

UNIVERSITY OF BRISTOL

September 2020 Examination Period

FACULTY OF ENGINEERING

**M Level Examination for the Degree of
Master of Engineering / Masters of Science**

**COMSM0034-J
Information Processing and the Brain**

**TIME ALLOWED:
2 hours**

This paper contains *two* parts.
The first section contains *15* short questions.
Each question is worth *two marks* and all should be attempted.
The second section contains *three* long questions.
Each long question is worth *20 marks*.
The best *two* long question answers will be used for assessment.
The maximum for this paper is *70 marks*.

Other Instructions:

Calculators must have the Faculty of Engineering Seal of Approval.

TURN OVER ONLY WHEN TOLD TO START WRITING

Section A: short questions - answer all questions

- Q1.** What is the maximum value Shannon's entropy could have for a random variable that takes five possible values. What is its probability distribution.
- Q2.** Define the mutual information for discrete random variables X and Y .
- Q3.** Show $I(X; Y) = H(X) + H(Y) - H(X, Y)$
- Q4.** For two dimensional mixed signals $\mathbf{r} = M\mathbf{s}$ the infomax algorithm attempts to find unmixing matrix $\mathbf{x} = W\mathbf{s}$. Explain why a saturating non-linearity is introduced to give $y_i = \sigma(x_i)$.
- Q5.** Given three random variables X , Y and Z with $p(z|x, y) \propto p(x|z)p(y|z)$, $p(x|z) \sim \mathcal{N}(z, \sigma_x^2)$ and $p(y|z) \sim \mathcal{N}(z, \sigma_y^2)$ what are the mean and variance of Z ?
- Q6.** List three assumptions that are made when applying a Kalman filter.
- Q7.** What is the key difference between reinforcement learning and other forms of learning?
- Q8.** Give two features specific to convolutional neural networks that are inspired by neuroscience.
- Q9.** Inhibition in the brain is key to information processing and balanced dynamics. Give the inhibitory learning rule discussed in the lectures that can be used to balance excitation-inhibition in the brain, and briefly explain the different terms.
- Q10.** Give an example of gated-recurrent neural networks, and briefly explain how the gates are believed to be implemented in biology.
- Q11.** What is the difference between sparse and dense coding?
- Q12.** Sparse coding usually relies on a neural network with $U = WV$. Explain what what are the different variables and what is referred to as a overcomplete dictionary.
- Q13.** Give two advantages of using sparse coding in the brain.
- Q14.** What is the main technical obstacle for fitting maximum-entropy-based models to data from large populations of neurons? What exactly is the difficulty?
- Q15.** The pairwise maximum entropy model accounts for pairwise correlations between neurons, but not higher-order correlations. What common extension of this model can capture higher-order correlations? And how does it work?

Section B: long questions - answer two questions

Q1. This question is about Shannon's entropy for both discrete and continuous distributions.

- (a) Define Shannon's entropy $H(X)$. [3 marks]
- (b) If X can take two values show Shannon's entropy is non-negative. [5 marks]
- (c) Define the differential entropy $h(X)$. [3 marks]
- (d) Show that the differential entropy is not non-negative in general. [5 marks]
- (e) By calculating the entropy of a discretized distribution, explain why the differential entropy and discrete entropy are different. [4 marks]

Q2. This question is about the Kullback Leibler divergence and about reinforcement learning.

- (a) Given a random variable X with two probability distributions $p(x)$ and $q(x)$ then roughly speaking the Kullback Leibler (KL) divergence measures how different q is from p . It is defined as

$$D(p\|q) = \sum_x p(x) \log \frac{p(x)}{q(x)}$$

Consider a random variable with the sample space $\{0, 1\}$ and two distributions: $p(0) = r$ so $p(1) = 1 - r$ and $q(0) = s$ so $q(1) = 1 - s$. In this case what is $D(p\|q)$ in terms of s and r ? [3 marks]

- (b) The random variable (X, Y) has two distributions on it, $p(x, y)$ and $p(x)p(y)$. Use this to relate the KL divergence to the mutual information. [4 marks]
- (c) It can be proved that $D(p\|q) \geq 0$ for all distributions p and q with equality if and only if $p(x) = q(x)$ for all x ; use this to show $H(X) \leq \log n$ where n is the size of the sample space, with equality if and only if X is uniformly distributed. [3 marks]
- (d) Explain what problem the use of a replay memory addresses in deep reinforcement learning, and how does it relate to the brain. [2 marks]
- (e) Give the value update function used in Q-learning and briefly describe the different terms. [4 marks]
- (f) Using TD-learning and given the following reward sequence R and state space sequence S compute the value function for $V(S_{t=1})$, $V(S_{t=2})$ and $V(S_{t=3})$. Consider only the *first* and *second* updates. Assume that the value function starts at 0 before any update, and $\gamma = 0.9$. [4 marks]

Q3. This question is about supervised learning and backpropagation algorithm in the brain.

- (a) Why is the credit assignment problem a central issue in neuroscience and machine learning? How does the backpropagation algorithm solve it? [5 marks]

(cont.)

- (b) Give and explain three key features that have been suggested to make the back-propagation algorithm used in supervised learning biologically implausible. You should use a simple two layer neural network (with one hidden neuron h , one output neuron v , two input weights, and without biases, see Figure 1) to derive the weight updates and make a schematic of the network with the backprop algorithm to help illustrate your answer. Assume the cost function (or error) to be $E = (v - y)^2$, where y is the desired target. [11 marks]

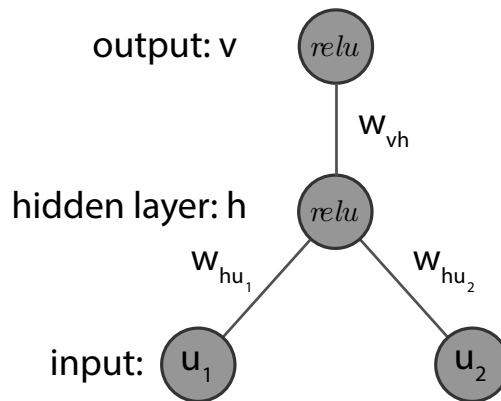


Figure 1: **Schematic of simple feedforward neural network, where the activation function is given by the hyperbolic tangent $\text{relu}(x)$.**

- (c) Which brain area has been proposed undergo supervised learning and why? In this system which component has been proposed to encode error signals? [4 marks]