

# Generality & Existence I

## Quantification & Free Logic

*Greg Restall*



THE UNIVERSITY OF  
MELBOURNE

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To analyse the *quantifiers*

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(including their interactions with *modals*)

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To analyse the *quantifiers*  
(including their interactions with *modals*)  
using the tools of *proof theory*  
in order to better understand  
*quantification, existence and identity*.

Understanding the quantifier rules.

## Today's Plan

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Sequents & Defining Rules

Generality & Classical Quantifiers

Quantifiers & Non-Denoting Terms

Derivations & Systems

Positions & Models

Completeness & Cut

Consequences & Questions

# SEQUENTS & DEFINING RULES



## Sequents

$$\Gamma \succ \Delta$$

Don't assert each element of  $\Gamma$   
and deny each element of  $\Delta$ .

*Identity:*  $A \succ A$

## Structural Rules

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*Weakening:*  $\frac{\Gamma \succ \Delta}{\Gamma, A \succ \Delta} \quad \frac{\Gamma \succ \Delta}{\Gamma \succ A, \Delta}$

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*Cut:*  $\frac{\Gamma \succ A, \Delta \quad \Gamma, A \succ \Delta}{\Gamma \succ \Delta}$

Structural rules govern declarative sentences *as such*.

With Left/Right rules?

$$\frac{\Gamma, A, B \succ \Delta}{\Gamma, A \wedge B \succ \Delta} [\wedge L]$$

$$\frac{\Gamma \succ A, \Delta \quad \Gamma \succ B, \Delta}{\Gamma \succ A \wedge B, \Delta} [\wedge R]$$

With Left/Right rules?

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$$\frac{\Gamma \succ A, \Delta \quad \Gamma \succ B, \Delta}{\Gamma \succ A \wedge B, \Delta} [\wedge R]$$

$$\frac{\Gamma, B \succ \Delta}{\Gamma, A \text{ tonk } B \succ \Delta} [\text{tonk}L]$$

$$\frac{\Gamma \succ A, \Delta}{\Gamma \succ A \text{ tonk } B, \Delta} [\text{tonk}R]$$



What is involved in going from  $\mathcal{L}$  to  $\mathcal{L}'$ ?

Use  $\succ_{\mathcal{L}}$  to *define*  $\succ_{\mathcal{L}'}$ .

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*Desideratum #1:*  $\succ_{\mathcal{L}'}$  is conservative:  $(\succ_{\mathcal{L}'})|_{\mathcal{L}}$  is  $\succ_{\mathcal{L}}$ .

*Desideratum #2:* Concepts are defined *uniquely*.

## A Defining Rule

$$\frac{\Gamma, A, B \succ \Delta}{\Gamma, A \wedge B \succ \Delta} [\wedge Df]$$

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Fully specifies norms governing conjunctions  
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$$\frac{\Gamma, A, B \succ \Delta}{\Gamma, A \wedge B \succ \Delta} [\wedge Df]$$

Fully specifies norms governing conjunctions on the *left* in terms of simpler vocabulary.

*Identity* and *Cut* determine the behaviour of conjunctions on the *right*.

# From $[\wedge Df]$ to $[\wedge L/R]$

$$\begin{array}{c}
 \frac{}{A \wedge B \succ A \wedge B} [Id] \\
 \frac{}{A, B \succ A \wedge B} [\wedge Df] \\
 \frac{\Gamma \succ B, \Delta \quad A, B \succ A \wedge B}{\Gamma, A \succ A \wedge B, \Delta} [Cut] \\
 \frac{\Gamma \succ A, \Delta \quad \Gamma, A \succ A \wedge B, \Delta}{\Gamma \succ A \wedge B, \Delta} [Cut]
 \end{array}$$

# From $[\wedge Df]$ to $[\wedge L/R]$

$$\begin{array}{c}
 \frac{\frac{\frac{}{A \wedge B \succ A \wedge B} [Id]}{\Gamma \succ B, \Delta \quad A, B \succ A \wedge B} [\wedge Df]}{\Gamma \succ A, \Delta \quad \Gamma, A \succ A \wedge B, \Delta} [Cut] \\
 \hline
 \Gamma \succ A \wedge B, \Delta \quad \Gamma, A \succ A \wedge B, \Delta \\
 \hline
 \Gamma \succ A \wedge B, \Delta \quad \Gamma, A \succ A \wedge B, \Delta \quad \Gamma \succ A, \Delta \quad \Gamma, A \succ A \wedge B, \Delta \\
 \hline
 \Gamma \succ A \wedge B, \Delta
 \end{array}$$



# From $[\wedge Df]$ to $[\wedge L/R]$

$$\begin{array}{c}
 \frac{\frac{\frac{\Gamma \succ A, \Delta}{\Gamma \succ A, \Delta} \quad \frac{\frac{\frac{\Gamma \succ B, \Delta}{\Gamma, A \succ A \wedge B, \Delta} \quad \frac{\frac{\frac{\overline{A \wedge B \succ A \wedge B}}{A, B \succ A \wedge B} [Id]}{A, B \succ A \wedge B} [\wedge Df]}{\Gamma, A \succ A \wedge B, \Delta} [Cut]}{\Gamma \succ A, \Delta \quad \Gamma, A \succ A \wedge B, \Delta} [Cut]}{\Gamma \succ A \wedge B, \Delta} [Cut]
 \end{array}$$

