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Assessing the role of climate and resource management on groundwater dependent ecosystem changes in arid environments with the Landsat archive



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ABSTRACT

Groundwater dependent ecosystems (GDEs) rely on near-surface groundwater. These systems are receiving more attention with rising air temperature, prolonged drought, and where groundwater pumping captures natural groundwater discharge for anthropogenic use. Phreatophyte shrublands, meadows, and riparian areas are GDEs that provide critical habitat for many sensitive species, especially in arid and semi-arid environments. While GDEs are vital for ecosystem services and function, their long-term (i.e. ~30 years) spatial and temporal variability is poorly understood with respect to local and regional scale climate, groundwater, and rangeland management. In this work, we compute time series of NDVI derived from sensors of the Landsat TM, ETM +, and OLI lineage for assessing GDEs in a variety of land and water management contexts. Changes in vegetation vigor based on climate, groundwater availability, and land management in arid landscapes are detectable with Landsat. However, the effective quantification of these ecosystem changes can be undermined if changes in spectral bandwidths between different Landsat sensors introduce biases in derived vegetation indices, and if climate, and land and water management histories are not well understood. The objective of this work is to 1) use the Landsat 8 under-fly dataset to quantify differences in spectral reflectance and NDVI between Landsat 7 ETM \pm and Landsat 8 OLI for a range of vegetation communities in arid and semiarid regions of the southwestern United States, and 2) demonstrate the value of 30-year historical vegetation index and climate datasets for assessing GDEs. Specific study areas were chosen to represent a range of GDEs and environmental conditions important for three scenarios: baseline monitoring of vegetation and climate, riparian restoration, and groundwater level changes. Google's Earth Engine cloud computing and environmental monitoring platform is used to rapidly access and analyze the Landsat archive along with downscaled North American Land Data Assimilation System gridded meteorological data, which are used for both atmospheric correction and correlation analysis. Results from the cross-sensor comparison indicate a benefit from the application of a consistent atmospheric correction method, and that NDVI derived from Landsat 7 and 8 are very similar within the study area. Results from continuous Landsat time series analysis clearly illustrate that there are strong correlations between changes in vegetation vigor, precipitation, evaporative demand, depth to groundwater, and riparian restoration. Trends in summer NDVI associated with riparian restoration and groundwater level changes were found to be statistically significant, and interannual summer NDVI was found to be moderately correlated to interannual water-year precipitation for baseline study sites. Results clearly highlight the complementary relationship between water-year PPT, NDVI, and evaporative demand, and are consistent with regional vegetation index and complementary relationship studies. This work is supporting land and water managers for evaluation of GDEs with respect to climate, groundwater, and resource management.

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1. Introduction

Groundwater dependent ecosystems (GDEs) rely on near-surface groundwater. They provide critical habitat for many sensitive species in arid and semi-arid environments and include phreatophyte shrub lands, meadows, spring areas, and riparian zones. Improved understanding of how climate and anthropogenic impacts affect the spatiotemporal variability of GDEs is needed to increase the effectiveness of ecosystem assessments, monitoring, adaptive management frameworks, and designations of protected areas (e.g. sage-grouse habitat). However, the lack of observations provides a constraint on the utilization of data for decision-making and scientific research. Long-term remote sensing observations from the Landsat archive have repeatedly demonstrated value for ecosystem monitoring, and are increasingly being used to evaluate GDE changes relative to changing climate, drought, groundwater pumping, and agricultural disturbance (Elmore et al., 2003; Groeneveld, 2008; Yang et al., 2011; Pritchett and Manning, 2012; Nguyen et al., 2014; Homer et al., 2015). The longevity and continuity of measurements from sensors in the lineage of Landsat's Thematic Mapper (TM), Enhanced Thematic Mapper Plus (ETM+), and Operational Land Imager (OLI), provide important baseline and current conditions that would not otherwise be attainable.

With free access to the Landsat archive, resource managers can now rely on long time series of Landsat derived vegetation index information in order to evaluate important factors affecting vegetation vigor within GDEs, including natural background variability due to climate, weather, land surface-atmospheric feedbacks, and anthropogenic factors such as land use, restoration, and groundwater pumping. However, long time series analysis with Landsat must deal with number of challenges, including data storage, computational efficiency, and changes in sensor bandwidths over time (i.e. TM, ETM +, OLI). The first two issues can be easily managed with parallelized cloud computing within Google's Earth Engine (EE), a powerful new cloud computing and environmental monitoring platform. The third issue of changing sensor bandwidths and accurate interpretation of Landsat vegetation index time series, requires quantification of how changing spectral response functions between sensors interact with the spectral variability of dynamic vegetation communities (Li et al., 2013). Effective quantification of GDE changes, and the credibility of derived resource management decisions, in part, depends on the compatibility of vegetation indices derived from different sensors, and the ability to isolate the effects of climatic and natural hydrologic variability on vegetation vigor from anthropogenic effects of land and water management.

