## Computability Theory

Fall 2020

## Problem Set 1 Solution

- 1. Let  $A_n = \{a^k | \text{ where } k \text{ is a multiple of } n\}$ . Show that for each  $n \ge 1$ , the language  $A_n$  is regular.
- 1. **Proof:** It suffices to construct a DFA M that accept only  $A_n$  for each n. The set of states of M is  $Q = \{q_0, \ldots, q_{(n-1)}\}$ . The state  $q_0$  is the start state and the only accept state. The transition function is  $\delta(q_i, a) = q_j$ , where  $j = i + 1 \mod n$ . For example,  $\delta(q_{(n-1)}, a) = q_0$ . For each character a that is input, M jumps to the next state. It accepts the string if and only if M stops at  $q_0$ . Therefore the length of the string consists of all a's and its length is a multiple of n.
- **2**. Read Spiser section 1.4 (page 77 82), show that  $L = \{0^m 1^n | m \neq n\}$  is not regular.

**Proof:** Note that 0 and 1 are symbols, so  $0^m$  means the string with m 0's. (From Spiser's book) The pumping lemma for regular languages: if A is a regular language, then there is a number p, which is called the pumping length, where if s is any string in A of length at least p, then s may be devided into three pieces, s = xyz, satisfying the following conditions:

- 1. for each  $i \ge 0$ ,  $xy^i z \in A$ ,
- 2. |y| > 0, and
- $3. |xy| \leqslant p.$

Assume  $L=\{0^m1^n|\ m\neq n\}$  is regular. Let p be the pumping length given by the pumping lemma. Set m=p and n=p+p!. The string  $s=0^p1^{p+p!}\in L$ , and  $|s|\geqslant p$ . By the pumping lemma, s can be devided as xyz with  $x=0^a,\ y=0^b,\ z=0^c1^{p+p!}$ , where  $b\leqslant 1$  and a+b+c=p. However, the string  $s'=xy^{(p!/b)+1}z=0^{a+p!+b+c}1^{p+p!}=0^{p+p!}1^{p+p!}\notin L$ . Contradiction.

3. Give a context-free grammar that generates the language

$$B = \{a^i b^j c^k | i, j, k \ge 0 \text{ and either } i = j \text{ or } j = k\}.$$

3. A CFG L that generate B:

 $G = (V, \Sigma, R, S)$ . V is  $\{S, E_{ab}, E_{bc}, C, A\}$  and  $\Sigma$  is  $\{a, b, c\}$ . The rules are:

 $S \to E_{ab}C|AE_{bc}$ 

 $E_{ab} \to aE_{ab}b|\epsilon$ 

 $E_{bc} \rightarrow bE_{bc}c|\epsilon$ 

 $C \to Cc|\epsilon$ 

 $A \to Aa | \epsilon$ 

Initially substituting  $E_{ab}C$  for S generates any string with an equal number of a's and b's followed by any number of c's. Similar for substituting  $E_{bc}$  for S.

**4**. Let C be a context-free language and R be a regular language. Show that the language  $R \cup C$  is context free.

**Proof:** Theorem 3.6 shows that every regular language is context-free. Theorem 3.8 shows that context-free languages are closed under union operation. Thus the result follows.

This problem is trivial because there was a typo. I should have wrote  $R \cap C$  rather than  $R \cup C$ . Here is a proof for the original problem:

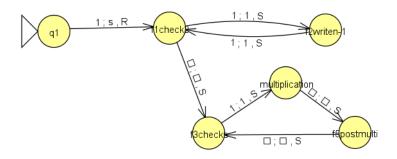
Let C be a context-free language and R be a regular language. Let P be the PDA that recognizes C, and D be the DFA that recognizes R. If Q is the set of states of P and Q' is the set of states of D, we construct a PDA P' that recognizes  $C \cap R$  with the set of states  $P \times Q$ . P' will do what P does and also keep track of the states of D. It accepts a string  $\omega$  if and only if it stops at a state  $q \in F_P \times F_D$ , where  $F_P$  is the set of accept states of P and P is the set of accept states of P. Since P is recognized by P', it is context free.

**5**. Show that every regular language is Turing decidable.

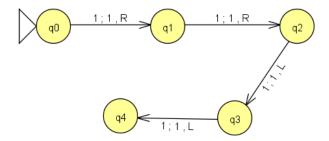
**Proof:** For any regular language L, there is a DFA D such that L = L(D). Construct a Turing Machine T that simulates D as follows. T's states will be similar to D's. On reaching the end of the input, if T is in a state that corresponds to a final state of D, T halts and accepts; otherwise it halts and rejects.

