

Machine Learning Lab Report

RNN-flavored Ensembling to Predict Remaining Useful Life of Lithium-ion Batteries

Group 8

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1. Problem Statement

With its use seen in critical areas of safety and security, it is essential for lithium-ion batteries to be reliable. Prediction of the Remaining Useful Life (RUL) can give insights into the health of the battery. Variations of Recurrent Neural Networks (RNN) are employed to learn the capacity degradation trajectories of lithium-ion batteries. Using several regressor models as the baseline, an ensemble of RNNs is created to overcome the shortcomings of one RNN over the other. The critical point approach and the data-driven approach for regressor models and neural network models respectively help predict the RUL.

2. Dataset

The dataset has been collected from a custom-built battery prognostics tested at the **NASA Ames Prognostics Center of Excellence**. The Lithium-ion batteries were run through 2 different operational profiles (charging and discharging) at different temperatures. The experiments were stopped when the batteries reached the end-of-life (EOL) criteria of 30% fade in rated capacity (from 2 Ah to 1.4 Ah)

2.1. Dataset Structure

Charge

1. **Voltage_measured**: Battery terminal voltage (Volts)
2. **Current_measured**: Battery output current (Amps)
3. **Temperature_measured**: Battery temperature (degree C)
4. **Current_charge**: Current measured at charger (Amps)
5. **Voltage_charge**: Voltage measured at charger (Volts)
6. **Time**: Time vector for the cycle (secs)

Discharge

1. **Voltage_measured**: Battery terminal voltage (Volts)
2. **Current_measured**: Battery output current (Amps)
3. **Temperature_measured**: Battery temperature (degree C)
4. **Current_charge**: Current measured at load (Amps)
5. **Voltage_charge**: Voltage measured at load (Volts)
6. **Time**: Time vector for the cycle (secs)
7. **Capacity**: Battery capacity (Ahr) for discharge till 2.7V

Impedance

1. **Sense_current**: Current in sense branch (Amps)
2. **Battery_current**: Current in battery branch (Amps)
3. **Current_ratio**: Ratio of the above currents
4. **Battery_impedance**: Battery impedance (Ohms) computed from raw data
5. **Rectified_impedance**: Calibrated and smoothed battery impedance (Ohms)
6. **Re**: Estimated electrolyte resistance (Ohms)
7. **Rct**: Estimated charge transfer resistance (Ohms)

3. Motivation

With the increasing importance of Electric Vehicles (EVs) in the automotive industry, research in EV batteries made of Lithium-ion (Li-ion) has gained momentum. As Li-ion batteries are a core component of EVs, their safety and reliability is a critical concern for the functioning of EVs. Prognostics and health management (PHM) is a discipline composed of methods and technologies to evaluate system reliability and safety under actual life cycle conditions to predict fault progression. The design of an appropriate Battery Management System (BMS) is crucial to reducing costs and increasing vehicle efficiency and security. One of the major tasks of the BMS is to evaluate the current health conditions of the battery as they degrade over time. Predominant indicators are battery capacity and internal resistance, which inform us about the battery residual energy and power capabilities respectively, indicated by the State of Health (SOH). The SOH and the Remaining Useful Life (RUL) are the most crucial parameters of battery health that must be estimated by the BMS [1].

RUL is defined as the number of cycles remaining from the present cycle to the end-of-life (EOL) which can be chosen as 70–80% of the nominal capacity. An RUL prediction can be described by the probability distribution function (PDF) of the RUL to show the uncertainty of battery failure [2].

With the time-series nature of the charging and discharging cycles of a lithium-ion battery, the intuition is to make use of models that can glance at the data points before and after the current data point, i.e., to make use of Recurrent Neural Networks (RNN).

Due to some shortcomings of RNNS such as Long Short-Term Memory (LSTM) such as being slower and being sensitive to different random weight initializations, several RNN models can be employed and an ensemble can be created to make better predictions.

4. Methodology

The most popular approach for time series analysis is using Recurrent Neural Networks and their various forms. **Long Short-Term Memory (LSTM)** networks are peculiar RNNs that are able to handle long-term sequences and have become the baseline of RNNs. LSTMs therefore can be used for this problem statement.

4.1. Exploratory Data Analysis

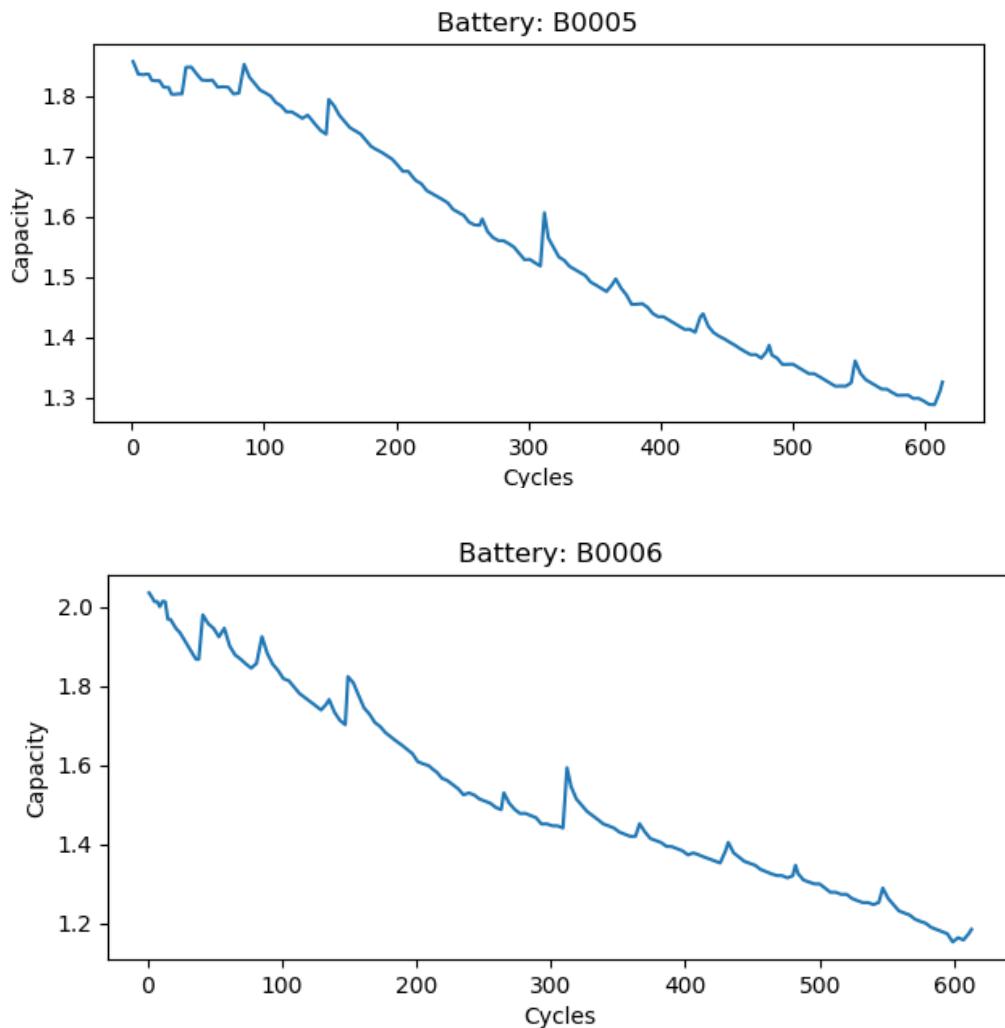
4.1.1. *Division of dataset to experiments*

Based on the given metadata of every battery, multiple sets of lithium-ion batteries have been taken under varying experimental conditions. Each of these sets has been identified and the dataset is further divided into 9 individual experiments.

- Experiment 1 - B0005, B0006, B0007, B0018
- Experiment 2 - B0025, B0026, B0027, B0028
- Experiment 3 - B0029, B0030, B0031, B0032
- Experiment 4 - B0033, B0034, "B0036
- Experiment 5 - B0038, B0039, B0040
- Experiment 6 - B0041, B0042, B0043, B0044
- Experiment 7 - B0045, B0046, B0047, B0048
- Experiment 8 - B0049, B0050, B0051, B0052
- Experiment 9 - B0053, B0054, B0055, B0056

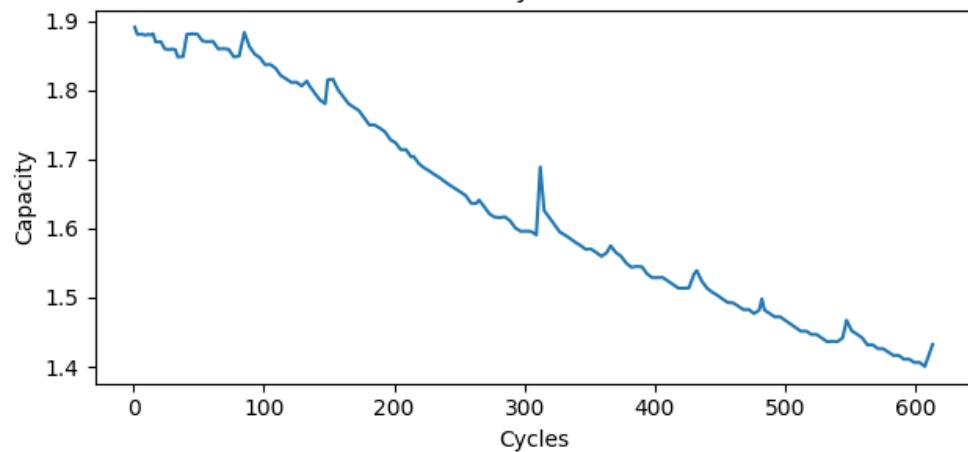
Plots of various attributes of the dataset with respect to cycles of different batteries belonging to experiment 1 were created.