2. Objective

The objective of this work is to 1) use the Landsat 8 under-fly dataset to quantify differences in spectral reflectance and vegetation indices between Landsat 7 ETM + and Landsat 8 OLI for a range of vegetation communities in arid and semiarid regions of the southwestern United States, and 2) demonstrate the value of 30-year historical vegetation index datasets derived from sensors of the Landsat TM, ETM+, and OLI lineage for assessing GDEs in a variety of land and water management contexts. The study approach relies on sensor cross-calibration, cloud computing of Landsat and meteorological data, and statistical evaluation of vegetation index time series relative to annual precipitation and evaporative demand, restoration, and changing groundwater levels. Landsat 7 and 8 images from a brief under-fly test period are used to develop correction factors that account for differences between ETM + and OLI, and to assess how discrepancies may be correlated with the typical spectral reflectance curves of dominant vegetation communities within the Great Basin, USA. Two forms of atmospheric correction are used to evaluate inter-sensor variability of spectral indices: 1) the at-surface reflectance products of Landsat climate data records available through the USGS Earth Resources Observation and Science (EROS) Center Science Processing Architecture (ESPA; USGS, 2015a), and 2) the Tasumi et al. (2008) atmospheric correction algorithm that utilizes meteorological datasets within EE. Time series of corrected Normalized Difference Vegetation Index (NDVI) are analyzed for six GDE study areas within the Great Basin to demonstrate the utility of the Landsat archive for local-scale GDE assessments.

3. Study areas and background

Specific study areas were chosen to represent a range of GDEs and environmental conditions important for three scenarios: baseline monitoring of vegetation and climate, riparian restoration, and groundwater level changes (Fig. 1 and Table 1).

3.1. Baseline assessment of vegetation and climate

Spring Valley (Fig. 2a) is located in eastern Nevada, and is of interest to federal, state, and local water resource and land managers due to the potential for groundwater development. State of Nevada groundwater permit terms, along with stipulated agreements by local and federal agencies, require detailed hydrologic and biological monitoring associated with groundwater development (NSEO, 2012; Burns and Drici, 2011; BWG, 2009). The alkali shrub phreatophyte area analyzed in this study is located in the southern portion of Spring Valley, and is a primary groundwater discharge area down gradient from proposed pumping wells. Depth to groundwater within the study area ranges from 2 to 10 m below land surface (Moreo et al., 2007). Phreatophyte shrubs obtain their water requirement from surface water, groundwater, or both, through root systems that range from shallow to 15 m depth (Robinson, 1958; Glancy and Rush, 1968; Dawson and Pate, 1996). While phreatophytes within the study area consume groundwater, they primarily rely on shallow soil water derived from precipitation, and typically only consume harder to access groundwater during summer and early fall when shallow soil moisture levels are low (Dawson and Pate, 1996; Chimner and Cooper, 2004), thereby making summer phreatophyte vegetation vigor (i.e. NDVI) a function of interannual precipitation, soil moisture, and shallow groundwater level variations.

Indian Valley (Fig. 2b) is located in central Nevada and is of interest to many wildlife and land managers due to the presence of the greater sage-grouse (*Centrocercus urophasianus*), a threatened species that has been petitioned for formal protection under the Endangered Species Act (DOI, 2015). Indian Valley has been identified as priority habitat due to its high sage-grouse lek (the male's mating arena) count, remote location, and relatively undisturbed phreatophyte meadow and shrub areas (BLM, 2012). An active area of cross-disciplinary research on sage-grouse is focused on the use of climate and Landsat archives to better understanding how climate, vegetation vigor, and sage-grouse habitat co-vary in time and space, and to identify which areas are resistant to prolonged drought (Aldridge and Boyce, 2007; Homer et al., 2015; Donnelly et al., 2016).

3.2. Riparian restoration

Maggie Creek (Fig. 3a) and Susie Creek (Fig. 3b), both located in north-central Nevada, are tributaries to the Humboldt River that support Lahontan cutthroat trout (*Oncoryhnchus clarki henshawi*). This species has been federally listed under the Endangered Species Act due to its sensitivity to changes in land and water use, prolonged drought, and changing climate (Williams et al., 2015). Vegetation communities within riparian zones of Maggie and Susie Creeks are typical of the Great Basin and include both obligate and facultative herbaceous and woody phreatophyte species. The Bureau of Land Management (BLM) collaborated with federal and local government agencies, non-profit organizations, and local mining and livestock companies to implement comprehensive watershed and riparian restoration efforts beginning in the early 1990s. Restoration efforts included



Fig. 1. Study areas within the Great Basin and location of the contemporaneous Landsat 7/8 image data. The Landsat 8 under-fly dataset was acquired on WRS-2 path 38 from rows 31–38, which spans the Great Salt Lake to Mexico. The brown path represents Landsat 7 with SLC off, and the green path represents Landsat 8.

fencing, culvert replacement, prescriptive livestock grazing, and development of livestock water sources away from stream areas (Elliott et al., 2004). These efforts led to a recolonization of beaver and advanced restoration through increased shallow groundwater, stream bank storage, and habitat resilience (Williams et al., 2015).

3.3. Groundwater level changes

In the Great Basin, insufficient surface water storage requires growers to irrigate with groundwater. Groundwater pumping for irrigation often times results in lowering of the groundwater table (i.e. phreatic surface),

leading to reduced phreatophyte evapotranspiration (ET) and vegetation vigor (Bredehoeft et al., 1982; Bredehoeft, 2002; Nichols, 2000, Elmore et al., 2003; Elmore et al., 2006; Naumburg et al., 2005; Cooper et al., 2006; Patten et al., 2008; Groeneveld, 2008). Shallow groundwater and spring areas within Fish Lake Valley, Nevada (Fig. 4a) and Snake Valley, Utah, (Fig. 4b) respectively, were chosen to illustrate multi-year declines of shallow groundwater levels and vegetation vigor associated with groundwater pumping. These areas support obligate and facultative herbaceous and woody phreatophyte species, and associated fauna. The spring study area in Snake Valley, Needle Point Spring, is the subject of litigation between federal agencies and local irrigators

Study area names, locations, Landsat path and row, and vegetation and groundwater information.

