### Neural Nets for text classification

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#### Fall 2024









# Roadmap

Introduction

Word embeddings

References

## Outline

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## Text classification/rating

- How to represent the input text?
- How to make classification?

# Bag of words (BOW)

this movie is just great , with a great music , while a bit long

## Bag of words (BOW)

this movie is just great , with a great music , while a bit long

vocabulary	binary bag	count bag	tf.idf bag	
awesome	0	0	0	
$\operatorname{great}$	1	2	1.9	
long	1	1	2.5	
$_{ m the}$	0	0	0	
$_{ m this}$	1	1	0.1	

A basic vectorial representation of text

$$\mathbf{x} = \begin{pmatrix} 0 \\ 2 \\ 1 \\ 0 \\ 1 \end{pmatrix} \in \mathbb{R}^D$$

$$awe some \\ great \\ long \\ the \\ this$$

## A simple problem

### Assumptions

- ullet Let define a finite set of known words: the vocabulary  ${\cal V}$
- A text is a vector  $\mathbf{x}$  of dimension  $D = |\mathcal{V}|$
- Each component encodes the presence of a word

### Then machine learning

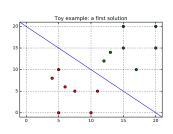
- Naive Bayes
- SVM, Random Forrest, ...
- Logistic Regression

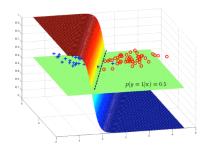
## Logistic regression

The class c is the outcome of the binary random variable CThe sigmoid/logistic function

$$a = w_0 + \mathbf{w}^t \mathbf{x} \in \mathbb{R}$$

$$\sigma(a) = \frac{e^a}{1 + e^a} = \frac{1}{1 + e^{-a}} \text{ and } y = P(C = 1 | \mathbf{x}) = \sigma(w_0 + \mathbf{w}^t \mathbf{x})$$





## Training a Logistic regression model

- The parameters are  $\theta = (w_0, \mathbf{w}),$
- The i.i.d dataset:  $\mathcal{D} = (\mathbf{x}_{(i)}, c_{(i)})_{i=1}^n$

Loss function minimization

$$\mathcal{L}(\boldsymbol{\theta}; \mathcal{D}) = -\sum_{i=1}^{n} log(P(C = c_{(i)}|\mathbf{x}; \boldsymbol{\theta}))$$

$$= -\sum_{i=1}^{n} \left(c_{(i)}log(y_{(i)}) + (\mathbf{1} - c_{(i)})log(\mathbf{1} - y_{(i)})\right)$$

$$y_{(i)} = \sigma(w_0 + \mathbf{w}^t \mathbf{x}_{(i)})$$

Optimization method Stochastic Gradient Descent, or improved version (ADAM, L-BFGS, . . . )

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Back to logistic regression

$$\mathbf{x} = \begin{pmatrix} 0 \\ 2 \\ 1 \\ 0 \\ 1 \end{pmatrix} \in \mathbb{R}^D$$

$$\begin{array}{c} awe some \\ great \\ long \\ the \\ this \end{array}$$

For one input text:

$$w_0 + \mathbf{w}^t \mathbf{x} = w_0 + 2 \times w_2 + w_3 + w_5$$

The class is positive (y=1) if

$$w_0 + 2 \times w_2 + w_3 + w_5 > 0$$
$$2 \times w_{great} + w_{long} + w_{this} + > -w_0$$

## A limited representation of words

With the logistic regression model on a bag of words:



Consider the two following examples:

the end is **really bad** 
$$\bigcirc$$
  $\Rightarrow$   $w_{\text{bad}}$   $\searrow$  the **bad** guy is  $awesome$   $\bigcirc$   $\Rightarrow$   $w_{\text{bad}}$   $\searrow$ ,  $w_{\text{awesome}}$ 

### Multiple dimensions could help to:

- represent different usage
- consider the context
- leverage more from sparse, sometime ambigous observations.

A simple model for document classification - part 1

Idea

- The word representation could be shared among classes
- While their interpretation depends on the class

Input representation and composition

$$\mathbf{R} \times \mathbf{x} = \begin{pmatrix} \vdots & \vdots & \vdots & \vdots & \vdots \\ \mathbf{v}_1 & \mathbf{v}_2 & \mathbf{v}_3 & \mathbf{v}_4 & \mathbf{v}_5 \\ \vdots & \vdots & \vdots & \vdots & \vdots \end{pmatrix} \times \begin{pmatrix} 0 \\ \mathbf{2} \\ \mathbf{1} \\ 0 \\ \mathbf{1} \end{pmatrix} = 2 \times \mathbf{v}_2 + \mathbf{v}_3 + \mathbf{v}_5 = \mathbf{d}$$

A simple model for document classification - part 2
Classification

$$P(y|\mathbf{x}) = \text{softmax}(\mathbf{W}^{\mathbf{o}}\mathbf{d}) = \text{softmax}(\mathbf{W}^{\mathbf{o}} \times \mathbf{R}\mathbf{x}), \text{ or}$$
  
= softmax( $\mathbf{W}^{\mathbf{o}} \times f(\mathbf{R}\mathbf{x})$ ),

with f a non-linear activation function.

