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# Discrete Mathematics with Proof assistants

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# Preface

Proofs are to mathematics what spelling (or even calligraphy) is to poetry. Mathematical works do consist of proofs, just as poems do consist of characters.

---

Vladimir Arnold

So reader, how would you describe Mathematics?

Ask the layperson and you will get an image of a series of dreadful formulas and calculations, perhaps useful but so complicated that they fit the old Arthur C Clarke saying “Any sufficiently advanced technology is indistinguishable from magic.”

Ask her the same question and a mathematician will talk of abstract reasoning, beauty and elegance. Indeed a hundred years ago Henry Poincare was musing “The useful combinations are precisely the most beautiful, I mean those best able to charm this special sensibility that all mathematicians know, but of which the profane are so ignorant as often to be tempted to smile at it.”

Most mathematicians will say that what is important in Mathematics Education is not necessary learning long calculations but understanding how to reason correctly and using abstraction in problem solving. I think Mathematics Education should reflect both notions. While one should not discard the value of computational tools, we should also teach the beauty of proofs and reasoning. The approach is as old as teaching Mathematics. Euclid’s Elements, the oldest textbook in the western world is a collection of proofs and constructions. Euclid’s proofs have lead the teaching of mathematics for much of last two thousand years, it stands second only to the Bible in having been printed in more than one thousand editions,

However, teaching proofs is loosing ground in the modern Mathematics curriculum. For example, in the UK Advance level exam from 1957, 7 of the 10 questions involved a small proof. In the 2016 equivalent (C4 AQA test), only 16 out of 75 points included proofs. The result is that many students start university viewing mathematics as a series of cookbook methods and computations. One of the most serious stumbling blocks in University Mathematics remains the lack of exposure to proofs. One can find many explanations for this but one of the most important is cost.

This text is an attempt to address this issue. Of course countless textbooks make the same claim so writing yet another standard one would be pointless. I will take a rather non-standard approach.

In the last few decades computer aided education took flight. Computer Algebra systems such as Maple, Mathematica, Mathlab, Maxima, Sage and so on have permeated the curriculum providing examples, modelling and automated assessment tools. The teaching of proofs and abstractions have seen almost none of these.

This is surprising since computer assisted proofs are almost as old as computers. Already in 1954 Martin Davis encoded Presburger’s arithmetic and managed to prove that the sum of even numbers is even. More importantly, a few years later Newell, Simon and Shaw wrote the “Logic Theorist”, a first order logic solver that managed to prove 38 of the theorems in Russell and Whitehead’s “Principia Mathematica”. PROLOG in the 70’s offered a reasonably simple context to verify first order logic.

Until quite recently though, proof assistants belonged to the world of Computer Science. Very little of the discoveries in the domain crossed into mathematics or mathematics education.

In the 80’s a plethora of Proof assistants appeared. Initially, they mimicked the standard language of mathematics (see for example Mizar) but soon they simplified notation for the sake of efficiency. Despite attempts by some developers (such as the decorative mode Isar for Isabelle), most proof assistants remain beyond the reach of a beginning mathematics student.

I have made several attempts to teach Mathematics with the help of Isabelle and Coq and they all had modest success. The syntax proved to be too much for the students. The solution we found was to develop a separate interface for Coq that will separate the student from both the terseness and the automation power of the theorem prover and will provide an accessible and interactive syntax. The resulting product is called Spatchcoq, after the method of “butterflying ” a chicken prior to cooking. I hope the wonderful authors of Coq will forgive the little inside joke.

The idea of the book is to teach discrete mathematics (the standard way of introducing proofs) with the help of Spatchcoq. I encourage the reader to download the software using the instructions in Appendix A.

I will slowly introduce the software using basic proofs methods in Chapter 1 and give many examples. The impatient reader can skip to Appendix C to get short descriptions of the tactics, respectively to Appendix D to see two detailed examples. You can also find some other examples a

<https://github.com/corneliuhoffman/spatchcoqocaml/tree/master/examples>

## Warning for teachers

Spatchcoq is developed on top of Coq and will suffer from some of the difficulties inherent in using Coq (or any other proof assistant). Proof assistants are based on type theory rather than set theory (see Appendix E for details) and this creates many of the issues. In particular every object in Coq has exactly one type and you need a conversion to move from one to the other. We have tried to keep this under the hood as much as possible and to mimic the usual set theory notations.

This creates certain surprising complications. For example, in the number theory chapter, we have decided to work with natural numbers rather than integers (this is mainly so that induction will work as expected). In general this is ok but, because  $\mathbb{N}$  is not a ring, subtraction is a delicate beast. In particular, if  $m < n$  are two natural numbers then  $m - n = 0$ . So a statement like  $(m - n) + n = m$  is only true if  $m > n$ . For example  $(2 - 3) + 3 = 0 + 3 = 3$ . For this reason we advise to tilt your examples in the number theory section towards addition rather than subtraction.

I will try to remind the reader about such issues as we go along. We will use the following format:

### !Warning!

Watch for the complications related to minus.

We will also use some separate coloured boxes to separate text. More neutral remarks are going to look like this:

### Remark

This is a remark that should help you understand the paragraph better.

The text that is related to Spatchcoq will also be separated from usual text. The text that we input in to Spatchcoq will appear in input boxes like this:

```
Lemma ancomm(P Q : Prop) : P ∨ Q → Q ∨ P.
Assume (P ∨ Q) then prove (Q ∨ P). Consider cases based on disjunction in
hypothesis Hyp.
```

The goals that Spatchcoq will return to us will look like this:

### Goal

```
Hyp : not (P ∧ (not Q))
Hyp0 : (((not P) ∧ Q) ∨ (P ∧ (not Q)))
```

$Q$

And the messages that Coq will offer look like this:

error





# Chapter 1

## Basic proof techniques.

Contrariwise,' continued Tweedledee, 'if it was so, it might be; and if it were so, it would be; but as it isn't, it ain't. That's logic.

---

Lewis Carroll

### 1.1 Motivational Speeches

Lewis Carroll, Oxford Logician and lecturer, delivers a self-deprecating jibe through the words of Tweedledee. He understood very well the following simple fact: Logic is hard and often sounds like complete gobbledygook. Nevertheless, Mathematical Logic plays the role of grammar for Mathematics and I hope that by the end of the chapter the reader will disagree with Tweedledee. This also the first place

The English language tends to be more nuanced than mathematical logic. For example consider the following internet meme<sup>1</sup>:

The phrase “I never said she stole my money.” has 7 different meanings depending on the emphasis. For example “**I** never said she stole my money” means perhaps somebody else said it, “I never said **she** stole my money” might mean I said that somebody else stole the money while “I never said she stole my **money**.” might mean she stole something else.

Mathematical logic is a lot more precise than vernacular language. Every statement has to be either true or false. Nuances have no place in logic. You have to formulate statements offering only one interpretation. You will be introduced to the main concepts in the following chapters. You will also learn about Spatchcoq at the same time.

---

<sup>1</sup> My son Luca showed it to me.

## 1.2 Propositional Calculus

Nothing goes over my head. My reflexes are too fast, I would catch it and I would kill it.

---

Drax the Destroyer.

Propositions form the the building bricks of Mathematical Logic. Not any statement is a proposition, like bricks, propositions need to be build certain specifications in order to hold the edifice of Mathematics. The specifications are quite direct:

**Definition 1** *A proposition is a sentence which is either true or false but not both.*

In other words Mathematical logic is completely literal. No metaphors or second meanings there. Drax the Destroyer likes propositional logic. This seems like an rather pompous definition and it seems rather limiting (and prone to endless jokes in the case of poor Drax) but it is very important for what follows. Here are some examples of propositions:

- Earth is a planet.
- $2 + 2 = 5$
- $\forall x \in \mathbb{R}, x^2 \geq 0$
- Men are mortal.

The common language is much richer than mere logic, There are questions, metaphors, hyperbolae, oratorical flourishes and so on. Here are some examples of sentences that fail to qualify as propositions.

- What time is it?
- We are better off today than 3 years ago.
- All the world?s a stage, and all the men and women merely players.
- $x + 3 > 5$
- It will rain tomorrow.
- In the case of Drax there is also the issue of “Metaphors are gonna go over his head.”

But why should one restrict to such mundane requirements? Is it not true that if your restrict your language to the direct and practical, avoid questions, stylistics and oratorial style and state only facts then the language is made of propositions only? Enter an old friend of the sophists, the paradox.

### Of paradoxes

In Titus 1:12-13, the apostle Paul states: “One of themselves, even a prophet of their own, said, The Cretians are alway liars [...] This witness is true”. The Cretan Philosopher Epimenides, the

prophet in Paul's text, is usually credited with the first version of the paradox. For simplicity we look at a slightly modified version: "This statement is a lie". Cicero [3] tells us how to think about it "If you say that you lie and you speak the truth, you lie. But then you say that you lie and you speak the truth, so you lie."

A modern take on the old story is Pinocchio's paradox. Assume that Pinocchio utters the statement: "My nose grows!", what happens? If we observe his nose growing then his words ring true and so his nose should have remained proportional to his face. If we see no change in the size of the nose then his statement must have been false and so, as the story tells, his nose is due for a growth spur ...

Another variant is attributed to Bertrand Russell: "Once upon a time there was a small island with extreme trespassing laws. Everyone caught trespassing was interrogated. If found to be truthful the guards will shoot the intruder and if the intruder is found lying the hangman awaits them. A logician caught trespassing happily shouts "You will hang me!". Of course, in the logic of this island a trespasser is either shot or hanged. If they hang him then the statement is truthful and so they should have shot him. If they shoot him then his statement is not truthful so they should have hanged him. The law appears inconsistent. These self referential paradoxes lead to Russell type paradoxes (see Subsection 1.2.4 and Chapter 2 as well as Appendix E)

### 1.2.1 Connectors

Just like for other mathematical concepts, we build a "calculus" for dealing with propositions. Some form of this date back to Aristotle but logicians modified them thorough the ages. As with any kind of language, we start with simple forms and combine them into more complicated ones. In fact, as usual in the pedagogical process, we start with complicated propositions and break them down into standard combinations of simple forms. To do so define five connectors (operators) on the set of propositions: and ( $\wedge$ ), or ( $\vee$ ), implication ( $\rightarrow$ ), negation ( $\neg$ ) and double implication ( $\leftrightarrow$ ). The first four are "binary operators", they combine two propositions. The last one is a "unary operation" much like minus is for numbers. Most of these notions will seem familiar to you, our approach will however be a little bit more formal. The symbols are not independent, one can express some of them using the others.

#### Conjunction (and)

If  $P$  and  $Q$  are two propositions then their conjunction, denoted by  $P \wedge Q$  (read "P **and** Q") is a proposition that is only true if both  $P$  and  $Q$  are true. We can write the Truth table bellow:

$P$	$Q$	$P \wedge Q$
$T$	$T$	$T$
$T$	$F$	$F$
$F$	$T$	$F$
$F$	$F$	$F$

here are some examples.

- “She is both intelligent and hard working” can be written as  $(\text{“She is intelligent”}) \wedge (\text{“She is hard working”})$ .
- $0 < 4 < 5$  can be written as  $(0 < 4) \wedge (4 < 5)$ .

### Disjunction (or)

if  $P$  and  $Q$  are two propositions then their disjunction, denoted by  $P \vee Q$  (read “ $P$  **or**  $Q$ ”) is a proposition that is only false if both  $P$  and  $Q$  are false. That is it is true if either  $P$  or  $Q$  or both are true. Note that in SpatchCoq you can enter this by either clicking on the symbol or by typing  $\vee$ .

The corresponding truth table is:

$P$	$Q$	$P \vee Q$
$T$	$T$	$T$
$T$	$F$	$T$
$F$	$T$	$T$
$F$	$F$	$F$

Here are some examples:

- “He is either at work or on his way home” can be written as  $(\text{“He is at work”}) \vee (\text{“He is on his way home”})$ .
- $0 \leq 4$  can be written as  $(0 < 4) \vee (0 = 4)$

Note that, unlike in nature language, the connector  $\vee$  is not an “exclusive or”. The proposition  $P \vee Q$  is true in the case both  $P$  and  $Q$  are true.

### Implication

If  $P$  and  $Q$  are two propositions then  $P \rightarrow Q$  (read “ $P$  **implies**  $Q$ ” or “**if**  $P$  **then**  $Q$ ”) is a proposition that is only false if  $P$  is true and  $Q$  is false. In other words, we can produce the following truth table’:

$P$	$Q$	$P \rightarrow Q$
$T$	$T$	$T$
$T$	$F$	$F$
$F$	$T$	$T$
$F$	$F$	$T$

- “If it rains then you need your umbrella” can be written as (“It rains”)  $\rightarrow$  (“you need your umbrella”).

This is the most counterintuitive of all connectors. Note that if the proposition  $P$  is false then  $P \rightarrow Q$  is automatically true regardless of the truth value of  $Q$ .

### Negation

if  $P$  is a proposition then its negation, denoted by  $\neg P$  ( read “**not**  $P$ ”) is a proposition that is false if  $P$  is true and true if  $P$  is false. Note that in SpatchCoq this can be typed by clicking on the symbol or by writing not. The truth table is very simple:

$P$	$\neg P$
$T$	$F$
$F$	$T$

- “It is not raining” can be written as  $\neg$ (“ It rains”).
- $0 \leq 4$  can be written as  $\neg(0 > 4)$

### Double implication (If and only if)

If  $P$  and  $Q$  are propositions then  $P \leftrightarrow Q$  (read “**P if and only if Q**”) is the same construction as  $(P \rightarrow Q) \wedge (Q \rightarrow P)$ . It means that the two propositions have the exact same truth value. The truth table is:

$P$	$Q$	$P \leftrightarrow Q$
$T$	$T$	$T$
$T$	$F$	$F$
$F$	$T$	$F$
$F$	$F$	$T$

As you saw in the definition of  $\leftrightarrow$ , the various connectors are not independent. For example note the following truth tables:

$P$	$Q$	$P \rightarrow Q$	$\neg P$	$(\neg P) \vee Q$
$T$	$T$	$T$	$F$	$T$
$T$	$F$	$F$	$F$	$F$
$F$	$T$	$T$	$T$	$T$
$F$	$F$	$T$	$T$	$T$

$P$	$\neg P$	$\neg(\neg P)$
$T$	$F$	$T$
$F$	$T$	$F$

$P$	$Q$	$\neg P$	$\neg Q$	$P \vee Q$	$\neg(P \vee Q)$	$(\neg P) \wedge \neg Q$
$T$	$T$	$F$	$F$	$T$	$F$	$F$
$T$	$F$	$F$	$T$	$T$	$F$	$F$
$F$	$T$	$T$	$F$	$T$	$F$	$F$
$F$	$F$	$T$	$T$	$F$	$T$	$T$

Two composed propositions that have the exact truth values regardless of the values of their components are called equivalent. In other words the statement  $P \rightarrow Q$  is equivalent to  $\neg P \vee Q$ , the proposition  $P$  is equivalent to  $\neg \neg P$  and  $\neg(P \vee Q)$  is equivalent to proposition  $(\neg P) \wedge \neg Q$ .

A proposition that is equivalent to the proposition True (i.e one that is True regardless of the value of its components) is called a tautology. For example, if  $P$  and  $Q$  are equivalent then  $(\neg P) \vee Q$  is a tautology. In particular

$$(P \vee Q) \vee (\neg P \wedge \neg Q)$$

is a tautology.

### 1.2.2 Translation between english and propositional calculus

Many questions in real life are not immediately expressed as an easy propositional calculus term and, depending on how convoluted the text is, it might be a challenge to translate it into one. Here are some rules that will help you recognise the logical connectors.

#### The conjunction ( $q \wedge p$ )

This usually appears as “and” in texts. In other words “It rains and it’s windy” can be written as “It rains”  $\wedge$  “it’s windy”. Other forms: “but”, “moreover”, “however”, “even though”, “although”, “nevertheless”. Some of these seem surprising because they suggest a certain implication. For example the statement “It is sunny although cold.” should be interpreted as “it is sunny”  $\wedge$  “it

is cold". Even more bizarrely, you can rewrite the above as "It is sunny even if it's cold." using the word "if" which suggests an implication.

### The disjunction $p \vee q$

You usually find this as "or" but this is a tricky one. In Mathematical logic,  $\vee$  is an "inclusive disjunction". This means that if both  $p$  and  $q$  are true then so is " $p \vee q$ ". In colloquial English "or" might mean exclusive disjunction, that is the statement is true only if exactly one of the components holds true. Often this appears in the "either ... or ..." syntagm. Moreover "unless" sometimes appears as an  $\vee$  replacement and some other times as an exclusive disjunction. The translation of or is much more context dependent. Drax the Destroyer really dislikes this.

### The implication $p \rightarrow q$

is the most complicated connector, its' normal form is "if ... then ...". But it can also appear as , "p implies q", "p therefore q", "p hence q", "q if p", "q provided p", "p is the sufficient for q", and "q is the necessary for p" or "q follows from p". Perhaps the most difficult to understand for is "p only if q" as it seems to be saying  $q \rightarrow p$  when in fact it says  $p \rightarrow q$ .

### The negation $\neg p$

is a bit more standard, the expression is usually *not p*. Not however the more complex forms of "neither p nor q" meaning " $\neg p \wedge \neg q$ " or "not both b and q are true" which means " $\neg (p \wedge q)$ " while "p and q are both not true" which means " $\neg p \wedge \neg q$ ".

### The double implication $p \leftrightarrow q$

This usually appears as "p if and only if q" or "p is equivalent to q" or "p is necessary and sufficient for q".

Let us try to apply our newfound understanding. Consider the statement "if it's Tuesday then I have Maths but not English." We have 3 propositions here p: "It is Tuesday.", q: "I have Maths." and r: "I have English. ". The statement can be written as  $p \rightarrow (q \wedge \neg r)$ .

### 1.2.3 Inference rules

Of course any argument in propositional logic can be solved with a truth table. However this is quite tedious and hard to extend to more general notions. We prefer to use methods of proof called "inference rules". Each connector has two rules, an introduction and an elimination rule. We will also describe them using the standard logic notation. More precisely, the notation

$$\frac{P}{Q} \text{ name}$$

means that the inference rule "name" allows you to infer  $Q$  from  $P$ .

#### Remark

In brief, the introduction rule of a connector tells you what to do in order to prove a propositions involving the connector.

#### Remark

The elimination rule of a connector tells you how to use a hypothesis involving the connector to prove other things.

We will list these in the next section. Note that those connectors will be used later in Predicate calculus and there we will be able to give many more examples. We will also take this opportunity to introduce some of SpatchCoq's tactics.

### Forward proofs, backward proofs and implication rules

Let us describe the **implication introduction rule**. In order to prove the statement  $P \rightarrow Q$  we assume  $P$  and try to prove  $Q$ . In usual logic notation we have:

$$\frac{\begin{array}{c} P \\ \vdots \\ Q \end{array}}{P \rightarrow Q}$$

The equivalent SpatchCoq tactic is "Assume P then prove Q."

The **implication elimination rule** is sometimes called "modus ponens". If you have the hypothesis  $H : P \rightarrow Q$  and the hypothesis  $H1 : P$  then you can show  $Q$ . In logical notation

$$\frac{P \rightarrow Q \quad P}{Q}$$



In SpatchCoq we use the tactic “Apply result (H H1)” or “Apply result H1.” followed by “Apply result H.”

Most of the proofs you have seen written in textbooks are written in a style called “direct proof”. Suppose you have a set of hypotheses and you want to prove a conclusion. You then start from the hypotheses and prove a series of intermediate results that then get added to your hypotheses until you can prove the conclusion. Most of the time in practice however the way you arrive to a proof is combining that method with another method called “backward proof”.

To fix the details we will prove one example, the famous Aristotelian syllogism:

Socrates is a Man.

All men are mortal.

Therefore Socrates is mortal.

We will be somewhat abusive using 3 propositions **Socrates**, **Man**, **Mortal**. We will redo this more carefully in Section 1.3.

We have two Axioms,

A1 : **Socrates**  $\rightarrow$  **Man**.

A2: **Man**  $\rightarrow$  **Mortal**.

And we need to show that

**Socrates**  $\rightarrow$  **Mortal**.

To do that we need to use Implication introduction, that is we need to assume **Socrates** and try to prove **Mortal**.

We start by giving a “forward proof” of this. Since we know A1 and **Socrates**, implication elimination tells us that we have **Man**. Similarly since we know A2 and **Man**, implication elimination gives you **Mortal**, which is what we needed to prove.

We could have argued backwardly as follows: Since we know A2, by implication elimination, in order to prove **Mortal** it is enough to prove **Man**. Similarly from A1 in order to prove **Man** it is enough to prove **Socrates** which we already have as a hypothesis.

In more complicated proofs one often combines the two methods.

Now start Spatchcoq and continue your exploration of Propositional logic using it.

The corresponding argument in SpatchCoq goes as follows. Sset up the three variables:

**Variables Socrates Man Mortal :Prop.**

Note the format:

Variables  $x_1 x_2 \cdots x_n$  : Prop.

This means the we name these variables and we declare them to be of **type** Prop. For more discussions about types see [E](#).

And then list the two axioms

```
Axiom A1 : Socrates -> Man.
```

```
Axiom A2 : Man -> Mortal.
```

Note the similarity between axioms and variables. See [E](#) for details.

Note the format

And finally type

```
Lemma soc: Socrates->Mortal.
```

This time the effect on Spatchcoq is a bit different. For the first time you get to prove something. More precisely the goals window becomes

Goal

*Socrates  $\rightarrow$  Mortal*

Click on that statement to get a hint. Pick the first Hint:

```
Assume Socrates then prove Mortal.
```

This “tactic” modifies the goal:

Goal

*Hyp : Socrates*

*Mortal*

We can now go two ways.

The first one is a “forward proof”, very much like the text above, use:

```
Obtain Man applying A1 to Hyp.
```

to get

Goal

*Hyp : Socrates*  
*H : Man*

*Mortal*

and then

Obtain Mortal applying A2 to H.

and

This follows from assumptions.

to finish the proof.

The second method is a “backward proof”, this is a method preferred by Coq and therefore by SpatchCoq.

Apply result A2.

*Proof.*

to get

Goal

*Hyp : Socrates*

*Man*

This is equivalent to the above. What we mean is that using A2, we now only need to show Man.

Now we do

Apply result A1.

to get

Goal

*Hyp : Socrates*

*Socrates*

which follows by assumption, that is

This follows from assumptions.

Of course this is such a simple example that one can do directly

Apply result (A2 (A1 Hyp)).

Congratulations! You have finished your first proof with SpatchCoq.

This might be the place to notice that implication elimination behaves much like a function application in standard mathematics. If you know  $H : P \rightarrow Q$  and you know  $H1 : P$  then  $(HH1)$  is a proof for  $Q$ .

Moreover, the labels of the hypotheses are not mere labels. They are objects of the same type as the respective hypothesis. They can be viewed as witnesses for the truth of the respective propositions. Moreover, if we finish our proof with Qed then the name of Lemma itself becomes a witness for its proof.

Indeed try

```
Lemma soc: Socrates->Mortal.
  Assume Socrates then prove Mortal.
  Apply result (A2 (A1 Hyp)).
  Qed.
  Print soc.
```

to get

```
soc =λ Hyp : Socrates, A2 (A1 Hyp)
: Socrates → Mortal
```

This tells you that soc is a function that takes the witness Hyp of the truth of Socrates and produces a witness A2 (A1 Hyp) of the truth of Mortal. We will return to types later.

### Inference rules for conjunction

The conjunction introduction says that in order to prove  $P \wedge Q$ , you need to prove both  $P$  and  $Q$ . In logic notation we have

$$\frac{P \quad Q}{P \wedge Q}$$

In SpatchCoq the tactic we use is “Prove the conjunction in the goal by first proving P then Q.”

The Conjunction elimination consists of two separate rules,

$$\frac{P \wedge Q}{P} \text{ and } \frac{P \wedge Q}{Q}$$

To be more precise, if you know  $H : P \wedge Q$  then you can deduce  $H1 : P$  and  $H2 : Q$ . The corresponding SpatchCoq tactic is “Eliminate the conjunction in hypothesis H.”

To exemplify this, we shall prove the commutativity of conjunction. If  $P, Q$  are propositions, then  $P \wedge Q \rightarrow Q \wedge P$ . To do so, we use, as above the implication introduction, so we assume that  $P \wedge Q$  holds and show that  $Q \wedge P$ .

Now we will employ to imply the conjunction elimination. Since we know that  $P \wedge Q$  holds, we also know that  $P$  holds and that  $Q$  holds. by Conjunction introduction we have that  $Q \wedge P$  holds.

The formal proof in SpatchCoq is a bit more elaborate. We start with the Lemma:

```
Lemma ancomm(P Q:Prop) : P ∧ Q → Q ∧ P.
```

to get

Goal

$PQ : Prop$

$P \wedge Q \rightarrow Q \wedge P$

We then use

```
Assume (P ∧ Q) then prove (Q ∧ P).
```

to get

Goal

$PQ : Prop$

$Hyp : P \wedge Q$

$Q \wedge P$

We know use

```
Eliminate the conjunction in hypothesis Hyp.
```

To get

Goal

 $PQ : Prop$   
 $Hyp0 : P$   
 $Hyp1 : Q$ 
 $Q \wedge P$ 

Now we use

Prove the conjunction in the goal by first proving Q then P.

To get two goals

Goal

 $PQ : Prop$   
 $Hyp0 : P$   
 $Hyp1 : Q$ 
 $Q$ 

and

Goal

 $PQ : Prop$   
 $Hyp0 : P$   
 $Hyp1 : Q$ 
 $P$ 

which can each be solved by

This follows from assumptions.

Inference rules for disjunction

The disjunction introduction consists of two different rules. In order to prove  $PQ$  you can either prove the left hand side or the right hand side. The logical expressions are

$$\frac{P}{P \vee Q} \text{ left} \text{ and } \frac{Q}{P \vee Q} \text{ right}.$$

In SpatchCoq we have three tactics: “Prove left hand side.”, “Prove right hand side.” and “Prove \* in the disjunction.”

Disjunction elimination is a bit harder to describe but it is a very natural method of “case by case” analysis. If you know  $H : P \vee Q$  and you want to prove  $R$  then you need to prove  $R$  in case  $P$  holds as well as in case  $Q$  holds.

$$\frac{P \vee Q \quad \frac{P}{R} \quad \frac{Q}{R}}{R}$$

In SpatchCoq the tactic is: “Consider cases based on disjunction in hypothesis H.”

We now give a detailed proof of the commutativity of disjunction:

$$P \vee Q \rightarrow Q \vee P.$$

Of course we first assume  $P \vee Q$  happens and show  $Q \vee P$ . To do so we need to argue by cases using Disjunction elimination.

Case 1:  $P$  holds. In this case we will prove the right hand side of the disjunction in the goal. This is an assumption and by disjunction intro we are done.

Case 2:  $Q$  holds. In this case we will prove the left hand side of the disjunction in the goal. This is an assumption and by disjunction intro we are done.

Here is the spatchcoq version

```

Lemma ancomm( $P \ Q : Prop$ ) :  $P \vee Q \rightarrow Q \vee P$ .
Assume ( $P \vee Q$ ) then prove ( $Q \vee P$ ).
Consider cases based on disjunction in hypothesis Hyp.

```

at this point, there are two goals generated.

