

Dynamic causal models for EEG, MEG and LFPs



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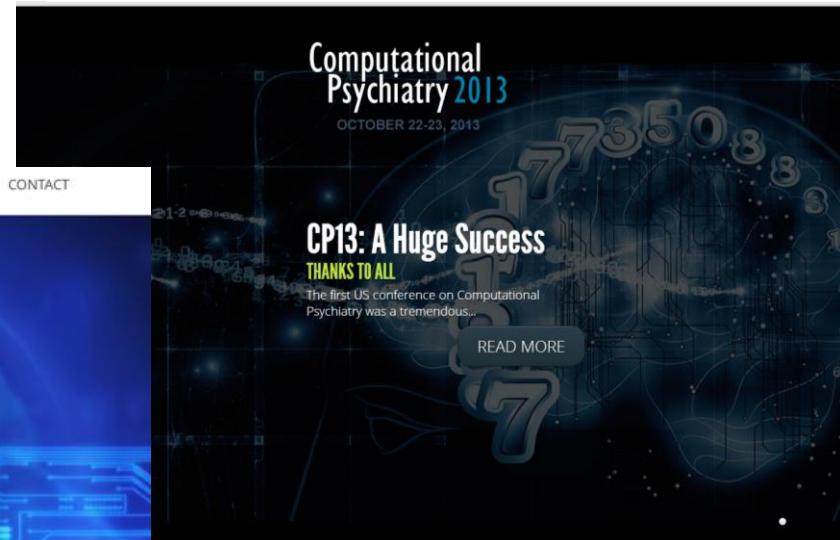
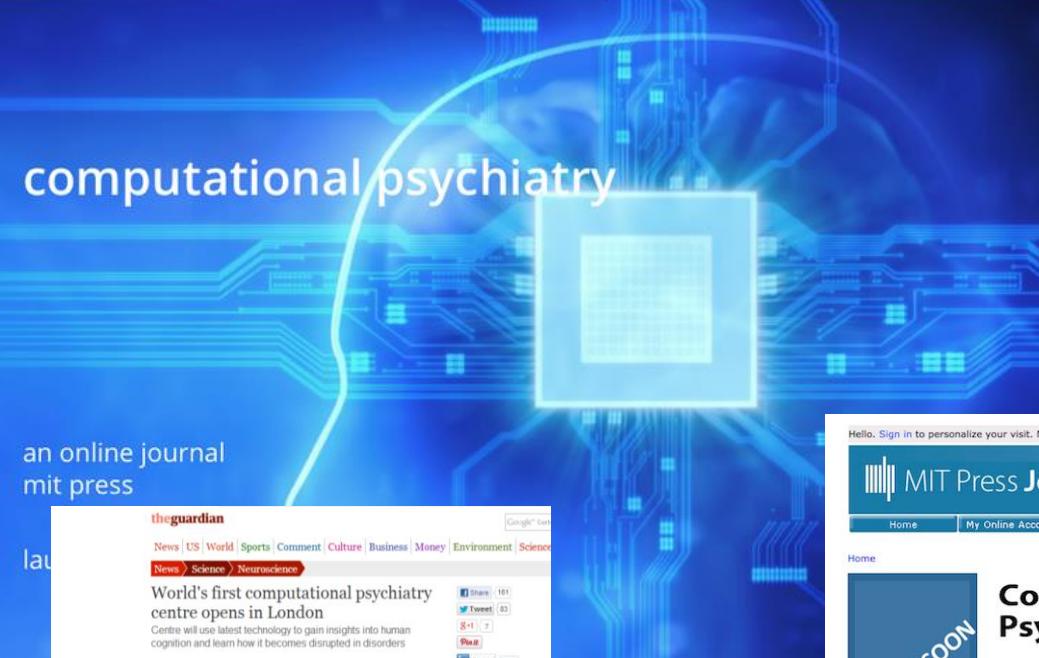
Department of Psychiatry & Behavioral Medicine, Virginia Tech Carilion School of Medicine

Workshop on Computational Psychiatry, University of Zurich, Dec 13TH 2015

Computational Psychiatry

computational psychiatry

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Peter Dayan and Read Montague, Editors

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Computational Psychiatry

Clinical
Neuroscience

3: 89–97 (1995)

Schizophrenia: A Disconnection Syndrome?

Karl J. Friston and Christopher D. Frith

Rationale

Aberrant Brain Connectivity

Schizophrenia: A Disconnection Syndrome?

Karl J. Friston and Christopher D. Frith

Distinction between regionally specific pathology and a pathology of interaction

- First order pathology: Hypofrontality
- First order psychological abnormality: impoverished motor behaviour
- Second order pathology: Relationship between PFC and temporal regions
- Second order psychological abnormality: failure to integrate intrinsically cued behaviour and perception

Connectivity in PET time series

- Normal subjects exhibited negative prefrontal temporal correlation while Schizophrenia patients exhibited positive prefrontal temporal correlations
- Reversal can be regarded as a failure of prefrontal cortex to suppress activity in the temporal lobe

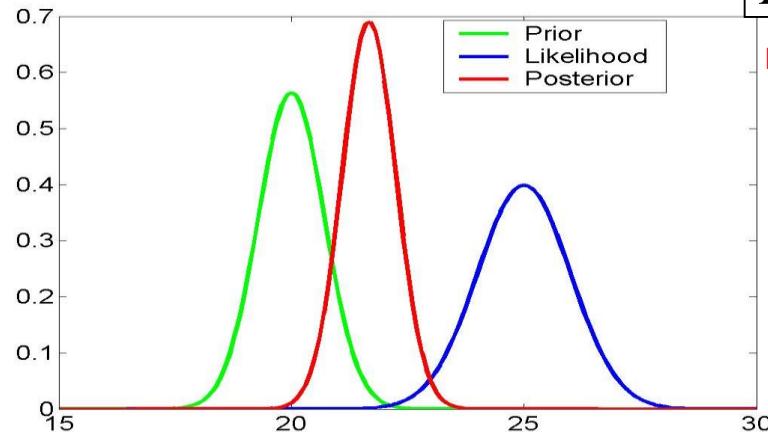
Synaptic Plasticity and Dysconnection in Schizophrenia

Biological Psychiatry, 2006

Klaas E. Stephan, Torsten Baldeweg, and Karl J. Friston

- Anatomical changes in structural connectivity
- Dysconnectivity could result from abnormal modulation of N-methyl-D-aspartate (NMDA)-dependent plasticity by other neurotransmitter systems

Bayes in the Brain



new data

$$p(y | \theta)$$

prior knowledge

$$p(\theta)$$

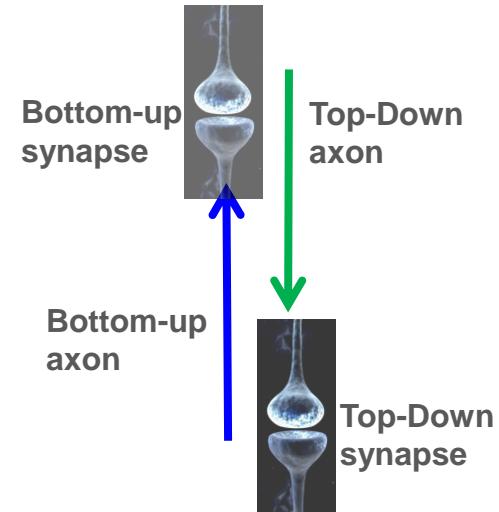
$$p(\theta | y) \propto p(y | \theta)p(\theta)$$

posterior \propto likelihood • prior



"The general rule according to which visual representations determine themselves ... is that we always find present in the visual field such objects as would have to exist in order for them to produce the same impression on the neural apparatus under the usual normal conditions of the use of our eyes."

von Helmholtz ***Perception as Inference***



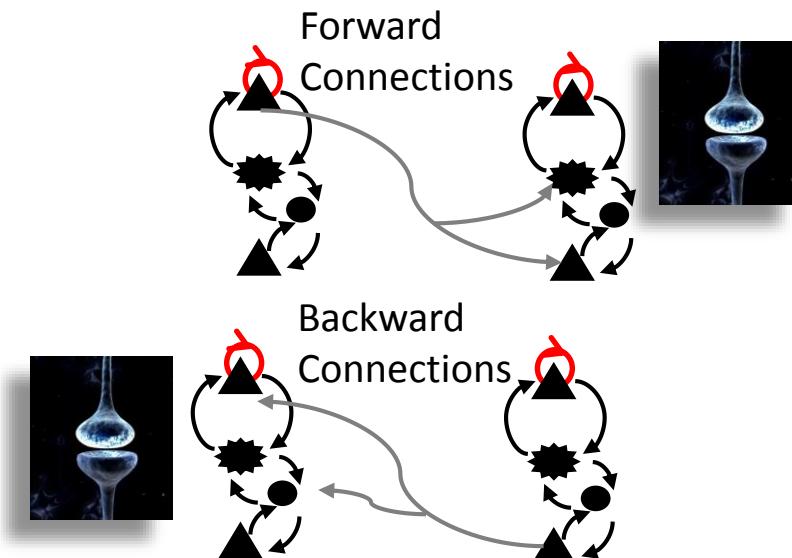
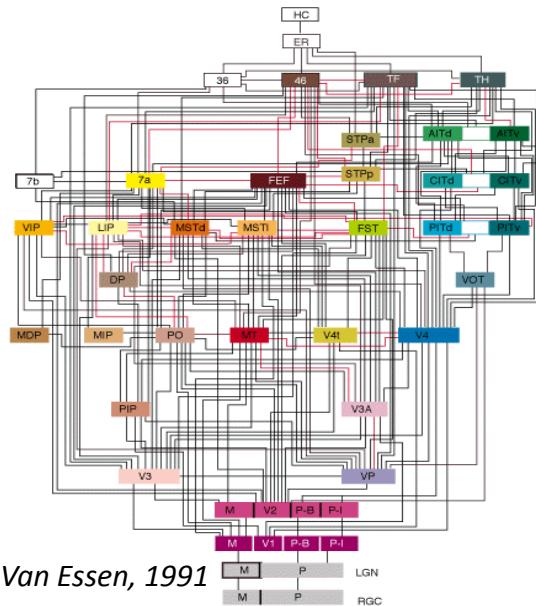
From disconnection to algorithmic break-down through Bayes

Perceiving is believing: a Bayesian approach to explaining the positive symptoms of schizophrenia

"Unusual perceptual experiences of patients and their sometimes bizarre beliefs (considered) as part of the same core abnormality — a disturbance in error-dependent updating of inferences and beliefs about the world. We suggest that it is possible to understand these symptoms in terms of a disturbed hierarchical Bayesian framework, without recourse to separate considerations of experience and belief."

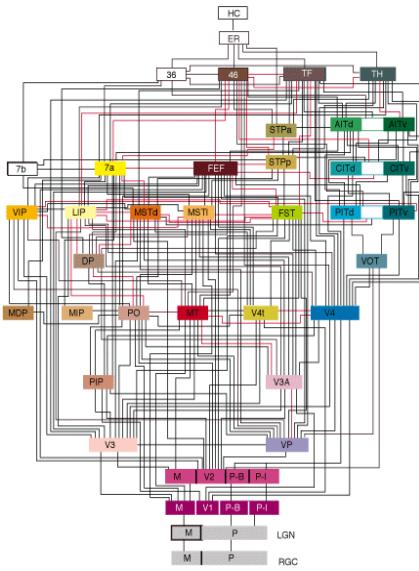
Fletcher & Frith, Nature Reviews Neuroscience, 2009

How might a brain 'do' Bayesian Inference?



A Refined Bayesian Predictive Coding Hypothesis

A Simple Message Passing Scheme to do Inference: The Free Energy Principle, Friston, 2006



Errors are modulated by DA, 5-HT, ACh, NA –
how sure is your prediction? Neuromodulators convey ‘attention’ to errors

Errors Propagate up the cortical hierarchy

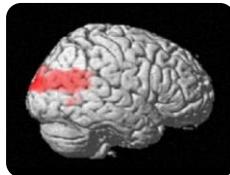
$$E = \Pi(x_2 - x_1)$$

Lower levels keep their representations

Prior Expectations Propagate down the cortical

hierarchy, establishing an error when a mismatch occurs:

Forward
prediction error



Region x2 e.g. V5

Region x1 e.g. V1

Backward
predictions

From disconnection to algorithmic break-down through Bayes



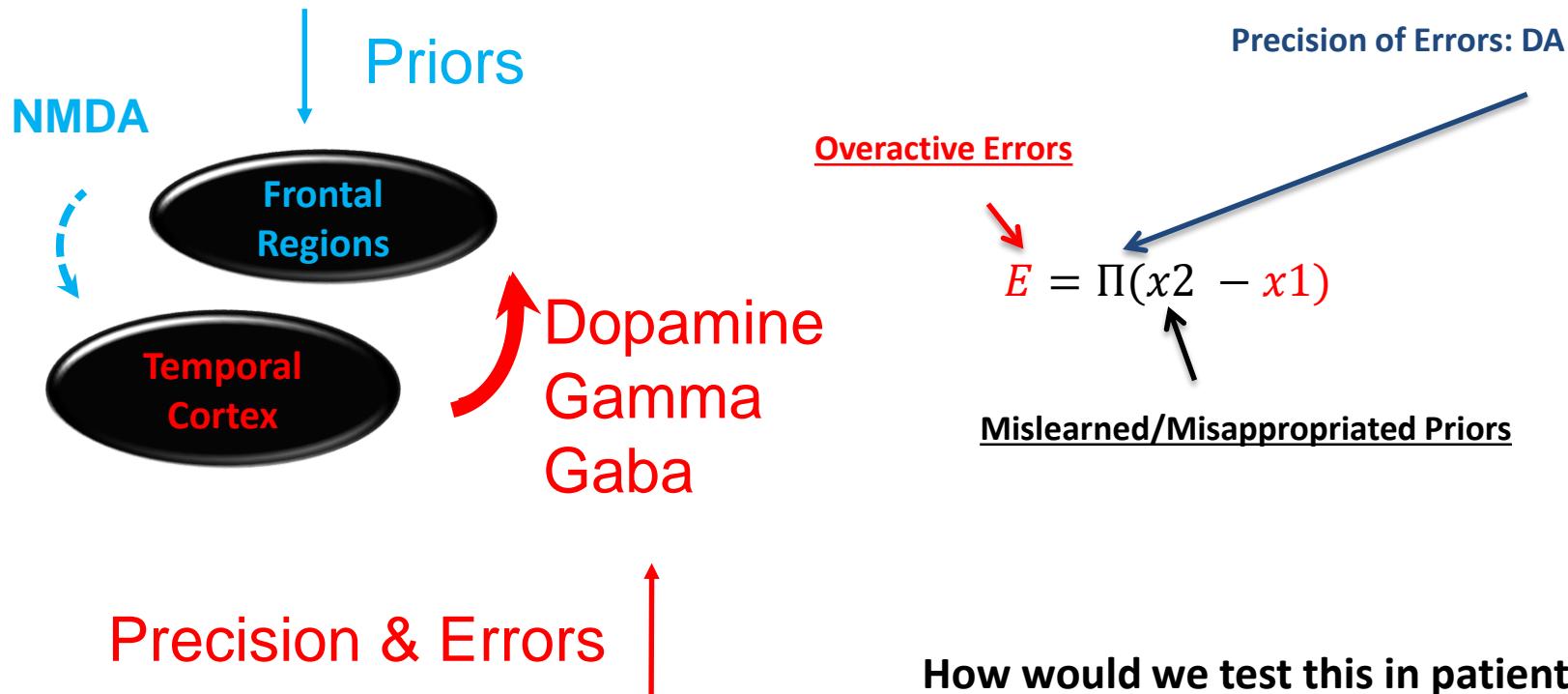
The computational anatomy of psychosis

Rick A. Adams¹*, Klaas Enno Stephan^{1,2,3}, Harriet R. Brown¹, Christopher D. Frith¹ and Karl J. Friston¹

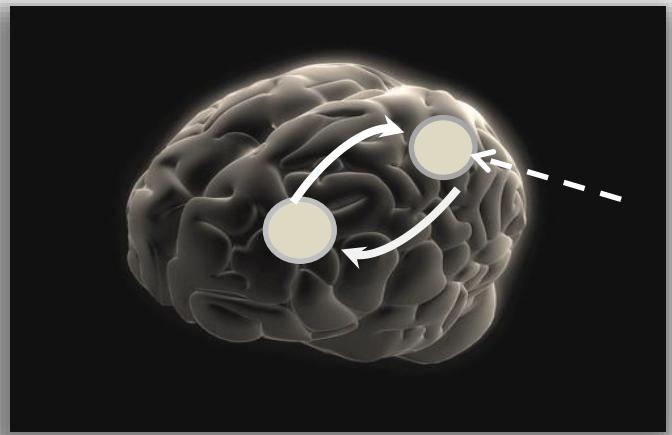
¹ Wellcome Trust Centre for Neuroimaging, Institute of Neurology, University College London, London, UK.

² Translational Neuromodeling Unit, Institute for Biomedical Engineering, University of Zurich, ETH Zurich, Zurich, Switzerland

³ Laboratory for Social and Neural Systems Research, University of Zurich, Zurich, Switzerland

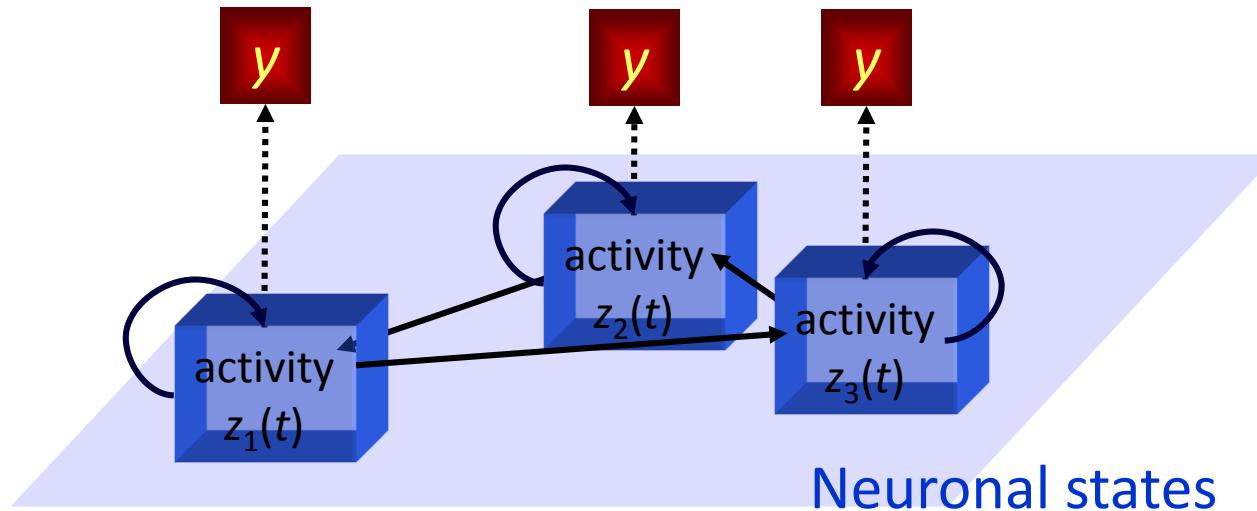


Connectivity from Human Neuroimaging Data: Dynamic Causal Models



For fMRI??

Hemodynamic Response



Dysconnection & DCM for fMRI



Dima, et al. 2009

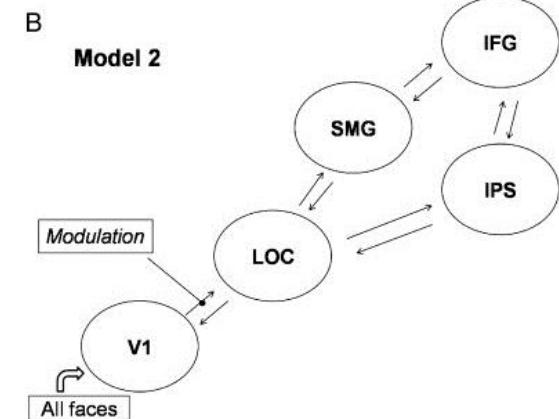
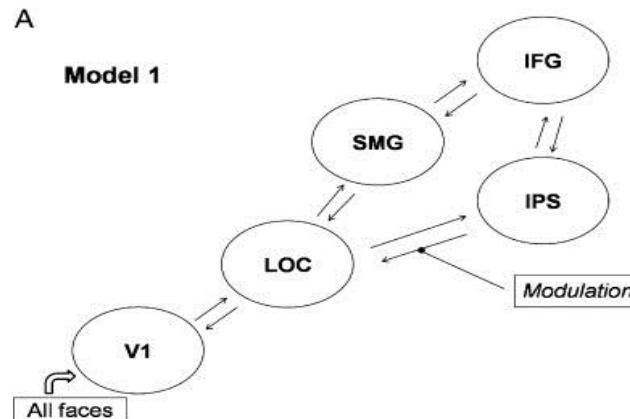
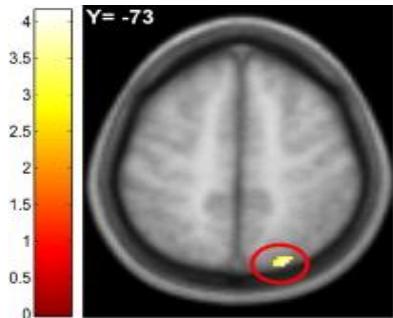
Understanding why patients with schizophrenia do not perceive the hollow-mask illusion

Dysconnection & DCM for fMRI



Dima, et al. 2009

Understanding why patients with schizophrenia do not perceive the hollow-mask illusion

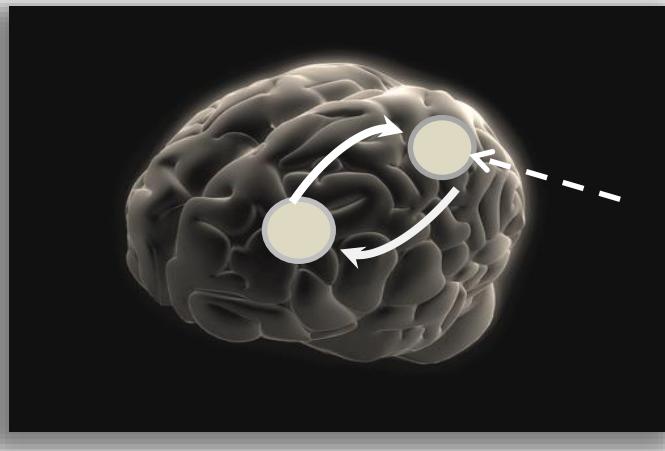


Control data better explained by model 1

Schizophrenic patient data better explained by model 2

ie. They exhibited **enhanced bottom-up & weaker top-down** processes to 'hollow' faces relative to controls, and correctly perceived the faces as hollow

Connectivity from Human Neuroimaging Data: Dynamic Causal Models



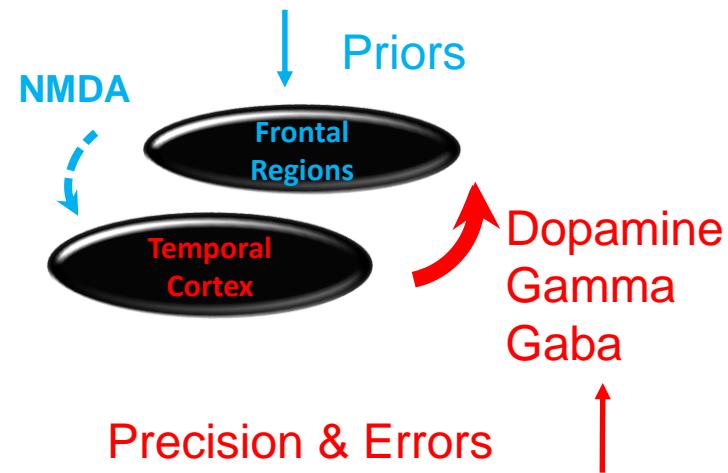
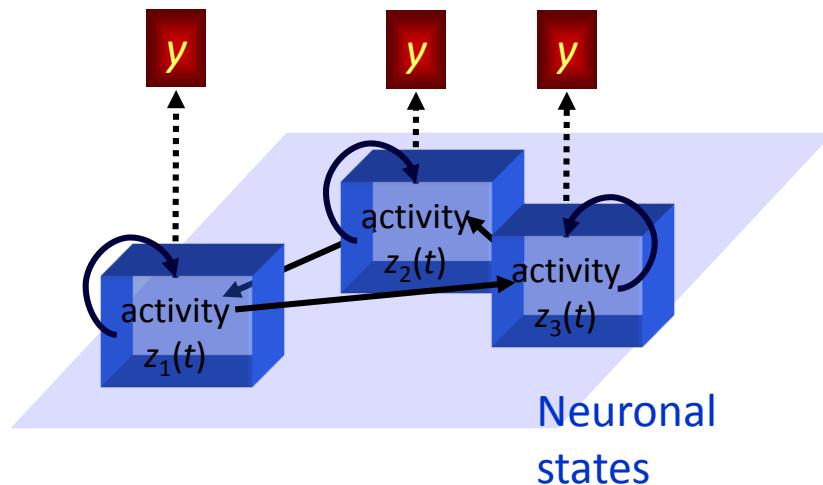
What are we missing?