4.1.2. Capacity

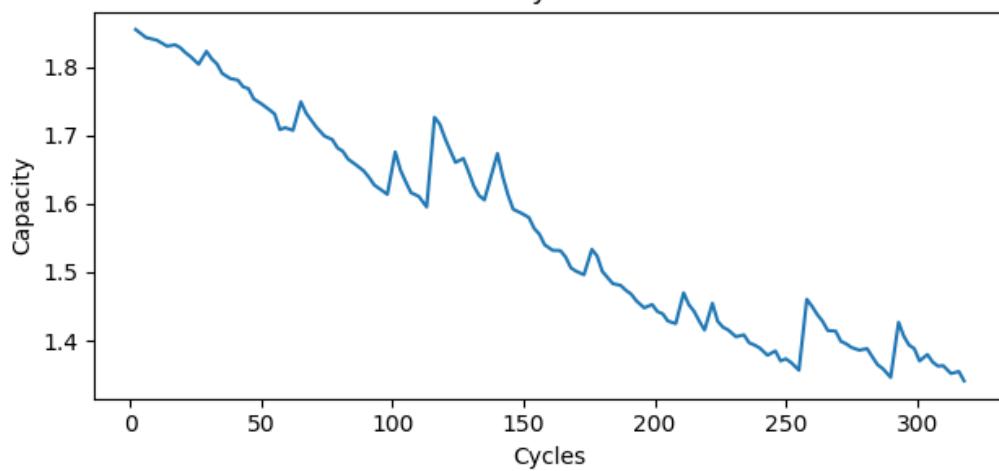


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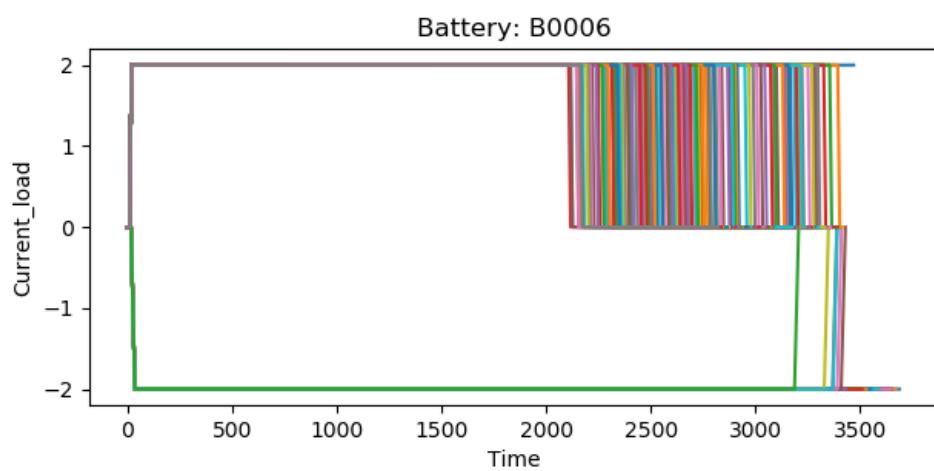
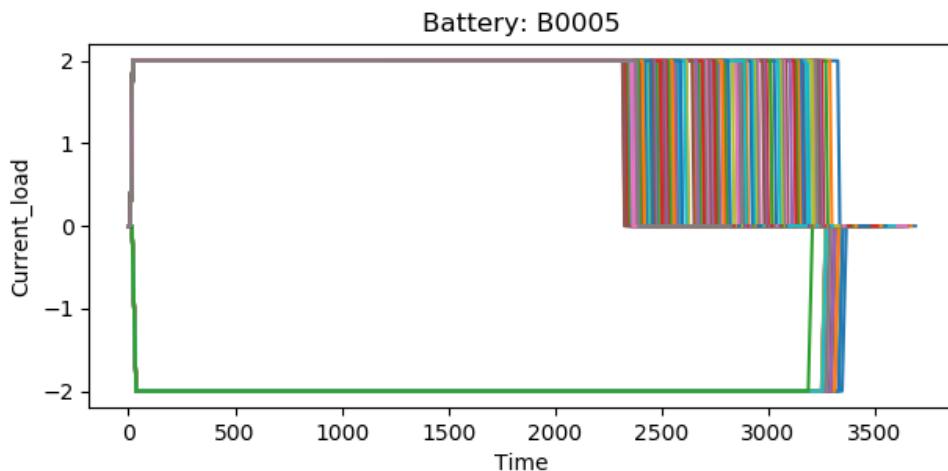
Battery: B0007



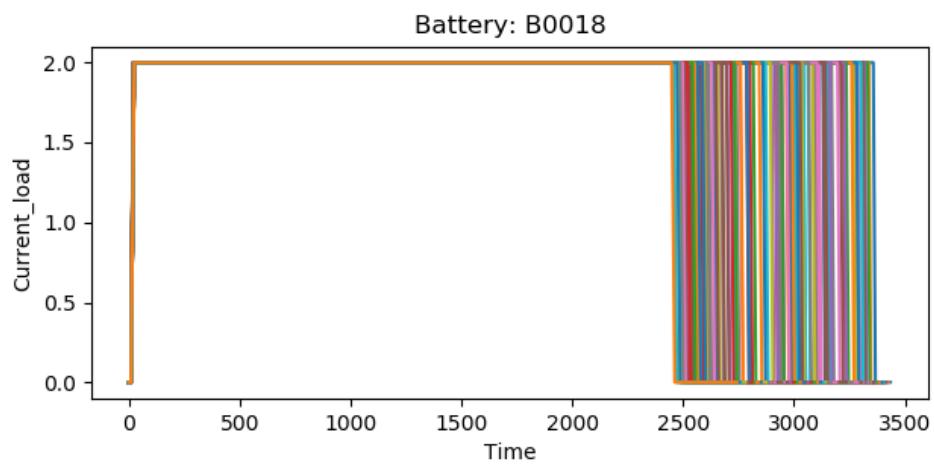
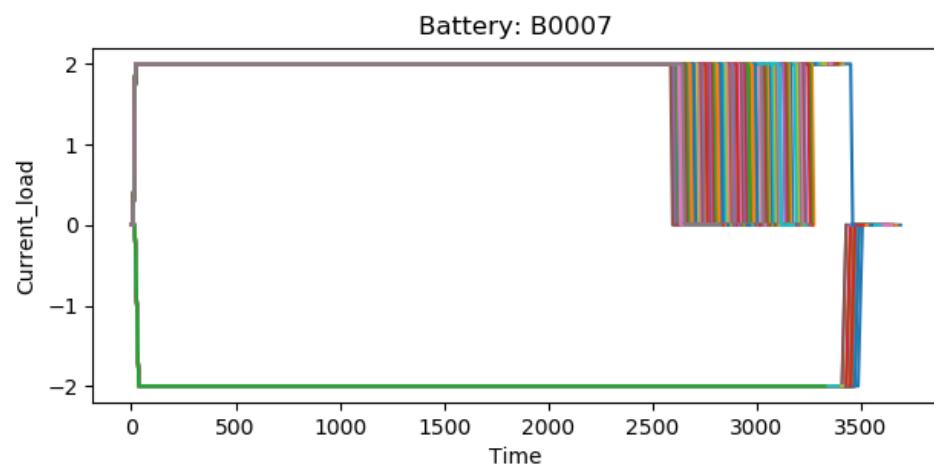
Battery: B0018