| Study area | Location (lat, long) | Altitude (m) | WRS-2 path/row | Vegetation class | Water table (m below land surface) | Plant types |
|----------------------------|----------------------|-----------------|-------------------|---------------------|------------------------------------|--|
| Spring Valley, NV | 38.8010, -114.4760 | 1758 | 39/33 | Alkali shrub | 2–10 | Greasewood, sagebrush, saltgrass |
| Indian Valley, NV | 38.8010, —117.5000 | 2247 | 41/33 | Meadow | 0.5-2.5 | Greasewood, sagebrush, saltgrass, meadow grass |
| Maggie Creek, NV | 40.8860, -116.1870 | 1599 | 41/32 | Riparian | 0-2 | Willow, sedge, rush |
| Susie Creek, NV | 40.8350, -116.0242 | 1564 | 41/32 | Riparian | 0–2 | Willow, sedge, rush |
| Fish Lake Valley, CA/NV | 37.8036,-118.0667 | 1476 | 41/34 | Alkali shrub | 4–10 | Greasewood, saltgrass |
| Snake Valley, UT/NV | 38.7556, —114.0296 | 1662 | 39/33 | Wetland | 0–3 | Cattail, greasewood, sagebrush, saltgrass |

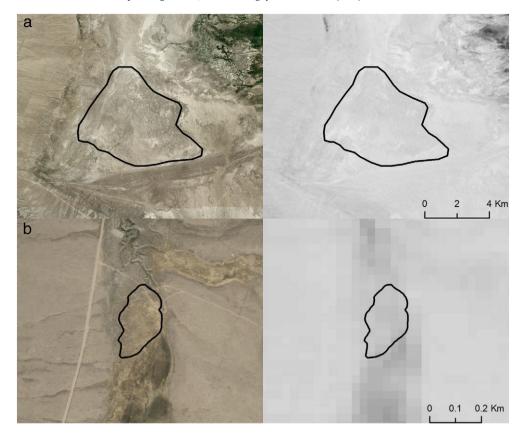


Fig. 2. Spring Valley alkali shrub (a) and Indian Valley meadow (b) natural variability study areas (black polygons). 2006 National Airborne Imagery Program (NAIP) imagery (left) and April–October 2014 Landsat 8 median NDVI (right). NDVI values linearly scaled and range from 0.0 (white) to 0.8 (black).

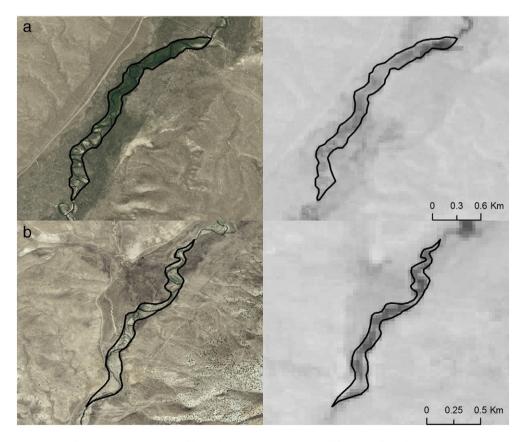


Fig. 3. Maggie Creek (a) and Susie Creek (b) riparian zone study areas (black polygons). 2006 NAIP imagery (left) and April-October 2014 Landsat 8 median NDVI (right). NDVI values linearly scaled and range from 0.0 (white) to 0.8 (black).



Fig. 4. Fish Lake Valley shallow groundwater (a) and Snake Valley Needle Point spring (b) groundwater change study areas (black polygons). 2006 NAIP imagery (left) and April–October 2014 Landsat 8 median NDVI (right). NDVI values linearly scaled and range from 0.0 (white) to 0.8 (black).

since the spring ceased to flow in 2001 (Halford, 2015; Childress and Smith, 2015).

4. Methods

Landsat data processing for each study area was performed through the development of Python computer programs that use the EE application programming interface (API) to access Landsat and hourly meteorological data archives. The processing workflow implements simple automated cloud masking (Irish et al., 2006), integration of hourly meteorological data for atmospheric correction and at-surface reflectance estimation (Tasumi et al., 2008), and computation of NDVI from 1985 to the present. Programming within the EE API allowed efficient scene selection and processing of 403 Landsat images collected over the six study sites (average of 67 images per study site from 1985 to 2014).

4.1. Sensor cross-calibration

The bandwidths of spectral channels on Landsat satellites have changed over time. Fig. 5 illustrates the spectral response functions of red (TM: 630–690 nm, ETM+: 630–690 nm, OLI: 640–670 nm) and near infrared (NIR; TM: 760–900 nm, ETM+: 770–900 nm, OLI: 850–880 nm) bands of Landsats 5, 7, and 8 along with representative spectra from Clark et al. (2007) of five plant species that are found in the study area (fir, juniper, piñon, sagebrush, and rabbitbrush). ETM+ response functions are seen to be relatively similar to the original TM, while the bandwidths of the OLI sensor are narrowed, particularly for the NIR band. Steven et al. (2003) suggest that there is a 2% increase in NDVI from TM to ETM+, but their result appears to have been biased upwards by questionable outliers that perhaps should have been removed (Steven et al., 2003, Fig. 3). Martínez-Beltrán et al. (2009) found increases in NDVI from TM to ETM+ to be <1%, and Vogelmann et al. (2001) support the interchangeability of the two sensors, so this

analysis made no attempt to compensate between TM and ETM +. However, the more dramatic difference of OLI spectral bands has been identified as requiring attention (Li et al., 2013; Roy et al., 2016).