The receptor profile of connectivity

Clues for molecular pathogenic pathways

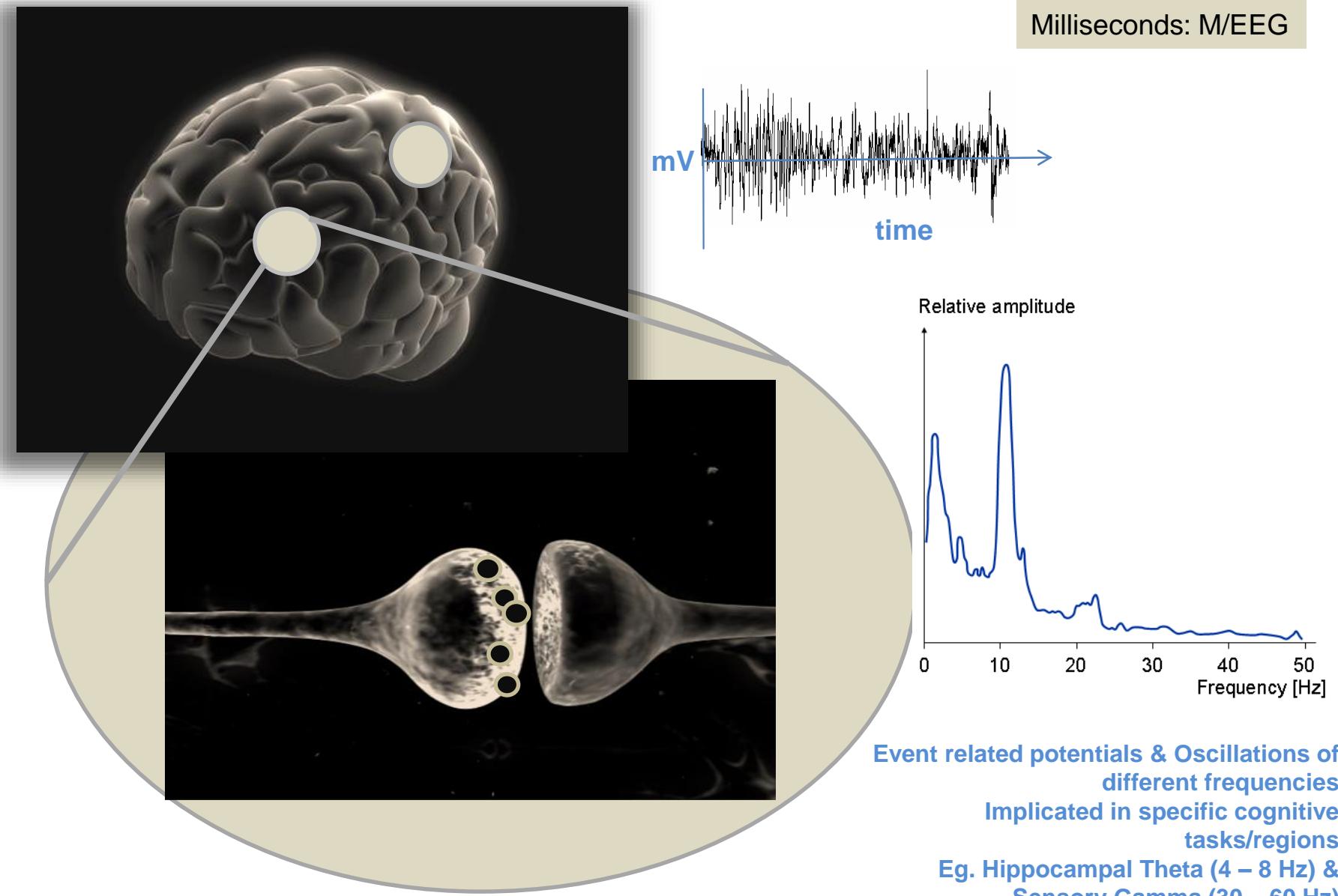
A pharmacological target

For fMRI?? Hemodynamic Response

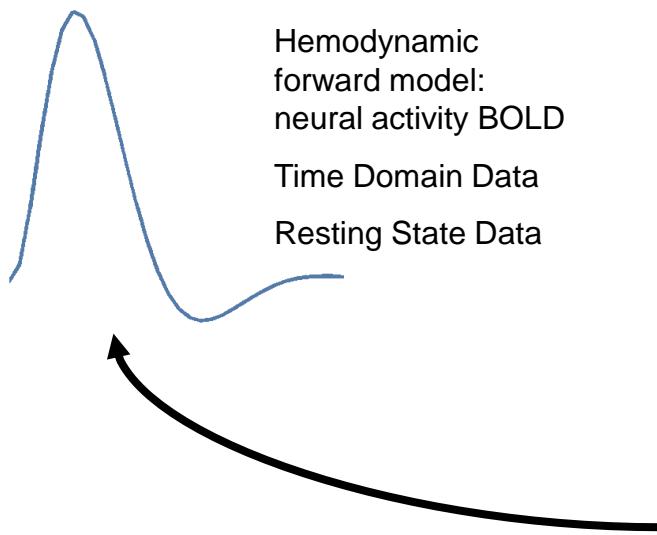


Dynamic Causal Models for Electrophysiology

Connectivity from EEG/LFP Data: Dynamic Causal Models



Dynamic Causal Modeling: Generic Framework

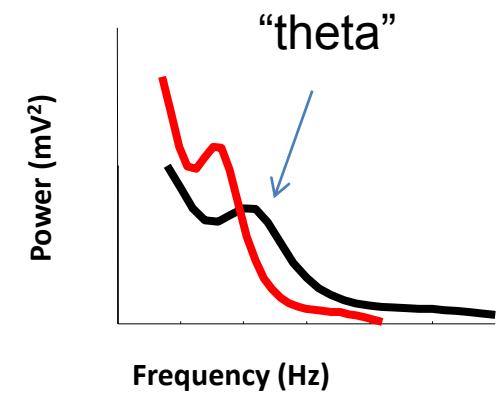


Electromagnetic forward model:
neural activity EEG
MEG
LFP

Time Domain ERP Data
Phase Domain Data
Time Frequency Data
Spectral Data

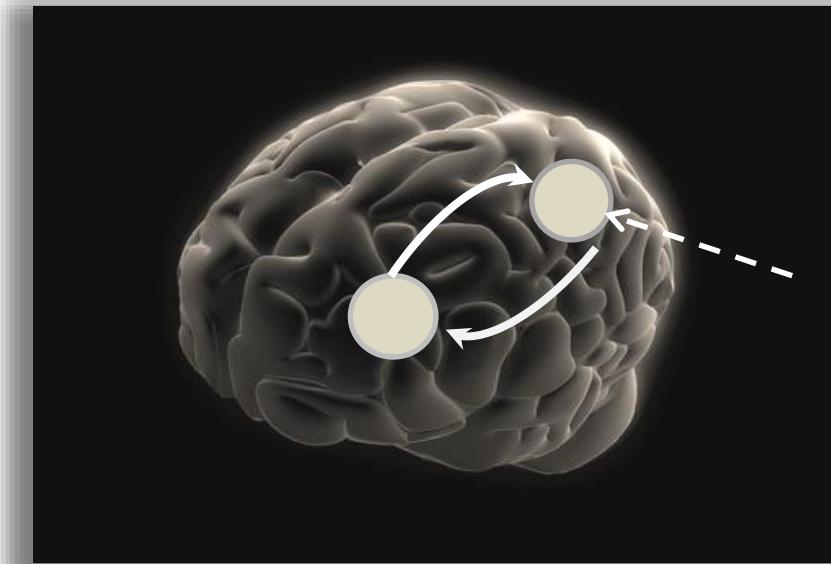
Neural state equation:

$$\frac{dx}{dt} = F(x, u, \theta)$$



fMRI

simple neuronal model
(slow time scale)

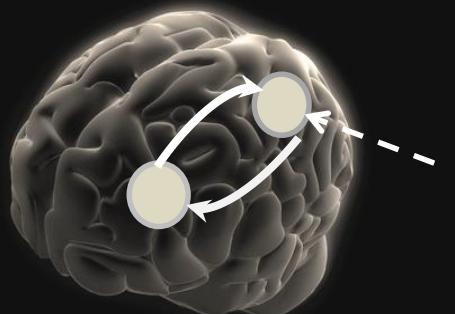
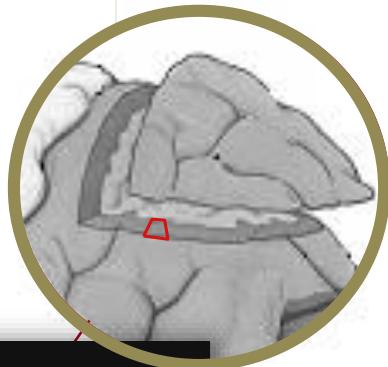


EEG/MEG

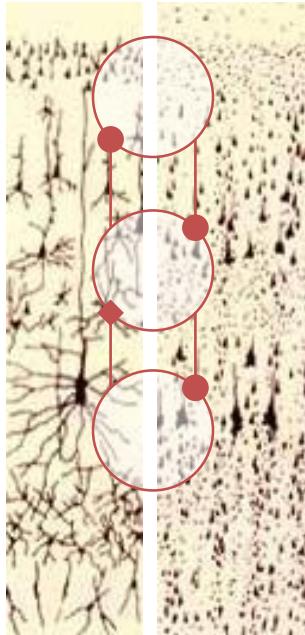
detailed neuronal model
(synaptic time scales)

Macro and meso-scales: The Neural Mass Model

macro-scale

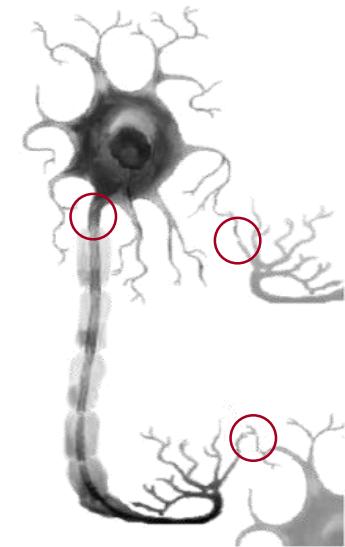


meso-scale



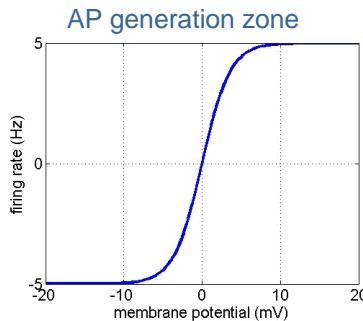
external granular layer
external pyramidal layer
internal granular layer
internal pyramidal layer

micro-scale

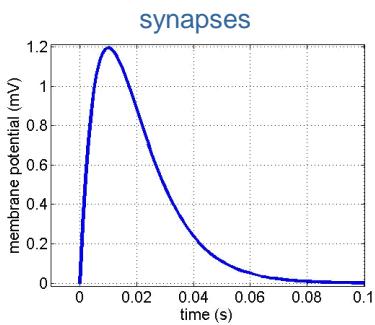


The state of a neuron comprises a number of attributes, membrane potentials, conductances etc. Modelling these states can become intractable. **Mean field approximations** summarise the states in terms of their ensemble density. **Neural mass models** consider only point densities and describe the interaction of the means in the ensemble

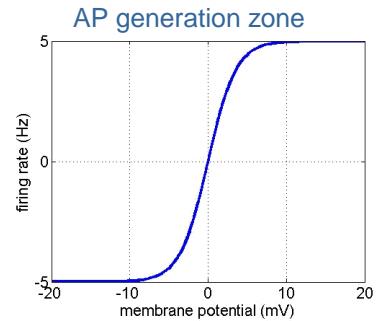
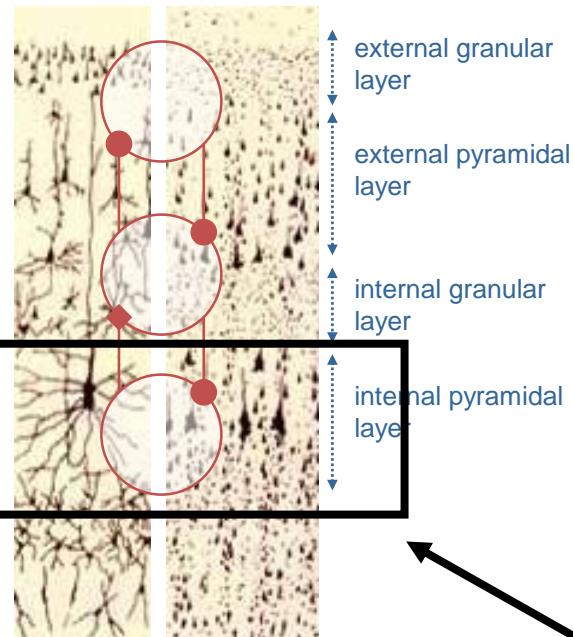
Meso-scale dynamics



$\theta(2)$



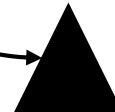
$\theta(1)$



Convolution Based Neural Mass Models

Convolution-Based Neural Mass Models in DCM

Spiny stellate cells



Pyramidal cells

$$v_{post} = \sigma(v_{pre}) \otimes h$$

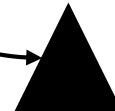
Take one spiny stellate cell.....

Convolution-Based Neural Mass Models in DCM

Spiny stellate cells

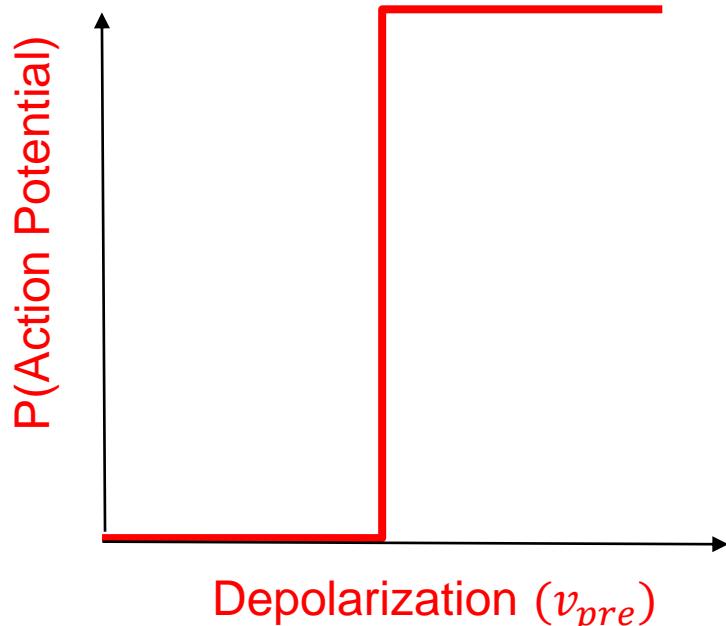


Pyramidal cells



$$v_{post} = \sigma(v_{pre}) \otimes h$$

$H(v_{pre})$ Heaviside function



Take a population of spiny stellate cells & assume either:

