

# Lecture 1: Introduction

# Who we are

- Instructors
  - Prof. Chuang Gan, office hours: Monday 8:45-9:45 am (Eastern Time)
- TA
  - Ashish Singh, office hours: Wed/Fri 4:00PM-5:00PM (Eastern Time)



# Course web page

- <https://compsci682-spring2025.github.io/index.html>

UMassAmherst	Home	Lectures	Notes	Assignments	Policies	Project	Office Hours
<ul style="list-style-type: none"><li>• There will be a few optional discussion sections organized by the TA (shown in green)</li><li>• Slides will be finalized after the lecture.</li></ul>							
Event Type	Date	Description	Course Materials				
Lecture	Tuesday, Feb 4	Course logistics and overview Historical context Image classification and the data-driven approach <ul style="list-style-type: none"><li>• K-nearest neighbor</li><li>• Linear classification</li></ul> Optimization <ul style="list-style-type: none"><li>• Loss function I</li></ul>					

# Choose the **right** course!!!

- This course is **not an in-person class**!
- It will be a **mix** of **pre-recorded videos** and **online lectures** .
- Strongly encourage to choose 682 **Next Fall** if you want to take an **in-person class**.

# 682 Neural Networks: A Modern Introduction

- Topics: first half of the class.
  - Intro to supervised learning with K-Nearest neighbors
  - Support vector machines
  - Logistic regression for classification
  - Feed forward neural nets
    - Backpropagation
    - Batch normalization
    - Drop-out
    - Speed optimizations
  - Convolutional neural nets

# 682 Neural Networks: A Modern Introduction

- Balance of theory vs. practice
  - Heavily tilted toward practice.
  - Examples:
    - Regularization will be used, but not much theory of it.
    - No proofs of convergence or optimality
  - Instead:
    - Develop applications “from scratch”
    - Build “layered” architectures from scratch so new models can be easily assembled
    - Implement popular add-ons such as batch normalization
    - Learn techniques for training and setting hyperparameters.

# 682 Neural Networks: A Modern Introduction

- Applications
  - Mostly **Computer Vision**: Object recognition in particular.
  - However, can easily be applied to other domains.
    - You will learn what you need to know to apply neural nets broadly.
  - Hopefully more about **Natural Language Processing** this semester.

# 682 Neural Networks: A Modern Introduction

- What this course is *not*.
  - General course on machine learning
  - General course on graphical models
  - Not even a general class on deep learning!!!
    - No Bayes Nets
    - No restricted Boltzmann machines or deep Boltzmann machines
  - Not a computer vision survey class
    - No tracking, stereo, depth estimation, etc., etc.



# Course grades

- 3 Long Programming Assignments
  - Get started as soon as assignments are posted.
  - Some aspects of assignments require only basic knowledge of Python, but some require in-depth understanding of numpy arrays and complicated indexing schemes. They can take a while to work through.
  - If you don't know Python, work through tutorial now.

# Grading Policy (approximate)

- 3 Problem sets:  $15\% \times 3 = 45\%$
- Midterm exam: 15%
- Final Course project: 40%
  - Proposal: 5% (out of 40%)
  - Milestone: 5% (out of 40%)
  - Final write-up: 20% (out of 40%)
  - Review of others: 10% (out of 40%)
  - 1 Final project accepted to NeurIPS!
- Late Policy:
  - 7 free late days in total: use them as you see fit
  - Afterwards: 25% off per day late
  - Not accepted after 3 late days
  - Does not apply to final course project (must be on time)

# Assignments

- 3 Long Assignments
  - Get started as soon as assignments are posted.
  - Some aspects of assignments require only basic knowledge of Python, but some require in-depth understanding of numpy arrays and complicated indexing schemes. They can take a while to work through.
  - If you don't know Python, work through tutorial now.

# Getting Started

- Example: Mac
  - Language: Python
    - i. Instructions for installing given under first assignment instructions.
    - ii. Development environment: Jupyter Notebook. Live code environment.
      - Poll
  - Running a shell on the side: Jupyter QtConsole
    - i. Good for testing syntax, return values of functions.

## COMPSCI 682 Neural Networks: A Modern Introduction

### Python Numpy Tutorial

```
distances = np.sum(np.abs(self.Xtr - X[i,:]), axis = 1)
min_index = np.argmin(distances) # get the index with smallest distance
Ypred[i] = self.ytr[min_index] # predict the label of the nearest example
```

# Assignment #1

- Soon posted on course website
- Due in 3 weeks (Thursday, Sept. 23, 11:55pm) (in GradeScope).
- It includes:
  - Write/train/evaluate a kNN classifier
  - Write/train/evaluate a Linear Classifier (SVM and Softmax)
  - Write/train/evaluate a 2-layer Neural Network (backpropagation!)
  - Requires writing numpy/Python code

Compute: Use your own laptops. Talk to me or TA if you don't have your own computer.

