

## Lecture 5: Turing Machines for Functions

Lecturer: Renjie Yang

## 5.1 Turing Computability

**Definition 5.1** An **expression** is a finite sequence (possibly empty) of symbols chosen from the list:  $q_1, q_2, q_3, \dots \in Q$ ;  $S_0, S_1, S_2, S_3, \dots \in \Gamma$ ;  $R, L$ .

**Definition 5.2** A **quadruple** is an expression having one of the following forms:

1.  $q_i S_j S_k q_l$
2.  $q_i S_j R q_l$
3.  $q_i S_j L q_l$

**Definition 5.3** A **Turing machine** is a finite (nonempty) set of quadruples that contains no two quadruples whose first two symbols are the same.

The  $q$ 's and  $S$ 's that occur in the quadruples of a Turing machine are called its **internal configurations** and its **alphabet**, respectively.

**Definition 5.4** An **instantaneous description** is an expression that contains exactly one  $q_i$ , neither  $R$  nor  $L$ , and is such that  $q_i$  is not the rightmost symbol.

If  $Z$  is a Turing machine and  $\alpha$  is an instantaneous description, then we say that  $\alpha$  is an **instantaneous description of  $Z$**  if the  $q_i$  that occurs in  $\alpha$  is an internal configuration of  $Z$  and if the  $S_i$ 's that occur in  $\alpha$  are part of the alphabet of  $Z$ .

**Definition 5.5** An expression that consists entirely of the letters  $S$ ; is called a **tape expression**.

**Definition 5.6** Let  $Z$  be a Turing machine, and let  $\alpha$  be an instantaneous description of  $Z$ , where  $q_i$  is the internal configuration that occurs in  $\alpha$  and where  $S_j$  is the symbol immediately to the right of  $q_i$ . Then we call  $q_i$  the **internal configuration of  $Z$  at  $\alpha$** , and we call  $S_j$  **the symbol scanned by  $Z$  at  $\alpha$** . The tape expression obtained on removing  $q_i$  from  $\alpha$  is called the **expression on the tape of  $Z$  at  $\alpha$** .

**Definition 5.7** Let  $Z$  be a Turing machine, and let  $\alpha, \beta$  be instantaneous descriptions. Then we write  $\alpha \rightarrow \beta (Z)$  to mean that one of the following alternatives holds:

1. There exist expressions  $P$  and  $Q$  (possibly empty) such that
 
$$\begin{aligned} \alpha &\text{ is } P q_i S_j Q, \\ \beta &\text{ is } P q_l S_k Q, \end{aligned}$$

where

$$Z \text{ contains } q_i S_j S_k q_l.$$

2. There exist expressions  $P$  and  $Q$  (possibly empty) such that
 
$$\begin{aligned} \alpha &\text{ is } P q_i S_j S_k Q, \\ \beta &\text{ is } P S_j q_l S_k Q, \end{aligned}$$

where

$$Z \text{ contains } q_i S_j R q_l.$$

3. There exist expressions  $P$  and  $Q$  (possibly empty) such that

$$\begin{aligned}\alpha & \text{ is } Pq_iS_j, \\ \beta & \text{ is } PS_jq_lS_0,\end{aligned}$$

where

$$Z \text{ contains } q_iS_jRq_l.$$

4. There exist expressions  $P$  and  $Q$  (possibly empty) such that

$$\begin{aligned}\alpha & \text{ is } PS_kq_iS_jQ, \\ \beta & \text{ is } Pq_lS_kS_jQ,\end{aligned}$$

where

$$Z \text{ contains } q_iS_jLq_l.$$

5. There exist expressions  $P$  and  $Q$  (possibly empty) such that

$$\begin{aligned}\alpha & \text{ is } q_iS_jQ, \\ \beta & \text{ is } q_lS_0S_jQ,\end{aligned}$$

where

$$Z \text{ contains } q_iS_jLq_l.$$

**Theorem 5.8** If  $\alpha \rightarrow \beta$  ( $Z$ ) and  $\alpha \rightarrow \gamma$  ( $Z$ ), then  $\beta = \gamma$ .

**Theorem 5.9** If  $\alpha \rightarrow \beta$  ( $Z$ ) and  $Z \subset Z'$ , then  $\alpha \rightarrow \beta$  ( $Z'$ ).

**Definition 5.10** An instantaneous description  $\alpha$  is called terminal with respect to  $Z$  if for no  $\beta$  do we have  $\alpha \rightarrow \beta$  ( $Z$ ).