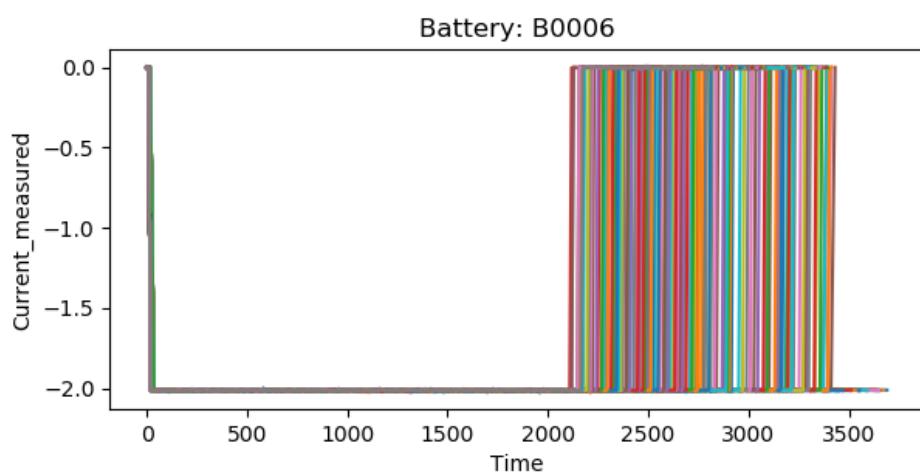
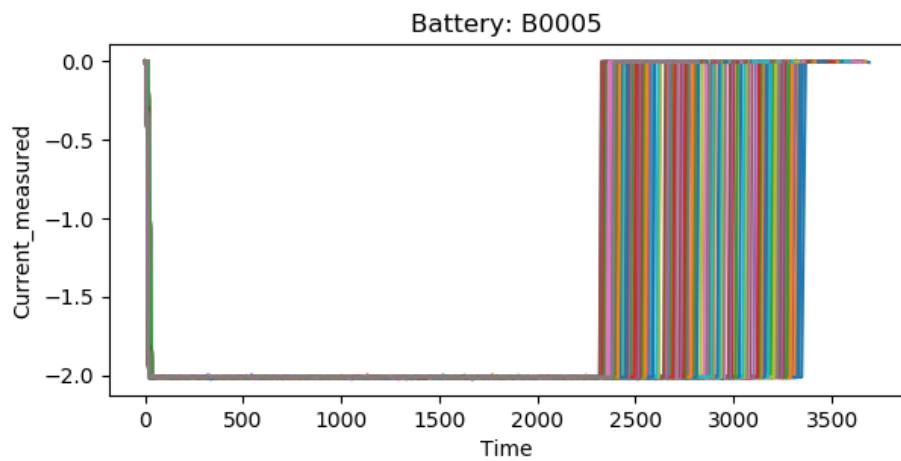
4.1.3. Current Load

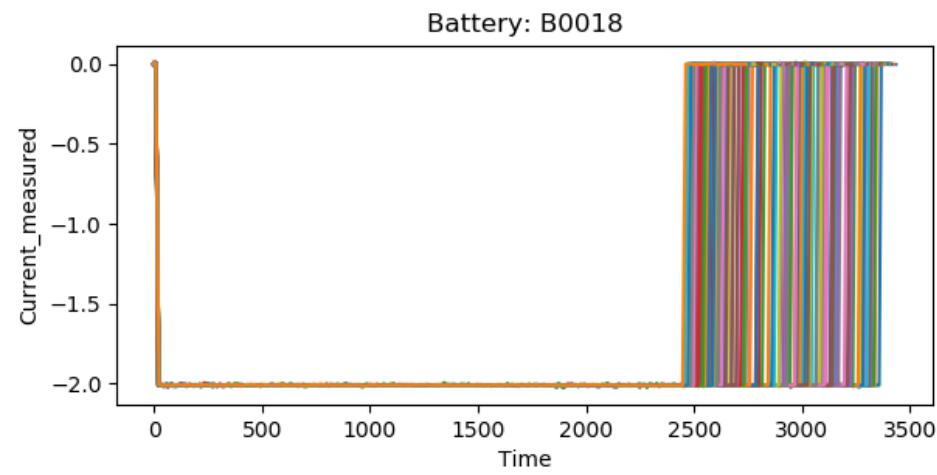
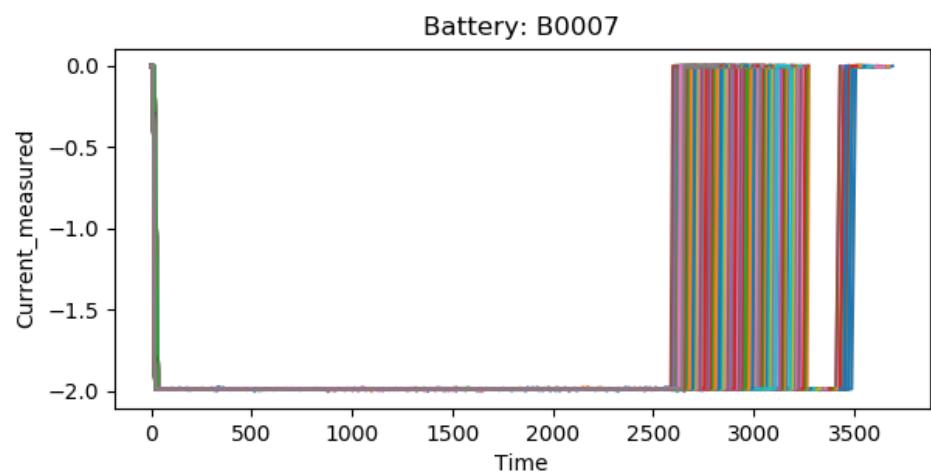


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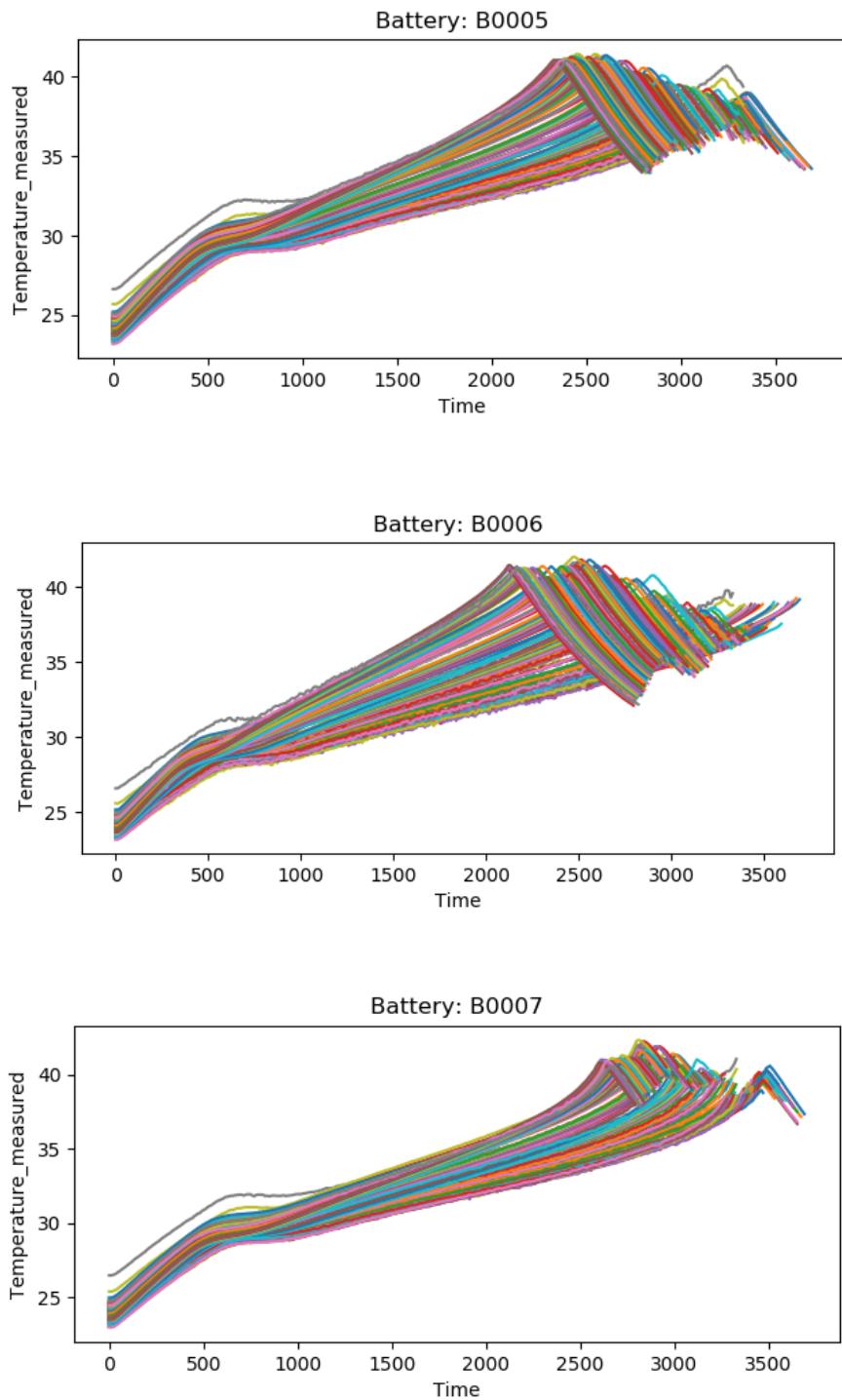


