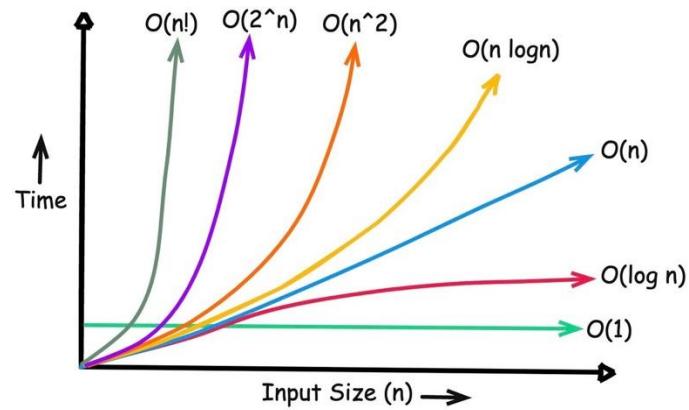


# Lecture 3

## Algorithm Analysis II



# Algorithm Design

1. Formulate the problem precisely
2. Design an algorithm
3. Prove the algorithm is correct
4. [Analyze its running time](#)

# Big-O Definition

**Definition:** The function  $T(n)$  is  $O(f(n))$  if there exists constants  $c > 0$  and  $n_0 \geq 0$  such that

$$T(n) \leq cf(n) \text{ for all } n \geq n_0$$

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**Example:**

$$\begin{aligned} T(n) &= 2n^2 + n + 2 \\ &\leq 2n^2 + n^2 + 2n^2 \text{ for } n \geq 1 \\ &= 5n^2 \end{aligned}$$


So  $T(n)$  is  $O(n^2)$

# Exercise I

Let  $T(n) = 3n + 17 \log_2 n + 1000$ . Which of the following are true?

(Hint: it could be more than one)

- i.  $T(n)$  is  $O(n^2)$
- ii.  $T(n)$  is  $O(n)$
- iii.  $T(n)$  is  $O(\log n)$

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Big-O bounds do not need to be tight!

# Examples

- ❖ If  $T(n) = n^2 + 10^6n$  then  $T(n)$  is  $O(n^2)$
- ❖ If  $T(n) = n^3 + 3n \log n$  then  $T(n)$  is  $O(n^3)$
- ❖ If  $T(n) = n + 6$  then  $T(n)$  is **not**  $O(1)$

# What Does Big-O Mean?

## Worst-case analysis

- ❖ Running time guarantee for any input of size  $n$
- ❖ Typically captures computational complexity in practice

## Alternatives

- ❖ Average-case analysis
- ❖ Expected running time of a randomized algorithm
- ❖ Amortized (considering a sequence of operations)



Either not general enough or unwieldy

# How to Use Big-O

- ❖ Study pseudocode to determine running time  $T(n)$  for an algorithm as a function of  $n$

$$T(n) = 2n^2 + n + 2$$

- ❖ Prove that  $T(n)$  is upper-bounded by a simpler function using big-O definition:

$$\begin{aligned} T(n) &= 2n^2 + n + 2 \\ &\leq 2n^2 + n^2 + 2n^2 \text{ for } n \geq 1 \\ &= 5n^2 \end{aligned}$$

- ❖ Next time, we will develop properties that simplify proving big-O bounds
  - ❖ You've likely come across some already in Data Structures!

# Big-O in Practice

A way to categorize the growth rate of functions relative to other functions

- ❖ Not "**the** running time of my algorithm"

Correct Usage:

- ❖ The worst-case running time of the algorithm with input size  $n$  is  $T(n)$
- ❖ Suppose  $T(n)$  is  $O(n^3)$
- ❖ The running time of the algorithm is  $O(n^3)$

Incorrect Usage:

- ❖  $O(n^3)$  is **the** running time of the algorithm

# Properties of Big-O

**Claim (Transitivity):** If  $f$  is  $O(g)$  and  $g$  is  $O(h)$ , then  $f$  is  $O(h)$

Example:

❖  $2n^2 + n + 1$  is  $O(n^2)$

The expression  $2n^2 + n + 1$  is shown. A horizontal bracket under the terms  $2n^2$  and  $n$  is labeled  $f(n)$ . A vertical bracket to the right of the term  $1$  is labeled  $g(n)$ .