1. Unimodal distribution over firing thresholds
2. Unimodal distribution over population Membrane depolarizations

Convolution-Based Neural Mass Models in DCM

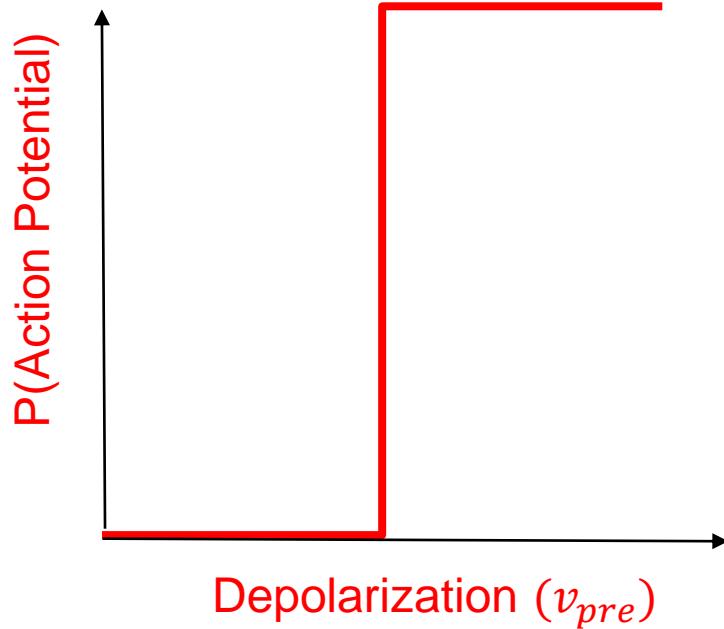
Spiny stellate cells



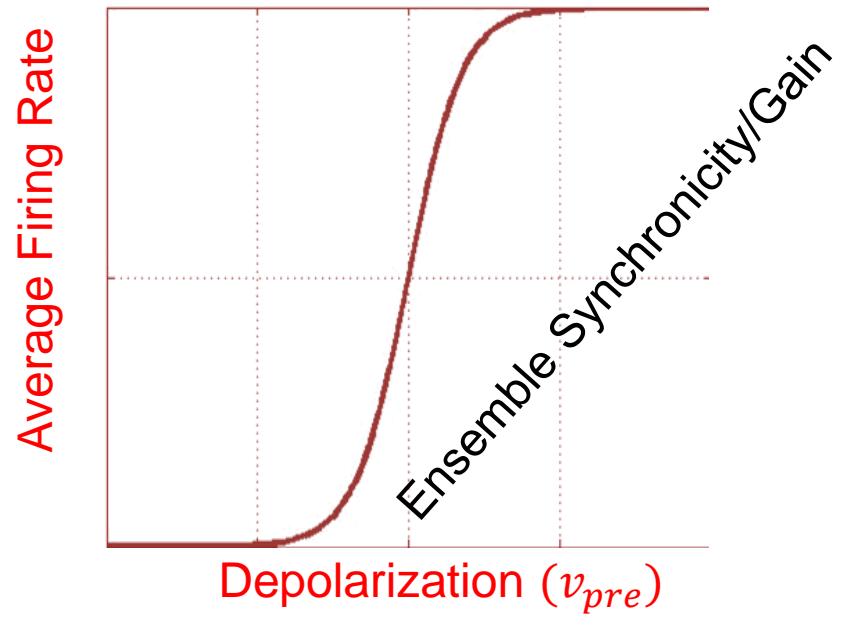
Pyramidal cells

$$v_{post} = \sigma(v_{pre}) \otimes h$$

$H(v_{pre})$ Heaviside function



$\sigma(v_{pre})$ Sigmoidal Presynaptic Firing Function



Convolution-Based Neural Mass Models in DCM

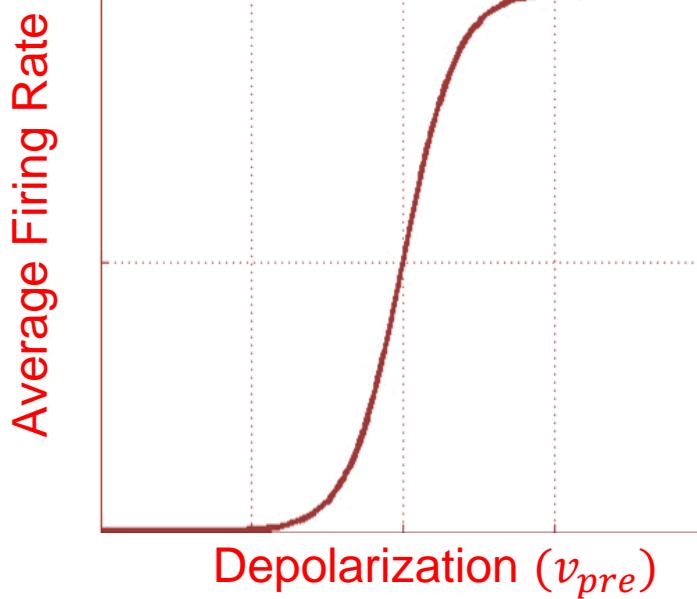
Spiny stellate cells



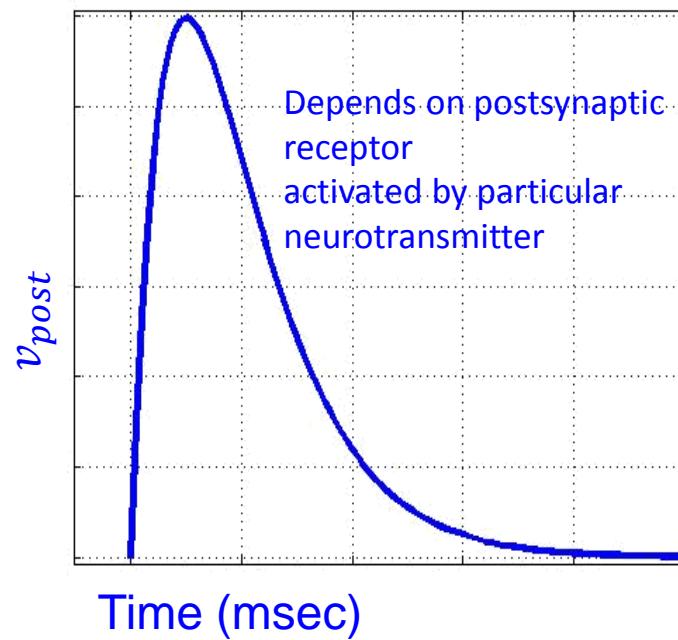
Pyramidal cells

$$v_{post} = \sigma(v_{pre}) \otimes h$$

$\sigma(v_{pre})$ Sigmoidal Presynaptic Firing Function



h Postsynaptic Kernel



Convolution-Based Neural Mass Models in DCM

Spiny stellate cells

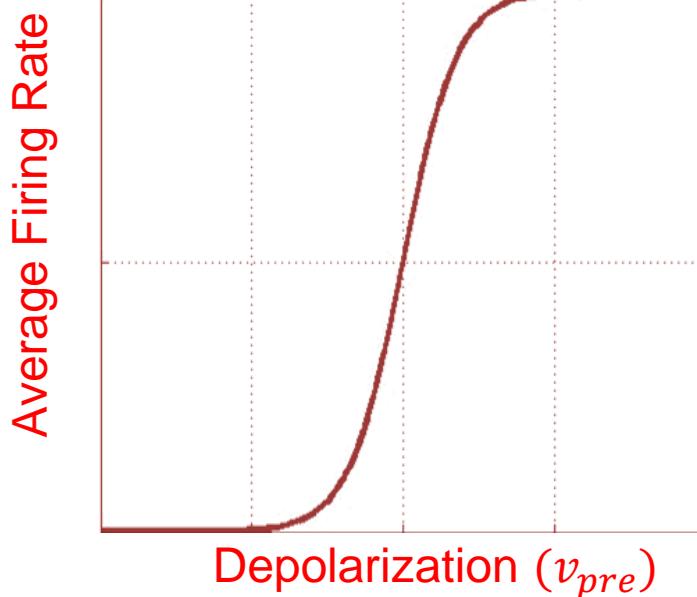


Pyramidal cells

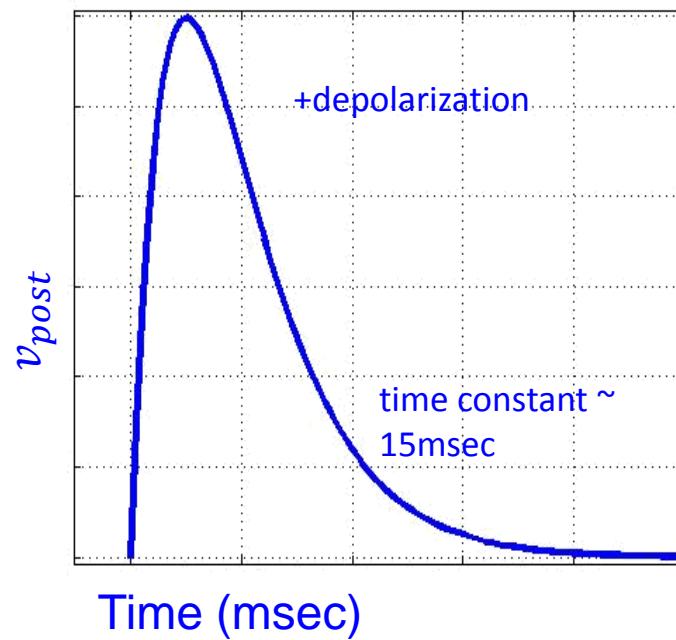
E.g. Glutamate from SS to AMPA receptor

$$v_{post} = \sigma(v_{pre}) \otimes h$$

$\sigma(v_{pre})$ Sigmoidal Presynaptic Firing Function



h Postsynaptic Kernel



Convolution-Based Neural Mass Models in DCM

Inhibitory interneuron

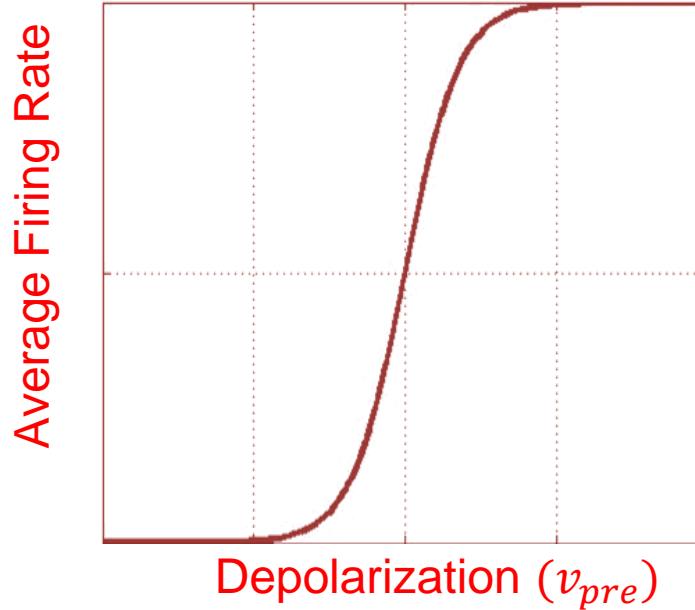


Pyramidal cells

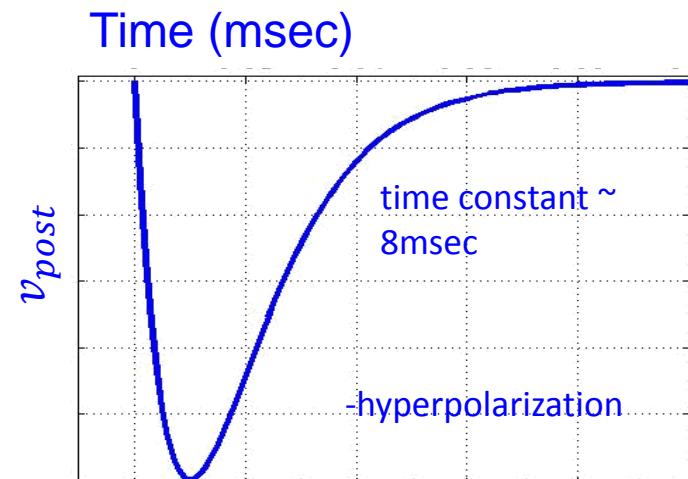
E.g. GABA from inhibitory interneuron to GABA_A receptor

$$v_{post} = \sigma(v_{pre}) \otimes h$$

$\sigma(v_{pre})$ Sigmoidal Presynaptic Firing Function



h Postsynaptic Kernel



Convolution-Based Neural Mass Models in DCM

Spiny stellate cells



γ

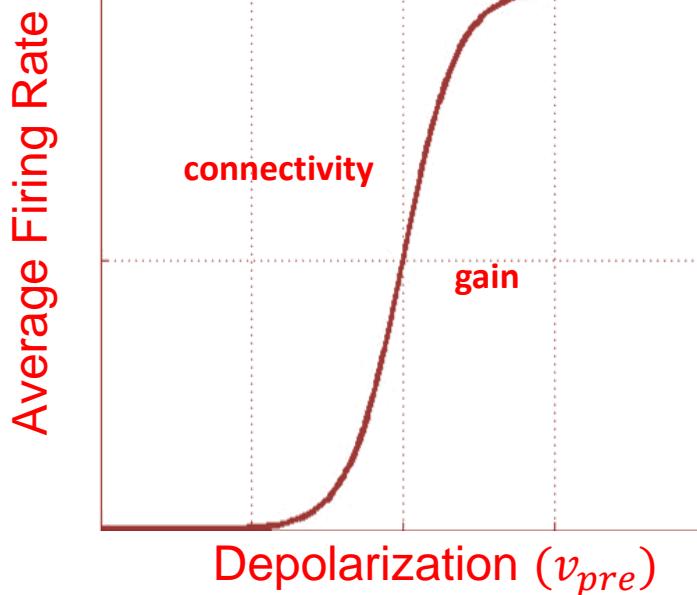
Pyramidal cells

Connectivity?

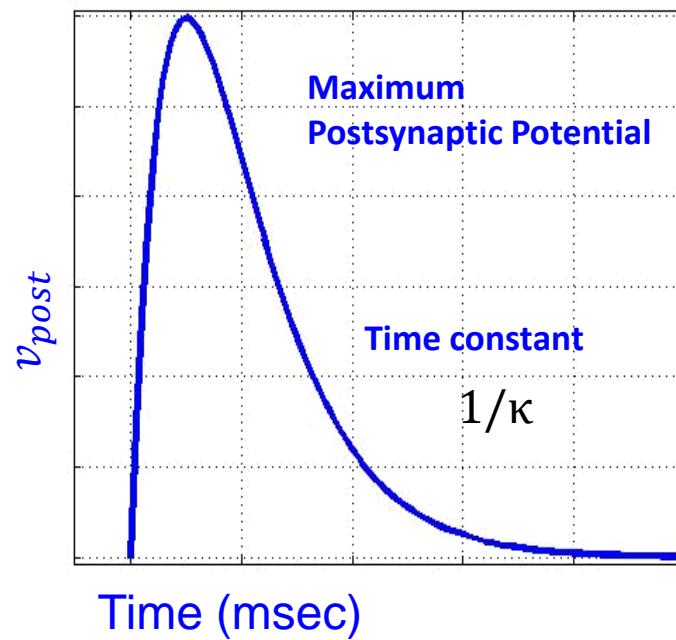
$$v_{post} = \gamma \sigma(v_{pre}) \otimes h$$

$$\theta = \{\gamma, gain, H, \tau\}$$

$\gamma \sigma(v_{pre})$ Sigmoidal Presynaptic Firing Function



h Postsynaptic Kernel

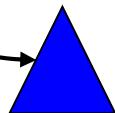


Convolution to ODEs

Spiny stellate cells



Pyramidal cells



$$v_{post} = \gamma\sigma(v_{pre}) \otimes h$$

$$v_{post} = \int_0^t h(t - \tau) \gamma\sigma(v_{pre}) d\tau$$

Convolution Equation

$$\ddot{v_{post}} = H\kappa\gamma\sigma(v_{pre}) - 2\kappa\dot{v_{post}} - \kappa v_{post}$$

By parts twice

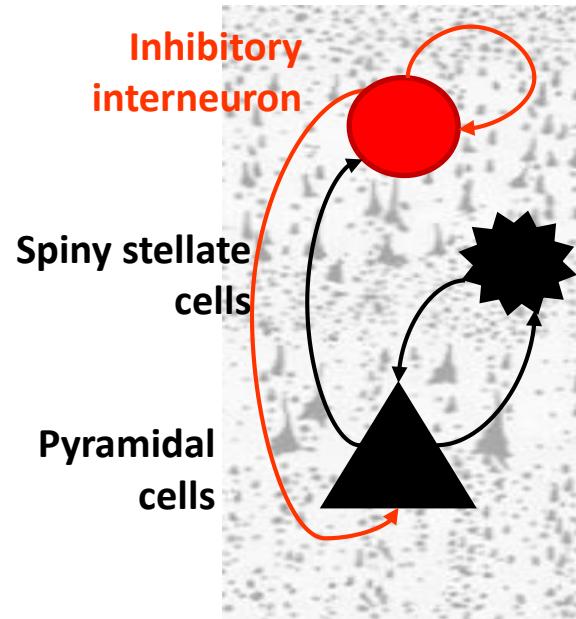
Second Order Differential Equation

$$\dot{v_{post}} = i$$

$$\dot{i} = H\kappa\gamma\sigma(v_{pre}) - 2\kappa i - \kappa v_{post}$$

2 Coupled First Order Differential
For each transmitter receptor pair

Multilaminar NMMS



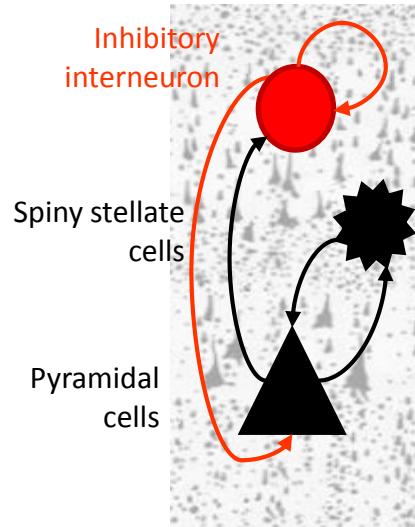
Assume GABA from inhibitory interneurons activate GABA_A receptors

Assume glutamate from pyramidal cells & spiny stellate cells activate AMPA receptors

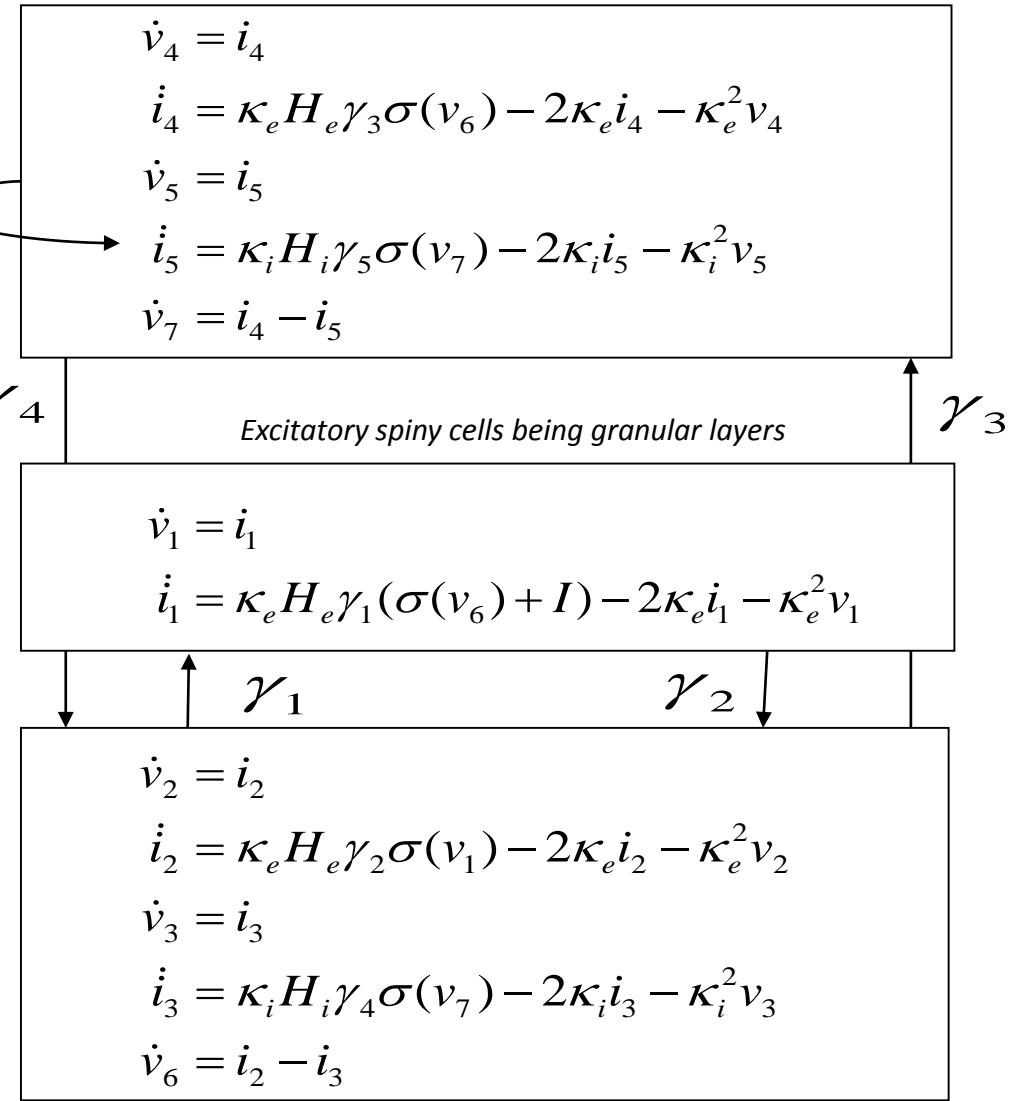
Then construct interlaminar connectivity

5 connections giving 5×2 coupled first order differential equations

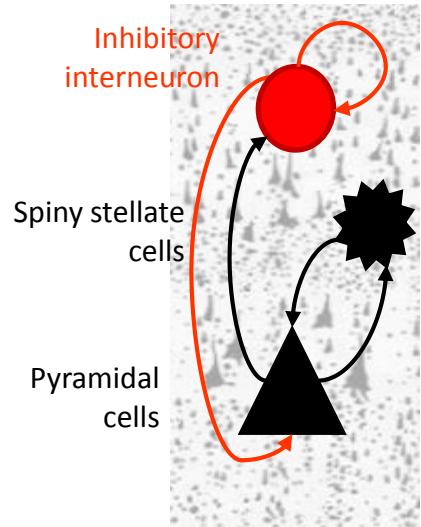
One region: 12 equations 10 + 2 difference



Inhibitory cells in extragranular layers

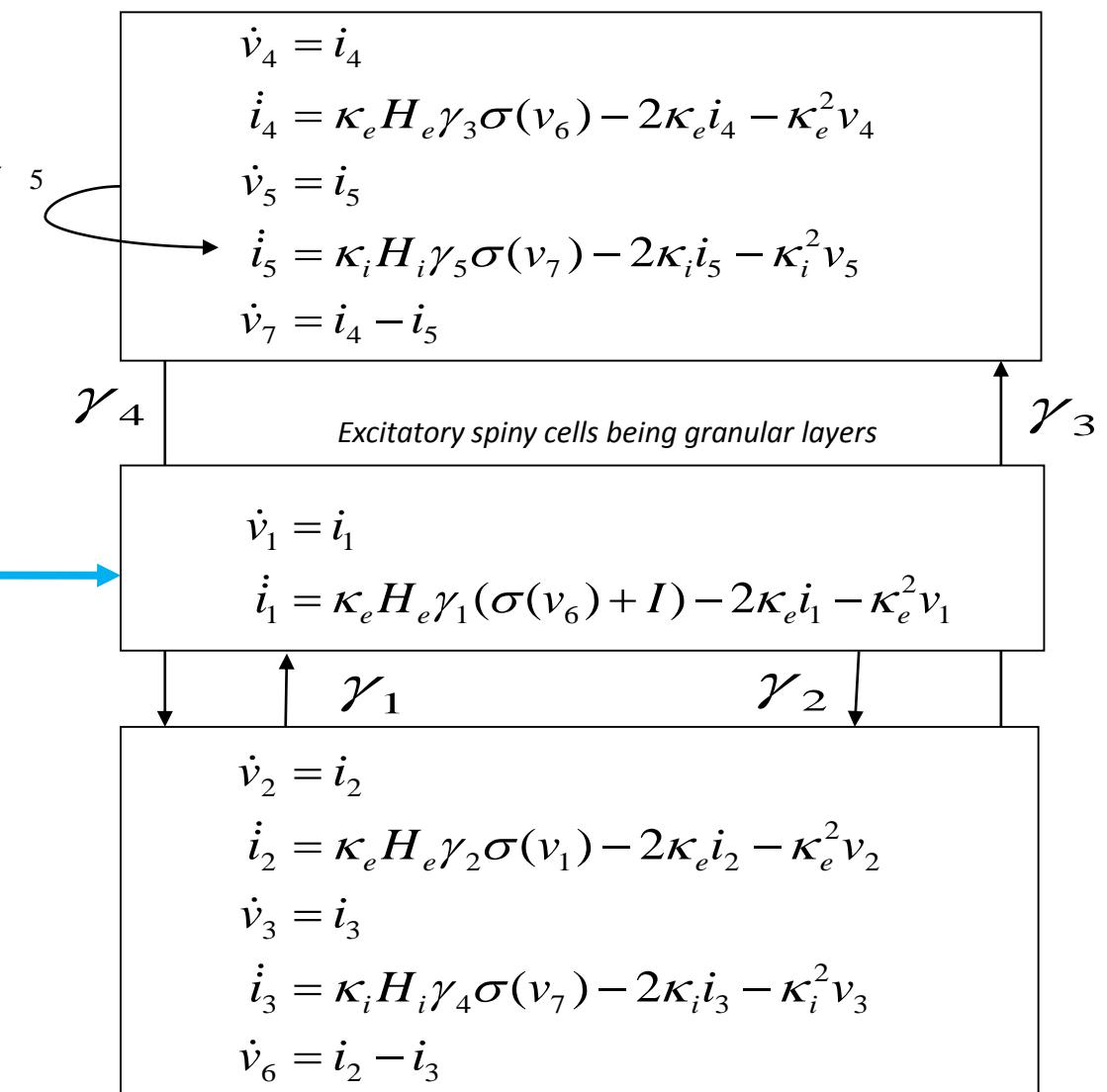


One region: 12 equations 10 + 2 difference



Excitatory pyramidal cells in extragranular layers

Measured response: $g(v_6)$



Forward Model: Neural Mass Models in DCM

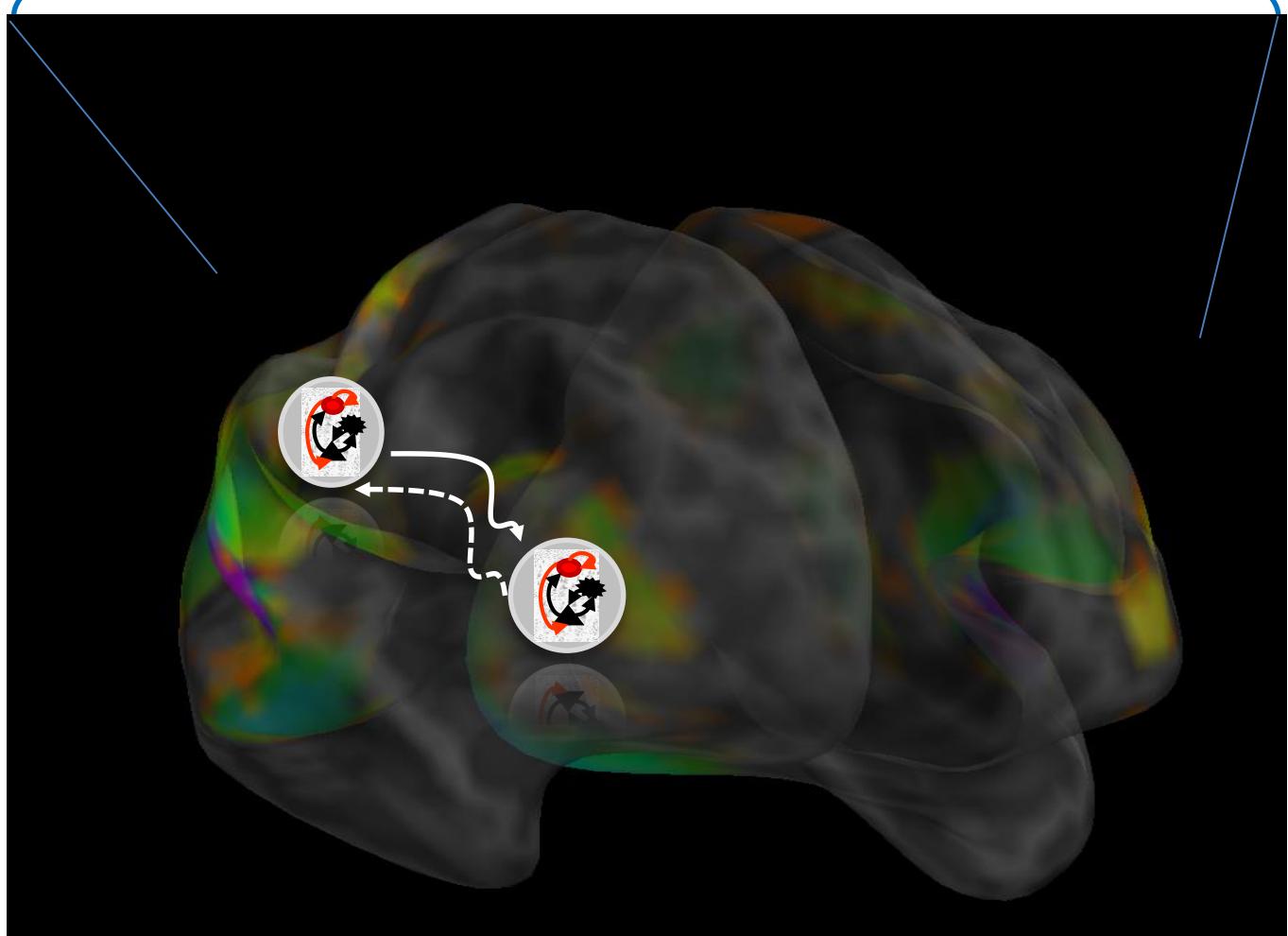
Empirical
Observations
(eg Sensor Level)

$$Y = g(\theta_g, v_6)$$

Lead Field

$$\dot{v} = f(\theta_f, u)$$

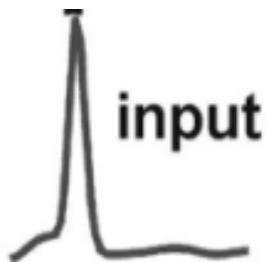
Interconnected
Neural mass
models



Neural Mass Models in DCM for ERPs

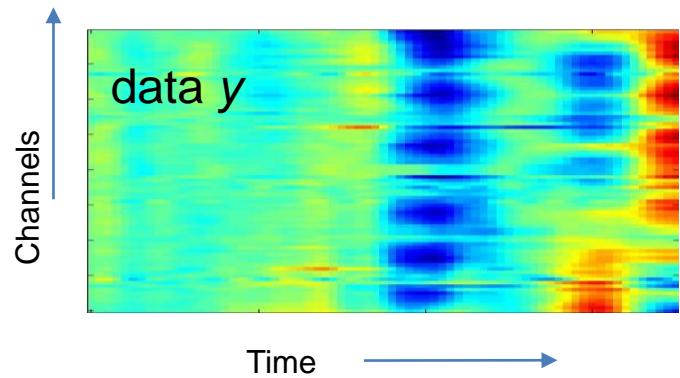
$$u = u(t) \quad \xrightarrow{\text{ }} \quad Y = g(\theta_g, v_6)$$

