with no empty expression.
- 4.  $Term(x,z) \leftrightarrow GN(z) \land \exists (1 \leq n \leq \mathcal{L}(x))x = n \ Gl \ z$  is a Gödel Numbers of some expression, and x is the Gödel Numbers of one of the symbols in the expression represented by z.

5. 
$$x * y = x \cdot \prod_{i=1}^{\mathcal{L}(x)} Pr(\mathcal{L}(x) + i)^{i GL y}$$

If M and N are expressions, then gn(MN) = gn(M) \* gn(N).

If x and y are the Gödel numbers of the sequences of expressions  $M_1, \ldots, M_n$  and  $N_1, \ldots, N_p$  respectively, then x \* y is the Gödel numbers of the sequence  $M_1, \ldots, M_n, N_1, \ldots, N_p$ .

**Group 2** Functions and Predicates which concern the basic structure of Turing machines:

- 6.  $IC(x) \leftrightarrow \exists (0 \le y \le x)(x = 4y + 9)$ x is the number assigned to an internal configuration  $q_i$
- 7.  $Al(x) \leftrightarrow \exists (0 \le y \le x)(x = 4y + 7)$ x is the number assigned to an alphabet  $S_i$

- 8.  $Odd(x) \leftrightarrow \exists (0 \le y \le x)(x = 2y + 3)$  $x \text{ is an odd number} \ge 3.$
- 9.  $Quad(x) \leftrightarrow GN(x) \land \mathcal{L}(x) \land IC(1 \ Gl \ x) \land Al(2 \ Gl \ x) \land Odd(3 \ Gl \ x) \land IC(4 \ Gl \ x)$ The expression represented by x is a quadruple.
- 10.  $Inc(x,y) \leftrightarrow Quad(x) \land Quad(y) \land (1 \ Gl \ x = 1 \ Gl \ y) \land (2 \ Gl \ x = 2 \ Gl \ y) \land (x \neq y)$  x and y are Gödel numbers of two incompatible quadruples beginning with the same two symbols.
- 11.  $TM(x) \leftrightarrow GN(x) \land \forall (1 \leq n \leq \mathcal{L}(x))[Quad(n \ Gl \ x) \land \forall (1 \leq m \leq \mathcal{L}(x)) \neg Inc(n \ Gl \ x, m \ Gl \ x)]$  x is a Gödel number of a Turing machine.
- 12.  $MR(0) = 2^{11}$ ,  $MR(n+1) = 2^{11} * MR(n)$ MR(n) is the Gödel number of  $\overline{n}$ .
- 13. CU(n,x) = 0 if  $n \ Glx \neq 11$ , CU(n,x) = 1 if  $n \ Glx = 11$ .

CU(n, x) is the characteristic function of the predicate  $n Gl x \neq 11$ , namely the nth symbol in the expression represented by x is not  $S_1$ .

- 14.  $Corn(x) = \sum_{n=1}^{\mathcal{L}(x)} CU(n, x)$ If x is the Gödel number of M, then  $Corn(x) = \langle M \rangle$ .
- 15.  $U(y) = Corn(\mathcal{L}(y) \ Gl \ y)$ If y is the Gödel number of a sequence of expression  $M_1, M_2, \dots, M_n$ , then  $U(y) = \langle M_n \rangle$ .
- 16.  $ID(x) \leftrightarrow GN(x) \land \exists (1 \leqslant n \leqslant \mathcal{L}(x) \dot{-} 1)[IC(n \ Gl \ x) \land \forall (1 \leqslant m \leqslant \mathcal{L}(x))(m = n \lor Al(m \ Gl \ x))])$  x is a Gödel number of an instantaneous description.
- 17.  $Init_n(x_1, ..., x_n) = 2^9 * MR(x_1) * 2^7 * MR(x_2) * 2^7 ... * 2^7 * MR(x_n).$  $Init_n(x_1, ..., x_n) = gn(q_1(\overline{x_1, ..., x_n})).$

