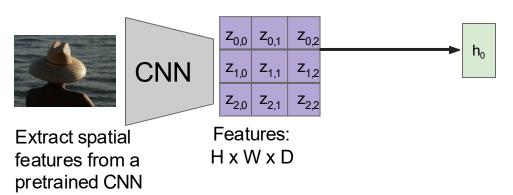
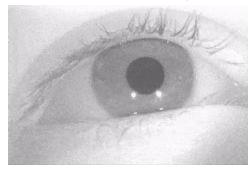
Lecture 17: Attention and Transformers

Attention idea: New context vector at every time step.

Each context vector will attend to different image regions

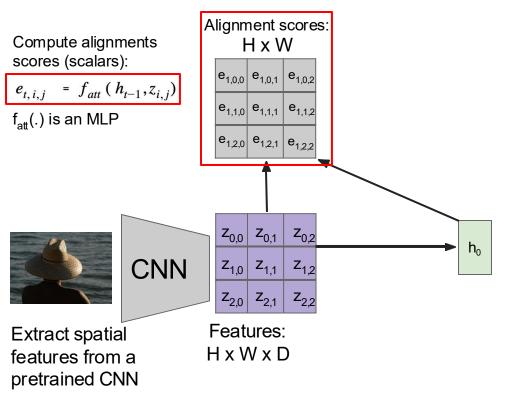


gif source

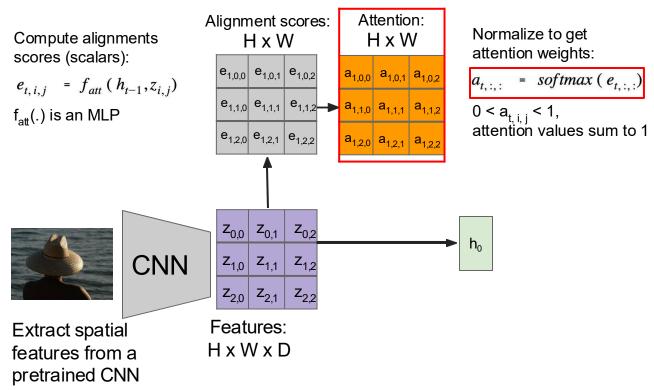


Attention Saccades in humans

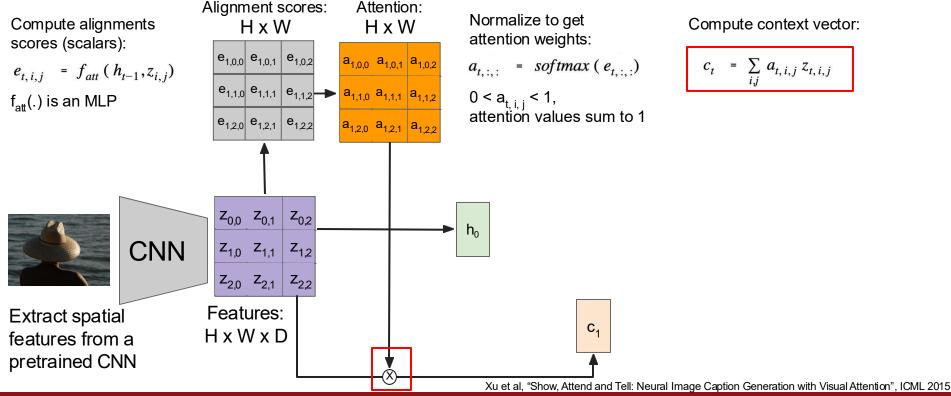
Xu et al, "Show, Attend and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015



Xu et al, "Show, Attend and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015



Xu et al, "Show, Attend and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015

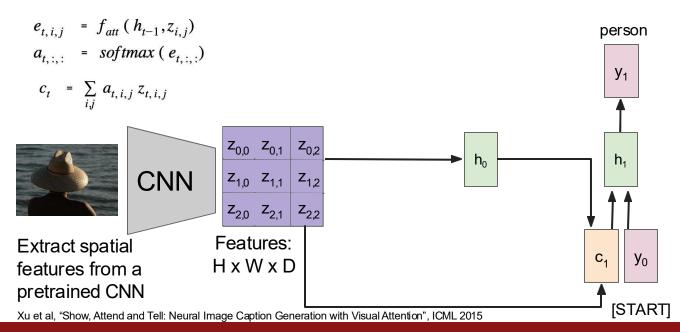


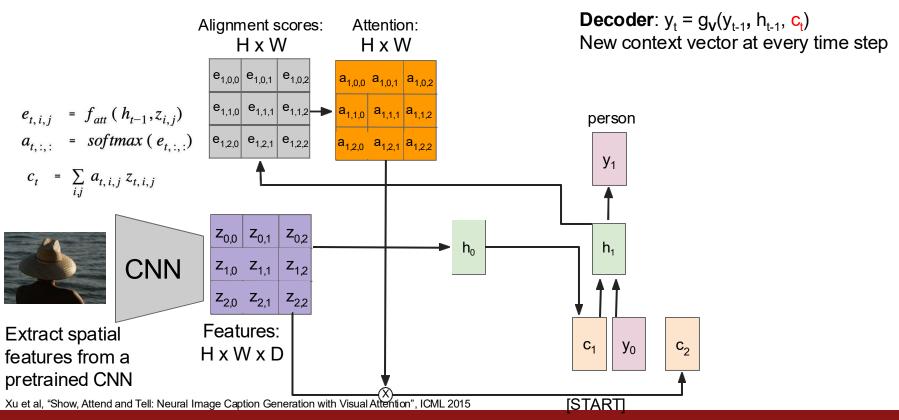
Compute context vector:

$$c_t = \sum_{i,j} a_{t,i,j} z_{t,i,j}$$

Each timestep of decoder uses a different context vector that looks at different parts of the input image

Decoder: $y_t = g_v(y_{t-1}, h_{t-1}, c_t)$ New context vector at every time step

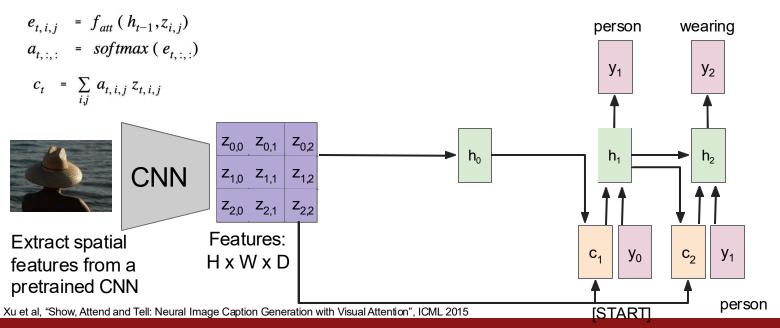




Chuang Gan and TAs

Each timestep of decoder uses a different context vector that looks at different parts of the input image

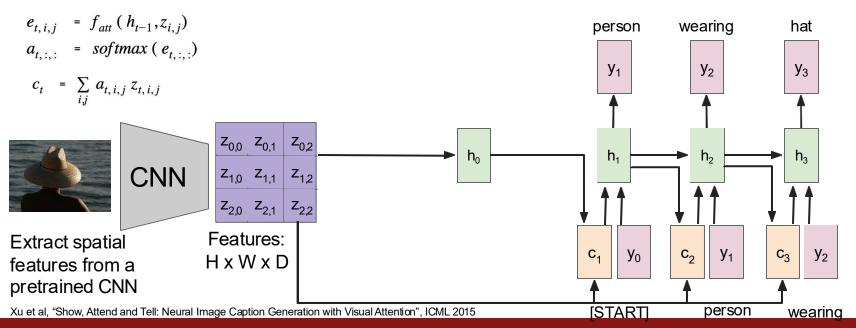
Decoder: $y_t = g_v(y_{t-1}, h_{t-1}, c_t)$ New context vector at every time step



Chuang Gan and TAs

Each timestep of decoder uses a different context vector that looks at different parts of the input image