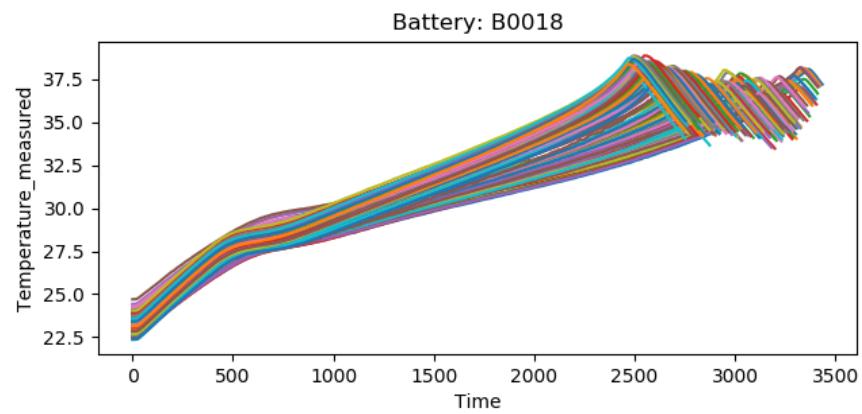
4.1.4. Current measured



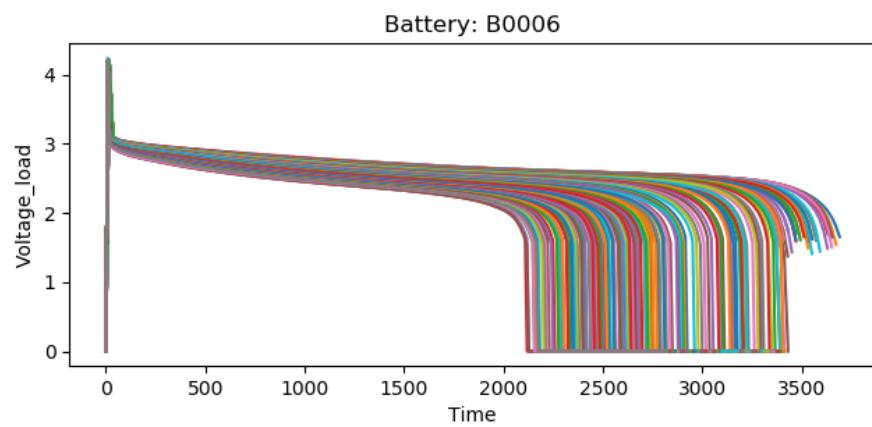
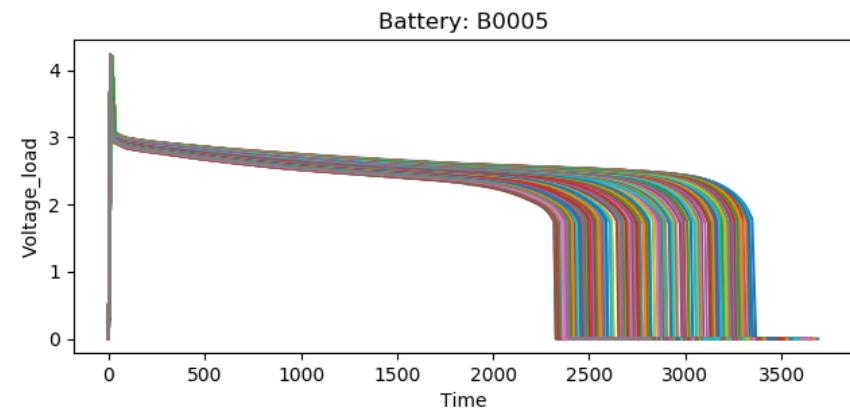


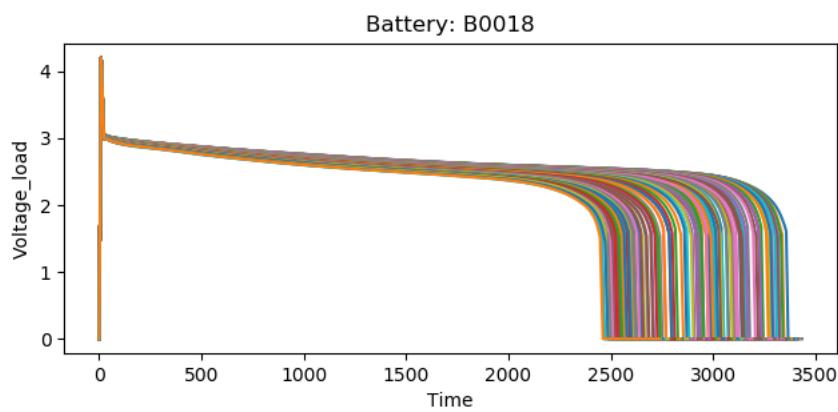
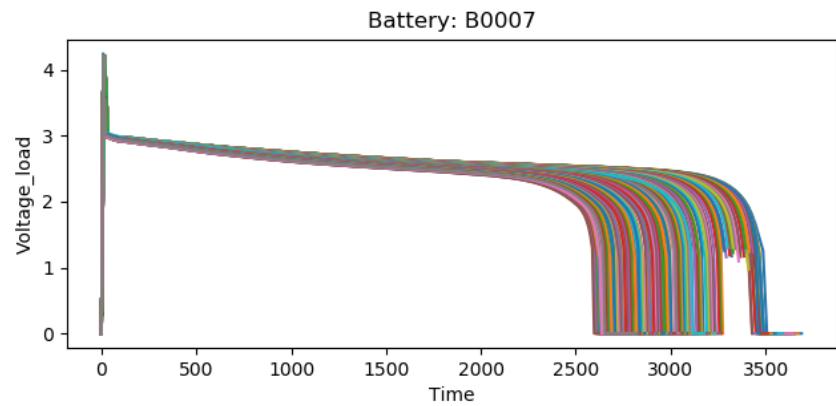
4.1.5. Temperature measured