From  $[\wedge Df]$  to  $[\wedge L/R]$

$$\frac{\frac{\frac{\Gamma \succ A, \Delta}{\Gamma, A \succ A \wedge B, \Delta} [Cut] \quad \frac{\frac{\Gamma \succ B, \Delta \quad \frac{A, B \succ A \wedge B}{\overline{A \wedge B \succ A \wedge B}} [Id]}{\overline{A, B \succ A \wedge B}} [\wedge Df]}{\Gamma \succ A \wedge B, \Delta} [Cut]$$

# From $[\wedge Df]$ to $[\wedge L/R]$

$$\begin{array}{c}
 \frac{}{A \wedge B \succ A \wedge B} [Id] \\
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 \frac{\Gamma \succ B, \Delta \quad A, B \succ A \wedge B}{\Gamma, A \succ A \wedge B, \Delta} [Cut] \\
 \frac{\Gamma \succ A, \Delta \quad \Gamma, A \succ A \wedge B, \Delta}{\Gamma \succ A \wedge B, \Delta} [Cut]
 \end{array}$$

$$\frac{\Gamma \succ A, \Delta \quad \Gamma \succ B, \Delta}{\Gamma \succ A \wedge B, \Delta} [\wedge R]$$

## And Back

$$\frac{\frac{A \succ A \quad B \succ B}{A, B \succ A \wedge B} [\wedge R] \quad \Gamma, A \wedge B \succ \Delta}{\Gamma, A, B \succ \Delta} [Cut]$$

# Equivalence

$$\mathcal{L}[\wedge Df, Cut] = \mathcal{L}[\wedge L/R, Cut]$$

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This *generalises*:  $\wedge, \vee, \supset, \neg$  work in the same way.

# Equivalence

$$\mathcal{L}[\wedge Df, Cut] = \mathcal{L}[\wedge L/R, Cut] = \mathcal{L}[\wedge L/R]$$

This *generalises*:  $\wedge, \vee, \supset, \neg$  work in the same way.

I want to see how this works for quantifiers.



GENERALITY  
& CLASSICAL  
QUANTIFIERS

## The Rules

$$\frac{\Gamma \succ A(n), \Delta}{\Gamma \succ (\forall x)A(x), \Delta} [\forall Df] \qquad \frac{\Gamma, A(n) \succ \Delta}{\Gamma, (\exists x)A(x) \succ \Delta} [\exists Df]$$

(where  $n$  is not present in the bottom sequent of both rules)

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For this to work as expected,  $n$  must be *deductively general*.

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(where  $n$  is not present in the bottom sequent of both rules)

For this to work as expected,  $n$  must be *deductively general*.

Function terms are not deductively general:

$(\forall x)(0 \neq x') \succ 0 \neq 1$ , but  $(\forall x)(0 \neq x') \not\succ (\forall x)(0 \neq x)$ .

# Generality and Specification

A term  $n$  is *deductively general* for the category  $\mathfrak{T}$  iff the rule of *specification* is admissible for each term  $t$  of category  $\mathfrak{T}$ .

$$\frac{\Gamma \succ \Delta}{\Gamma[n := t] \succ \Delta[n := t]} [Spec_t^n]$$

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In classical first order predicate logic, names are deductively general.

## $[\forall Df]$ requires $[Spec]$

$$\frac{(\forall x)Fx \succ (\forall x)Fx}{(\forall x)Fx \succ Fn} [\forall Df]$$

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How can we derive  $(\forall x)Fx \succ Ft$ ?



## $[\forall Df]$ requires $[Spec]$

$$\frac{(\forall x)Fx \succ (\forall x)Fx}{(\forall x)Fx \succ Fn} [\forall Df]$$

How can we derive  $(\forall x)Fx \succ Ft$ ?

We must make explicit use of *specification*.

$$\frac{\frac{(\forall x)Fx \succ (\forall x)Fx}{(\forall x)Fx \succ Fn} [\forall Df]}{(\forall x)Fx \succ Ft} [Spec_t^n]$$

## From $[\forall Df]$ to $[\forall L]$

$$\frac{\frac{\frac{}{(\forall x)A(x) \succ (\forall x)A(x)} [Id]}{(\forall x)A(x) \succ A(n)} [\forall Df] \quad \Gamma, A(n) \succ \Delta}{\Gamma, (\forall x)A(x) \succ \Delta} [Cut]$$

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$$\frac{
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 \frac{}{(\forall x)A(x) \succ (\forall x)A(x)} [Id]
 }{(\forall x)A(x) \succ A(n)} [\forall Df]
 \quad \Gamma, A(n) \succ \Delta
 }{
 \Gamma, (\forall x)A(x) \succ \Delta
 } [Cut]$$

The resulting rule no longer has the side condition for  $n$ , because the premise sequent  $\Gamma, A(n) \succ \Delta$  is arbitrary.

$$\frac{\Gamma, A(n) \succ \Delta}{\Gamma, (\forall x)A(x) \succ \Delta} [\forall L: \textit{for names}]$$

However, it only applies to names, not terms.