**Group 3** Functions and Predicates which concern the computations of Turing machines:

18. 
$$Yield_1(x, y, z) \leftrightarrow ID(x) \land ID(y) \land TM(z) \land \exists (0 \leqslant F, G, r, s \leqslant x, 0 \leqslant t, u \leqslant y)$$

$$[(x = F * 2^r * 2^s * G) \land (y = F * 2^t * 2^u * G)$$

$$\land IC(r) \land IC(t) \land Al(s) \land Al(u) \land Term(2^r \cdot 3^s \cdot 5^u \cdot 7^t, z)].$$

x and y are the Gödel numbers of instantaneous descriptions, z is a Gödel number of a Turing machine Z, and  $Exp(x) \to Exp(y)(Z)$ , under the first rule of  $\alpha \to \beta(Z)$ :

(1) There exist expressions P and Q (possibly empty) such that

$$\alpha$$
 is  $Pq_iS_jQ$ ,  $\beta$  is  $Pq_lS_kQ$ ,

where

Z contains  $q_i S_i S_k q_l$ .

19. 
$$Yield_2(x, y, z) \leftrightarrow ID(x) \land ID(y) \land TM(z) \land \exists (0 \leqslant F, G, r, s \leqslant x, 0 \leqslant t, u \leqslant y)$$

$$[(x = F * 2^r * 2^s * 2^t * G) \land (y = F * 2^s * 2^u * 2^t * G)$$

$$\land IC(r) \land IC(u) \land Al(s) \land Al(t) \land Term(2^r \cdot 3^s \cdot 5^3 \cdot 7^u, z)].$$

x and y are the Gödel numbers of instantaneous descriptions, z is a Gödel number of a Turing

machine Z, and  $Exp(x) \to Exp(y)(Z)$ , under the second rule of  $\alpha \to \beta(Z)$ :

(2) There exist expressions P and Q (possibly empty) such that

$$\alpha$$
 is  $Pq_iS_jS_kQ$ ,  $\beta$  is  $PS_jq_lS_kQ$ ,

where

Z contains  $q_i S_i R q_l$ .

20. 
$$Yield_3(x, y, z) \leftrightarrow ID(x) \land ID(y) \land TM(z) \land \exists (0 \leq F, r, s \leq x, 0 \leq t \leq y)$$
  

$$[(x = F * 2^r * 2^s) \land (y = F * 2^s * 2^t * 2^7)$$

$$\land IC(r) \land IC(t) \land Al(s) \land Term(2^r \cdot 3^s \cdot 5^3 \cdot 7^t, z)].$$

x and y are the Gödel numbers of instantaneous descriptions, z is a Gödel number of a Turing machine Z, and  $Exp(x) \to Exp(y)(Z)$ , under the third rule of  $\alpha \to \beta(Z)$ :

(3) There exist expressions P and Q (possibly empty) such that

$$\alpha$$
 is  $Pq_iS_j$ ,  $\beta$  is  $PS_jq_lS_0$ ,

where

Z contains  $q_i S_i R q_l$ .

21. 
$$Yield_4(x, y, z) \leftrightarrow ID(x) \land ID(y) \land TM(z) \land \exists (0 \leqslant F, G, r, s, t \leqslant x, 0 \leqslant u \leqslant y)$$
  

$$[(x = F * 2^r * 2^s * 2^t * G) \land (y = F * 2^u * 2^r * 2^t * G)$$

$$\land IC(s) \land IC(u) \land Al(r) \land Al(t) \land Term(2^s \cdot 3^t \cdot 5^5 \cdot 7^u, z)].$$

x and y are the Gödel numbers of instantaneous descriptions, z is a Gödel number of a Turing machine Z, and  $Exp(x) \to Exp(y)(Z)$ , under the fourth rule of  $\alpha \to \beta(Z)$ :

(4) There exist expressions P and Q (possibly empty) such that

$$\alpha$$
 is  $PS_kq_iS_jQ$ ,  $\beta$  is  $Pq_lS_kS_jQ$ ,

where

Z contains  $q_i S_i L q_l$ .