Parameters

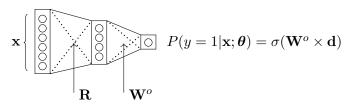
$$\theta = (\mathbf{R}, \mathbf{W}^{\mathbf{o}}) \to \mathbf{to} \ \mathbf{learn} \ !!$$

Reminder

If y = softmax(a), y is a vector and a is called the logit vector

$$y_i = \frac{e^{a_i}}{\sum_j e^{a_j}}$$

### A first neural network



- $\mathbf{x}: (|\mathcal{V}|, 1)$
- $\mathbf{R}: (K, |\mathcal{V}|)$
- $\mathbf{d}: (K,1)$
- $W^o: (1, K)$
- y: (1,1)

 $y = \sigma(\mathbf{W}^{\mathbf{o}} \times \mathbf{d})$ 

 $\mathbf{d} = \mathbf{R} \times \mathbf{x}$ 

# Word embeddings

#### Definitions:

- To each word, a continous vector is associated: its embedding.
- The matrix **R** is called the look-up table and store the word embeddings.

#### Note:

- The term look-up comes from the real operation  $\mathbf{R} \times \mathbf{x}$  is only theoritical!
- No computational cost, only storage and trainability challenge (enough observations for each word, Zipf, ...)
- Pre-training and fine-tuning

# Unsupervised Pre-training of Word Embeddings

### The question

- How to efficiently learn word representation
- based on the observation of raw texts?

### Distributional representations

You shall know a word by the company it keeps (Firth, J. R., 1957)

and

Words are similar if they appear in similar contexts (Harris 1954).

In practice Word2Vec [5]

# Context Bag of Words (CBOW)

The game

southern trees [???] strange fruits

Guess the word in the middle!

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The game

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Guess the word in the middle!

Prediction

 $\operatorname{softmax}(\boldsymbol{W}_o \times \boldsymbol{h}) \to \operatorname{bear}$ ?

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### CBOW: details

### Fast pre-training of word embeddings

- Introduced in [5] as a simplification of [1] (neural language model)
- Trained with negative sampling (Closed to Noise Contrastive Estimation [2])
- An efficient and tractable approximation of the count based method [4]

#### Other flavor

- Skip-gram [5]
- Glove [6]
- Fastext [3]

## CBOW: Maximum Likelihood Estimate

### In $P(w|\mathbf{x};\boldsymbol{\theta})$ :

- predict the word w in the middle,
- given **x** the context.

#### MLE

$$\mathcal{L}(\boldsymbol{\theta}; \mathcal{D}) = -\sum_{i=1}^{n} log(P(C = w | \mathbf{x}; \boldsymbol{\theta})),$$

- The probability distribution over  $\mathcal{V}$  is given by a softmax
- The set of possible outcomes is  $\mathcal{V}$ .

### Cost of the softmax

$$\mathcal{L}(\boldsymbol{\theta}; \mathcal{D}) = -\sum_{(\mathbf{x}, \hat{w}) \in \mathcal{D}} \log P_{\boldsymbol{\theta}}(\hat{w}|\mathbf{x})$$

$$P_{\boldsymbol{\theta}}(\hat{w}|\mathbf{x}) = \frac{e^{s_{\boldsymbol{\theta}}(\hat{w}|\mathbf{x})}}{\sum_{w' \in \mathcal{V}} e^{s_{\boldsymbol{\theta}}(w'|\mathbf{x})}}$$

$$\log P_{\boldsymbol{\theta}}(\hat{w}|\mathbf{x}) = s_{\boldsymbol{\theta}}(\hat{w}|\mathbf{x}) - \log\left(\sum_{w' \in \mathcal{V}} e^{s_{\boldsymbol{\theta}}(w'|\mathbf{x})}\right)$$

$$\frac{\partial \log P_{\boldsymbol{\theta}}(\hat{w}|\mathbf{x})}{\partial \boldsymbol{\theta}} = \frac{\partial s_{\boldsymbol{\theta}}(\hat{w}|\mathbf{x})}{\partial \boldsymbol{\theta}} - \sum_{\underline{w' \in \mathcal{V}}} P_{\boldsymbol{\theta}} (w'|\mathbf{x}) \frac{\partial s_{\boldsymbol{\theta}}(w', \mathbf{x})}{\partial \boldsymbol{\theta}}$$

$$\xrightarrow{costly!}$$

# Negative sampling

Recast the problem as a binary classification task:

- Positive examples:  $(\mathbf{x}, w) \in \mathcal{D}$
- Negative examples:  $(\mathbf{x}, \tilde{w})$ , with  $\tilde{w} \sim \mathcal{V}$

Use a binary classifier!

### In practice:

- for one positive example  $\sim \mathcal{D}$
- sample K negative and random samples from  $\mathcal V$
- K is small (compared to the size of  $\mathcal{V}$ )
- the noise distribution does matter

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