Goal

$P \ Q : Prop$   
 $Hyp0 : P$

$P \vee Q$

Goal

$P \ Q : Prop$   
 $Hyp1 : Q$

$P \vee Q$

These are easily eliminated by

```
Prove right hand side.
This follows from assumptions.
```

respectively

```
Prove left hand side.
This follows from assumptions.
```

Inference rules for negation

Perhaps this is a little hard to digest at first but the negation of  $P$  is the same thing as  $P \rightarrow False$ . Therefore the inference rules for negation are the same as those for implication. In particular, the negation introduction's logic statement is

$$\frac{\frac{P}{False}}{\neg P}.$$

Therefore This is an important statement to make and, indeed in SpatchCoq in order to deal with negation you will need to use “Rewrite goal using the definition of not.” respectively “Rewrite hypothesis H using the definition of not.”. To give an example we shall prove

$$P \rightarrow \neg\neg P$$

We of course first assume  $P$  and then prove  $\neg\neg P$ . To do this we first note that this is the same thing as  $(P \rightarrow False) \rightarrow False$  and so we assume  $P \rightarrow False$  and try to show  $False$ . Since now we know  $P \rightarrow False$  and  $P$ , we can use implication elimination to get  $False$ .

The proof in Spatchcoq is identical:

```
Lemma notnot(P : Prop) : P → ¬¬P.
Assume P then prove (not (not P)).
Rewrite goal using the definition of not.
Assume (P → False) then prove False.
Apply result (Hyp0 Hyp).
```



## Inference rules for equivalence

We will not insist here because  $P \leftrightarrow Q$  is the same as  $P \rightarrow Q \wedge Q \rightarrow P$  and so the inference rules are derivative. In particular in `spatchCoq` we use tactic :

“Prove both directions of  $P$  iff  $Q$ .”

as introduction rule in order to prove  $P \leftrightarrow Q$  and the tactic

“Eliminate the conjunction in hypothesis `Hyp`.”

to eliminate the hypothesis  $Hyp : P \leftrightarrow Q$ .

### 1.2.4 Constructive vs Classical (proofs by contradiction)

I mean, you could claim that anything's real  
if the only basis for believing in it is that  
nobody's proved it doesn't exist!

---

J.K. Rowling

Classical logic includes a certain axiom that the romans called “tertium non datur” or “the excluded middle”. This Axiom states that of  $P$  is a proposition then  $P \vee \neg P$  always hold.

At the beginning of the 20th century a number of mathematicians started debating the need for such an axiom. They came to be collectively called intuitionists. The trouble with that position was that it took away from the power of this axiom without necessarily offering something in return. The things you are able to prove are much more restrictive. As a consequence classical logic carried the day.

However at the end of the century, as Theoretical Computer Science started to gain strength and depth, excluding the excluded middle carried another promise: computability. Via the Curry-Howard correspondence, a “constructive proof” (i.e. one without the rule of excluded middle) is equivalent to the construction of a function. In particular, the familiar “proof by contradiction” relies on a variant of the excluded middle, namely the fact that the statements  $P$  and  $\neg\neg P$  are equivalent. We have seen that  $P \leftarrow \neg\neg P$  above but the other implication relies on classical logic.

Some “constructivists” argue that a proof of  $P$  should be a witness to its truth and not merely to the falsity of its negation (as it is the case with  $\neg\neg P$ ). The motto from JK Rowling does exactly that. This carries quite a bit of weight in the CS world even if not (yet) so much in the Mathematical world.

One of the main methods of “classical logic” is the so called “proof by contradiction”. In brief, if you want to prove  $P$  then you assume that  $P$  is false and then prove a contradiction. The `SpatchCoq` tactic “Prove by contradiction.” will transform the statement

Goal
...
$P$

into

Goal
...
$H : \neg P$
<i>False</i>

As an example of proof by contradiction, consider  $P$  a proposition and  $\neg\neg P$  its double negation. Are these two statements equivalent? We have proved above one of the implications. The converse, however is a bit stranger and requires a proof by contradiction.

<code>Lemma oneway (P:Prop): <math>\neg\neg P \rightarrow P</math>.          Assume (not (not P)) then prove P.</code>
----------------------------------------------------------------------------------------------------------------------------

at this point we have

Goal
$P : Prop$ $Hyp : not(not P)$
$P$

so we will employ

<code>Prove by contradiction.</code>
--------------------------------------

to get

Goal
$P : Prop$ $Hyp : not(not P)$ $H : not P$
<i>False</i>

The rest is quite standard.

Apply result Hyp .  
This follows from assumptions.

We are not ready to abandon the path of classicism and will assume excluded middle for now. We would however, try to eliminate needlessly using proofs “by contradiction”. This is a good point to look at the axiom “classic”. If we apply

Check classic.

we get the result

$$\text{classic} : \forall P : \text{Prop}, P \vee \neg P$$

Therefore, while not an actual independent tactic, applying

”Apply result classic.” will solve any goal that looks like

$$P \vee \neg P.$$

For example let us prove that

Lemma a (P Q:Prop): (P → Q) → (¬P ∨ Q).

We of course first use implication intros by applying

Assume (P → Q) then prove ((¬P) ∨ Q).

and get:

Goal

PQ : Prop  
Hyp : P → Q

¬P ∨ Q

At which point we are stuck without any obvious new possibility to advance. We note however that if P was true then we could use Hyp to obtain Q and if ¬P is true then we would have the disjunction automatically. Therefore we do

Claim (P ∨ ¬P).  
Apply result classic.

To have

Goal

$$PQ : Prop$$

$$Hyp : P \rightarrow Q$$

$$H : P \vee \neg P.$$

$$\neg P \vee Q$$

We now do a proof by cases that offers no surprises.

Consider cases based on disjunction in hypothesis H . Prove right hand side.  
 Apply result Hyp .  
 This follows from assumptions.  
 Prove left hand side.  
 This follows from assumptions.

Note that the converse is quite easier only requiring a proof by contradiction.

Lemma b  $(PQ : Prop) : (\neg P \vee Q) \rightarrow (P \rightarrow Q)$ .  
 Assume  $((\neg P) \vee Q)$  then prove  $(P \rightarrow Q)$ .  
 Assume P then prove Q.  
 Consider cases based on disjunction in hypothesis Hyp .  
 Prove by contradiction.  
 Apply result Hyp1.  
 This follows from assumptions.  
 This follows from assumptions.

See 1.5 for a rather surprising example of classical logic.

### 1.2.5 Know thine fallacies

$$\frac{Q \quad P \rightarrow Q}{P}$$

Consider the usual modus ponens rule.

Small variations can make this false. Consider for example the following argument from Mounty Python and the Holly Grail.

If it is Tuesday then I play poker  
 I play poker

---

It is Tuesday

This is one of the most common "formal" fallacy. It is called "Affirming the consequence". Recall that if  $P$  is false then  $P \rightarrow Q$  is automatically true if  $P$  is false and so the deduction above does not hold. It is, however, remarkably prevalent in public discourse especially in advertising

### 1.2.6 A puzzle

We will now use a puzzle to give a more serious example of propositional calculus, its inference rules and their implementation in SpatchCoq.

The puzzle, "the lady or the Tiger" comes from the book "The Lady Or the Tiger?: And Other Logic Puzzles" by Raymond M. Smullyan. It is slightly adapted for the 21st century.

A prisoner is offered the choice between two doors. Behind each door he could find either the key to his freedom or a very hungry tiger.

- The clue on the first door reads "the key to your freedom is in this room and the tiger in the other".
- The clue on the second door reads "one of the rooms contains the key to your freedom and the other room the tiger."
- He knows that one of the two clues is correct and the other is incorrect.

What would you do in his place?

We will formalise the questions as follows: We will denote by  $P$  the proposition "the first room contains the key to freedom" and by  $Q$  the proposition "the second room contains the key to freedom". Of course  $\neg P$  means "the first room contains the tiger" and  $\neg Q$  means "the second room contains the tiger".

The clue on the first door is "the key to your freedom is in this room and the tiger in the other" which can be written as

$$D1 : P \wedge \neg Q$$

.

The second door clue is "one of the rooms contains the key to your freedom and the other room the tiger." which can be rewritten as "**either** the first room has the key and the second the tiger **or** the first room has the tiger and the second the key" so we can write it as:

$$D2 : (P \wedge \neg Q) \vee (\neg P \wedge Q).$$

The fact that exactly one clue is correct and the other is incorrect can be written as "**either** the first door is correct and the second incorrect **or** the first door is incorrect and the second is correct". This can be written as  $(D1 \wedge \neg D2) \vee (\neg D1 \wedge D2)$  which expands to

$$((P \wedge \neg Q) \wedge \neg((P \wedge \neg Q) \vee (\neg P \wedge Q))) \vee (\neg(P \wedge \neg Q) \wedge ((P \wedge \neg Q) \vee (\neg P \wedge Q))).$$

This looks horrible. We will however show that the second room has the key, that is  $Q$ .

For example, the statement we want to prove is

$$(D1 \wedge \neg D2) \vee (\neg D1 \wedge D2) \rightarrow Q.$$

We can set-up SpatchCoq with

```
Variables P Q:Prop.
```

to define the two propositions  $P$  and  $Q$ . Then define

```
Definition D1:= P ∧ ¬Q.
```

```
Definition D2:= (¬P ∧ Q) ∨ (P ∧ ¬Q).
```

```
Definition onlyone:= (D1 ∧ ¬D2) ∨ (¬D1 ∧ D2).
```

```
Lemma a: onlyone → Q.
```

After applying the tactic

```
Assume onlyone then prove Q.
```

we get

Goal

*Hyp : onlyone*

*Q*

We now use the tactic that we used for not:

```
Rewrite hypothesis Hyp using the definition of onlyone.
```

to get

Goal

$$\text{Hyp} : (D1 \wedge (\text{not } D2)) \vee ((\text{not } D1) \wedge D2)$$

$$Q$$

We now use

Consider cases based on disjunction in hypothesis Hyp .

to get two new goals

Goal

$$\text{Hyp0} : D1 \wedge (\text{not } D2)$$

$$Q$$

and

Goal

$$\text{Hyp1} : \text{not } D1 \wedge D2$$

$$Q$$

Eliminate the conjunction in hypothesis Hyp0.  
 Rewrite hypothesis Hyp1 using the definition of D2.  
 Rewrite hypothesis Hyp using the definition of D1.

brings us to

Goal

$$\begin{aligned} \text{Hyp} &: (P \wedge (\text{not } Q)) \\ \text{Hyp1} &: \text{not } (((\text{not } P) \wedge Q) \vee (P \wedge (\text{not } Q))) \end{aligned}$$

$$Q$$

we will now use the proof by contradiction (see ??)

Prove by contradiction.

to get

Goal

$Hyp : (P \wedge (\text{not } Q))$   
 $Hyp1 : \text{not } (((\text{not } P) \wedge Q) \vee (P \wedge (\text{not } Q)))$   
 $H : \text{not } Q$

$False$

We note that Hyp1 is of type (not X) that is  $(X \rightarrow False)$  and so we can apply it (as in the backward proof mentioned above)

Apply result Hyp1

gives

Goal

$Hyp : (P \wedge (\text{not } Q))$   
 $Hyp1 : \text{not } (((\text{not } P) \wedge Q) \vee (P \wedge (\text{not } Q)))$   
 $H : \text{not } Q$

$((\text{not } P) \wedge Q) \vee (P \wedge (\text{not } Q))$

Now we note that Hyp is exactly the right hand side of the disjunction so we can use.

Prove right hand side.  
 This follows from assumptions.

to finish up this part of the proof.

we are now left with

Goal

$Hyp1 : \text{not } D1 \wedge D2$

$Q$

and, as above we do

Eliminate the conjunction in hypothesis Hyp1.  
 Rewrite hypothesis Hyp using the definition of D1.  
 Rewrite hypothesis Hyp0 using the definition of D2.

to get:



**Goal**
$$\text{Hyp} : \text{not } (P \wedge (\text{not } Q))$$
$$\text{Hyp0} : (((\text{not } P) \wedge Q) \vee (P \wedge (\text{not } Q)))$$
$$Q$$

Since Hyp0 is a disjunction we do

Consider cases based on disjunction in hypothesis Hyp0 .

To get again a case by case analysis.

**Goal**
$$\text{Hyp} : \text{not } (P \wedge (\text{not } Q))$$
$$\text{Hyp1} : (\text{not } P) \wedge Q$$
$$Q$$

and

**Goal**
$$\text{Hyp} : \text{not } (P \wedge (\text{not } Q))$$
$$\text{Hyp2} : P \wedge (\text{not } Q)$$
$$Q$$

In the first case we use

Eliminate the conjunction in hypothesis Hyp1 .  
This follows from assumptions.

and in the second we prove by contradiction

Prove by contradiction.  
Apply result (Hyp Hyp2).  
Qed.

### 1.2.7 On the way to the barbershop

I'd like to thank Richard Kaye for introducing me to this “paradox”. In a paper published in 1894 called “A logical paradox” Lewis Carroll presents the following situation:

Two uncles want to go the barbershop. There are three barbers, Allen Brown and Carr. We are told that at least one of them has to be in at all times. Also we know that Allen “ever since he had that fever he’s been so nervous about going out alone, he always takes Brown with him.”

One of the uncles then argues that Carr has to be home. The argument is a proof by contradiction. Suppose that Carr is out. Then if Allen is out then Brown will have to be in since somebody should be in the shop. On the other hand if Allen is out the Brown will have to be out as well on account of Allen’s nervousness. Therefore Carr being out generates two contradictory statements “if Allen is out then Brown is in” and “if Allen is out then Brown is out” and so Carr must be in.

This is a remarkable statement. It is reasonably easy to see what is wrong in today’s terms (we shall write a careful argument in a moment) but at the end of the 19th century this was serious stumbling block for logicians. In fact in his 1903 book “the Principles of Mathematics”, Bertrand Russell writes:

“The principle that false propositions imply all propositions solves Lewis Carroll’s logical paradox in Mind, N. S. No. 11 (1894). The assertion made in that paradox is that, if  $p$ ,  $q$ ,  $r$  be propositions, and  $q$  implies  $r$ , while  $p$  implies that  $q$  implies not- $r$ , then  $p$  must be false, on the supposed ground that  $q$  implies  $r$  and  $q$  implies not- $r$  are incompatible. But in virtue of our definition of negation, if  $q$  be false both these implications will hold: the two together, in fact, whatever proposition  $r$  may be, are equivalent to not- $q$ . Thus the only inference warranted by Lewis Carroll’s premisses is that if  $p$  be true,  $q$  must be false, i.e. that  $p$  implies not- $q$ ; and this is the conclusion, oddly enough, which common sense would have drawn in the particular case which he discusses”.

Indeed the principle that if  $p$  is false then  $p \rightarrow q$  is true, as seen in the truth table of the implications was something that was only formalised by Russell. In fact he states that  $p \vee q$  is equivalent to  $(p \rightarrow q) \rightarrow q$  (see for example 1.2.7).

Note that B Russell already suggests the answer to the parable. The two statements only prove that if Carr is out then Allen must be in. Let us prove that ins Spatchcoq.

We will define 3 propositions, Allen, Brown and Carr to mean that the corresponding people are in and state two axioms,  $\text{notAllen} \rightarrow \text{notBrown}$  and  $\text{Allen} \vee \text{Brown} \vee \text{Carr}$  and we prove that  $\text{notCarr} \rightarrow \text{Allen}$ .

```
Variables Allen Brown Carr :Prop.
Axiom some: Allen ∨ Brown ∨ Carr.
Axiom AB: not Allen ->not Brown.
Lemma A: not Carr -> Allen.
```

Now we assume that Carr is out and prove that Allen must be in. We use the axiom some to get  $\text{Allen} \vee (\text{Brown} \vee \text{Carr})$ .

Assume (not Carr) then prove Allen.  
 Claim  $(Allen \vee Brown \vee Carr)$ .  
 Apply result some.

We get

Goal

$Hyp : notCarr$   
 $H : Allen \vee Brown \vee Carr$

$Allen$

The next step is to consider the two cases: either Allen is home or one of Brown or Carr must be home. If Allen is home then we are done.

Consider cases based on disjunction in hypothesis H .  
 This follows from assumptions.

We now have

Goal

$Hyp : notCarr$   
 $Hyp1 : Brown \vee Carr$

$Allen$

We will prove this by contradiction:

Prove by contradiction.

To get

Goal

$Hyp : notCarr$   
 $Hyp1 : Brown \vee Carr$   
 $H : notAllen$

$False$

We again consider two cases, either Brown happens or Carr happens. In the first case we use the axiom AB

Consider cases based on disjunction in hypothesis Hyp1 .  
 Apply result AB.  
 This follows from assumptions. This follows from assumptions.

We are left with the last case:

Goal

*Hyp* : *notCarr*  
*Hyp2* : *Carr*  
*H* : *notAllen*

*False*

Ans so we can finish by applying modus ponies

Apply result (Hyp Hyp2) .

### Exercises

assume  $P \rightarrow P$ .

left  $P \rightarrow P \vee Q$ .

distr  $P \wedge (Q \vee R) \rightarrow P \wedge Q \vee (P \wedge R)$ .

contrap  $(P \rightarrow Q) \rightarrow (\neg Q \rightarrow \neg P)$

implies  $(P \rightarrow Q) \rightarrow (\neg P \vee Q)$ .

deMorgan  $\neg(P \vee Q) \rightarrow (\neg P \wedge \neg Q)$ .

impand  $((P \rightarrow Q) \wedge (P \rightarrow R)) \leftrightarrow (P \rightarrow (Q \wedge R))$

impor  $((P \rightarrow Q) \vee (P \rightarrow R)) \leftrightarrow (P \rightarrow (Q \vee R))$

andimp  $(P \rightarrow (Q \rightarrow R)) \leftrightarrow ((P \wedge Q) \rightarrow R)$ .

andorimp  $((P \rightarrow R) \wedge (Q \rightarrow R)) \leftrightarrow ((P \vee Q) \rightarrow R)$

orandimp  $((P \rightarrow R) \vee (Q \rightarrow R)) \leftrightarrow ((P \wedge Q) \rightarrow R)$

triplenot  $\neg(\neg(\neg P)) \leftrightarrow \neg P$

twoone  $(P \vee Q) \wedge \neg P \rightarrow Q$

twotwo  $\neg Q \wedge (P \rightarrow Q) \rightarrow \neg P$

twothree  $C \wedge (A \rightarrow B) \wedge (C \rightarrow (A \rightarrow \neg B)) \rightarrow \neg A$

twofour  $(P \vee Q) \wedge (\neg P \vee R) \rightarrow Q \vee R.$

Russell  $(P \vee Q) \leftrightarrow ((P \rightarrow Q) \rightarrow Q)$

### 1.3 Predicate calculus

Nice as it might be, propositional calculus is not complete enough to express what we want. Here are some example of statements that we would like to deal with

- The equation  $x^2 + x + 1 = 0$  does not have any solution.
- Some people like bread and some do not.
- If  $a, b, c$  are natural numbers,  $a|b \wedge a|c \rightarrow a|(b + c)$ .
- Any differentiable function is continuous.

All these require more general notion than that of a proposition, that of a predicate. For example  $x > 0$  might or might not be true depending on  $x$ . We can view this as a function from  $\mathbb{R}$  to the set of propositions or as a set of propositions, parametrised by  $\mathbb{R}$ .

This exactly the meaning of a predicate, it is a collection of propositions parametrised by a context (type). More precisely a predicate is a function  $P : U \rightarrow Prop$ .

Here are some predicates.

- $P(x)$ :  $x^2 + x + 1 = 0$  (here  $x$  is a real number).
- $P(p)$ :  $p$  is a prime. (here  $p$  is a natural number)
- $P(x)$  :  $x$  is a man. (here  $x$  is an animal)
- $P(x, y)$  :  $x > y$ . (here both  $x$  and  $y$  are real numbers and so  $P : \mathbb{R}^2 \rightarrow Prop$ ).

Of course you cannot really prove predicates, just statements. Predicates have “free” variables and those need to be “quantified”. We define two quantifiers ( $\forall$  - forall and  $\exists$ -exists) that bind variables. As with connectors for propositions they have introduction and elimination rules.

#### 1.3.1 Quantifiers, free and bound variables.

As mentioned above, a predicate is a function which takes values in  $Prop$ . As such it has at least free variable (we might consider several variable predicates). There are two ways to bind predicates, the existential and the universal quantifier. You have used both of them in a somewhat informal way. Very often you see the following colloquial statements.

” Show that  $x^2 > 0$ . ”

This is formally incorrect and its correct statement is : ” Show that for any real number  $x$ ,  $x^2 > 0$ . ” The second statement is false since  $x = 0$  is a counterexample. The first one is not a statement unless  $x$  has been defined earlier and, if it has, it might be true or false.

The **existential quantifier** is denoted by  $\exists$ . Its meaning is quite self explanatory. If  $P : U \rightarrow Prop$  is a predicate then  $\exists x : U, P(x)$  is a proposition which is true if you can find an  $x$  so that  $P(x)$

is true. Note that in SpatchCoq you can enter this either by clicking on the symbol or by typing exists.

For example  $\exists x : \mathbb{R}, x^2 + x + 1 = 0$ . means that the equation  $x^2 + x + 1 = 0$  has a solution. Therefore our first example of the section “The equation  $x^2 + x + 1 = 0$  does not have any solution.” can be written as  $\neg(\exists x : \mathbb{R}, x^2 + x + 1 = 0)$ .

If we consider the predicate “ $P(x) : x$  likes bread” on the set People of all people then “Some people like bread and some do not.” can be written as  $(\exists x : \text{People}, P(x)) \wedge (\exists x : \text{People}, \neg P(x))$ .

The **universal quantifier** is denoted by  $\forall$ . As with the existential quantifier, the meaning of this is natural, the proposition  $\forall a, P(a)$  will hold if the propositions  $P(x)$  will hold no matter what  $x$  is. Note that in SpatchCoq you can enter this either by clicking on the symbol or by typing forall.

Note that you can encounter this in many forms. Here are some examples:

”All square integers are non-negative” is the same thing as  $\forall x \in \mathbb{Z}, x^2 \geq 0$ .

”The sum of any two odd numbers is even” is the same thing as  $\forall x \in \mathbb{Z} \forall y \in \mathbb{Z}, \text{odd}(x) \wedge \text{odd}(y) \rightarrow \text{even}(x + y)$ .

”Anybody has a friend” is the same thing as  $\forall x, \exists y, \text{friend}(x, y)$ .

Note that bound variables can be renamed. For example  $\forall x, \exists y, \text{friend}(x, y)$  is the same as  $\forall y, \exists x, \text{friend}(y, x)$ . They are also local variables so they can be reused. for example  $\forall x, P(x) \rightarrow \exists y, P(y)$  can be also writtnen as  $\forall x, P(x) \rightarrow \exists x, P(x)$ . However one needs to be careful doing this.

## Inference rules

The **existential introduction** rule: if you have a way to prove  $P(a)$  for some  $a : U$  then you have proved  $\exists x : U, P(x)$ . In logic notation this is

$$\frac{P(a)}{\exists x : U, P(x)}.$$

In Spatchcoq the tactic that you need in this case is “Prove the existential claim is true for a.”. In order to apply this tactic you need the goal to be of the form  $\exists x : U, P(x)$  and if you apply it you now need to prove  $P(a)$ .

Here is a very simple example. Suppose you want to prove that  $\exists x, x^2 = 4$ . To do so we note that  $2^2 = 4$  and so by existential introsductio the result is true. The proof in spatchcoq is

```
Lemma triv: ∃ n : nat, n² = 4.
Prove the existential claim is true for 2.
This follows from reflexivity.
```

The **existential elimination** rule: that if you have a hypothesis of the form  $\exists x, P(x)$  then you can deduce  $P(a)$  for some  $a$ . The logic form is

$$\frac{\exists x : U, P(x).}{P(a) \text{ for some } a}$$

The corresponding SpatchCoq tactic is “Fix VAR the existentially quantified variable in VAR.”. More precisely if you have a goal that looks like

Goal
$H : \exists x : U, P(x)$
...

then the tactic “Fix a the existentially quantified variable in H.” will produce a new goal of the form

Goal
$a : U$
$H : P(a)$
...

The **universal elimination** rule: you know  $\forall x, P(x)$  you can deduce  $P(a)$  regardless of  $a$ . The logical notation you is

$$\frac{\forall x : U, P(x).}{P(a) \text{ for any } a}$$

The SpatchCoq tactic is a bit harder to explain. If you have

Goal
$H : \forall x : U, P(x)$
...

you can use

”Obtain P(a) using variable a in the universally quantified hypothesis H.”

To exemplify this we first consider the following statement

$$\forall x : U, Px \rightarrow \exists x : U, Px.$$

Nothing simpler than that right? If a statement is true for all possible values then is of course true for some value. Except for the case where there are no elements of type  $U$  at all. In that case the statement  $\forall x : U, Px$  will be true but the statement  $\exists x : U, Px$  will be false<sup>2</sup>.

<sup>2</sup> Sounds confusing? Does it remind you of another confusing constructor? If you said ”implies” then you were right. In fact implies is syntactic sugar for a special case of forall. More precisely  $P \rightarrow Q$  is the same thing as  $\forall a : P, Q$ ,



To remedy that, we shall assume the the type  $U$  is nonempty. Here is a proof of the statement

```
Variable U:Type.
Lemma a( a:U)(P:U → Prop): (∀x:U, Px) → ∃x, Px.
Assume (∀x:U, Px) then prove (∃x:U, Px).
Obtain (P a) using variable a in the universally quantified hypothesis Hyp.
Prove the existential claim is true for a.
This follows from assumptions.
```

Coq is good at universal elimination and can often match the value of the variable and so if the statement is something like this

Goal

```
a : U
H : ∀x : U, Px
```

```
Pa
```

then just using

Apply result H.

finishes the proof. For example the proof above can be done as follows:

```
Variable U:Type.
Lemma a( a:U)(P: U->Prop): (∀x:U, Px) → ∃x, Px.
Assume (∀x:U, Px) then prove (∃x:U, Px).
Prove the existential claim is true for a.
Apply result Hyp.
```

The **universal introduction** rule: in order to prove  $\forall x : U, P(x)$ , you fix a random  $a : U$  and prove that  $P(a)$  holds. The logical notation is:

$$\frac{P(a) \text{ for all } a}{\forall x : U, P(x)}$$

The corresponding SpathCoq tactic works as follows: Suppose the goal is

Goal

```
...
```

```
∀x : U, P(x)
```

Then the tactic Fix an arbitrary element a.

that is for the statement that if you know a proof for  $P$  you get one for  $Q$ . We will not insist here, the interested reader can have a look at [\[2\]](#)

produces the goal

Goal
$a : U$
$P(a)$

## 1.4 More Logic puzzles

### 1.4.1 Some examples

Lewis Carroll is mostly known for the delightfully absurd stories of “Alice’s Adventures in Wonderland” and “Through the Looking-Glass”. The man behind the pseudonym was Charles Lutwidge Dodgson, an Oxford mathematician with interests in linear algebra, geometry and logic. Toward the end of his life he started to write a treaty of logic called “Symbolic Logic”. He had planned the treaty to have three parts but, unfortunately, he only lived to see “PART I ELEMENTARY” published. In the intro to the book he mentions that

I have a quantity of MS. in hand for Parts II and III, and hope to be able – should life, and health, and opportunity, be granted to me, to publish them in the course of the next few years. Their contents will be as follows:

PART II. ADVANCED. Further investigations in the subjects of Part I. Propositions of other forms (such as “Not-all  $x$  are  $y$ ”). Triliteral and Multiliteral Propositions (such as “All  $abc$  are  $de$ ”). Hypotheticals. Dilemmas. &c. &c.

Part III. TRANSCENDENTAL. Analysis of a Proposition into its Elements. Numerical and Geometrical Problems. The Theory of Inference. The Construction of Problems. And many other Curiosa Logica.