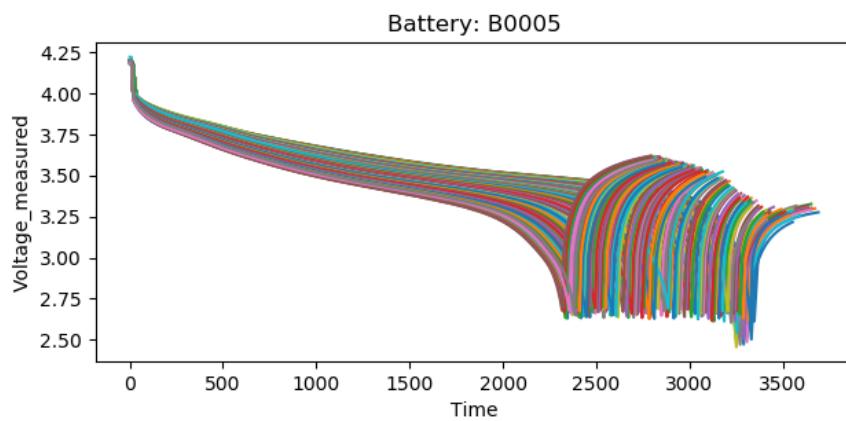


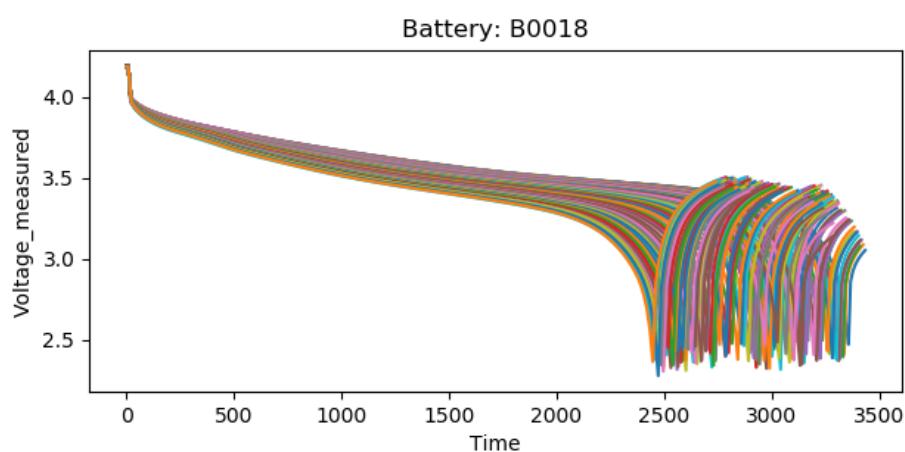
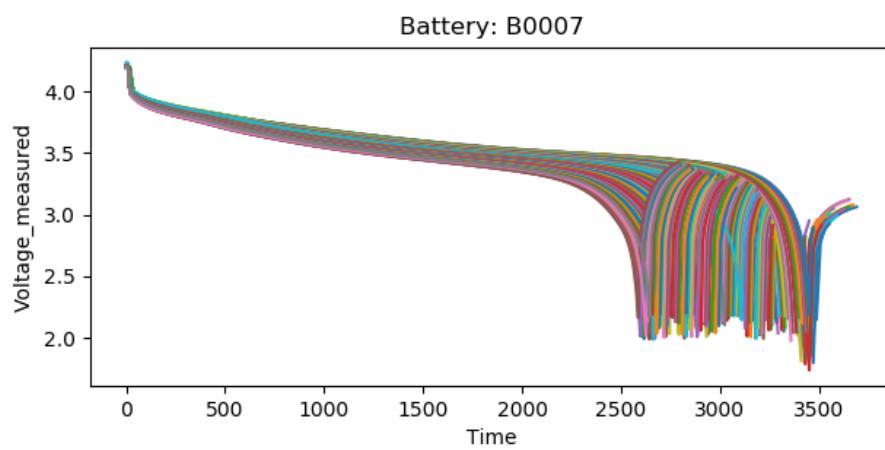
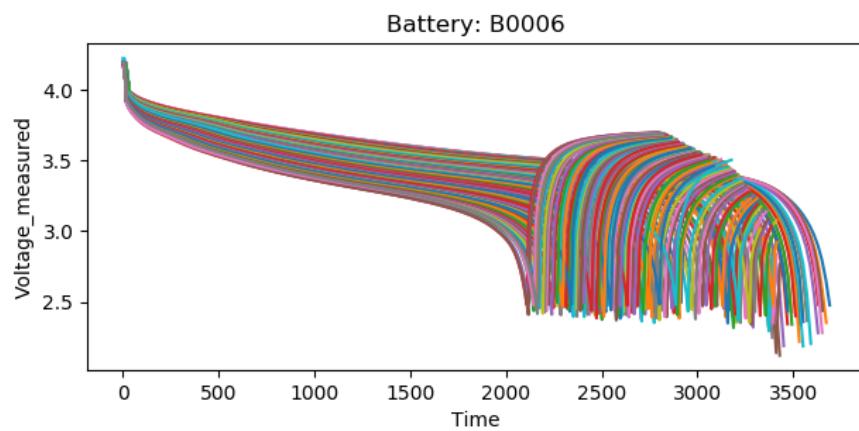
4.1.6. Voltage Load





4.1.7. *Voltage measured*





4.2. Model Selection

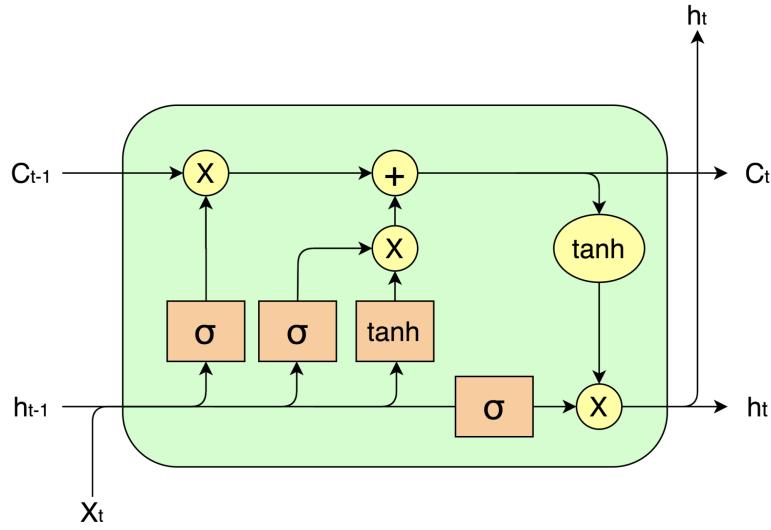
For creating the prediction model, four different variations of RNN were used:

1. LSTM
2. BiLSTM
3. GRU
4. BiGRU

4.2.1. LSTM

It is a special kind of recurrent neural network that is capable of learning long-term dependencies in data. This is achieved because the recurring module of the model has a combination of four layers interacting with each other.

An LSTM module has a cell state and three gates which provides them with the power to selectively learn, unlearn or retain information from each of the units. The cell state in LSTM helps the information to flow through the units without being altered by allowing only a few linear interactions. Each unit has an input, output and a forget gate which can add or remove the information to the cell state. The forget gate decides which information from the previous cell state should be forgotten for which it uses a sigmoid function. The input gate controls the information flow to the current cell state using a pointwise multiplication operation of ‘sigmoid’ and ‘tanh’ respectively. Finally, the output gate decides which information should be passed on to the next hidden state

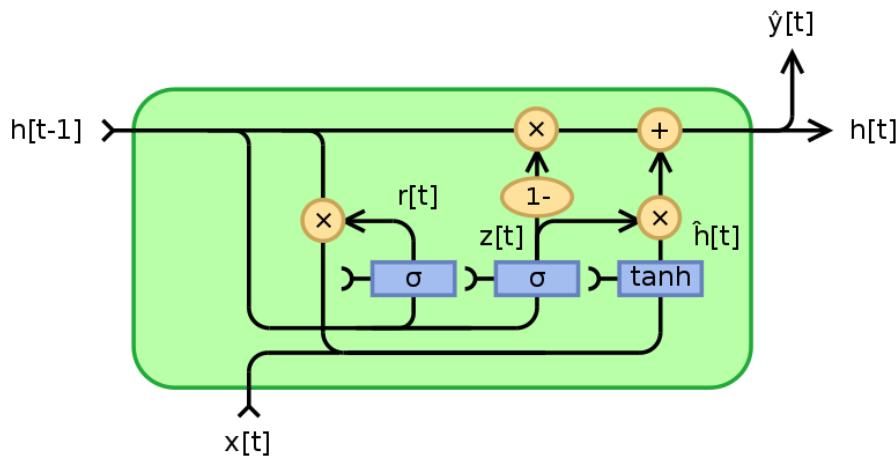


4.2.2. BiLSTM

A Bidirectional LSTM, or biLSTM, is a sequence processing model that consists of two LSTMs: one taking the input in a forward direction, and the other in a backward direction. BiLSTMs effectively increase the amount of information available to the network, improving the content available to the algorithm.

4.2.3. GRU

GRUs are very similar to Long Short Term Memory(LSTM). Just like LSTM, GRU uses gates to control the flow of information. They are relatively new as compared to LSTM. This is the reason they offer some improvement over LSTM and have simpler architecture. Unlike LSTMs, it does not have a separate cell state (C_t). It only has a hidden state(H_t). Due to the simpler architecture, GRUs are faster to train.



4.2.4. BiGRU

A Bidirectional GRU, or BiGRU, is a sequence processing model that consists of two GRUs. one taking the input in a forward direction, and the other in a backwards direction. It is a bidirectional recurrent neural network with only the input and forget gates.

4.3. Model Training

Using the above mentioned RNN variation 4 models are trained for each experiment. The input consists of following attributes

1. **Voltage_measured**
2. **Current_measured**
3. **Temperature_measured**
4. **Current_load**
5. **Voltage_load**
6. **Time**

And output is the **Capacity** column

The models for experiment 1 are as follows:

4.3.1. LSTM

Model: "sequential"

| Layer (type) | Output Shape | Param # |
|-------------------|----------------|---------|
| <hr/> | | |
| masking (Masking) | (None, 6, 371) | 0 |
| lstm (LSTM) | (None, 6, 256) | 643072 |
| lstm_1 (LSTM) | (None, 6, 256) | 525312 |
| lstm_2 (LSTM) | (None, 6, 128) | 197120 |
| lstm_3 (LSTM) | (None, 6, 128) | 131584 |
| lstm_4 (LSTM) | (None, 6, 64) | 49408 |
| lstm_5 (LSTM) | (None, 64) | 33024 |
| dense (Dense) | (None, 64) | 4160 |
| dense_1 (Dense) | (None, 64) | 4160 |
| dense_2 (Dense) | (None, 32) | 2080 |
| dense_3 (Dense) | (None, 32) | 1056 |
| dense_4 (Dense) | (None, 1) | 33 |

