



## Sober Scott spaces are not always co-sober

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## ABSTRACT

A nonempty compact saturated subset  $F$  of a topological space is called  $k$ -irreducible if it cannot be written as a union of two compact saturated proper subsets. A topological space is said to be co-sober if each of its  $k$ -irreducible compact saturated sets is the saturation of a point. Wen and Xu (2018) proved that Isbell's non-sober complete lattice equipped with the lower topology is sober but not co-sober. So far, it is still unknown whether every sober Scott space is co-sober. In this paper, we construct a dcpo whose Scott space is sober but not co-sober, which strengthens Wen and Xu's result.

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## 1. Introduction and basic notions

In [1], in order to study the dual of the Hofmann-Mislove Theorem, Escardó, Lawson and Simpson introduced the  $k$ -irreducible sets and co-sober spaces, aiming to provide an alternative approach to the theory of compactly generated spaces. A nonempty compact saturated subset  $K$  of a topological space  $X$  is  $k$ -irreducible if for any nonempty compact saturated subsets  $K_1$  and  $K_2$ ,  $K = K_1 \cup K_2$  implies  $K = K_1$  or  $K = K_2$ . A topological space is called co-sober if every  $k$ -irreducible compact saturated set is the saturation of a point. It is easy to see that a co-sober space need not be sober (for example, the Scott space of the dcpo given by Johnstone [5]). Then Escardó, Lawson and Simpson asked whether every sober space is co-sober

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(Problem 9.7 in [1]). Recently, Wen and Xu [7] gave a negative answer to this problem by proving the following result:

- For a complete lattice  $L$ , the Scott topology on  $L$  is sober if and only if the lower topology on  $L$  is co-sober. Since the lower topology on  $L$  is always sober, the complete lattice constructed by Isbell [4] is sober but not co-sober with respect to the lower topology.

Note that Wen and Xu's counterexample is based on the lower topology on a complete lattice. It is natural to ask the following question:

- Is every sober Scott space of a dcpo co-sober?

In this paper, we give a negative answer to the above question. In order to do this, we first generalize the Xi-Zhao dcpo models [8,9] of  $T_1$  spaces to  $T_0$  spaces. Precisely, we prove that (i) every  $T_0$  space can be topologically embedded into the Scott space of a bounded complete algebraic poset; (ii) every  $d$ -space  $X$  can be topologically embedded into the Scott space of a dcpo  $P_X$ , which has the property that  $X$  is sober if and only if the Scott space of  $P_X$  is sober.

First, we recall some basic definitions and results which will be used in this paper. We refer readers to [2,3] for more details.

Let  $P$  be a poset. For  $A \subseteq P$ , let  $\downarrow A = \{x \in P : x \leq a \text{ for some } a \in A\}$  and  $\uparrow A = \{x \in P : x \geq a \text{ for some } a \in A\}$ . For  $x \in P$ , we write  $\downarrow x$  for  $\downarrow \{x\}$  and  $\uparrow x$  for  $\uparrow \{x\}$ . A subset  $A$  is called a *lower set* (*upper set*, resp.) if  $A = \downarrow A$  ( $A = \uparrow A$ , resp.).

A nonempty subset  $D$  of a poset  $P$  is *directed* if every two elements in  $D$  have an upper bound in  $D$ .  $P$  is called a *directed complete poset*, or *dcpo* for short, if for any directed subset  $D \subseteq P$ ,  $\bigvee D$  exists. We call a subset  $A$  of  $P$  a *subdcpo*, if for any directed subset  $D$  of  $A$ ,  $\bigvee D$  exists and  $\bigvee D \in A$ .

We say that a poset  $P$  is *bounded complete* if for any  $A \subseteq P$ ,  $\bigvee A$  exists whenever  $A$  has an upper bound in  $P$ .

A subset  $U$  of a poset  $P$  is *Scott open* if (i)  $U = \uparrow U$  and (ii) for any directed subset  $D$  of  $P$  for which  $\bigvee D$  exists,  $\bigvee D \in U$  implies  $D \cap U \neq \emptyset$ . All Scott open subsets of  $P$  form a topology, called the *Scott topology* on  $P$  and denoted by  $\sigma(P)$ . The space  $\Sigma P = (P, \sigma(P))$  is called the *Scott space* of  $P$ .