# Plagiarism and Cheating

# Who, me?

- Right now, cheating seems very far away.
- Now imagine:
  - You just started homework due in 2 days.  
You realize it will take you a week.
  - You just had an internship interview where they asked you if you are getting an A in Neural Nets.
  - You have a midterm tomorrow and a project due in another class in one week.
  - You were just surfing the web for information on Python slicing and you bumped into a full solution to the current problem set.  
*Perhaps I should just take a quick peek...*



Don't do it!!!!!!!!!!!!

# Cheating in the past

- 18 people were caught cheating during a recent semester.
- They were given penalties including
  - 0 for the given assignment
  - An additional grade reduction for the class.
  - A filing with the Academic Dishonesty board.
- Many people failed the class as a result.

# UMass Culture

- If you cheat, you put me and a lot of other people in an awful position:
  - If I let you off the hook, I am being completely unfair to people who actually did the work, and I'm promoting the idea that it's ok.
  - If I punish you, I feel like a jerk, and you think I'm a jerk.
- The bottom line is, there is no good way to come out feeling good about a cheating incident. It creates massive stress between faculty and students. Please don't do it!

# Advice

- Everyone knows you're not supposed to cheat.
  - What people don't know is what you're supposed to do when you're desperate. Here's some advice:
- 1) If you're overloaded in the middle of the semester, consider dropping a class. Hopefully you can drop it without a "W", but even a "W" is a lot better than an "F" and a record of cheating. A "W" will not influence your grade point average.  
(I dropped the same class 4 times in grad school!)
  - 2) Take a "0" on part of the problem set. Many people who did not do part of one problem set got an A-. Some people missed a whole problem set and still got a B for the course.

# 5 Rules: What is cheating?

1. Let's start with an easy one. Don't copy any piece of the solution of any problem.
2. **Never **look at** solutions to any of the homework problems. Most people who were caught cheating last semester claimed that they only “looked at” on-line solutions. This is NOT ALLOWED.**
3. Do not look at discussions of the homework problems. These are likely to include methods for solving parts of the problem, which is cheating.
4. Don't look up pieces of the problem on Google. For example:
  - a. “Computing the derivative of softmax”
  - b. “Gradient updates for the multi-class SVM loss”.Once you've done the search, you cheated. You are likely to see something you cannot forget. You can't “unsee” the answer once you've seen it.
5. Common sense. If you look at something on the web and it made the problem easier, then you're probably cheating. To be safe, stick to class materials, TAs, and Professor.

# Questions about what is allowed

1. Question: Can I work with other students on the homeworks?  
Answer: No. Do the homeworks yourself.
2. Question: Where can I get help?
  - a. Look at the course notes
  - b. Go to optional Friday sections
  - c. Talk to the TAs
  - d. Talk to the professor
3. Can I look at on-line materials that are not part of the course?
  - a. Basically no. If you look at something and it's part of the solution, then you have cheated. So it's dangerous to go surfing around. Stick to the materials on the course web site. If there is something you want to look up, ask the TAs a question and we'll try to put materials on the course web site if it's appropriate.

# Plagiarism

- When you write your final report, there are two ways you can use material from other papers:
  - Use the general ideas from another paper with your own writing. You *\*cannot\** copy text from another paper unless you use quotation marks. Example:
    - In his famous 1915 paper, Einstein introduced the theory of general relativity [Einstein, 1915].
  - Quote a specific passage, usually because of the exact way it is worded:
    - Einstein said, “God does not place dice with the universe.” [Einstein, 1958]

# Plagiarism

- You cannot copy sentences into your writing and justify by citing the paper. This is plagiarism, whether you cite it or not.

If I Google a sentence in your paper that is not quoted, and I find it, that means you were plagiarising!

Final comment: If you don't know whether something constitutes plagiarism or cheating, ASK! If you don't ask, it will be too late.

OK.... now on to the fun stuff!