## From $[\forall Df]$ to $[\forall L]$ , *cont.*

$$\begin{array}{c}
 \frac{}{(\forall x)A(x) \succ (\forall x)A(x)} [Id] \\
 \frac{}{(\forall x)A(x) \succ A(n)} [\forall Df] \\
 \frac{}{(\forall x)A(x) \succ A(t)} [Spec_t^n] \quad \Gamma, A(t) \succ \Delta \\
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 \end{array}$$

## From $[\forall Df]$ to $[\forall L]$ , *cont.*

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 \end{array}$$

$$\frac{\Gamma, A(t) \succ \Delta}{\Gamma, (\forall x)A(x) \succ \Delta} [\forall L]$$



# Equivalence

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QUANTIFIERS &  
NON-DENOTING  
TERMS

# Non-Denoting Terms

$$\frac{1}{0}$$

$$\lim_{x \rightarrow 0} \frac{\sin x}{x}$$

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*Pegasus*

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It is difficult to eliminate non-denoting terms as a matter of *syntax*.

$$(\forall x)(x < 0 \vee x = 0 \vee x > 0) \not\vdash (\frac{1}{0} < 0 \vee \frac{1}{0} = 0 \vee \frac{1}{0} > 0)$$



## Non-Denoting Terms

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It is difficult to eliminate non-denoting terms as a matter of *syntax*.

$$(\forall x)(x < 0 \vee x = 0 \vee x > 0) \not\equiv (\frac{1}{0} < 0 \vee \frac{1}{0} = 0 \vee \frac{1}{0} > 0)$$

How can we modify the quantifier rules  
to allow for non-denoting terms?

## *Pro* and *Con* attitudes to Terms

To rule a term *in* is to take it as suitable  
to substitute into a quantifier,  
i.e., to take the term to *denote*.

To rule a term *out* is to take it as unsuitable  
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We add terms to the LHS and RHS of sequents  $\Gamma \succ \Delta$ .

## Structural Rules remain as before

*Identity:*  $X \succ X$

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*Cut:*  $\frac{\Gamma \succ X, \Delta \quad \Gamma, X \succ \Delta}{\Gamma \succ \Delta}$

Here  $X$  is either a sentence or a term.



## Quantifier Rules, allowing for non-denoting terms

$$\frac{\Gamma, n \succ A(n), \Delta}{\Gamma \succ (\forall x)A(x), \Delta} [\forall Df]$$

$$\frac{\Gamma, n, A(n) \succ \Delta}{\Gamma, (\exists x)A(x) \succ \Delta} [\exists Df]$$

# From $[\forall Df]$ to $[\forall L]$

$$\begin{array}{c}
 \frac{}{(\forall x)A(x) \succ (\forall x)A(x)} [Id] \\
 \frac{}{(\forall x)A(x), n \succ A(n)} [\forall Df] \\
 \frac{}{(\forall x)A(x), t \succ A(t)} [Spec_t^n] \quad \Gamma, A(t) \succ \Delta \\
 \frac{}{\Gamma, (\forall x)A(x), t \succ \Delta} [Cut] \quad \Gamma \succ t, \Delta \\
 \hline
 \Gamma, (\forall x)A(x) \succ \Delta \quad [Cut]
 \end{array}$$

## From $[\forall Df]$ to $[\forall L]$

$$\begin{array}{c}
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 \frac{}{(\forall x)A(x), n \succ A(n)} [\forall Df] \\
 \frac{}{(\forall x)A(x), t \succ A(t)} [Spec_t^n] \\
 \frac{}{\Gamma, A(t) \succ \Delta} \\
 \frac{\Gamma, (\forall x)A(x), t \succ \Delta \quad \Gamma \succ t, \Delta}{\Gamma, (\forall x)A(x) \succ \Delta} [Cut]
 \end{array}$$

This results in a two-premise rule:

$$\frac{\Gamma, A(t) \succ \Delta \quad \Gamma \succ t, \Delta}{\Gamma, (\forall x)A(x) \succ \Delta} [\forall L]$$

# From $[\exists Df]$ to $[\exists R]$

$$\begin{array}{c}
 \frac{}{(\exists x)A(x) \succ (\exists x)A(x)} [Id] \\
 \frac{}{A(n), n \succ (\exists x)A(x)} [\exists Df] \\
 \frac{}{A(t), t \succ (\exists x)A(x)} [Spec_t^n] \\
 \frac{\Gamma \succ A(t), \Delta \quad A(t), t \succ (\exists x)A(x)}{\Gamma, t \succ (\exists x)A(x), \Delta} [Cut] \\
 \frac{\Gamma, t \succ \Delta \quad \Gamma, t \succ (\exists x)A(x), \Delta}{\Gamma \succ (\exists x)A(x), \Delta} [Cut]
 \end{array}$$

## From $[\exists Df]$ to $[\exists R]$

$$\begin{array}{c}
 \frac{}{(\exists x)A(x) \succ (\exists x)A(x)} [Id] \\
 \frac{}{A(n), n \succ (\exists x)A(x)} [\exists Df] \\
 \frac{}{A(t), t \succ (\exists x)A(x)} [Spec_t^n] \\
 \frac{\Gamma \succ A(t), \Delta \quad A(t), t \succ (\exists x)A(x)}{\Gamma, t \succ (\exists x)A(x), \Delta} [Cut] \\
 \frac{\Gamma, t \succ \Delta \quad \Gamma, t \succ (\exists x)A(x), \Delta}{\Gamma \succ (\exists x)A(x), \Delta} [Cut]
 \end{array}$$

This gives a two-premise  $[\exists R]$  rule:

$$\frac{\Gamma \succ t, \Delta \quad \Gamma \succ A(t), \Delta}{\Gamma \succ (\exists x)A(x), \Delta} [\exists R]$$