The book is rather technical and some of the methods exposed there have been overtaken by more modern notations. Nevertheless there are many wonderfully quirky logical puzzles (syllogisms). They come in the form of a number of propositions of type  $P \rightarrow Q$  where  $P$  and  $Q$  are propositions including quantifiers. Here is an example:

All lions are fierce;  
Some lions do not drink coffee.  
Some fierce creatures do not drink coffee.

The first two are hypotheses (axioms) and the third is the conclusion (lemma). We will formalise the statements in Spatchcoq and, while doing so we will introduce some the concept of notation. This will allow us to write more natural looking text.

We start, as before with defining some variables. We first define a “set of beings” and then a set of predicate on all beings. These predicates are: “Lion”, “Fierce” and “Coffee”.

```
Variable Beings:Set.
Variables Lion Fierce Coffee:Beings->Prop.
```

Next we introduce notations, note the use of ' ' to bound the words in those notations. The (at level 10) is a mandatory field, the lower the level the closer the brackets. For example if we use (at level 0) for “x is a lion” then it will be printed as “(x) is a lion”. If we use a higher level it will be printed as “(x is a lion)”.

```
Notation "x 'is' 'a' 'lion'" := (Lion x) (at level 10).
Notation "x 'is' 'fierce'" := (Fierce x) (at level 10).
Notation "x 'drinks' 'coffee'" := (Coffee x) (at level 10).
```

Finally we introduce the two axioms and the Lemma:

```
Axiom LF: forall x, x is a lion -> x is fierce.
Axiom LC: exists x, x is a lion ^ not (x drinks coffee).
Lemma coffee: exists a, not(a drinks coffee) ^ (a is fierce).
```

Now in order to prove the lemma we first will find a being that is a lion and does not drink coffee. To do that we use the axiom LC.

```
Claim (exists x, x is a lion ^ not (x drinks coffee)).
Apply result LC.
```

The result is :

Goal

$$(\exists x : \text{Beings}, (x \text{ is a lion}) \wedge (\text{not}(x \text{ drinks coffee})))$$

$$(\exists a : \text{Beings}, (\text{not}(a \text{ drinks coffee})) \wedge (a \text{ is fierce}))$$

Now we shall fix the element that is a lion and does not drink coffee and prove that is fierce and does not drink coffee. The proof is standard.

```
Fix b the existentially quantified variable in H .
Prove the existential claim is true for b.
Eliminate the conjunction in hypothesis H.
Prove the conjunction in the goal by first proving (not (b drinks coffee))
then (b is fierce).
This follows from assumptions.
Apply result LF.
This follows from assumptions.
```

**Exercises**

For each of the following deduce whether the third statement follows from the other two and if it does write a formal proof.

1. No doctors are enthusiastic;  
You are enthusiastic.  
You are not a doctor.
2. Dictionaries are useful;  
Useful books are valuable.  
Dictionaries are valuable.
3. No misers are unselfish;  
None but misers save egg-shells.  
No unselfish people save egg-shells.
4. Some epicures are ungenerous;  
All my uncles are generous.  
My uncles are not epicures.
5. Gold is heavy;  
Nothing but gold will silence him.  
Nothing light will silence him.
6. I saw it in a newspaper.  
All newspapers tell lies.  
It was a lie.
7. Some cravats are not artistic;  
I admire anything artistic.  
There are some cravats that I do not admire.
8. His songs never last an hour;  
A song, that lasts an hour, is tedious.  
His songs are never tedious.
9. Some candles give very little light;  
Candles are meant to give light.  
Some things, that are meant to give light, give very little.
10. All, who are anxious to learn, work hard;  
Some of these boys work hard.  
Some of these boys are anxious to learn.

## 1.5 Proof by contradiction and the Drinker's Paradox

This is a very interesting side effect of classical logic. It was popularised by R Smullyan. The statement is as follows:

In any pub there is a customer so that if he drinks then everybody drinks.

This sounds very counterintuitive but the proof is very nice and it will test your understanding of predicate calculus. In particular there will be a few applications of "proof by contradiction" and one of "Apply result classic." The idea is that you consider two cases. If everybody Drinks then there is no problem, you can pick anybody as your witness. The more difficult case is when not everybody drinks. You then pick one person that does not drink and the statement will still be true. While the idea is quite clear, writing a complete formal proof is rather difficult.

To fix the notations let say that  $U$  is the people in the bar and that  $Drinks : U \rightarrow Prop$  is the predicate that verifies if somebody drinks, With this notation, our paradox becomes:

$$\exists x, (Drinks\ x \rightarrow \forall y, Drinks\ y). \quad (1.1)$$

Note that the brackets are essential. Indeed, the statement

$$(\exists x, Drinks\ x) \rightarrow (\forall y, Drinks\ y)$$

is quite obviously false.

We will go through the proof in SpatchCoq explaining each step.

We start by introducing some variables and state the Lemma. We first define a type called Customers which should be viewed as the "set" of customers<sup>3</sup>. Then we ask for an element  $a$  in this type and a predicate Drinks that tells you whether customers drink. The statement of the lemma is now identical to 1.1.

```
Variable (Customers:Type)(a:Customers)(Drinks: Customers->Prop).
Lemma drinker: ∃ x:Customers, (Drinks x -> ∀ y:Customers, Drinks y).
```

Alternatively we could have done away with Variables and write in one line at the cost of readability.

```
Lemma drinke (Customers:Type)(a:Customers)(Drinks: Customers->Prop).r: ∃
x:Customers, (Drinks x -> ∀ y:Customers, Drinks y).
```

The next step is a nonconstructive one. We will claim that either all customers drink or not all customers drink. This is a seemingly silly statement but recall Subsection 1.2.4. We immediately prove it by using the result classical.

<sup>3</sup> This is a type rather than a set. The interested reader should read [E](#)

Claim  $((\forall y : \text{Customers}, \text{Drinks } y) \vee \text{not } (\text{forall } y : \text{Customers}, (\text{Drinks } y)))$ .  
 Apply result classic.

to get the following:

Goal

$H : (\forall y : \text{Customers}, \text{Drinks } y) \vee (\neg(\forall y : \text{Customers}, \text{Drinks } y))$

$\exists x : \text{Customers}, (\text{Drinks } x \rightarrow (\forall y : \text{Customers}, \text{Drinks } y))$

We now execute an or elimination (proof by cases) in H.

Consider cases based on disjunction in hypothesis H.

to obtain two new goals:

Goal

$H : (\forall y : \text{Customers}, \text{Drinks } y)$

$\exists x : \text{Customers}, (\text{Drinks } x \rightarrow (\forall y : \text{Customers}, \text{Drinks } y))$

and respectively

Goal

$\neg(\forall y : \text{Customers}, \text{Drinks } y)$

$\exists x : \text{Customers}, (\text{Drinks } x \rightarrow (\forall y : \text{Customers}, \text{Drinks } y))$

This first goal is quite easy to prove. Since we already know that  $\forall y : \text{Customers}, \text{Drinks } y$  holds (that is that everybody drinks) then it does not matter which x we pick so we will pick a and prove it. More precisely we do:

Prove the existential claim is true for a.  
 Assume (Drinks a) then prove (forall y : Customers, Drinks y).  
 This follows from assumptions.

We are now left with case where not everybody drinks. Of course we will pick the one person that does not drink. In SpatchCoq this is a bit more elaborate. We first have to prove that there is somebody that does not drink. We claim this and prove it by contradiction.

Claim (exists  $x$ :Customers, not (Drinks  $x$ )).  
Prove by contradiction.

to get

Goal

$Hyp0 : \neg(\forall y : Customers, Drinks\ y)$   
 $H : \neg(\exists x : Customers, neg(Drinks\ x))$

*False*

Note that Hyp0 is a negation and (that is of type  $P \rightarrow False$ ) so we can use implication elimination :

Apply the result Hyp0.

an so we now only need to prove  $(\forall y : Customers, Drinks\ y)$ , the goal is

Goal

$Hyp0 : \neg(\forall y : Customers, Drinks\ y)$   
 $H : \neg(\exists x : Customers, neg(Drinks\ x))$

$(\forall y : Customers, Drinks\ y)$

We now fix an arbitrary element  $x$  (universal introduction) and again try to prove by contradiction:

Fix an arbitrary element  $x$ .  
Prove by contradiction.

to get

Goal

$Hyp0 : \neg(\forall y : Customers, Drinks\ y)$   
 $H : \neg(\exists x : Customers, neg(Drinks\ x))$   
 $x : Customers$   
 $H0 : \neg(Drinks\ x)$

*False*

We now use implication elimination again, this time on H.

Apply result H .

and so we only need to prove  $\exists x0 : Customers, neg(Drinks\ x0)$ . We already know from  $H0 : \neg(drinks\ x)$  that the customer  $x$  does not drink and so

Prove the existential claim is true for  $x$ .  
This follows from assumptions.

will finish the proof of "Claim (exists  $x$ :Customers, not (Drinks  $x$ ))."

Note that, if we were willing to use library theorems, we could obtained the same claim have searched and used the right theorem as follows: First execute search

SearchPattern (not (forall \_ , \_)->\_).

to get an theorem

$$\text{not\_all\_ex\_not} : \forall (U : Type) (P : U \rightarrow Prop), \neg(\forall n : U, \neg P\ n) \implies \exists n : U, P\ n$$

We now use this to opbtain our claim.

Obtain (exists  $n : Customers$ , not Drinks  $n$ ) applying (not\_all\_ex\_not Customers Drinks) to Hyp0.

Either way the claim looks like

Goal

$Hyp0 : \neg(\forall y : Customers, Drinks\ y)$   
 $H : \exists x : Customers, neg(Drinks\ x)$

$\exists x : Customers, (Drinks\ x \rightarrow (\forall y : Customers, Drinks\ y))$

A standard existential elimination followed by an existential introduction and an implication introduction. that is

Fix  $b$  the existentially quantified variable in  $H$  .  
Prove the existential claim is true for  $b$ .  
Assume (Drinks  $b$ ) then prove (forall  $y : Customers$ , Drinks  $y$ ).

and we are left with



## Goal

*Hyp0* :  $\neg(\forall y : \text{Customers}, \text{Drinks } y)$   
*b* : *Customers*  
*H* : *not*(*Drinks b*)  
*HypDrinksb*

$(\forall y : \text{Customers}, \text{Drinks } y)$

Now H and Hyp finish the proof by contradiction.

Prove by contradiction.  
 Apply result H .  
 This follows from assumptions.  
 Qed.

For conformity here is the full proof bellow:

Variable (Customers:Type)(a:Customers)(Drinks: Customers->Prop).  
 Lemma drinker:  $\exists x:\text{Customers}, (\text{Drinks } x \rightarrow \forall y:\text{Customers}, \text{Drinks } y)$ .  
 Claim  $((\forall y:\text{Customers}, \text{Drinks } y) \vee \text{not } (\text{forall } y:\text{Customers}, (\text{Drinks } y)))$ .  
 Apply result classic.  
 Consider cases based on disjunction in hypothesis H .  
 Prove the existential claim is true for a.  
 Assume (Drinks a) then prove (forall y : Customers, Drinks y).  
 This follows from assumptions.  
 Claim (exists x:Customers, not (Drinks x)).  
 Prove by contradiction.  
 Apply result Hyp0 .  
 Fix an arbitrary element x.  
 Prove by contradiction.  
 Apply result H .  
 Prove the existential claim is true for x.  
 This follows from assumptions.  
 Fix b the existentially quantified variable in H .  
 Prove the existential claim is true for b.  
 Assume (Drinks b) then prove (forall y : Customers, Drinks y).  
 Prove by contradiction.  
 Apply result H .  
 This follows from assumptions.  
 Qed.

## 1.6 Proof methods, a quick review.

You have now acquire a reasonably consistent bag of tricks (proof methods) both informally and formally (in SpatchCoq). You have only used them in the laboratory (aka predicate calculus) so far and so I think this is the place to review them before deploying to the “wild” world of Mathematics. We will produce two tables than one can use as a “cheat sheets” for predicate calculus. For example if one of your hypotheses is  $P \vee Q$  then you can look at the corresponding line in Table:1.1 and see that you should probably use the tactic “Consider cases based on disjunction in hypothesis H.”. Similarly if your conclusion was  $P \wedge Q$  you can look at the corresponding row in Table:1.2 and see that you probably should use the tactic “Prove the conjunction in the goal by first proving P then Q.”.

**Table 1.1** How to use hypotheses

Hypothesis Theorem	Conclusion	Informal method	Formal tactic	Result
H:P	P	“This follows from assumptions.” or “This follows from theorem H.”	“Apply result H.” or “This follows from assumptions.” or “This is trivial.”	done
H : $P \rightarrow Q$	Q	“By H it suffices to prove P.”	“Apply result H.”	Conclusion is P.
H: $P \wedge Q$	-	“From H you know both P and Q”.	“Eliminate the conjunction in hypothesis H.”	new hypotheses H1:P H2:Q
H: $P \vee Q$	-	“Case by case analysis.”	Consider cases based on disjunction in hypothesis H.	two goals H1:P — H2:Q —
H: $P \leftrightarrow Q$	-	“From H you know both $P \rightarrow Q$ and $Q \rightarrow P$ ”.	“Eliminate the conjunction in hypothesis H.”	new hypotheses H1 : $P \rightarrow Q$ H2: $Q \rightarrow P$
H: $\exists x : U, P(x)$	-	Fix x so that P(x)	Fix x the existentially quantified variable in H.	new hypotheses x:U H: P(x)
a:U H: $\forall x : U, P(x)$	-	From H we get P(a)	Obtain P(a) using variable a in the universally quantified hypothesis H	new hypotheses H: P(a)

**Table 1.2** How to modify conclusions

Hypothesis Theorem	Conclusion	Informal method	Formal tactic	Result
-	$P \rightarrow Q$	"We assume P and prove Q"	"Assume P then prove Q."	new goal H:P — Q
-	$P \wedge Q$	"First prove P and then Q"	"Prove the conjunction in the goal by first proving P then Q."	new goals - — P — - — Q
-	$P \vee Q$	"Prove P"	"Prove P in the disjunction." or "Prove left hand side."	new goal - — P
-	$P \vee Q$	"Prove Q"	"Prove Q in the disjunction." or "Prove right hand side."	new goal - — Q
-	$\neg P$	Note that means we need to prove the P implies False.	"Rewrite goal using the definition of not."	new goal P $\rightarrow$ False
-	$H:\exists x : U, P(x)$	Prove P(a)	"Prove the existential claim is true for a."	new goal P(a)
-	$H:\forall x : U, P(x)$	Fix a random x	"Fix an arbitrary element x."	new goal a:U — P(a)
-	$P$	"Prove by contradiction."	"Prove by contradiction."	new goal H: $\neg P$ — False



## Chapter 2

# Set Theory

### 2.1 Introduction

The topic of Set Theory is at the very heart of Foundation of Mathematics. It is just about the simplest construction in the world, a set is just a bunch of object right? Indeed, before the 20th century a set was rather informally considered to be just any collection of elements. This approach is now described as “naive set theory”. The problem with this approach is that it allows for self referential definitions that quickly run into paradoxes. These paradoxes were first introduced by Bertrand Russell, based on the ancient Liar’s paradox.

The paradoxes in the story mainly deal with constructions related to the “set of all sets”. The commonly accepted solution is due to Ernst Zermelo and Abraham Fraenkel (and it is now called ZF (or ZFC) theory) and proposes an “axiomatic set theory”. This means that we only allow sets that are built via a certain collection of axioms. We will describe this briefly bellow a little below but introducing all the subtleties of Axiomatic Set Theory would warrant a separate book. We choose to avoid such details by restricting to subsets of a given “predefined” set  $U$ . This avoids issues with any self referential sets and all paradoxes below. The interested reader is referred to [citations for ZFC](#).

As a side remark, Russell’s own solution to the problem that arose from “the set of all sets” type paradoxes was quite different from the ZFT approach. He proposed a “type theory” [cite for types](#) which introduced a theory of ever increasing Universes so that the objects of a type  $n$  are sets of objects of type  $n-1$ . His constructions were simplified over the years especially with the development Computer Science. They form the basis of most of the modern type systems, in particular of Thierry Coquand’s calculus of constructions (CoC) which is the basis of Coq’s (and SpatchCoq’s) own construction of types. A more detailed exposure of this in [Appendix E](#).

Our formal approach in SpatchCoq is developed in two steps. The first one ([Section 2.2](#)) uses bespoke definitions based on a small modification of the Library Ensembles from Coq. To keep up with the library we will call our formal versions of sets Ensembles (the French word for set). This uses direct definitions and it is very useful to understand the definitions and the connections between Sets and propositional calculus. Nevertheless it gets quite unruly if you need to do longer proof and so the second step is to use the library Ensembles and its inductive definitions.

**!Warning!**

Note that the definitions that we give in Section 2.2 are different from those in Section 2.4. We use these definitions initially because they are slightly better for understanding the ideas behind some of our tactics. See the discussions in Section 2.4

Note that C Simpson and J Grim have formalised the Bourbaki version of Axiomatic Set theory in Coq. This is beyond the scope of this text but the interested reader can look at [20] and [9].

**Paradoxes**

Only a Sikh deals in absolutes.

---

ObiWan.

Consider the popular version of Russell's paradox: In a village there is a barber who shaves those, and only those that do not shave themselves. The question is "Who shaves the barber?".

There are only two possibilities:

1. If he shaves himself then he should not shave himself because he only shaves those that do not shave themselves.
2. If he does not shave himself then he should because he shaves all those that do not shave themselves.

This is a modern version of an ancient paradox. It appears in various guises in the work of Epimenides (600 BC), St Jerome (400AD), Bhartṛhari (400AD), Athar al-Dīn Muḥammad al-Nawawī (10th century AD) and so on. See also

These paradoxes can be modified to show what is wrong with the naive idea of sets. Suppose we define a set as a collection of elements. We also have a predicate  $a \in B$  to mean that  $a$  is an element of the set  $B$ . There are two kinds of sets.

For most sets the proposition  $X \in X$  is obviously false (note that we use  $\in$  and not  $\subseteq$  see the difference below) for example  $\{1, 2, 3\} \notin \{1, 2, 3\}$ .

However since any collection of objects is a set we can form "sets of sets" and for example if  $X$  is the set of all sets that have at least 3 elements must be a set. Now of course  $X$  is an infinite set and so it has a lot more than 3 elements. This means in particular that  $X \in X$ .

We shall then consider  $B$  to be the set of all sets that do not contain themselves as an element. In set notation:

$$B = \{A \mid A \notin A\}.$$

There are now again two possibilities:

1. If  $B \in B$  then, by the definition of  $B$ , we get that  $B \notin B$ , a contradiction.
2. If  $B \notin B$  then, again by the definition of  $B$ , we get that  $B \in B$ , a contradiction.

This means that the “naive” version of set theory cannot be consistent.

## 2.2 Sets

Standard definitions Despite the above mentioned issues, from now on a set will be a collection of elements. In order to do so we shall consider an “universal” set  $U$  and for the most part, our sets will be subsets of  $U$ . More precisely, we a set  $U$  which either has some formal construction via ZFT or it is a concretely constructed set such as the set of all people. A set will now be a collection of element of  $U$ . We normally try to use lower letters for elements and capital letters for sets. We denote by  $x \in A$  the fact that  $x$  is an element of the set  $A$  and by  $x \notin A$  the fact that  $x$  is not an element of  $A$ . Note that if  $x$  is a fixed element and  $A$  is a fixed set then both  $x \in A$  and  $x \notin A$  are propositions and  $\neg(x \in A)$  is the same as  $x \notin A$ . We will also use the notation  $\{a, b, c\}$  for the set whose elements are  $a, b$  and  $c$ . Another common notation is (here  $P : U \rightarrow Prop$  is a predicate):

$$A = \{x \in U \mid P(x)\}$$

This means that  $A$  is the set of all elements (in  $U$ ) that satisfy the property  $P$ . In fact if the universe  $U$  can be deduced from the context then we ignore it in the notation. Here are some examples noting that these representations are not unique:

$$\{0, 1, 2\} = \{x \in \mathbb{N} \mid x < 3\} = \{x \in \mathbb{N} \mid x \leq 2\}$$

$$\{x \in \mathbb{R} \mid x \geq 0\} = \{x \in \mathbb{R} \mid |x| = x\} = \{x \in \mathbb{R} \mid \exists y \in \mathbb{R}, x = y^2\}$$

Note that while set  $A$  is defined by the predicate  $P$ , the converse is also true. Indeed  $P(x)$  is the predicate  $x \in A$ <sup>1</sup> and so the notation  $A = \{x \mid P(x)\}$  is not a special case, it includes all subsets of  $U$ .

As a consequence, in Spatchcoq we identify the two. More precisely we define a set as a function  $A : U \rightarrow Prop$ , the function that take the value True if  $x \in A$  and False otherwise. For example if  $U = \mathbb{N}$  then the set  $\{0, 1, 2\}$  is identified with the function  $A : \mathbb{N} \rightarrow Prop$  so that  $A(0) = A(1) = A(2) = \text{True}$  and  $A(x) = \text{False}$  otherwise (or by the function  $A(x) = x < 3$ . Here are the precise definitions. Note that  $U$  is a type and not a set, a subtlety that we do discuss here.

```
Variable U : Type.
Definition Ensemble := U -> Prop.
Definition In (A:Ensemble) (x:U):= A x.
Notation "x ∈ A" := (In A x) (at level 10).
```

Note the definition of In and the notation  $\in$ .

<sup>1</sup> In particular, the following two predicates  $P : \mathbb{R} \rightarrow Prop, P(x) = x > 0$  and  $Q : \mathbb{R} \rightarrow Prop, Q(x) = \text{exists } y \in \mathbb{R}, x = y^2$  are logically equivalent.

**!Warning!**

The syntagm (at level 10) is a bit confusing. It establishes the Precedence level of the operator. For example  $\rightarrow$  has precedence 99 while  $\vee$  has precedence 85 and  $\wedge$  has precedence 80. This means that if you are careless and write  $A \rightarrow B \vee C \wedge D$ , Spatchcoq will interpret this as  $A \rightarrow (B \vee (C \wedge D))$ .

From now on we will almost forget the function definition and think about sets as collections of elements. To do so however we still need to define the various operations we can do with sets.

The first concept we discuss is the concept of subset. We say that a set  $A$  is a subset of a set  $B$  (we write  $A \subseteq B$  if any element of  $A$  is also an element of  $B$ ). Formally this means

$$A \subseteq B := \forall x \in U, x \in A \rightarrow x \in B.$$

Not so surprising the Spatchcoq definition is exactly this:

**Definition Included** (B C:Ensemble) : Prop := forall x:U, x ∈ B -> x ∈ C.  
**Notation** "A ⊆ B" := (Included A B)(at level 10).

Note the notation  $\subseteq$ . It is different from  $\in$  and you need to carefully understand the difference. The symbol  $\in$  connects elements to the sets that contain them and the symbol  $\subseteq$  connects sets. For example

$$\begin{aligned} \{3\} &\subseteq \{1, \{2\}, 3\} \text{ and } 3 \in \{1, \{2\}, 3\} \\ 2 &\notin \{1, \{2\}, 3\} \text{ and } \{2\} \not\subseteq \{1, \{2\}, 3\}, \\ \{2\} &\in \{1, \{2\}, 3\} \text{ and } \{\{2\}\} \subseteq \{1, \{2\}, 3\} \end{aligned}$$

We now introduce the tow operation with sets. If  $A$  and  $B$  are two sets then we can define the union of  $A$  and  $B$  as the set of all the elements that either belong to  $A$  or belong to  $B$ . That is:

$$A \cup B = \{x | x \in A \vee x \in B\}.$$

The corresponding Spatchcoq definition is a bit stranger, recall that we are defining a new set, that is a predicate  $A \cup B : U \rightarrow Prop$ . The format is perhaps a bit overcharged, you need to use the form  $(\text{fun } x : \text{type} \Rightarrow P(x))$  that will define a predicate.

**Definition Union** (B C:Ensemble):Ensemble:=fun x:U => (x∈B) ∨ (x∈C).  
**Notation** "A ∪ B" := (Union A B)(at level 8).

**Remark**

Note that the precedence here is 8 so  $A \subseteq B \cup C$  means  $A \subseteq (B \cup C)$ .



**!Warning!**

Note that these definition are definitions of functions, therefore unfolding definitions are a bit weird. For example if your goal looks like

Goal

...

$$x \in A \cup B$$

And you try

Rewrite goal using the definition of Union.

You will get an unpleasant surprise:

Goal

...

$$x \in (\lambda x0 : U, x0 \in A \vee x0 \in B))$$

The solution is to use a slightly modified form and unfold the actual definition of In the union.

Rewrite goal using the definition of (In,Union).

To get

Goal

...

$$((x \in A) \vee (x \in B))$$

Similarly the intersection of two sets  $A$  and  $B$  is the set of the element they have in common. An element is in  $A \cap B$  if it is in both  $A$  and  $B$ . This means:

$$A \cap B = \{x | x \in A \wedge x \in B\}.$$

Definition Intersection (B C:Ensemble):Ensemble :=fun x:U=> (x∈B)^(x∈C).  
Notation " $A \cap B$ " := (Intersection A B) (at level 10).

The complement of a set  $A$  is the set of element of  $U$  that do not belong to  $A$ . That is:

$$CA = \{x | x \notin A\}.$$

Or in spatchcoq

```

Definition Complement (A:Ensemble) : Ensemble := fun x:U =>not In A x.
Notation " CA" := (Complement A) (at level 5).

```

### Remark

note that the precedence is 5 so  $CA \cup B$  means  $(CA) \cup B$ .

And also  $A \setminus B$  is the set of elements in  $A$  and not in  $B$ . This can be also defined as  $A \cap (CB)$ .

```

Definition Setminus (A B :Ensemble) : Ensemble := A ∩ (CB).
Notation " A \ B" := (Setminus A B) (at level 10).

```

We will make use of the following technical axiom

```

Axiom Extensionality_Ensembles : forall A B:Ensemble, A ⊆ B ∧ B ⊆ A → A = B.

```

Finally we introduce the empty set

```

Definition EmptySet:Ensemble:= fun x:U=>False.
Notation " ''∅'' " := Emptyset

```

## 2.3 Operations on Sets

This naive construction of set theory is an ideal ground to try out our proof techniques. The proofs will be very similar to those in predicate calculus. Let us start with transitivity of inclusion:

**Lemma 1.** *trans\_incl* ( $A B C:Ensemble U$ ):  $A \subseteq B \wedge B \subseteq C \rightarrow A \subseteq C$ .

We will provide three proofs. The first two will be informal and the last will be formal (in Spatchcoq). The first proof is a direct proof and the other two are backward proofs.

*Proof (informal direct proof).*

Recall that  $X \subseteq Y$  if and only if  $\forall x : U, x \in X \rightarrow x \in Y$ . In order to prove that  $A \subseteq C$ , we will pick an element  $x$ , assume it is in  $A$  and prove that it is in fact also in  $C$ . We will first do a direct proof. Since we know that  $A \subseteq B$ , it follows by the definition of  $\subseteq$  and by *modus ponens* that  $x \in B$ . Similarly since  $B \subseteq C$  it also follows from definition and modus ponens that  $x \in C$ .

*Proof (informal backward proof).*

Recall that  $X \subseteq Y$  if and only if  $\forall x : U, x \in X \rightarrow x \in Y$ . In order to prove that  $A \subseteq C$ , we will pick an element  $x$ , assume it is in  $A$  and prove that it is in fact also in  $C$ . Since  $B \subseteq C$ , by the definition of inclusion and by implication elimination, in order to prove that  $x \in C$ , it suffices to prove that  $x \in B$ . Moreover since  $A \subseteq B$ , in order to prove that  $x \in B$  it is sufficient to show that  $x \in A$ . This is however our assumption and so we finish the proof.

