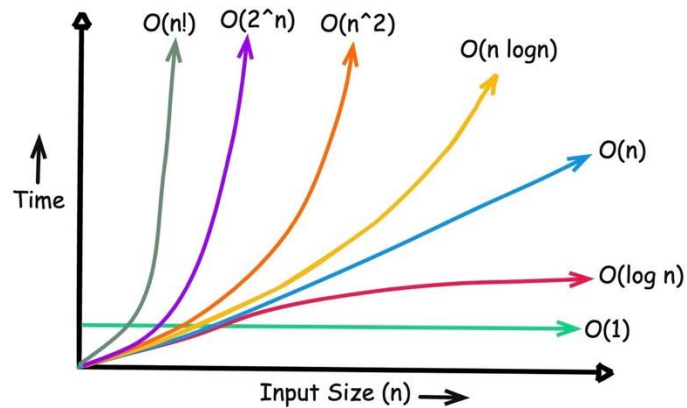


Lecture 3

Algorithm Analysis II



Algorithm Design

1. Formulate the problem precisely
2. Design an algorithm
3. Prove the algorithm is correct
4. [Analyze its running time](#)

Big-O Definition

Definition: The function $T(n)$ is $O(f(n))$ if there exists constants $c > 0$ and $n_0 \geq 0$ such that

$$T(n) \leq cf(n) \text{ for all } n \geq n_0$$

We say that f is an **asymptotic upper bound** for T

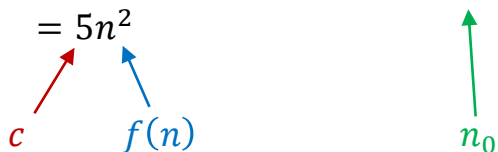
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Example:

$$\begin{aligned} T(n) &= 2n^2 + n + 2 \\ &\leq 2n^2 + n^2 + 2n^2 \text{ for } n \geq 1 \\ &= 5n^2 \end{aligned}$$


c $f(n)$ n_0

So $T(n)$ is $O(n^2)$

Exercise I

Let $T(n) = 3n + 17 \log_2 n + 1000$. Which of the following are true?

(Hint: it could be more than one)

- i. $T(n)$ is $O(n^2)$
- ii. $T(n)$ is $O(n)$
- iii. $T(n)$ is $O(\log n)$

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Big-O bounds do not need to be tight!

Examples

- ❖ If $T(n) = n^2 + 10^6n$ then $T(n)$ is $O(n^2)$
- ❖ If $T(n) = n^3 + 3n \log n$ then $T(n)$ is $O(n^3)$
- ❖ If $T(n) = n + 6$ then $T(n)$ is **not** $O(1)$

What Does Big-O Mean?

Worst-case analysis

- ❖ Running time guarantee for any input of size n
- ❖ Typically captures computational complexity in practice

Alternatives

- ❖ Average-case analysis
- ❖ Expected running time of a randomized algorithm
- ❖ Amortized (considering a sequence of operations)

} Either not general
enough or unwieldy

How to Use Big-O

- ❖ Study pseudocode to determine running time $T(n)$ for an algorithm as a function of n

$$T(n) = 2n^2 + n + 2$$

- ❖ Prove that $T(n)$ is upper-bounded by a simpler function using big-O definition:

$$\begin{aligned} T(n) &= 2n^2 + n + 2 \\ &\leq 2n^2 + n^2 + 2n^2 \text{ for } n \geq 1 \\ &= 5n^2 \end{aligned}$$

- ❖ Next time, we will develop properties that simplify proving big-O bounds
 - ❖ You've likely come across some already in Data Structures!

Big-O in Practice

A way to categorize the growth rate of functions relative to other functions

❖ Not "**the** running time of my algorithm"

Correct Usage:

❖ The worst-case running time of the algorithm with input size n is $T(n)$

❖ Suppose $T(n)$ is $O(n^3)$

❖ The running time of the algorithm is $O(n^3)$

Incorrect Usage:

❖ $O(n^3)$ is **the** running time of the algorithm

Properties of Big-O

Claim (Transitivity): If f is $O(g)$ and g is $O(h)$, then f is $O(h)$

Example:

$$\blacklozenge \quad \underbrace{2n^2 + n + 1}_{f(n)} \text{ is } O(\underbrace{n^2}_{g(n)})$$

$$\blacklozenge \quad \underbrace{n^2}_{g(n)} \text{ is } O(\underbrace{n^3}_{h(n)})$$

$$\blacklozenge \quad \text{Therefore, } 2n^2 + n + 1 \text{ is } O(n^3)$$

Transitivity Proof

Claim (Transitivity): If f is $O(g)$ and g is $O(h)$, then f is $O(h)$

Proof: We know from the definition of Big-O that

$$\diamond f(n) \leq cg(n) \text{ for all } n \geq n_0$$

$$\diamond g(n) \leq c'h(n) \text{ for all } n \geq n'_0$$

Let $n'' = \max\{n, n'\}$. Therefore, for all $n \geq n''$,

$$\begin{aligned} f(n) &\leq cg(n) \\ &\leq c(c'h(n)) \\ &= cc'h(n) \\ &= c''h(n). \end{aligned}$$

Properties of Big-O

Claims (Additivity):

❖ If f is $O(h)$ and g is $O(h)$, then $f + g$ is $O(h)$

$$3n^2 + n^4 \text{ is } O(n^5)$$

❖ If f is $O(g)$, then $f + g$ is $O(g)$

$$n^3 + 23n + n \log n \text{ is } O(n^3)$$

Significance of Additivity

- ❖ Okay to drop lower order terms

$$3n^2 + n \log n + 2n^4 \text{ is } O(n^4)$$

- ❖ Polynomials: Only the highest-degree term matters (with positive coefficient)
- ❖ You are using additivity when you ignore the running time of statements outside of for loops!

Other Useful Facts

Fact: $\log_b n$ is $O(n^d)$ for all $b > 1, d > 0$

❖ All polynomials grow faster than logarithm of any base

Fact: n^d is $O(r^n)$ when $r > 1$

❖ Exponential functions grow faster than polynomials

Logarithm Review

Definition: $\log_b n$ is the unique number c such that $b^c = n$

Informally: the number of times you can divide n into b parts until each part has size one

Properties:

❖ Log of product equals sum of logs

$$\text{❖ } \log(xy) = \log(x) + \log(y)$$

$$\text{❖ } \log(x^k) = k \log(x)$$

❖ $\log_b(\cdot)$ is the inverse of $b^{(\cdot)}$

$$\text{❖ } \log_a n = (\log_a b) \log_b n$$

"Good" Running Time

Inefficiency

- ❖ We said that 2^n steps or worse is unacceptable in practice
- ❖ i.e. $O(2^n)$ or exponential running time is inefficient



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Exceptions

- ❖ Some poly-time algorithms have large constants and exponents
- ❖ We sometimes use exponential-time algorithms when their worst case does not arise in practice



Exercise II

Suppose f is $O(g)$. Which of the following is true?

- i. g is $O(f)$
- ii. g is not $O(f)$
- iii. g may be $O(f)$, depending on f and g

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Big- Ω Definition

Informally, T grows at least as fast as f

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What's the difference between Big-O and Big-Ω?

Next Time

- ❖ Begin looking at tools for analyzing algorithms, e.g., Big-O notation