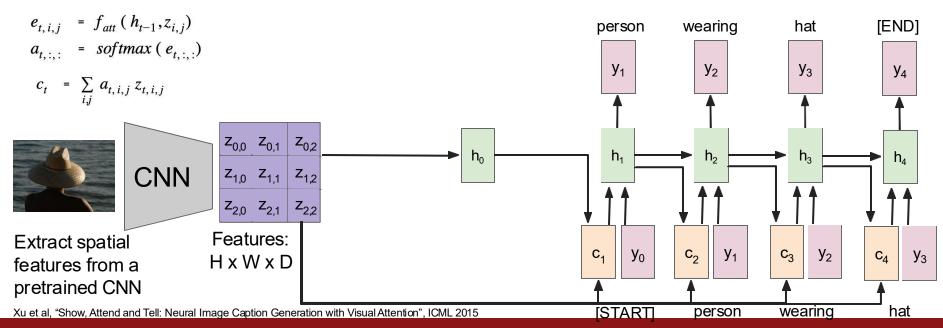
Decoder: $y_t = g_v(y_{t-1}, h_{t-1}, c_t)$ New context vector at every time step



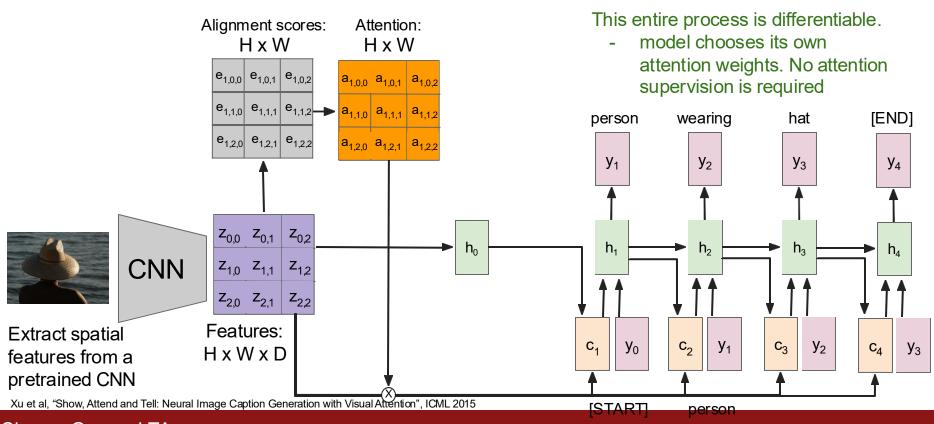
Chuang Gan and TAs

Each timestep of decoder uses a different context vector that looks at different parts of the input image

Decoder: $y_t = g_v(y_{t-1}, h_{t-1}, c_t)$ New context vector at every time step

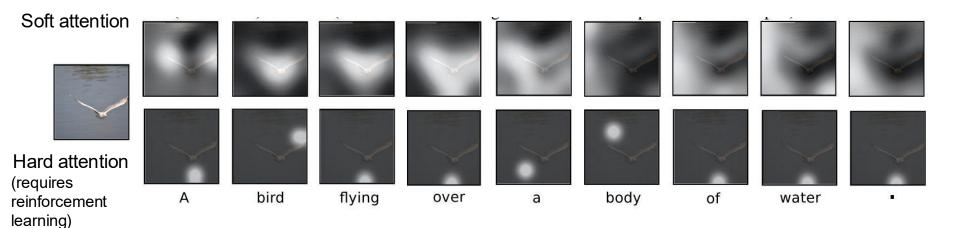


Chuang Gan and TAs



Chuang Gan and TAs

Image Captioning with Attention



Xu et al, "Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015
Figure copyright Kelvin Xu, Jimmy Lei Ba, Jamie Kiros, Kyunghyun Cho, Aaron Courville, Ruslan Salakhutdinov, Richard S. Zemel, and Yoshua Benchio, 2015. Reproduced with permission.

Image Captioning with Attention



A woman is throwing a frisbee in a park.



A dog is standing on a hardwood floor.



A <u>stop</u> sign is on a road with a mountain in the background.



A little <u>girl</u> sitting on a bed with a teddy bear.

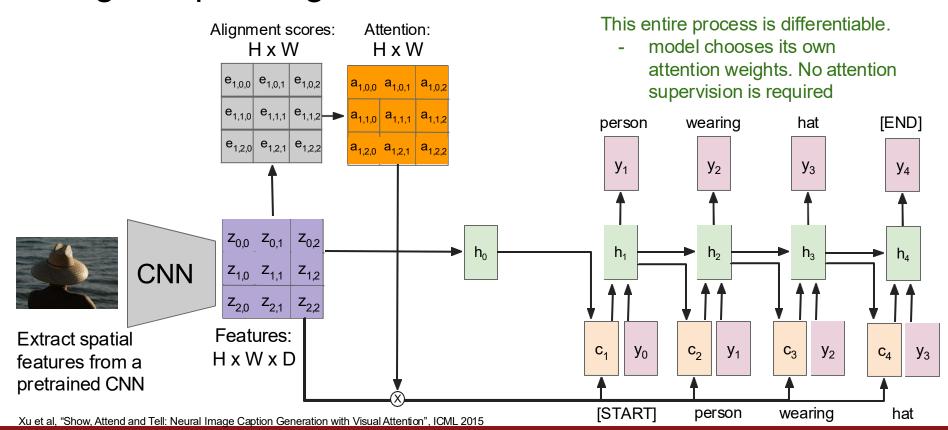


A group of <u>people</u> sitting on a boat in the water.

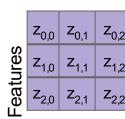


A giraffe standing in a forest with trees in the background.

Xu et al, "Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015
Figure copyright Kelvin Xu, Jimmy Lei Ba, Jamie Kiros, Kyunghyun Cho, Aaron Courville, Ruslan Salakhutdinov, Richard S. Zemel, and Yoshua Benchio, 2015. Reproduced with permission.



Chuang Gan and TAs



Inputs:

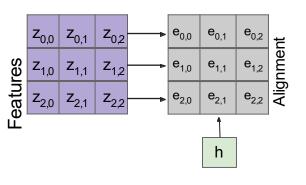
Features: **z** (shape: H x W x D)

Query: **h** (shape: D)

h

Operations:

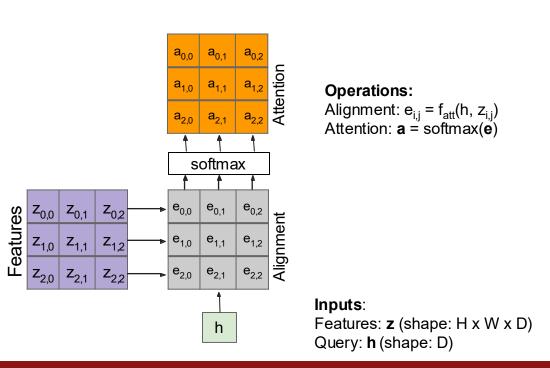
Alignment: $e_{i,j} = f_{att}(h, z_{i,j})$

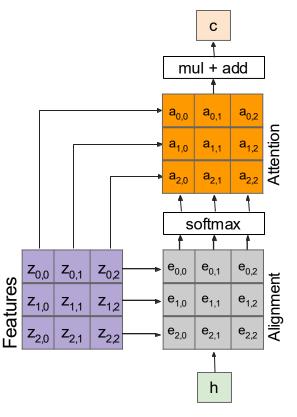


Inputs:

Features: **z** (shape: H x W x D)

Query: **h** (shape: D)





Outputs:

context vector: **c** (shape: D)

Operations:

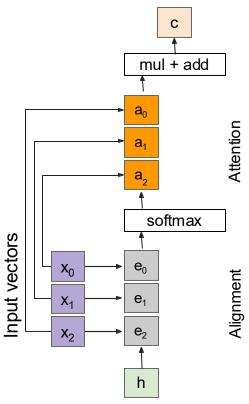
Alignment: $e_{i,j} = f_{att}(h, z_{i,j})$ Attention: $\mathbf{a} = \text{softmax}(\mathbf{e})$

Output: $\mathbf{c} = \sum_{i,j} a_{i,j} z_{i,j}$

Inputs:

Features: **z** (shape: H x W x D)

Query: h (shape: D)



Outputs:

context vector: **c** (shape: D)

Operations:

Alignment: $e_i = f_{att}(h, x_i)$ Attention: **a** = softmax(**e**) Output: $\mathbf{c} = \sum_i a_i x_i$