**Definition 5.11** By a **computation of a Turing machine**  $Z$  is meant a finite sequence  $\alpha_1, \alpha_2, \dots, \alpha_p$  of instantaneous descriptions such that  $\alpha_i \rightarrow \alpha_{i+1}$   $Z$  for  $1 \leq i < p$  and such that  $\alpha_p$  is terminal with respect to  $Z$ . In such a case, we write  $\alpha_p = \text{Res}_Z(\alpha_1)$  and we call  $\alpha_p$  the **resultant** of  $\alpha_1$  with respect to  $Z$ .

**Definition 5.12** With each number  $n$  we associate the tape expression  $\bar{n}$  where  $\bar{n} = 1^{n+1}$ .

**Definition 5.13** With each  $k$ -tuple  $(n_1, n_2, \dots, n_k)$  of integers we associate the tape expression  $\overline{(n_1, n_2, \dots, n_k)}$ , where

$$\overline{(n_1, n_2, \dots, n_k)} = \bar{n}_1 B \bar{n}_2 B \cdots B \bar{n}_k$$

**Definition 5.14** Let  $M$  be any expression. Then  $\langle M \rangle$  is the number of occurrences of 1 in  $M$ .

**Definition 5.15** Let  $Z$  be a Turing machine. Then, for each  $n$ , we associate with  $Z$  an  $n$ -ary function

$$\Psi_Z^{(n)}(x_1, x_2, \dots, x_n)$$

as follows. For each  $n$ -tuple  $(m_1, m_2, \dots, m_n)$ , we set  $a_1 = q_1 \overline{(m_1, m_2, \dots, m_n)}$  and we distinguish between two cases:

1. There exists a computation of  $Z$ ,  $a_1, \dots, a_p$ . In this case we set

$$\Psi_Z^{(n)}(m_1, m_2, \dots, m_n) = \langle a_p \rangle = \langle \text{Res}_Z(\alpha_1) \rangle.$$

2. There exists no computation  $a_1, \dots, a_p$ . In this case we leave  $\Psi_Z^{(n)}(x_1, x_2, \dots, x_n)$  undefined.

For  $\Psi_Z^{(1)}(x)$  we write  $\Psi_Z(x)$ .

**Definition 5.16** An  $n$ -ary function  $f(x_1, \dots, x_n)$  is **partially computable** if there exists a Turing machine  $Z$  such that

$$f(x_1, \dots, x_n) = \Psi_Z^{(n)}(x_1, \dots, x_n).$$

In this case we say that  $Z$  computes  $f$ . If, in addition,  $f(x_1, \dots, x_n)$  is a total function, then it is called **computable**.

## 5.2 Computable Functions

### Examples

- $f(x, y) = x + y$ , p12
- $S(x) = x + 1$ , p12
- $f(x, y) = x - y$ , p12
- $f(x, y) = x \div y$ , p15
- $I(x) = x$ , p16
- $U_i(x_1, x_2, \dots, x_n) = x_i$ ,  $1 \leq i \leq n$ , p16
- $f(x, y) = (x + 1)(y + 1)$ , p17

**Definition 5.17** If  $Z$  is a Turing machine we let  $\theta(Z)$  be the largest number  $i$  such that  $q_i$  is an internal configuration of  $Z$ .

**Definition 5.18** A Turing machine  $Z$  is called  **$n$ -regular** ( $n > 0$ ) if

1. There is an  $s > 0$  such that, whenever  $\text{Res}_Z[q_1(\overline{m_1, \dots, m_n})]$  is defined, it has the form  $q_{\theta(Z)}(\overline{r_1, \dots, r_s})$  for suitable  $r_1, \dots, r_s$ , and
2. No quadruple of  $Z$  begins with  $q_{\theta(Z)}$ .

**Definition 5.19** Let  $Z$  be a Turing machine. Then  $Z^{(n)}$  is the Turing machine obtained from  $Z$  by replacing each internal configuration  $q_i$ , at all of its occurrences in quadruples of  $Z$ , by  $q_{n+i}$ .