For two elements  $x$  and  $y$  in a poset  $P$ ,  $x$  is *way-below*  $y$ , denoted by  $x \ll y$ , if for any directed subset  $D$  of  $P$  for which  $\bigvee D$  exists,  $y \leq \bigvee D$  implies  $D \cap \uparrow x \neq \emptyset$ . Let  $0\uparrow x = \{y \in P : x \ll y\}$  and  $\downarrow x = \{y \in P : y \ll x\}$ . A poset  $P$  is *continuous*, if for any  $x \in P$ , the set  $\downarrow x$  is directed and  $x = \bigvee \downarrow x$ . A continuous dcpo is also called a *domain*.

An element  $x$  in a poset  $P$  is called *compact* if  $x \ll x$ , and we use  $K(P)$  to denote the set of all compact elements of  $P$ . Note that if  $x \in K(P)$ , then  $\uparrow x \in \sigma(P)$ . A poset  $P$  is *algebraic*, if for any  $x \in P$ , the set  $K(P) \cap \downarrow x$  is directed and  $x = \bigvee (K(P) \cap \downarrow x)$ . In an algebraic domain  $P$ , the family  $\{\uparrow x : x \in K(P)\}$  forms a base for the Scott topology on  $P$ .

Given a topological space  $X$ ,  $\mathcal{O}(X)$  always denotes the topology of  $X$ , and  $\mathcal{O}^*(X) = \mathcal{O}(X) \setminus \{\emptyset\}$ .

A nonempty subset  $A$  of a topological space  $X$  is *irreducible* if for any closed sets  $F_1, F_2$  of  $X$ ,  $A \subseteq F_1 \cup F_2$  implies  $A \subseteq F_1$  or  $A \subseteq F_2$ . A  $T_0$  space  $X$  is *sober*, if for any irreducible closed set  $F$  of  $X$  there is a unique point  $x \in X$  such that  $F = \text{cl}(x)$ .

For any  $T_0$  space  $X$ , we use  $\sqsubseteq_X$  to denote the *specialization order* on  $X$ :  $x \sqsubseteq_X y$  if and only if  $x \in \text{cl}(y)$ , where  $\text{cl}$  is the closure operator. A  $T_0$  space is called a *d-space* if  $(X, \sqsubseteq_X)$  is a dcpo and  $\mathcal{O}(X) \subseteq \sigma(X, \sqsubseteq_X)$ .

A subset  $A$  of a  $T_0$  space  $X$  is *saturated* if  $A = \uparrow_{\sqsubseteq_X} A$ , where  $\uparrow_{\sqsubseteq_X} A = \{x \in X : a \sqsubseteq_X x \text{ for some } a \in A\}$  (equivalently, if  $A$  equals the intersection of all open sets containing  $A$ ).

## 2. Main results

A poset model of a topological space  $X$  is a poset  $P$  such that  $\text{Max}(P)$ , the set of maximal elements of  $P$  with the relative Scott topology is homeomorphic to  $X$  [6]. Every space having a poset model is  $T_1$ .

For a topological space  $X$ , let  $\text{Zh}(X)$  be the set of all filters of nonempty open sets of  $X$  that have nonempty intersections. For  $A \subseteq X$ , let  $\mathcal{N}(A)$  be the set of all open neighborhoods of  $A$ , that is,  $\mathcal{N}(A) := \{U \in \mathcal{O}(X) : A \subseteq U\}$ . For  $x \in X$ , we write  $\mathcal{N}(x)$  for  $\mathcal{N}(\{x\})$ . One can easily check that  $\text{Zh}(X)$  is a bounded complete algebraic poset under the inclusion order, and the compact elements of  $\text{Zh}(X)$  are  $\mathcal{N}(U)$  ( $U \in \mathcal{O}^*(X)$ ). Thus the family  $\{\uparrow_{\text{Zh}(X)} \mathcal{N}(U) : U \in \mathcal{O}^*(X)\}$  forms a base for the Scott topology on  $\text{Zh}(X)$ . When  $X$  is a  $T_1$  space, Zhao proves that  $\text{Zh}(X)$  under the inclusion order is a bounded complete algebraic poset model of  $X$  [8]. We now prove that this result can be generalized to  $T_0$  spaces.

**Proposition 2.1.** *Let  $X$  be a  $T_0$  space. Then the mapping  $\phi : X \longrightarrow \text{Zh}(X)$  defined by*

$$\phi(x) = \mathcal{N}(x), \quad \forall x \in X,$$

*is a topological embedding satisfying the following properties:*

- (i)  $\text{Zh}(X) = \downarrow_{\text{Zh}(X)} \phi(X)$ ;
- (ii) if  $X$  is a  $d$ -space, then  $\phi(X)$  is a subdcpo of  $\text{Zh}(X)$ .