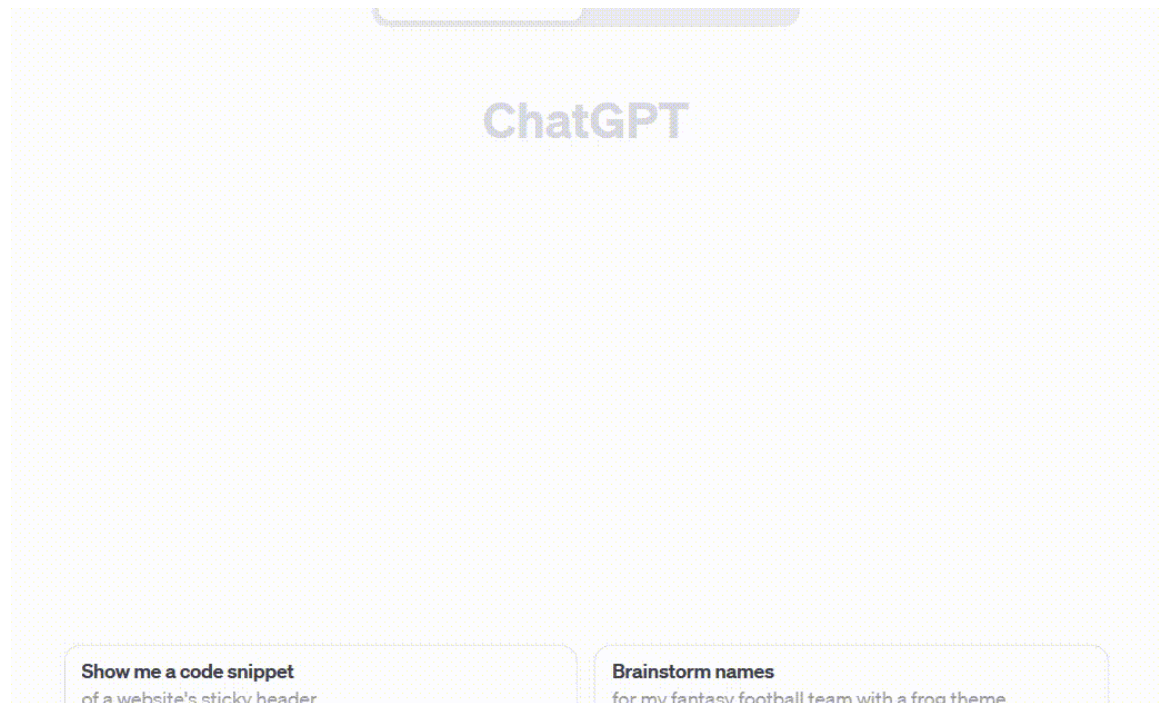
# What is Deep Learning?

Recently, OpenAI developed a **new deep learning conversation system**.