## Making Denotation Explicit

$$\frac{\Gamma, t \succ \Delta}{\Gamma, t\downarrow \succ \Delta} [\downarrow Df]$$

This results in the obvious  $[\downarrow R]$  rule.

$$\frac{\Gamma \succ t, \Delta \quad \frac{\frac{}{t\downarrow \succ t\downarrow} [Id] \quad t\downarrow \succ t\downarrow}{t \succ t\downarrow} [\downarrow Df]}{\Gamma \succ t\downarrow, \Delta} [Cut] \qquad \frac{\Gamma \succ t, \Delta}{\Gamma \succ t\downarrow, \Delta} [\downarrow R]$$

SOLOMON FEFERMAN\*

## DEFINEDNESS

**ABSTRACT.** Questions of definedness are ubiquitous in mathematics. Informally, these involve reasoning about expressions which may or may not have a value. This paper surveys work on logics in which such reasoning can be carried out directly, especially in computational contexts. It begins with a general logic of “partial terms”, continues with partial combinatory and lambda calculi, and concludes with an expressively rich theory of partial functions and polymorphic types, where termination of functional programs can be established in a natural way.

*Erkenntnis* 43: 295–320, 1995.

# Definedness, function terms and predicates

$$\frac{t_i, \Gamma \succ \Delta}{f(t_1, \dots, t_n), \Gamma \succ \Delta} \text{ [fL]}$$

$$\frac{t_i, \Gamma \succ \Delta}{\text{F}t_1 \cdots t_n, \Gamma \succ \Delta} \text{ [FL]}$$



# DERIVATIONS & SYSTEMS

## Structural Rules

*Identity:*  $X \succ X$

*Weakening:*  $\frac{\Gamma \succ \Delta}{\Gamma, X \succ \Delta} \quad \frac{\Gamma \succ \Delta}{\Gamma \succ X, \Delta}$

*Contraction:*  $\frac{\Gamma, X, X \succ \Delta}{\Gamma, X \succ \Delta} \quad \frac{\Gamma \succ X, X, \Delta}{\Gamma \succ X, \Delta}$

*Cut:*  $\frac{\Gamma \succ X, \Delta \quad \Gamma, X \succ \Delta}{\Gamma \succ \Delta}$

## Predicate and Function Rules

$$\frac{t_i, \Gamma \succ \Delta}{f(t_1, \dots, t_n), \Gamma \succ \Delta} [fL]$$

$$\frac{t_i, \Gamma \succ \Delta}{\exists t_1 \dots t_n, \Gamma \succ \Delta} [FL]$$

# Specification

$$\frac{\Gamma \succ \Delta}{\Gamma[n := t] \succ \Delta[n := t]} [Spec_t^n]$$

## Defining Rules

$$\frac{\Gamma, A, B \succ \Delta}{\Gamma, A \wedge B \succ \Delta} [\wedge Df]$$

$$\frac{\Gamma \succ A, B, \Delta}{\Gamma \succ A \vee B, \Delta} [\vee Df]$$

$$\frac{\Gamma, A \succ B, \Delta}{\Gamma \succ A \supset B, \Delta} [\supset Df]$$

$$\frac{\Gamma \succ A, \Delta}{\Gamma, \neg A \succ \Delta} [\neg Df]$$

$$\frac{\Gamma, n \succ A(n), \Delta}{\Gamma \succ (\forall x)A(x), \Delta} [\forall Df]$$

$$\frac{\Gamma, n, A(n) \succ \Delta}{\Gamma, (\exists x)A(x) \succ \Delta} [\exists Df]$$

$$\frac{\Gamma, t \succ \Delta}{\Gamma, t \downarrow \succ \Delta} [\downarrow Df]$$

# The System

---

$DL[Df, Cut, Spec]$

# Example Derivation

$$\begin{array}{c}
 \frac{(\forall x)(Fx \supset Gx) \succ (\forall x)(Fx \supset Gx)}{(\forall x)(Fx \supset Gx), n \succ Fn \supset Gn} [\forall Df] \quad \frac{\frac{Fn \supset Gn \succ Fn \supset Gn}{Fn \supset Gn, Fn \succ Gn} [\supset Df] \quad \frac{(\exists x)Gx \succ (\exists x)Gx}{n, Gn \succ (\exists x)Gx} [\exists Df]}{Fn \supset Gn, n, Fn \succ (\exists x)Gx} [Cut] \\
 \hline
 (\forall x)(Fx \supset Gx), n, Fn \succ (\exists x)Gx \\
 \hline
 (\forall x)(Fx \supset Gx), (\exists x)Fx \succ (\exists x)Gx \quad [\exists Df] \\
 \hline
 (\forall x)(Fx \supset Gx) \succ (\exists x)Fx \supset (\exists x)Gx \quad [\supset Df]
 \end{array}$$

## Eliminating *Spec*

Replace the quantifier rules by these *generalised* defining rules:

$$\frac{\Gamma, n \succ A(n), \Delta}{\Gamma \succ (\forall x)A(x), \Delta} [\forall Df\downarrow]$$

$$\frac{\Gamma \succ (\forall x)A(x), \Delta}{\Gamma, t \succ A(t), \Delta} [\forall Df\uparrow]$$

$$\frac{\Gamma, n, A(n) \succ \Delta}{\Gamma, (\exists x)A(x) \succ \Delta} [\exists Df\downarrow]$$

$$\frac{\Gamma, (\exists x)A(x) \succ \Delta}{\Gamma, t, A(t) \succ \Delta} [\exists Df\uparrow]$$