**Proof.** The mapping  $\phi$  is injective because  $X$  is  $T_0$ . For any nonempty open set  $U$  in  $X$ , we have

$$\phi(U) = \{\mathcal{N}(x) : x \in U\} = \phi(X) \cap \uparrow_{\text{Zh}(X)} \mathcal{N}(U),$$

where  $\uparrow_{\text{Zh}(X)} \mathcal{N}(U) = \{\mathcal{F} \in \text{Zh}(X) : \mathcal{N}(U) \subseteq \mathcal{F}\} = \{\mathcal{F} \in \text{Zh}(X) : U \in \mathcal{F}\}$ . As  $\mathcal{N}(U)$  is a compact element of  $\text{Zh}(X)$ , we have that  $\uparrow_{\text{Zh}(X)} \mathcal{N}(U)$  is Scott open in  $\text{Zh}(X)$ . Thus  $\phi(U)$  is open in the subspace  $\phi(X)$  of  $\text{Zh}(X)$  equipped with the Scott topology. The same is true for  $\phi(\emptyset) = \emptyset$ . Furthermore, since for any nonempty open set  $U$  in  $X$ , we have

$$\begin{aligned} & \phi^{-1} \left( \left( \uparrow_{\text{Zh}(X)} \mathcal{N}(U) \right) \cap \phi(X) \right) \\ &= \{x \in X : \mathcal{N}(U) \subseteq \mathcal{N}(x)\} \\ &= \{x \in X : x \in U\} = U, \end{aligned}$$

which shows that  $\phi$  is continuous. Therefore,  $\phi$  is a topological embedding.

To prove (i), let  $\mathcal{F} \in \text{Zh}(X)$  and  $x \in \bigcap \mathcal{F} (\neq \emptyset)$ . This implies that  $\mathcal{F} \subseteq \mathcal{N}(x) \in \phi(X)$ . Thus  $\mathcal{F} \in \downarrow_{\text{Zh}(X)} \phi(X)$ . So  $\text{Zh}(X) = \downarrow_{\text{Zh}(X)} \phi(X)$ .

Since  $X$  is  $T_0$ ,  $x \sqsubseteq_X y$  if and only if  $\mathcal{N}(x) \subseteq \mathcal{N}(y)$  for all  $x, y \in X$ . Hence,  $\phi$  is an order embedding from  $(X, \sqsubseteq_X)$  into  $(\text{Zh}(X), \sqsubseteq_{\text{Zh}(X)})$ . Let  $\{x_i : i \in I\}$  be a directed subset of  $(X, \sqsubseteq_X)$ . Since  $X$  is a  $d$ -space,  $\bigvee_{i \in I} x_i$  exists, denoted by  $x_0$ . To show  $\bigvee \{\mathcal{N}(x_i) : i \in I\} \in \phi(X)$ , it suffices to verify that  $\bigcup \{\mathcal{N}(x_i) : i \in I\} = \mathcal{N}(x_0)$ . Let  $U \in \mathcal{N}(x_0)$ . Then  $x_0 = \bigvee_{i \in I} x_i \in U$ , and since  $U$  is Scott open, there exists  $i_0 \in I$  such that  $x_{i_0} \in U$ , which implies that  $U \in \mathcal{N}(x_{i_0}) \subseteq \bigcup \{\mathcal{N}(x_i) : i \in I\}$ . Thus  $\mathcal{N}(x_0) \subseteq \bigcup \{\mathcal{N}(x_i) : i \in I\}$ . The reverse inclusion is trivial because  $U = \uparrow U$ . Thus  $\bigcup \{\mathcal{N}(x_i) : i \in I\} = \mathcal{N}(x_0)$ . Therefore,  $\phi(X)$  is a subdcpo of  $\text{Zh}(X)$ .  $\square$

Note that  $\downarrow_{\text{Zh}(X)} \phi(X) = \text{Zh}(X)$  shows that the closure of  $\phi(X)$  in the Scott space  $\Sigma \text{Zh}(X)$  equals  $\text{Zh}(X)$ . Thus by Proposition 2.1, we obtain the following corollary.

**Corollary 2.2.** *Every  $T_0$  space is homeomorphic to a dense subspace of the Scott space of a bounded complete algebraic poset.*

Based on Zhao's bounded complete algebraic poset model [8], Xi and Zhao [9] further constructed a dcpo model, denoted by  $\widehat{\text{Zh}}(X)$ , for every  $T_1$  space  $X$ , and showed that  $X$  is sober if and only if  $\widehat{\text{Zh}}(X)$  is sober. This result can be extended to  $d$ -spaces.