**Lemma 5.20** (LEMMA 1 in the book) For every Turing machine  $Z$ , we can find a Turing machine  $Z'$  such that, for each  $n$ ,  $Z'$  is  $n$ -regular, and

$$\text{Res}_{Z'}[q_1(\overline{m_1, \dots, m_n})] = q_{\theta(Z')} \overline{\Psi_Z^{(n)}(m_1, \dots, m_n)}$$

**Proof:** Page 26. See the demo in class. ■

**Lemma 5.21** (LEMMA 2) For each  $n$ -regular Turing machine  $Z$  and each  $p > 0$ , there is a  $(p + n)$ -regular Turing machine  $Z_p$  such that, whenever

$$\text{Res}_Z[q_1(\overline{m_1, \dots, m_n})] = q_{\theta(Z)}(\overline{r_1, \dots, r_s}),$$

it is also the case that

$$\text{Res}_{Z_p}[q_1(\overline{k_1, \dots, k_p, m_1, \dots, m_n})] = q_{\theta(Z)}(\overline{k_1, \dots, k_p, r_1, \dots, r_s}),$$

whereas, whenever  $\text{Res}_Z[q_1(\overline{m_1, \dots, m_n})]$  is undefined, so is  $\text{Res}_{Z_p}[q_1(\overline{k_1, \dots, k_p, m_1, \dots, m_n})]$ .

Page 29. See the demo in class.

**Example** The copying machine  $C_p$

For each  $n > 0$  and  $p \geq 0$ , we shall define a  $(p + n)$ -regular Turing machine such that

$$\text{Res}_{C_p}[q_1(\overline{k_1, \dots, k_p, m_1, \dots, m_n})] = q_{p+16}(\overline{m_1, \dots, m_n, k_1, \dots, k_p, m_1, \dots, m_n}).$$

**Example** The transfer machine  $R_p$

For each  $n > 0$  and  $p \geq 0$ , we shall define a  $(p + n)$ -regular Turing machine such that

$$\text{Res}_{R_p}[q_1(\overline{k_1, \dots, k_p, m_1, \dots, m_n})] = q_{p+16}(\overline{m_1, \dots, m_n, k_1, \dots, k_p}).$$

**Lemma 5.22** (LEMMA 3) *For each  $n$ -regular Turing machine  $Z$ , there is an  $n$ -regular Turing machine  $Z'$  such that, whenever*

$$\text{Res}_Z[q_1(\overline{m_1, \dots, m_n})] = q_{\theta(Z)}(\overline{r_1, \dots, r_s}),$$

*it is also the case that*

$$\text{Res}_{Z'}[q_1(\overline{m_1, \dots, m_n})] = q_{\theta(Z')}(\overline{r_1, \dots, r_s, m_1, \dots, m_n}),$$

*whereas, whenever  $\text{Res}_Z[q_1(\overline{m_1, \dots, m_n})]$  is undefined, so is  $\text{Res}_{Z'}[q_1(\overline{m_1, \dots, m_n})]$ .*

**Proof:** By Lemma 2, there is a  $2n$ -regular Turing machine  $U$  such that

$$\text{Res}_U[q_1(\overline{m_1, \dots, m_n, m_1, \dots, m_n})] = q_{\theta(U)}(\overline{m_1, \dots, m_n, r_1, \dots, r_s}).$$

Then we can take

$$Z' = C_0 \bigcup U^{(15)} \bigcup R_n^{(14+\theta(U))}.$$

$Z'$  does the following:

$$\begin{aligned} q_1(\overline{m_1, \dots, m_n}) &\rightarrow q_{16}(\overline{m_1, \dots, m_n, m_1, \dots, m_n}) \quad (\text{by } C_0) \\ &\rightarrow q_{\theta(U^{(15)})}(\overline{m_1, \dots, m_n, r_1, \dots, r_s}) \quad (\text{by } U^{(15)}) \\ &\rightarrow q_{\theta(Z')}(\overline{r_1, \dots, r_s, m_1, \dots, m_n}) \quad (\text{by } R_n^{(14+\theta(U))}) \end{aligned}$$

■

**Lemma 5.23** (LEMMA 4) *Let  $Z_1, \dots, Z_p$  be Turing machines. Let  $n > 0$ . Then, there exists an  $n$ -regular Turing machine  $Z'$  such that*

$$\text{Res}_{Z'}[q_1(\overline{m_1, \dots, m_n})] = q_{\theta(Z')}(\overline{\Psi_{Z_1}^{(n)}(m_1, \dots, m_n), \dots, \Psi_{Z_p}^{(n)}(m_1, \dots, m_n)}).$$

**Proof:** Prove by induction on  $p$ . For  $p = 1$ , the result is Lemma 1. Assume the result holds for  $p = k$ ; show that it also holds for  $p = k + 1$ .