❖  $n^2$  is  $O(n^3)$

The term  $n^2$  is shown. A vertical bracket to its left is labeled  $g(n)$ . A vertical bracket to its right is labeled  $h(n)$ .

❖ Therefore,  $2n^2 + n + 1$  is  $O(n^3)$

# Transitivity Proof

**Claim (Transitivity):** If  $f$  is  $O(g)$  and  $g$  is  $O(h)$ , then  $f$  is  $O(h)$

**Proof:** We know from the definition of Big-O that

- ❖  $f(n) \leq cg(n)$  for all  $n \geq n_0$
- ❖  $g(n) \leq c'h(n)$  for all  $n \geq n'_0$

Let  $n'' = \max\{n, n'\}$ . Therefore, for all  $n \geq n''$ ,

$$\begin{aligned} f(n) &\leq cg(n) \\ &\leq c(c'h(n)) \\ &= cc'h(n) \\ &= c''h(n). \end{aligned}$$

# Properties of Big-O

**Claims (Additivity):**

- ❖ If  $f$  is  $O(h)$  and  $g$  is  $O(h)$ , then  $f + g$  is  $O(h)$

$$3n^2 + n^4 \text{ is } O(n^5)$$

- ❖ If  $f$  is  $O(g)$ , then  $f + g$  is  $O(g)$

$$n^3 + 23n + n \log n \text{ is } O(n^3)$$

# Significance of Additivity

- ❖ Okay to drop lower order terms

$$3n^2 + n \log n + 2n^4 \text{ is } O(n^4)$$

- ❖ Polynomials: Only the highest-degree term matters (with positive coefficient)
- ❖ You are using additivity when you ignore the running time of statements outside of for loops!

# Other Useful Facts

**Fact:**  $\log_b n$  is  $O(n^d)$  for all  $b > 1, d > 0$

- ❖ All polynomials grow faster than logarithm of any base

Fact:  $n^d$  is  $O(r^n)$  when  $r > 1$

- ❖ Exponential functions grow faster than polynomials

# Logarithm Review

**Definition:**  $\log_b n$  is the unique number  $c$  such that  $b^c = n$

Informally: the number of times you can divide  $n$  into  $b$  parts until each part has size one

Properties:

- ❖ Log of product equals sum of logs
  - ❖  $\log(xy) = \log(x) + \log(y)$
  - ❖  $\log(x^k) = k \log(x)$
- ❖  $\log_b(\cdot)$  is the inverse of  $b^{(\cdot)}$
- ❖  $\log_a n = (\log_a b) \log_b n$

# "Good" Running Time

## Inefficiency

- ❖ We said that  $2^n$  steps or worse is unacceptable in practice
- ❖ i.e.  $O(2^n)$  or exponential running time is inefficient



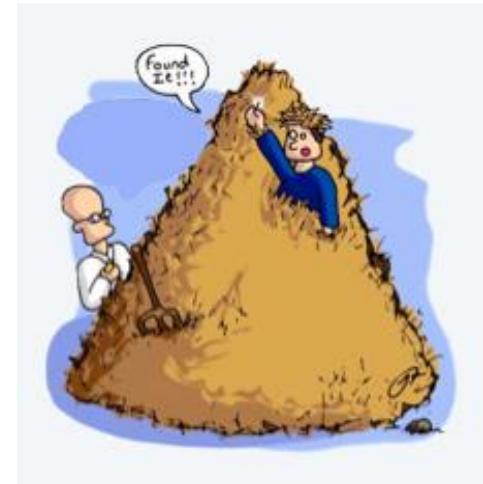
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- ❖ An algorithm is *efficient* if it has a polynomial running time
- ❖ i.e.  $O(n^k), k \geq 0$



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## Exceptions

- ❖ Some poly-time algorithms have large constants and exponents
- ❖ We sometimes use exponential-time algorithms when their worst case does not arise in practice



# Exercise II

Suppose  $f$  is  $O(g)$ . Which of the following is true?

- i.  $g$  is  $O(f)$
- ii.  $g$  is not  $O(f)$
- iii.  $g$  may be  $O(f)$ , depending on  $f$  and  $g$

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# Big- $\Omega$ Definition

Informally,  $T$  grows at least as fast as  $f$

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What's the difference between Big-O and Big- $\Omega$ ?

# Next Time

- ❖ Begin looking at tools for analyzing algorithms, e.g., Big-O notation