**Proposition 2.3.** *Let  $(P, \leq_P)$  be a bounded complete algebraic poset and  $A \subseteq P$  satisfy the following conditions:*

- (P1)  $P = \downarrow A$ ;
- (P2)  $A$  is a subdcpo of  $P$ .

*Then there exists a dcpo  $\widehat{P}$  such that the subspace  $A$  of  $\Sigma P$  is homeomorphic to a subspace of  $\Sigma \widehat{P}$ .*

**Proof.** Let  $\widehat{P} = \{(x, a) : x \in P, a \in A \text{ and } x \leq_P a\}$ . Define a binary relation  $\leq$  on  $\widehat{P}$  as follows:

$$(x, a) \leq (y, b) \text{ iff } x \leq_P y \text{ and in addition } a = b \text{ or } y = b.$$

Note that for any  $a, b \in A$ ,  $a \leq_P b$  in  $P$  iff  $(a, a) \leq (b, b)$  in  $\widehat{P}$ .

It is not difficult to show that  $\widehat{P}$  is a poset. We now prove that  $\widehat{P}$  is a dcpo. Let  $\mathcal{D}$  be a directed subset of  $\widehat{P}$ . There are two cases:

Case 1. There are  $(x_1, a_1), (x_2, a_2) \in \mathcal{D}$  with  $a_1 \neq a_2$ .

For this case, let  $(x, a_3)$  be an upper bound of  $\{(x_1, a_1), (x_2, a_2)\}$  in  $\mathcal{D}$ , then  $x = a_3$ , as otherwise,  $a_3 = a_1$  and  $a_3 = a_2$ , which is not true. Thus  $\mathcal{D}_0 := \mathcal{D} \cap \{(a, a) : a \in A\} \neq \emptyset$ . Then  $\mathcal{D} \subseteq \downarrow \mathcal{D}_0$ , since for any  $(x, a) \in \mathcal{D}$ , each upper bound of  $\{(x, a), (x_1, a_1), (x_2, a_2)\}$  in  $\mathcal{D}$  must be of the form  $(a, a)$ , which belongs to  $\mathcal{D}_0$ . We have that  $\downarrow \mathcal{D} = \downarrow \mathcal{D}_0$  and therefore  $\mathcal{D}_0$  is directed since  $\mathcal{D}$  is directed. As a consequence,  $D := \{a \in A : (a, a) \in \mathcal{D}_0\}$  is a directed subset of  $A$ . By (P2),  $\bigvee D$  exists and  $\bigvee D \in A$ . It then follows that  $\bigvee \mathcal{D} = \bigvee \mathcal{D}_0 = (\bigvee D, \bigvee D)$  holds in  $(\widehat{P}, \leq)$ .

Case 2. There exists  $a \in A$  such that  $\mathcal{D} = \{(x_i, a) : i \in I\}$ .

In this case,  $\{x_i : i \in I\}$  is a directed subset of  $P$  with an upper bound  $a$ . As  $P$  is bounded complete,  $\bigvee \{x_i : i \in I\}$  exists and clearly  $\bigvee \{x_i : i \in I\} \leq a$ , which gives that  $\bigvee \mathcal{D} = (\bigvee \{x_i : i \in I\}, a)$ .

Now define a mapping  $\varphi : A \rightarrow \Sigma \widehat{P}$  by

$$\varphi(a) = (a, a), \forall a \in A.$$

Obviously,  $\varphi$  is injective. For any Scott open subset  $U$  of  $P$ , we have

$$\varphi(U \cap A) = \{(a, a) : a \in U \cap A\} = \widehat{U} \cap \varphi(A),$$

where  $\widehat{U} = \{(x, a) \in \widehat{P} : x \in U, a \in A\}$ . We now show that  $\widehat{U}$  is a Scott open set in  $\widehat{P}$ . Clearly,  $\widehat{U}$  is an upper set because  $U$  is an upper set. Let  $\mathcal{D}$  be a directed subset of  $\widehat{P}$  such that  $\bigvee \mathcal{D} \in \widehat{U}$ . It suffices to show that  $\mathcal{D} \cap \widehat{U} \neq \emptyset$ . We consider two cases:

- (i) There are  $(x_1, a_1), (x_2, a_2) \in \mathcal{D}$  with  $a_1 \neq a_2$ .

By the above conclusion,  $\mathcal{D}_0 = \mathcal{D} \cap \{(a, a) : a \in A\} \neq \emptyset$  and  $\bigvee \mathcal{D} = (\bigvee D, \bigvee D)$ , where  $D := \{a \in A : (a, a) \in \mathcal{D}_0\}$ . It follows that  $\bigvee D \in U$ , and  $D \cap U \neq \emptyset$  because  $U \subseteq P$  is Scott open. Let  $b \in D \cap U$ . Then  $(b, b) \in \widehat{U} \cap \mathcal{D}$ .