*Proof (formal proof).* We start by assuming  $A \subseteq B \wedge B \subseteq C$  and aiming to prove  $A \subseteq C$ .

Assume  $(A \subseteq B \wedge B \subseteq C)$  then prove  $(A \subseteq C)$

. We now split the hypothesis  $(A \subseteq B \wedge B \subseteq C)$  into  $(A \subseteq B$  and  $B \subseteq C)$ .

Eliminate the conjunction in hypothesis Hyp .

Next we expand the definition of included everywhere.

Rewrite hypothesis Hyp0 using the definition of Included.  
 Rewrite hypothesis Hyp1 using the definition of Included.  
 Rewrite goal using the definition of Included.

Now we know that

$$\text{Hyp0} : (\forall x : U, (x \in A) \rightarrow (x \in B))$$

and

$$\text{Hyp1} : (\forall x : U, (x \in B) \rightarrow (x \in C))$$

And we want to show

$$(\forall x : U, (x \in A) \rightarrow (x \in C))$$

We pick a random  $x \in U$  and try to prove  $(x \in A) \rightarrow (x \in C)$ . To do so assume that  $(x \in A)$  and prove  $(x \in C)$ .

Fix an arbitrary element  $x$ .  
 Assume  $(x \in A)$  then prove  $(x \in C)$ .

Now by Hyp1 if we want to prove  $x \in C$  it is enough to prove  $x \in B$ .

Apply result Hyp1 .

Similarly by Hyp1 if we want to prove  $x \in B$  it is enough to prove  $x \in A$ .

Apply result Hyp0 .

We already know  $x \in A$ , finishing the proof.

This follows from assumptions.

Did that look familiar? Recall the backward proof at page 11. The two proofs are basically identical one you abstract a bit.

The sound proof will involve the empty set.

Lemma emptyid( $A : \text{Ensemble}$ ):  $A \cup \emptyset = A$ .

*Proof (informal proof).*

We first prove  $A \cup \emptyset \subseteq A$ .

To do so pick an element  $x \in A \cup \emptyset$ . There are two cases to consider:

Case 1  $x \in A$  : in this case the conclusion is equal to one of the assumptions so we are done.

Case 2  $x \in \emptyset$  : In this case by the definition of the empty set,  $x \in \emptyset$  implies *False* and so a proof by contradiction finishes this.

We now prove  $A \subseteq A \cup \emptyset$ . To do so let  $x \in A$ . By disjunction introduction it means that  $x \in A \cup \emptyset$ , a proof of the second step.

*Proof (formal proof).*

We first apply the axiom

Apply result Extensionality\_Ensembles.

To get that we need to show

Goal

$A : \text{Ensemble}$

$(A \cup \emptyset \subseteq A) \wedge (A \subseteq A \cup \emptyset)$

We now split the goal in two

Prove the conjunction in the goal by first proving  $(A \cup \emptyset \subseteq A)$  and then  $(A \subseteq A \cup \emptyset)$ .

This part of the proof is for  $A \cup \emptyset \subseteq A$ : We start with the standard “opening moves:”

Rewrite goal using the definition of Included.  
Fix an arbitrary element  $x$ .

To get

Goal

$A : Ensemble$   
 $x : U$

$(x \in (A \cup \emptyset)) \rightarrow (x \in A)$

We now apply the standard tactic for implication

Assume  $(x \in (A \cup \emptyset))$  then prove  $(x \in A)$ .

And then unfold the definition of the Union.

Rewrite hypothesis Hyp using the definition of (In, Union).

To obtain

Goal

$A : Ensemble$   
 $x : U$   
 $Hyp : (x \in A) \vee (x \in \emptyset)$

$x \in A$

Which requires a case by case analysis.

Consider cases based on disjunction in hypothesis Hyp .

The first case is

Goal

$A : Ensemble$   
 $x : U$   
 $Hyp0 : (x \in A)$

$x \in A$

Which follows immediately from assumption:

This follows from assumptions.

The second case is a bit stranger:

Goal

$A : Ensemble$   
 $x : U$   
 $Hyp1 : (x \in \emptyset)$

$x \in A$

And so we unfold the definition of the EmptySet to get

Rewrite hypothesis Hyp1 using the definition of (In, EmptySet).

Goal

$A : Ensemble$   
 $x : U$   
 $Hyp1 : False$

$x \in A$

This is an immediate proof by contradiction.

Prove by contradiction.  
 This follows from assumptions.

Next we need to show that  $A \subseteq A \cup \emptyset$ : We use again the usual moves:

Rewrite goal using the definition of Included.  
 Fix an arbitrary element x.

To get

Goal

$A : Ensemble$   
 $x : U$

$(x \in A) \rightarrow (x \in (A \cup \emptyset))$

We now apply the standard tactic for implication

**Assume**  $(x \in A)$  **then prove**  $(x \in (A \cup \emptyset))$ .

And then unfold the definition of the Union:

**Rewrite goal using the definition of** (In, Union).

To get

Goal

$A : Ensemble$

$x : U$

$Hyp : x \in A$

$(x \in A) \vee (x \in \emptyset)$

We will now prove the left hand side of the disjunction:

**Prove**  $(x \in A)$  **in the disjunction.**  
**This follows from assumptions.**

Note that the Spatchcoq proof is roughly twice as long as the informal proof. The more complicated the proof the more the formal proof will increase.

Let us write a more involved proof: another such proof:

**Lemma 2 (distr).**  $\forall A B C : Ensemble, A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ .

I will start with a proof as you would see in a any Mathematics book followed by an Spatchcoq based proof.

*Proof (informal proof).* In order to prove the equality of two sets  $X$  and  $Y$  we will show that  $X \subseteq Y$  and that  $Y \subseteq X$ .

$A \cap (B \cup C) \subseteq (A \cap B) \cup (A \cap C)$

We take an arbitrary  $x \in A \cap (B \cup C)$  and show that  $x \in (A \cap B) \cup (A \cap C)$ . By definition of the intersection it means that  $x \in A$  and  $x \in B \cup C$ . Since  $x \in B \cup C$  there are two cases to consider.

Case 1:  $x \in B$ , in this case we know that  $x$  is an element of  $A$  and that  $x$  is an element of  $B$ . This means that  $x \in A \cap B$  and so it is also in  $x \in (A \cap B) \cup (A \cap C)$ .

Case 2:  $x \in C$ , this case is very similar, we swap  $C$  for  $B$  in the previous proof, that is we know that  $x$  is an element of  $A$  and that  $x$  is an element of  $C$ . This means that  $x \in A \cap C$  and so it is also in  $x \in (A \cap B) \cup (A \cap C)$ .

Since  $x \in (A \cap B) \cup (A \cap C)$  in both cases, this finishes the proof of the first inclusion.

$$(A \cap B) \cup (A \cap C) \subseteq A \cap (B \cup C)$$

For this we will take an element  $x \in (A \cap B) \cup (A \cap C)$  and show that  $x \in A \cap (B \cup C)$ . Since  $x$  is in a union of two sets we again get to do a case by case analysis.

Case 1:  $x \in (A \cap B)$ , In this case we know that  $x$  is an element of  $A$  and  $x$  is an element of  $B$ . Since  $x$  is an element of  $B$  it follows that  $x$  is also an element of  $B \cup C$ . Now we put together  $x \in A$  and  $x \in B \cup C$  to get  $x \in A \cap (B \cup C)$ .

Case 2:  $x \in (A \cap C)$ , this case is very similar, we swap  $C$  for  $B$  in the previous proof, that is we know that  $x$  is an element of  $A$  and  $x$  is an element of  $C$ . Since  $x$  is an element of  $C$  it follows that  $x$  is also an element of  $B \cup C$ . Now we put together  $x \in A$  and  $x \in B \cup C$  to get  $x \in A \cap (B \cup C)$ .

*Proof (formal proof).* We first pick  $A, B$  and  $C$  to be sets and we prove  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ .

Fix an arbitrary element  $A$ .  
Fix an arbitrary element  $B$ .  
Fix an arbitrary element  $C$ .

We are now going to employ the Extensionality axiom and so in order to prove the equality it suffices to prove both inclusions.

Apply result Extensionality\_Ensembles .

To get

Goal

$ABC : \text{Ensemble}$

$((A \cap (B \cup C)) \subseteq ((A \cap B) \cup (A \cap C))) \wedge (((A \cap B) \cup (A \cap C)) \subseteq (A \cap (B \cup C)))$ .

We will now prove the two inclusions separately.

Prove the conjunction in the goal by first proving  $((A \cap (B \cup C)) \subseteq ((A \cap B) \cup (A \cap C)))$  then  $((A \cap B) \cup (A \cap C)) \subseteq (A \cap (B \cup C))$ .

The proof of  $((A \cap (B \cup C)) \subseteq ((A \cap B) \cup (A \cap C)))$ :

We expand the definition of included, pick a random  $x \in (A \cap (B \cup C))$  and prove  $x \in (A \cap B) \cup (A \cap C)$ .



Rewrite goal using the definition of Included.  
 Fix an arbitrary element  $x$ .  
 Assume  $(x \in (A \cap (B \cup C)))$  then prove  $(x \in (A \cap B) \cup (A \cap C))$ .

To get

Goal

$ABC : Ensemble$   
 $x : U$   
 $x \in (A \cap (B \cup C))$

$x \in (A \cap B) \cup (A \cap C)$

Next we explicitly expand the definitions of union and intersection in the goal.

Rewrite goal using the definition of (In, Union).  
 Rewrite goal using the definition of (In, Intersection).

Note the format, (In, Union) respectively (In, Intersection), this is a technical trick, you could have done this in two steps but it would have looked ugly. The result is that we need to show:

Goal

$x : U$   
 $x \in (A \cap (B \cup C))$

$((x \in A) \wedge (x \in B)) \vee ((x \in A) \wedge (x \in C))$

Similarly expanding the definition in the hypothesis:

Rewrite hypothesis Hyp using the definition of (In, Intersection).  
 Eliminate the conjunction in hypothesis Hyp .  
 Rewrite hypothesis Hyp1 using the definition of (In, Union).

To get the two hypotheses  $Hyp0 : (x \in A)$  and  $Hyp1 : (x \in B) \vee (x \in C)$ . We now consider the two possible cases in Hyp1, that is either  $x \in B$  or  $x \in C$ .

Consider cases based on disjunction in hypothesis Hyp1 .

In the first case we know that  $x \in A$  and  $x \in B$  so we can prove the left hand side of the goal.

Prove left hand side.  
 Prove the conjunction in the goal by first proving  $(x \in A)$  then  $(x \in B)$ .  
 This follows from assumptions.  
 This follows from assumptions.

In the second case we know that  $x \in A$  and  $x \in C$  so we can prove the right hand side of the goal.

Prove right hand side.  
 Prove the conjunction in the goal by first proving  $(x \in A)$  then  $(x \in C)$ .  
 This follows from assumptions.  
 This follows from assumptions.

The proof of  $((A \cap B) \cup (A \cap C)) \subseteq (A \cap (B \cup C))$ :

We follow the same standard procedure:

Rewrite goal using the definition of Included.  
 Fix an arbitrary element  $x$ .  
 Assume  $(x \in (A \cap B) \cup (A \cap C))$  then prove  $(x \in (A \cap (B \cup C)))$  .

Now we do know that  $x \in (A \cap B) \cup (A \cap C)$  so by using the definition of (In Union) we need to consider the two cases, either  $x \in (A \cap B)$  or  $x \in (A \cap C)$

Rewrite hypothesis Hyp using the definition of (In, Union).  
 Consider cases based on disjunction in hypothesis Hyp .

If  $x \in (A \cap B)$  then using the definition of union we know that  $x \in A$  and  $x \in B$ .

Rewrite hypothesis Hyp0 using the definition of (In, Intersection).  
 Eliminate the conjunction in hypothesis Hyp0 .

So we now know that need to show that

$$((x \in A) \wedge (x \in (B \cup C)))$$

This follows using standard introduction rules:

Rewrite goal using the definition of (In, Intersection).  
 Prove the conjunction in the goal by first proving  $(x \in A)$  then  $(x \in (B \cup C))$ .  
 This follows from assumptions.  
 Rewrite goal using the definition of (In, Union).  
 Prove left hand side.  
 This follows from assumptions.

Rewrite hypothesis Hyp using the definition of (In, Intersection).  
 Rewrite goal using the definition of (In, Intersection).

The other case is similar.

Rewrite hypothesis Hyp0 using the definition of (In, Intersection).  
 Eliminate the conjunction in hypothesis Hyp0 . Rewrite goal using the definition of (In, Intersection).  
 Prove the conjunction in the goal by first proving  $(x \in A)$  then  $(x \in (B \cup C))$ .  
 This follows from assumptions.  
 Rewrite goal using the definition of (In, Union).  
 Prove right hand side.  
 This follows from assumptions.

Note again that this proof is not so much different from the proof of distributivity rule for and and or.

### Exercises

**distr**  $\forall A B C: \text{Ensemble}, A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ .

**intuni**  $\forall A B: \text{Ensemble}, A \cap (A \cup B) = A$ .

**intuni**  $\forall A B: \text{Ensemble}, A \cup (A \cap B) = A$ .

**uniintro**  $\forall A B: \text{Ensemble}, A \cap (A \cup B) = A$ .

**incl**  $\forall A B: \text{Ensemble}, A \subset B \leftrightarrow A = A \cup B$ .

**union**  $\forall A B: \text{Ensemble}, A \subset B \leftrightarrow B = A \cup B$ .

**diff**  $\forall A B: \text{Ensemble}, A \subset B \leftrightarrow A \cup CB = \emptyset$ .

## 2.4 Sets in Coq

### !Warning!

The constructions of union, intersection, complement and Empty Set from Section 2.2 are easy enough to deal with but if the theorems are complicated they begin to be slightly unruly. We used them to exemplify the use of the “rewrite using the definition” tactics but from now on we abandon them in favour of the built in definitions in Coq.

We do start by importing the Ensemble package.

```
Require Import Ensembles.
```

Note that we can now also introduce the notations:

```
Notation "x ∈ A" := (In _ A x) (at level 10).
Notation "A ⊆ B" := (Included _ A B) (at level 10).
Notation "A ∪ B" := (Union _ A B) (at level 1).
Notation "A ∩ B" := (Intersection _ A B) (at level 10).
Notation "C A" := (Complement _ A) (at level 10).
Notation "A \ B" := (Setminus _ A B) (at level 10).
Notation "∅" := (Empty_set _).
```

Note that in Section 2.2 the definition of union was:

```
Definition Union (B C:Ensemble):Ensemble:=fun x:U => (x∈B) ∨ (x∈C).
```

The package Ensembles in Coq defines the union inductively. Therefore if you look at

```
Print Union.
```

You get

```
Inductive Union (B C:Ensemble) : Ensemble :=
| Union_introl : forall x:U, In B x -> In (Union B C) x
| Union_intror : forall x:U, In C x -> In (Union B C) x.
```

Therefore, you get two different theorems (Union\_introl, Union\_intror) that allow you to “introduce a union” if you want to prove  $x \in A \cup B$  you can either prove  $x \in A$  and use Union\_introl or prove  $x \in B$  and use Union\_intror.

For example if you want to prove

```
Lemma distr (A B:Ensemble U): A ⊆ (A ∪ B).
```

We do the same few standard things

```
Rewrite goal using the definition of Included.
Fix an arbitrary element x.
Assume (x ∈ A) then prove (x ∈ (A ∪ B)).
```

To get

Goal

```
U : Type
A, B : Ensemble U
x : U
Hyp : x ∈ A
```

```
x ∈ (A ∪ B)
```

At this point however we can do

```
Apply result Union_introl.
```

To get

Goal

```
U : Type
A, B : Ensemble U
x : U
Hyp : x ∈ A
```

```
x ∈ A
```

And finish with:

```
This follows from assumptions.
```

At the same time, if you want to use a hypothesis of type  $Hyp : x \in A \cup B$ , you will use at the same cases tactic that you use for disjunction.

For example, suppose you want to prove

Lemma a (U:Type) ( A B:Ensemble U): (A ∪ B) ⊆ (B ∪ A).

We do the usual

Rewrite goal using the definition of Included.  
 Fix an arbitrary element x.  
 Assume  $(x \in (A \cup B))$  then prove  $(x \in (B \cup A))$ .

At which point your goal is:

Goal

$U : Type$   
 $A, B : Ensemble U$   
 $x : U$   
 $Hyp : x \in A \cup B$

$x \in B \cup A$

We now apply the tactic:

Consider cases based on disjunction in hypothesis Hyp.

To get two cases:

Goal

$U : Type$   
 $A, B : Ensemble U$   
 $x : U$   
 $Hyp : x \in A$

$x \in B \cup A$

and

Goal

$U : Type$   
 $A, B : Ensemble U$   
 $x : U$   
 $Hyp : x \in B$

$x \in B \cup A$

We can now finish the proofs of the two cases by using:

```
Apply result Union_intror.
This follows from assumptions.
```

Respectively

```
Apply result Union_introl.
This follows from assumptions
```

.

Similarly, the command

```
Print Intersection
```

. will give us:

```
Inductive Intersection (U : Type) (B C : Ensemble U) : Ensemble U := Intersection_intro :
  ∀x : U, x ∈ B → x ∈ C → x ∈ (B ∩ C)
```

Which means that if you want to prove a goal of type  $x \in A \cup B$ , you can use the tactic:

```
Apply result Intersection_intro.
```

In order to use a hypothesis of the type  $Hyp : x \in A \cup B$ , you can use the tactic:

```
Eliminate the conjunction in hypothesis Hyp.
```

For example, in order to prove the lemma:

```
Lemma b (U:Type) ( A B:Ensemble U): (AcapB) ⊆ (B ∩ A).
```

```
Rewrite goal using the definition of Included.
Fix an arbitrary element x.
Assume (x ∈ (A ∩ B)) then prove (x ∈ (B ∩ A)).
Eliminate the conjunction in hypothesis Hyp.
Apply result Intersection_intro.
This follows from assumptions.
This follows from assumptions.
```

Perhaps more interesting is the definition of the empty set. As before you can try

```
Print Empty_set.
```

To get

```
Inductive Empty_set (U : Type) : Ensemble U :=
```

That is the empty set has an empty intro constructor. You cannot really prove  $x \in \emptyset$ . Nevertheless if you look for it

```
Search Empty_set.
```

You get (a few similar results)among other things)

```
Empty_set.ind:  $\forall (U : Type)(P : U \rightarrow Prop)(u : U), \emptyset u \rightarrow Pu$ 
```

Which means that if you know  $x$  is an element of the empty set then you can prove anything. For example, to prove that  $A \cup \emptyset \subseteq A$

```
Lemma emptyid (U:Type) (A :Ensemble U):  $A \cup \emptyset \subseteq A$ .
```

We do the following:

```
Rewrite goal using the definition of Included.
Fix an arbitrary element x.
Assume  $(x \in A \cup \emptyset)$  then prove  $(x \in A)$ .
Consider cases based on disjunction in hypothesis Hyp.
This follows from assumptions.
Apply result Empty_set.ind.
This follows from assumptions.
```

De definition of Complement and Setminus are identical to those of Sectionsec:sets.



**!Warning!**

Finally note that in the `Ensembles` package the axiom `Extensionality_Ensembles` is defined using `Same_set`:

$$\forall (U : \text{Type}) (AB : \text{Ensemble } U), \text{Same\_set } U \ AB \rightarrow A = B$$

were

$$\text{Same\_set } U \ BC : B \subseteq C \wedge C \subseteq B$$

And so usually equality proofs in sets start by doing

```
Apply result Extensionality_Ensembles.
Rewrite goal using the definition of Same_set.
```

Here is a quick cheatsheet for set theory:

Hypothesis contains	Tactic
$x \in \emptyset$	Apply result <code>Empty_Set_ind</code> .
$x \in A \cup B$	Consider cases based on disjunction in hypothesis <code>Hyp</code> .
$x \in A \cap B$	Eliminate the conjunction in hypothesis <code>Hyp</code> .

Goal contains	Tactic
$x \in A \cup B$	Apply result <code>Union_introl</code> . Apply result <code>Union_introl</code> .
$x \in A \cap B$	Apply result <code>Intersection_intro</code> .

**Exercises**

Do the same exercises as in Section 2.2 with the inductive constructions for sets.

**distr**  $\forall A B C : \text{Ensemble}, A \cup (B \cap C) = (A \cup B) \cap (A \cup C).$

**intuni**  $\forall A B : \text{Ensemble}, A \cap (A \cup B) = A.$

**intuni**  $\forall A B : \text{Ensemble}, A \cup (A \cap B) = A.$

**uniintro**  $\forall A B : \text{Ensemble}, A \cap (A \cup B) = A.$

**incl**  $\forall A B : \text{Ensemble}, A \subset B \leftrightarrow A = A \cup B.$

**union**  $\forall A B : \text{Ensemble}, A \subset B \leftrightarrow B = A \cap B.$

**diff**  $\forall A B : \text{Ensemble}, A \subset B \leftrightarrow A \cup CB = \emptyset.$



## Chapter 3

# Number Theory

Mathematics is the queen of the sciences and  
number theory is the queen of mathematics.

---

C.F. Gauss.

### 3.1 Natural numbers, operations, order

Number Theory is one of the oldest branches of Mathematics. We have some evidence of prime numbers that are as old as the Ishango bones, 22000 years ago. A formal definition of natural numbers however was only done rather recently. Surprisingly, it is not different to the intuition that you get all natural numbers by keep adding ones to 0 (or 1). Our approach will be quite formal, following Peano and some of the questions will feel a bit strange.

We will definitely the natural numbers as a set  $\mathbb{N}$  containing an element  $0$ <sup>1</sup> and with a map (called the successor map)  $S : \mathbb{N} \rightarrow \mathbb{N}$  so that

1.  $\forall x \in \mathbb{N}, S(x) \neq 0$ . (0 is not the successor of any number)
2.  $\forall x, y \in \mathbb{N}, S(x) = S(y) \rightarrow x = y$ . (S is injective)
3. (induction axiom.) If  $A \subseteq \mathbb{N}$  is such that  $0 \in A$  and  $S(A) \subseteq A$  then  $A = \mathbb{N}$ .

Note that a few other properties are implicit. For example  $S$  being a function will tell you that  $x = y \rightarrow Sx = Sy$ <sup>2</sup>.

We also employ syntactic sugar in denoting  $1 := S\ 0, 2 := S\ (S\ 0), 3 := S\ (S\ (S\ 0)), \dots$ .

---

<sup>1</sup> Note that Peano himself considered 1 instead of zero as the first natural number. That might have been historically accurate (humankind too a long time to discover zero) but created a lot of inconsistency. We made the more modern choice here, partly influenced by Coq.

<sup>2</sup> this is called eq\_S in Coq/spatchcoq.

Axiom 3 allows us to prove things by induction. More precisely if we are trying to prove a statement of the type  $\forall x \in \mathbb{N}, P(x)$  and we consider (as in Section [refch:settheory](#)) the set  $A \subseteq \mathbb{N}$  given by  $P$  then the statement is equivalent to showing that  $A = \mathbb{N}$  and, by Axiom 3, it is enough to show that  $0 \in A$  (or in other words  $P(0)$  holds) and then if  $k \in A$  (I.e.  $P(k)$  holds) then  $S(k) \in A$  (i.e.  $P(S(k))$  holds). This is the usual way of doing induction

**First Step** Prove  $P(0)$

**Induction step** Assume  $P(k)$  holds and show  $P(k+1)$  holds.

Before giving some examples, we need to define some more concepts. The first thing to define is the concept of addition. Addition in  $\mathbb{N}$  is defined inductively, as follows

1.  $0 + m = m$ ,<sup>3</sup>
2.  $S(n) + m = S(n + m)$ .<sup>4</sup>

In Coq the corresponding definition looks a bit strange but quite readable (aside from the “fix” which represents the fact that the definition is inductive):

```
Nat.add =
fix add (n m : nat) {struct n} : nat :=
  match n with
  | 0 => m
  | S p => S (add p m)
end
```

### !Warning!

Note that this definition is not a set in the sense of Section [2](#). It is an inductively defined type. We will allow ourself a bit of liability here, we view the set `nat` as the universe for much of the section. All subsets of natural numbers will be subsets of  $\mathbb{N}$  as before.

This definition has introduced two properties that tell us how to add integers, we will use those very often:

**plus\_O\_n:**  $\forall n : \text{nat}, 0 + n = n$

and

**plus\_Sn\_m:**  $\forall n m : \text{nat}, S n + m = S (n + m)$

This tells us how to add two numbers. If we want to compute  $n + m$  we first look at  $n$ . If it is zero, we use the first method and get  $m$  as the sum. If  $n$  is not zero then it can be obtained as the successor of somebody else say  $n = S p$ . To obtain the result we now add  $p$  and  $m$  and then take the successor of the result.

If you forget the name of the statements perhaps it is time you learn how to search for them. First try

<sup>3</sup> this is called `plus_O_n` in `Coq/spatchcoq`

<sup>4</sup> this is called `plus_Sn_m` in `Coq/spatchcoq`

```
Search (0+_=_).
```

That is search for statements that look like  $0 + ? = ?$ . The result will be longer than you want:

```
Nat.add_0_1: forall n : nat, 0 + n = n
```

```
plus_0_n: forall n : nat, 0 + n = n
```

Similarly if you need to find things that look like  $S \ ? + ? = ?$  you use “”

```
Search (S _+_).
```

To obtain “”

```
Nat.add_1_1: forall n : nat, 1 + n = S n
```

```
Nat.add_succ_comm: forall n m : nat, S n + m = n + S m
```

```
Nat.add_succ_1: forall n m : nat, S n + m = S (n + m)
```

```
plus_Sn_m: forall n m : nat, S n + m = S (n + m)
```

```
=====
```

```
plus_Snm_nSm: forall n m : nat, S n + m = n + S m
```

Without further ado let us prove the thing you always wanted to prove:

```
Lemma first: 1+1=2.
```

Note that if we wrote the lemma as

```
Lemma first: 1+1= S ( S 0).
```

The result would have been the same.

*Proof (informal).* The proof is indeed very simple once we understand what we need to show. We first note that  $1+1$  means in fact that  $(S0) + 1$ . The statement `plus_Sn_m`, tells us how to add those two, that is we need to add 0 and 1 and take the successor. That is  $1 + 1 = S(0 + 1)$ . Now `plus_0_n` tells us that  $0 + 1 = 1$  and so becomes  $1 + 1 = S(0 + 1) = S\ 1 = S(S\ 0) = 2$  which finishes the proof.

To follow the proof in `Spatchcoq` do:

```

Lemma first:1+1=2.
Rewrite the goal using plus_Sn_m.
Rewrite the goal using plus_0_n.
This follows from reflexivity.
Qed.

```

Note that if we had to do such a complicated proof each time we would never achieve anything. Note the following :

```

Lemma b: 3+4=7.
Rewrite goal using the definition of Nat.add.
This follows from reflexivity.

```

or even

```

Lemma b: 3+4=7.
This is trivial.

```

We will now prove the first theorem that is based by induction, the associativity of addition:

```

Lemma add_assoc (n m p:nat ): n+(m+p ) = (n+m)+p.

```

To prove that we will use induction on  $n$ . Therefore we need to show

**First Step**  $0 + (m + p) = (0 + m) + p$  Here we first use `plus_0_n` to show that the left hand side equals  $m + p$  and then again to show the right hand side also equals  $m + p$ .

**Induction Step** Assume  $n + (m + p) = (n + m) + p$  and prove  $S\ n + (m + p) = (S\ n + m) + p$ .

We now use `plus_Sn_m` to show the left hand side can be written as  $S\ (n + (m + p))$  then use the induction hypothesis to show you can write than as  $S\ ((n + m) + p)$  Using `plus_Sn_m` we rewrite the latter as  $S\ ((n + m)) + p$  and using it again we can write it as  $(S\ n + m) + p$  which equals the right hand side.