The effect of changes in the OLI spectral bands for vegetation in the Great Basin was assessed using images from OLI and ETM+ that were acquired within 7 min of each other on March 29, 2013 during the "under-fly" testing of the Landsat 8 system (Roy et al., 2014; USGS, 2015b). This under-fly dataset was acquired on WRS-2 path 38 from rows 31–38, which spanned the Great Salt Lake to Mexico (Fig. 1). Only rows 33–37 were used in this analysis, as the others had too much cloud cover or haze to be useful. Atmospherically corrected OLI and ETM+ images were intersected with up to 54 natural vegetation

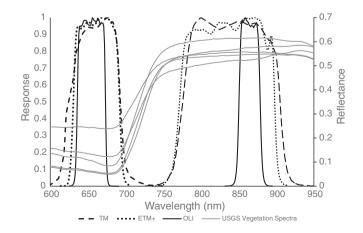


Fig. 5. Spectral response functions of red and near infrared bands for TM (630–690 nm, 760–900 nm), ETM + (630–690 nm, 850–880 nm), and OLI along with plant type spectra (gray lines) for fir, juniper, piñon, sagebrush, and rabbit brush from a USGS spectral database (ENVI software library file usgs_min.sli).

classes of the LANDFIRE vegetation map (USGS, 2010) to determine how OLI must be rescaled to match ETM+, and to examine the degree to which differences might be dependent on vegetation class type. Vegetation-dependent changes in NDVI are detected if different classes exhibit differing spectral reflectance distributions within the narrower OLI bands as compared to the broader ETM+ bands.

Two methods of radiometric and atmospheric corrections were tested with the Landsat imagery, 1) the Landsat surface reflectance climate data record provided through the ESPA on-demand interface of the USGS EROS Data Center (Masek et al., 2006; USGS, 2015a), and 2) a method following Tasumi et al. (2008) and Trezza and Allen (2013). The ESPA surface reflectance product uses the USGS Landsat Ecosystem Disturbance Adaptive Processing System (LEDAPS) software for Thematic Mapper imagery from Landsats 5 and 7, and the preliminary USGS L8SR (Landsat 8 Surface Reflectance) software for OLI imagery (USGS, 2015a). The Tasumi/Trezza method was implemented within EE with spatially distributed, near-surface hourly vapor pressure from the North American Land Data Assimilation System (NLDAS; Mitchell et al., 2004) to estimate precipitable water and atmospheric transmittance (Tasumi et al., 2008). Spatially distributed atmospheric pressure was estimated from the 30 m National Elevation Dataset (NED) following ASCE-EWRI (2005).

While the under-flight of Landsat 8 was nearly contemporaneous with Landsat 7, the two paths were not identical (Fig. 1) - the ETM + scan line corrector mirror created data gaps, and clouds moved between acquisitions. As such, a mask of the most consistent regions between the two sensors was required for inter-sensor calibration. Clouds and cloud shadows in both images were manually masked out with a buffer distance of approximately 1 km to ensure that gradients and cloud cover and haze were removed. Areas with a NIR reflectance of < 0.05 were masked out to remove water bodies and areas of deep shadow that affect NDVI. Pixels on either side of boundaries between classes in the LANDFIRE map were removed to reduce the influence of map misregistration, as well as misregistration between the two satellite overpasses in areas of high relief that arose from the offset in overpass position. LANDFIRE classes with masked areas containing < 1000 pixels were removed from the analysis to ensure that a stable mean value was calculated for each land cover class. The mean red, NIR, and NDVI for each vegetation class was calculated, and OLI mean values were regressed against ETM + to determine the appropriate transformation to make NDVI from OLI consistent with the prior sensors.

4.2. Data preparation for GDE assessments

Boundaries were defined around the six study areas (Figs. 2-4) and used to spatially and temporally average summer NDVI derived from cloud-free Landsat images that were closest in time to the middle of June, July, and August from 1985 to 2014. For riparian restoration study sites, average summer NDVI was computed from July-August Landsat images so that the potential for standing water to decrease NDVI was minimized. Based on results of the sensor cross-calibration (detailed in the Results section), NDVI was computed using the Tasumi/Trezza at-surface reflectance method. NDVI was chosen over other indices (i.e. Soil Adjusted Vegetation Index or Modified Soil Adjusted Vegetation Index) since NDVI has the breadth and history of usage that is not matched by other indices, is a popular standard measure, does not require soil parameter calibration, and has been shown to outperform other indices for quantifying sparse vegetation cover in arid environments (McGwire et al., 1999; Wu, 2014). To detect the signal of interannual groundwater availability within GDEs, it was important to focus on periods when shallow soils were dry, and when spectral variability due to the happenstance timing of individual precipitation events was minimized. Precipitation over the study areas is generally at its minimum in June for the more southern and eastern study areas, and in July and August for the northern study areas. Images acquired during the summer months of June-August were selected to limit the NDVI signal of shallow rooted grasses and forbs, and maximize the phreatophyte NDVI signal derived from groundwater.

Summer streamflow, spring flow, and shallow groundwater levels in the Great Basin are highly correlated to annual precipitation due to the fact that the majority of annual precipitation falls during winter as snow, and spring snowmelt provides the majority of groundwater recharge that is later discharged during summer and fall (Huntington and Niswonger, 2012; McEvoy et al., 2012). Water-year (October-September) precipitation (PPT) for each study site was estimated from a hybrid 4 km spatial resolution gridded weather dataset, GRIDMET (Abatzoglou, 2013), based on the Parameter Regression on Independent Slopes Model (PRISM) (Daly et al., 1994) and NLDAS (Mitchell et al., 2004). Evaporative demand (ET₀) was estimated using the standardized Penman-Monteith equation, which is a function of daily solar radiation, air temperature, humidity, and wind speed (ASCE-EWRI, 2005). Daily GRIDMET data were accessed within EE to estimate water-year PPT and ET₀ totals at each study site.