**6.** Design a Turing machine that computes f(n) = n!.

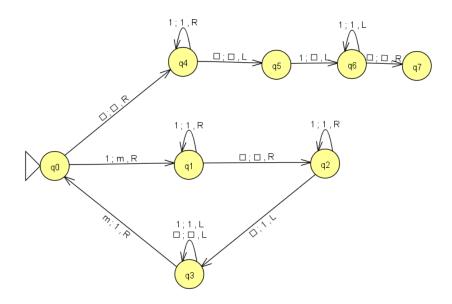
6.



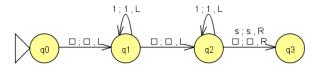
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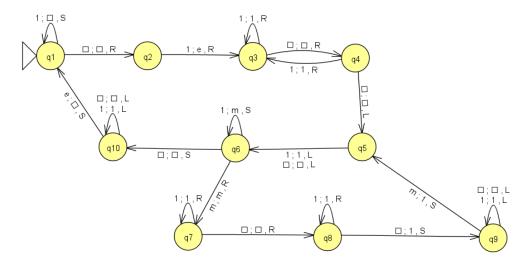
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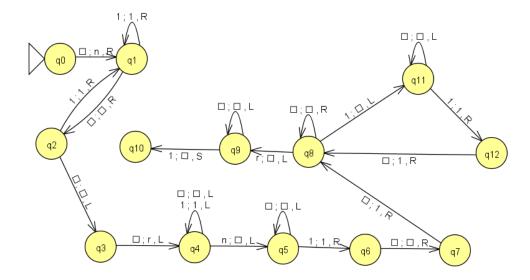
f3checks



multiplication, the same as Davis page 19



f5postmulti



7. Let  $g_i(x)$  and  $P_i(x)$  be primitive recursive for i = 0, 1, ...k. Show that

$$f(x) = \begin{cases} g_1(x), & \text{if } P_1(x) \\ g_2(x), & \text{if } P_2(x) \\ \dots \\ g_k(x), & \text{otherwise} \end{cases}$$

is primitive recursive.

**Proof:** This is definition by case.  $P_i(x)$  are supposed to be mutually exclusive and exhaustive. I should have made clear about this. Here is the proof:

Let  $P_k(x) = \neg(P_1(x) \lor P_2(x) \dots P_k(x))$  Since every  $P_i$  is primitive recursive,  $P_k(x)$  is also recursive. We have  $f(x) = \sum_{i=1}^k g_1(x) \times (1 \dot{-} C_{P_i(x)})$ , where  $C_{P_i(x)}$  is the characteristic function of  $P_i(x)$ . f(x) is primitive recursive because it is derived from compositions of addition, cutoff subtraction,  $g_i(x)$  and  $C_i(x)$ , which are all primitive recursive functions.

**8**. Show that every primitive recursive function is total.

**Proof:** By induction on the definition of primitive recursive function.

Base case:  $S(x), U_i^n(x^{(n)}), N(x)$  are all total function;

Inductive step: Let  $f(x^{(n)}) = g(h_1(x^{(n)}), \dots, h_m(x^{(n)}))$ . f is everywhere defined if g and h are total functions

For primitive recursion, if  $f(x^{(n)})$  and  $g(x^{(n+2)})$  are total functions, then prove by induction on the first variable of  $h(m, x^{(n)})$ :  $h(0, x^{(n)}) = f(x^{(n)})$ , and  $h(m+1, x^{(n)}) = g(m, h(m, x^{(n)}), x^{(n)})$ .

**9**. Show that for each primitive recursive function there is a monotone primitive recursive function that is everywhere greater.

**Proof:** For each primitive recursive function f(x), Let

$$h(0) = f(0) + 1$$

$$h(z+1) = g(z, h(z)) = f(z+1) + h(z)$$

Since f is primitive recursive, h(z) is also primitive recursive. Also, for any natural number z,

 $h(z) = \sum_{i=0}^{z} f(i) + 1 > f(i)$ , therefore h(z) is monotone.

10. Show that not all recursive functions are primitive recursive.

This is a research question. A full answer requires a proof that some function is not primitive recursive. One famous example is Ackermann function. The appending file provides one proof that Ackermann function is not primitive recursive.

## Ackermann function is not primitive recursive\*

†

## 2013-03-11 18:08:37

In this entry, we show that the Ackermann function A(x, y), given by

$$A(0,y) = y+1,$$
  $A(x+1,0) = A(x,1),$   $A(x+1,y+1) = A(x,A(x+1,y))$ 

is not primitive recursive. We will utilize the properties of A listed in this entry.