The complete proof is bellow<sup>5</sup>.

---

<sup>5</sup> This is a standard lemma, we will use it henceforth as `Nat.add_assoc`

```

Lemma add_assoc (n m p:nat ): n+(m+p ) = (n+m)+p.
Apply induction on n.
Rewrite the goal using plus_0_n.
Rewrite the goal using plus_0_n.
This follows from reflexivity.
Rewrite the goal using plus_Sn_m.
Rewrite the goal using IHn .
Rewrite the goal using plus_Sn_m.
Rewrite the goal using plus_Sn_m.
This follows from reflexivity.

```

We also note the following lemma for further use<sup>6</sup>. Note the use of the new tactic `Replace` (`n + 1`) by (`S n`) in the goal, in this tactic it is assumed that one of the statements (`a=b`) or (`b=a`) are among your hypotheses. It can be used in a more general form but it will hurt latex export.

```

Lemma add_1_r: ∀ n : nat, n + 1 = S n.
Fix an arbitrary element n.
Apply induction on n.
Rewrite the goal using plus_0_n.
This follows from reflexivity.
Rewrite the goal using plus_Sn_m.
Replace (n + 1) by (S n) in the goal.
This follows from reflexivity.
Qed.

```

We are not ready to prove commutativity<sup>7</sup>:

<sup>6</sup> This is a standard Lemma, we will use it as `Nat.add_1_r`

<sup>7</sup> This is a standard Lemma, we will use it as `Nat.add.comm`

```

Lemma comm(n m:nat):n+m=m+n.
Apply induction on n.
Rewrite the goal using plus_0_n.
Apply induction on m.
Rewrite the goal using plus_0_n.
This follows from reflexivity.
Rewrite the goal using plus_Sn_m.
Replace (m + 0) by (m) in the goal.
This follows from reflexivity.
Rewrite the goal using plus_Sn_m.
Replace (n + m) by (m + n) in the goal.
Rewrite the goal using Nat.add_1_r.
Rewrite the goal using Nat.add_assoc.
Rewrite the goal using Nat.add_1_r.
This follows from reflexivity.

```

Hopefully by now you are starting to get used to such exercises. Any of the Lemmas above could have been proved in one line using the tactic `True by arithmetic` properties. We proffered to use the longer way in order to show you some easy examples of induction.

The next thing we will define is the subtraction. If you try

```
Print Nat.sub
```

in `spatchcoq` you will see the construction:

```

Nat.sub =
fix sub (n m : nat) {struct n} : nat :=
  match n with
  | 0 => n
  | S k => match m with
           | 0 => n
           | S l => sub k l
           end

```

We can slowly decipher the above. The first rule says that  $0 - m = 0$  regardless on what  $m$  is. This is perhaps surprising but a moment thought will suffice to see that there is no other choice since we do not have negative numbers. The second rule said that if  $n = Sk$  then we look at  $m$ . If  $m = 0$  we get the familiar  $n - 0 = n$ . Otherwise  $m = Sl$  and so we can compute  $n - m = k - l$ . Note the following useful properties of subtraction:

`Nat.sub_0_l`:  $\forall n : \text{nat}, 0 - n = 0$

`Nat.sub_0_r`:  $\forall n : \text{nat}, n - 0 = n$

`Nat.sub_succ`:  $\forall n m : \text{nat}, S n - S m = n - m$

`minus_plus`:  $\forall n m : \text{nat}, n + m - n = m$



minus\_plus\_simple\_reverse:  $\forall n m p : \text{nat}, n - m = p + n - (p + m)$

Here is a proof of the third based on the first two:

```

Lemma minus_plus:  $\forall n m : \text{nat}, n + m - n = m$ .
Fix an arbitrary element n.
Fix an arbitrary element m.
Apply induction on n.
Rewrite the goal using plus_0_n.
Rewrite the goal using Nat.sub_0_r.
This follows from reflexivity.
Rewrite the goal using plus_Sn_m.
Rewrite the goal using Nat.add_comm.
Rewrite the goal using Nat.sub_succ.
Rewrite the goal using Nat.add_comm.
Apply result IHn .

```

Note also that the definition of subtraction limits the use of the tactic “True by arithmetic properties.”. Indeed almost no step from the Lemma above can be solved by that tactic.

We now define the multiplication. We define it inductively as before, we have two rules:

Nat.mul\_0\_l:  $\forall n : \text{nat}, 0 * n = 0$

and

Nat.mul\_succ\_l:  $\forall n m : \text{nat}, S n * m = n * m + m$

Indeed the definition of multiplication has a first step that tells you that  $0 * n = 0$  and another that says that  $(n + 1) * m = n * m + m$ .

Let us prove that  $n * 0 = 0$  as well.

To do so we will use induction on  $n$ . If  $n = 0$  we need to show  $0 * 0 = 0$  and that follows from Nat.mul\_0\_l. The induction step assumes that  $n * 0 = 0$  and we will need to show that  $S n * 0 = 0$ . Now we do know from Nat.mul\_succ\_l: that  $S n * 0 = n * 0 + 0$  and so using the induction hypothesis we only need to show that  $0 + 0 = 0$ .

```

Lemma a(n:nat): n*0=0.
Apply induction on n. Rewrite the goal using Nat.mul_0_l.
This follows from reflexivity.
Rewrite the goal using Nat.mul_succ_l.
Rewrite the goal using IHn .
This follows from reflexivity.

```

We will also have the right hand property of Successor:

```

Nat.mul_succ_r:  $\forall n m : \text{nat}, n * S m = n * m + n$ 

```

We leave this to the reader and use it to prove commutativity of multiplication.

```

Lemma mul_comm ( n m : nat): n * m = m * n.
Apply induction on n.
Rewrite the goal using Nat.mul_0_l.
Rewrite the goal using Nat.mul_0_r.
This follows from reflexivity.
Rewrite the goal using Nat.mul_succ_l.
Replace (n * m) by (m * n) in the goal.
Rewrite the goal using Nat.mul_succ_r.
This follows from reflexivity.

```

Finally we will define the order relation on  $\mathbb{N}$ . We will define “ $\leq$ ” the less than or equal relation first. We define this also inductively, that is we ask that  $n \leq n$  and that if  $n \leq m$  then  $n \leq S m$ .

```

Inductive le (n : nat) : nat → Prop := le_n : n ≤ n | le_S : ∀ m : nat, n ≤ m → n ≤ S m

```

Let us prove the antisymmetry of le.

**Lemma 3 (antisym).**

$$\forall a, b \in \mathbb{N}, a \leq b \wedge b \leq a \rightarrow a = b.$$

And of course the relation  $<$  is defined as  $n < m$  if  $S n \leq m$ .

We have an equivalent definition of the  $\leq$  relation

`Nat.sub_0_le`:  $\forall n, m : \text{nat}, n - m = 0 \leftrightarrow n \leq m$ .

## 3.2 More induction

The previous section gave some easy examples of induction. This section will have some more involved examples. We will start with some strange examples. We will have various definitions of even and odd numbers and prove that a number is either even or odd.

The first definition will be

**Definition 1.** A natural number  $n$  is even if there is a natural number  $k$  with  $n = 2k$ .

```

Definition Nat.Even (n:nat):= exists k, n=2*k
Definition Nat.odd (n:nat):= exists k, n=2*k+1

```

```

Lemma even_or_odd(n:nat): Nat.Even n ∨ Nat.odd n.

```

*Proof (informal).* We will prove this by induction on  $n$ . The first step is quit easy, we need to prove  $\text{Nat.Even } 0 \vee \text{Nat.odd } 0$ . We in fact prove 0 is even, by noting that  $0 = 2 * 0$ .

The induction step assumes  $\text{Nat.Even } n \vee \text{Nat.odd } n$  and tries to prove  $\text{Nat.Even } (S\ n) \vee \text{Nat.odd } (S\ n)$ . To do so we consider the two cases in the

To show this we consider the two cases in the induction hypothesis:

Case 1  $\text{Nat.Even } n$

This means that there exists  $k$  so that  $n = 2k$ . We then note that  $S\ n = 2k + 1$  and so  $S\ n$  is odd and  $\text{Nat.Even } (S\ n) \vee \text{Nat.odd } (S\ n)$  holds.

Case 2  $\text{Nat.odd } n$

In this case there exists a  $k$  so that  $n = 2k + 1$  and so  $S\ n = 2k + 2 = 2(k + 1)$  and so  $S\ n$  is even and  $\text{Nat.Even } (S\ n) \vee \text{Nat.odd } (S\ n)$  holds.

The formal proof is slightly longer but follows the same structure.

```

Apply induction on n.
Prove left hand side.
Rewrite goal using the definition of Nat.Even.
Prove the existential claim is true for 0.
True by arithmetic properties.
Consider cases based on disjunction in hypothesis IHn .
Rewrite hypothesis H using the definition of Nat.Even.
Prove right hand side.
Rewrite goal using the definition of Nat.odd.
Fix k the existentially quantified variable in H .
Prove the existential claim is true for k.
Replace (2 * k) by (n) in the goal.
This is trivial.
Rewrite hypothesis H using the definition of Nat.odd.
Fix k the existentially quantified variable in H .
Prove (Nat.Even (S n)) in the disjunction.
Rewrite goal using the definition of Nat.Even.
Prove the existential claim is true for (k+1).
Replace (n) by ((2 * k) + 1) in the goal.
True by arithmetic properties.

```

We now arrive at the first standard induction proof you probably see in a textbook:

**Lemma 4.**

$$\forall n \in \mathbb{N}, \sum_{i=0}^n i = \frac{n(n+1)}{2}$$

In order to prove this we will need first to define the above sum. This is a little strange in Spatchcoq, we will define it as:

```

Fixpoint sum (n : nat) : nat :=
match n with
| 0 => 0
| S p => n + (sum p)
end.

```

Which allows out to prove things by induction. Since dividing by two presents complications we shall in fact prove:

**Lemma 5.**

$$\forall n \in \mathbb{N}, 2 \sum_{I=0}^n i = n(n+1)$$

Then we prove the Lemma:

*Proof (informal).* We will prove this by induction on  $n$ . Recall that the statement we shall prove is

$$P(n) : 2 \sum_{I=0}^n i = n(n+1)$$

**First Step**

We need to prove

$$P(0) = 2 \sum_{i=0}^0 i = 0 * (0+1)$$

Which is quite trivial.

**Induction step**

We now assume that

$$P(n) : 2 \sum_{i=0}^n i = n(n+1)$$

Holds and we prove

$$P(n+1) : 2 \sum_{i=0}^{n+1} i = (n+1)(n+1+1).$$

Now note that, by definition and then by distributivity of multiplication,

$$2 \sum_{I=0}^{n+1} i = 2((n+1) + \sum_{i=0}^n i) = 2(n+1) + 2 \sum_{i=0}^n i$$

Using the induction hypothesis we get that

$$2(n+1) + 2 \sum_{i=0}^n = 2(n+1) + n(n+1) = (n+1)(n+2)$$

Which is what we needed to prove.

The formal proof is very similar. Note the fact that we use `Natmul_add_distr_l` which states

$$\text{Natmul\_add\_distr\_l} : \forall n m p : \text{nat}, n * (m + p) = n * m + n * p$$

and which can be found using the pattern `(_*(_+_)=_)`. We also use the tactic “True by arithmetic properties.” To finish the computations.

```

Lemma a (n:nat): 2*(sum n) = n*(n+1).
Apply induction on n.
This is trivial.
Rewrite goal using the definition of sum.
Rewrite the goal using Natmul_add_distr_l.
Replace (2 * (sum n)) by (n * (n + 1)) in the goal.
Replace (S n) by (n+1) in the goal.
True by arithmetic properties.
This is trivial.

```

Here is another example:

**Lemma 6.**

$$\forall n \in \mathbb{N}, \sum_{i=0}^n 2^i = 2^{(n+1)} - 1$$

This is again a slightly complicated problem because of the minus in the story. At one point in the proof we will need to use the result

$$\text{Nat.add\_sub\_assoc} : \forall n m p : \text{nat}, p \leq m \rightarrow n + (m - p) = n + m - p$$

For that reason we will start by proving the following Lemma (which is another example of induction)

**Lemma 7 (onepow).**

$$\forall a n \in \mathbb{N}, a \neq 0 \rightarrow 1 \leq a^n$$

Recall that the definition of exponential in the natural numbers is also inductive:

```

Nat.pow = fix pow (n m : nat) struct m : nat :=
  match m with
  | 0 => 1
  | S m0 => n * pow n m0
end

```

That is we know that  $a^0 = 1$  and that  $a^{Sn} = a * a^n$ .

So in order to prove Lemma 7 we again need to use induction on  $n$ .

*Proof (informal).* Let us fix  $a$  and assume  $a \neq 0$ . The statement we need to show is

$$P(n) : 1 \leq a^n.$$

### Step one

The case  $n = 0$  is rather easy

$$P(0) : 1 \leq a^0$$

and since, by definition  $a^0 = 1$  this is equivalent to showing  $1 \leq 1$  which is trivial.

### Induction Step

Let us assume that

$$P(n) : 1 \leq a^n$$

holds and prove

$$P(n+1) : 1 \leq a^{(Sn)}.$$

By definition,  $a^{(Sn)} = a * a^n$  and we now employ the result

```

Nat.pow_le_mono_r : ∀ abc : nat, a ≠ 0 → b ≤ c → a^b ≤ a^c

```

In order to prove that  $a^n \leq a^{Sn}$  we then use transitivity of  $\leq$ .

The formal proof is not much more difficult.

```

Lemma onepow(a n:nat): (a <> 0) -> 1 <= a^n.
Assume (a ≠ 0) then prove (1 ≤ a^n).
Apply induction on n.
This is trivial.
Rewrite goal using the definition of Nat.pow.
Claim (a^n ≤ a^(Sn)).
Apply result Nat.pow_le_mono_r.
This follows from assumptions.
This is trivial.
Apply result (Nat.le_trans 1(a^n)(a^(Sn))).
This follows from assumptions.
This follows from assumptions.
Qed.

```

Do not forget the Qed so we can use the Lemma.

We are now ready for the other lemma. First define the other sum:

```

Fixpoint sum2 (n : nat) : nat :=
match n with
| 0 => 1
| S p => 2^n + (sum2 p)
end.

```

And state the Lemma.

```

Lemma s2 (n:nat): sum2 n = 2^(S n)-1

```

We will not give an informal proof but rather a annotated formal proof. We start by using induction.

```

Apply induction on n.

```

The first step is of course

Goal

```

(sum2 0 = (2^1) - 1)

```

Which is trivial

```

This is trivial.

```

We now need to look at the induction step

Goal

$n : \text{nat}$   
 $IHn : \text{sum2 } n = (2^{(Sn)}) - 1$   
 $\text{sum2 } S n = (2^{(S(S n))}) - 1$

We use the definition of sum2 to modify the goal:

Rewrite goal using the definition of sum2.

Goal

$n : \text{nat}$   
 $IHn : \text{sum2 } n = (2^{(S n)}) - 1$   
 $((2^{(S n)}) + (\text{sum2 } n) = (2^{(S(S n))}) - 1)$

We can now use the induction hypothesis IHn.

Replace (sum2 n) by  $((2^{(S n)}) - 1)$  in the goal.

To get

Goal

$n : \text{nat}$   
 $IHn : \text{sum2 } n = (2^{(S n)}) - 1$   
 $((2^{(Sn)}) + ((2^{(S n)}) - 1) = (2^{(S(S n))}) - 1)$

We now manipulate the right hand side of the equality by using

$\text{Nat.pow_succ.r'} : \forall a b : \text{nat}, a^{Sb} = a * a^b$

Rewrite the goal using  $(\text{Nat.pow_succ.r'} \ 2 \ (S n))$ .

to obtain



Goal

 $n : \text{nat}$  $IHn : \text{sum2 } n = (2^{(S\ n)}) - 1$ 

$$((2^{(Sn)}) + ((2^{(Sn)}) - 1) = (2 * (2^{(Sn)})) - 1)$$

Toi clean up a bit we will use

Denote  $(2^{(S\ n)})$  by  $x$ .

The result is

Goal

 $n : \text{nat}$  $IHn : \text{sum2 } n = (2^{(S\ n)}) - 1$ 

$$x + (x - 1) = (2 * x) - 1$$

Which seems that it should be immediate but we run into a bit of troubles because of the minus. We rewrite using

Rewrite the goal using `Nat.add_sub_assoc`.

This changes the goal to

Goal

 $n : \text{nat}$  $IHn : \text{sum2 } n = (2^{(S\ n)}) - 1$ 

$$(x + x) - 1 = (2 * x) - 1$$

But also creates a new goal:

Goal

 $n : \text{nat}$  $IHn : \text{sum2 } n = (2^{(S\ n)}) - 1$ 

$$1 \leq x$$

The first goal is easily solvedd by

Replace  $(x+x)$  by  $(2*x)$  in the goal. This follows from reflexivity. This is trivial.

And we are left with

Goal

$n : \text{nat}$   
 $IHn : \text{sum2 } n = (2^{(S \ n)}) - 1$

$1 \leq x$

We remember what  $x$  is and apply onepow:

Replace  $(x)$  by  $(2^{(S \ n)})$  in the goal. Rewrite the goal using onepow.

And we are left to show that  $1 \leq 1$  and  $\text{not}(0 = 2)$  both of which are trivial tasks.

This is trivial. This is trivial.

### Exercises:

Prove by induction:

1. The product of two consecutive integers is even.
2. The sum of two consecutive integers is odd.
3.  $\forall n \ x \in \mathbb{N}, 1 + nx \leq (1 + x)^n$ . (Hint: you might want to use `Nat.pow_mul_l`, `Nat.mul_le_mono_l`, `Nat.le_trans` and `le_plus_trans`)
4.  $\forall n \ m \in \mathbb{N}, 2^{n+m} = 2^n 2^m$
5.  $\forall n \ m \in \mathbb{N}, 2^{n*m} = (2^n)^m$  (Hint: you might want to use `Nat.mul_succ_l`, `Nat.pow_add_r` `Nat.pow_mul_l`.)
6.  $\forall n \in \mathbb{N}, n \leq 2^n$  (Hint: you might want to use `Nat.add_le_mono`).
7.  $\forall n \in \mathbb{N}, \sum_{i=1}^n i^3 = (\sum_{i=1}^n i^2)^2$ .

## 3.3 Divisibility

We start with a definition and a notation

Definition  $\text{div } a \ b := (\text{exists } n, b = a*n).$   
 Notation " $a \mid b$ "  $:= (\text{div } a \ b)$  (at level 10).

Let us first show something easy:

Lemma a: forall n, n | 0.  
 Fix an arbitrary element n.  
 Rewrite goal using the definition of div.  
 Prove the existential claim is true for 0.  
 This is trivial.  
 Qed.

And also

Lemma a: forall, 1 | n.  
 Fix an arbitrary element n.  
 Rewrite goal using the definition of div.  
 Prove the existential claim is true for n.  
 This is trivial.

Let us prove an induction statement about divisibility:

**Lemma 8.**

$$\forall n \in \mathbb{N}, 3 \mid 4^n - 1$$

Lemma c(n:nat) : 3 | (4^n - 1).  
 Apply induction on n.

The first induction step is easy to do, you quickly unfold the definitions and it becomes trivial.

Rewrite goal using the definition of Nat.pow.  
 Rewrite goal using the definition of div.  
 Prove the existential claim is true for 0.  
 This is trivial.

We are now left with the induction step:

Goal

$n : \text{nat}$   
 $IHn : 3 \mid 4^n - 1$

$3 \mid 4^{(S\ n)} - 1$

We unfold the definition of divides in  $IHn$  and find the  $s$  so that  $4^n - 1 = 3s$

Rewrite hypothesis  $IHn$  using the definition of `div`.  
Fix  $s$  the existentially quantified variable in  $IHn$ .

We now unfold the definition of power :

Rewrite goal using the definition of `Nat.pow`.

To get

Goal

$n : nat$   
 $IHn : 4^n - 1 = 3s$

$3 \mid (4 * 4^n) - 1$

We now modify a bit the statement  $IHn$  to be useful. That is we try to show  $3s = 4^n + 1$ .

Claim (  $3*s+1 = 4^n$  ).  
Replace (  $3 * s$  ) by (  $(4^n) - 1$  ) in the goal.

We therefore need to show

Goal

$n : nat$   
 $IHn : 4^n - 1 = 3s$

$((4^n) - 1) + 1 = 4^n$

This, sadly is not completely trivial. We need to use our old friend:

Apply result `Nat.sub_add`.

And we still have to show

Goal

$n : nat$   
 $IHn : 4^n - 1 = 3s$

$1 \leq 4^n$

Which is exactly the statement of `onepow` (and the trivial statement that  $\text{not}(0 = 4)$ ).

Apply result `onepow`.  
This is trivial.

We now make use of our newfound equality

Replace  $(4^n)$  by  $((3 * s) + 1)$  in the goal.

To get

Goal

$n : \text{nat}$   
 $IHn : 4^n - 1 = 3s$   
 $H : (3 * s) + 1 = 4^n$

$3 \mid 4 * (3s + 1) - 1$

We now unfold the definition of `div`

Rewrite goal using the definition of `div`.

And do some calculations (to be proved later) in the goal.

Replace  $(4 * ((3 * s) + 1))$  by  $(4 * (3 * s) + 4)$  in the goal.

Now we make Ann educated guess was to what the value of  $n0$  should be:

Prove the existential claim is true for  $(4*s+1)$ .

And we start proving that equality.

Replace  $(3 * (4 * s + 1))$  by  $(4*(3*s) +3)$  in the goal.

Clean the computation a bit with a notation

Denote  $(4 * (3 * s))$  by  $x$ .

And a few standard moves

Replace 4 by (3+1) in the goal.  
 Rewrite the goal using plus\_assoc.  
 Rewrite the goal using Nat.add\_sub.  
 This follows from reflexivity.

finishes the main goal. We still have to show a few easy assertions that we made on the way:

$$3 + 1 = 4,$$

This follows from reflexivity.

$$((4 * (3 * s)) + 3 = 3 * ((4 * s) + 1))$$

True by arithmetic properties.

$$\text{And finally } ((4 * (3 * s)) + 4 = 4 * ((3 * s) + 1))$$

True by arithmetic properties.

Note that most of our troubles stemmed from the subtraction issues.

Let us prove another standard statement.

**Lemma 9.** *The product of any 3 consecutive natural numbers is divisible by 3.*

Lemma consecutive (n:nat): 3 | n\*(n+1)\*(n+2).

We unfold the definition of div, start an induction and easily eliminate the first case:

Rewrite goal using the definition of div.  
 Apply induction on n.  
 Prove the existential claim is true for 0.  
 True by arithmetic properties.

We are left with

Goal

$n : \text{nat}$   
 $IHn : \exists n0 : \text{nat}, (n * (n + 1)) * (n + 2) = 3 * n0$

$(\exists n0 : \text{nat}, ((Sn) * ((Sn) + 1)) * ((Sn) + 2) = 3 * n0)$

This is really hard to read and so we fix the value of  $s$  in  $IH_n$  and replace  $S\ n$  by  $n+1$  (to be shown later).

Fix  $s$  the existentially quantified variable in  $IH_n$  .  
Replace  $(S\ n)$  by  $(n+1)$  in the goal.

We now make some more trivial replacement to be proved later:

Replace  $(n+1 + 1)$  by  $(n+2)$  in the goal. Replace  $(n + 1 +2)$  by  $(n+3)$  in the goal.

and one slightly more complicated:

Replace  $((n + 1) * (n + 2)) * (n + 3)$  by  $(n*(n + 1) * (n + 2) + 3*(n+1)*(n+2))$  in the goal.

Now we can use the induction Hypothesis:

Rewrite the goal using  $IH_n$ .

To get

Goal

$n : nat$   
 $IH_n : (n * (n + 1)) * (n + 2) = 3 * s$

$\exists n_0 : nat, (3 * s) + ((3 * (n + 1)) * (n + 2)) = 3 * n_0$

We can now guess what  $n_0$  should be:

Prove the existential claim is true for  $(s + (n+1)*(n+2))$ .

The rest of the proof is rather trivial:

True by arithmetic properties.  
True by arithmetic properties.  
True by arithmetic properties.  
True by arithmetic properties.  
True by arithmetic properties.

**Exercises:**

1. Prove by induction the product of 4 consecutive integers is divisible by 4.
2. Prove by induction that for any  $n \in \mathbb{N}$ ,  $5|6^n - 1$ .
3. Prove by induction that for any  $n \in \mathbb{N}$ ,  $5|7^n - 2^n$ .
4. Prove that if  $a, b, c \in \mathbb{N}$  then  $a|b$  and  $a|c$  implies that  $a|b + c$ .
5. prove or disprove the converse of the previous question, that is if  $a, b, c \in \mathbb{N}$  then  $a|b + c$  implies that  $a|b$  and  $a|c$ .
6. Prove or disprove the following if  $a, b, c \in \mathbb{N}$  and  $a|bc$  then  $a|b$  and  $a|c$ .



## Chapter 4

# Cartesian products, relations, functions

In this section we will discuss the cartesian product of two sets and their subsets with we will call (binary) relations. In most mathematics textbooks functions are defined to be special kinds of relations. Just like in Section 2.2 we define functions as relations initially in order for the reader to become comfortable with the concept. In order to take advantage of the fact that, from the point of view of type theory, functions are primitive concepts we will we will modify the definitions later on.

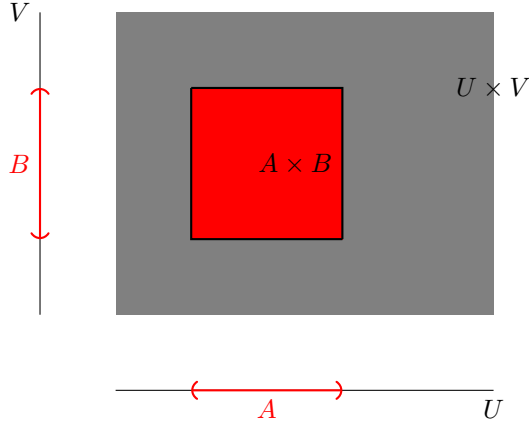
### 4.1 Cartesian products, relations

The notion of Cartesian product of two sets is very natural, it is the set of pairs of element one from  $A$  and one from  $B$ .

**Definition 2.** If  $A$  and  $B$  are sets then:

$$A \times B = \{(a, b) \mid a \in A \wedge b \in B\}$$

.