Inputs:

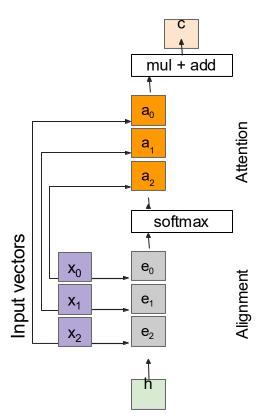
Input vectors: **x** (shape: N x D) Query: h (shape: D)

Attention operation is **permutation invariant.**

- Doesn't care about ordering of the features
 - Stretch H x W = N into N vectors

Chuang Gan and TAs

Some slides kindly provided by Fei-Fei Li, Jiajun Wu, Erik Learned-Miller



Outputs:

context vector: **c** (shape: D)

Operations:

Alignment: $e_i = h \cdot x_i$ Attention: $\mathbf{a} = \text{softmax}(\mathbf{e})$ Output: $\mathbf{c} = \sum_i a_i x_i$

Innuts

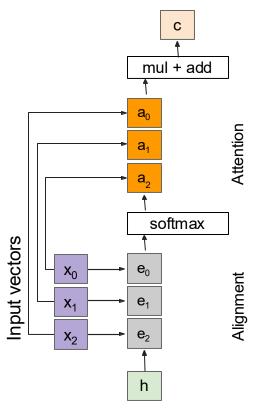
Input vectors: **x** (shape: N x D)

Query: h (shape: D)

Change f_{att}(.) to a simple dot product

 only works well with key & value transformation trick (will mention in a few slides)

Inputs:



Outputs:

context vector: **c** (shape: D)

Operations:

Alignment: $\mathbf{e}_i = \mathbf{h} \cdot \mathbf{x}_i / \sqrt{D}$ Attention: $\mathbf{a} = \text{softmax}(\mathbf{e})$ Output: $\mathbf{c} = \sum_i \mathbf{a}_i \mathbf{x}_i$

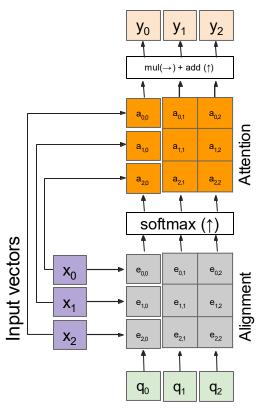
Inputs:

Input vectors: **x** (shape: N x D)

Query: h (shape: D)

Change f_{att}(.) to a scaled simple dot product

- Larger dimensions means more terms in the dot product sum.
- So, the variance of the logits is higher.
 Large magnitude vectors will produce much higher logits.
- So, the post-softmax distribution has lower-entropy, assuming logits are IID.
- Ultimately, these large magnitude vectors will cause softmax to peak and assign very little weight to all others
- Divide by √D to reduce effect of large magnitude vectors



Outputs:

context vectors: **y** (shape: D)

Operations:

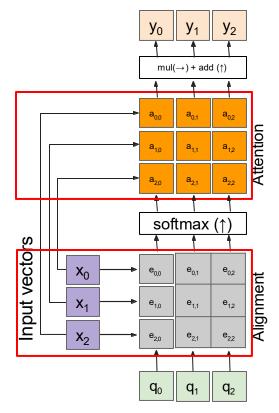
Alignment: $e_{i,j} = q_j \cdot x_i / \sqrt{D}$ Attention: $\mathbf{a} = \text{softmax}(\mathbf{e})$ Output: $y_i = \sum_i a_{i,i} x_i$

Multiple query vectors

 each query creates a new output context vector

Inputs:

Input vectors: **x** (shape: N x D) Queries: **q** (shape: M x D) Multiple query vectors



Outputs:

context vectors: **y** (shape: D)

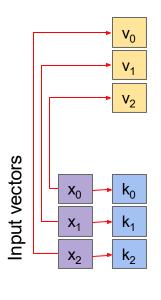
Operations:

Alignment: $e_{i,j} = q_j \cdot x_i / \sqrt{D}$ Attention: $\mathbf{a} = \text{softmax}(\mathbf{e})$ Output: $y_i = \sum_i a_{i,i} x_i$ Notice that the input vectors are used for both the alignment as well as the attention calculations

 We can add more expressivity to the layer by adding a different FC layer before each of the two steps.

Inputs:

Input vectors: **x** (shape: N x D) Queries: **q** (shape: M x D)



Operations:

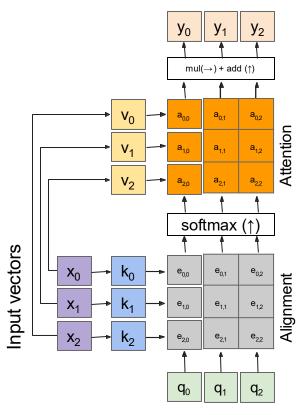
Key vectors: $\mathbf{k} = \mathbf{x}\mathbf{W}_{\mathbf{k}}$ Value vectors: $\mathbf{v} = \mathbf{x}\mathbf{W}_{\mathbf{v}}$ Notice that the input vectors are used for both the alignment as well as the attention calculations

 We can add more expressivity to the layer by adding a different FC layer before each of the two steps.



Inputs:

Input vectors: \mathbf{x} (shape: N x D) Queries: \mathbf{q} (shape: M x \mathbf{D}_k)



Outputs:

context vectors: **y** (shape: D,)

Operations:

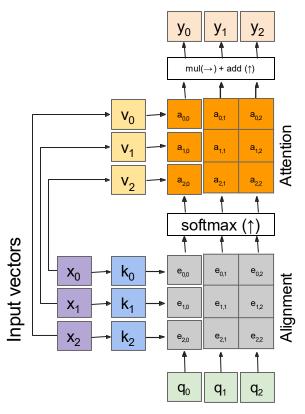
Key vectors: $\mathbf{k} = \mathbf{x} \mathbf{W}_{\mathbf{k}}$ Value vectors: $\mathbf{v} = \mathbf{x} \mathbf{W}_{\mathbf{v}}$ Alignment: $\mathbf{e}_{i,j} = \mathbf{q}_{j} \cdot \mathbf{k}_{i} / \sqrt{D}$ Attention: $\mathbf{a} = \operatorname{softmax}(\mathbf{e})$ Output: $\mathbf{y}_{i} = \sum_{i} \mathbf{a}_{i,i} \mathbf{v}_{i}$ The input and output dimensions can now change depending on the key and value FC layers

Notice that the input vectors are used for both the alignment as well as the attention calculations.

 We can add more expressivity to the layer by adding a different FC layer before each of the two steps.

Inputs:

Input vectors: \mathbf{x} (shape: N x D) Queries: \mathbf{q} (shape: M x \mathbf{D}_k)



Outputs:

context vectors: \mathbf{y} (shape: D_{v})

Operations:

Key vectors: $\mathbf{k} = \mathbf{x} \mathbf{W}_{\mathbf{k}}$ Value vectors: $\mathbf{v} = \mathbf{x} \mathbf{W}_{\mathbf{v}}$ Alignment: $\mathbf{e}_{i,j} = \mathbf{q}_{j} \cdot \mathbf{k}_{i} / \sqrt{D}$ Attention: $\mathbf{a} = \operatorname{softmax}(\mathbf{e})$ Output: $\mathbf{y}_{i} = \sum_{i} \mathbf{a}_{i,i} \mathbf{v}_{i}$

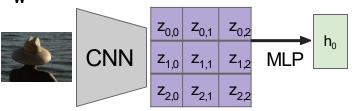
Inputs:

Input vectors: \mathbf{x} (shape: N x D) Queries: \mathbf{q} (shape: M x \mathbf{p}_k) Recall that the query vector was a function of the input vectors

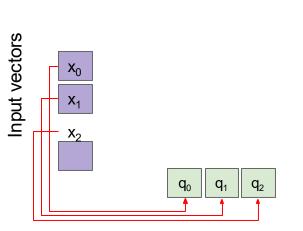
Encoder: $h_0 = f_W(z)$

where **z** is spatial CNN features

f_w(.) is an MLP



Self attention layer



Operations:

Key vectors: $\mathbf{k} = \mathbf{x} \mathbf{W}_{\mathbf{k}}$ Value vectors: $\mathbf{v} = \mathbf{x} \mathbf{W}_{\mathbf{v}}$ Query vectors: $\mathbf{q} = \mathbf{x} \mathbf{W}_{\mathbf{q}}$ Alignment: $\mathbf{e}_{\mathbf{i},\mathbf{j}} = \mathbf{q}_{\mathbf{j}} \cdot \mathbf{k}_{\mathbf{i}} / \sqrt{D}$ Attention: $\mathbf{a} = \text{softmax}(\mathbf{e})$

Output: $y_j = \sum_i a_{i,j} \frac{v_i}{v_i}$

We can calculate the query vectors from the input vectors, therefore, defining a "self-attention" layer.