22. 
$$Yield_5(x, y, z) \leftrightarrow ID(x) \land ID(y) \land TM(z) \land \exists (0 \leq G, r, s \leq x, 0 \leq t \leq y)$$
  

$$[(x = 2^r * 2^s * G) \land (y = 2^t * 2^r * 2^s * G) \land IC(r) \land IC(t) \land Al(s) \land Term(2^r \cdot 3^s \cdot 5^5 \cdot 7^t, z)].$$

x and y are the Gödel numbers of instantaneous descriptions, z is a Gödel number of a Turing machine Z, and  $Exp(x) \to Exp(y)(Z)$ , under the fifth rule of  $\alpha \to \beta(Z)$ :

(5) There exist expressions P and Q (possibly empty) such that

$$\alpha \text{ is } q_i S_j Q,$$
  
 $\beta \text{ is } q_l S_0 S_j Q,$ 

where

Z contains  $q_i S_i L q_l$ .

- 23.  $Yield(x, y, z) \leftrightarrow Yield_1(x, y, z) \lor Yield_2(x, y, z) \lor Yield_3(x, y, z) \lor Yield_4(x, y, z) \lor Yield_5(x, y, z)$ x and y are the Gödel numbers of instantaneous descriptions, z is a Gödel number of a Turing machine Z, and  $Exp(x) \to Exp(y)(Z)$ .
- 24.  $Fin(x, z) \leftrightarrow ID(x) \land TM(z) \land \exists (0 \leqslant F, G, r, s \leqslant x)$   $[(x = F * 2^r * 2^s * G) \land IC(r) \land Al(s)$   $\land \forall (1 \leqslant n \leqslant \mathcal{L}(z))((1 Gl (n Gl z) \neq r) \lor (2 Gl (n Gl z) \neq s)]$

z is a Gödel number of a Turing machine Z and x is the Gödel number of an instantaneous

description final with respect to Z, namely there is no yield rule in Z to further compute from x.

25. 
$$Comp(y, z) \leftrightarrow TM(z) \land GN(y) \land \forall (1 \leq n \leq \mathcal{L}(y))[Yield(n \ Gl, (n+1) \ Gl, y, z)] \land Fin(\mathcal{L}(y) \ Gl, y, z)$$

z is a Gödel number of a Turing machine Z and y is the Gödel number of a computation of Z.

By definition 7.8,  $T_n(z, x_1, \ldots, x_n, y) \leftrightarrow (1 \ Gl \ y = Init_n(x_1, \ldots, x_n)) \land Comp(y, z)$ . Therefore it is primitive recursive according to the above construction.

## 7.2 Computability and Recursiveness

**Theorem 7.10** Let  $Z_0$  be a Turing machine and let  $z_0$  be a Gödel number of  $Z_0$ . Then, the domain of the function  $\Psi_{Z_0}^{(n)}(x^{(n)})$  is equal to the domain of  $\min_y T_n(z_0, x^{(n)}, y)$ . Moreover,

$$\Psi_{Z_0}^{(n)}(x^{(n)}) = U(min_y T_n(z_0, x^{(n)}, y)).$$

Also, if  $T_n(z_0, x^{(n)}, y)$  is true for given  $x^{(n)}$ , then

$$y_0 = min_y T_n(z_0, x^{(n)}, y).$$

**Proof:** For any given  $x^{(n)}$ ,  $min_yT_n(z_0, x^{(n)}, y)$  is defined if and only if there exists an computation of  $Z_0$  beginning with  $q_1\overline{(x^{(n)})}$ , that is, if and only if  $\Psi_{Z_0}^{(n)}(x^{(n)})$  is defined. So the first statement is true.

When  $y_0 = \min_y T_n(z_0, x^{(n)}, y)$  is defined,  $y_0$  is the Gödel number of a computation of  $Z_0$  beginning with  $q_1(\overline{x^{(n)}})$ , which means the second statement is true. Therefore,  $\mathcal{L}(y_0)$   $Gl(y_0)$  is the Gödel number of the final instantaneous description  $\alpha$  of this computation, and  $U(y_0) = Corn(\mathcal{L}(y_0)) Gl(y_0) = \langle \alpha \rangle = \Psi_{Z_0}^{(n)}(x^{(n)})$ . So the final statement is true.

Corollary 7.11  $f(x^{(n)})$  is partially computable if and only if there is a number  $z_0$  such that

$$f(x^{(n)}) = U(min_yT_n(z_0, x^{(n)}, y))$$

Corollary 7.12 Every (partially) computable function is (partially) recursive.

Corollary 7.13 A function is (partially) computable if and only if it is (partial) recursive.

Corollary 7.14 If a function is total and partial recursive, then it is recursive.