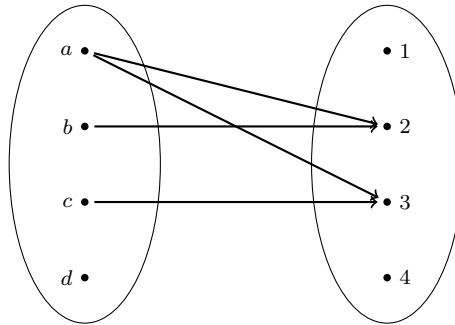
For example if  $A = \{1, 2, 3\}$  and  $B = \{a\}$  then  $A \times B = \{(1, a), (2, a), (3, a)\}$ . Note that from the point of view described in sections 2.2 and 2.4, if  $A$  is of type *Ensemble*  $U$  and  $B$  is of type *Ensemble*  $V$  then  $A \times B : \text{Ensemble}(U * V)$ . Note also that  $A * B$  is endowed with two projections  $\text{fst} : A * B \rightarrow A$  and  $\text{snd} : A * B \rightarrow B$ . We use these to define:

```
Definition prod ( U V:Type) (A :Ensemble U)(B:Ensemble V): Ensemble
(U*V):=fun x=> ((fst x) ∈ A ∧ (snd x) ∈ B) .
```

and

```
Notation "A 'X' B" :=(prod _ _ A B)( at level 40) .
```

We also define a (binary relation)  $A$  with domain  $A$  and range  $B$  as subset of  $A \times B$ . One can think of a relations as a way of associating elements form  $A$  and  $B$ . For example, assume that  $A = \{a, b, c, d\}$  and  $B = \{1, 2, 3, 4\}$  and  $R = \{(a, 2), (a, 3), (b, 2), (c, 3)\}$ . We can represent this as:



Relations can represent various real life connections. For example one can think of a relation with domain the set of all people and range the set of all cars where a pair  $(a, b) \in R$  if the person  $a$  has ever been in car  $b$ . We can also think of more mathematical relations, for example the relation  $R$

with domain  $\mathbb{R}$  and range  $\mathbb{R}$  given by  $(x, y) \in R$  and only if  $y = x^2$ . We sometimes call this relation the graph of the function  $f : \mathbb{R} \rightarrow \mathbb{R}, f(x) = x^2$ .

```
Definition isrel ( U V:Type) (A :Ensemble U)(B:Ensemble V) (R:Ensemble (U*
V)) : R  $\subseteq$  (AXB).
```

Here is an example, let us define the relation less than with domain natural numbers and range all numbers larger than 1 and prove it is a relation. Note that we will not go into details about natural numbers here as we will do so in Chapter 3.

```
Definition allnat:Ensemble nat: fun x=> True.
Definition strictlypos:Ensemble nat := fun x=> x>0.
Definition mylt:Ensemble nat*nat:= fun a => fst a < snd a.
```

Let us prove that melt is a relation with domain allnat and range strictlypos.

```
Lemma a: isrel nat nat allnat strictlypos mylt.
```

The first few steps are just unfolding of definitions:

```
Rewrite goal using the definition of isrel.
Rewrite goal using the definition of Included.
Fix an arbitrary element a.
Assume (a  $\in$  melt) then prove (a  $\in$  (allnat X strictlypos)).
Rewrite hypothesis Hyp using the definition of (In, mylt).
Rewrite goal using the definition of (In, prod).
Rewrite goal using the definition of (In, allnat).
Prove the conjunction in the goal by first proving True then (strictlypos
(snd a)). This is trivial.
Rewrite goal using the definition of strictlypos.
```

We are now left with the goal

Goal

```
a : nat * nat
Hyp : fst a < snd a

0 < snd a
```

We now try to search for some useful theorem, one that implies that 0 is smaller than something:

```
SearchPattern (( _ -> 0 < _ )).
```

The result includes

```
Nat.lt_lt_0:  $\forall nm : nat, n < m \rightarrow 0 < m$ 
```

And so if we do

```
Apply result (Nat.lt_lt_0 (fst a) (snd a)).
```

We only need to use the assumptions.

On the other hand

On the other hand, mylt is not a relation with domain strictlypositive.

```
Lemma a: not ( isrel nat nat strictlypos allnat mylt).
```

We can prove this by first unfolding some definitions:

```
Rewrite goal using the definition of not.
Assume (isrel nat nat strictlypos allnat mylt) then prove False.
Rewrite hypothesis Hyp using the definition of isrel.
Rewrite hypothesis Hyp using the definition of Included.
```

To get

Goal

```
Hyp :  $\forall x : nat * nat, (x \in mylt) \rightarrow (x \in (strictlypos \times allnat))$ 
```

```
False
```

Now we choose the element  $(0, 1)$  and we show that  $(0, 1) \in mylt$  and  $(0, 1) \notin (strictlypos \times allnat)$  obtaining a contradiction.

The proof of  $(0, 1) \in mylt$  is very easy:

```
Claim ((0,1)  $\in$  mylt). Rewrite goal using the definition of (In, mylt). This
is trivial.
```

The proof of  $(0, 1) \notin (strictlypos \times allnat)$  is a bit harder:

```

Claim (not ((0,1) ∈ (strictlypos × allnat))).
Rewrite goal using the definition of (In, prod).
Rewrite goal using the definition of (In, strictlypos).
Rewrite goal using the definition of allnat.
Rewrite goal using the definition of not.
Assume (0 < fst (0, 1) ∧ True ) then prove False.
Eliminate the conjunction in hypothesis Hyp0.
Claim (0<0) by rewriting H0 using (fst (0,1)).

```

At this point we have:

Goal

```

Hyp : (∀ x : nat * nat, (x ∈ mylt) → (x ∈ (strictlypos × allnat)))
H : (0, 1) ∈ mylt
H0 : 0 < fst(0, 1)
H1 : True
H2 : 0 < 0

False

```

And we look for a theorem about not (¬i-)

```
SearchPattern (not (¬< _))
```

.

To get

```
Nat.nlt_0_r: ∀ n : nat, ¬n < 0
```

We then apply this theorem and the rest is straightforward.

```

Apply result (Nat.nlt_0_r 0 H2).
Apply result H0 .
Apply result Hyp .
This follows from assumptions.

```

## 4.2 Binary relations on a set

There is an especially important subclass of general relations. If  $A$  is a type, a binary relation on  $A$  is a subset of  $A \times A$ . This concept includes many examples you already know. Here are some natural examples:

1. if  $A$  is any set the relation of equality can be viewed as the set

$$\{(a, a) \mid a \in A\}$$

2. If the set  $A$  is some subset of real numbers you can define the relation of order

$$\{(a, b) \mid a, b \in A \wedge a < b\}$$

3. If  $A = \mathbb{Z}$  you can define divisibility relation

$$\{(a, b) \mid a, b \in \mathbb{Z} \wedge a \mid b\}$$

4. if  $A = \mathbb{Z}$  you can define the “= mod 3” relation as the set:

$$\{(a, b) \mid a, b \in \mathbb{Z} \wedge 3 \mid a - b\}$$

5. if  $A$  is the set of all people you define two people to be related if they are from the same family.

We now describe things in Spatchcoq. For simplicity, in this section the set  $A$  will be fixed and we will see relations not as in 4.1 but as predicates of two variables, that is as elements of type  $A \rightarrow A \rightarrow Prop$ . For that end we will use directly the package Relations in Coq.

```
Require Import Relation
```

For example, we can define the equality relation on a type  $A$  as

```
Definition eq (A:Type): relation A := fun a b => a=b.
```

You can (re)define the order on natural numbers as :

```
Definition mylt:relation nat:= fun a b => a<b.
```

Divisibility can be defined as:

```
Local Open Scope Z_scope.
Definition div:relation Z: fun a b => exists c:nat, b= a*c.
```

And we can define the mod 3 on  $\mathbb{Z}$  as

```
Definition mod3:relation Z: fun a b => div 3 (a-b).
```

### !Warning!

Note that for the definitions above I needed the integers and not the natural numbers, if we defined this in the natural numbers you will run into troubles regarding minus as in in

For simplicity very often we will also use the following common notation: if  $R$  is a relation on the set  $A$  and  $(a, b) \in R$  we will write  $aRb$ .

Some relations have certain properties that are useful. We list them in the definition bellow.

**Definition 3 (reflexive).** A relation  $R \subseteq A \times A$  is *reflexive* if  $\forall a \in A, aRa$ , that is any element is related to itself.

Note that this definition is already there

```
Print reflexive.
```

gives

```
reflexive = λ (A : Type) (R : relation A), ∀ x : A, R x x
```

For example equality and  $=\text{mod}3$  are reflexive relation but “ $\neq$ ” is not. Here is a proof for mod3

```
Lemma a:reflexive Z mod3.
```

*Proof (informal).* We need to show that  $\text{forall } x \in \mathbb{Z}, \text{mod}3xx$ . We fix a  $x$  and rewrite the definition of mod3. We therefore need show that  $\text{div}3(x - x)$ . If we rewrite the definition of divide we need to show that  $\exists c \in \mathbb{Z}, (x - x) = 3c$ . It remains to pick  $c = 0$ .

*Proof (formal).*

```

Rewrite goal using the definition of reflexive.
Fix an arbitrary element x.
Rewrite goal using the definition of mod3.
Rewrite goal using the definition of div.
Prove the existential claim is true for 0.
True by arithmetic properties.

```

**Definition 4 (symmetric).** A relation  $R \subseteq A \times A$  is *symmetric* if  $\forall ab \in A, aRb \rightarrow bRa$ .

As before:

```
Print symmetric.
```

Gives

```
symmetric = λ (A : Type) (R : relation A), ∀ x y : A, R x y → R y x
```

We will now prove that mod3 is also symmetric:

```
Lemma a:symmetric Z mod3.
```

*Proof (informal).* We need to show that  $\forall x y \in \mathbb{Z}, \text{mod}3 x y \rightarrow \text{mod}3 y x$ . To do so we fix  $x$  and  $y$  and use the definitions of mod3 respectively div. We are left to prove that  $\exists c : \mathbb{Z}, x - y = 3 * c \rightarrow \exists c : \mathbb{Z}, y - x = 3 * c$  and so we assume that  $\exists c : \mathbb{Z}, x - y = 3 * c$  and prove that  $\exists c : \mathbb{Z}, y - x = 3 * c$  if we pick the  $c$  so that  $x - y = 3c$  then it is not too hard to see that  $y - x = 3(-c)$ .

The formal proof is slightly harder.

*Proof (formal).*



```

Rewrite goal using the definition of symmetric.
Fix an arbitrary element x.
Fix an arbitrary element y.
Rewrite goal using the definition of mod3.
Rewrite goal using the definition of div.
Assume (∃ c : Z, x - y = 3 * c) then prove (∃ c : Z, y - x = 3 * c).
Fix c the existentially quantified variable in Hyp .
Prove the existential claim is true for (-c).
Replace (3 * c) by (x - y) in the goal.
Claim (3*(-c) = -(3*c)).
True by arithmetic properties.
Rewrite the goal using H .
Replace (3 * c) by (x - y) in the goal.
True by arithmetic properties.

```

Note that the “Claim  $(3*(-c) = -(3*c))$ .” is needed here while it was implicitly used in our informal proofs.

**Definition 5 (transitive).** A relation  $R \subseteq A \times A$  is *transitive* if  $\forall abc \in A, aRb \wedge bRc \rightarrow aRc$ .

However

```
Print transitive.
```

Gives

```
transitive = λ (A : Type) (R : relation A), ∀ x y z : A, R x y → R y z → R x z
```

This looks a bit different but the two are equivalent. See for example exercise andimp ar page 28.

Let us prove that mod3 is transitive

**Lemma 10.** *Congruence modulo 3 is transitive.*

*Proof (informal).* We need to show that  $\forall x y z \in \mathbb{Z}, \text{mod3 } x y \rightarrow \text{mod3 } y z \rightarrow \text{mod3 } x z$ . To do so we fix  $x, y$  and  $z$  and use the definitions of mod3 respectively div. As usual, we assume that know that  $\text{mod3 } x y$  and  $\text{mod3 } y z$  that is  $3|(x - y)$  and  $3|(y - z)$ .

In other words there exist  $k$  and  $l$  so that  $(x - y) = 3k$  and  $(y - z) = 3l$ . If we add these two equalities we see that  $x - y + y - z = 3l + 3k$  and so, after simplification  $x - z = 3(k + l)$  and so  $\text{mod3 } x z$ .

We now rewrite this proof formally.

Lemma trans: transitive Z mod3.

```

Rewrite goal using the definition of transitive.
Fix an arbitrary element x.
Fix an arbitrary element y.
Fix an arbitrary element z.
Rewrite goal using the definition of mod3.
Rewrite goal using the definition of div.
Assume ( $\exists c : \mathbb{Z}, x - y = 3 * c$ ) then prove
(( $\exists c : \mathbb{Z}, y - z = 3 * c$ )  $\rightarrow$  ( $\exists c : \mathbb{Z}, x - z = 3 * c$ )).
Assume ( $\exists c : \mathbb{Z}, y - z = 3 * c$ ) then prove ( $\exists c : \mathbb{Z}, x - z = 3 * c$ ).
Fix c the existentially quantified variable in Hyp .
Fix d the existentially quantified variable in Hyp0 .
Prove the existential claim is true for (c+d).
Claim ( $3*(c+d) = 3*c+3*d$ ).
True by arithmetic properties.
Replace ( $3 * (c + d)$ ) by ( $(3 * c) + (3 * d)$ ) in the goal.
Replace ( $3 * c$ ) by ( $x - y$ ) in the goal.
Replace ( $3 * d$ ) by ( $y - z$ ) in the goal.
True by arithmetic properties.

```

### 4.3 Functions

The definition of a function is another place where we see an important difference between set theory and type theory. In set theory a function  $f : A \rightarrow B$  is a special kind of relations. More precisely, a function is a subset  $f \subseteq A \times B$  so that for any element  $x \in A$  there exists a unique element  $y \in B$  so that  $(x, y) \in f$ .

In type theory functions are if  $A$  and  $B$  are types, the type  $A \rightarrow B$  is primitive concept, called the type of functions from  $A$  to  $B$ . A function  $f : A \rightarrow B$  is an element of that type. Functions have the property that they can be applied, that is if  $f : A \rightarrow B$  and  $a : A$  then  $f a : B$ .

Indeed we have seen this in action in the propositional calculus sections where we saw that if  $P$   $Q$  are two propositions and we have  $h : P$  ( $h$  a proof of  $P$ ) and  $f : P \rightarrow Q$  ( $f$  a proof of  $P \rightarrow Q$ ) then implication elimination rule tells us that  $(fh) : Q$  ( $(fh)$  is a proof of  $Q$ ). We choose not to dwell on this too much. In Subsection 4.3.1 we first define functions as a relation, in the sense of set theory. Later in Subsection 4.3.2 we change the definitions slightly for the sake of efficiency. In both cases we use Coq's type classes to define functions.

### 4.3.1 Functions as relations

**Definition 6.** If  $A$  and  $B$  are sets, a function  $f : A \rightarrow B$  is a relation  $f \subseteq A \times B$  so that for any element  $x \in A$  there exists a unique element  $y$  in  $B$  so that  $(x, y) \in f$ . We denote the element  $y$  by  $f(x)$ .

In this subsection we will define function as relations, we start by recalling Ensembles as well as the notations there.

```
Module funct.
Require Import Ensembles.
Notation "x ∈ A" := (In _ A x) (at level 10).
Notation "A ⊆ B" := (Included _ A B) (at level 10).
Notation "A ∪ B" := (Union _ A B) (at level 8).
Notation "A ∩ B" := (Intersection _ A B) (at level 10).
```

We now define a function. To do so we use a new Coq concept, that of a Type Class.

### Short intro to Records and Classes

Records are macros to introduce new inductive types. They are similar to records in other programming languages. The standard form of a record is:

```
Record Id [( p1 : t1) ... ( pn: tn)] [: sort] := { f1 : u1; ... fm : um }.
```

The (optional)  $p$ 's are called parameters and the  $f$ 's are called methods. Some of the parameters can be defined to be optional. For example let us define a point in  $\mathbb{N}^2$  as

```
Record point := {x:nat; y:nat}.
```

You can now try to look at the type of point and print its details:

```
Check point.
```

```
point : Set
```

So far so good, point is just a type. If we try to find out more:

```
Search point.
```

We get more information:

```
x: point → nat
Build_point: nat → nat → point
y: point → nat
```

This means that we are offered two projection,  $x$  and  $y$  and a constructor `Build_point`. For example:

```
Check x.
```

will give the message

```
x : point → nat
```

In particular you need to be careful with the naming of the record methods as, for example, you cannot use  $x$  anymore in this context.

So we can make a point by writing:

```
Definition a := Build_point 2 4.
```

or

```
Definition a := { | x := 2; y := 4 | }
```

and then we can use the  $x$ -component of  $a$  as either  $(xa)$  or as  $a.(x)$ . For example:

```
Eval compute in (a.(x) + (y a)).
```

will give

```
= 6 : nat
```

In defining new concept, the preferred Coq choice is that the classes have as many parameters as possible and the sort is `Prop` (this is called unbundling). For example we can define the set of points above the main diagonal two ways:

```
Record above := { p : point; cond : p.(x) < p.(y) }.
```

This has the advantage of named projection, however defining such a point is a bit strange:

```

Definition a1:above.
  Apply result (Build_above a). Rewrite goal using the definition of (x,y,a).
  This is trivial. Defined.

```

Note that this is another first, an interactive definition. We do not have an immediate proof of the cond a and so we do it interactively. The ending could have been Qed. like with other theorems but Defined makes it more transparent.

The other choice

```

Record above1 (p:point):=cond1:p.(x)<p.(y).

```

The corresponding definition is slightly more natural:

```

Definition a2:above1 a.
  Rewrite goal using the definition of above1.
  Rewrite goal using the definition of (x,y,a).
  This is trivial. Defined.

```

However we now lack the automatic projection p that the first definition offers. We can of course define it ourselves:

```

Definition pt {s:point} (r:above1 s ):= s.

```

In this we take a point s (and declare it implicit) and then for r a structure of type (above1 s) (which is indeed a proposition) we define (pt r) to be s.

We can check and compute:

```

Check (pt a2.)

```

gives

```

pt a2 : point

```

and

```

Eval compute in (pt a2)

```

gives

```

= {— x := 2; y := 4 —} : point

```

However, if you try to prove something about a record, for example if you want to prove the trivial statement that if  $p$  is a point of type `above1` then its  $x$  coordinate is smaller than its  $y$  coordinate.

```
Lemma a {p:point} {r:above1 p}: (p.(x)) < (p.(y)).
Apply result cond1.
This is trivial.
```

Of course this is a baby example so it is not too complicated, nevertheless, for more complicated examples, Coq offers a better choice: Type Classes. These are inspired by Haskell's typeclasses and are actually just records + some syntactic goodies that allow for more compact writing. We will define the `above1` slightly differently, by calling it a class.

```
Class above2 (p:point) := cond2 : p.(x) < p.(y).
```

There is no difference between the two aside from the command which is `Class` rather than `record`. Moreover, if we try to see what happened:

```
Print above2.
```

we get

```
Record above2 (p : point) : Prop := Build_above2 { cond2 : x p < y p }
```

which is exactly the same thing we got for `above1`. SO what is the difference you ask? Here is how you write the previous Lemma

```
Lemma b '{r:above2}: (p.(x)) < (p.(y)).
Apply result cond2. Qed.
```

Note that we did not have to define the point in question, it was automatically defined by our construction `{r : above2}`. This is called implicit generalisation and it will generalise all the variables that are needed (in this case just  $p$ ) with the same names as in the class. We also save one line as the `cond2` condition immediately solves the problem.

One can argue that it is not such a big save but, again, this is a toy example. Often the structures we care about are dependent of many variables and might have many methods. The first example is a function:

## Functions

A function will be a record that depends on 3 parameters: domain, codomain and rule. It will only have 2 methods, one that insures existence of an output for any input and the other that insures the uniqueness of this output. Here is the definition:

```
Class func {U V :Type}
(domain:Ensemble U)
(codomain:Ensemble V)
(rule:Ensemble (U*V)) :Type:=
{ existence:forall x, (x∈domain) -> exists y, (y ∈ codomain ) ∧ (x,y) ∈
rule;
uniqueness:forall x y z,
(x ∈ domain) ∧ (x, y) ∈ rule ∧ (x, z) ∈ rule → y=z; }.
```

Note that now a function depends of 5 parameters, U, V, domain, codomain. If we had used just a Record, in order to define the rule projection we need to specify that we are given U, V, D, cD, R (implicitly) and an explicit function r with domain D, codomain cD and rule R, and then then func\_rule r is R.

```
Definition func_rule {U V:Type} {D:Ensemble U} {cD:Ensemble V} { R }
(r:func domain codomain rule ):=R.
```

using our Class definition we only need to do:

```
Definition func_rule '{r:func}:rule.
```

The same kind of savings will appear in Theorems and definitions. We define the other two projections:

```
Definition func_dom '(r:func) :=domain. Definition func_codom
'(r:func):=codomain.
```

We also give a notation to simplify things.  $f[x] = y$  means exactly as expected, the fact that the application of the rule of f to x is y. In particular

```
Notation "f '[' x ']'=" y" := (func_rule f (x,y)) (at level 10). End funct.
```

Now let us prove the following better written version of uniqueness:

```
Lemma aa '{f : func}: forall x y z ,x (domain) → (f[x]=y ) ∧ (f[x]=z) →
y=z.
```

Note the resulting goal:

Goal

```
U : Type
V : Type
domain : EnsembleU codomain : EnsembleV
rule : EnsembleU * V f : func domain codomain rule

(∀(x : U)(y z : V), x ∈ domain → f[x] = y ∧ f[x] = z → y = z)
```

```
Fix an arbitrary element x.
Fix an arbitrary element y.
Fix an arbitrary element z.
Assume (x ∈ domain) then prove ( (f [x] = y) ∧ (f [x] = z) → y = z ).
Assume ((f [x] = y) ∧ (f [x] = z) ) then prove (y = z).
Apply result ((uniqueness x)).
Prove the conjunction in the goal by first proving (xdomain) then ( (x, y)
∈ rule ∧ (x, z) ∈ rule ).
This follows from assumptions.
This follows from assumptions.
Qed.
```

We will now prove that the relation  $f \in \mathbb{N} \times \mathbb{N}$  given by  $(x, y) \in f \leftrightarrow y = 2x$  is indeed a function. The informal proof is quite trivial but the formal one take a bit of work:

```
Definition allnat: Ensemble nat:=fun x =>True.
Definition ff:(Ensemble (nat*nat)) := fun z => match z with |(x , y)=>2*x=y
end.
Definition f:func allnat allnat ff.
```

We first eliminate the definition:

```
Rewrite goal using the definition of @func.
```

this gives two different goals, the existence and uniqueness one. The first is rather easy



Rewrite goal using the definition of  $(In, ff, allnat)$ .  
Fix an arbitrary element  $x$ .  
Assume  $(True)$  then prove  $(\exists y : nat, y \in allnat \wedge (x, y) \in ff)$ .  
Prove the existential claim is true for  $(2*x)$ .  
This is trivial.

Fix an arbitrary element  $x$ .  
Fix an arbitrary element  $y$ .  
Fix an arbitrary element  $z$ .  
Rewrite goal using the definition of  $(In, ff, allnat)$ .  
Assume  $(True \wedge ((2 * x = y) \wedge (2 * x = z)))$  then prove  $(y = z)$ .  
Eliminate the conjunction in hypothesis Hyp.  
Eliminate the conjunction in hypothesis H0.  
Replace  $z$  by  $(2 * x)$  in the goal.  
Replace  $y$  by  $(2 * x)$  in the goal.  
This follows from reflexivity.  
Defined.

**!Warning!**

Note the syntax

Rewrite goal using the definition of @func.

This makes all implicit arguments explicit and helps spatchcoq guess the implicit arguments (in some ways it is the dual of ‘). Indeed, try

Check func.

to get

```
func
: Ensemble ?U → Ensemble ?V → Ensemble (?U * ?V) → Prop

where
?U : [ ⊢ Type]
?V : [ ⊢ Type]
```

respectively

Check @func.

to get

```
@func
: ∀ U V : Type, Ensemble U → Ensemble V → Ensemble (U * V) → Prop
```

The alternative to write @ is:

Rewrite goal using the definition of (func allnat allnat ff).

We shall prove that, on  $Z$  the relation  $f \subseteq \mathbb{Z} \times \mathbb{Z}$  given by  $(x, y) \in f$  if  $y^2 = x$  is not a function because it fails uniqueness.

```
Open Scope Z_scope.
Definition allZ: Ensemble Z:=fun x ⇒ True.
Definition fZ:(Ensemble (Z* Z)) := fun z ⇒ match z with |(x , y) ⇒ x = y^2
end.
Lemma notfun: not(func allZ allZ fZ).
```

Note that trying directly

Rewrite goal using the definition of @func.

will not work so we first do:

```
Rewrite goal using the definition of not.
Assume (func allZ allZ fZ) then prove False.
```

And then:

```
Rewrite hypothesis Hyp using the definition of @func.
```

to obtain a goal that does not involve any function notations.

Goal

```
existence0 :  $\forall x : Z, x \in \text{allZ} \rightarrow \exists y : Z, y \in \text{allZ} \wedge (x, y) \in fZ$ 
uniqueness0 :  $\forall x : Z, x \in \text{allZ} \rightarrow \exists y : Z, y \in \text{allZ} \wedge (x, y) \in fZ$ 
```

```
False
```

Next we prove that  $-1 \neq 1$  based on the trivial fact that  $(-1 < 1)$  and the statement

```
Z.lt_neq :  $\forall n\ m : Z, n < m \rightarrow n \neq m$ 
```

```
Rewrite hypothesis uniqueness0 using the definition of (In, fZ, allZ).
Claim (-1 = 1).
Apply result (uniqueness0 1).
This is trivial.
Claim (-1 ≠ 1).
Apply result Z.lt_neq.
Rewrite goal using the definition of le.
Apply result H0.
This follows from assumptions.
```

We will stop here with the generalities about functions. In the next section we will assume that you already know how to prove that a relation is a function and redefine functions using the primitive notion of a function in Coq. Before that here are some practice questions.

### Exercises:

1. Prove or disprove that the following relations are functions.

- a.  $f \subseteq \mathbb{N} \times \mathbb{N}$  given by  $(x, y) \in f$  if  $y = 2x + 1$ .
- b.  $f \subseteq \mathbb{N} \times \mathbb{N}$  given by  $(x, y) \in f$  if  $y = x - 1$ .

- c.  $f \subseteq \mathbb{N} \times \mathbb{N}$  given by  $(x, y) \in f$  if  $x = y + 1$ . (do you see a difference from the previous one?)
  - d.  $f \subseteq \mathbb{N} \times \mathbb{N}$  given by  $(x, y) \in f$  if  $x - y = 1$ . (do you see a difference from the previous one?)
  - e.  $f \subseteq \mathbb{Z} \times \mathbb{Z}$  given by  $(x, y) \in f$  if  $x - y = 1$ . (do you see a difference from the previous one?)
  - f.  $f \subseteq \mathbb{Z} \times \mathbb{Z}$  given by  $(x, y) \in f$  if  $x = y + 1$ .
  - g.  $f \subseteq \mathbb{N} \times \mathbb{N}$  given by  $(x, y) \in f$  if  $y = 2x + 1$ .
  - h.  $f \subseteq \mathbb{N} \times \mathbb{N}$  given by  $(x, y) \in f$  if  $x = y^2$ .
  - i.  $f \subseteq \mathbb{N} \times \mathbb{N}$  given by  $(x, y) \in f$  if  $y = x^2$ .
2. Prove that if  $f$  and  $g$  are functions and  $\text{codomain } f \subseteq \text{domain } g$  then we can define a function  $g \circ f$  whose domain is the domain of  $f$  and codomain is the codomain of  $g$ .

### 4.3.2 Functions in Coq

As mentioned in the last section, from now on we will assume that the reader is confident about what makes a relation a function and, for that reason, we will only use functions. Moreover we will redefine functions using the function type formalism of Coq.

Recall that a function from a type  $A$  to a type  $B$  is an element  $f$  of type  $A \rightarrow B$  which can be applied to an element  $a : A$  to get an element  $(fa) : B$ . The usual ( $\lambda$ -style) description of a function is

$$fun\ x \Rightarrow t$$

where  $t$  is a formula that might depend of  $x$  but when  $x$  is replaced by an element  $a : A$  (this is called  $\beta$  reduction and it is denoted by  $t[a/x]$ ) we will get an element of type  $B$  (see E.1). We have seen many such examples in Section 1.3 and Chapter 2 where functions were always predicates, that is functions from a type to  $\text{Prop}$ . Nevertheless here are some examples.