Groundwater level data from the Arlemont Ranch well located within the Fish Lake Valley study area (Fig. 4a), was acquired from the Nevada Division of Water Resources water level database (NDWR, 2015). Two water level readings per year were typically reported, therefore an average of the two readings was computed for each year. Groundwater level and nearby agricultural pumping data for the Needle Point Spring study area (Fig. 4b) was acquired from Summers (2001) and Halford (2015). Annual average groundwater levels and pumping rates were compared with summer NDVI and water-year PPT and ET₀ to assess historical vegetation response to climate and changing groundwater levels.

5. Results

5.1. Sensor cross-calibration

Average LANDFIRE vegetation class red, NIR, and NDVI values derived from USGS ESPA products and the Tasumi/Trezza method are illustrated in Fig. 6. The Tasumi/Trezza method shows a markedly higher degree of correspondence in NDVI between ETM+ and OLI observations. The greater degree of scatter observed in the ESPA plots is likely due to the mixing of atmospheric correction methods between sensors, and limitations the current L8SR software has for areas of high topography (USGS, 2015a). Due to the close correspondence in NDVI between ETM+ and OLI observations, results presented in the following sections were derived solely from the Tasumi/Trezza method.

As might be expected by the greater difference in bandwidths, scatter in Fig. 6 is more pronounced in the NIR than in the red band. While regression residuals for NDVI were relatively small (maximum absolute value <0.02), the four most under-predicted classes in Fig. 6 were all mixed conifer classes, while the two most over-predicted classes contained Gambel oak (*Quercus gambelii*). This suggests that some vegetation communities did have a detectable difference in spectral reflectance between the ETM+ and OLI bandwidths. However, there were seven other conifer classes that were quite close to the regression line, so a generalization about conifers could not be made. None of the vegetation classes that appear as outliers (Fig. 6) are present in the study areas. The Tasumi/Trezza NDVI regression in Fig. 6 was applied in the EE workflow to compute OLI NDVI for each of the six study areas.

5.2. Baseline assessment of vegetation and climate

Interannual variations of vegetation vigor and climate for Spring Valley and Indian Valley study areas are illustrated by plotting summer NDVI with annual PPT and $\rm ET_0$ (Figs. 7 and 8). Results show that NDVI and PPT time series co-vary and have similar long-term trends (Fig. 7). While it is evident that NDVI co-varies with annual PPT, statistical correlation between the two variables is only moderate, with $\rm R^2$ values of 0.49 and 0.41 for Spring Valley and Indian Valley, respectively.

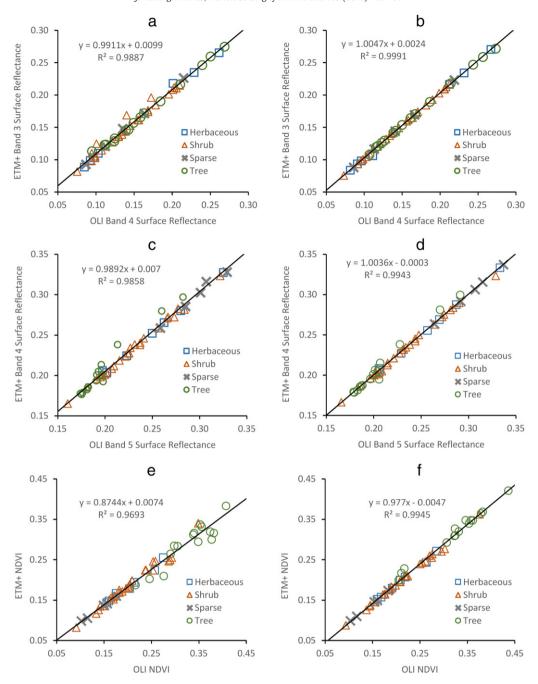


Fig. 6. Mean values of ETM+ versus OLI in LANDFIRE vegetation classes for red (a, b), NIR (c, d), and NDVI (e, f) using USGS ESPA Landsat surface reflectance products (a, c, e) and Tasumi/Trezza at-surface reflectance (b, d, f). Symbols indicate the generalized life form attribute of each vegetation class.

Factors limiting the strength of the relationship between annual PPT and summer average NDVI could include: 1) antecedent soil moisture conditions, 2) sparse leaf area of Spring Valley setting a lower limit on detectability; 3) influence of background soil reflectance; 4) presence of shallow groundwater stabilizing minimum vegetation vigor and NDVI, and 5) wide range in NDVI during summer months as illustrated by maximum and minimum values shown in Fig. 7. The deeply rooted phreatophytes of Spring Valley appear to be buffered against years with extremely low PPT more than the shallow rooted meadow grass in Indian Valley (i.e. multi-year drought periods of 1989 to 1994).

