

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATION PLACE 100 F STREET, NE WASHINGTON, DC 20549-2465

Office of FOIA Services

November 15, 2022

Mr. Connor Hindley PO Box 1370 Dillon, CO 80435

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552

Request No. 23-00321-FOIA

Dear Mr. Hindley:

This letter is an acknowledgment of your FOIA request dated November 13, 2022, and received in this office on November 14, 2022, for records regarding any investigations into FTX tokenized stocks.

Your request has been assigned tracking number 23-00321-FOIA. Your request will be assigned to a Research Specialist for processing and you will be notified of the findings as soon as possible. If you do not receive a response after thirty business days from when we received your request, you have the right to seek dispute resolution services from an SEC FOIA Public Liaison or the Office of Government Information Services (OGIS). A list of SEC FOIA Public Liaisons can be found on our agency website at https://www.sec.gov/oso/contact/foia-contact.html. OGIS can be reached at 1-877-684-6448 or Archives.gov or via email at ogis@nara.gov.

In the interim, if you have any questions about your request, you may contact this office by calling (202) 551-7900, or sending an e-mail to foiapa@sec.gov. Please refer to your tracking number when contacting us.

For additional information, please visit our website at www.sec.gov and follow the FOIA link at the bottom.

Sincerely,

Office of FOIA Services