Lead Field



$$\dot{v} = f(\theta_f, u)$$

Interconnected
Neural mass
models

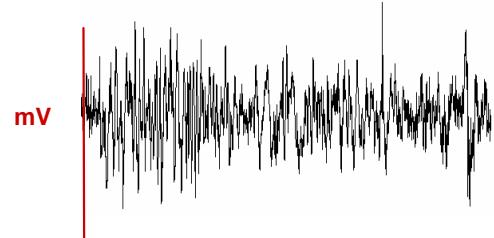


Event-Related
Potentials

State equations from time to spectral domain

Time Differential Equations

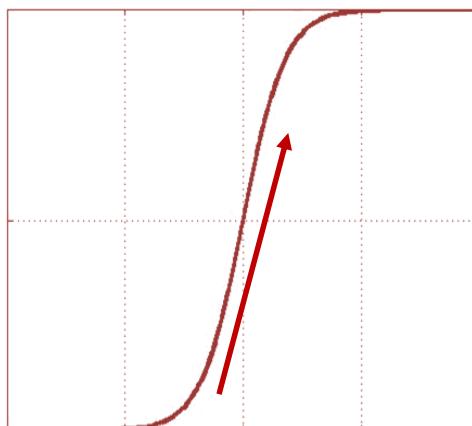
$$\begin{aligned} Y &= g(\theta_g, v_6) \\ \dot{v} &= f(\theta_f, u) \end{aligned}$$



White/Pink Noise

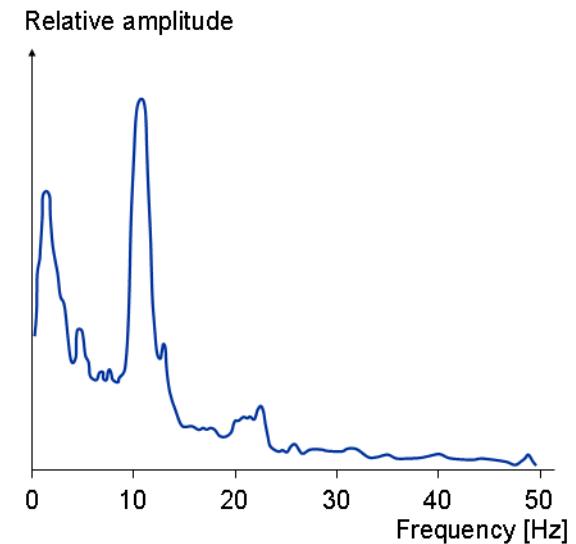
Linearise

$$\begin{aligned} Y &= g(\theta_g, v_6) \\ \dot{v} &= A(\theta_f) + Bu \end{aligned}$$



Analytic Transfer
Function in the
Frequency domain

$$H(s) = g(sI - A)B$$



Conductance Based Neural Mass Models

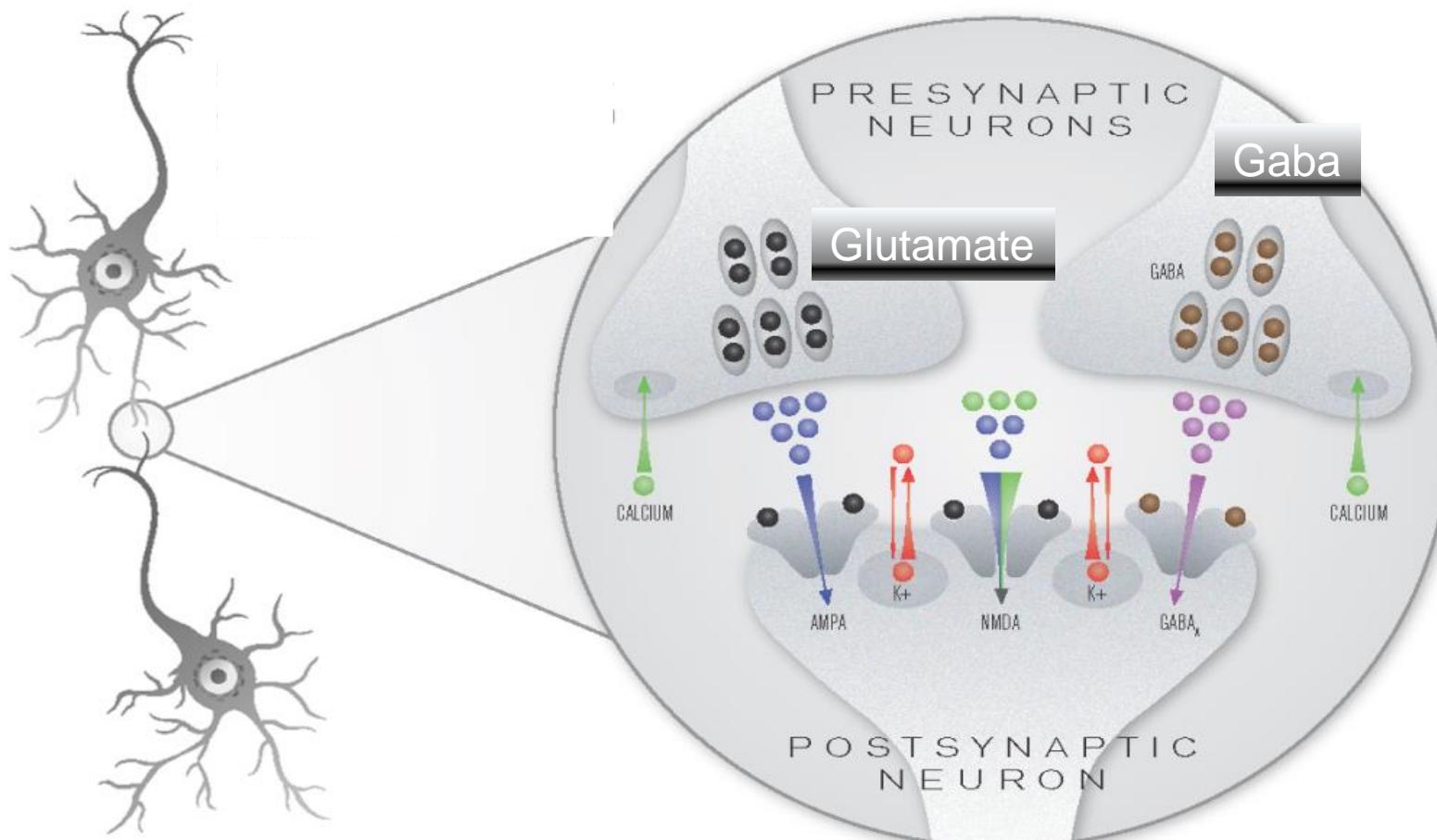
Conductance-Based Neural Mass Models in DCM

Current in = Conductance X Potential Difference

$$C\dot{V} = g(V_{rev} - V)$$

Ohm's Law $V = IR$

Ohm's Law for a Capacitor $I = C \frac{dv}{dt}$



Conductance-Based Neural Mass Models in DCM

Current in = Conductance X Potential Difference

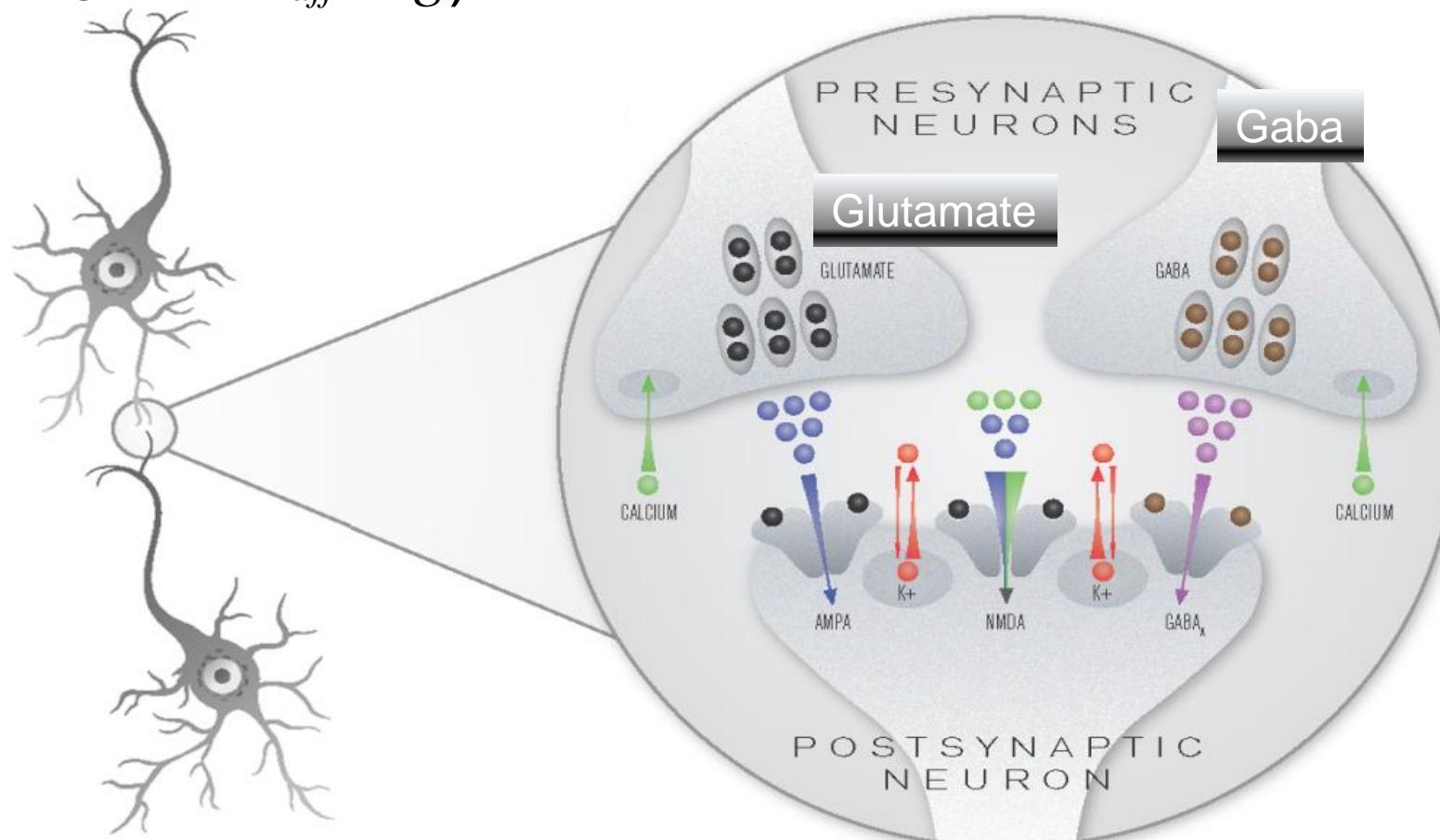
$$C\dot{V} = g(V_{rev} - V)$$

Ohm's Law $V = IR$

Ohm's Law for a Capacitor $I = C \frac{dv}{dt}$

Dynamic Conductance

$$\dot{g} = \kappa(\gamma_{aff} - g)$$



Conductance-Based Neural Mass Models in DCM

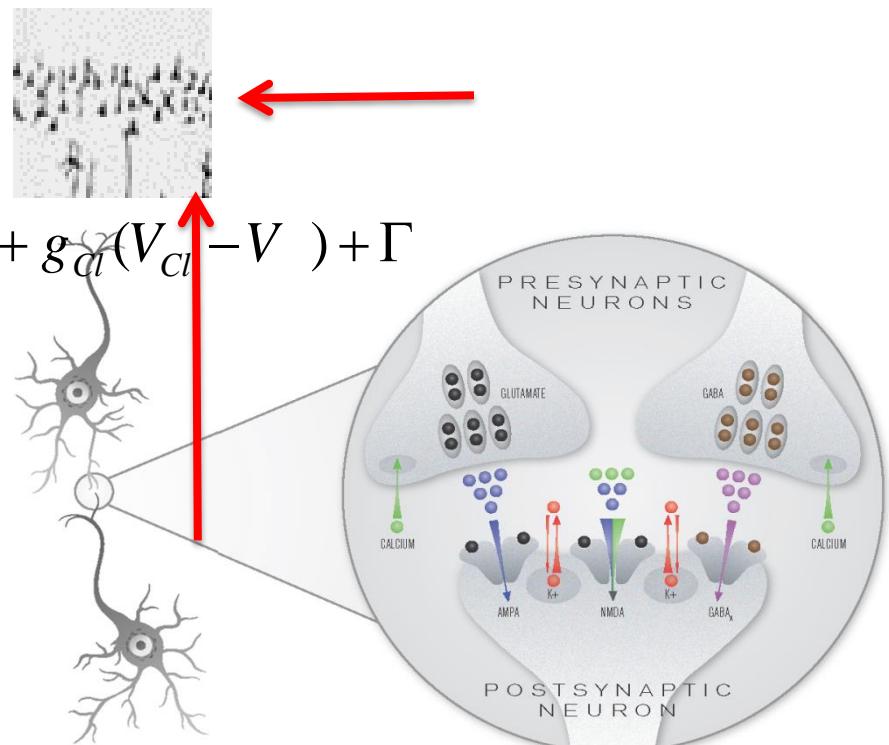
Connectivity driven by different neurotransmitters and receptors

$$\dot{CV} = g_{Na}(V_{Na} - V) + g_{Ca}f_{MG}(V_{Ca} - V) + g_{Cl}(V_{Cl} - V) + \Gamma$$

$$\dot{g}_{Na} = \kappa_{AMPA}(\gamma_{ec}\sigma - g_{Na}) + \Gamma$$

$$\dot{g}_{Cl} = \kappa_{GABA}(\gamma_{ic}\sigma - g_{Cl}) + \Gamma$$

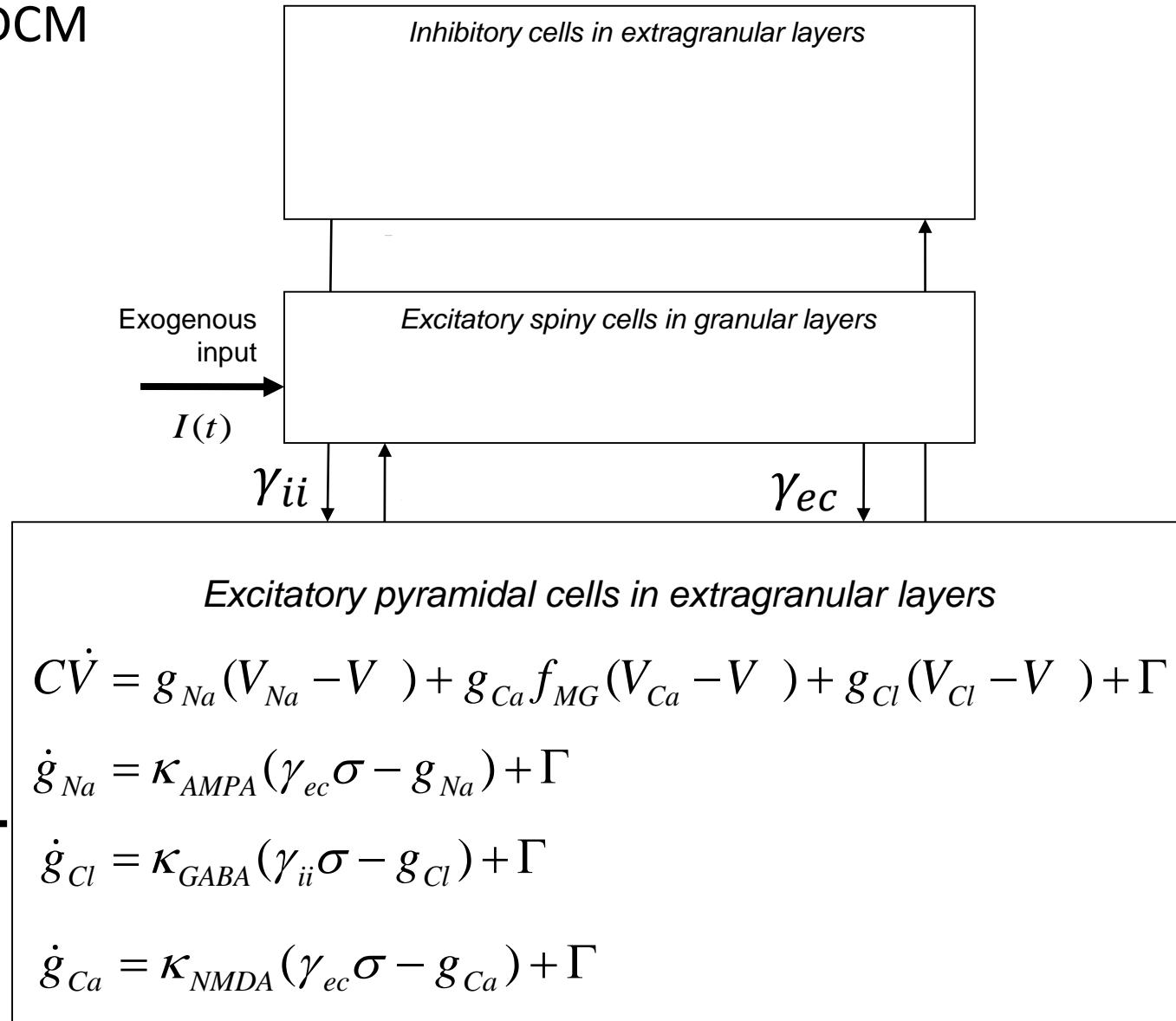
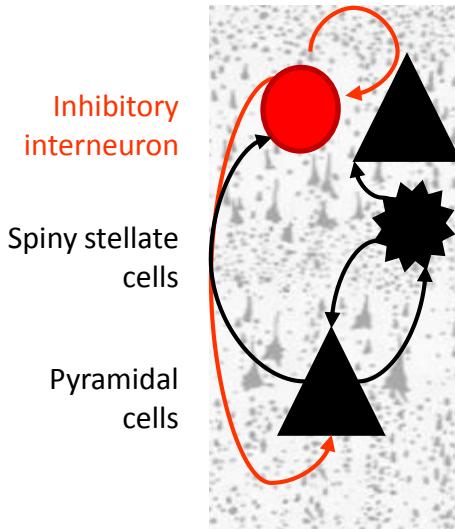
$$\dot{g}_{Ca} = \kappa_{NMDA}(\gamma_{ec}\sigma - g_{Ca}) + \Gamma$$



State equations & parameters

$$\dot{x} = F(x, u, \theta) \quad \theta = \{\gamma, \kappa, V_{rev}, V_{thresh}, C\}$$

Conductance-Based Neural Mass Models in DCM



A selection of intrinsic architectures in SPM

frontiers in
COMPUTATIONAL NEUROSCIENCE

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Neural masses and fields in dynamic causal modeling

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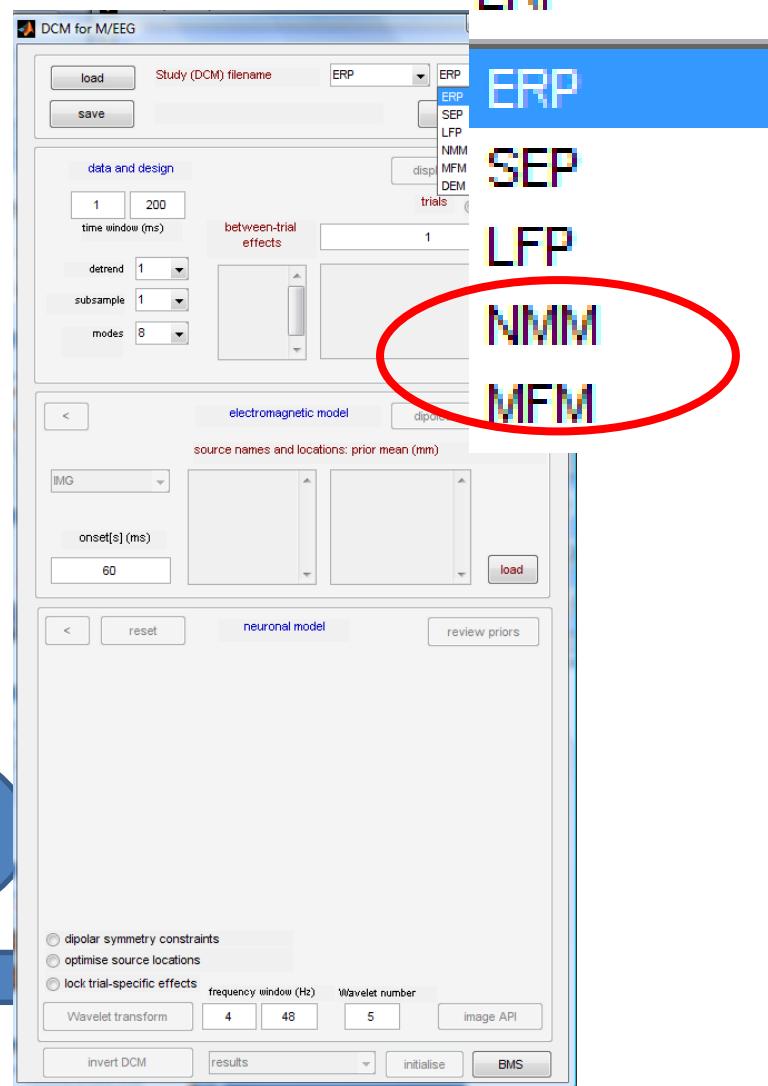
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Dynamic causal modeling (DCM) provides a framework for the analysis of effective connectivity among neuronal subpopulations that subtend invasive (electrocorticograms and local field potentials) and non-invasive (electroencephalography and magnetoencephalography) electrophysiological responses. This paper reviews the suite of neuronal population models including neural masses, fields and conductance-based models that are used in DCM. These models are expressed in terms of sets of differential equations that allow one to model the synaptic underpinnings of connectivity. We describe early developments using neural mass models, where convolution-based dynamics are used to generate responses in laminar-specific populations of excitatory and inhibitory cells. We show that these models, though resting on only two simple transforms, can recapitulate the characteristics of both evoked and spectral responses observed empirically. Using an identical neuronal architecture, we show that a set of conductance based models—that consider the dynamics of specific ion-channels—present a richer space of responses; owing to non-linear interactions between conductances and membrane potentials. We propose that conductance-based models may be more appropriate when spectra present with multiple resonances. Finally, we outline a third class of models, where each neuronal subpopulation is treated as a field; in other words, as a manifold on the cortical surface. By explicitly accounting for the spatial propagation of cortical activity through partial differential equations (PDEs), we show that the topology of connectivity—through local lateral interactions among cortical layers—may be inferred, even in the absence of spatially resolved data. We also show that these models allow for a detailed analysis of structure-function relationships in the cortex. Our review highlights the relationship among these models and how the appropriate model class.