## Eliminating *Spec*

Replace the quantifier rules by these *generalised* defining rules:

$$\frac{\Gamma, n \succ A(n), \Delta}{\Gamma \succ (\forall x)A(x), \Delta} [\forall Df\downarrow]$$

$$\frac{\Gamma \succ (\forall x)A(x), \Delta}{\Gamma, t \succ A(t), \Delta} [\forall Df\uparrow]$$

$$\frac{\Gamma, n, A(n) \succ \Delta}{\Gamma, (\exists x)A(x) \succ \Delta} [\exists Df\downarrow]$$

$$\frac{\Gamma, (\exists x)A(x) \succ \Delta}{\Gamma, t, A(t) \succ \Delta} [\exists Df\uparrow]$$

DL[*GDf*, *Cut*]

### Theorem

*A derivation of a sequent  $\Gamma \succ \Delta$  in  $DL[Df, Cut, Spec]$  can be systematically transformed into a derivation of that sequent in  $DL[GDf, Cut]$ , and vice versa.*

### Proof.

All of the rules in  $DL[GDf, Cut]$ , are closed under specification. Take a derivation in  $DL[Df, Cut, Spec]$ , and systematically replace each derivation leading up to the first use of a  $Spec_t^n$  rule by transforming that derivation by replacing  $n$  by  $t$  throughout.

Conversely, the  $GDf$  rules are a composition of  $Df$  rules and  $Spec$ , so a  $DL[GDf, Cut]$  derivation can be transformed into a  $DL[Df, Cut, Spec]$  derivation. □

## Left/Right Rules for Connectives

$$\frac{\Gamma, A, B \succ \Delta}{\Gamma, A \wedge B \succ \Delta} [\wedge L] \qquad \frac{\Gamma \succ A, \Delta \quad \Gamma \succ B, \Delta}{\Gamma \succ A \wedge B, \Delta} [\wedge R]$$

$$\frac{\Gamma, A \succ \Delta \quad \Gamma, B \succ \Delta}{\Gamma, A \vee B \succ \Delta} [\vee L] \qquad \frac{\Gamma \succ A, B, \Delta}{\Gamma \succ A \vee B, \Delta} [\vee R]$$

$$\frac{\Gamma \succ A, \Delta \quad \Gamma, B \succ \Delta}{\Gamma, A \supset B \succ \Delta} [\supset L] \qquad \frac{\Gamma, A \succ B, \Delta}{\Gamma \succ A \supset B, \Delta} [\supset R]$$

$$\frac{\Gamma \succ A, \Delta}{\Gamma, \neg A, \succ \Delta} [\neg L] \qquad \frac{\Gamma, A \succ \Delta}{\Gamma \succ \neg A, \Delta} [\neg R]$$

# Left/Right Rules for Quantifiers and Definedness

$$\frac{\Gamma, A(t) \succ \Delta \quad \Gamma \succ t, \Delta}{\Gamma, (\forall x)A(x) \succ \Delta} [\forall L] \qquad \frac{\Gamma, n \succ A(n), \Delta}{\Gamma \succ (\forall x)A(x), \Delta} [\forall R]$$

$$\frac{\Gamma, n, A(n) \succ \Delta}{\Gamma, (\exists x)A(x) \succ \Delta} [\exists L] \qquad \frac{\Gamma \succ t, \Delta \quad \Gamma \succ A(t), \Delta}{\Gamma \succ (\exists x)A(x), \Delta} [\exists R]$$

$$\frac{\Gamma, t \succ \Delta}{\Gamma, t \downarrow \succ \Delta} [\downarrow L] \qquad \frac{\Gamma \succ t, \Delta}{\Gamma \succ t \downarrow, \Delta} [\downarrow R]$$

# Left/Right Rules for Quantifiers and Definedness

$$\frac{\Gamma, A(t) \succ \Delta \quad \Gamma \succ t, \Delta}{\Gamma, (\forall x)A(x) \succ \Delta} [\forall L] \qquad \frac{\Gamma, n \succ A(n), \Delta}{\Gamma \succ (\forall x)A(x), \Delta} [\forall R]$$

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$$\frac{\Gamma, t \succ \Delta}{\Gamma, t\downarrow \succ \Delta} [\downarrow L] \qquad \frac{\Gamma \succ t, \Delta}{\Gamma \succ t\downarrow, \Delta} [\downarrow R]$$

DL[L/R, *Cut*]

# Transforming $GDf$ to $L/R$

## Theorem

*A derivation of a sequent  $\Gamma \succ \Delta$  in  $DL[GDf, Cut]$  can be systematically transformed into a derivation of that sequent in  $DL[L/R, Cut]$ , and vice versa.*

## Proof.

Using *Cut* and *Id*, each (generalised) defining rule can mimic a Left/Right pair, and vice versa.