- (ii)  $\mathcal{D} = \{(x_i, a) : i \in I\}$  for some  $a \in A$ .

In this case,  $\bigvee \mathcal{D} = (\bigvee \{x_i : i \in I\}, a)$ . The set  $\{x_i : i \in I\} \subseteq P$  is directed and  $\bigvee \{x_i : i \in I\} \in U$ . As  $U$  is Scott open, there exists  $i_0 \in I$  such that  $x_{i_0} \in U$  and hence  $(x_{i_0}, a) \in \widehat{U} \cap \mathcal{D}$ .

Hence,  $\widehat{U}$  is a Scott open set in  $\widehat{P}$ . Therefore,  $\varphi(U \cap A) = \widehat{U} \cap \varphi(A)$  is an open set in the subspace  $\varphi(A)$  of  $\Sigma\widehat{P}$ , so  $\varphi$  is an open mapping from  $A$  to  $\varphi(A)$ .

Now we show that  $\varphi$  is continuous. Let  $V$  be a Scott open set in  $\widehat{P}$ . Then  $\varphi^{-1}(V) = \{a \in A : (a, a) \in V\}$ . Let  $\underline{V} = \uparrow\{x \in K(P) : \exists a \in A, (x, a) \in V\}$ . We show that

$$\varphi^{-1}(V) = \underline{V} \cap A.$$

Let  $b \in \underline{V} \cap A$ . There exists  $x \in K(P)$  and  $a \in A$  such that  $(x, a) \in V$  and  $x \leq_P b$ . Note that  $(x, a) \leq (b, b)$  and  $V$  is an upper set, so  $\varphi(b) = (b, b) \in V$ . Thus  $\underline{V} \cap A \subseteq \varphi^{-1}(V)$ . Conversely, let  $a \in \varphi^{-1}(V)$ . Then  $\varphi(a) = (a, a) \in V$ . Since  $P$  is algebraic,  $K(P) \cap \downarrow a$  is a directed subset of  $P$  and  $a = \bigvee (K(P) \cap \downarrow a)$ . Thus  $\{(x, a) : x \in K(P) \cap \downarrow a\}$  is a directed subset of  $\widehat{P}$  and  $(a, a) = \bigvee \{(x, a) : x \in K(P) \cap \downarrow a\}$ . Since  $V$  is Scott open and  $(a, a) \in V$ , there exists  $x_0 \in K(P) \cap \downarrow a$  such that  $(x_0, a) \in V$ . We have that  $a \in \underline{V}$  since  $x_0 \leq_P a$ . Thus  $\varphi^{-1}(V) \subseteq \underline{V}$ .

Clearly,  $\underline{V}$  is a Scott open subset of  $P$ . It then follows that  $\varphi^{-1}(V) = \underline{V} \cap A$  is an open set in the subspace  $A$  of  $\Sigma P$ , and hence  $\varphi$  is continuous.

All this shows that  $\varphi$  is a topological embedding.  $\square$

**Remark 2.4.** Let  $\mathcal{D}$  be a directed subset of  $\widehat{P}$ . The following results on  $\mathcal{D}$  obtained in the proof of Proposition 2.3 will be used later.

- (1) If  $\mathcal{D} \cap \{(a, a) : a \in A\} \neq \emptyset$ , then  $D = \{a \in A : (a, a) \in \mathcal{D}\}$  is directed and  $\bigvee \mathcal{D} = (\bigvee D, \bigvee D)$ .
- (2) If  $\mathcal{D} \cap \{(a, a) : a \in A\} = \emptyset$ , then there is a directed subset  $\{x_i : i \in I\}$  of  $P$  and  $a_0 \in A$  such that  $\mathcal{D} = \{(x_i, a_0) : i \in I\}$ , and in this case,  $\bigvee \mathcal{D} = (\bigvee \{x_i : i \in I\}, a_0)$ .

**Proposition 2.5.** Let  $P$  be a bounded complete algebraic poset,  $A$  a subset of  $P$  satisfying the conditions (P1) and (P2) in Proposition 2.3, and  $\widehat{P}$  the dcpo constructed from  $P$  in Proposition 2.3. Then the subspace  $A$  of  $\Sigma P$  is sober if and only if  $\Sigma\widehat{P}$  is sober.