Total params: 1,591,009

Trainable params: 1,591,009

Non-trainable params: 0

4.3.2. BiLSTM

Model: "sequential_5"

| Layer (type) | Output Shape | Param # |
|-------------------------------------|----------------|---------|
| ===== | | |
| masking_5 (Masking) | (None, 6, 371) | 0 |
| bidirectional (Bidirectiona l) | (None, 6, 512) | 1286144 |
| bidirectional_1 (Bidirectio nal) | (None, 6, 512) | 1574912 |
| bidirectional_2 (Bidirectio nal) | (None, 6, 256) | 656384 |
| bidirectional_3 (Bidirectio nal) | (None, 6, 256) | 394240 |
| bidirectional_4 (Bidirectio nal) | (None, 6, 128) | 164352 |
| bidirectional_5 (Bidirectio nal) | (None, 128) | 98816 |
| dense_23 (Dense) | (None, 64) | 8256 |
| dense_24 (Dense) | (None, 64) | 4160 |
| dense_25 (Dense) | (None, 32) | 2080 |
| dense_26 (Dense) | (None, 32) | 1056 |
| dense_27 (Dense) | (None, 1) | 33 |
| ===== | | |

Total params: 4,190,433

Trainable params: 4,190,433

Non-trainable params: 0

4.3.3. GRU

Model: "sequential_2"

| Layer (type) | Output Shape | Param # |
|---------------------|----------------|---------|
| ===== | | |
| masking_2 (Masking) | (None, 6, 371) | 0 |
| gru_6 (GRU) | (None, 6, 256) | 483072 |
| gru_7 (GRU) | (None, 6, 256) | 394752 |
| gru_8 (GRU) | (None, 6, 128) | 148224 |
| gru_9 (GRU) | (None, 6, 128) | 99072 |
| gru_10 (GRU) | (None, 6, 64) | 37248 |
| gru_11 (GRU) | (None, 64) | 24960 |
| dense_8 (Dense) | (None, 64) | 4160 |
| dense_9 (Dense) | (None, 64) | 4160 |
| dense_10 (Dense) | (None, 32) | 2080 |
| dense_11 (Dense) | (None, 32) | 1056 |
| dense_12 (Dense) | (None, 1) | 33 |
| ===== | | |

Total params: 1,198,817

Trainable params: 1,198,817

Non-trainable params: 0

4.3.4. BiGRU

Model: "sequential_6"

| Layer (type) | Output Shape | Param # |
|--------------------------------------|----------------|---------|
| ===== | | |
| masking_6 (Masking) | (None, 6, 371) | 0 |
| bidirectional_35 (Bidirecti onal) | (None, 6, 512) | 966144 |
| bidirectional_36 (Bidirecti onal) | (None, 6, 512) | 1182720 |
| bidirectional_37 (Bidirecti onal) | (None, 6, 256) | 493056 |
| bidirectional_38 (Bidirecti onal) | (None, 6, 256) | 296448 |
| bidirectional_39 (Bidirecti onal) | (None, 6, 128) | 123648 |
| bidirectional_40 (Bidirecti onal) | (None, 128) | 74496 |

| | | |
|------------------|------------|------|
| dense_30 (Dense) | (None, 64) | 8256 |
| dense_31 (Dense) | (None, 64) | 4160 |
| dense_32 (Dense) | (None, 32) | 2080 |
| dense_33 (Dense) | (None, 32) | 1056 |
| dense_34 (Dense) | (None, 1) | 33 |

Total params: 3,152,097

Trainable params: 3,152,097

Non-trainable params: 0

4.4. RNN Models' Results

The aforementioned four variations of RNN were trained on all 9 experiments and the following results were obtained:

| Experiment | Model | Training RMSE | Testing RMSE | Validation RMSE |
|--------------|--------|---------------|--------------|-----------------|
| Experiment 1 | LSTM | 0.0312 | 0.0304 | 0.0311 |
| | BiLSTM | 0.2870 | 0.2792 | 0.3259 |
| | GRU | 0.0278 | 0.0342 | 0.0356 |
| | BiGRU | 0.0901 | 0.0945 | 0.1059 |
| Experiment 2 | LSTM | 0.0190 | 0.0173 | 0.0122 |
| | BiLSTM | 0.5521 | 0.1376 | 0.4871 |
| | GRU | 0.0962 | 0.0868 | 0.1957 |
| | BiGRU | 1.5680 | 1.3482 | 1.7741 |
| Experiment 3 | LSTM | 0.0183 | 0.0336 | 0.0542 |
| | BiLSTM | 0.1070 | 0.1108 | 0.1237 |
| | GRU | 0.0290 | 0.0425 | 0.0516 |
| | BiGRU | 0.0340 | 0.0454 | 0.0530 |
| Experiment 4 | LSTM | 0.0248 | 0.0236 | 0.0232 |
| | BiLSTM | 0.2583 | 0.2232 | 0.1943 |
| | GRU | 0.0152 | 0.0242 | 0.0452 |
| | BiGRU | 0.2186 | 0.2282 | 0.1775 |
| Experiment 5 | LSTM | 0.0145 | 0.0981 | 0.1329 |
| | BiLSTM | 1.2602 | 1.1037 | 0.9943 |
| | GRU | 0.0253 | 0.0811 | 0.1761 |
| | BiGRU | 0.3437 | 0.4544 | 0.4535 |

| | | | | |
|--------------|--------|--------|--------|--------|
| Experiment 6 | LSTM | 0.0123 | 0.0189 | 0.0277 |
| | BiLSTM | 0.7338 | 0.6262 | 0.6111 |
| | GRU | 0.0967 | 0.1094 | 0.2436 |
| | BiGRU | 0.1380 | 0.2562 | 0.2627 |
| Experiment 7 | LSTM | 0.0132 | 0.0253 | 0.0245 |
| | BiLSTM | 0.2486 | 0.3564 | 0.3278 |
| | GRU | 0.0578 | 0.0645 | 0.0689 |
| | BiGRU | 0.1896 | 0.2486 | 0.2156 |
| Experiment 8 | LSTM | 0.0226 | 0.0356 | 0.0312 |
| | BiLSTM | 0.2270 | 0.2792 | 0.4123 |
| | GRU | 0.0156 | 0.0236 | 0.0384 |
| | BiGRU | 0.0689 | 0.1562 | 0.1047 |
| Experiment 9 | LSTM | 0.0196 | 0.0265 | 0.0241 |
| | BiLSTM | 0.3568 | 0.3956 | 0.4256 |
| | GRU | 0.0452 | 0.0546 | 0.0514 |
| | BiGRU | 0.0918 | 0.1256 | 0.1298 |

5. Pseudocode with Output

5.1. Preprocessing

```
bs = list of battery

params = [ 'Voltage_measured', 'Current_measured', 'Temperature_measured',
'Current_load', 'Voltage_load', 'Time', 'Capacity' ]
```

5.1.1. Loading and reading mat file

1. Read .mat file using loadmat() function
2. Access the necessary data and divide the data into 3 section
 - a. Types : (Charge, Discharge, Impedance)
 - b. Times :
 - c. Datas : (Contains all the time series data related to the charge-discharge cycle)
3. For row in dataset:

```
    types.append(row[type])
    times.append(row[time])
    datas.append(row[data])
```

5.1.2. Creating the dataset

1. Batteries = []
2. Cycles = {}
3. For i in range(len(bs)):
 For each parameter:
 Cycles[bs[i]][parameter] = []

```
For value in datas[i]:  
    Cycles[bs[i]][parameter].append(value)  
  
4. Save cycles as dataframe df
```

5.1.3. Creating dataset

```
1. df_x = df.drop(['Capacity'])  
2. df_y = df['Capacity']  
3. Split the dataset into training (train_x, train_y), validation (val_x,  
   val_y) and testing set (test_x, test_y) using train_test_split()  
   function twice
```

5.2. Model training

5.2.1. Create and train RNN models

```
1. Initialize optimizer, loss and metrics, NUM_EPOCHS and validation split  
2. Create model  
3. model.compile(optimizer, loss='huber', metrics=['mse', 'mae', 'mape',  
   tf.keras.metrics.RootMeanSquaredError(name='rmse')])  
4. Add LSTM/GRU/Bidirectional layers as required  
5. model.fit(train_x, train_y, epochs=NUM_EPOCHS,  
   batch_size=BATCH_SIZE, verbose=1,  
   validation_split=0.1, callbacks=[early_stopping, lr_scheduler])  
6. Save model
```

5.2.2. Creating the baseline model

```
1. Time at which the highest temperature is measured
```

```
def get_temp_critical(temp_measured, timestamps):  
    highest_temp = 0  
    critical_point = 0
```

```

for timestamp in temp_measured:
    if temp_measured[timestamp] > highest_temp:
        highest_temp = temp_measured[timestamp]
        critical_point = timestamps[timestamp]
return critical_point

```

2. Time at which the lowest voltage has been measured

```

def get_voltage_critical(voltage_measured, timestamps):
    lowest_voltage = 0
    critical_point = 0
    for timestamp in voltage_measured:
        if voltage_measured[timestamp] < lowest_voltage:
            lowest_voltage = voltage_measured[timestamp]
            critical_point = timestamps[timestamp]
    return critical_point