Instead, query vectors are calculated using a FC layer.

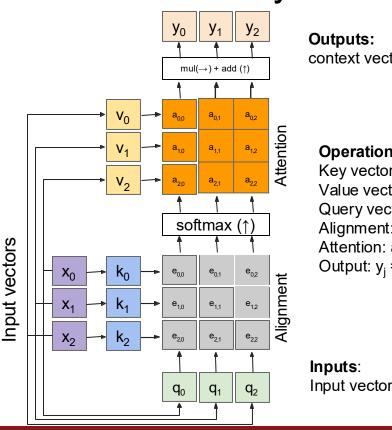
No input query vectors anymore

Inputs:

Input vectors: **x** (shape: N x D)

Queries: **q** (shape: M x D_k)

Self attention layer



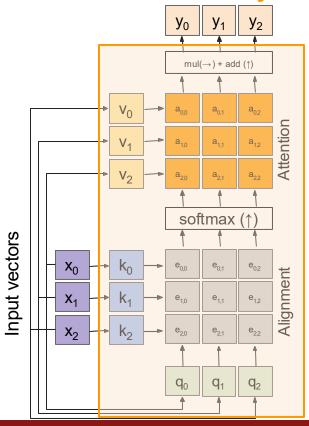
context vectors: **y** (shape: D_y)

Operations:

Key vectors: $\mathbf{k} = \mathbf{x} \mathbf{W}_{\mathbf{k}}$ Value vectors: $\mathbf{v} = \mathbf{x} \mathbf{W}_{\mathbf{v}}$ Query vectors: $\mathbf{q} = \mathbf{x} \mathbf{W}_{\mathbf{q}}$ Alignment: $\mathbf{e}_{i,j} = \mathbf{q}_j \cdot \mathbf{k}_i / \sqrt{\mathbf{D}}$ Attention: $\mathbf{a} = \mathrm{softmax}(\mathbf{e})$ Output: $y_i = \sum_i a_{i,i} v_i$

Input vectors: **x** (shape: N x D)

Self attention layer - attends over sets of inputs

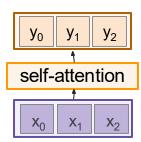


Outputs:

context vectors: \mathbf{y} (shape: D_{v})

Operations:

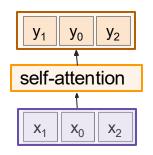
Key vectors: $\mathbf{k} = \mathbf{x} \mathbf{W}_{\mathbf{k}}$ Value vectors: $\mathbf{v} = \mathbf{x} \mathbf{W}_{\mathbf{v}}$ Query vectors: $\mathbf{q} = \mathbf{x} \mathbf{W}_{\mathbf{q}}$ Alignment: $\mathbf{e}_{i,j} = \mathbf{q}_j \cdot \mathbf{k}_i / \sqrt{D}$ Attention: $\mathbf{a} = \text{softmax}(\mathbf{e})$ Output: $\mathbf{y}_i = \sum_i \mathbf{a}_{i,i} \mathbf{v}_i$

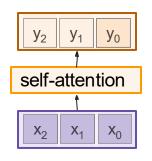


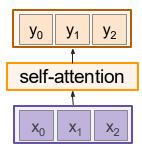
Inputs:

Input vectors: **x** (shape: N x D)

Self attention layer - attends over sets of inputs



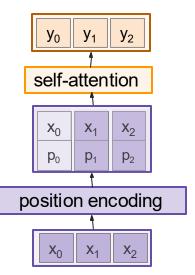




Permutation equivariant

Self-attention layer doesn't care about the orders of the inputs!

Problem: How can we encode ordered sequences like language or spatially ordered image features?



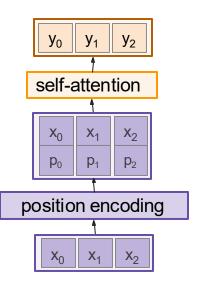
Concatenate/add special positional encoding p_i to each input vector x_i

We use a function *pos*: $N \rightarrow R^d$ to process the position j of the vector into a d-dimensional vector

So, $p_i = pos(j)$

Desiderata of pos(.):

- 1. It should output a **unique** encoding for each time-step (word's position in a sentence)
- Distance between any two time-steps should be consistent across sentences with different lengths.
- 3. Our model should generalize to **longer** sentences without any efforts. Its values should be bounded.
- 4. It must be **deterministic**.



Concatenate special positional encoding \mathbf{p}_i to each input vector \mathbf{x}_i

We use a function *pos*: $N \rightarrow \mathbb{R}^d$ to process the position j of the vector into a d-dimensional vector

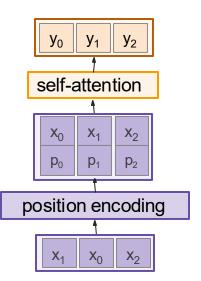
So,
$$p_i = pos(j)$$

Options for *pos*(.)

- 1. Learn a lookup table:
 - Learn parameters to use for pos(t) for t ε [0, T)
 - Lookup table contains T x d parameters.

Desiderata of pos(.):

- 1. It should output a **unique** encoding for each time-step (word's position in a sentence)
- **2. Distance** between any two time-steps should be consistent across sentences with different lengths.
- 3. Our model should generalize to **longer** sentences without any efforts. Its values should be bounded.
- 4. It must be **deterministic**.



Concatenate special positional encoding p_i to each input vector x_i

We use a function *pos*: $N \rightarrow R^d$ to process the position j of the vector into a d-dimensional vector

So,
$$p_i = pos(j)$$

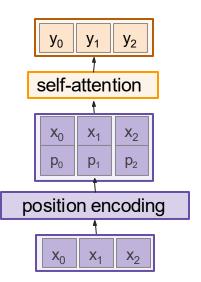
Options for pos(.)

- 1. Learn a lookup table:
 - Learn parameters to use for pos(t) for t ε [0, T)
 - Lookup table contains T x d parameters.
- 2. Design a fixed function with the desiderata

$$\mathbf{p(t)} = egin{bmatrix} \sin(\omega_1.t) \\ \cos(\omega_1.t) \\ \sin(\omega_2.t) \\ \cos(\omega_2.t) \\ & \vdots \\ \sin(\omega_{d/2}.t) \\ \cos(\omega_{d/2}.t) \end{bmatrix}_d$$

where
$$\omega_k = \frac{1}{10000^{2k/6}}$$

Vaswani et al, "Attention is all you need", NeurIPS 2017



Concatenate special positional encoding \mathbf{p}_i to each input vector \mathbf{x}_i

We use a function *pos*: $N \rightarrow R^d$ to process the position j of the vector into a d-dimensional vector

So,
$$p_i = pos(j)$$

Options for pos(.)