## These systems satisfy *Desideratum #2*

$$\frac{\frac{(\forall x)A(x) \succ (\forall x)A(x)}{(\forall x)A(x), n \succ A(n)} [\forall Df]}{(\forall x)A(x) \succ (\forall' x)A(x)} [\forall' Df] \qquad \frac{\frac{(\forall' x)A(x) \succ (\forall' x)A(x)}{(\forall' x)A(x), n \succ A(n)} [\forall' Df]}{(\forall' x)A(x) \succ (\forall x)A(x)} [\forall Df]$$

## For *Desideratum* #1 we eliminate *Cut*

To show that L/R rules are conservative additions,  
we eliminate *Cut*, since the other rules do not  
introduce new connectives, quantifiers or predicates.

Then, any derivation of a sequent  $\Gamma \succ \Delta$  in a system  
will use only the rules involving the connectives,  
quantifiers and predicates in that sequent.



# POSITIONS & MODELS

$$[\Gamma : \Delta]$$

A pair of *sets*,  $\Gamma$  and  $\Delta$  where for no  $\Gamma' \subseteq \Gamma$  and  $\Delta' \subseteq \Delta$  do we have  $\Gamma' \succ \Delta'$ .

$$[\Gamma : \Delta]$$

A pair of *sets*,  $\Gamma$  and  $\Delta$  where for no  $\Gamma' \subseteq \Gamma$  and  $\Delta' \subseteq \Delta$  do we have  $\Gamma' \succ \Delta'$ .

Here  $\Gamma$  and  $\Delta$  can be infinite,  
unlike sequents.

# Refinement

$[\Gamma_2 : \Delta_2]$  is a **REFINEMENT** of  $[\Gamma_1 : \Delta_1]$  iff  $\Gamma_1 \subseteq \Gamma_2$  and  $\Delta_1 \subseteq \Delta_2$ .

## Refinement for Conjunction

$$\frac{\Gamma, A \wedge B, A, B \succ \Delta}{\Gamma, A \wedge B, A \wedge B \succ \Delta} [\wedge Df]$$
$$\frac{\Gamma, A \wedge B, A \wedge B \succ \Delta}{\Gamma, A \wedge B \succ \Delta} [W]$$

## Refinement for Conjunction

$$\frac{\Gamma, A \wedge B, A, B \succ \Delta}{\Gamma, A \wedge B, A \wedge B \succ \Delta} [\wedge Df]$$
$$\frac{\Gamma, A \wedge B, A \wedge B \succ \Delta}{\Gamma, A \wedge B \succ \Delta} [W]$$

If  $[\Gamma, A \wedge B : \Delta]$  is a position, so is  $[\Gamma, A \wedge B, A, B : \Delta]$ .

## Refinement for Conjunction

$$\frac{\frac{\Gamma, A \wedge B, A, B \succ \Delta}{\Gamma, A \wedge B, A \wedge B \succ \Delta} [\wedge Df]}{\Gamma, A \wedge B \succ \Delta} [W]$$
$$\frac{\frac{\Gamma \succ A, A \wedge B, \Delta \quad \Gamma \succ B, A \wedge B, \Delta}{\Gamma \succ A \wedge B, A \wedge B, \Delta} [\wedge R]}{\Gamma \succ A \wedge B, \Delta} [W]$$

If  $[\Gamma, A \wedge B : \Delta]$  is a position, so is  $[\Gamma, A \wedge B, A, B : \Delta]$ .

## Refinement for Conjunction

$$\frac{\frac{\Gamma, A \wedge B, A, B \succ \Delta}{\Gamma, A \wedge B, A \wedge B \succ \Delta} [\wedge \text{df}]}{\Gamma, A \wedge B \succ \Delta} [\text{W}] \qquad \frac{\frac{\Gamma \succ A, A \wedge B, \Delta \quad \Gamma \succ B, A \wedge B, \Delta}{\Gamma \succ A \wedge B, A \wedge B, \Delta} [\wedge \text{R}]}{\Gamma \succ A \wedge B, \Delta} [\text{W}]$$

If  $[\Gamma, A \wedge B : \Delta]$  is a position, so is  $[\Gamma, A \wedge B, A, B : \Delta]$ .

If  $[\Gamma : A \wedge B, \Delta]$  is a position, so is (at least)  
one of  $[\Gamma : A, A \wedge B, \Delta]$  and  $[\Gamma : B, A \wedge B, \Delta]$ .



## Refinement for Connectives

POSITION	REFINEMENTS
$[\Gamma, A \wedge B : \Delta]$	$[\Gamma, A \wedge B, A, B : \Delta]$
$[\Gamma : A \wedge B, \Delta]$	One of $[\Gamma : A, A \wedge B, \Delta]$ and $[\Gamma : B, A \wedge B, \Delta]$

## Refinement for Connectives

POSITION	REFINEMENTS
$[\Gamma, A \wedge B : \Delta]$	$[\Gamma, A \wedge B, A, B : \Delta]$
$[\Gamma : A \wedge B, \Delta]$	One of $[\Gamma : A, A \wedge B, \Delta]$ and $[\Gamma : B, A \wedge B, \Delta]$
$[\Gamma, A \vee B : \Delta]$	One of $[\Gamma, A, A \vee B : \Delta]$ and $[\Gamma, B, A \vee B : \Delta]$
$[\Gamma : A \vee B, \Delta]$	$[\Gamma : A, B, A \vee B, \Delta]$