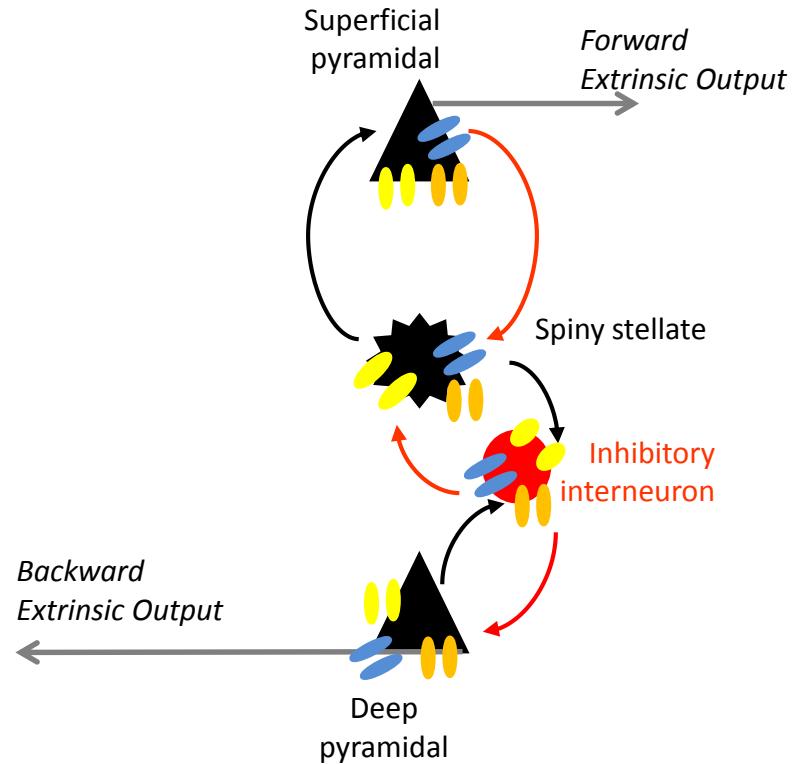
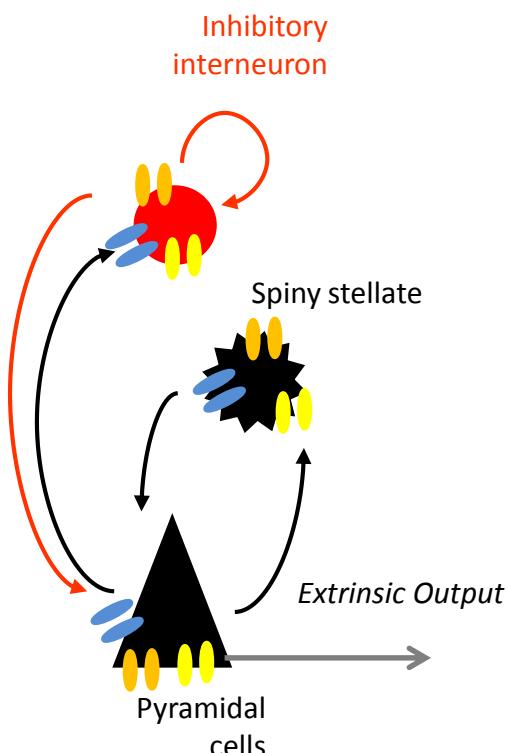
A suite of neuronal population models
including neural masses, fields and
conductance-based models...expressed in
terms of sets of differential equations



Predictive coding-based Neural Mass Models in DCM

Canonical Microcircuits for Predictive Coding

Andre M. Bastos,^{1,2,6} W. Martin Usrey,^{1,3,4} Rick A. Adams,⁵ George R. Mangun,^{2,3,5} Pascal Fries,^{6,7} and Karl J. Friston^{8,*}



GABA Receptors



AMPA Receptors



NMDA Receptors

$$\begin{aligned} C\dot{V} &= g(V_{rev} - V) + \Gamma \\ \dot{g} &= \kappa(\gamma_{aff}\sigma(\mu_{aff} - V_{threshold}, \sum_{aff}) - g) + \Gamma \end{aligned}$$

Moran et al. 2011, Neuroimage

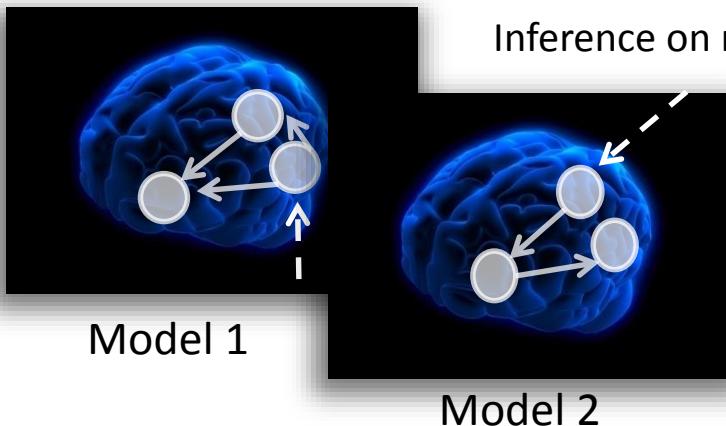
4-subpopulation
Canonical Microcircuit

Model Inversion & Inference

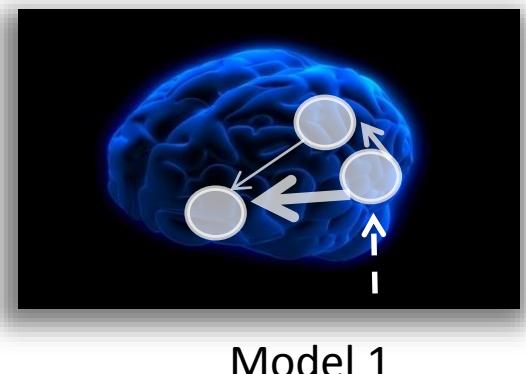
Bayes' rules: $p(\theta | y, m) = \frac{p(y | \theta, m)p(\theta | m)}{p(y | m)}$

Free Energy: $F = \max \ln p(y|m) - D(q(\theta) \| p(\theta|y,m))$

Bayesian Inversion



Inference on parameters

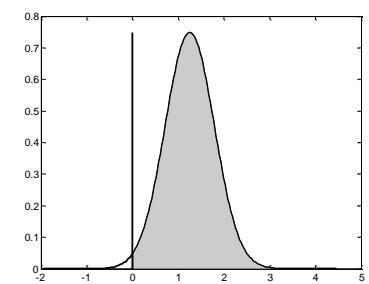


Model comparison via Bayes factor:

$$BF = \frac{p(y | m_1)}{p(y | m_2)}$$

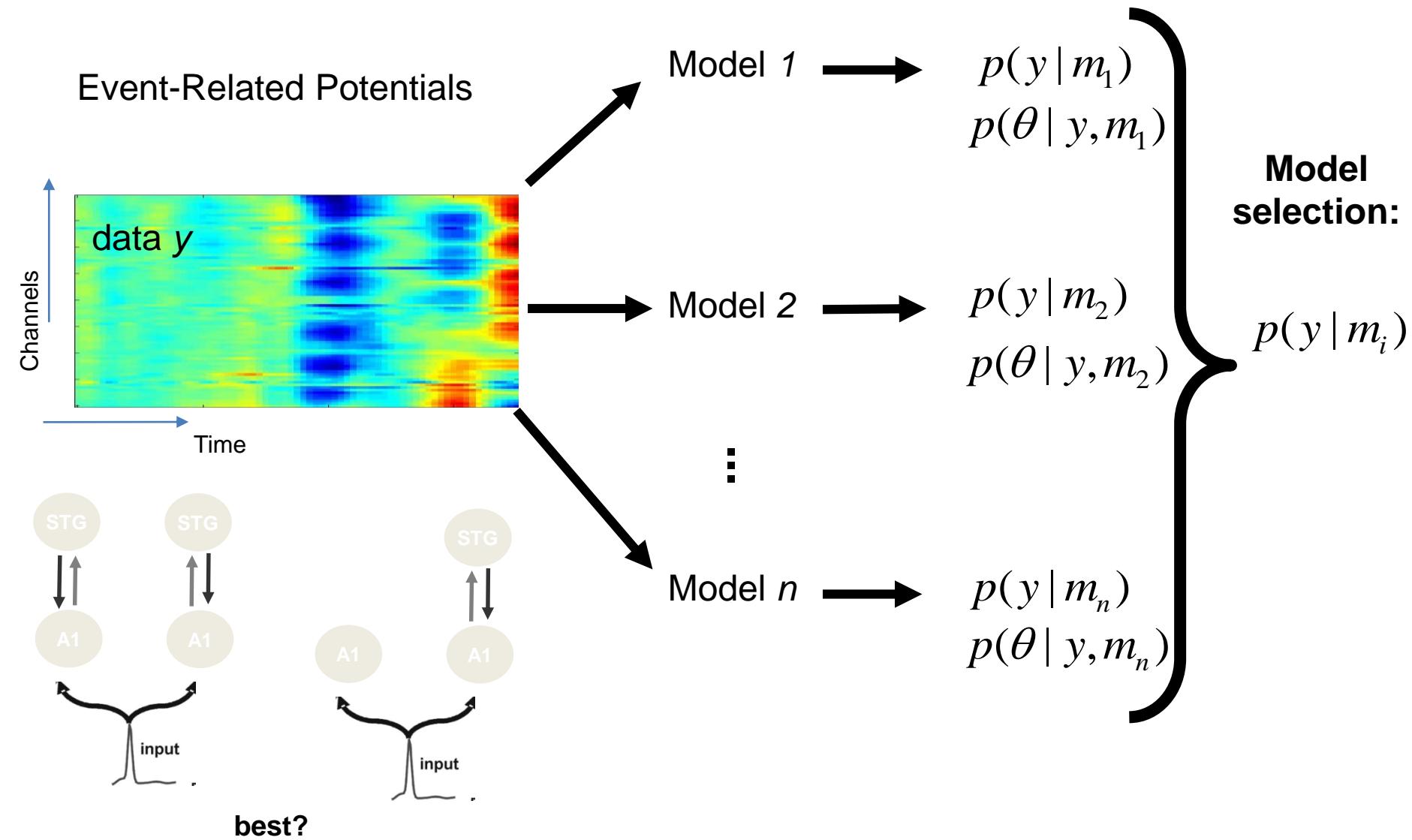
→ accounts for both accuracy and complexity of the model

→ allows for inference about structure (generalisability) of the model



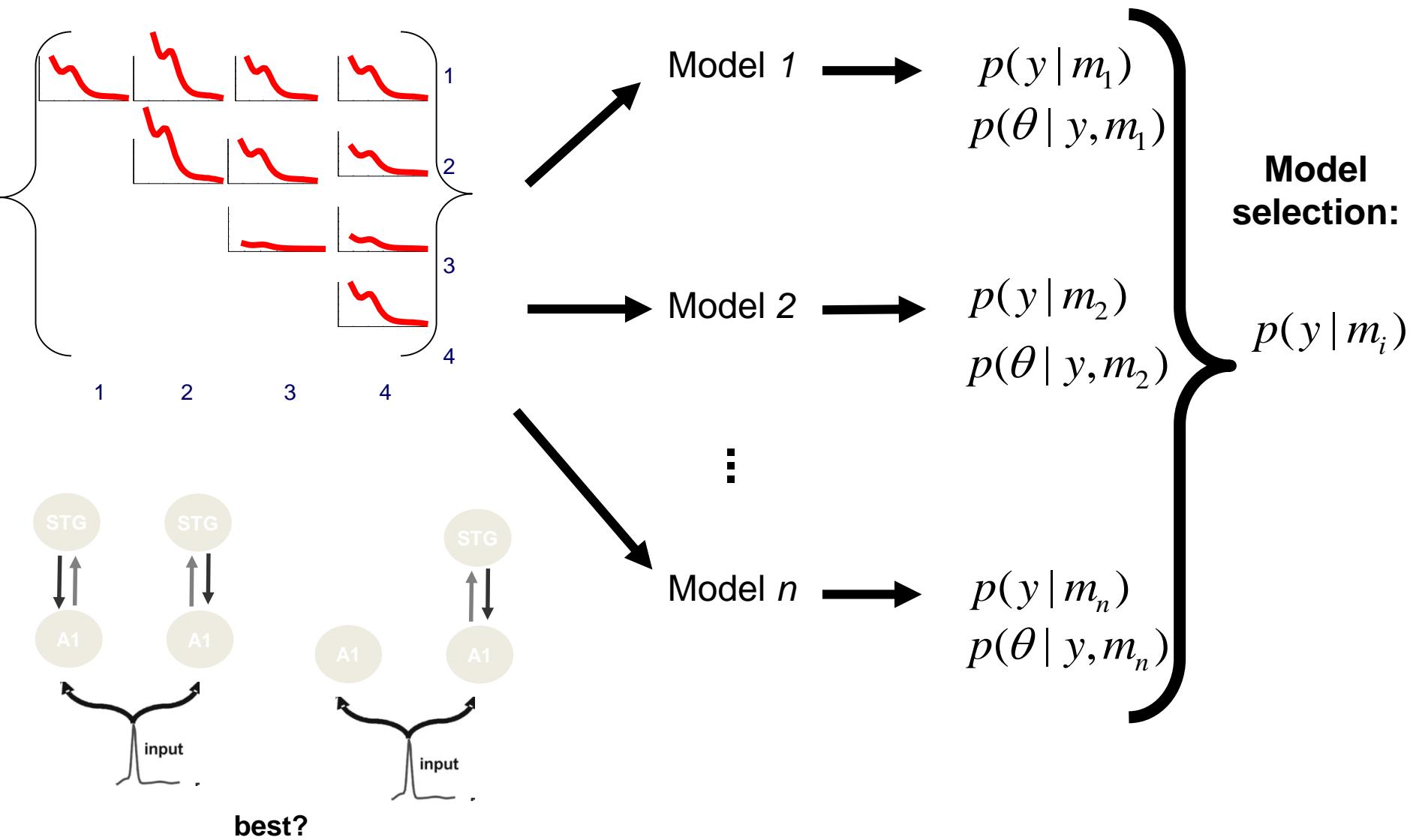
$$p(\text{conn} > 0 | y) = 99.1\%$$

Data & Hypotheses



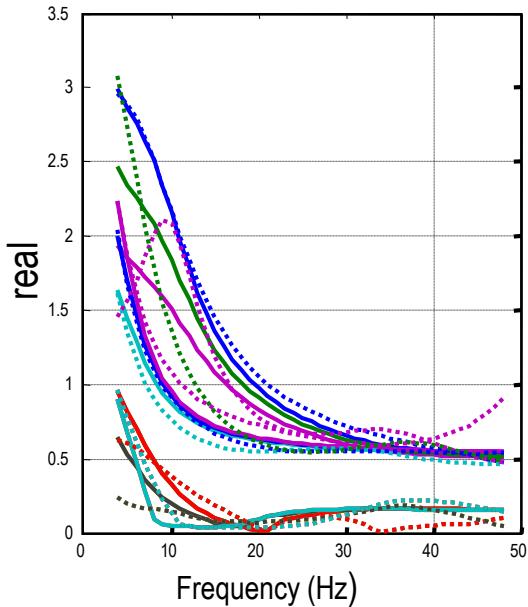
Data & Hypotheses

Spectral Responses

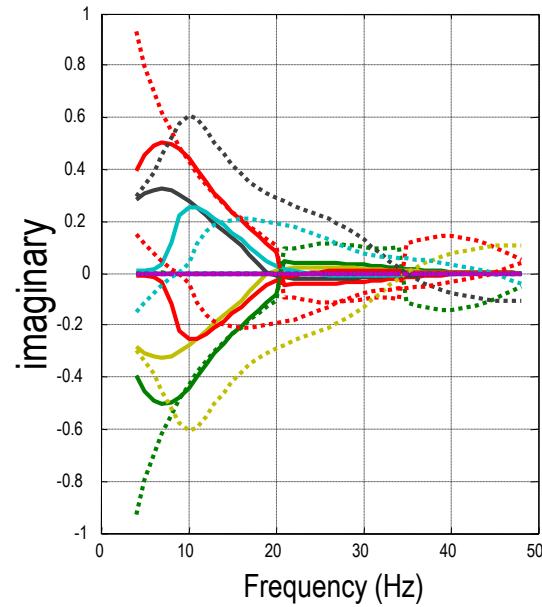


Inversion in the real & complex domain

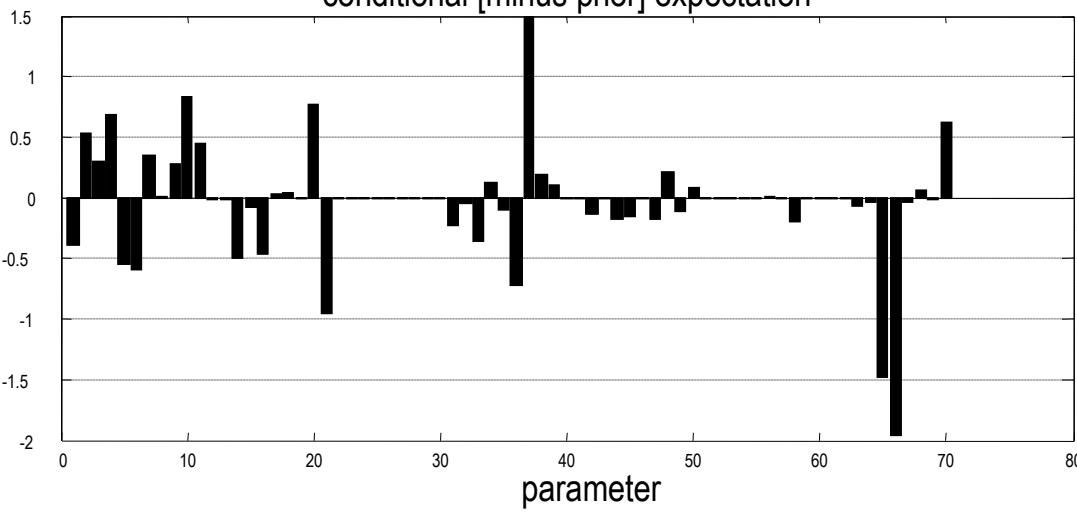
prediction and response: E-Step: 32



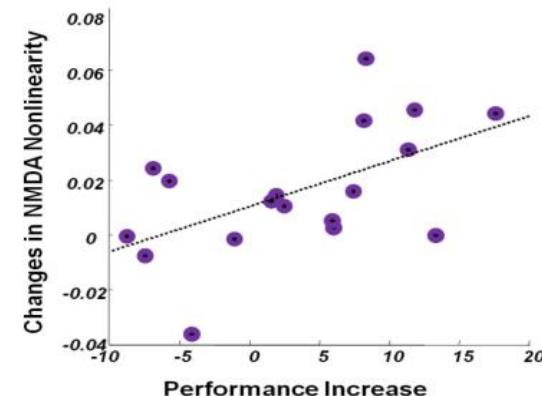
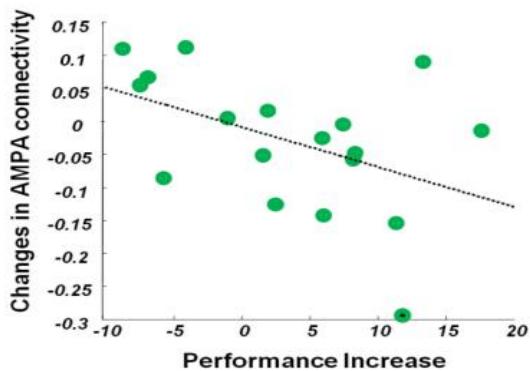
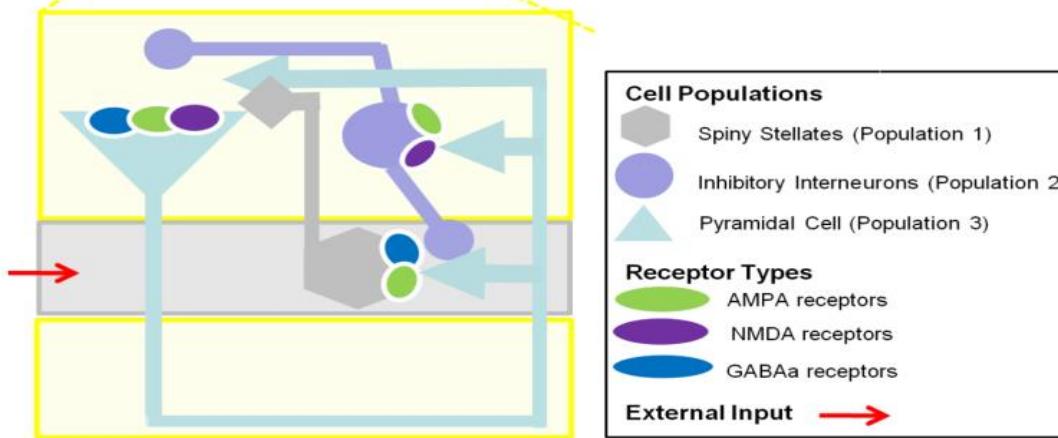
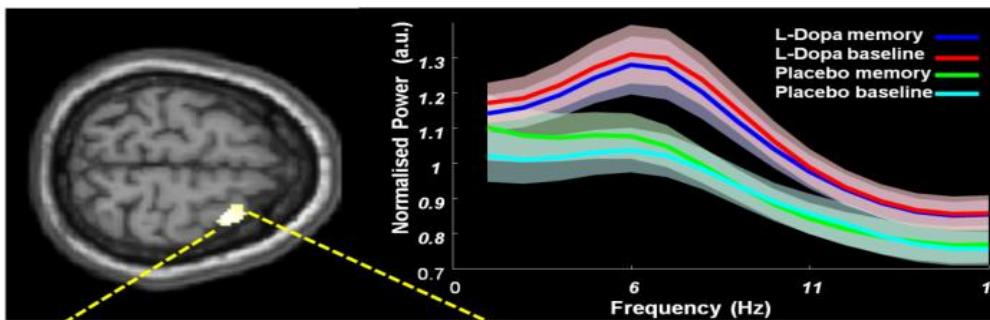
prediction and response: E-Step: 32



conditional [minus prior] expectation

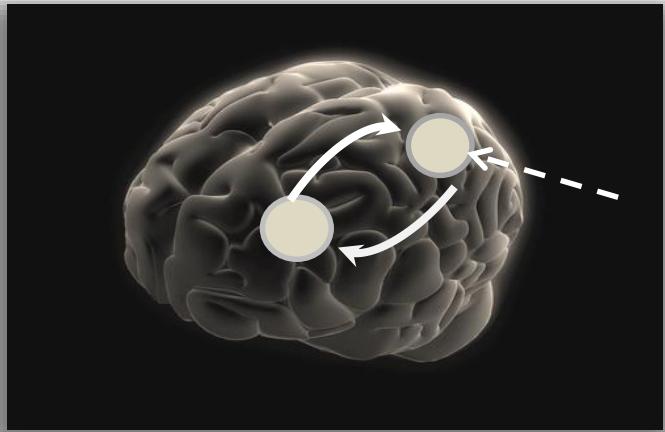


Model Validation



Ketamine Example

Connectivity from Human Neuroimaging Data: Dynamic Causal Models



What have we gained?