- Learn a lookup table:
 - Learn parameters to use for pos(t) for t ε [0, T)
 - Lookup table contains T x d parameters.
- 2. Design a fixed function with the desiderata

$\mathbf{p(t)} = egin{bmatrix} \sin(\omega_1.t) \ \cos(\omega_1.t) \ & \sin(\omega_2.t) \ & \cos(\omega_2.t) \ & \vdots \ & \sin(\omega_{d/2}.t) \ & \cos(\omega_{d/2}.t) \ \end{pmatrix}$

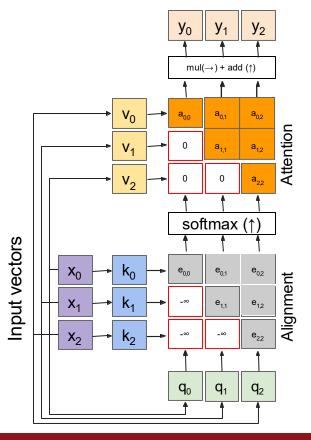
Intuition:

where
$$\omega_k = \frac{1}{10000^{2k/d}}$$

image source

Vaswani et al, "Attention is all you need", NeurIPS 2017

Masked self-attention layer



Outputs:

context vectors: \mathbf{y} (shape: D_{v})

Operations:

Key vectors: $\mathbf{k} = \mathbf{x} \mathbf{W}_{\mathbf{k}}$ Value vectors: $\mathbf{v} = \mathbf{x} \mathbf{W}_{\mathbf{v}}$ Query vectors: $\mathbf{q} = \mathbf{x} \mathbf{W}_{\mathbf{q}}$ Alignment: $\mathbf{e}_{i,j} = \mathbf{q}_j \cdot \mathbf{k}_i / \sqrt{D}$ Attention: $\mathbf{a} = \operatorname{softmax}(\mathbf{e})$ Output: $\mathbf{y}_i = \sum_i \mathbf{a}_{i,i} \mathbf{v}_i$

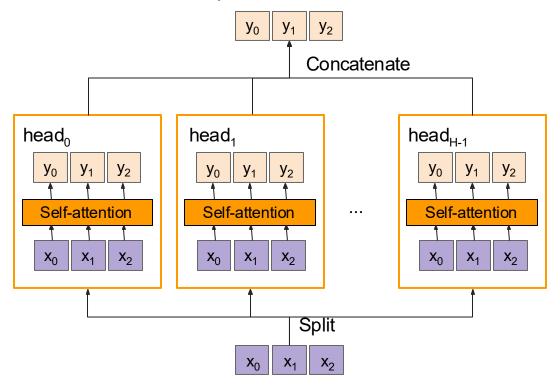
Inputs:

Input vectors: **x** (shape: N x D)

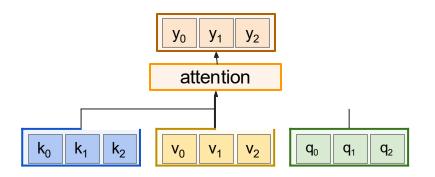
- Prevent vectors from looking at future vectors.
- Manually set alignment scores to -infinity

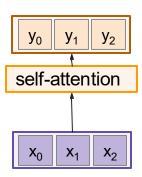
Multi-head self-attention layer

- Multiple self-attention heads in parallel



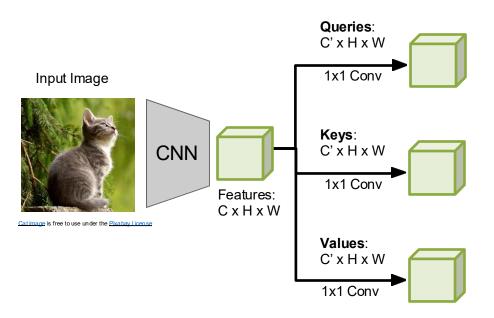
General attention versus self-attention

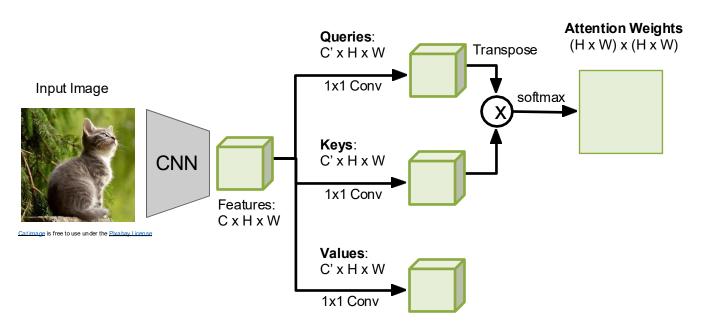


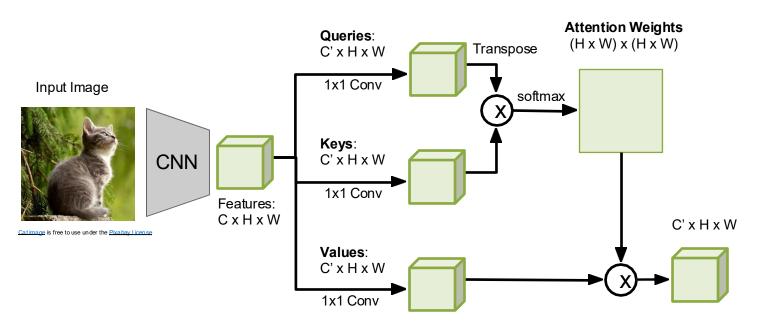


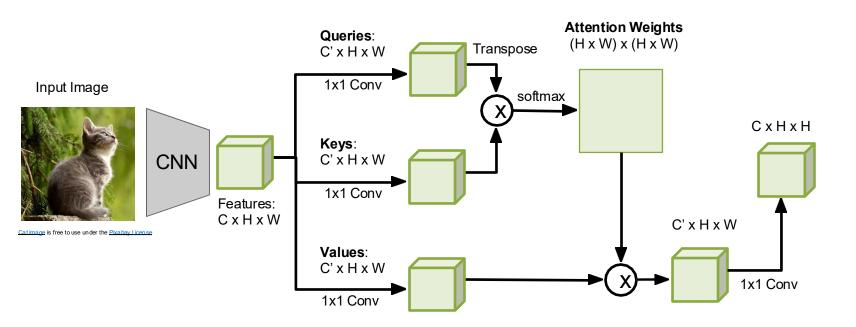
Input Image





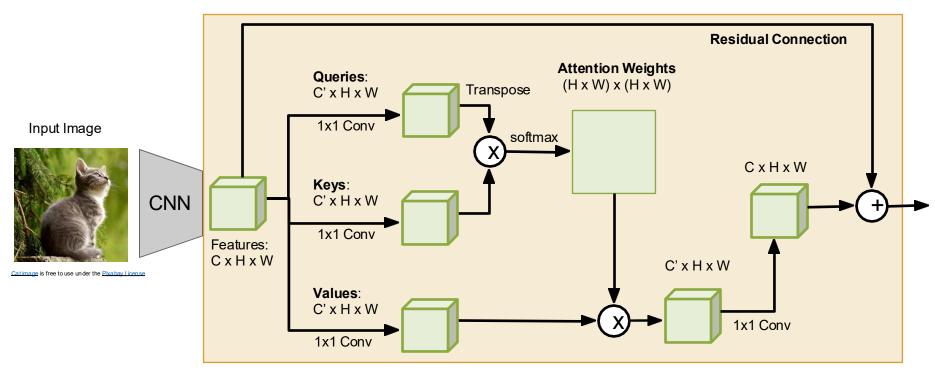






Zhang et al, "Self-Attention Generative Adversarial Networks", ICML 2018

Slide credit Justin Johnson



Self-Attention Module

Comparing RNNs to Transformer

RNNs

- (+) LSTMs work reasonably well for long sequences.
- (-) Expects an ordered sequences of inputs
- (-) Sequential computation: subsequent hidden states can only be computed after the previous ones are done.