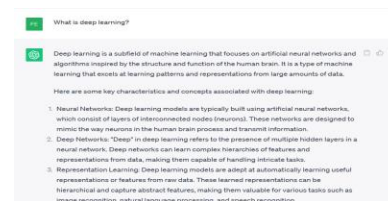


ChatGPT

# Why Deep Learning?



## ➤ Natural Language Processing



## ➤ Computer Vision



## ➤ Reinforcement Learning

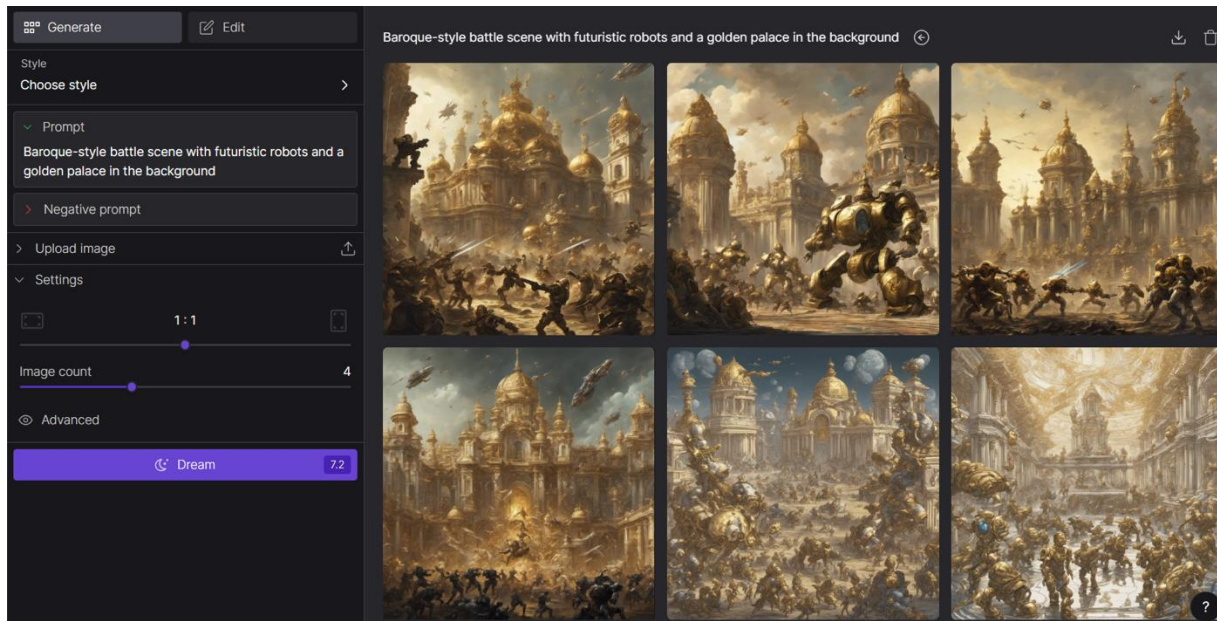


# Why Deep Learning?

## *Stable Diffusion*

stability.ai

- Generate images / Edit existing images based on the text prompt



# Why Deep Learning?

## MusicGen



- Generate music based on the text prompt

Describe your music

A light country song with guitars


Condition on a melody (optional) File or Mic

☐ file ☒ mic

🔊 Microphone

Record from microphone

Generate

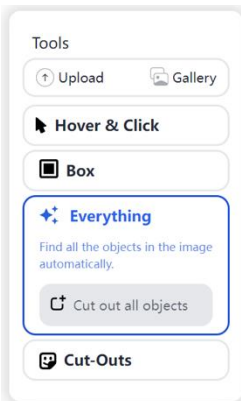


# Why Deep Learning?



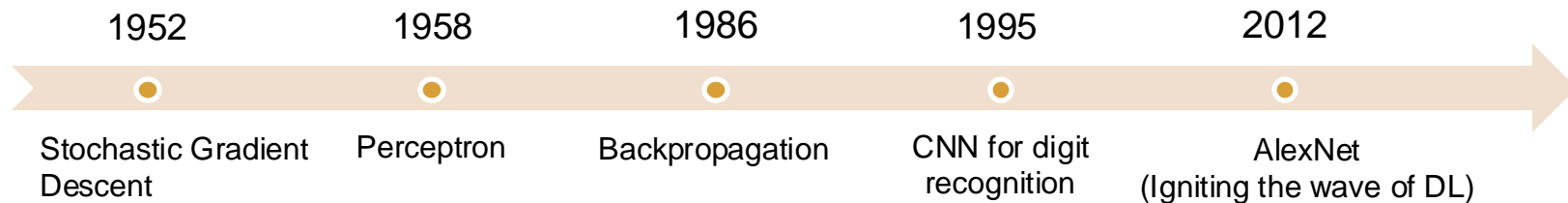
## *Segment Anything*

- Segment image based on segmentation prompt



# Why Now?

Neural networks have a history of over 70 years, but deep learning surged in the last decade.



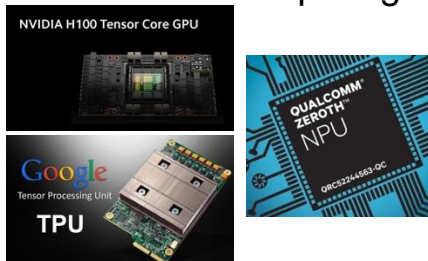
## Big data

- Large Datasets
- Advances in data collection & storage



## Hardware

- GPU acceleration
- AI-specific chips
- Distributed computing



## Software

- Open-Source Frameworks
- Active Community



# Image Classification: a core task in Computer Vision



(assume given set of discrete labels)  
{dog, cat, truck, plane, ...}



cat

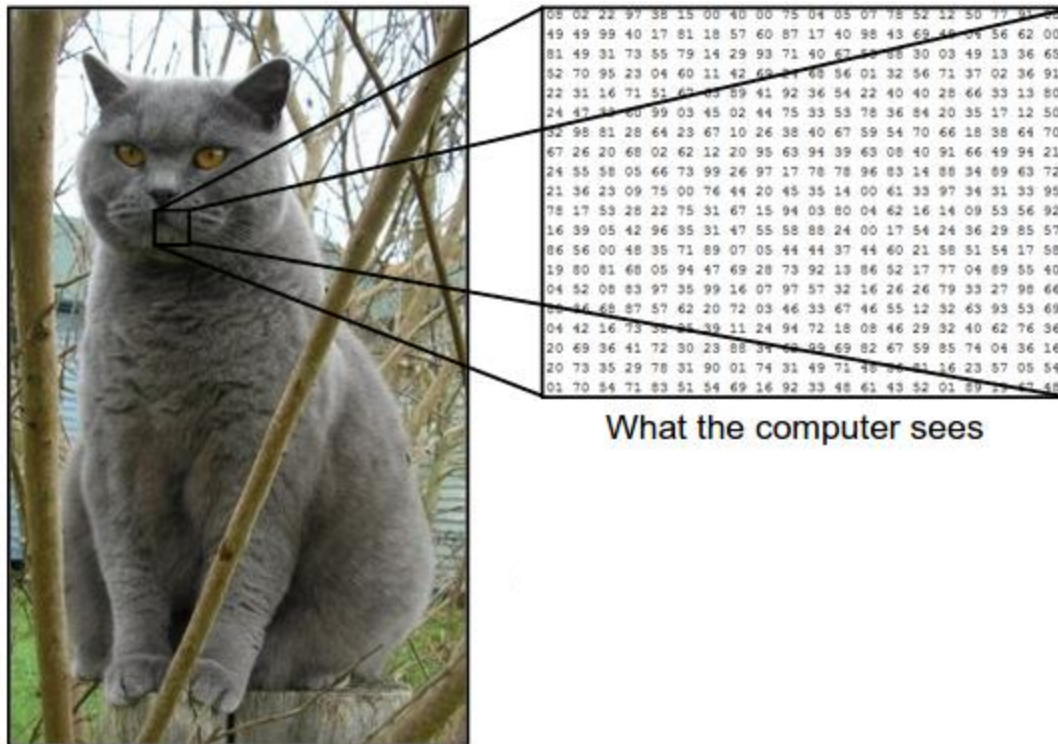


# The problem: *semantic gap*

Images are represented as  
3D arrays of numbers, with  
integers between  $[0, 255]$ .