The receptor profile of connectivity

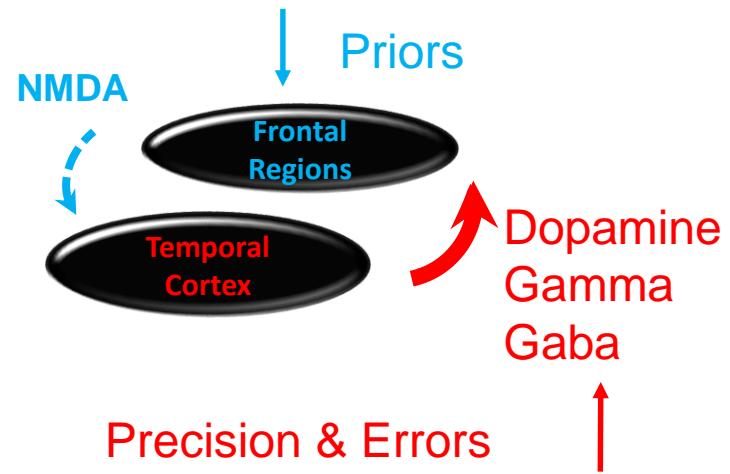
Clues for molecular pathogenic pathways

A pharmacological target

For EEG

Dysconnection

- Reversal can be regarded as a failure of prefrontal cortex to suppress activity in the temporal lobe
- Dysconnectivity could result from abnormal modulation of N-methyl-D-aspartate (NMDA)-dependent plasticity by other neurotransmitter systems



The Ketamine Model of Psychosis & Schizophrenia

Noncompetitive NMDA-r antagonist

Dissociative anaesthetic:

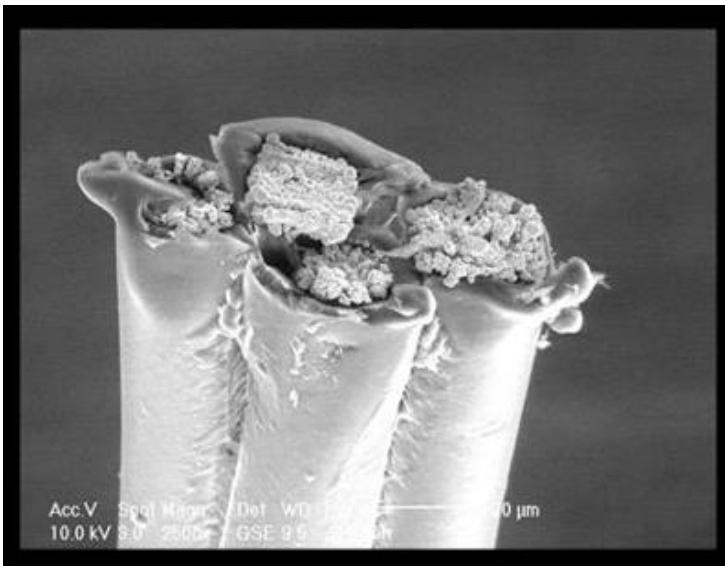
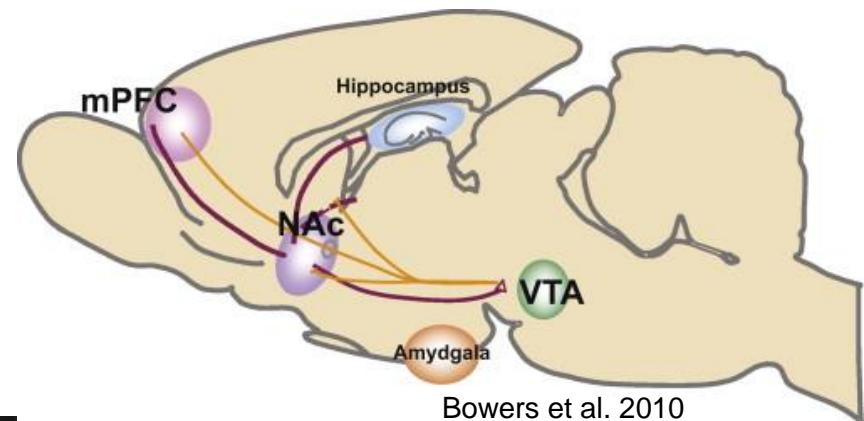
- "... a peculiar anaesthetic state in which marked sensory loss and analgesia as well as amnesia is not accompanied by actual loss of consciousness." (Bonta, 2004)

Subanaesthetic Doses:

- Model of psychosis in animals, producing hyperlocomotion and disruption of PPI
- Reproduces in humans both positive and negative symptoms of schizophrenia along with associated cognitive deficits.

The Ketamine Model of Psychosis & Schizophrenia

With Matthew Jones, University of Bristol



Ketamine Dose: 0, 2, 4, 8, 30 mgkg⁻¹

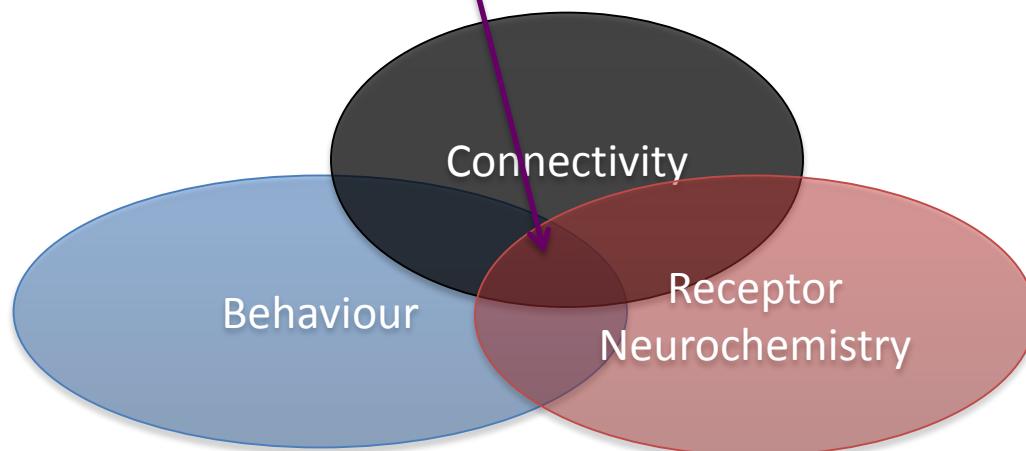
Hippocampal & Prefrontal Recordings

5 mins of recordings from freely moving rat: tetrodes in dCA1 & mPFC

The Ketamine Model of Psychosis & Schizophrenia

Effects on Oscillations:

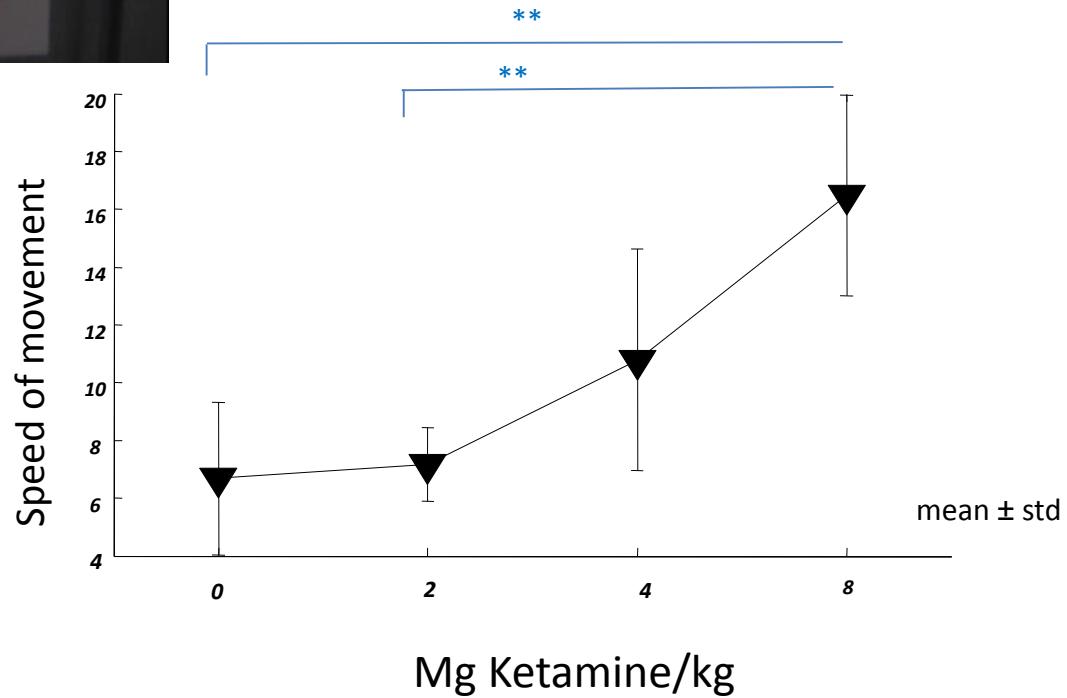
- Theta reduction in the hippocampus and Gamma enhancement in hippocampus and neocortex.
- Antipsychotic drugs (D2 antagonists) acutely reduce cortical gamma oscillations in rats (Jones et al. 2011).
- Aberrant beta and gamma synchrony observed in patient populations (Uhlhaas et al. 2008).
- Reduced or enhanced gamma depending on state late/prodromal (Sun et al. 2011).



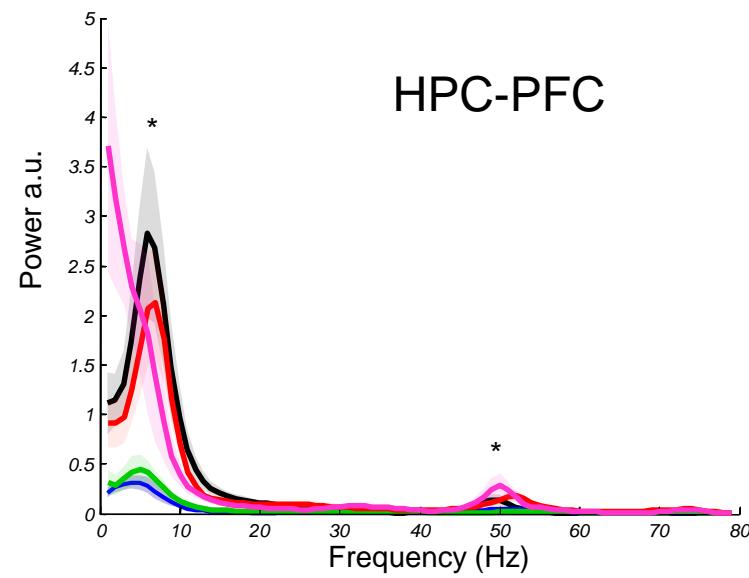
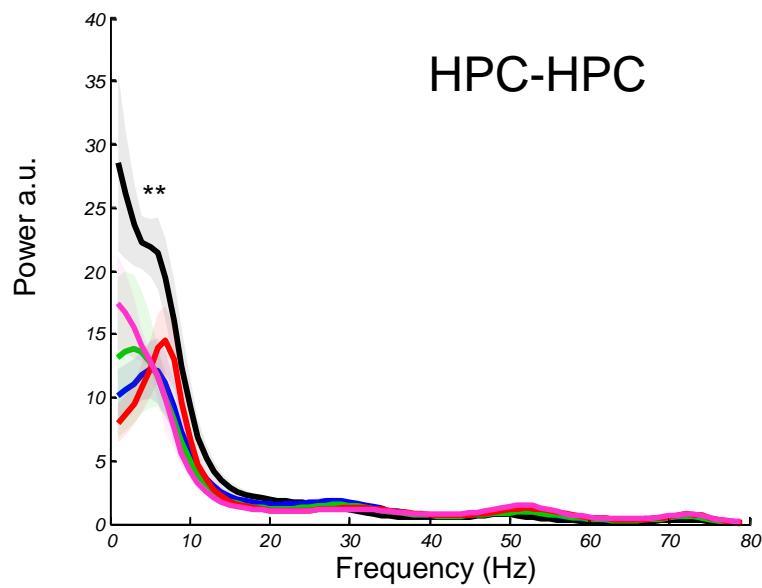
Behavioural Phenotype



Hyper-locomotion



Oscillatory Characteristics

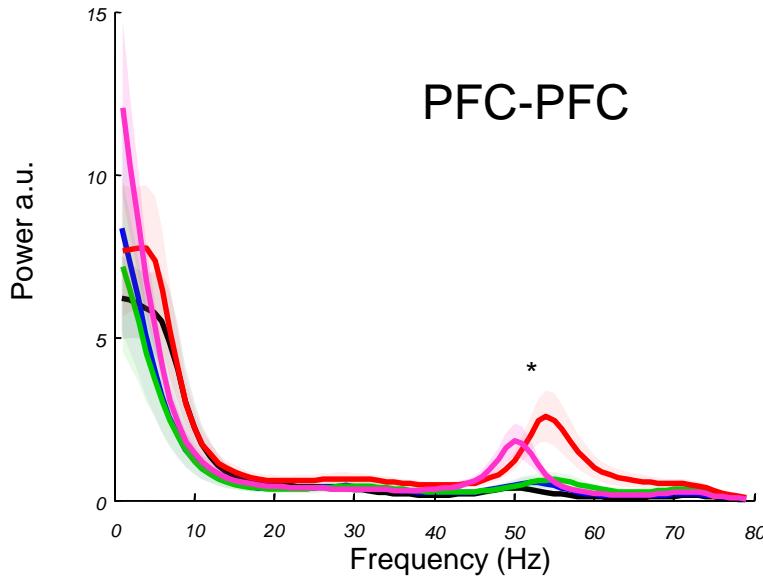


Recorded

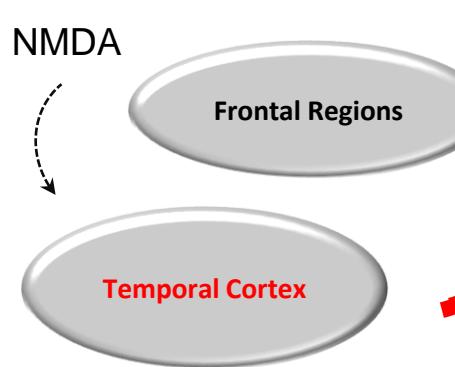
- Veh (13) ————
- 2mg (8) ————
- 4mg (8) ————
- 8mg (13) ————
- 30 mg (5) ————

$p < 0.005$ **
 $p < 0.05$ *

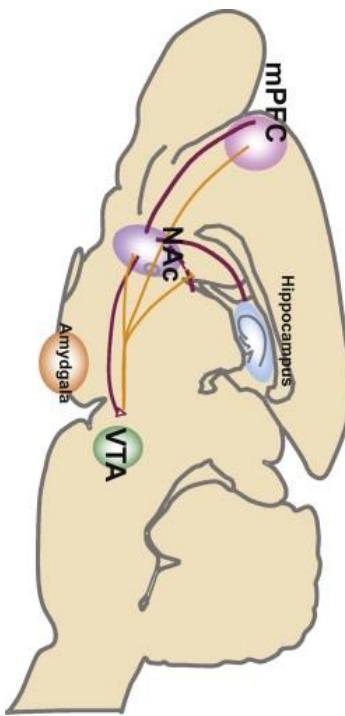
5 minutes : freely moving



Hypothesis, Data & Model-based analysis:



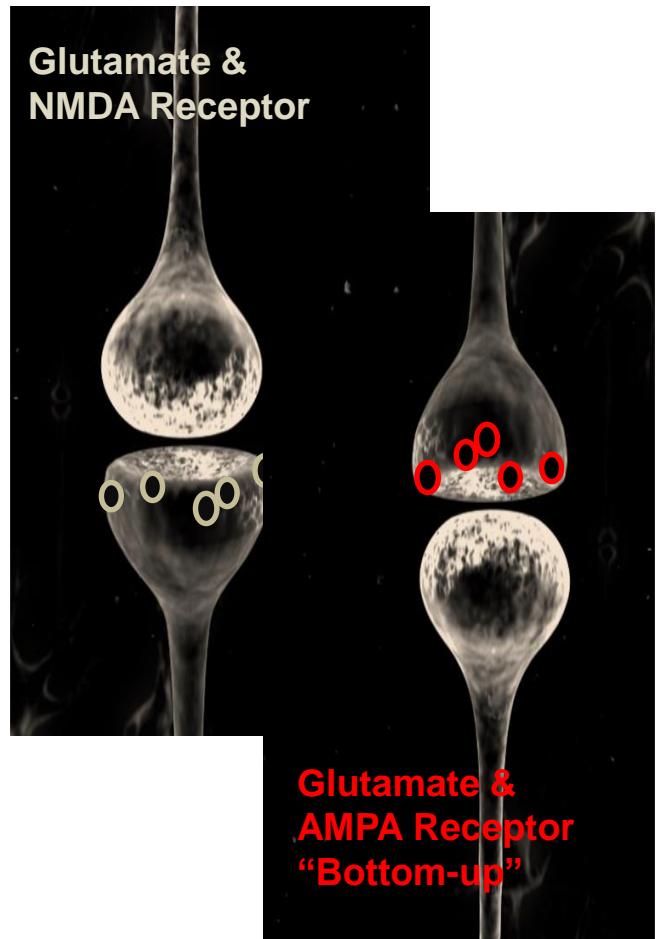
Dopamine
Gamma
Gaba



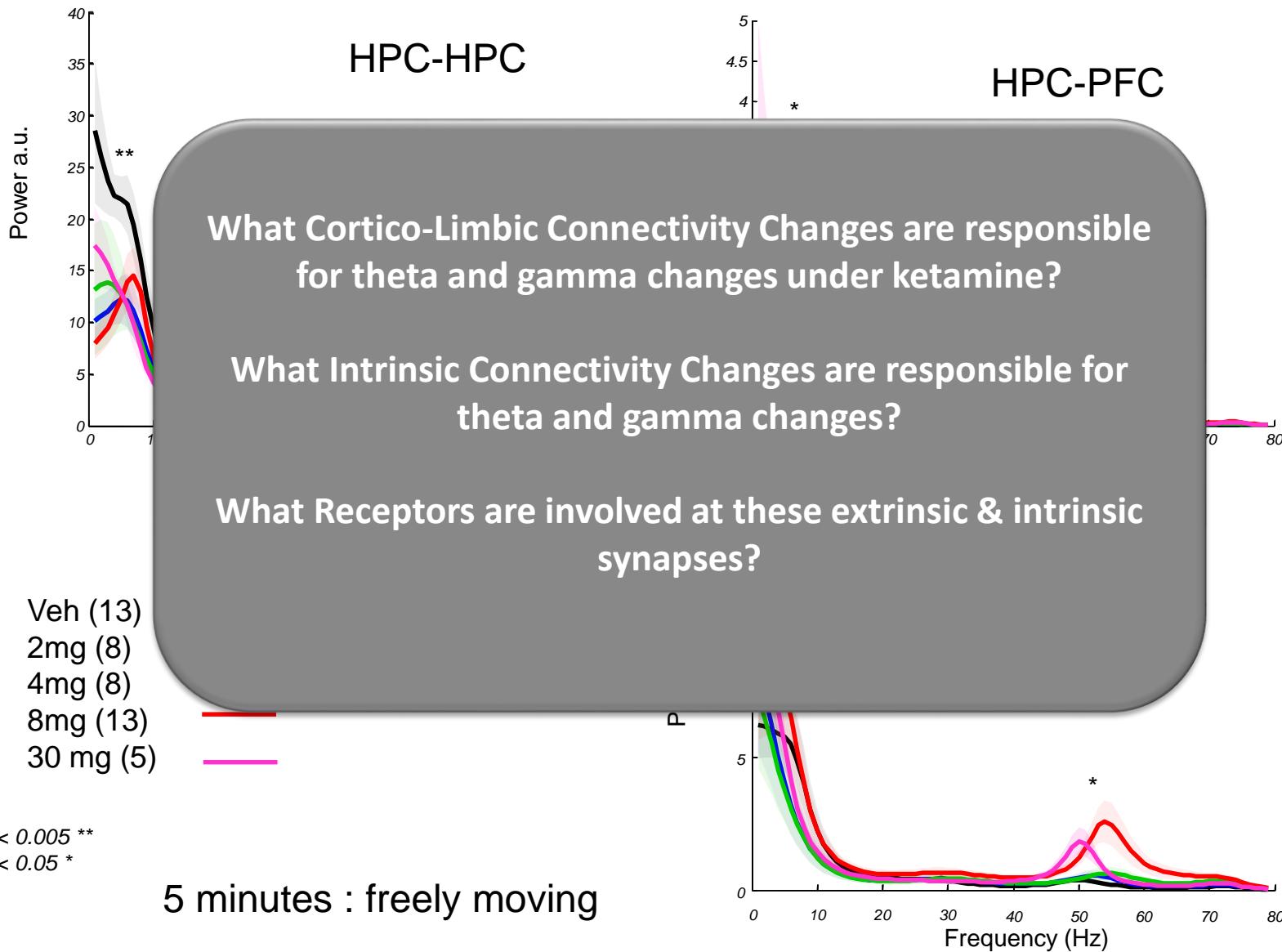
Hippocampal & Prefrontal Recordings

5 mins of recordings from freely moving rat: tetrodes in dCA1 & mPFC

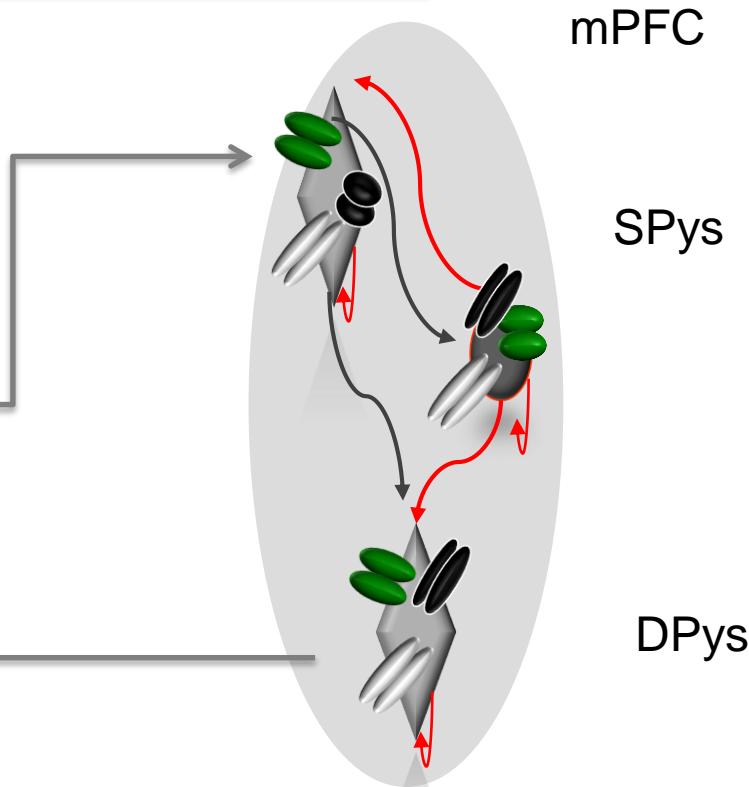
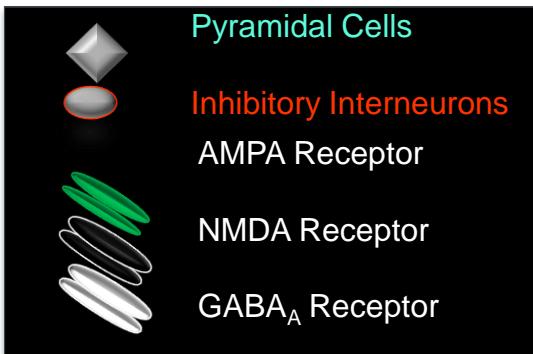
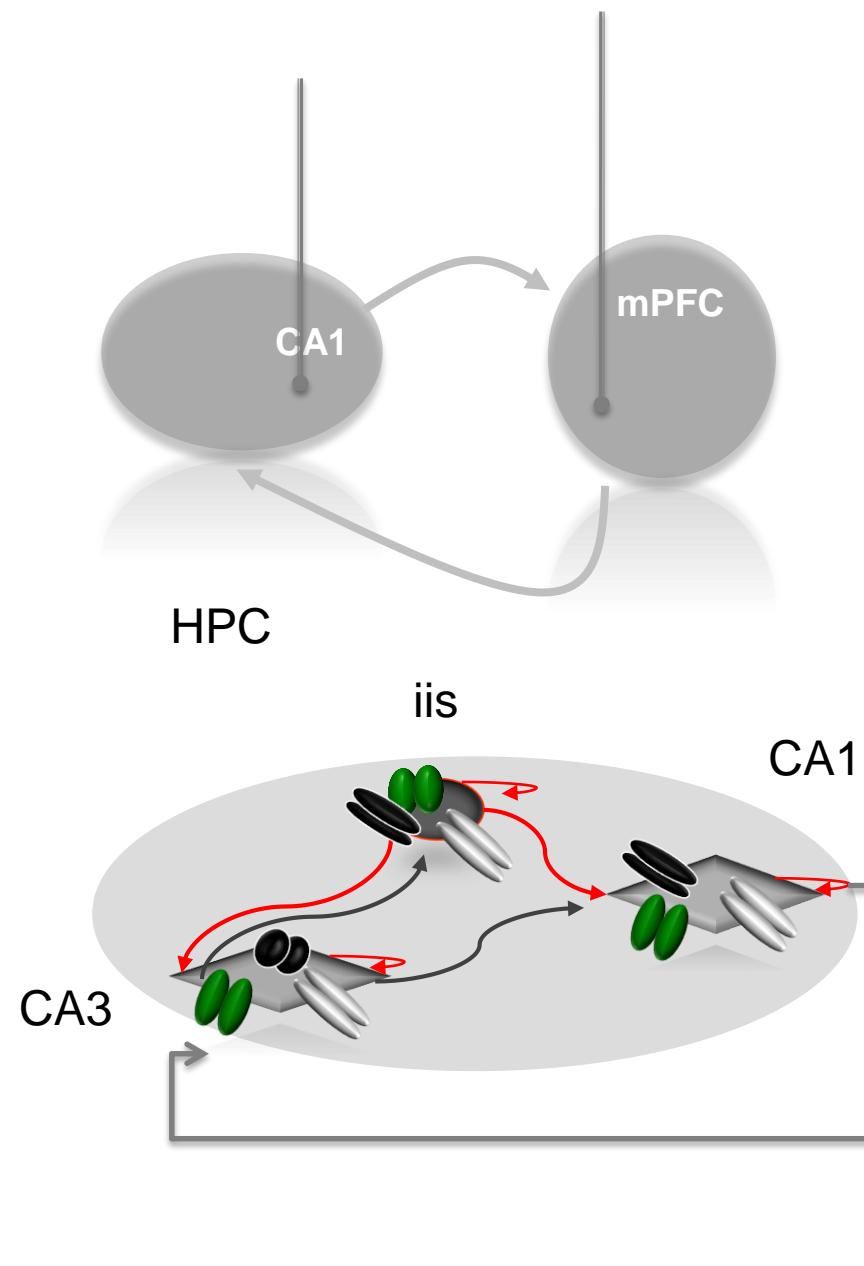
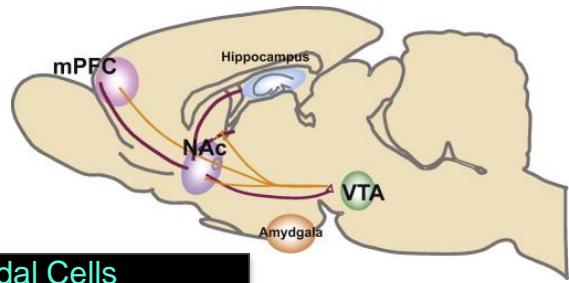
Ketamine Dose: 0, 2, 4, 8, 30 mgkg⁻¹



Hypothesis, Data & Model-based analysis:



Proposed Architecture

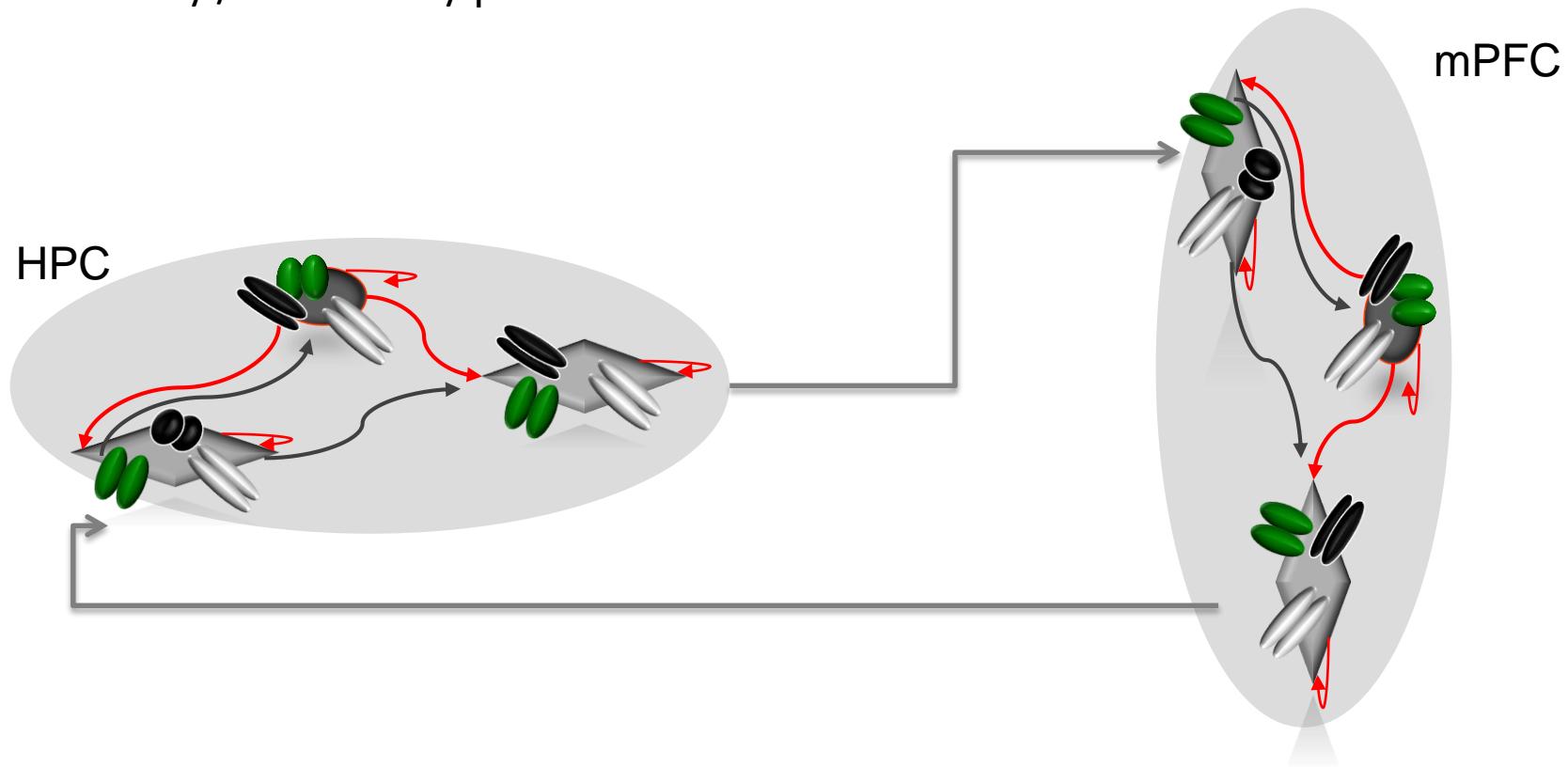


Model Comparison

Ketamine doses parametrically modulate:

1. All extrinsic connections,
2. Intrinsic NMDA and
3. Inhibitory / Modulatory processes

$$\gamma = \gamma + B_{ket} \gamma$$

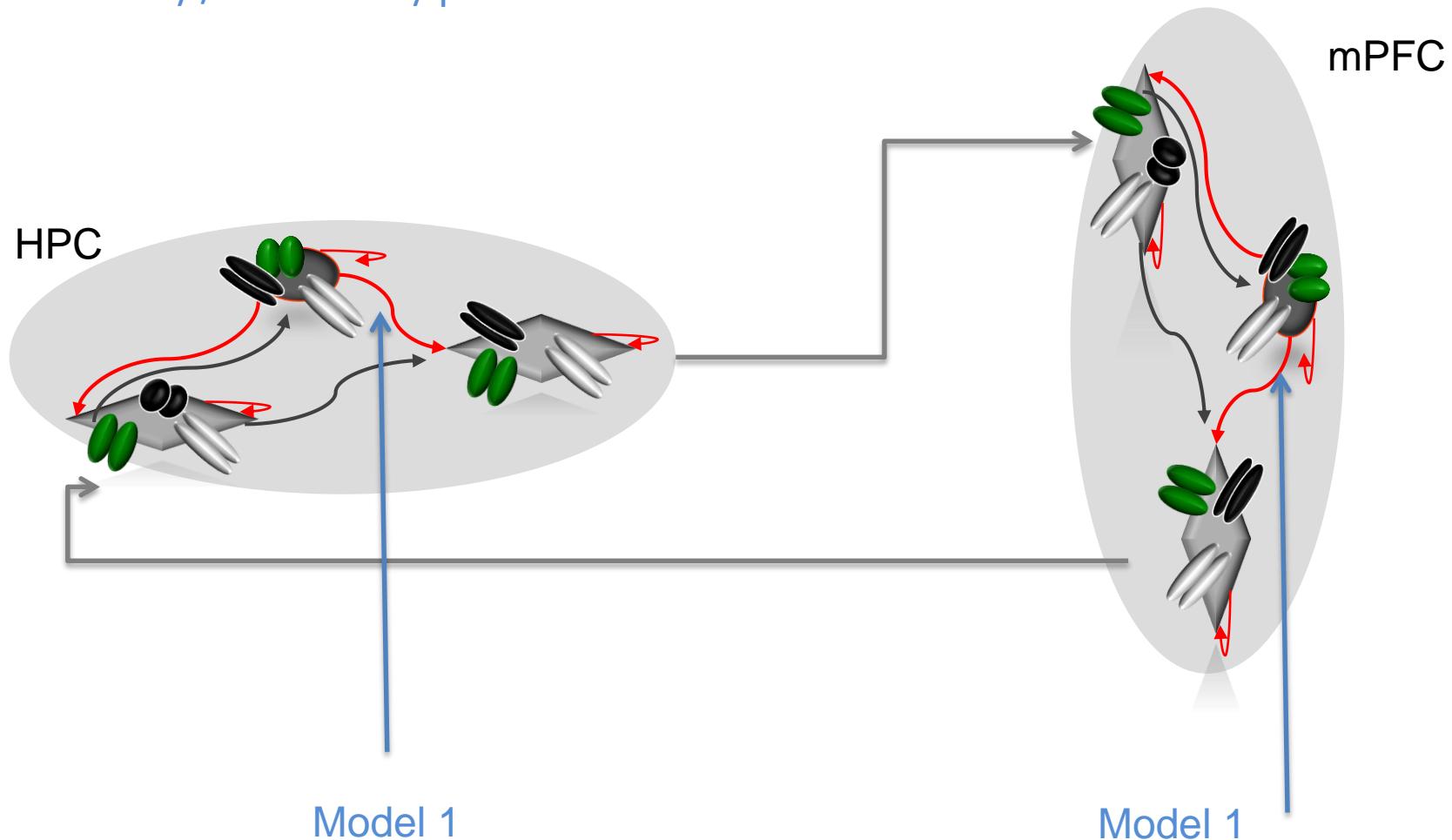


Model Comparison

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Model Comparison

Ketamine modulates:

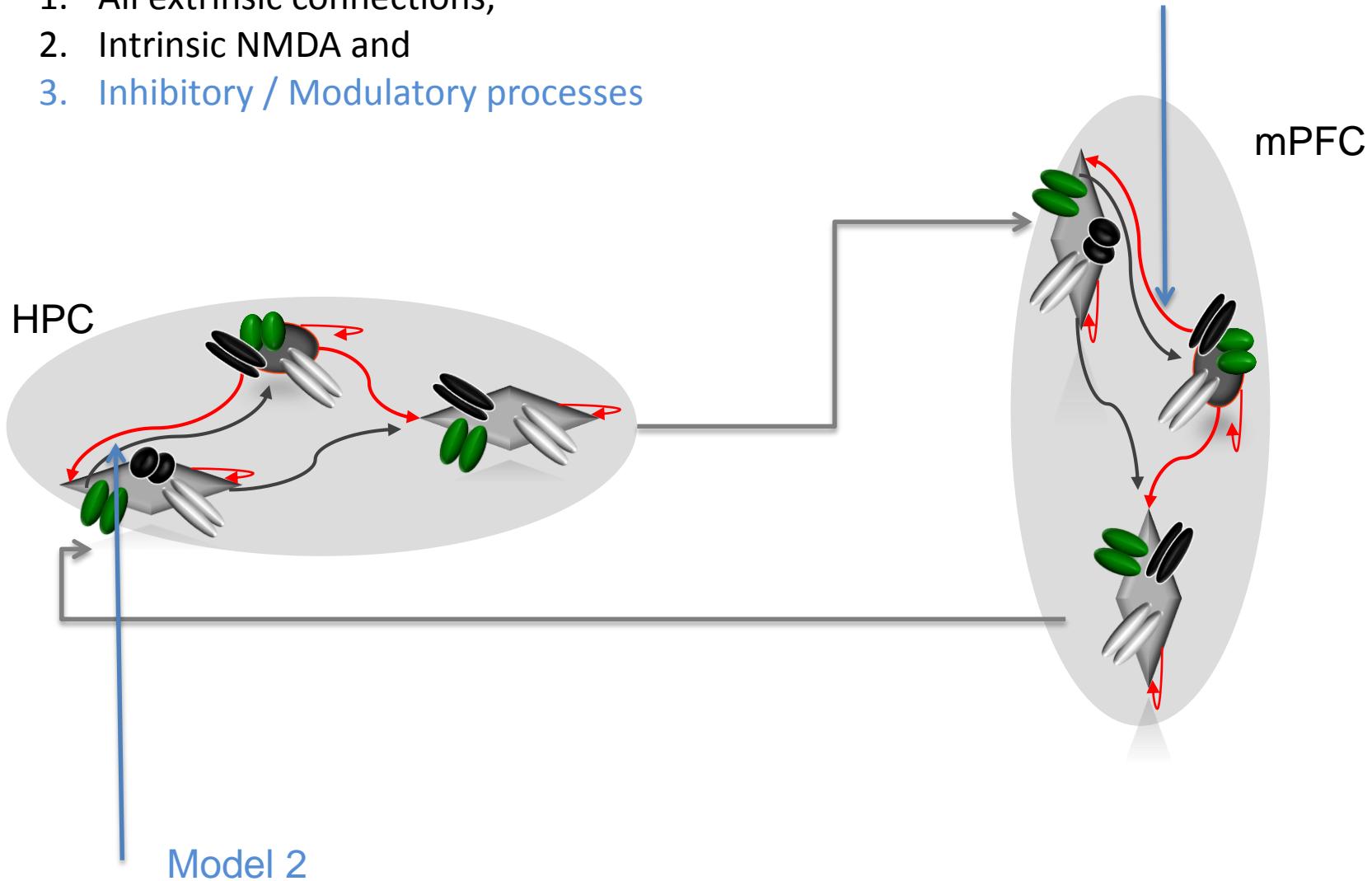
1. All extrinsic connections,
2. Intrinsic NMDA and
3. Inhibitory / Modulatory processes

HPC

Model 2

Model 2

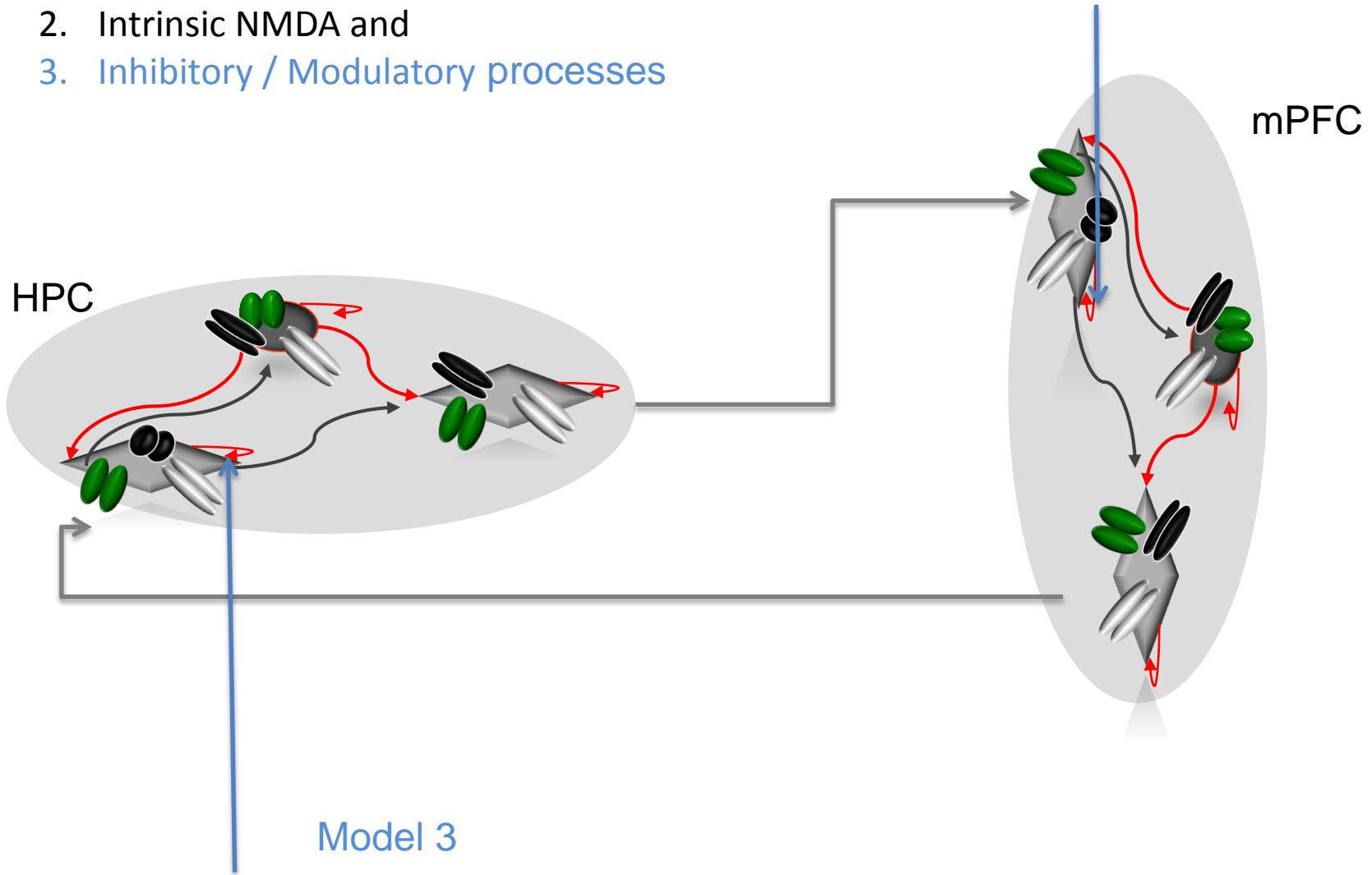
mPFC



Model Comparison

Ketamine modulates:

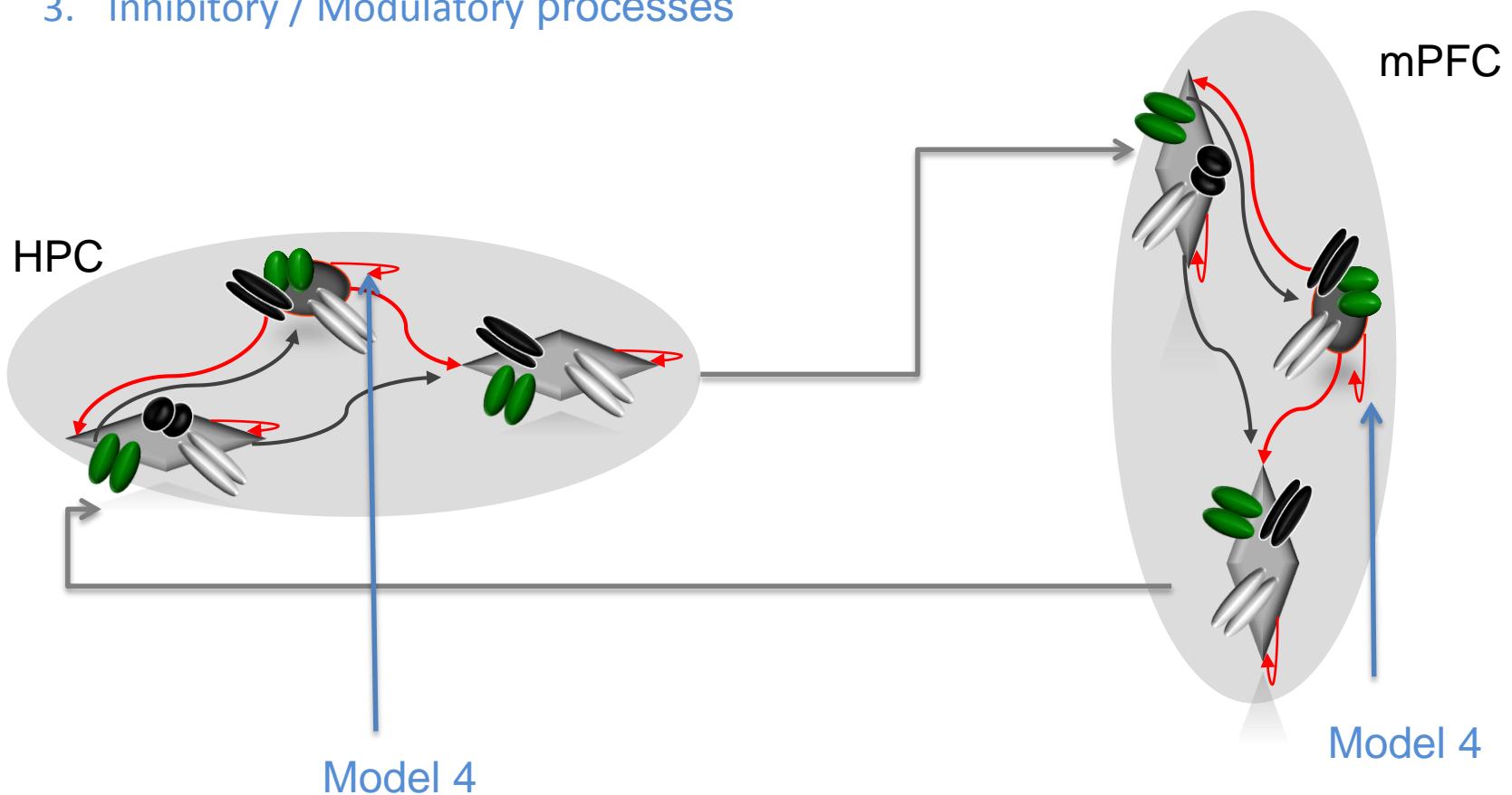
1. All extrinsic connections,
2. Intrinsic NMDA and
3. Inhibitory / Modulatory processes



Model Comparison

Ketamine modulates:

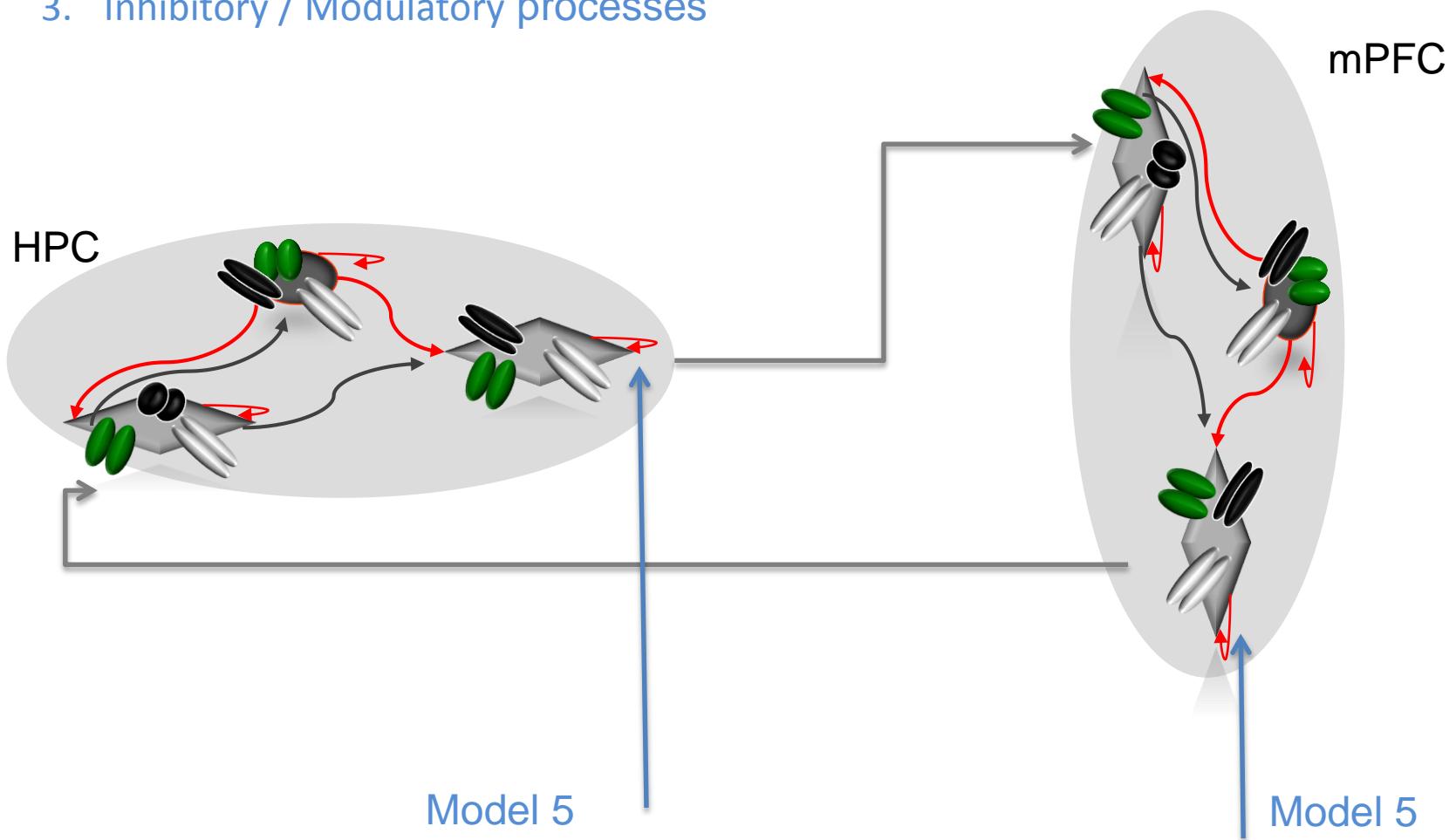
1. All extrinsic connections,
2. Intrinsic NMDA and
3. Inhibitory / Modulatory processes



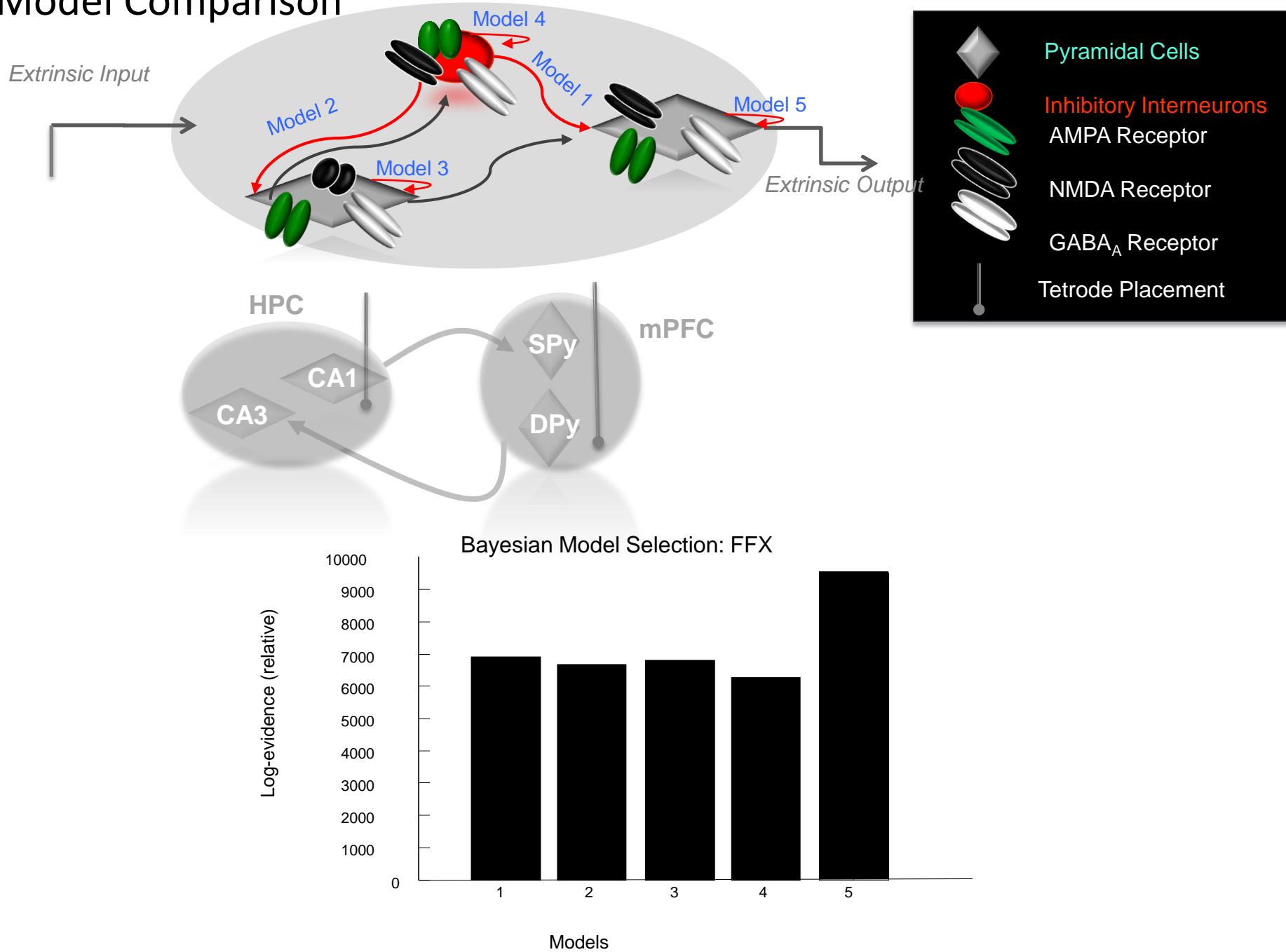
Model Comparison

Ketamine modulates:

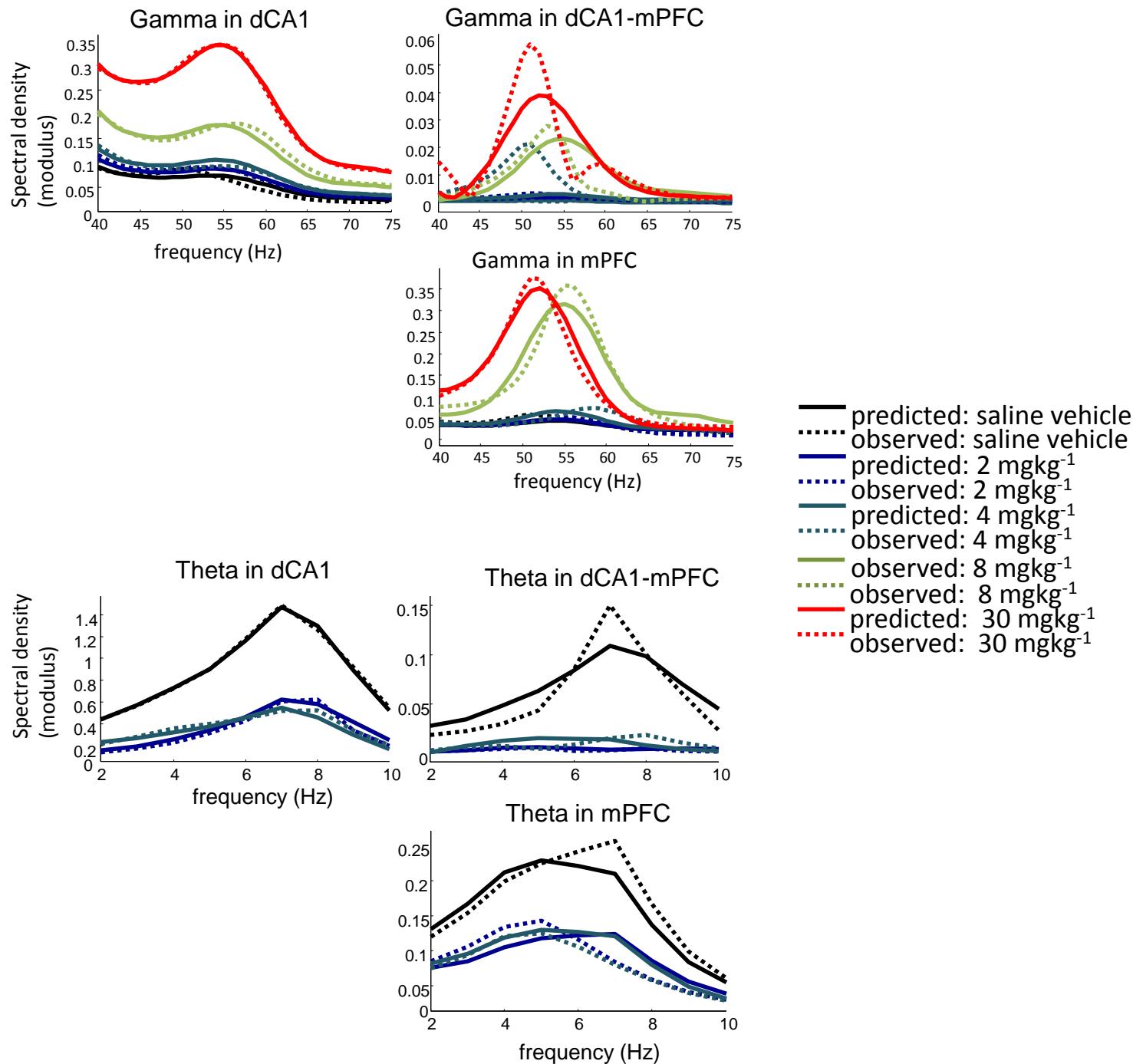
1. All extrinsic connections,
2. Intrinsic NMDA and
3. Inhibitory / Modulatory processes



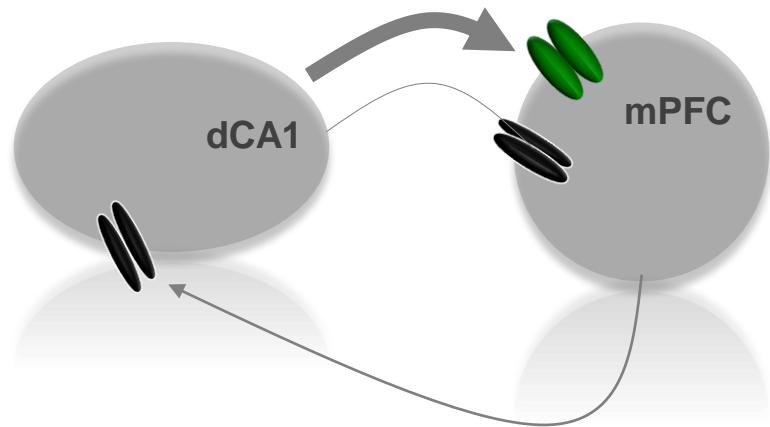
Model Comparison



Model Fits



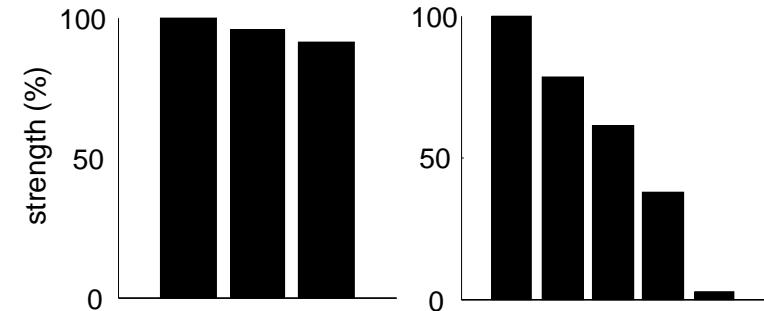
Extrinsic Connectivity Changes under Ketamine



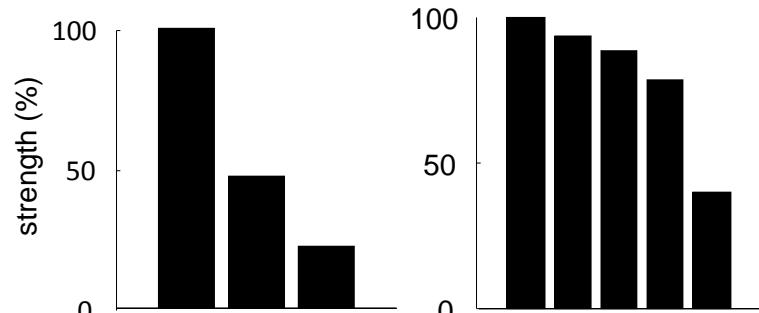
Theta Model

Gamma Model

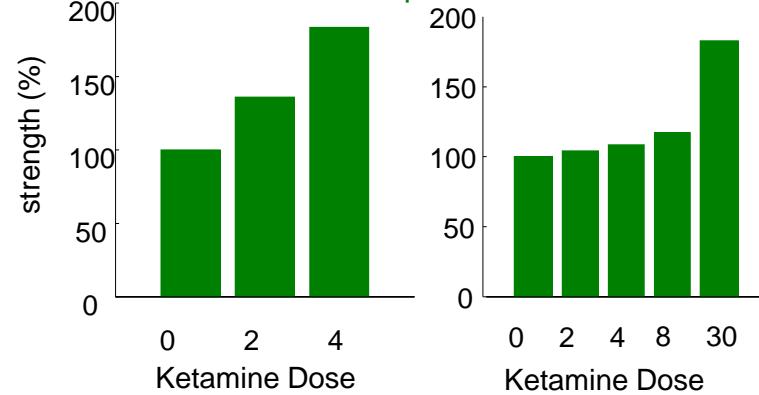
NMDA-mediated input to HPC from mPFC



NMDA-mediated input to PFC from dCA1

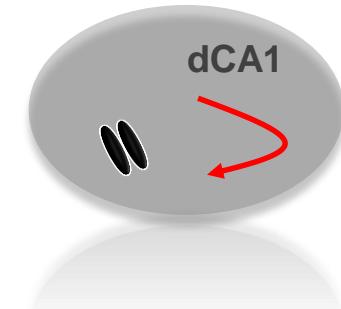


AMPA-mediated input to PFC from dCA1



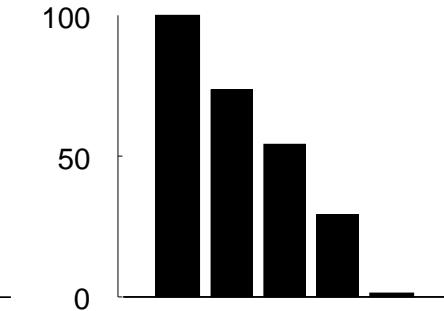
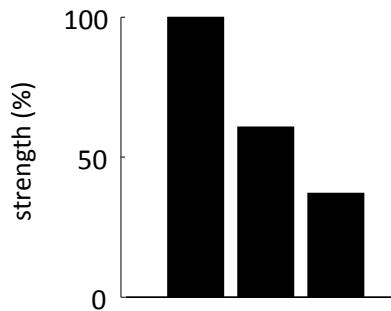
Intrinsic Connectivity Changes under Ketamine

Confirmed by MUA in CA1:
High but uncorrelated unit activity

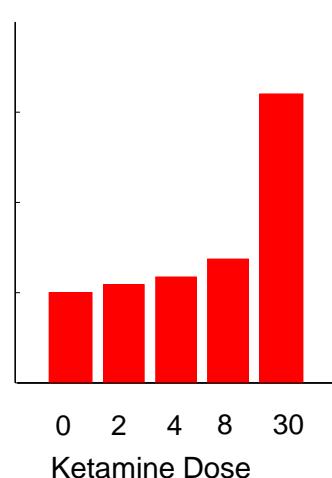
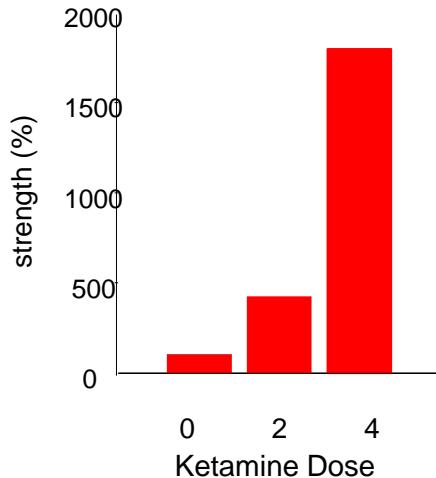


Theta Model

NMDA-mediated excitation of hippocampal Interneurons

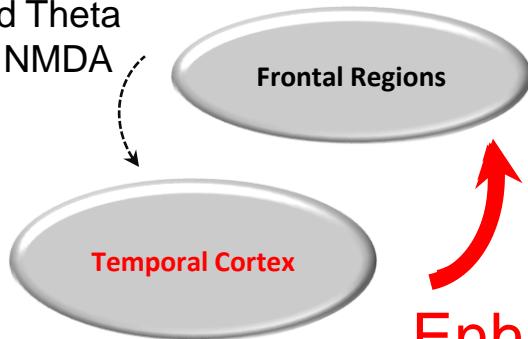


1/Signal to Noise Ratio in the Hippocampus



Losing Control Under Ketamine

Reduced Theta
Without NMDA



Enhanced Gamma
With AMPA

- Reduced Cortico-Limbic Control mediated by NMDA
- Enhanced Limbic-Cortico Drive via AMPA:
- Runaway bottom-up sensory-driven processing : disorganized cognition & environmental interactions
- Large difference in intrinsic processing: early dopaminergic D2 problem in schizophrenia?

Why these models?

Computational Psychiatry & DCMs for Electrophysiology

Why I think these models are useful:

Models of Synaptic Activity using invasive and non-invasive electrophysiological time series from large neuronal populations.

Useful models of pharmacological effects – where are the drug's effects most prominent, are other receptors affected?

Useful link to predictive coding: top-down vs. bottom up and their belief mappings.

Potential to scale to clinical settings: could patients be stratified based on endogenous connectivity profiles?

Computational Psychiatry & DCMs for Electrophysiology

Why these models can be more than mildly irritating :

Local Minima (not the model's fault)

Computational Psychiatry & DCMs for Electrophysiology

Why I think these models are useful:

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Thank You

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Jessica Gilbert
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Ehsan Dowlati

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Matt Jones, *University of Bristol*
Rick Adams, *UCL*
Klaas Stephan, *University of Zurich*