Annual vegetation and near-surface atmospheric and climatic feedbacks are evaluated by plotting summer average NDVI and annual ET_0 on the y-axes, with annual PPT on the x-axis (Fig. 8). Illustrating PPT and ET_0 with vegetation and land surface states and fluxes is useful for evaluating the well-known complementary relationship between actual

ET and ET₀ in arid environments (Brutsaert and Stricker, 1979; Hobbins et al., 2004; Huntington et al., 2011; Jaksa et al., 2013). The use of summer vegetation indices as proxies for phreatophyte annual ET has been well established within the Great Basin (Nichols, 2000; Groeneveld et al., 2007; Smith et al., 2007; Beamer et al., 2013; Garcia et al., 2014). Fig. 8 illustrates that as annual PPT increases, NDVI increases and ET₀ decreases. Conversely, as PPT decreases, so does NDVI, while ET₀ increases.

These results are consistent with land surface energy balance theory. When water is limited, and available energy is fairly uniform in space, energy that would have been used for ET is instead used in the production of sensible heat flux, thereby increasing air temperature, vapor pressure deficit, and ultimately ET $_0$ (Brutsaert and Stricker, 1979). This drying scenario is well illustrated in Fig. 8, where ET $_0$ increases as PPT and NDVI decrease. The complementary relationship between actual ET and ET $_0$ has been shown to be prevalent at multiple time scales in

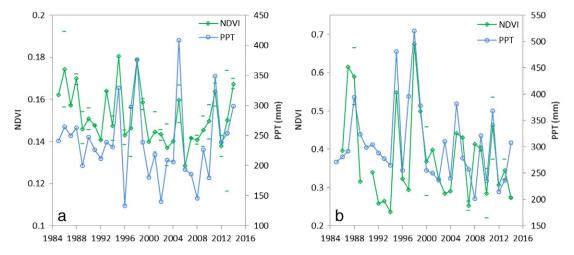


Fig. 7. Spatially averaged summer NDVI and annual PPT time series for Spring Valley (a) and Indian Valley (b) phreatophyte shrub and meadow vegetation study areas. NDVI tics represent June–August maximum and minimum NDVI values. Years with missing maximum and minimum NDVI tics indicate that only one image was available.

Spring Valley and other phreatophyte shrub areas in Nevada using measured energy balance data (Huntington et al., 2011; Beamer et al., 2013). These results clearly highlight the complementary relationship between vegetation vigor, ET₀, and PPT, and are consistent with similar remotely sensed vegetation index and complementary relationship studies (Goward et al., 1994; Szilagyi, 2002; Mo et al., 2014). The long time history of Landsat allows for baseline variability of vegetation vigor and complementary relationships between vegetation and climate to be established at local to regional scales.

5.3. Riparian restoration

The ecological benefits from watershed restoration of Maggie Creek and Susie Creek have been previously well documented (Elliott et al., 2004; Williams et al., 2015). However, interannual Landsat time series of vegetation vigor offer a new perspective for evaluating how riparian areas within these restored watersheds have responded to multiple drought cycles over several decades. Watershed and riparian area restoration efforts within the Maggie and Susie Creek drainages began in the early 1990s, and since that time riparian area NDVI has markedly increased (Fig. 9). Maggie and Susie Creek NDVI trends from 1985 to 2014 are statistically significant at the 95% confidence level using the Mann-Kendall trend test (Helsel and Hirsch, 1992), with *p*-values of 1,4E – 06 and 4.1E – 04, respectively. July-August maximum and

minimum NDVI trends are also statistically significant for both sites (p-values of 1.8E - 04 and 4.1E - 05 for Maggie Creek, and 1.7E - 03and 3.1E – 03 for Susie Creek, respectively). A more direct metric of vegetation change since restoration is the percent increase in July-August average NDVI from pre- to post-restoration periods (1985-1989 and 1990-2014). Maggie Creek NDVI increased by 54% from 0.288 to 0.444, and Susie Creek NDVI increased by 67% from 0.236 to 0.396. Average annual PPT increase from pre- to post-restoration was 13% and 14% for Maggie and Susie Creek, respectively, so only part of the post-restoration NDVI increase can be attributed to increased PPT and streamflow given that annual PPT and annual and summer streamflow are highly correlated in this region (Berger, 2000; Prudic et al., 2006; McEvoy et al., 2012). An important attribute of successful riparian restoration is reduced drought stress (Stromberg, 2001; Shafroth et al., 2002). Reduced drought stress since restoration is evident during droughts of the late 1990s and early 2000s, 2007 and 2008, and 2012 and 2013, by the increase of July-August average, maximum, and minimum NDVI values illustrated in Fig. 9.

5.4. Groundwater level changes

Annual PPT, groundwater level, and corresponding summer NDVI changes for the Fish Lake study area are illustrated in Fig. 10. Groundwater levels have steadily decreased since 1979 due to groundwater

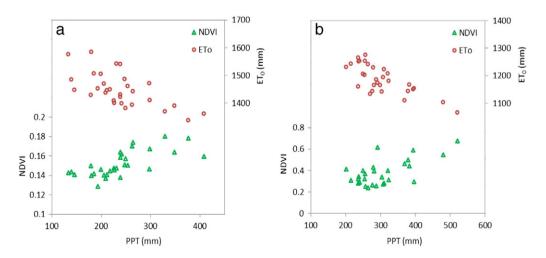


Fig. 8. Spring Valley (a) and Indian Valley (b) summer NDVI, annual ET₀, and PPT, showing a complementary relationship between NDVI and ET₀. As NDVI (i.e. proxy for ET) generally increases with PPT, ET₀ decreases due to near surface atmospheric feedbacks.