## Refinement for Connectives

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$[\Gamma, A \wedge B : \Delta]$	$[\Gamma, A \wedge B, A, B : \Delta]$
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$[\Gamma : A \vee B, \Delta]$	$[\Gamma : A, B, A \vee B, \Delta]$
$[\Gamma, A \supset B : \Delta]$	One of $[\Gamma, A \supset B : A, \Delta]$ and $[\Gamma, B, A \supset B : \Delta]$
$[\Gamma : A \supset B, \Delta]$	$[\Gamma, A : B, A \vee B, \Delta]$

## Refinement for Connectives

POSITION	REFINEMENTS
$[\Gamma, A \wedge B : \Delta]$	$[\Gamma, A \wedge B, A, B : \Delta]$
$[\Gamma : A \wedge B, \Delta]$	One of $[\Gamma : A, A \wedge B, \Delta]$ and $[\Gamma : B, A \wedge B, \Delta]$
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$[\Gamma : A \vee B, \Delta]$	$[\Gamma : A, B, A \vee B, \Delta]$
$[\Gamma, A \supset B : \Delta]$	One of $[\Gamma, A \supset B : A, \Delta]$ and $[\Gamma, B, A \supset B : \Delta]$
$[\Gamma : A \supset B, \Delta]$	$[\Gamma, A : B, A \vee B, \Delta]$
$[\Gamma, \neg A : \Delta]$	$[\Gamma, \neg A : A, \Delta]$
$[\Gamma : \neg A, \Delta]$	$[\Gamma, A : \neg A, \Delta]$

# Refinement for Quantifiers, Predicates and Functions

POSITION	REFINEMENTS
$[\Gamma, Ft_1 \cdots t_n : \Delta]$	$[\Gamma, Ft_1 \cdots t_n, t_1, \dots, t_n : \Delta]$
$[\Gamma, f(t_1, \dots, t_n) : \Delta]$	$[\Gamma, f(t_1, \dots, t_n), t_1, \dots, t_n : \Delta]$
$[\Gamma, (\forall x)A(x) : \Delta]$	One of $[\Gamma, (\forall x)A(x), A(t) : \Delta]$ , $[\Gamma, (\forall x)A(x) : t, \Delta]$ for each term $t$ in $[\Gamma, (\forall x)A(x) : \Delta]$ .
$[\Gamma : (\forall x)A(x), \Delta]$	$[\Gamma, n : A(n), (\forall x)A(x), \Delta]$ , for some $n$ .
$[\Gamma, (\exists x)A(x) : \Delta]$	$[\Gamma, (\exists x)A(x), A(n), n : \Delta]$ , for some $n$ .
$[\Gamma : (\exists x)A(x), \Delta]$	One of $[\Gamma : A(t), (\exists x)A(x), \Delta]$ , $[\Gamma : t, (\exists x)A(x), \Delta]$ for each term $t$ in $[\Gamma : (\exists x)A(x), \Delta]$ .
$[\Gamma, t \downarrow : \Delta]$	$[\Gamma, t \downarrow, t : A, \Delta]$
$[\Gamma : t \downarrow, \Delta]$	$[\Gamma, A : t, t \downarrow, \Delta]$

## Fully Refined Positions

A position  $[\Gamma : \Delta]$  is **FULLY REFINED**  
when it is closed under each of these conditions.

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### Theorem

*Any DL[LR] position  $[\Gamma : \Delta]$  is extended by some fully refined position.*

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### Theorem

*Any DL[LR] position  $[\Gamma : \Delta]$  is extended by some fully refined position.*

### Proof.

Use the usual tableaux method.





A MODEL for the logic DL is a structure  $\mathfrak{M}$  consisting of

1. A *domain*  $D$ .
2. An  $n$ -ary predicate  $F$  is interpreted as a subset  $F^{\mathfrak{M}}$  of  $D^n$  (as usual).
3. An  $n$ -ary function symbol  $f$  is interpreted as a *partial function*  $f^{\mathfrak{M}} : D^n \rightharpoonup D$ .

## Assigning Values

- ▶  $\alpha$  is a (partial) assignment of values to variables.
- ▶  $\llbracket x \rrbracket_{\mathfrak{M}, \alpha} = \alpha(x)$
- ▶  $\llbracket f(t_1, \dots, t_n) \rrbracket_{\mathfrak{M}, \alpha} = f^{\mathfrak{M}}(\llbracket t_1 \rrbracket_{\mathfrak{M}, \alpha}, \dots, \llbracket t_n \rrbracket_{\mathfrak{M}, \alpha})$  if each  $\llbracket t_i \rrbracket_{\mathfrak{M}, \alpha}$  is defined, and  $f^{\mathfrak{M}}$  is defined on the inputs  $\llbracket t_1 \rrbracket_{\mathfrak{M}, \alpha}, \dots, \llbracket t_n \rrbracket_{\mathfrak{M}, \alpha}$ .