E.g.  
 $300 \times 100 \times 3$

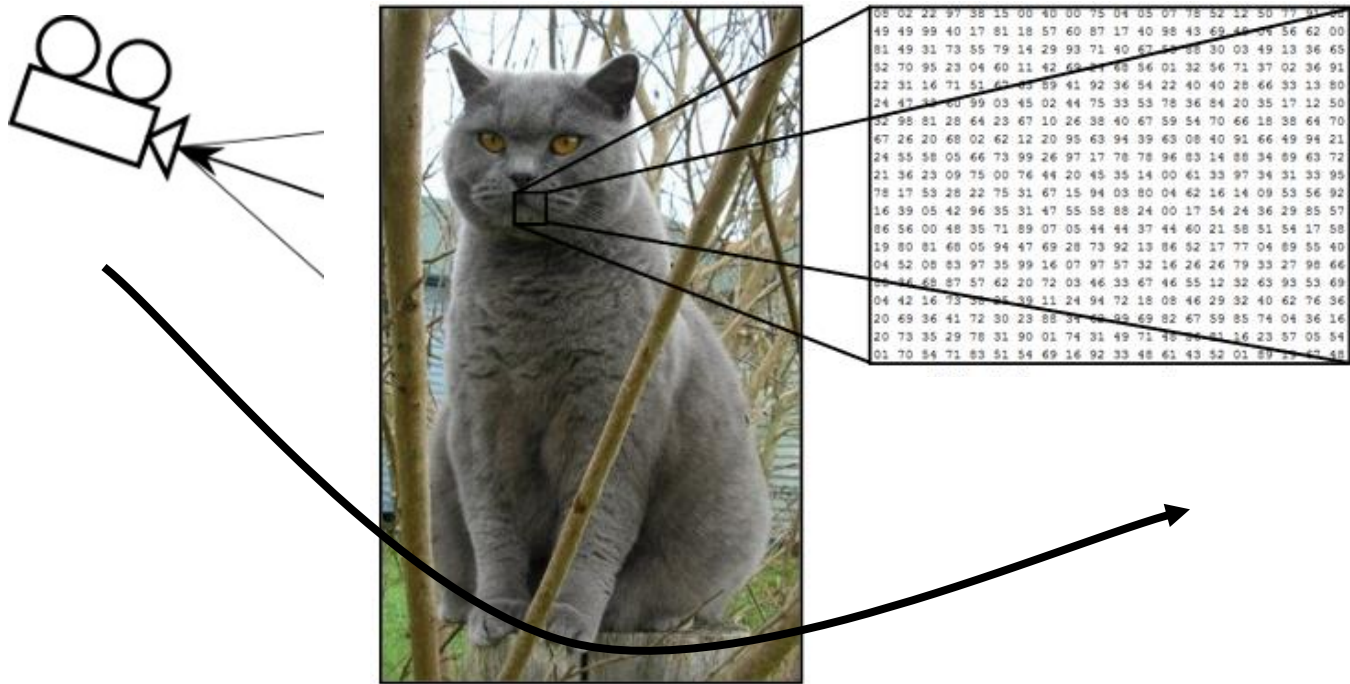
(3 for 3 color channels RGB)