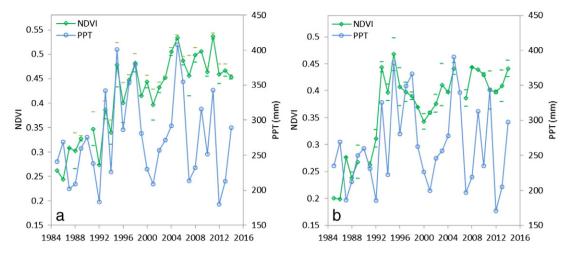


Fig. 9. Spatially averaged July–August NDVI and annual PPT time series for Maggie Creek (a) and Susie Creek (b) riparian restoration sites. NDVI tics represent July–August maximum and minimum NDVI values.

pumping for irrigated agriculture adjacent to the study area (Fig. 4). Depth to groundwater was approximately 5 m in 1985, and has declined at a rate of ~0.167 m/year to reach a depth of 10 m in 2014. NDVI has also declined during the period of groundwater level decline, with intermittent NDVI increases that correspond to anomalously high annual PPT. The trend of June–August average NDVI from 1985 to 2014 is statistically significant at the 95% confidence level, with a p-value of 4.2E - 06. Summer maximum and minimum NDVI trends from 1985 to 2014 are also statistically significant, with p-values of 6.9E - 04 and 8.7E - 05, respectively.

Figs. 11 and 12 illustrate spatially averaged summer NDVI paired with annual PPT, depth to groundwater, groundwater pumping, and ET₀ for the Needle Point Spring study area, where it is observed that NDVI begins to decline following the year 1999, and is coincident with the onset of pumping and drought. According to Summers (2001), Needle Point spring ceased flowing in 2001. NDVI has continued to decline since 2001, with NDVI increases that coincide with years of anomalously high annual PPT. The downward trend in NDVI from 1985 to 2014 is statistically significant at the 95% confidence level (p-value of 3.4E - 04), and there is a slight positive trend in PPT during this same period. Summer maximum and minimum NDVI trends are also statistically significant, with p-values of 3.7E-03 for both maximum and minimum NDVI. Average summer NDVI for pre- and post-groundwater pumping periods (1986–1999 and 2000–2014) was 0.37 and 0.29, respectively, which equates to a 22% decrease. Average annual PPT was 239 and 221 mm for pre- and post-groundwater pumping periods, an 8% decrease. These results suggest that the post-pumping NDVI decline (2000 to 2014) could be partly attributed to lower annual PPT. However, given the supporting evidence of measured and simulated groundwater level declines (Summers, 2001; Halford, 2015), long-term NDVI declines are most likely related to groundwater pumping adjacent to the spring area (Fig. 12a). Reduced maximum, minimum, and average summer NDVI peaks during post-pumping further support this hypothesis. For example, average NDVI values coincident with anomalously high PPT years of 2005 and 2011 never reach the pre-pumping average NDVI of 0.37 (Fig. 11b). Additionally, the relationship between PPT and NDVI markedly changed during the post-pumping period (Fig. 12b).

6. Discussion

Isolating the impacts of natural climatic and hydrologic variability on vegetation vigor, from of the impacts of anthropogenic land and water management is challenging, yet important for identifying cause and effect relationships. Having the ability to readily evaluate lengthy and paired time series of climate and Landsat derived vegetation vigor, offers land and water managers new and valuable information for long-term assessment of GDEs. Such lengthy Landsat derived time series require effective sensor cross-calibration so that cause and effect are not misinterpreted (Roy et al., 2016). Fig. 6 demonstrates the importance of using a consistent atmospheric correction method across sensor systems. The magnitude of OLI/ETM + NDVI regression residuals using the ESPA products was double that of the Tasumi/Trezza method.

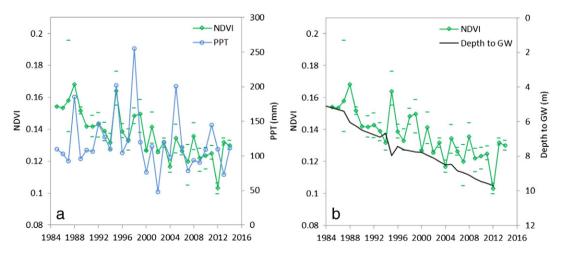


Fig. 10. Spatially averaged summer NDVI and annual PPT time series (a) and spatially averaged summer NDVI and average depth to groundwater (b) for the Fish Lake Valley study area. NDVI time series illustrate a general decline as depth to groundwater increases. NDVI tics represent June–August maximum and minimum NDVI values.

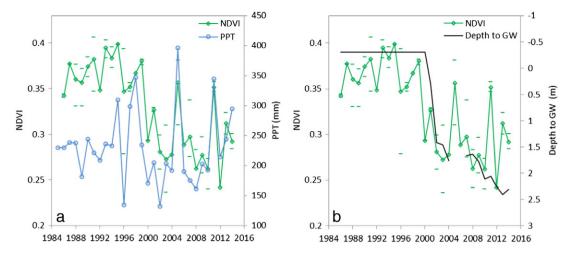


Fig. 11. Spatially averaged summer NDVI and annual PPT time series (a) and spatially averaged summer NDVI and average depth to groundwater (b) for the Needle Point Spring study area. NDVI tics represent June–August maximum and minimum NDVI values.