*Example 1.* 1. any element of type  $\text{Ensemble } U$  where  $U$  is a type.

2. Any proof of an implication  $P \rightarrow Q$  where  $P$  and  $Q$  are propositions.

3. the successor function:

Definition f:= fun x => S x.

4. Definition f:= fun x =>  $x^3 = 2 * x$ .

We now modify our previous definition of a function:

```
Class func {U V :Type} (domain:Ensemble U)(codomain:Ensemble V)
(rule:U -> V) :Type:=
{ closure:forall x, (x∈domain) -> rule x ∈ codomain; }.
```

Note that the existence and uniqueness notions have been absorbed in the intrinsic definition of a function so we only need to check that an element of the domain is mapped to an element of the codomain. We also define the three projections and the notation:

```

Definition func_rule '(r:func):=rule.
Definition func_dom '(r:func) :=domain.
Definition func_codom '(r:func):=codomain.
Notation "f '[' x ']" ":= (func_rule f x) (at level 50).
End funct.

```

Let us look at the previous proofs, we first prove the uniqueness that we proved last time:

```

Import funct.
Lemma aa '{f : func}: forall x y z, x ∈ (domain) → (f [ x ] = y ) ∧ (f [ x ] =z) → y=z.

```

The proof will turn out to be significantly more standard. We first do the standard logic tactics:

```

Fix an arbitrary element x.
Fix an arbitrary element y.
Fix an arbitrary element z.
Assume (x ∈ domain) then prove ( (f [x ]= y) ∧ (f [x ]= z) → y = z ).
Assume ((f [x ]= y) ∧ (f [x ]= z) ) then prove (y = z).
Eliminate the conjunction in hypothesis Hyp0.

```

At this point we have

Goal

```

U : Type
V : Type
domain : Ensemble U
codomain : Ensemble V
rule : U → V
f : func domain codomain rule
x : U
y, z : V Hyp : x ∈ domain
H : f[x] = y
H0 : f[x] = z

y = z

```

and so if we rewrite the goal using  $H$  the goal becomes  $H0$ , an assumption.

```

Rewrite the goal using H.
This follows from assumptions.
Qed.

```

We prove that the function  $f(x) = 2x$  is a function with domain  $\mathbb{N}$  and codomain all the even natural numbers. We first define the relevant sets:

```

Definition allnat: Ensemble nat:=fun x => True .
Definition even: Ensemble nat:=fun x => ∃ y, x=2*y .
Definition ff:= fun x => 2*x.

```

And now we define/prove the statement:

```

Definition f:func allnat even ff.

```

As usual we start by some standard unfurling of definitions and logical steps.

```

Rewrite goal using the definition of (func allnat even ff).
Rewrite goal using the definition of (In,ff, allnat).
Fix an arbitrary element x.
Assume True then prove (even (2 * x)).
Rewrite goal using the definition of even.

```

To arrive at some rather trivial goal:

Goal

```

x : nat
Hyp : True

```

```

∃ y : nat, 2 * x = 2 * y

```

Which can be immediately proved.

```

Prove the existential claim is true for x.
This follows from reflexivity.
Defined.

```

Given two functions  $f : B \rightarrow C$  and  $g : A \rightarrow B$  we define their compositions as a function

$$f \circ g : A \rightarrow C; f \circ g(x) = f(g(x)).$$

Here is the (somewhat elaborate definition in Spatchcoq:

```

Definition comp U V W:Type
dom2: Ensemble U codom2:Ensemble V codom1:Ensemble W rule1:V->W rule2:U->V
(f1:func codom2 codom1 rule1 )
(f2:func dom2 codom2 rule2):
func (func_dom f2) (func_codom f1) (fun x => f1 [ f2 [x] ] ).

```

Of course this is a definition that needs a proof. we need to show that if  $x \in A$  then  $f \circ g(x) \in C$ .

```

Rewrite goal using the definition of @func. Fix an arbitrary element x.
Rewrite goal using the definition of (@func_rule, @func_dom, @func_codom).
Assume (x ∈ dom2) then prove ((rule1 (rule2 x)) ∈ codom1).
Rewrite hypothesis f1 using the definition of @func.
Apply result closure0.
Rewrite hypothesis f2 using the definition of @func.
Apply result closure1.
This follows from assumptions.
Defined.

```

Let us now define another function  $g$  from even numbers to  $\mathbb{N}$  given by  $f(x) = x/2$ . The proof is similar to the previous one.

```

Definition g:func even allnat ff1.
Rewrite goal using the definition of @func.
Fix an arbitrary element x.
Rewrite goal using the definition of (In, allnat, ff1)
. Defined.

```

We can compute  $f \circ g(2)$ .

```

Eval compute in ( (comp f g) [2] ).

```

to see that we get 2. IN fact we can prove this is always the case.

```

Lemma a: forall x, (comp g f)[x]=x.
Rewrite goal using the definition of ( @func_rule).
Rewrite goal using the definition of (ff, ff1).
Fix an arbitrary element x.

```

We now look for a theorem:

```
SearchPattern ((*_/_= _)).  
Claim (2*x=x*2).  
This is trivial.  
Replace (2 * x) by (x * 2) in the goal.  
SearchPattern ((*_/_= _)).  
Apply result Nat.div_mul.  
This is trivial.  
Qed.
```



## Chapter 5

# Finite Sets, Combinatorics



## Chapter 6

# Algebraic Structures

This Chapter proposes to raise the level of abstraction that you encounter. I hope that the abstract concepts you already encountered via SpateCoq will help the transition. We will describe general abstract concepts and note many of the notions encountered in the previous sections appear as special cases. This way of doing mathematics is almost as old as civilisation itself. Throughout the ancient world scholars made various attempts at abstraction in order to formulate general solutions to practical or theoretical problems. We can see that in Babilonian solutions to quadratic equations [15], the geometric algebra of Eyipt [14], Greece [6] and China [1]. It was not until Diophantus and Muhammad ibn Musa al-Khwaarizmî that the fundamentals of formal algebra started to emerge. They will be completely formalised by Viete and Descartes in the 16th and 17th century. See [19] and [21] for excellent historical records of the development of algebra.

In the 19th and 20th century Algebra saw a complete rejuvenation. A general idea started to crystallise, general abstract structures can be defined and described and results about those structures will then specialised to many areas of Mathematics. Abstract or Modern Algebra was born. A plethora of such structures appeared, groups, rings, fields, algebras and, more recently categories. This book will not even scratch the surface of the rich and beautiful field. The interested reader should run to the nearest library and borrow a copy of Shafarevich's gem [18] and read it before the week is over.

Some of the most resounding successes of Proof assistants were in the realm of Abstract Algebra. Indeed the large effort of Gonthier and his team lead to the formalisation of the Odd Order Theorem, one of the most difficult theorems in the Classification of Finite Simple Groups. I am a huge admirer of Mathematical Components and ssreflect. Unfortunately, I think that while they are beautiful and effective their austerity makes them a bit unsuitable for teaching at this level. The more advanced reader should definitely check the gorgeous book by Assia Mahboubi and Enrico Tassi (with contributions by Yves Bertot and Georges Gonthier) [11]. I will restrict to the vanilla version of Coq and will sacrifice elegance and briefness for the sake of clarity. Moreover, as these are not standard subjects in a discrete Mathematics course, each section will be separated in two almost mirroring subsections. The first will be entirely informal and the second entirely formal.

## 6.1 Groups

Group theory is one of the oldest subjects in what we now call Abstract Algebra. We now like to describe groups as arbiters of symmetry in any of guises from geometric tiling patterns to chemical molecules. However it was not always so. Groups were invented by Evariste Galois in the 19th century as a bookkeeping system for the express purpose of solving polynomial equations. In a series of mind-shattering papers, the 19 year old developed a correspondence between polynomial equations and a completely new set of beasts: Permutation Groups. He then started to study this new bestiary, identified those that will help solve equations and showed that some equations are just not solvable. Then he spent 6 months in prison for being a republican and promptly died in a mysterious duel. The absolute romantic figure if there ever was one. Recently Peter Neumann has collected all his works in a very interesting book[7].

A mere 40 years afterwards, Felix Klein presented the world with the Erlangen Program [10] in which he proposed to classify geometric objects via their symmetries. In the intervening 150 years, Groups have found applications in many areas of Mathematics, Physics, Chemistry and Computer Science and even in Childhood Psychology [13]. Moreover, in the 1970's "the building blocks " of finite groups have been classified. This is one of the most spectacular endeavours of human culture. An concerted effort by quite a few dozens of mathematicians produced a 10000 page proof of the statement: "We now the stuff that groups are made of! ". There are some (infinitely many) families of related and nicely behaved groups and 26 "sporadic" ones."

Our treatment will be brief and somewhat formal. The reader should not expect to really learn moderne Group Theory from the short section. For a more in depth analysis the reader is directed to a more standard Group theory book such as [17] or a more exciting popularisation book such as [4, 5, 16]

### 6.1.1 Definitions and first theorems(informally).

A group is a set together with a binary operations that satisfies some natural properties. The reader should take as the standard first example the set of integers together with the operation  $+$  and as the standard "non-examples" the same set with operations  $-$  or  $*$ .

We will now define abstract groups. Our choice definition might sound strange to the expert. We start with a mysterious larger set  $U$ , define the multiplication a bit more generally and do not ask for left identity or left inverses. We make this choice in order to optimise the formalisation and the effort of verifying that an object is a group. We will the proceed to show the equivalence with the standard definitions and prove some standard properties of a group.

**Definition 7.** A group is a triple  $(G, mult, e_G, inv)$  where  $G \subset U$  is a set,  $mult : U \times U \rightarrow U$  is a binary operation that associates to every pair of elements  $a, b$  an element  $mult\ a\ b$ ,  $e$  is an element of  $U$  and  $inv : U \rightarrow U$  is a function. In order to simplify notations we will denote  $mult(a, b)$  by  $a * b$  and  $inv(x)$  by  $x^{-1}$ . The triplet has to satisfy the following:

- **mult\_closure**  $G$  is closed under multiplication, that is  $\forall a, b \in G, a * b \in G$ .
- **assoc**  $\forall x, y, z \in G, (mult\ x\ y)\ z = mult\ x(mult\ y\ z)$ , that is  $(x * y) * z = x * (y * z)$ .
- **right\_id**  $\forall x \in G, mult\ x\ e_G = x * e_G = x$
- **inv\_closure**  $\forall x \in G, x^{-1} \in G$
- **right\_inverse**  $\forall x \in G, mult\ x(inv\ x) = x * x^{-1} = e_G$

Consider  $U = G = \mathbb{Z}$ .

- Show that if  $mult : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z}$  is defined as  $mult(x, y) = x + y$  then you can find  $e_{\mathbb{Z}}$  and  $inv : \mathbb{Z} \rightarrow \mathbb{Z}$  so that  $(\mathbb{Z}, mult, e_{\mathbb{Z}}, inv)$  is a group.
- Show that if  $mult : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z}$  is defined as  $mult(x, y) = x * y$  then you **cannot** find  $e_{\mathbb{Z}}$  and  $inv : \mathbb{Z} \rightarrow \mathbb{Z}$  so that  $(\mathbb{Z}, mult, e_{\mathbb{Z}}, inv)$  is a group.
- Show that if  $mult : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z}$  is defined as  $mult(x, y) = x - y$  then you **cannot** find  $e_{\mathbb{Z}}$  and  $inv : \mathbb{Z} \rightarrow \mathbb{Z}$  so that  $(\mathbb{Z}, mult, e_{\mathbb{Z}}, inv)$  is a group.

Note a more standard definition of a group:

**Definition 8.** A group is a pair  $(G, *)$  where  $G$  is a set and  $* : G \times G \rightarrow G$  is a function such that:

- **associativity**  $\forall x, y, z \in G, (x * y) * z = x * (y * z)$ .
- **identity** There exists  $e_G \in G$  so that  $\forall x \in G, x * e_G = e_G * x = x$ .
- **inverse** Every element has an inverse, that is  $\forall x \in G, \exists y \in G, x * y = y * x = e_G$ .

The two definitions seem slightly different but we will soon show that they are in fact equivalent. Note first that a pair  $(G, *)$  that satisfies the condition of Definition 8 can be easily seen to be extended to a triplet that satisfy Definition 7. Indeed take  $U = G$ ,  $mult = *$ ,  $e_G$  the element defined by identity condition and  $inv(x)$  to be an element  $y$  that satisfies the condition inverse. It is left as an exercise that this choice satisfy Definition 7. From now a group will be a triplet  $(G, mult, e_G, inv)$  as defined in Definition 7.

We first show that the element  $e_G$  is unique with that property. In fact we shall prove something stronger: the only element with the property that its square is identical to itself ( this is called an idempotent in algebra) is the identity.

**Lemma 11 (unit\_uniq).** *If  $(G, mult, e, inv)$  is a group then  $\forall x \in G, x * x = x \rightarrow x = e$ .*

*Proof (informal).* We need to show that  $x * x = x \rightarrow x = e$ . To do so assume  $x * x = x$  and try to prove that  $x = e$ . Note that, by the definition of the identity we have  $x = x * e$  and so the goal is equivalent to proving that  $x * e = e$ . We now also note that  $x * x^{-1} = e$  and so the goal is equivalent to  $x * (x * x^{-1}) = e$ . You can use associativity to see that it is enough to prove that  $(x * x) * x^{-1} = e$ . Nor by assumption we know that  $x * x = x$  and, replacing  $x * x$  by  $x$  in the goal we only need to show  $x * x^{-1} = e$  which is exactly the property of the right inverse.

Next we are able to prove that right inverses are also left inverses :

**Lemma 12 ( left\_inverse).**  $\forall x, x^{-1} * x = e_G$ .

*Proof.* To see that let us fix an  $x$ . We will use Lemma 11 for  $y = x^{-1} * x$ . This means that, in order to show that  $y = e_G$ , it is enough to show that  $y * y = y$ . Now we repeatedly use associativity as well as right\_inverse and right\_id:

$$\begin{aligned} y * y &= (x^{-1} * x) * (x^{-1} * x) = x^{-1} * (x * (x^{-1} * x)) = x^{-1} * ((x * x^{-1}) * x) \\ &= x^{-1} * (e_G * x) = (x^{-1} * e_G) * x = x^{-1} * x \\ &= y \end{aligned}$$

We can now prove that the right identity is also a right identity, that is:

**Lemma 13 (left\_id).**  $\forall x, e_G * x = x$ .

*Proof.* Let us fix an element  $x$ . Using the right\_inv and assoc properties we get that

$$e_G * x = (x * x^{-1}) * x = x * (x^{-1} * x)$$

Next we can use left\_inverse lemma and the right\_id we get  $x * (x^{-1} * x) = x * e_G = x$ . Combining the two equalities we get  $e_G * x = x$ .

And the fact that the inverse of an element is unique:

**Lemma 14 (inverse\_uniq).**  $\forall xy, x * y = e \rightarrow y = x^{-1}$ .

*Proof.* Fix  $x$  and  $y$  and assume  $x * y = e$ . We need to show that  $y = x^{-1}$ . Note that

$$y = e_G * y = (x^{-1} * x) * e_G = x^{-1} * (x * y) = x^{-1} * e_G = x^{-1}$$

We can also show that, in a group, you have right cancelation:

**Lemma 15 (right\_cancel).**  $\forall x y z, x * y = z * y \rightarrow x = z$ .

*Proof.* We fix  $x, y$  and  $z$ , assume  $x * y = z * y$  and prove  $x = z$ .

Now we have that

$$x = x * e_G = x * (y * y^{-1}) = (x * y) * y^{-1} = (z * y) * y^{-1} = z * (y * y^{-1}) = z * e_G = z$$

### 6.1.2 Definitions and first theorems(formal with Typeclasses)

In this section we formalise groups and reprove the lemmas above, this time in `spatchcoq`. You should see the similarities. To introduce a group we will use some new Coq notions, `Casses` and `Instants`. We start by importing `Ensembles` and recalling the set notations:

```
Require Import Ensembles.
Notation "x ∈ A" := (In _ A x) (at level 10).
Notation "A ⊆ B" := (Included _ A B)(at level 10).
Notation "A ∪ B" := (Union _ A B)(at level 8).
Notation "A ∩ B" := (Intersection _ A B) (at level 10).
```

We now start a module. This is convenient for polymorphisms.

```
Module gps.
```

The definition of a groups will resemble Definition 7 closely. However we are not using a definition but a class notation.

```
Class Group (U:Type) (set : Ensemble U) :=
{op: U->U->U;
 inv : U->U;
 e:U;
 mult_closure : forall x y:U, In U set x -> In U set y -> In U set (op x y);
 assoc : forall x y z:U, op (op x y) z = op x (op y z);
 id_closure : In U set e;
 right_id : forall x:U, op x e = x;
 inv_closure : forall x:U, In U set (inv x);
 right_inverse: forall x:U, op x (inv x) = e;
}.
```

In CS this is called a “Type class”. It is a concept first introduced in Haskell in order to enable overloading of arithmetics operations. A group will be a type class that depends of two parameters, a type  $(U:Type)$  (the overset of the group) and a `set:Ensemble U` that is the actual underlying set of  $G$ . We could have exaggerated a bit and defined  $U$  and `set` inside the `Class` but we wanted some parameters. A group will also have an operation which is a function of two variables  $op : U \rightarrow U \rightarrow U$ , an inverse  $inv : U \rightarrow U$  and an identity  $e : U$ . It has to satisfy the properties in Definition 7. In fact the definition is in some sense an inductive constructor, not unlike `nat`. Note that the `Class` introduction creates some “projection functions” as well. For example try

```
Check op.
```

And note that you get:

```

op
: ?U → ?U → ?U
where
?U : [ ⊢ Type]
?set : [ ⊢ Ensemble ?U]
?Group : [ ⊢ Group ?U ?set]

```

This is a strange looking message isn't it? It means that `op` is now a dependent function. It depends of what the “flavour of the month” is in groups. As we will see soon, once we have an instance of a group then the same Check will give a completely different answer.

Since we know `op` and `inv` we also introduce some convenient notations:

```

Notation "x '.*' y" := (op x y) (at level 40, no associativity).
Notation "x ^-1'" := (inv x ) (at level 20).

```

Note that we could have overloaded the `*` notation. This might run into some difficulties and so we use the notation `“.*”`. We also require no associativity so that Coq does not save on brackets.

Once we defined a group, we can immediately start proving theorems about it.

A general theorem about groups will look as follows:

```

Lemma name `{G:Group}: P

```

Where `P` is a proposition involving `e`, `.*`, `x ^-1`. Note the format ``{G:Group}`, this is a shortcut called implicit generalisation. It generalises everything it can. For example let us prove the uniqueness of identity (we shall prove the theorem inside the module `gps` so we can use it with any group).

```

Lemma unit_uniq `{G:Group}: forall x , x .* x = x → x = e.

```

The resulting goal is

Goal

```

U : Type
set : Ensemble U
G : Group U set

```

```

∀ x : U, x .* x = x → x = e

```

Note that even if we never spoke of `U` or `set`, they have been chosen for us (generalised) by the notation.



**Remark**

We could have employed this earlier, for example

Generalizable All Variables.  
 Lemma a: `(m+n = n+m).

Will generalise m and n in the context,

Goal

$\forall m\ n : \text{nat}, m + n = n + m.$

We chose not to do so earlier to keep notations light. Nevertheless here the notations are already heavy and this will be slightly easier.

The first two moves are standard.

Fix an arbitrary element x.  
 Assume (x .\* x = x) then prove (x=e).

And we arrive at

Goal

$U : \text{Type}$   
 $set : \text{Ensemble } U$   
 $G : \text{Group } U\ set$   
 $x : U$   
 $Hyp : x . * x = x$

$x = e$

We will now use the fact that  $x = x * e$  and we replace it in the goal (and prove it later)

Replace x by (x \* e) in the goal.

Goal

$U : \text{Type}$   
 $set : \text{Ensemble } U$   
 $G : \text{Group } U\ set$   
 $x : U$   
 $Hyp : x . * x = x$

$x . * e = e$

Respectively

Goal

$U : \text{Type}$   
 $set : \text{Ensemble } U$   
 $G : \text{Group } U \text{ set}$   
 $x : U$   
 $\text{Hyp} : x * x = x$

$x * e = x$

We now know that  $x * x^{-1} = e$  and so

Replace  $e$  by  $(x * x^{-1})$  in the goal.

gives

Goal

$U : \text{Type}$   
 $set : \text{Ensemble } U$   
 $G : \text{Group } U \text{ set}$   
 $x : U$   
 $\text{Hyp} : x * x = x$

$x * (x * x^{-1}) = x * x^{-1}$

Respectively

Goal

$U : \text{Type}$   
 $set : \text{Ensemble } U$   
 $G : \text{Group } U \text{ set}$   
 $x : U$   
 $\text{Hyp} : x * x = x$

$x * x^{-1} = e$

We now apply associativity and Hyp.

Rewrite the goal using assoc.  
 Rewrite the goal using Hyp .  
 This follows from reflexivity.

And we now only need to show the two goals that we introduced.

```
Apply result right_inverse.
Apply result right_id.
Qed.
```

Let us now reprove the left\_inv lemma:

```
Lemma left_inverse `{G:Group}: forall x, x ^-1.* x =e.
```

We prove it almost the same way as the informal version. We first fix an element  $x$  and use unit\_uniq.

```
Fix an arbitrary element x.
Apply result unit_uniq.
```

The result is:

Goal

```
U : Type
set : Ensemble U
G : Group U set
x : U
Hyp : x * x = x
```

```
(x ^-1.* x).*(x ^-1.* x) = x ^-1.* x)
```

We now use assoc

```
Rewrite the goal using assoc.
```

to get

Goal

```
U : Type
set : Ensemble U
G : Group U set
x : U
Hyp : x * x = x
```

```
(x ^-1.* (x.*(x ^-1.* x))) = x ^-1.* x)
```

Now it would be very tempting to apply associativity again. Nevertheless, due to the way we defined the apply tactic if we do so we will be back where we started and so we need to be precise on how we want to use it:

Replace  $(x .* (x^{-1} .* x))$  by  $((x .* x^{-1}) .* x)$  in the goal.

And we get

Goal

$U : \text{Type}$   
 $set : \text{Ensemble } U$   
 $G : \text{Group } U \text{ set}$   
 $x : U$   
 $Hyp : x * x = x$

$x^{-1} .* (x .* x^{-1}) .* x = x^{-1} .* x$

And another (easy) goal to be proved later

Goal

$U : \text{Type}$   
 $set : \text{Ensemble } U$   
 $G : \text{Group } U \text{ set}$   
 $x : U$   
 $Hyp : x * x = x$

$x .* (x^{-1} .* x) = (x .* x^{-1}) .* x$

Rewrite the goal using `right_inverse`.  
 Rewrite the goal using `assoc`.

And we have

Goal

$U : \text{Type}$   
 $set : \text{Ensemble } U$   
 $G : \text{Group } U \text{ set}$   
 $x : U$   
 $Hyp : x * x = x$

$(x^{-1} .* e) .* x = x^{-1} .* x$

This can easily be proved by:

```
Rewrite the goal using right_id.
This follows from reflexivity.
```

Leaving just the new goal to prove:

```
Rewrite the goal using assoc.
This follows from reflexivity.
Qed.
```

We will not go through the rest of the proofs step by step, try them for yourself.

```
Lemma left_id `{G:Group}: forall x, e.*x =x.
Fix an arbitrary element x.
Rewrite the goal using (right_inverse x).
Rewrite the goal using assoc.
Rewrite the goal using left_inverse.
Rewrite the goal using right_id.
This follows from reflexivity.
Qed.
```

```
Lemma inverse_uniq `{G:Group}: forall x y, x.*y = e-> y = x ^-1.
Fix an arbitrary element x.
Fix an arbitrary element y.
Assume (x .* y = e ) then prove ( y = x ^-1).
Rewrite the goal using right_id.
Rewrite the goal using Hyp.
Rewrite the goal using assoc.
Rewrite the goal using left_inverse.
Rewrite the goal using left_id.
This follows from reflexivity.
Qed.
```

```

Lemma right_cancel `{G:Group}: forall x y z, x.*y= z.*y-> x=z.
Fix an arbitrary element x.
Fix an arbitrary element y.
Fix an arbitrary element z.
Assume (x .* y = z .* y) then prove (x = z).
Replace x by (x.*e) in the goal.
Rewrite the goal using (right_inverse y).
Rewrite the goal using assoc.
Replace (x .* y) by (z .* y) in the goal.
Rewrite the goal using assoc.
Rewrite the goal using right_inverse.
Rewrite the goal using right_id.
This follows from reflexivity.
Rewrite the goal using right_id.
This follows from reflexivity.
Qed.

```

We now close the Module gps.

```
End gps.
```

After proving some general results, we now show how to define a particular group. We shall see that  $\mathbb{Z}$  is a group under addition. We first import the module we just closed.

```
Import gps.
```

Next we use the Instance command. This defines a group following the pattern in the Class. You need to give a type and a Ensemble set. IN this case we pick  $\mathbb{Z}$  respectively the whole  $\mathbb{Z}$  as set, more precisely  $\text{set} = \text{fun } x \Rightarrow \text{True}$ . We also give the variable op, inv and e.

```
Instance s:Group Z (fun x=>True):={op:= Z.add; inv:= Z.opp; e:= 0}.
```

Note that the result is that we have to prove 6 different goals, the ones that were promised in the Class description: mult\_closure, assoc, id\_closure, right\_id, inv\_closure, right\_inverse. The definition is interactive. We need to prove them one by one.

```
Rewrite goal using the definition of In.
```

The result is quite bizarre:

Goal

$$(Z \rightarrow (Z \rightarrow (True \rightarrow (True \rightarrow True))))$$

This is an unfortunate shortcut in Coq. Whenever the variable is unimportant, the expression  $x : Z$  is shortened to just  $Z$ . Nevertheless this is a trivial statement. The rest are either trivial or applications of standard lemmas:

```
This is trivial.
Apply result Zplus.assoc.reverse.
Rewrite goal using the definition of In.
This is trivial.
Apply result Zplus.0_r.
Rewrite goal using the definition of In.
This is trivial.
Fix an arbitrary element x.
True by arithmetic properties.
Defined.
```

Note that we end the proof with Defined rather than Qed. This allows the operations to be transparent. From now on, until we construct another instance, the only group in the world is  $\mathbb{Z}$  under addition.