Transformer:

- (+) Good at long sequences. Each attention calculation looks at all inputs.
- (+) Can operate over unordered sets or ordered sequences with positional encodings.
- (+) Parallel computation: All alignment and attention scores for all inputs can be done in parallel.
- (-) Requires a lot of memory: N x M alignment and attention scalers need to be calculated and stored for a single self-attention head. (but GPUs are getting bigger and better)

Attention Is All You Need

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lukaszkaiser@google.com

Illia Polosukhin* ‡ illia.polosukhin@gmail.com "ImageNet Moment for Natural Language Processing"

Pretraining:

Download a lot of text from the internet

Train a giant Transformer model for language modeling

Finetunina:

Fine-tune the Transformer on your own NLP task

Chuang Gan and TAs Some slides kindly provided by Fei-Fei Li, Jiajun Wu, Erik Learned-Miller Lecture 17 - 45

On the Opportunities and Risks of Foundation Models

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Image Captioning using Transformers

Input: Image I

Output: Sequence $y = y_1, y_2,..., y_T$

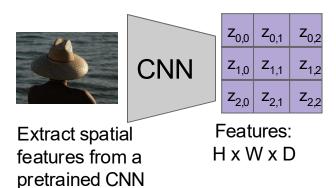


Image Captioning using Transformers

Input: Image I

Output: Sequence $\mathbf{y} = \mathbf{y}_1, \mathbf{y}_2, ..., \mathbf{y}_T$

Encoder: $c = T_w(z)$ where z is spatial CNN features $T_w(.)$ is the transformer encoder

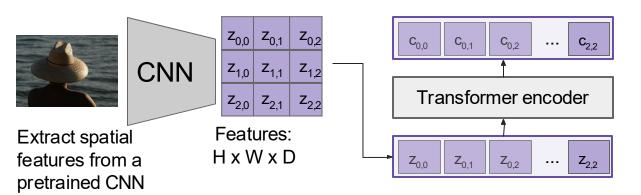


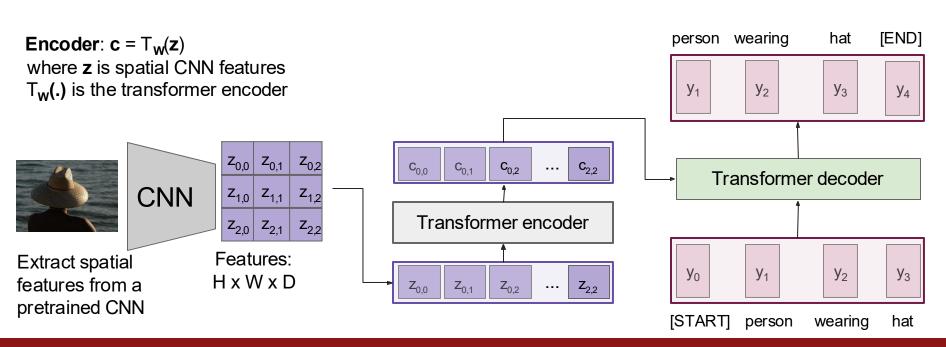
Image Captioning using Transformers

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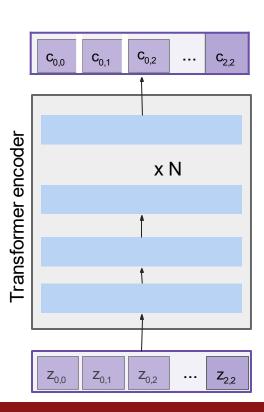
Decoder: $y_t = T_D(y_{0:t-1}, c)$

where $T_{D}(.)$ is the transformer decoder



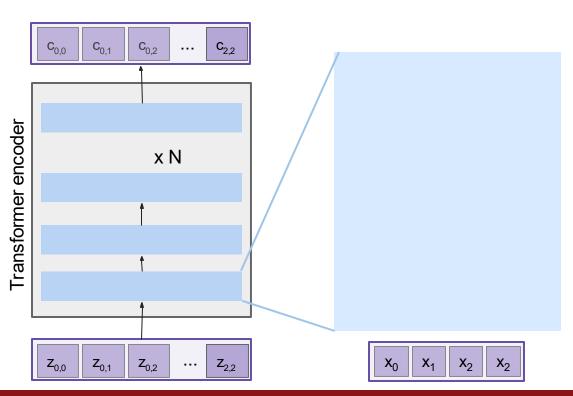
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Lecture 17 - 49

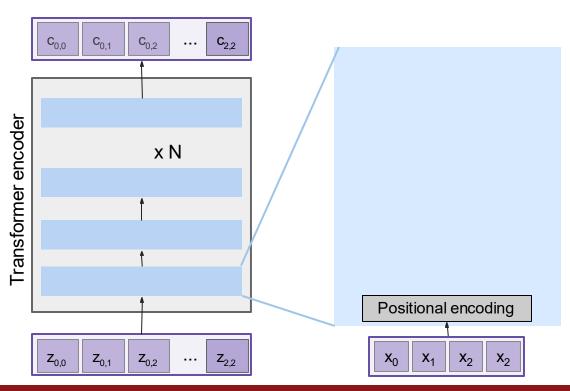


Made up of N encoder blocks.

