Question 1a:

Given:
$$E(w_1, w_2, v | X) = -\sum_t r^t \log y^t + (1 - r^t) \log(1 - y^t)$$

$$y^t = \text{sigmoid}(v_2 * z_2 + v_1 * z_1 + v_0)$$

$$z_1^t = \text{sigmoid}(w_{1,2}x_2^t + w_{1,1}x_1^t + w_{1,0})$$

$$z_2^t = \text{LReLU}(w_{2,2}x_2^t + w_{2,1}x_1^t + w_{2,0})$$

$$\text{LReLU}(x) = \begin{cases} 0.01x, \text{ for } x < 0 \\ x, & \text{otherwise} \end{cases}$$

$$\text{sigmoid} = \frac{1}{1 + e^{-x}}$$

$$\begin{split} \frac{\partial E}{\partial v} &= \frac{\partial E}{\partial y} \cdot \frac{\partial y}{\partial v} \\ \frac{\partial E}{\partial y} &= -\sum_{t} \frac{r^{t}}{y^{t}} - \frac{1 - r^{t}}{1 - y^{t}} \\ &= -\sum_{t} \frac{r^{t} - r^{t}y^{t} - y^{t} + y^{t}r^{t}}{y^{t}(1 - y^{t})} \\ &= -\sum_{t} \frac{r^{t} - y^{t}}{y^{t}(1 - y^{t})} \\ \frac{\partial y}{\partial v} &= \frac{\partial}{\partial v} \left(\frac{1}{1 + \exp[v_{2}z_{2} + v_{1}z_{1} + v_{0}]} \right) \\ &= y^{2}(z_{2} + z_{1}) \\ \frac{\partial E}{\partial v} &= \left(-\sum_{t} \frac{r^{t} - y^{t}}{y^{t}(1 - y^{t})} \right) \left(y^{2}(z_{2} + z_{1}) \right) \\ &= -\sum_{t} \frac{r^{t} - y^{t}}{y^{t}} y^{t}(z_{2}^{t} + z_{1}^{t}) \end{split}$$

$$\Delta v_{ih} = \eta \sum_{t} \frac{r_i^t - y_i^t}{y_i^t} y_i^t (z_{2i}^t + z_{1i}^t)$$

$$\frac{\partial E}{\partial w_h} = \frac{\partial}{\partial w} \left(-\sum_t (r^t \log y^t + (1 - r^t) \log(1 - y^t)) \right)$$

$$\frac{\partial E}{\partial w} = \frac{\partial E}{\partial y} \cdot \frac{\partial y}{\partial z} \cdot \frac{\partial z}{\partial w} \qquad \left(\frac{\partial E}{\partial y} \text{ was solved above} \right)$$

$$\frac{\partial y}{\partial z} = y^2 (v_2 + v_1)$$

CSCI 5521: Homework 3 Section 811 (UNITE)

$$\begin{split} \frac{\partial z_h}{\partial w} &= \begin{cases} 0.01 w_{2h} x_{2h}^t + w_{1h} x_{1h}^t + w_{0h}, & \text{for } w_{2h} x_{2h}^t + w_{1h} x_{1h}^t + w_{0h} < 0 \\ w_{2h} x_{2h}^t + w_{1h} x_{1h}^t + w_{0h}, & \text{otherwise} \end{cases} \\ &= \frac{\max(0, w_h^t x^t) + 0.01 \min(w_h^t x^t, 0)}{w_h^t} \end{split}$$

$$\Delta w_{2j} = \eta \left(-\sum_{t} \frac{r_i^t - y_i^t}{y_i^t} y_i^t (v_2 + v_1) \frac{\max(0, w_{2j}^t x_j^t + w_{2j}^t) + 0.01 \min(w_{2j}^t x_j^t, 0)}{w_{2j}^t} \right)$$

$$\Delta w_{1j} = \eta \left(-\sum_{t} \frac{r_i^t - y_i^t}{y_i^t} y_i^t (v_2 + v_1) \frac{\max(0, w_{1j}^t x_j^t + w_{1j}^t) + 0.01 \min(w_{1j}^t x_j^t, 0)}{w_{1j}^t} \right)$$