**Proof.** Assume that  $\Sigma\widehat{P}$  is sober. Recall that the mapping  $\varphi : A \rightarrow \widehat{P}$ ,  $a \mapsto (a, a)$ , is a topological embedding by Proposition 2.3. By the fact that a saturated subspace of a sober space is sober [2, Exercise O-5.16] and Proposition 2.3, it suffices to show that  $\varphi(A)$  is an upper subset of  $\widehat{P}$  (hence is saturated in  $\Sigma\widehat{P}$ ). Let  $(a, a) \in \varphi(A)$  and  $(x, b) \in \widehat{P}$  with  $(a, a) \leq (x, b)$ . There are two cases:

- (i)  $a \leq_P x$  and  $a = b$ . Since  $x \leq_P b$ , we have  $x = b = a$ . Therefore,  $(x, b) = (a, a) \in \varphi(A)$ .
- (ii)  $a \leq_P x$  and  $x = b$ . In this case, we have  $(x, b) = (b, b) = \varphi(b) \in \varphi(A)$ .

Therefore,  $\varphi(A)$  is an upper set. Thus  $\varphi(A)$  is sober, and so is  $A$ .

Conversely, assume that  $A$ , as a subspace of  $\Sigma P$ , is sober. By Proposition 2.3,  $\varphi(A)$  is sober as a subspace of  $\Sigma\widehat{P}$ . We need to show that  $\Sigma\widehat{P}$  is sober. The following facts are clear now:

- (P1')  $\widehat{P} = \downarrow \varphi(A)$ ;
- (P2')  $\varphi(A)$  is a subdcpo of  $\widehat{P}$ .

Let  $\mathcal{C}$  be an irreducible closed set in  $\Sigma\widehat{P}$ , and  $\mathcal{C}^* = \mathcal{C} \cap \varphi(A)$ . For each  $a \in A$ , let  $\widehat{P}_a := \{(x, a) \in \widehat{P} : x \in P\}$ . We complete the proof by considering two cases.

Case 1.  $\mathcal{C}^* = \emptyset$ .

Note that  $\mathcal{C} = \bigcup \{\mathcal{C} \cap \widehat{P}_a : a \in A\}$ . For each  $a \in A$ , we show that  $\mathcal{C} \cap \widehat{P}_a$  is Scott closed. Let  $(y, b) \leq (x, a)$  and  $(x, a) \in \mathcal{C} \cap \widehat{P}_a$ . Since  $\mathcal{C}$  is a lower set, it follows that  $(y, b) \in \mathcal{C}$ . Since  $\mathcal{C} \cap \varphi(A) = \emptyset$ , we have that  $x \neq a$ ,

which implies  $y \leq_P x$  and  $b = a$ . Thus  $(y, b) = (y, a) \in \mathcal{C} \cap \widehat{P}_a$ . This implies that  $\mathcal{C} \cap \widehat{P}_a$  is a lower set. Let  $\mathcal{D} = \{(x_i, a) : i \in I\}$  be a directed subset of  $\mathcal{C} \cap \widehat{P}_a$ . By Remark 2.4,  $\bigvee \mathcal{D} = (\bigvee_{i \in I} x_i, a) \in \widehat{P}_a$ . Since  $\mathcal{D}$  is also a directed subset of  $\mathcal{C}$  and  $\mathcal{C}$  is Scott closed,  $\bigvee \mathcal{D} \in \mathcal{C}$ , and thus  $\bigvee \mathcal{D} \in \mathcal{C} \cap \widehat{P}_a$ . Hence,  $\mathcal{C} \cap \widehat{P}_a$  is Scott closed. Since  $\mathcal{C} \neq \emptyset$ , there exists  $a_0 \in P$  such that  $\mathcal{C} \cap \widehat{P}_{a_0} \neq \emptyset$ . Then  $\mathcal{C} = (\mathcal{C} \cap \widehat{P}_{a_0}) \cup \bigcup \{\mathcal{C} \cap \widehat{P}_a : a \in A, a \neq a_0\}$ . We claim that  $\bigcup \{\mathcal{C} \cap \widehat{P}_a : a \in A, a \neq a_0\}$  is also Scott closed. To see this, let  $\mathcal{D}$  be a directed subset of  $\bigcup \{\mathcal{C} \cap \widehat{P}_a : a \in A, a \neq a_0\}$ . Since  $\mathcal{C}^* = \emptyset$ , we have that  $\mathcal{D} \cap \{(a, a) : a \in A\} = \emptyset$ . By Remark 2.4, it holds that  $\mathcal{D} \subseteq \mathcal{C} \cap \widehat{P}_{a_1}$  for some  $a_1 \in A \setminus \{a_0\}$ . This means  $\bigvee \mathcal{D} \in \mathcal{C} \cap \widehat{P}_{a_1} \subseteq \bigcup \{\mathcal{C} \cap \widehat{P}_a : a \in A, a \neq a_0\}$  since  $\mathcal{C} \cap \widehat{P}_{a_1}$  is Scott closed. Thus  $\bigcup \{\mathcal{C} \cap \widehat{P}_a : a \in A, a \neq a_0\}$  is Scott closed. As  $\mathcal{C}$  is irreducible, and  $\mathcal{C} \cap \widehat{P}_{a_0}$  and  $\bigcup \{\mathcal{C} \cap \widehat{P}_a : a \in A, a \neq a_0\}$  are disjoint Scott closed sets with  $\mathcal{C} \cap \widehat{P}_{a_0} \neq \emptyset$ , we have that  $\mathcal{C} = \mathcal{C} \cap \widehat{P}_{a_0}$ . So  $\mathcal{C} \subseteq \widehat{P}_{a_0}$ . Since  $\mathcal{C}$  is Scott closed in  $\widehat{P}$ , we see easily that  $\mathcal{C}$  is an irreducible Scott closed set in the subposet  $\widehat{P}_{a_0}$  of  $\widehat{P}$ . Note that the subposet  $\widehat{P}_{a_0}$  is order isomorphic to the subposet  $\downarrow a_0$  of  $P$  via the mapping  $(x, a_0) \mapsto x$ . Thus  $\mathcal{C}$  is homeomorphic to an irreducible Scott closed subset  $H$  of  $\downarrow a_0$ . But  $\downarrow a_0$  is an algebraic dcpo as  $P$  is a bounded complete algebraic poset, its Scott topology is sober. Hence,  $H = \downarrow x_0$  for some  $x_0 \leq a_0$ , which then implies that  $\mathcal{C} = \downarrow(x_0, a_0)$ .