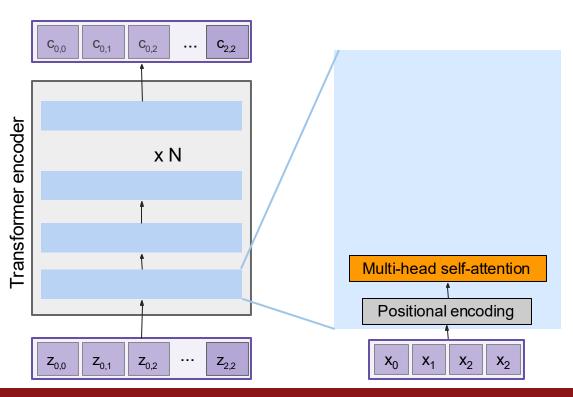
In vaswani et al. N = 6, D_q = 512



Let's dive into one encoder block

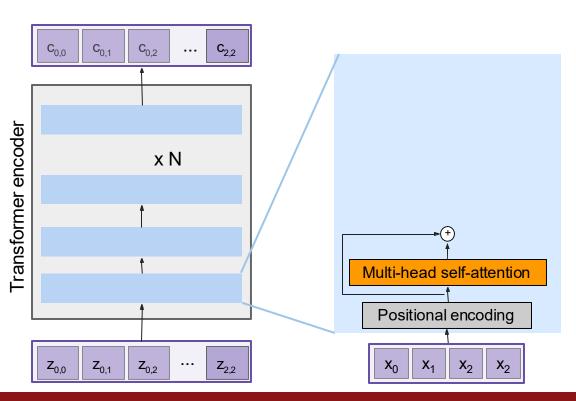


Add positional encoding



Attention attends over all the vectors

Add positional encoding



Residual connection

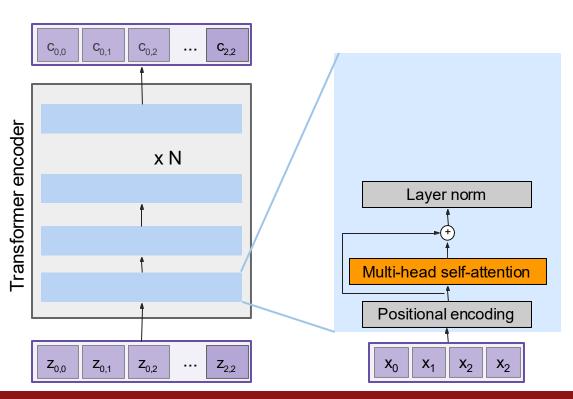
Attention attends over all the vectors

Add positional encoding

Vaswani et al, "Attention is all you need", NeurIPS 2017

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Lecture 17 - 54

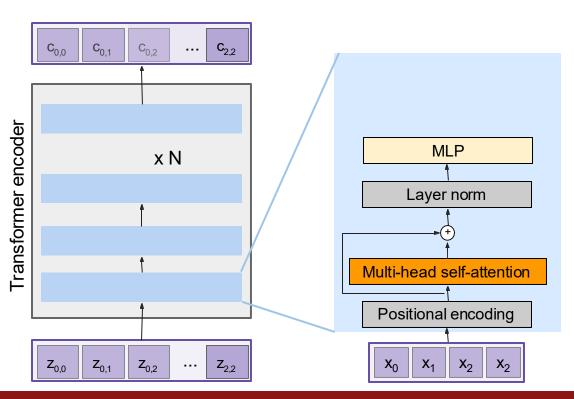


LayerNorm over each vector individually

Residual connection

Attention attends over all the vectors

Add positional encoding



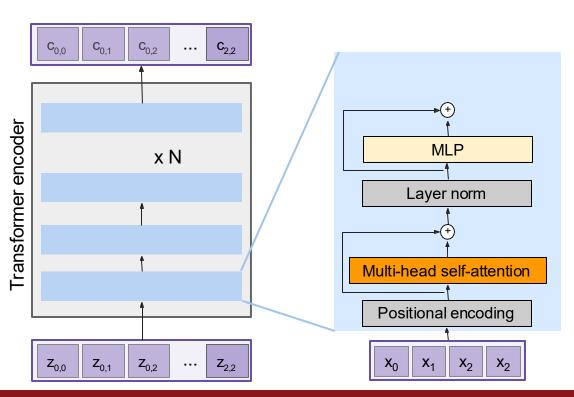
MLP over each vector individually

LayerNorm over each vector individually

Residual connection

Attention attends over all the vectors

Add positional encoding



Residual connection

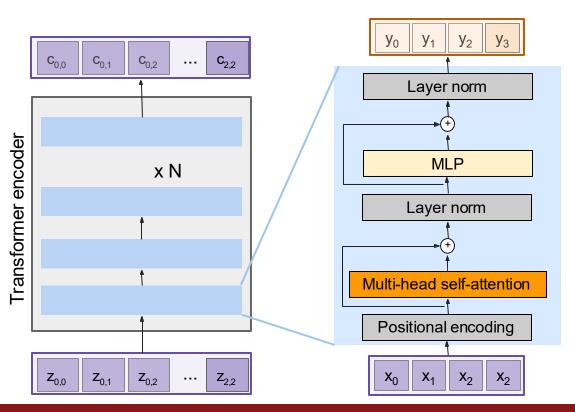
MLP over each vector individually

LayerNorm over each vector individually

Residual connection

Attention attends over all the vectors

Add positional encoding



Transformer Encoder Block:

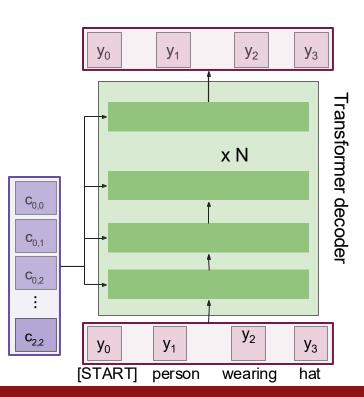
Inputs: Set of vectors x
Outputs: Set of vectors y

Self-attention is the only interaction between vectors.

Layer norm and MLP operate independently per vector.

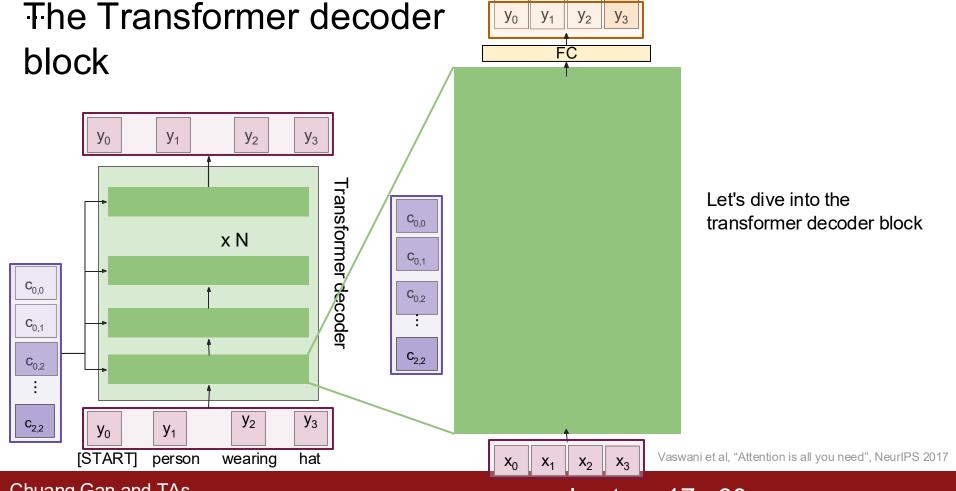
Highly scalable, highly parallelizable, but high memory usage.

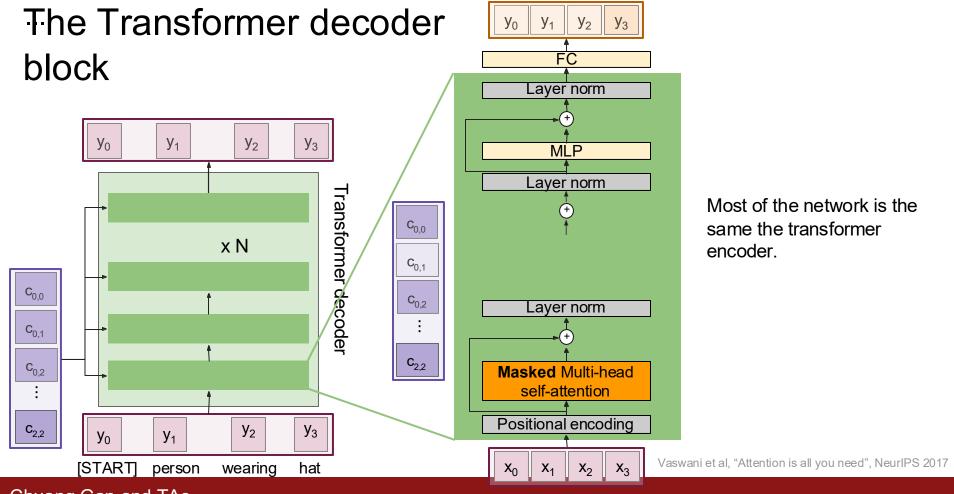
person wearing hat [END]

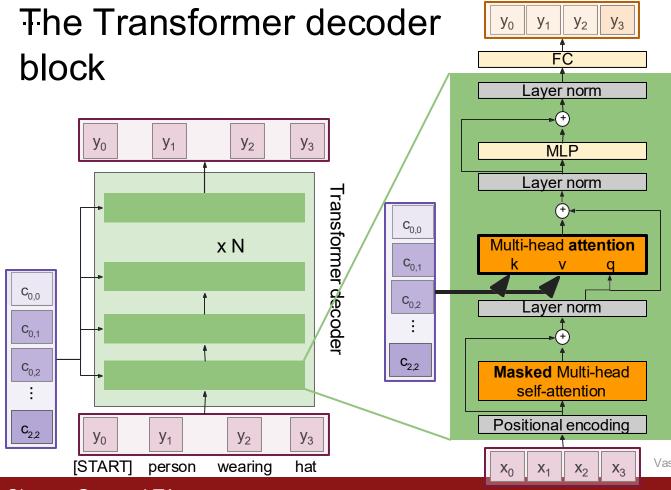


Made up of N decoder blocks.

In vaswani et al. N = 6, $D_d = 512$

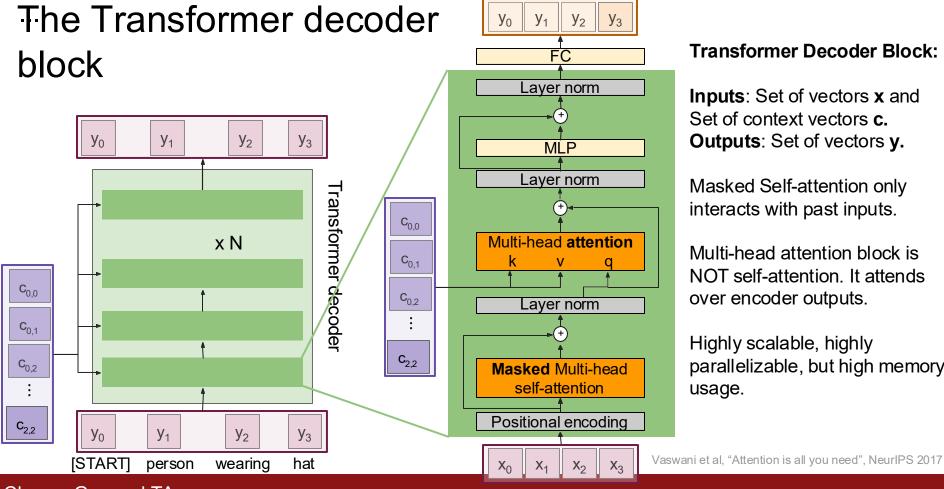






Multi-head attention block attends over the transformer encoder outputs.

For image captioning, this is how we inject image features into the decoder.



Transformer Decoder Block:

Inputs: Set of vectors **x** and Set of context vectors **c**. Outputs: Set of vectors y.

Masked Self-attention only interacts with past inputs.