# Interpreting a Language

- ▶  $\mathfrak{M} \models_{\alpha} t \downarrow$  iff  $\llbracket t \rrbracket_{\mathfrak{M}, \alpha}$  is defined.
- ▶  $\mathfrak{M} \models_{\alpha} Ft_1 \cdots t_n$  iff for each  $i$ , the value  $\llbracket t_i \rrbracket_{\mathfrak{M}, \alpha}$  is defined, and the  $n$ -tuple  $\langle \llbracket t_1 \rrbracket_{\mathfrak{M}, \alpha}, \dots, \llbracket t_n \rrbracket_{\mathfrak{M}, \alpha} \rangle \in F^{\mathfrak{M}}$
- ▶  $\mathfrak{M} \models_{\alpha} A \wedge B$  iff  $\mathfrak{M} \models_{\alpha} A$  and  $\mathfrak{M} \models_{\alpha} B$ .
- ▶  $\mathfrak{M} \models_{\alpha} A \vee B$  iff  $\mathfrak{M} \models_{\alpha} A$  or  $\mathfrak{M} \models_{\alpha} B$ .
- ▶  $\mathfrak{M} \models_{\alpha} A \supset B$  iff  $\mathfrak{M} \not\models_{\alpha} A$  or  $\mathfrak{M} \models_{\alpha} B$ .
- ▶  $\mathfrak{M} \models_{\alpha} \neg A$  iff  $\mathfrak{M} \not\models_{\alpha} A$ .
- ▶  $\mathfrak{M} \models_{\alpha} (\forall x)A(x)$  iff  $\mathfrak{M} \models_{\alpha[x:=d]} A(x)$  for every  $d$  in  $D$ .
- ▶  $\mathfrak{M} \models_{\alpha} (\exists x)A(x)$  iff  $\mathfrak{M} \models_{\alpha[x:=d]} A(x)$  for some  $d$  in  $D$ .

$\mathfrak{M}$  is a MODEL OF THE POSITION  $[\Gamma : \Delta]$  iff

- every sentence in  $\Gamma$  is true in  $\mathfrak{M}$ ,
- every term in  $\Gamma$  is defined in  $\mathfrak{M}$ ,
- every sentence in  $\Delta$  is false in  $\mathfrak{M}$
- and every term in  $\Delta$  is undefined in  $\mathfrak{M}$ .

## Models *from* Positions

For any fully refined position  $[\Gamma : \Delta]$  the model where

- (1) the domain  $D$  is the set of terms in  $\Gamma$

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- (3) the  $n$ -ary function symbol  $f$  is interpreted by setting  $f(t_1, \dots, t_n)$  to be defined iff it is in  $\Gamma$ , and then it takes *itself* as its value

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- (3) the  $n$ -ary function symbol  $f$  is interpreted by setting  $f(t_1, \dots, t_n)$  to be defined iff it is in  $\Gamma$ , and then it takes *itself* as its value

is said to be the model *from*  $[\Gamma : \Delta]$ .



# COMPLETENESS & CUT

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## Theorem

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Inspect the conditions for satisfaction in a model.



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*Each position has some model.*

# Completeness

## Theorem

*The model from a fully refined position is a model for that position.*

## Proof.

Inspect the conditions for satisfaction in a model. □

## Corollary

*Each position has some model.*

## Proof.

Extend  $[\Gamma : \Delta]$  into a fully refined position. Take the model from that position. It is a model for  $[\Gamma : \Delta]$ . □

# Admissibility of Cut

## Theorem

*If  $\Gamma \succ \Delta$  is derivable in  $\text{DL}[LR, \text{Cut}]$  then  $[\Gamma : \Delta]$  has no model.*

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Induction on the length of the derivation. The special case is *Cut*: If  $[\Gamma : X, \Delta]$  and  $[\Gamma, X : \Delta]$  have no model, then neither does  $[\Gamma : \Delta]$ . □

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## Corollary

*If  $\Gamma \succ \Delta$  is derivable in  $\text{DL}[\text{LR}, \text{Cut}]$ , it is derivable in  $\text{DL}[\text{LR}]$  too.*



# Admissibility of Cut

## Theorem

If  $\Gamma \succ \Delta$  is derivable in  $\text{DL}[\text{LR}, \text{Cut}]$  then  $[\Gamma : \Delta]$  has no model.

## Proof.

Induction on the length of the derivation. The special case is *Cut*: If  $[\Gamma : X, \Delta]$  and  $[\Gamma, X : \Delta]$  have no model, then neither does  $[\Gamma : \Delta]$ . □

## Corollary

If  $\Gamma \succ \Delta$  derivable in  $\text{DL}[\text{LR}, \text{Cut}]$ , it derivable in  $\text{DL}[\text{LR}]$  too.

## Proof.

If  $\Gamma \succ \Delta$  is not derivable in  $\text{DL}[\text{LR}]$ , then  $[\Gamma : \Delta]$  has a model. So it is not derivable in  $\text{DL}[\text{LR}, \text{Cut}]$  either. □

# CONSEQUENCES & QUESTIONS

# Defining Rules and Generality

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*Defining Rules, with Generality,*  
give insight into the quantifiers.

## How wide is the category of terms?

---

If we allow for ‘non-denoting’ terms,  
defining rules for free logic are straightforward.

## Wider Quantifiers?

These are *also* defining rules:

$$\frac{\Gamma \succ A(n), \Delta}{\Gamma \succ (\Pi x)A(x), \Delta} [\Pi Df] \qquad \frac{\Gamma, A(n) \succ \Delta}{\Gamma, (\Sigma x)A(x) \succ \Delta} [\Sigma Df]$$

## Wider Quantifiers?

These are *also* defining rules:

$$\frac{\Gamma \succ A(n), \Delta}{\Gamma \succ (\Pi x)A(x), \Delta} [\Pi Df] \qquad \frac{\Gamma, A(n) \succ \Delta}{\Gamma, (\Sigma x)A(x) \succ \Delta} [\Sigma Df]$$

Are they meaningful?

## Modality

Modality

Identity



# THANK YOU!

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