While the use of differing atmospheric correction algorithms from ESPA may be dictated by operational data processing flows, consistent implementation of the Tasumi/Trezza method using EE cloud computing allowed rapid on-demand processing and delivery to the desktop computer with consistent image data products. The near-contemporaneous collection of images during the Landsat 8 under-fly testing period, and our averaging of NDVI within boundary-masked areas of different vegetation classes, essentially eliminated the effects spatiotemporal misregistration on the regressions of Fig. 6. The high level of correspondence between Tasumi/Trezza-corrected NDVI across a wide variety of natural vegetation communities suggests that the dramatic change in NIR bandwidths from ETM + to OLI is well corrected by linear regression and should not generally interfere with time series analyses. These findings suggest that vegetation index analyses originating from different Landsat sensors can be made easily compatible, allowing seamless analysis over the entire archive. However, the consistency of species composition among the most positive and the most negative regression residuals indicates that land-cover specific adjustments may be, in some cases, necessary.

While time series analyses of Landsat data over spatially limited study areas are often powerful and enlightening, cloud storage computing capabilities (i.e., co-located storage and parallel processing of Landsat, meteorology, and climate datasets with geospatial analysis capabilities) provided by EE make it possible to easily and rapidly spatially up-scale. A computation that would take a single computer minutes to

hours can be performed in a matter of seconds. This allows for exploration of multiple interannual temporal and spatial signals over large regions. Large scale spatiotemporal analyses, previously precluded due to massive storage and computational volumes, are for the first time, practical due to geospatially-enabled cloud computing systems. However, regardless of newly available computing power, a limitation with respect to seasonal and interannual time series analysis is the limited number of cloud free Landsat images available for time periods of interest (e.g. 8 or 16 day returns for calculation of one to three month summer maximum, minimum, and average NDVI). Integration of newly available Sentinel 2 imagery with Landsat processing workflow will increase the probability of cloud free images available for seasonal and interannual time series analysis.

Future research that combines both time series and spatial analyses will greatly enhance our ability to rapidly evaluate the magnitude, spatial extent, and cause of GDE changes related to climate, land, and water management (Kennedy et al., 2014). Sophisticated tools for Landsat spatial time series analysis that have traditionally been focused on forest disturbance and recovery such as LandTrendr and TimeSync (Kennedy et al., 2010; Cohen et al., 2010), could prove extremely useful for rapid GDE monitoring within EE. Currently, simple products such as Landsat scale NDVI anomalies (i.e. per pixel difference from long-term average) can be rapidly generated within EE to explore and discover relative change, and be combined with climate, meteorological, and field data. For example, Fig. 13 illustrates the Needle Point Spring June–August

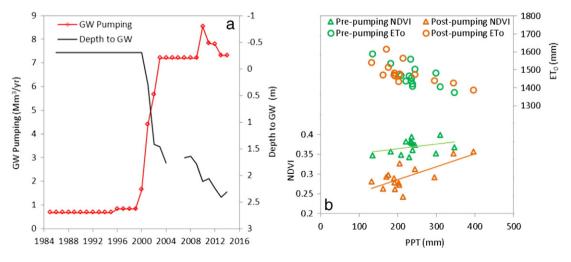


Fig. 12. Groundwater pumping and depth to groundwater for the Needle Point Spring study area (a), and annual PPT, ET₀, and summer NDVI, for pre and post-pumping periods (b).

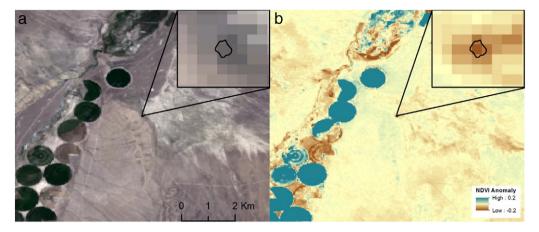


Fig. 13. Needle Point Spring Landsat 8 median true color composite (a), and NDVI anomaly (b), for June-August 2014.

2014 NDVI anomaly (i.e. difference) relative to the 1985 to 2014 average using Landsat 5, 7, and 8 top-of-atmosphere reflectance image collections within EE. While Fig. 13 clearly illustrates that Needle Point Spring has anomalously low NDVI during summer of 2014, it also shows anomalously low NDVI within groundwater discharge areas to the east and southeast of Needle Point Spring (i.e. high reflectance saline soil areas shown in true color image). Conversely, anomalously high NDVI areas primarily occur in non-phreatophyte upland areas to the southwest of Needle Point Spring because of anomalously high rains that occurred during the summer of 2014. Future efforts for regional long-term and interannual GDE monitoring could combine spatial and temporal distributions of vegetation indices with vegetation type, and climatic, meteorologic, and hydrologic distributions to better isolate and analyze natural and anthropogenic impacts.

7. Conclusions

This paper highlights the use of the Landsat archive for monitoring groundwater dependent ecosystems (GDEs). These ecosystems provide critical habitat for many sensitive species in arid and semi-arid environments of phreatophyte shrub lands, meadows, spring areas, and riparian zones. The Landsat archive shows meaningful correlations between changes in annual vegetation vigor (NDVI), precipitation, evaporative demand, depth to groundwater, and land and water management within six GDE study areas. The study approach relied on sensor cross-calibration, cloud computing of Landsat and meteorological data, and statistical evaluation. This approach can provide rapid, useful interpretations of GDE conditions. Results indicate a benefit from the application of a consistent atmospheric correction method across different Landsat sensors. Special attention should be given to whether the observed level of inconsistency in at-surface reflectance for Landsat can be minimized in future operational products. Having demonstrated the ability of the current Landsat archive to detect trends in GDEs related to climate, groundwater, and resource management, that will ultimately fill critical information needs for science-informed decisions, it is clear that a compatible remote sensing data stream must be maintained into the future, and that the value of this consistent growing archive will compound over time. The longevity and continuity of measurements from sensors in the lineage of Landsats provide important baseline and current conditions for ecosystem assessments that would not otherwise be attainable.

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