Case 2.  $\mathcal{C}^* \neq \emptyset$ .

We first prove that  $\mathcal{C} = \text{cl}_{\widehat{P}}(\mathcal{C}^*) \cup \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$ . Note that  $\downarrow \mathcal{C}^* \subseteq \text{cl}_{\widehat{P}}(\mathcal{C}^*)$ , so

$$\mathcal{C} \subseteq \downarrow \mathcal{C}^* \cup \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\} \subseteq \text{cl}_{\widehat{P}}(\mathcal{C}^*) \cup \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}.$$

For the converse, since  $\mathcal{C}$  is Scott closed and  $\mathcal{C}^* \subseteq \mathcal{C}$ , one has  $\text{cl}_{\widehat{P}}(\mathcal{C}^*) \subseteq \mathcal{C}$ , and thus  $\text{cl}_{\widehat{P}}(\mathcal{C}^*) \cup \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\} \subseteq \mathcal{C}$ .

We have the following two properties:

(c1)  $\varphi(A) \cap \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\} = \emptyset$ . Otherwise, there exists  $b \in A$  such that  $\varphi(b) = (b, b) \in \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$ , then  $(b, b) \in \mathcal{C} \cap \widehat{P}_{a_0}$  for some  $(a_0, a_0) \notin \downarrow \mathcal{C}^*$ , which implies that  $b = a_0$  and  $(b, b) \in \mathcal{C}^*$ , a contradiction.

(c2)  $(x, b) \in \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$  implies that  $(b, b) \notin \downarrow \mathcal{C}^*$ . If  $(x, b) \in \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$ , then there exists  $(a, a) \notin \downarrow \mathcal{C}^*$  such that  $(x, b) \in \mathcal{C} \cap \widehat{P}_a$ , which implies that  $b = a$  by the definition of  $\widehat{P}_a$ . Thus  $(b, b) = (a, a) \notin \downarrow \mathcal{C}^*$ .

We now show that  $\bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$  is Scott closed. Let  $(x_1, a_1) \in \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$  and  $(x_2, a_2) \leq (x_1, a_1)$ . Then  $x_1 \neq a_1$  by (c1) and  $(a_1, a_1) \notin \downarrow \mathcal{C}^*$  by (c2). It follows that  $x_2 \leq_P x_1$  and  $a_2 = a_1$ . Note that  $(x_1, a_1) \in \mathcal{C} \cap \widehat{P}_{a_1}$  and  $\mathcal{C}$  is Scott closed. Thus  $(x_2, a_2) = (x_2, a_1) \in \mathcal{C} \cap \widehat{P}_{a_1} \subseteq \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$ . Hence,  $\bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$  is a lower subset of  $\widehat{P}$ . Let  $\mathcal{D}$  be a directed subset of  $\bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$ . Then by Remark 2.4, there exists a directed subset  $\{x_i : i \in I\}$  of  $P$  and  $a_0 \in A$  such that  $\mathcal{D} = \{(x_i, a_0) : i \in I\}$ . We have that  $\bigvee \mathcal{D} = (\bigvee_{i \in I} x_i, a_0) \in \mathcal{C} \cap \widehat{P}_{a_0} \subseteq \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$ . Thus  $\bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$  is Scott closed.