What the computer sees



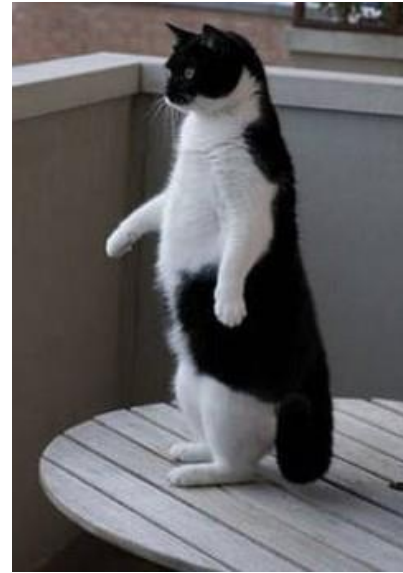
# Challenges: Viewpoint Variation



# Challenges: Illumination



# Challenges: Deformation



# Challenges: Occlusion





# Challenges: Background clutter



# Challenges: Intraclass variation



# An image classifier

```
def predict(image):  
    # ????  
    return class_label
```

Unlike e.g. sorting a list of numbers,

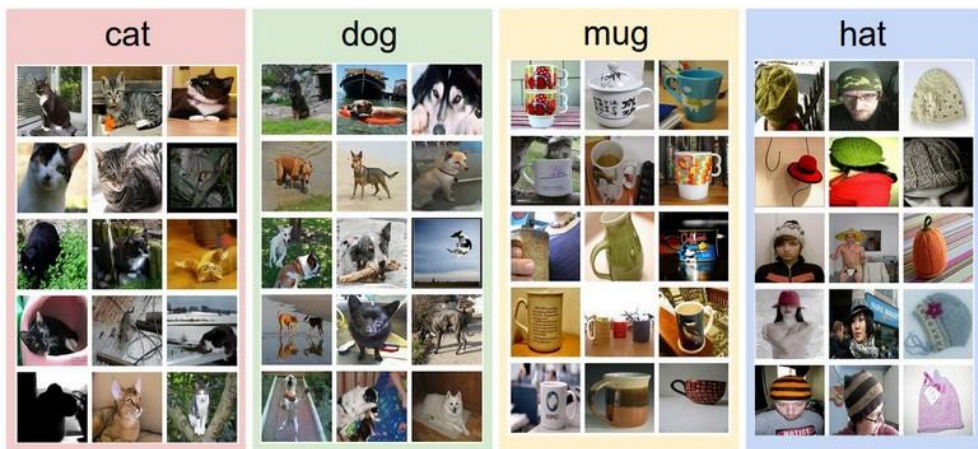
**no obvious way** to hand-code the algorithm for recognizing a cat, or other classes.

# Data-driven approach:

1. Collect a dataset of images and labels
2. Use Machine Learning to train an image classifier
3. Evaluate the classifier on a withheld set of test images

```
def train(train_images, train_labels):  
    # build a model for images -> labels...  
    return model  
  
def predict(model, test_images):  
    # predict test_labels using the model...  
    return test_labels
```

Example training set





# First classifier: **Nearest Neighbor Classifier**

```
def train(train_images, train_labels):  
    # build a model for images -> labels...  
    return model  
  
def predict(model, test_images):  
    # predict test_labels using the model...  
    return test_labels
```

Remember all training  
images and their labels

Predict the label of the  
most similar training image

# Example dataset: **CIFAR-10**

**10** labels

**50,000** training images, each image is tiny: 32x32

**10,000** test images.

airplane



automobile



bird



cat



deer



dog



frog



horse



ship



truck



# Example dataset: **CIFAR-10**

**10** labels

**50,000** training images

**10,000** test images.

airplane



automobile



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cat



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dog



frog



horse



ship



truck



For every test image (first column),  
examples of nearest neighbors in rows



How do we compare the images? What is the **distance metric**?

**L1 distance:**

$$d_1(I_1, I_2) = \sum_p |I_1^p - I_2^p|$$

test image					training image					pixel-wise absolute value differences				
56	32	10	18		10	20	24	17		46	12	14	1	
90	23	128	133		8	10	89	100		82	13	39	33	
24	26	178	200	-	12	16	178	170	=	12	10	0	30	
2	0	255	220		4	32	233	112		2	32	22	108	→ 456

## Nearest Neighbor classifier

```
import numpy as np

class NearestNeighbor:
    def __init__(self):
        pass

    def train(self, X, y):
        """ X is N x D where each row is an example. Y is 1-dimension of size N """
        # the nearest neighbor classifier simply remembers all the training data
        self.Xtr = X
        self.ytr = y

    def predict(self, X):
        """ X is N x D where each row is an example we wish to predict label for """
        num_test = X.shape[0]
        # lets make sure that the output type matches the input type
        Ypred = np.zeros(num_test, dtype = self.ytr.dtype)

        # loop over all test rows
        for i in xrange(num_test):
            # find the nearest training image to the i'th test image
            # using the L1 distance (sum of absolute value differences)
            distances = np.sum(np.abs(self.Xtr - X[i,:]), axis = 1)
            min_index = np.argmin(distances) # get the index with smallest distance
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example

        return Ypred
```



## Nearest Neighbor classifier

```
import numpy as np
```

```
class NearestNeighbor:
```

```
    def __init__(self):
```

```
        pass
```

```
    def train(self, X, y):
```

```
        """ X is N x D where each row is an example. Y is 1-dimension of size N """
```

```
        # the nearest neighbor classifier simply remembers all the training data
```

```
        self.Xtr = X
```

```
        self.ytr = y
```

```
    def predict(self, X):
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        num_test = X.shape[0]
```

```
        # lets make sure that the output type matches the input type
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```
        Ypred = np.zeros(num_test, dtype = self.ytr.dtype)
```

```
        # loop over all test rows
```

```
        for i in xrange(num_test):
```

```
            # find the nearest training image to the i'th test image
```

```
            # using the L1 distance (sum of absolute value differences)
```

```
            distances = np.sum(np.abs(self.Xtr - X[i,:]), axis = 1)
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            min_index = np.argmin(distances) # get the index with smallest distance
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```
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example
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```
        return Ypred
```

remember the training data

## Nearest Neighbor classifier

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            min_index = np.argmin(distances) # get the index with smallest distance
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example

        return Ypred
```

- for every test image:
- find nearest train image with L1 distance
  - predict the label of nearest training image

```
import numpy as np
```

```
class NearestNeighbor:
```

```
    def __init__(self):  
        pass
```

```
    def train(self, X, y):  
        """ X is N x D where each row is an example. Y is 1-dimension of size N """  
        # the nearest neighbor classifier simply remembers all the training data  
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            min_index = np.argmin(distances) # get the index with smallest distance  
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example
```