Multi-head attention block is NOT self-attention. It attends over encoder outputs.

Highly scalable, highly parallelizable, but high memory usage.

Image Captioning using transformers

No recurrence at all

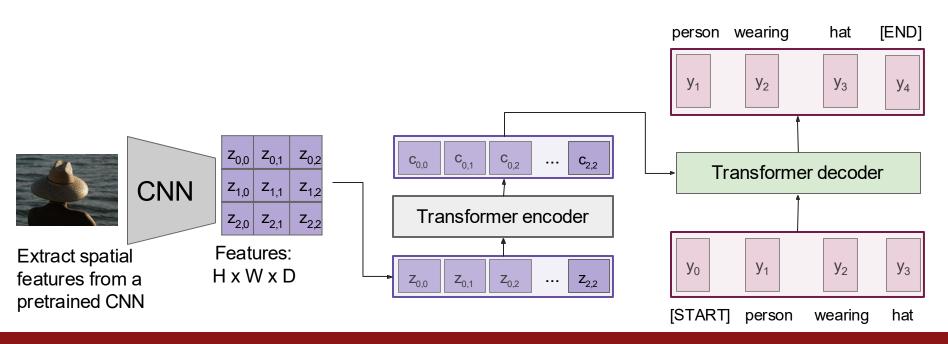


Image Captioning using transformers

- Perhaps we don't need convolutions at all?

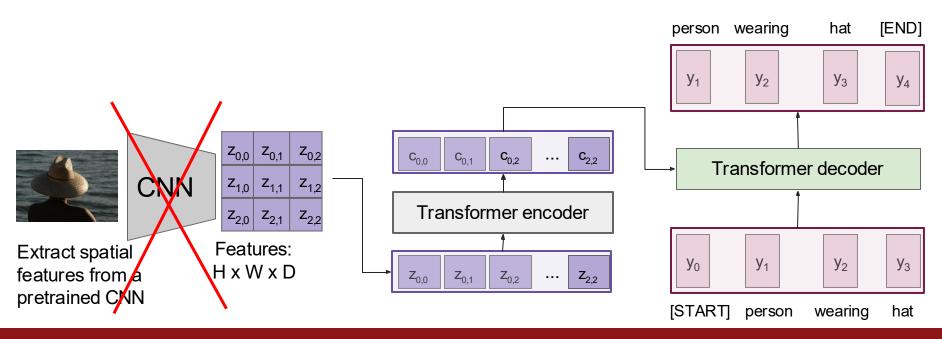
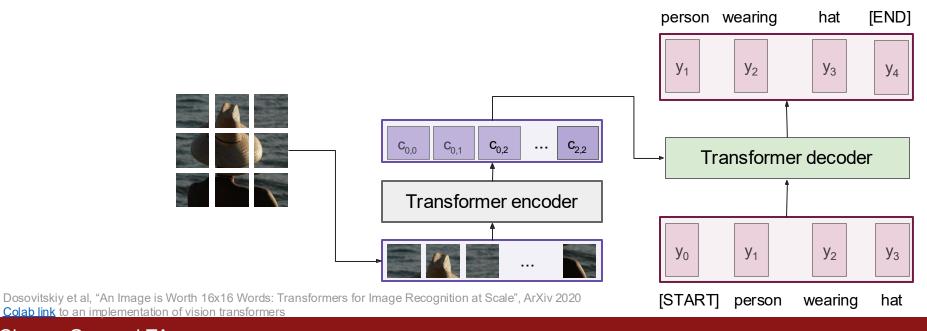


Image Captioning using transformers

- Transformers from pixels to language



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Lecture 17 - 66

Vision Transformers vs. ResNets

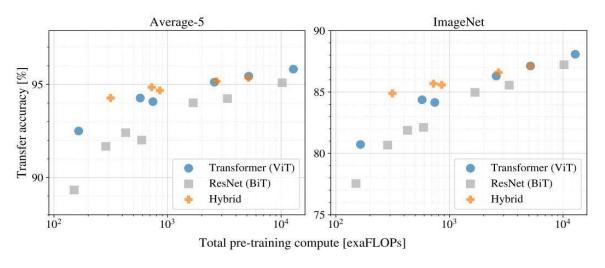
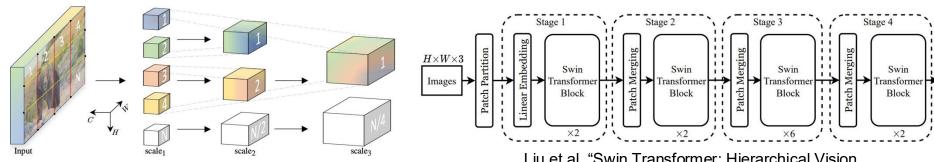


Figure 5: Performance versus cost for different architectures: Vision Transformers, ResNets, and hybrids. Vision Transformers generally outperform ResNets with the same computational budget. Hybrids improve upon pure Transformers for smaller model sizes, but the gap vanishes for larger models.

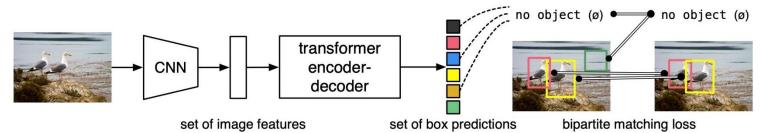
Dosovitskiy et al, "An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale", ArXiv 2020 Colab link to an implementation of vision transformers

Vision Transformers



Fan et al, "Multiscale Vision Transformers", ICCV 2021

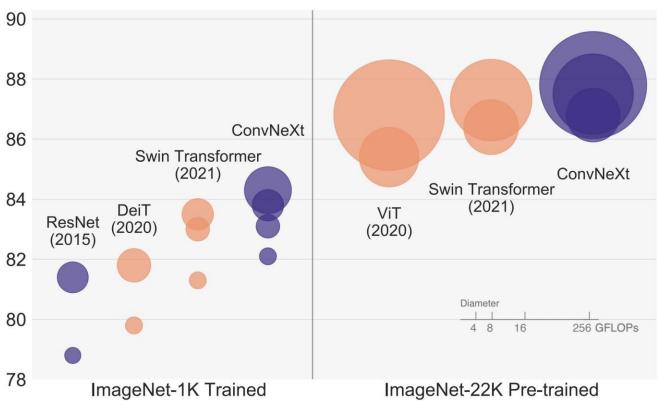
Liu et al, "Swin Transformer: Hierarchical Vision Transformer using Shifted Windows", CVPR 2021



Carion et al, "End-to-End Object Detection with Transformers", ECCV 2020

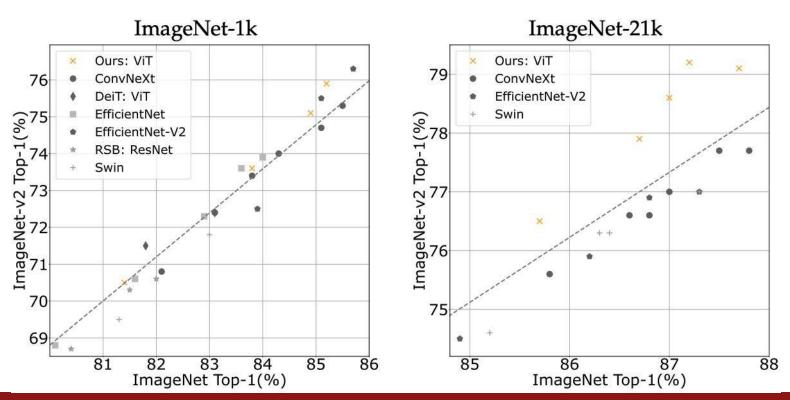
ConvNets strike back!

ImageNet-1K Acc.



DeiT III: Revenge of the ViT

Hugo Touvron*,† Matthieu Cord† Hervé Jégou*



Summary

- Adding attention to RNNs allows them to "attend" to different parts of the input at every time step
- The **general attention layer** is a new type of layer that can be used to design new neural network architectures
- Transformers are a type of layer that uses self-attention and layer norm.
 - It is highly scalable and highly parallelizable
 - Faster training, larger models, better performance across vision and language tasks
 - They are quickly replacing RNNs, LSTMs, and may(?) even replace convolutions.