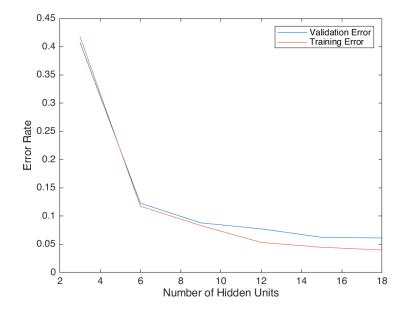
Question 1b:

For $w = w_1 = w_2$:

$$\Delta v_{ih} = \eta \sum_{t} \frac{r_i^t - y_i^t}{y_i^t} y_i^t (z_{2i}^t + z_{1i}^t)$$

$$\Delta w_{hj} = \eta \left(-\sum_{t} \frac{r_i^t - y_i^t}{y_i^t} y_i^t (v_2 + v_1) \frac{\max(0, w_{hj}^t x_j^t) + 0.01 \min(w_{hj}^t x_j^t, 0)}{w_{hj}^t} \right)$$

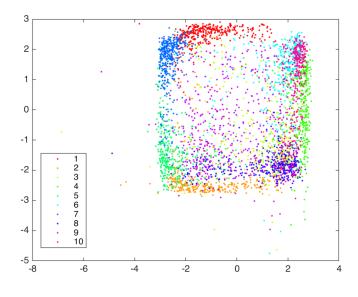
Question 2a:

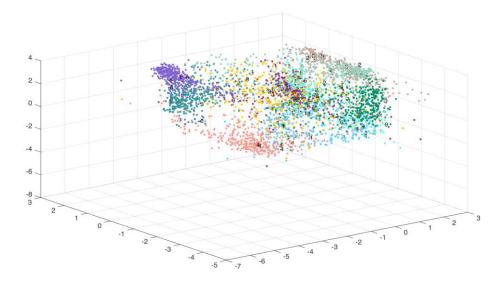


# Hidden Units:	3	6	9	12	15	18
Training Error Rate (%)	0.41751	0.11746	0.083289	0.05339	0.044848	0.040043
Validation Error Rate (%)	0.40737	0.1228	0.088094	0.077416	0.062467	0.061399
Testing Error Rate (%)	0.42956	0.14248	0.091249	0.067236	0.065101	0.060299

Based on the testing results, 18 hidden units is optimal out of the $\{3,6,9,12,15,18\}$ set. With 18 hidden units, a testing error rate of 0.060299% was achieved.

Question 2b:





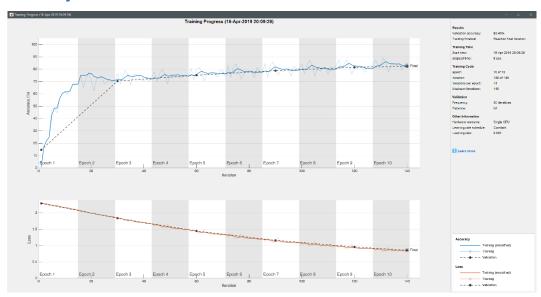
The 3-D plot allows for a better perception of the distribution of the data. In the 2-D plot, there is a lot of overlap among the data points, so it's harder to see the separation.

The imlementation of the ReLU function in 2a dictates that the value will either be zero or its current value, depending on whether or not it is below zero. In the given data, most of the values are positive, so they are displayed as they are in input on the graphs. The negative values are shown because of my usage of the logarithm in the MATLAB code: negative values are converted to positive, and then the log of this value is taken and finally multipled by -1.

Question 3c:

CNN structure 1: Input layer \rightarrow 2D convolution layer (1 filter w/ size 4) \rightarrow Batch normalization layer \rightarrow LReLU layer \rightarrow Fully connected layer \rightarrow Softmax layer \rightarrow Classification layer

Accuracy: 0.8212



CNN structure 2: Input layer \rightarrow 2D convolution layer (20 filter w/ size 3) \rightarrow Batch normalization layer \rightarrow LReLU layer \rightarrow Pooling layer (max pooling, poolsize 3 and stride size 2) \rightarrow 2D convolution layer (32 filter with size 3) \rightarrow Batch normalization layer \rightarrow LReLU layer \rightarrow Fully connected layer \rightarrow Softmax layer \rightarrow Classification layer