```
    return Ypred
```

## Nearest Neighbor classifier

**Q: how does the classification speed depend on the size of the training data?**

**Do a poll...**



```
import numpy as np
```

```
class NearestNeighbor:
```

```
    def __init__(self):  
        pass
```

```
    def train(self, X, y):
```

```
        """ X is N x D where each row is an example. Y is 1-dimension of size N """  
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        Ypred = np.zeros(num_test, dtype = self.ytr.dtype)
```

```
        # loop over all test rows
```

```
        for i in xrange(num_test):
```

```
            # find the nearest training image to the i'th test image
```

```
            # using the L1 distance (sum of absolute value differences)
```

```
            distances = np.sum(np.abs(self.Xtr - X[i,:]), axis = 1)
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            min_index = np.argmin(distances) # get the index with smallest distance
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```
            Ypred[i] = self.ytr[min_index] # predict the label of the nearest example
```

```
        return Ypred
```

## Nearest Neighbor classifier

Q: how does the classification speed depend on the size of the training data?  
**linearly :(**

This is **backwards**:

- test time performance is usually much more important in practice.
- CNNs flip this: expensive training, cheap test evaluation

The choice of distance is a **hyperparameter**  
common choices:

L1 (Manhattan) distance

$$d_1(I_1, I_2) = \sum_p |I_1^p - I_2^p|$$

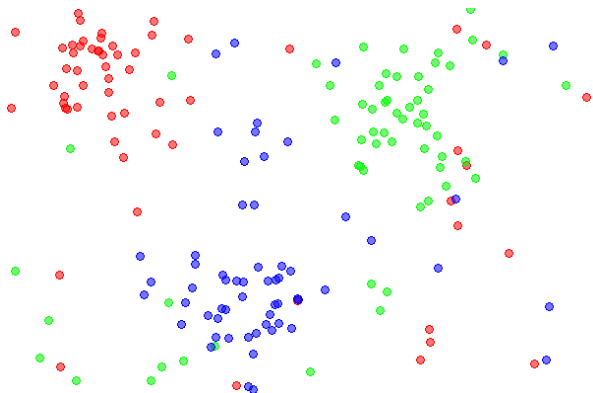
L2 (Euclidean) distance

$$d_2(I_1, I_2) = \sqrt{\sum_p (I_1^p - I_2^p)^2}$$

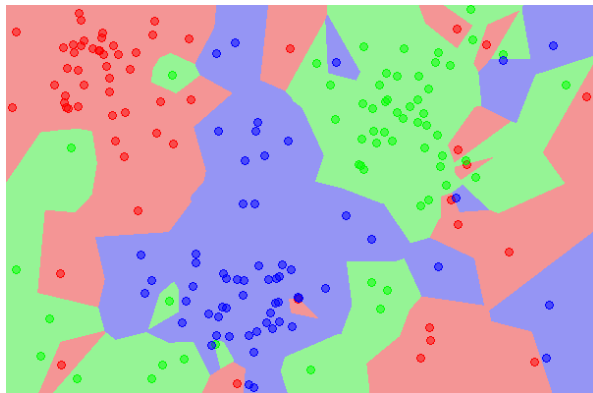
# k-Nearest Neighbor

find the k nearest images, have them vote on the label  
(Do a poll)

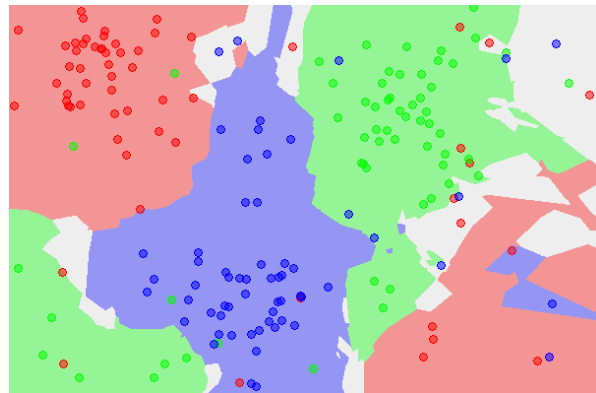
the data



NN classifier



5-NN classifier



[http://en.wikipedia.org/wiki/K-nearest\\_neighbors\\_algorithm](http://en.wikipedia.org/wiki/K-nearest_neighbors_algorithm)

## Example dataset: **CIFAR-10**

**10** labels

**50,000** training images

**10,000** test images.

airplane



automobile



bird



cat



deer



dog



frog



horse



ship



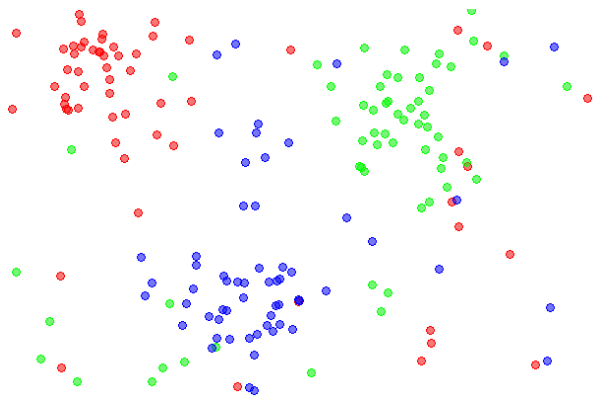
truck



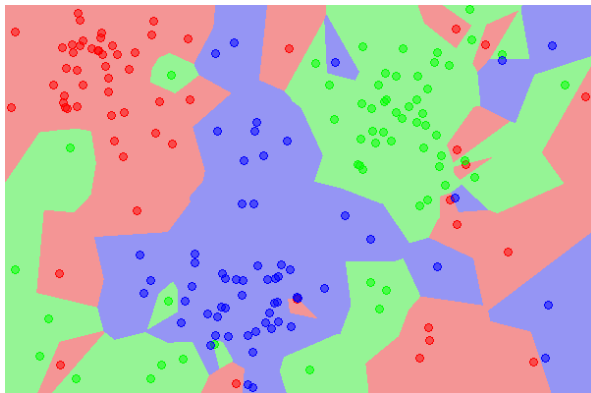
For every test image (first column),  
examples of nearest neighbors in rows



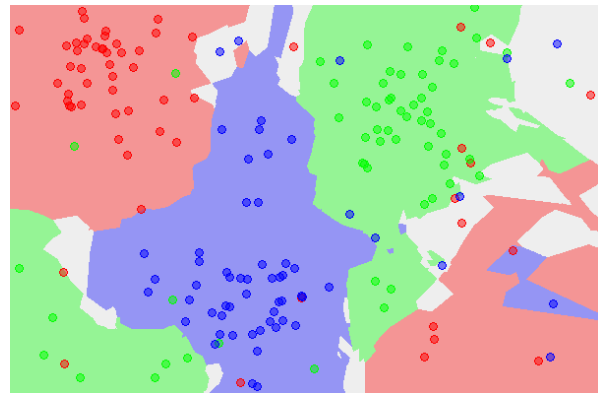
the data



NN classifier

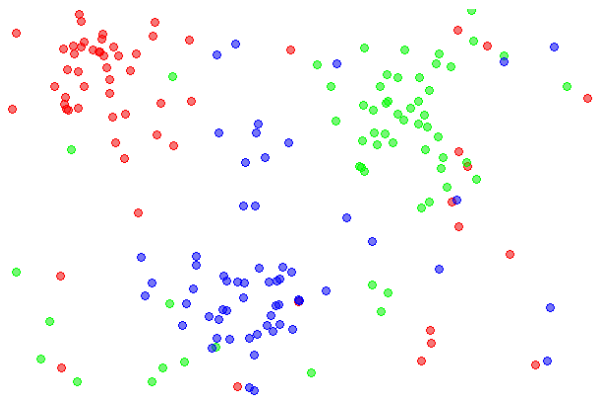


5-NN classifier

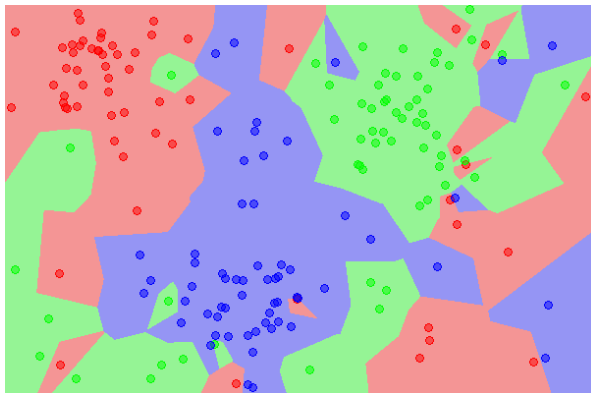


Q: what is the accuracy of the nearest neighbor classifier on the training data, when using the Euclidean distance?

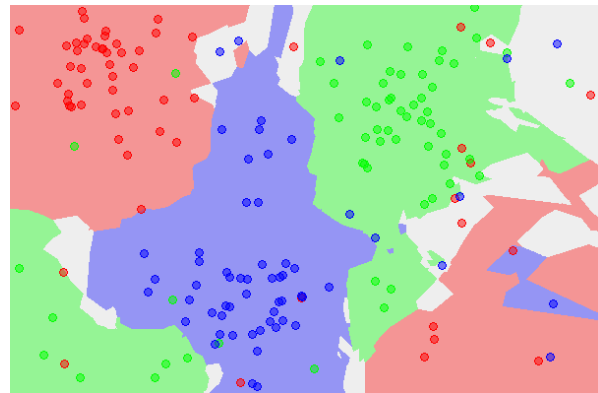
the data



NN classifier



5-NN classifier



Q2: what is the accuracy of the **k**-nearest neighbor classifier on the training data?