```

3. The first instance at which voltage drops below 1 volt after 1500 seconds

```

def get_voltage_load_critical(voltage_load_measured, timestamps):
    for timestamp in voltage_load_measured:
        if timestamps[timestamp] > 1500 and
voltage_load_measured[timestamp] < 1:
            return timestamps[timestamp]
    return -1

```

5.2.3. Creating the ensemble model

1. In total 36 Models will be trained, 4 Models (LSTM, GRU, BiLSTM, BiGRU) each for 9 experiments.
2. Ensembling 4 Models for each 9 experiments using various ML approaches. Notable are mentioned below
 - a. LinearRegression
 - b. HuberRegressor

- c. KNeighborsRegressor
- d. LinearSVR
- e. NuSVR
- f. SVR
- g. DecisionTreeRegressor
- h. ExtraTreeRegressor
- i. RandomForestRegressor
- j. ExtraTreesRegressor
- k. XGBRegressor
- l. LGBMRegressor
- m. CatBoostRegressor

```

def get_scores(y_true, y_pred):
    return np.sqrt(mean_squared_error(y_true,y_pred))

for exp in exps:
    df_x, df_y = ensemble_df[exp]
    train_x, test_x, train_y, test_y = train_test_split(df_x, df_y,
test_size=0.2, random_state=0)
    test_x, val_x,test_y, val_y = train_test_split(test_x, test_y,
test_size=0.5, random_state=0)

    algos = (LinearRegression, HuberRegressor, KNeighborsRegressor
,LinearSVR, NuSVR, SVR, DecisionTreeRegressor, ExtraTreeRegressor,
RandomForestRegressor, ExtraTreesRegressor, XGBRegressor,
LGBMRegressor, CatBoostRegressor)
    for algo in algos:
        model = algo()
        model_results_train = get_scores(train_y, get_preds(model,
train_x))
        model_results_val = get_scores(val_y, get_preds(model, val_x))
        model_results_test = get_scores(test_y, get_preds(model, test_x))

```

```
data = {  
    "Train": model_results_train,  
    "Val": model_results_val,  
    "Test": model_results_test  
}  
  
model_results = model_results.append(data,  
index=[f'{exp}_{type(model).__name__}'])
```

6. Discussion

In reference to the paper [3], our contribution extends the given data-driven approach for recurrent neural networks to multiple flavours of RNNs such as LSTM, BiLSTM, GRU, and BiGRU. Instead of comparing the RNN with different neural networks such as feed-forward neural networks (FFNN), our methodology creates an ensemble of different RNNs and uses several regression models for a baseline for the ensemble.

6.1. Critical Point Results

For the below mentioned regression models, the data was analyzed for critical points, and for these critical points, the models were trained. [4] The following are the criteria for a critical point in the several charging and discharging cycles of a battery:

- Time at which the highest temperature is measured
- Time at which the lowest voltage has been measured
- The first instance at which voltage drops below 1 volt after 1500 seconds

The results obtained by using critical points and regression models are as follows

| Model | Train RMSE | Validation RMSE | Test RMSE |
|---------------------|----------------|-----------------|---------------|
| LinearRegression | 0.01383664415 | 0.01392169419 | 0.01385578774 |
| HuberRegressor | 0.01418872062 | 0.01437233097 | 0.01427887303 |
| KNeighborsRegressor | 0.01150298217 | 0.01522225374 | 0.01286339815 |
| LinearSVR | 0.01541403963 | 0.01587278478 | 0.01559617838 |
| NuSVR | 0.01391938576 | 0.01504345164 | 0.01364735242 |
| XGBRegressor | 0.00333922421 | 0.01612931921 | 0.01382234489 |
| LGBMRegressor | 0.01270568957 | 0.0151634902 | 0.01586447461 |
| CatBoostRegressor | 0.009080155243 | 0.0143311698 | 0.01264471488 |

6.2. Ensembling

It is an approach to combining multiple machine learning models in the prediction process.

These models are referred to as base estimators. It is a solution to overcome the following technical challenges of building a single estimator:

- High variance: The model is very sensitive to the provided inputs to the learned features.
- Low accuracy: One model or one algorithm to fit the entire training data might not be good enough to meet expectations.
- Features noise and bias: The model relies heavily on one or a few features while making a prediction.

In order to surpass the results obtained by the reference paper [3], ensembling has been adopted for different RNN models.

6.2. RNN Ensemble Results

Various RNN models were trained on the 9 experiments which were then ensembled to reduce each other's shortcomings.

The results were obtained using various ensembling models are as follows:

| Experiment | Model | Train RMSE | Validation RMSE | Test RMSE |
|--------------|-----------------------------------|------------|-----------------|-----------|
| Experiment 1 | Experiment1_CatBoostRegressor | 0.007525 | 0.027265 | 0.020191 |
| | Experiment1_DecisionTreeRegressor | 0 | 0.050215 | 0.04708 |
| | Experiment1_ExtraTreeRegressor | 0 | 0.030343 | 0.025839 |
| | Experiment1_ExtraTreesRegressor | 2.05E-15 | 0.032114 | 0.019708 |
| | Experiment1_HuberRegressor | 0.022796 | 0.038286 | 0.034124 |

| | | | | |
|--------------|-----------------------------------|----------|----------|----------|
| | Experiment1_KNeighborsRegressor | 0.025611 | 0.043548 | 0.038138 |
| | Experiment1_LGBMRegressor | 0.012195 | 0.034856 | 0.030906 |
| | Experiment1_LinearRegression | 0.022753 | 0.036982 | 0.033052 |
| | Experiment1_LinearSVR | 0.023171 | 0.03899 | 0.034141 |
| | Experiment1_NuSVR | 0.018096 | 0.031045 | 0.028898 |
| | Experiment1_RandomForestRegressor | 0.006901 | 0.034251 | 0.023834 |
| | Experiment1_SVR | 0.043566 | 0.053136 | 0.053495 |
| | XGBRegressor | 0.001642 | 0.031135 | 0.02428 |
| Experiment 2 | Experiment2_CatBoostRegressor | 0.002012 | 0.022546 | 0.122705 |
| | Experiment2_DecisionTreeRegressor | 0 | 0.017048 | 0.124136 |
| | Experiment2_ExtraTreeRegressor | 0 | 0.022856 | 0.136466 |
| | Experiment2_ExtraTreesRegressor | 2.32E-15 | 0.025255 | 0.127762 |
| | Experiment2_HuberRegressor | 0.018581 | 0.017476 | 0.124463 |
| | Experiment2_KNeighborsRegressor | 0.02195 | 0.017122 | 0.123213 |
| | Experiment2_LGBMRegressor | 0.015742 | 0.01869 | 0.121217 |

| | | | | |
|--------------|------------------------------------|----------|----------|----------|
| | Experiment 2_LinearRegression | 0.018389 | 0.018143 | 0.124049 |
| | Experiment 2_LinearSVR | 0.018887 | 0.018774 | 0.125641 |
| | Experiment 2_NuSVR | 0.018596 | 0.019502 | 0.129266 |
| | Experiment 2_RandomForestRegressor | 0.008446 | 0.016777 | 0.118291 |
| | Experiment 2_SVR | 0.041897 | 0.032013 | 0.122625 |
| | Experiment 2_XGBRegressor | 0.000803 | 0.019872 | 0.126571 |
| Experiment 3 | Experiment 3_CatBoostRegressor | 0.00378 | 0.032155 | 0.05338 |
| | Experiment 3_DecisionTreeRegressor | 0 | 0.029699 | 0.060948 |
| | Experiment 3_ExtraTreeRegressor | 0 | 0.03498 | 0.060703 |
| | Experiment 3_ExtraTreesRegressor | 1.97E-15 | 0.027225 | 0.050309 |
| | Experiment 3_HuberRegressor | 0.017599 | 0.03181 | 0.054312 |
| | Experiment 3_KNeighborsRegressor | 0.01975 | 0.031337 | 0.058484 |
| | Experiment 3_LGBMRegressor | 0.017093 | 0.031057 | 0.055703 |
| | Experiment 3_LinearRegression | 0.017511 | 0.031071 | 0.05419 |