Since  $\mathcal{C}$  is irreducible, we have that  $\mathcal{C} = \text{cl}_{\widehat{P}}(\mathcal{C}^*)$  or  $\mathcal{C} = \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$ . Since  $\mathcal{C}^* = \mathcal{C} \cap \varphi(A) \neq \emptyset$  and  $\bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\} \cap \varphi(A) = \emptyset$ , it follows that  $\mathcal{C} \neq \bigcup \{\mathcal{C} \cap \widehat{P}_a : (a, a) \notin \downarrow \mathcal{C}^*\}$ . This implies  $\mathcal{C} = \text{cl}_{\widehat{P}}(\mathcal{C}^*)$ . Thus  $\mathcal{C}^*$  is irreducible in  $\Sigma \widehat{P}$  (note that a subset is irreducible if and only if its closure is irreducible). By  $\mathcal{C}^* = \mathcal{C} \cap \varphi(A)$ , it is irreducible closed in  $\varphi(A)$ . Since  $\varphi(A)$  is sober, there exists  $(a_0, a_0) \in \varphi(A)$  such that  $\mathcal{C}^* = \downarrow_{\varphi(A)}(a_0, a_0)$ . It follows that  $\mathcal{C} = \text{cl}_{\widehat{P}}(\mathcal{C}^*) = \downarrow(a_0, a_0)$ .

All this shows that  $\Sigma \widehat{P}$  is a sober space.  $\square$

**Remark 2.6.** From the above proof, we see that  $\varphi(A) = \uparrow \varphi(A)$ , thus  $\varphi(A)$  is an upper set of  $\widehat{P}$ . Also, it is clear that  $\widehat{P} = \downarrow \varphi(A)$ , that is  $\varphi(A)$  is a dense set in  $\Sigma \widehat{P}$ .

If  $X$  is a  $d$ -space, then by Proposition 2.1, there exists a bounded complete algebraic poset  $P = \text{Zh}(X)$  and a topological embedding  $\phi : X \rightarrow P$  such that the subset  $A = \phi(X)$  of  $P$  satisfies the conditions (P1) and (P2) in Proposition 2.3, then there exists a dcpo  $\widehat{P}$  and a topological embedding  $\varphi : A \rightarrow \Sigma \widehat{P}$ , where  $A$

is the subspace of  $\Sigma P$ . By Remark 2.6, we have that  $\varphi(A) = \uparrow\varphi(A)$  and  $\widehat{P} = \downarrow\varphi(A)$ . By Proposition 2.5, we have that  $X \cong \phi(X) \cong \varphi(A)$  is sober if and only if  $\Sigma\widehat{P}$  is sober. Now let  $P_X = \widehat{P}$  and define  $\psi : X \rightarrow \Sigma P_X$  by  $\psi = \varphi \circ \phi$ . We can deduce the following theorem.

**Theorem 2.7.** *Let  $X$  be a  $d$ -space. Then there is a dcpo  $P_X$  and a topological embedding  $\psi : X \rightarrow \Sigma P_X$  satisfying the following properties:*

- (1)  $\psi(X) = \uparrow\psi(X)$ ;
- (2)  $P_X = \downarrow\psi(X)$ ;
- (3)  $X$  is sober if and only if  $\Sigma P_X$  is sober.

In [7, Example 2.8], Wen and Xu proved that Isbell's complete lattice [4] equipped with the lower topology is a sober space but not co-sober. Based on this result, we can now obtain a dcpo whose Scott topology is sober but not co-sober. The following lemma is useful.

**Lemma 2.8.** [7, Theorem 2.9] *Every saturated subspace of a co-sober space is co-sober.*

**Example 2.9.** Let  $X$  be a sober space that is not co-sober. By Theorem 2.7(3), the Scott space of the dcpo  $P_X$  is sober. But it is not co-sober by Lemma 2.8 and Theorem 2.7(1):  $\psi(X)$  is not co-sober and  $\psi(X)$  is saturated.

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