The key to showing that A is not primitive recursive, is to find a properties shared by all primitive recursive functions, but not by A. One such property is in showing that A in some way "grows" faster than any primitive recursive function. This is formalized by the notion of "majorization", which is explained here.

**Proposition 1.** Let A be the set of all functions majorized by A. Then  $PR \subseteq A$ .

*Proof.* We break this up into three parts: show all initial functions are in  $\mathcal{A}$ , show  $\mathcal{A}$  is closed under functional composition, and show  $\mathcal{A}$  is closed under primitive recursion. The proof is completed by realizing that  $\mathcal{PR}$  is the smallest set satisfying the three conditions.

In the proofs below,  $\boldsymbol{x}$  denotes some tuple of non-negative integers  $(x_1, \ldots, x_n)$  for some n, and  $x = \max\{x_1, \ldots, x_n\}$ . Likewise for  $\boldsymbol{y}$  and  $\boldsymbol{y}$ .

- 1. We show that the zero function, the successor function, and the projection functions are in A.
  - z(n) = 0 < n + 1 = A(0, n), so  $z \in A$ .
  - s(n) = n + 1 < n + 2 = A(1, n), so  $s \in A$ .
  - $p_m^k(x_1, ..., x_k) = x_m \le x < x + 1 = A(0, x)$ , so  $p_m^k \in \mathcal{A}$ .

<sup>\*</sup> $\langle AckermannFunctionIsNotPrimitiveRecursive \rangle$  created:  $\langle 2013-03-11 \rangle$  by:  $\langle CWoo \rangle$  version:  $\langle 42019 \rangle$  Privacy setting:  $\langle 1 \rangle$   $\langle Theorem \rangle$   $\langle 03D75 \rangle$ 

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2. Next, suppose  $g_1, \ldots, g_m$  are k-ary, and h is m-ary, and that each  $g_i$ , and h are in  $\mathcal{A}$ . This means that  $g_i(\mathbf{x}) < A(r_i, x)$ , and  $h(\mathbf{y}) < A(s, y)$ . Let

$$f = h(g_1, ..., g_m), \text{ and } g_i(\mathbf{x}) = \max\{g_i(\mathbf{x}) \mid i = 1, ..., m\}.$$

Then 
$$f(\mathbf{x}) < A(s, g_j(\mathbf{x})) < A(s, A(r_j, x)) < A(s + r_j + 2, x)$$
, showing that  $f \in \mathcal{A}$ .

3. Finally, suppose g is k-ary and h is (k+2)-ary, and that  $g,h \in \mathcal{A}$ . This means that  $g(\boldsymbol{x}) < A(r,x)$  and  $h(\boldsymbol{y}) < A(s,y)$ . We want to show that f, defined by primitive recursion via functions g and h, is in  $\mathcal{A}$ .

We first prove the following claim:

$$f(x,n) < A(q,n+x)$$
, for some q not depending on x and n.

Pick  $q = 1 + \max\{r, s\}$ , and induct on n. First,  $f(\boldsymbol{x}, 0) = g(\boldsymbol{x}) < A(r, x) < A(q, x)$ . Next, suppose  $f(\boldsymbol{x}, n) < A(q, n+x)$ . Then  $f(\boldsymbol{x}, n+1) = h(\boldsymbol{x}, n, f(\boldsymbol{x}, n)) < A(s, z)$ , where  $z = \max\{x, n, f(\boldsymbol{x}, n)\}$ . By the induction hypothesis, together with the fact that  $\max\{x, n\} \leq n+x < A(q, n+x)$ , we see that z < A(q, n+x). Thus,  $f(\boldsymbol{x}, n+1) < A(s, z) < A(s, A(q, n+x)) \leq A(q-1, A(q, n+x)) = A(q, n+1+x)$ , proving the claim.

To finish the proof, let  $z = \max\{x,y\}$ . Then, by the claim,  $f(\boldsymbol{x},y) < A(q,x+y) \le A(q,2z) < A(q,2z+3) = A(q,A(2,z)) = A(q+4,z)$ , showing that  $f \in \mathcal{A}$ .

Since  $\mathcal{PR}$  is by definition the smallest set containing the initial functions, and closed under composition and primitive recursion,  $\mathcal{PR} \subseteq \mathcal{A}$ .

As a corollary, we have

Corollary 1. The Ackermann function A is not primitive recursive.

*Proof.* Otherwise,  $A \in \mathcal{A}$ , which is impossible.