| | | | | |
|--------------|------------------------------------|----------|----------|----------|
| | Experiment 3_LinearSVR | 0.02083 | 0.03787 | 0.054505 |
| | Experiment 3_NuSVR | 0.0168 | 0.032595 | 0.056635 |
| | Experiment 3_RandomForestRegressor | 0.007274 | 0.027616 | 0.056833 |
| | Experiment 3_SVR | 0.04701 | 0.037065 | 0.06863 |
| | Experiment 3_XGBRegressor | 0.001102 | 0.032008 | 0.052786 |
| Experiment 4 | Experiment 4_CatBoostRegressor | 0.008048 | 0.055911 | 0.09889 |
| | Experiment 4_DecisionTreeRegressor | 0 | 0.041849 | 0.101487 |
| | Experiment 4_ExtraTreeRegressor | 0 | 0.038955 | 0.096077 |
| | Experiment 4_ExtraTreesRegressor | 1.91E-15 | 0.034634 | 0.097582 |
| | Experiment 4_HuberRegressor | 0.015199 | 0.034741 | 0.097402 |
| | Experiment 4_KNeighborsRegressor | 0.03315 | 0.034436 | 0.106181 |
| | Experiment 4_LGBMRegressor | 0.064483 | 0.076645 | 0.094264 |
| | Experiment 4_LinearRegression | 0.015045 | 0.035629 | 0.097711 |
| | Experiment 4_LinearSVR | 0.015473 | 0.034592 | 0.097312 |
| | Experiment 4_NuSVR | 0.016541 | 0.039091 | 0.114237 |

| | | | | |
|--------------|---|----------|----------|----------|
| | Experiment 4_RandomForest Regressor | 0.014185 | 0.0366 | 0.097902 |
| | Experiment 4_SVR | 0.036244 | 0.051548 | 0.127786 |
| | Experiment 4_XGBRegressor | 0.001557 | 0.037118 | 0.100524 |
| Experiment 5 | Experiment 5_CatBoostRegr essor | 0.008265 | 0.045774 | 0.074877 |
| | Experiment 5_DecisionTreeR egressor | 0 | 0.036995 | 0.027503 |
| | Experiment 5_ExtraTreeRegre ssor | 0 | 0.039634 | 0.092641 |
| | Experiment 5_ExtraTreesRegr essor | 2.05E-15 | 0.030819 | 0.037207 |
| | Experiment 5_HuberRegresso r | 0.045422 | 0.03647 | 0.047261 |
| | Experiment 5_KNeighborsRe gressor | 0.062932 | 0.058599 | 0.074977 |
| | Experiment 5_LGBMRegress or | 0.081215 | 0.061909 | 0.090574 |
| | Experiment 5_LinearRegressi on | 0.044266 | 0.036351 | 0.04396 |
| | Experiment 5_LinearSVR | 0.048037 | 0.038868 | 0.045309 |
| | Experiment 5_NuSVR | 0.05028 | 0.04351 | 0.051569 |
| Experiment 5 | Experiment 5_RandomForest Regressor | 0.023868 | 0.033271 | 0.033192 |

| | | | | |
|--------------|---|----------|----------|----------|
| | Experiment 5_SVR | 0.070282 | 0.063454 | 0.08037 |
| | Experiment 5_XGBRegressor | 0.001058 | 0.034712 | 0.053746 |
| Experiment 6 | Experiment 6_CatBoostRegr essor | 0.00643 | 0.033666 | 0.031511 |
| | Experiment 6_DecisionTreeR egressor | 0 | 0.027417 | 0.021631 |
| | Experiment 6_ExtraTreeRegr essor | 0 | 0.022854 | 0.025788 |
| | Experiment 6_ExtraTreesRegr essor | 1.27E-15 | 0.018433 | 0.024366 |
| | Experiment 6_HuberRegresso r | 0.012126 | 0.018583 | 0.020066 |
| | Experiment 6_KNeighborsRe gressor | 0.048935 | 0.033489 | 0.090067 |
| | Experiment 6_LGBMRegress or | 0.02413 | 0.027329 | 0.038727 |
| | Experiment 6_LinearRegressi on | 0.01206 | 0.018489 | 0.019934 |
| | Experiment 6_LinearSVR | 0.013803 | 0.019245 | 0.020488 |
| | Experiment 6_NuSVR | 0.012369 | 0.020624 | 0.021946 |
| | Experiment 6_RandomForest Regressor | 0.007108 | 0.027944 | 0.028014 |
| | Experiment 6_SVR | 0.054645 | 0.068334 | 0.066069 |
| | Experiment 6_XGBRegressor | 0.001206 | 0.036924 | 0.027523 |

| | | | | |
|--------------|---|----------|----------|----------|
| | Experiment 7_CatBoostRegr essor | 0.004757 | 0.036878 | 0.068955 |
| | Experiment 7_DecisionTreeR egressor | 0 | 0.033404 | 0.020211 |
| | Experiment 7_ExtraTreeRegr essor | 0 | 0.021588 | 0.019902 |
| | Experiment 7_ExtraTreesRegr essor | 1.58E-15 | 0.027808 | 0.018275 |
| | Experiment 7_HuberRegresso r | 0.015001 | 0.026221 | 0.013487 |
| | Experiment 7_KNeighborsRe gressor | 0.088213 | 0.073078 | 0.130387 |
| | Experiment 7_LGBMRegress or | 0.051494 | 0.066722 | 0.115932 |
| | Experiment 7_LinearRegressi on | 0.014968 | 0.026394 | 0.013531 |
| | Experiment 7_LinearSVR | 0.016352 | 0.029895 | 0.01475 |
| | Experiment 7_NuSVR | 0.044845 | 0.059594 | 0.086954 |
| | Experiment 7_RandomForest Regressor | 0.008481 | 0.025483 | 0.018102 |
| | Experiment 7_SVR | 0.072164 | 0.076319 | 0.114204 |
| Experiment 7 | Experiment 7_XGBRegressor | 0.00124 | 0.028077 | 0.026071 |
| Experiment 8 | Experiment 8_CatBoostRegr essor | 0.004868 | 0.132407 | 0.42213 |

| | | | | |
|--------------|---|----------|----------|----------|
| | Experiment 8_DecisionTreeR egressor | 0 | 0.075652 | 0.339136 |
| | Experiment 8_ExtraTreeRegr essor | 0 | 0.110393 | 0.394653 |
| | Experiment 8_ExtraTreesRegr essor | 1.16E-15 | 0.082802 | 0.371635 |
| | Experiment 8_HuberRegresso r | 0.029361 | 0.064163 | 0.392227 |
| | Experiment 8_KNeighborsRe gressor | 0.266649 | 0.491128 | 0.519707 |
| | Experiment 8_LGBMRegress or | 0.13042 | 0.330439 | 0.449304 |
| | Experiment 8_LinearRegressi on | 0.029229 | 0.063454 | 0.391643 |
| | Experiment 8_LinearSVR | 0.042245 | 0.108166 | 0.342323 |
| | Experiment 8_NuSVR | 0.107418 | 0.251599 | 0.391663 |
| | Experiment 8_RandomForest Regressor | 0.03998 | 0.123165 | 0.379643 |
| | Experiment 8_SVR | 0.129907 | 0.291281 | 0.421267 |
| | Experiment 8_XGBRegressor | 0.000734 | 0.084321 | 0.407328 |
| Experiment 9 | Experiment 9_CatBoostRegr essor | 0.006819 | 0.043317 | 0.031779 |
| | Experiment 9_DecisionTreeR egressor | 0 | 0.040082 | 0.034598 |

| | | | |
|---|----------|----------|----------|
| Experiment 9_ExtraTreeRegr essor | 0 | 0.045053 | 0.035488 |
| Experiment 9_ExtraTreesRegr essor | 1.59E-15 | 0.039875 | 0.031513 |
| Experiment 9_HuberRegresso r | 0.016913 | 0.03794 | 0.033117 |
| Experiment 9_KNeighborsRe gressor | 0.055853 | 0.132274 | 0.052844 |
| Experiment 9_LGBMRegress or | 0.04493 | 0.124974 | 0.031858 |
| Experiment 9_LinearRegressi on | 0.016866 | 0.037705 | 0.033333 |
| Experiment 9_LinearSVR | 0.017088 | 0.039126 | 0.032529 |
| Experiment 9_NuSVR | 0.017986 | 0.043229 | 0.032546 |
| Experiment 9_RandomForest Regressor | 0.019014 | 0.063436 | 0.034201 |
| Experiment 9_SVR | 0.051839 | 0.077244 | 0.05949 |
| Experiment 9_XGBRegressor | 0.001369 | 0.039465 | 0.034176 |

6.4. Future Scope

Since in our contribution, various RNNs were ensembled to overcome some shortcomings of each other such as LSTMs being sensitive to different random weight initializations and GRU having a lower convergence rate, as a part of our future scope, more complex RNNs such as transformers can be included in the ensemble to get better results.

7. References

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3. Ansari, S.; Ayob, A.; Hossain Lipu, M.S.; Hussain, A.; Saad, M.H.M. Data-Driven Remaining Useful Life Prediction for Lithium-Ion Batteries Using Multi-Charging Profile Framework: A Recurrent Neural Network Approach. *Sustainability* 2021, 13, 13333. <https://doi.org/10.3390/su132313333>
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