Let  $Z_1, Z_2, \dots, Z_{k+1}$  be given Turing machines. Let

$$r_i = \Psi_{Z_i}^{(n)}(m_1, \dots, m_n)$$

for  $1 \leq i \leq k + 1$ . By the inductive hypothesis, there is an  $n$ -regular Turing machine  $Y_1$  such that

$$\text{Res}_{Y_1}[q_1(\overline{m_1, \dots, m_n})] = q_{\theta(Y_1)}(\overline{r_1, \dots, r_k}).$$

By Lemma 3, there is an  $n$ -regular Turing machine  $Y_2$  such that

$$\text{Res}_{Y_1}[q_1(\overline{m_1, \dots, m_n})] = q_{\theta(Y_2)}(\overline{r_1, \dots, r_k, m_1, \dots, m_n}).$$

By Lemma 1, there is an  $n$ -regular Turing machine  $Y_3$  such that

$$\text{Res}_{Y_3}[q_1(\overline{m_1, \dots, m_n})] = q_{\theta(Y_3)}(\overline{r_{k+1}}).$$

By Lemma 2, there is an  $n$ -regular Turing machine  $Y_4$  such that

$$\text{Res}_{Y_1}[q_1(\overline{r_1, \dots, r_k, m_1, \dots, m_n})] = q_{\theta(Y_4)}(\overline{r_1, \dots, r_k, r_{k+1}}).$$

We can take  $Z' = Y_2 \cup Y_4^{(\theta(Y_2)-1)}$  to obtain the result for  $p = k + 1$ . ■

### 5.3 Composition and Minimization

**Definition 5.24** *The operation of composition associates with the functions  $f(y^{(m)})$ ,  $g_1(x^{(n)})$ ,  $g_2(x^{(n)})$ , ...,  $g_m(x^{(n)})$ , the function*

$$h(x^{(n)}) = f(g_1(x^{(n)}), g_2(x^{(n)}), \dots, g_m(x^{(n)})).$$

**Theorem 5.25** *Let  $f(y^{(m)})$ ,  $g_1(x^{(n)})$ ,  $g_2(x^{(n)})$ , ...,  $g_m(x^{(n)})$  be (partially) computable. Let*

$$h(x^{(n)}) = f(g_1(x^{(n)}), g_2(x^{(n)}), \dots, g_m(x^{(n)})).$$

*Then  $h(x^{(n)})$  is (partially) computable.*

**Proof:** By Lemma 4, there is an  $n$ -regular Turing machine  $Z$  such that

$$\text{Res}_Z[q_1(\overline{x^{(n)}})] = q_{\theta(Z)}(\overline{g_1(x^{(n)}), g_2(x^{(n)}), \dots, g_m(x^{(n)})}).$$

Let  $Z_1$  be chosen so that

$$\Psi_{Z_1}^{(m)}(x^{(m)}) = f(x^{(m)}),$$

let  $Z' = Z \cup Z_1^{(\theta(Z)-1)}$ . Then

$$\Psi_{Z'}^{(n)}(\overline{x^{(n)}}) = f(g_1(x^{(n)}), g_2(x^{(n)}), \dots, g_m(x^{(n)})) = h(x^{(n)})$$
■

**Corollary 5.26** *The class of (partially) computable functions is closed under the operation of composition.*

**Corollary 5.27**  *$xy$  is computable.*

**Proof:**

$$xy = (x + 1)(y + 1) \div (y + 1) \div x$$
■

**Example**  $x^k = x^{(k-1)}x$

**Example**  $|x - y| = (x \div y) + (y \div x)$

**Definition 5.28** The operation of minimization associates with each total function  $f(y, x^{(n)})$  the function  $h(x^{(n)})$  whose value for given  $x^{(n)}$  is the least value of  $y$ , if one such exists, for which  $f(y, x^{(n)}) = 0$ , and which is undefined if no such  $y$  exists:

$$h(x^{(n)}) = \min_y [f(y, x^{(n)}) = 0].$$

**Definition 5.29** The total function  $f(y, x^{(n)})$  is called regular if

$$\min_y [f(y, x^{(n)}) = 0]$$

is total.

**Theorem 5.30** If  $f(y, x^{(n)})$  is computable, then

$$h(x^{(n)}) = \min_y [f(y, x^{(n)}) = 0]$$

is partially computable. Moreover, if  $f(y, x^{(n)})$  is regular, then  $h(x^{(n)})$  is computable.

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**Example**  $x/2 = \min_y (|(y + y) - x| = 0)$ . See the demo of a Turing machine that computes this function in class.