And we can see that one can apply unit\_uniq immediately.

```
Lemma b: forall x:Z, x .* x = x -> x = e.
Apply result (unit.uniq Z s).
Qed.
```

Note also that now  $.*$  means addition in  $\mathbb{Z}$ . Indeed:

```
Eval compute in 3%Z .* 4%Z.
```

gives:

```
= 7%Z
: Z
```

While

```
Eval compute in (3%Z*4%Z).
```

Gives

$$= 12\%Z$$

$$: Z$$

1. Show that any group admits left cancelation. That is

$$\text{Lemma left\_cancel } \{G: \text{Group}\}: \forall x \ y \ z, y * x = y * z \rightarrow x = z.$$

2. Show that in any group the socks and shoes property holds:

$$\text{Lemma socks\_shoes } \{G: \text{Group}\}: \forall x \ y, (x * y)^{-1} = y^{-1} * x^{-1}.$$

3. Prove the following Lemma:

$$\text{Lemma inv } \{G: \text{Group}\}: \forall x \ y, (x * x = e) \wedge (y * y = e) \wedge ((x * y) * (x * y) = e) \rightarrow (x * y = y * x).$$



# Appendix A

## The software

### A.1 Installation

#### *A.1.1 Online*

1. Go to <http://app.spatchcoq.co.uk/>
2. enjoy

#### *A.1.2 Mac OSX*

1. You might need to install gtk, the simplest way to do this is via homebrew.  
If do not have homebrew installed, install it from <https://brew.sh>  
or type in the terminal:  

```
/usr/bin/ruby -e "$(curl -fsSL https://raw.githubusercontent.com/Homebrew/install/master/install)"
```

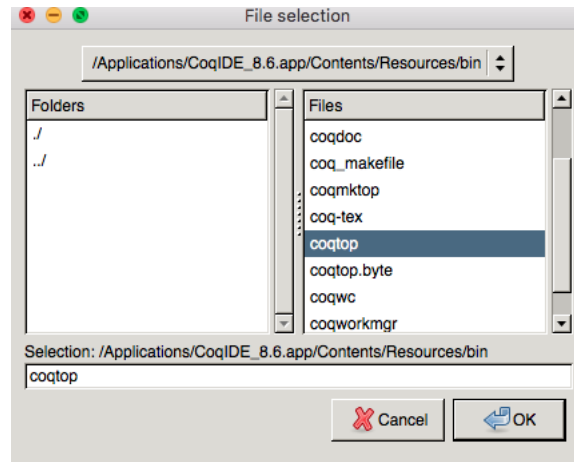
  
Next type in terminal:  

```
brew install gtk+
```
2. Download and install the latest version of Coq (it needs to be at least 8.6) from :  
<https://coq.inria.fr/download>  
Move it to your apps folder.
3. Download and unpack spatchcoq.app from <http://app.spatchcoq.co.uk/> , move the spatchcoq.app to Applications and start it.
4. when prompted find the Coq installation you have just move above. Navigate to

`/Applications/CoqIDE_8.6.app/Contents/Resources/bin/`

and choose `coqtop`. See Figure A.1

You only do this once.



**Fig. A.1** Choose the Coq app in a Mac env

5. enjoy

### A.1.3 Windows

1. get the zipfile `spatchcoq.zip`, unzip it in a folder on a usb stick and doubleclick the application file `spatchcoq`. Note this version includes an installation of Coq (not very extensively tested yet)
2. enjoy

### A.1.4 Linux

1. Download and install the latest version of Coq it needs to be at least 8.6 so do not use `apt-get install coq`
2. go to <https://github.com/corneliuhoffman/spatchcoqocaml/tree/master> to build from scratch.
3. when prompted go to the Coq folder you just installed with `opam` and find the application called **coqtop**

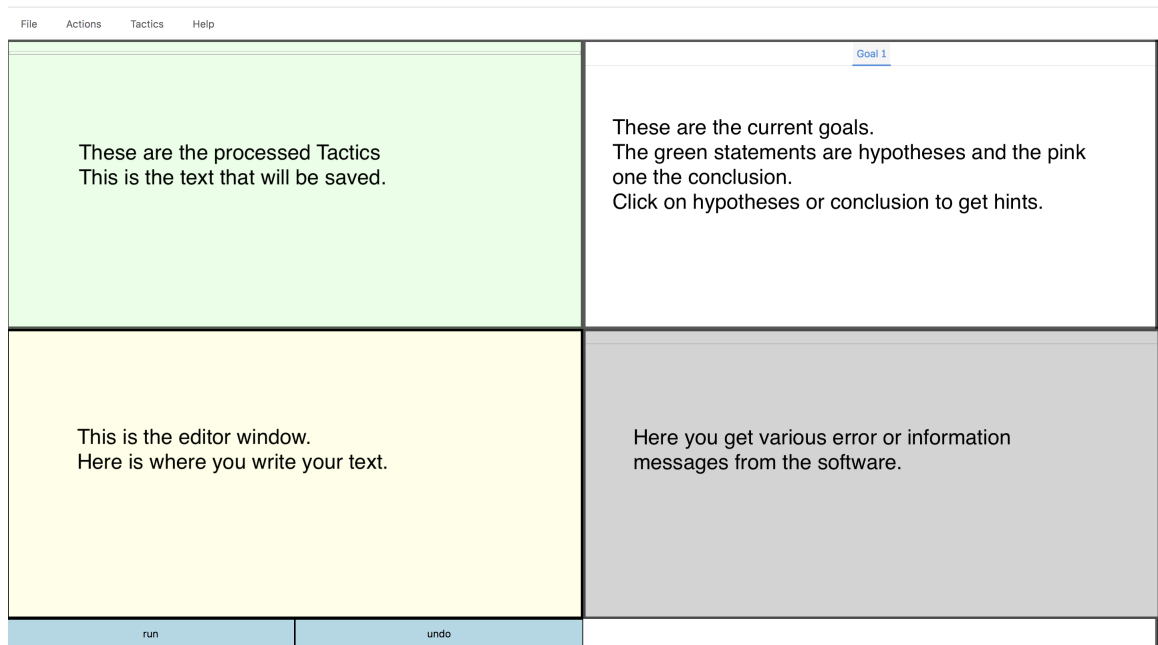
4. enjoy

## A.2 Introducing the GUI

### A.2.1 Online

Figure A.2 is the main window in the online version. Note however that, at this point, the online version is highly experimental.

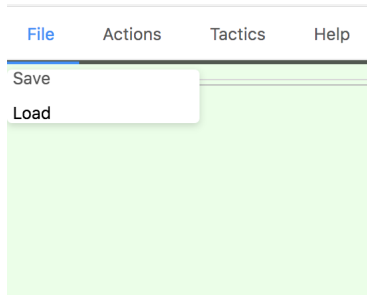
1. The Green window : This is the window that keeps the text that has already been processed.
2. The Yellow window : This is the only window you can type your commands into.
3. The white window : this is the Coq feedback window.
4. The grey window : this is a window for messages.
5. The run button: this sends the first line from the input window to Coq.
6. The undo button: this undoes the last command.



**Fig. A.2** the online GUI

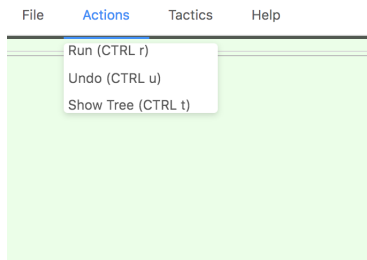
### A.2.2 *The menus*

The File menu (Figure A.7) is quite standard:



**Fig. A.3** the File Menu

The action menu allows you to pick run, undo or print tree:

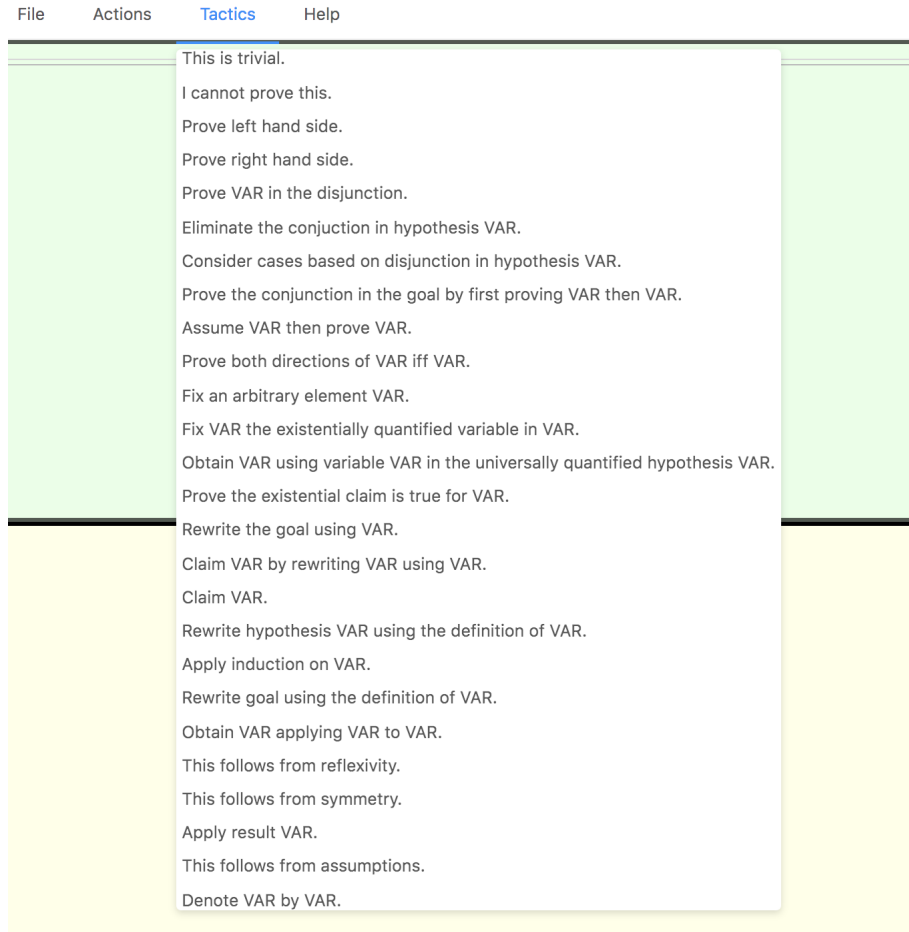


**Fig. A.4** the Action Menus

The Tactics menu (Figure A.5) allows one to pick one of the predefined tactics. Note the place keeper VAR. These can be modified. More on these later.

### A.2.3 *Keyboard shortcuts*

- CTRL-Space autocompletion
- CTRL-r Run
- CTRL-u Undo
- CTRL-t Draw tree.



**Fig. A.5** the Tactics Menus

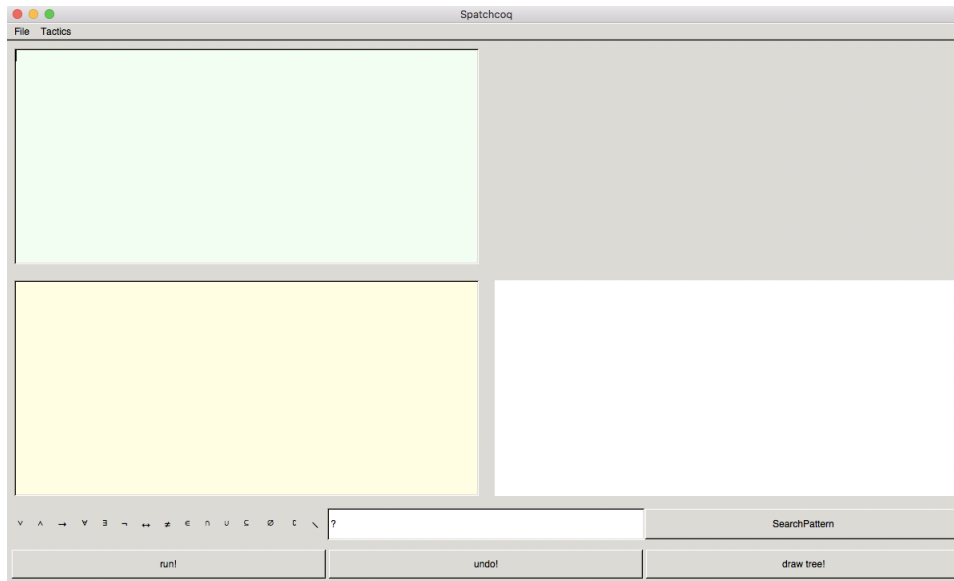
## ***A.2.4 Desktop***

### **A.2.4.1 Main windows**

Figure A.6 is a view of the GUI. As you can see there are 4 different windows and three buttons.

1. The Green window : This is the window that keeps the text that has already been processed.
2. The Yellow window : This is the only window you can type your commands into.
3. The Gray window : this is the Coq feedback window.
4. The White window : this is a window for messages.

5. The run button: this sends the first line from the input window to Coq.
6. The undo button: this undoes the last command.
7. The draw tree button: this draws the proof trees for all the completed theorems.
8. The symbol buttons: These allows one to type mathematical symbols.
9. The Search box/button: These allow searching for theorems by pattern.



**Fig. A.6** the GUI

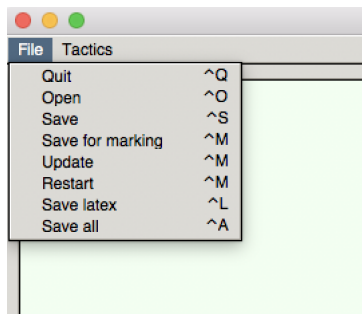
### ***A.2.5 The menus***

The File menu (Figure A.7) is quite standard:

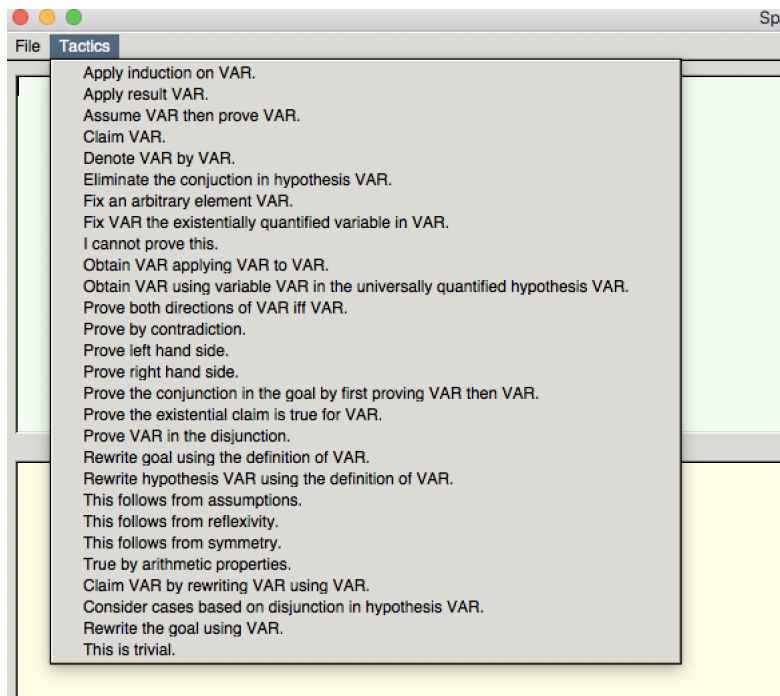
The Tactics menu (Figure D.0.1) allows one to pick one of the predefined tactics. Note the place keeper VAR. These can be modified. More on these later.

### ***A.2.6 Keyboard shortcuts***

Pressing ESC autocompletes the commands and pressing CTRL-r circles around the various possibilities for VAR.



**Fig. A.7** the File Menu



**Fig. A.8** the Tactics/Environment Menus



## Appendix B

### A brief overview of the notations in the language

We will list the formats of the various constructions we use. For more details see <https://coq.inria.fr/refman/language/gallina-specification-language.html>.

#### Check

```
Check X.
```

This will print the type of the statement  $X$ . For example the command

```
Check le.
```

Will produce

```
le : nat → nat → Prop
```

---

#### Print

```
Print X.
```

This will print the full definition (proof) of of the statement  $X$ . For example the command

```
Print le.
```

Will produce

```
le inductive le (n : nat) : nat → Prop := le_n : n ≤ n | le_S : ∀ m : nat, n ≤ m → n ≤ S m
```

Which describes the two ways to check that  $n \leq m$ ,

1. if  $n = m$  then  $n \leq m$ .
  2. otherwise you look at the predecessor of  $m$  and retry.
- 

### Variable/Axiom

```
Variable name:Type.
```

The two keywords can be used interchangeably but, to keep with normal mathematical notation, one should use Axiom to define variables of type Prop and Variable for all other types. For example one can use

```
Variable myax:3=1+2.
```

or Axiom myax:3=1+2. To mean that max is a “proof” of  $3=1+2$ . However in practice we should only use the latter. Similarly we can use

```
Variable n:nat.
```

or

```
Axiom n:nat
```

To introduce a natural number  $n$  but we should really only use the former.

### Definition

```
Definition name vars := term.
```

or

```
definition name vars : Type := term.
```

This names an object of a certain type.

```
Definition thenumber3:=3.
```

or

```
Definition thenumber3:nat:=3.
```

Both define the object thenumber3 to be the natural number 3. The second one is more precise since it forces Spatchcoq to verify that it has the type you want. For example

```
Definition thenumber3:Prop:=3.
```

Will give the message

```
Error: The term "3" has type "nat" while it is expected to have type "Prop".
```

Definitions can of course depend on parameters. For example

```
Definition mult a b:= a*b.
```

Defines the multiplication of two numbers, it does assume that the numbers are natural numbers so if you do

```
Check mult.
```

You will get

```
mult: nat → nat → nat
```

Of course you can be precise:

```
Definition mult a b :Z:= a*b.
```

To get

```
mult : Z → Z → Z
```

Definitions are useful for writing shorter text and can be unfolded using the tactics “Rewrite goal using the definition of VAR.” or “Rewrite hypothesis VAR using the definition of VAR.”

## Notation

```
Notation ' ' notation ' ' := (term) ( at level x).
```

This introduces notations. For example we might want to write things nicely let us assume that we have two predicates on the type  $U$  one is called  $P$  and the other  $Q$ .

```
Variables U:Type Variables: P Q:U → Prop
```

We now decide to say that  $U$  is the set of beings and that  $Px$  means that “ $x$  is human” and  $Qx$  means that “ $x$  will eventually die” then We can have the following notations:

```
Notation " 'beings' " := U.
Notation "x 'is' 'a' 'human' " := (Q x) (at level 10).
Notation "x 'will' 'eventually' 'die' " := (Q x) (at level 10).
```

Now let us assume we want to prove that  $\forall x, Px \rightarrow Qx$ .

```
Lemma z:forall x, P x → Q x.
```

Note that the response is

Goal

```
∀x : beings, (x is a human) → (x will eventually die)
```

Which reads a quite a bit better.

## Lemma/Proposition/Theorem

```
Lemma name (vars1: Type)(Vars2:Type2)⋯ : statement .
```

A lemma, proposition or theorem is a statement that needs to be proved. They all have the same shape. You start with a either Lemma, Proposition or Theorem. The next entry is the name of the theorem. This can be anything. Here is a very easy example:

```
Theorem the_easiest_theorem: 1=1.
```

Note that this theorem does not depend on any variables. This is perfectly ok, in fact in mathematics we are used with not defining theorems that have parameters.

By comparison, the statement

```
Theorem the_second_easiest_theorem (n:nat): n=n.
```

Is a theorem that contains the parameter  $n$  which is defined to be a natural number.



## Appendix C

# Tactics

### **This is trivial.**

This will only work on very easy statements. If it works it will solve the current goal. Try to avoid overuse. Do better than your lecturers.

### **I cannot prove this.**

If you are stuck this tactic will “prove” the current goal. If you use this in a proof at the end of the proof when you try to use Qed you will get the following error

“Error:Attempt to save a proof with given up goals. If this is really what you want to do, use Admitted in place of Qed.

To avoid the error just type Admitted instead of Qed.

### **Prove left hand side.**

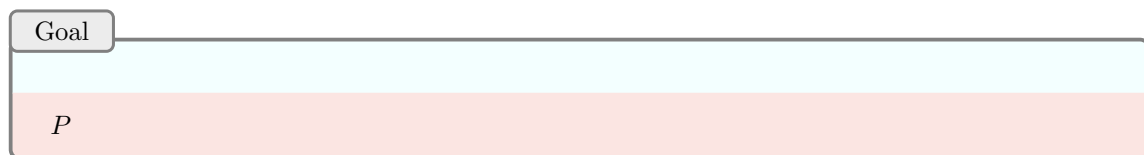
Suppose you want to prove the following goal:

Goal

...

$P \vee Q$

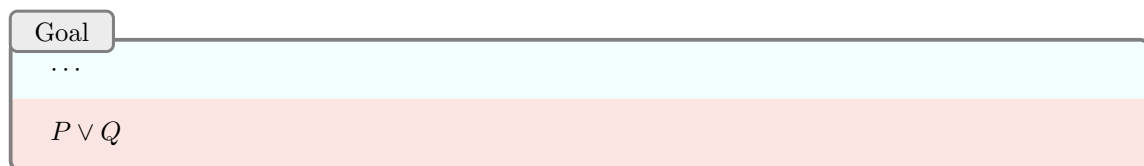
The above mentioned tactic will produce the following goal



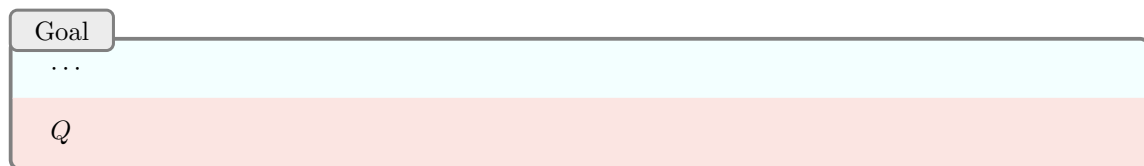
and so you will have to now prove a simple goal.

### Prove right hand side.

Symmetric with the above, suppose you want to prove the following goal:

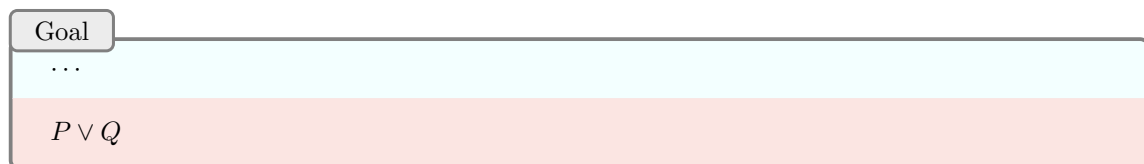


The above mentioned tactic will produce the following goal



### Prove VAR in the disjunction.

This tactic combines the above two. More precisely, suppose you want to prove the following goal:



Then applying



will produce the goal



Goal
...
$P$

while applying

Prove $Q$ in the disjunction.
-------------------------------

will produce the goal

Goal
...
$Q$

### Eliminate the conjunction in hypothesis VAR.

Suppose your goal looks like

Goal
... $Hyp : P \wedge Q$
...
...

Then applying

Eliminate the conjunction in hypothesis Hyp.
----------------------------------------------

will produce a goal similar to the one below:

Goal
...
$Hyp : P$
$Hyp0 : Q$
...
...

allowing you to use the parts of Hyp independently.

**Consider cases based on disjunction in hypothesis VAR.**

Suppose your goal looks like

Goal

...

$Hyp : P \vee Q$

...

...

Then applying

Consider cases based on disjunction in hypothesis Hyp.

will produce two separate goals similar to the one below:

Goal

...

$Hyp : P$

...

...

Goal

...

$Hyp : Q$

...

...

obtaining a proof by cases.

**Prove the conjunction in the goal by first proving VAR then VAR.**

Suppose your goal looks like

Goal

...

$P \wedge Q$

Then

Prove the conjunction in the goal by first proving P then Q.

will separate the proof in two different goals

Goal

...

$P$

Goal

...

$Q$

**Assume VAR then prove VAR.**

Suppose your goal looks like

Goal

...

$P \rightarrow Q$

then

Assume P then prove Q.

will modify the goal to

Goal
...
$P$
$Q$

**Prove both directions of VAR iff VAR.**

Suppose your goal looks like

Goal
...
$P \leftrightarrow Q$

then

Prove both directions of P iff Q.
-----------------------------------

will split the goal into two different goals

Goal
...
$P \rightarrow Q$

Goal
...
$Q \rightarrow P$

**Fix an arbitrary element VAR.**

Suppose your goal looks like

Goal

...

 $\forall x : S, P(x)$ 

then

Fix an arbitrary element  $a$ .

will modify the goal to

Goal

...

 $a : S$  $P(a)$ **Fix VAR the existentially quantified variable in VAR.**

Suppose your goal looks like

Goal

...

 $Hyp : \exists x : S, P(x)$ 

...

then

Fix  $a$  the existentially quantified variable in Hyp.

will modify the goal to

Goal

$\dots$   
 $a : S$   
 $Hyp : P(a)$

$\dots$

**Obtain VAR using variable VAR in the universally quantified hypothesis VAR.**

Suppose your goal looks like

Goal

$\dots$   
 $Hyp : \forall x : S, P(x)$

$\dots$

then

Obtain Q using variable a in the universally quantified hypothesis Hyp.

will attempt to apply the result  $P(a)$  to prove the result  $Q$ .

**Prove the existential claim is true for VAR.**

Suppose your goal looks like

Goal

$\dots$

$\exists x : S, P(x)$

then

Prove the existential claim is true for a.

will modify the goal to

Goal

...

$P(a)$

Rewrite the goal using VAR.

Suppose your goal looks like

Goal

...  
 $Hyp : x = f$

$P(x)$

then

Rewrite the goal using Hyp.

will replace every occurrence of  $x$  in  $P$  by  $f$ . Similarly if the goal is

Goal

...  
 $Hyp : x = f$

$P(f)$

Rewrite the goal using Hyp.

will replace every occurrence of  $f$  in  $P$  by  $x$ .

Finally if  $Thm$  is a theorem whose conclusion includes an equality  $x = f$  and if the goal of your theorem looks like

Goal

...

$P(x)$

Then

Rewrite the goal using *Thm*.

will replace every occurrence of  $x$  in  $P$  by  $f$ .

### True by arithmetic properties.

This tactic will attempt to prove the statement by using the ring properties (commutativity, associativity and distributivity) of the natural, integers or reals.

### Claim VAR by rewriting VAR using VAR.

This is very similar to

Rewrite the goal using *VAR*.

The idea is that

Claim  $Q$  by rewriting *Hyp* using *Thm*.

Will attempt to prove the statement  $Q$  by applying the rewritten version of *Hyp*. The rules for *Thm* are as above.

### Claim VAR.

This is forward proof tactic.

Claim  $P$ .

will introduce a new claim, splitting the goal

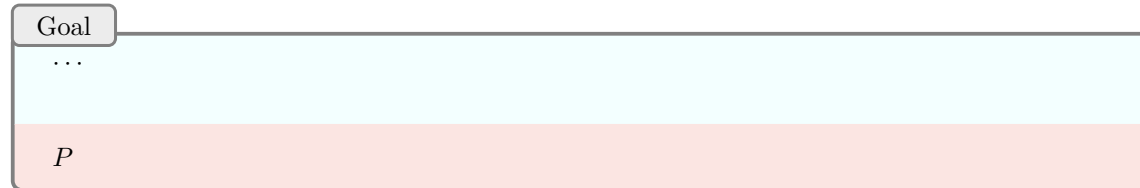
Goal

...

$Q$



into



and



**Rewrite hypothesis VAR using the definition of VAR.**

If the hypothesis Hyp will involve a previous definition d, then

Rewrite hypothesis Hyp using the definition of d.

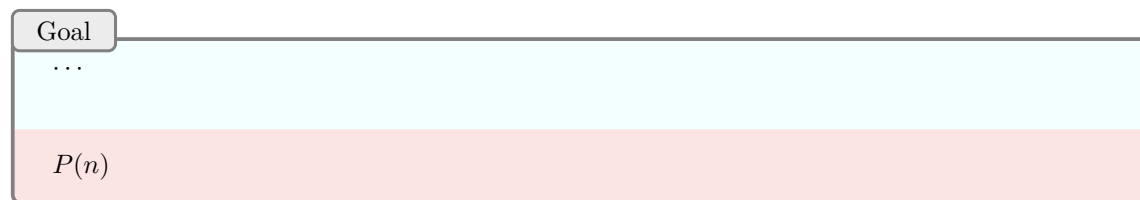
will unfold a definition of d inside Hyp.

**Apply induction on VAR.**

This is a rather general tactic. It will generally act as an induction omnibus. More precisely

Apply induction on n.

will depend on the (inductive) type of n. For example if  $n$  is a natural number and the goal is



then

Apply induction on  $n$ .

will split the proof into two goals

Goal

...

$P(0)$

and

Goal

...

$IHn : P(n)$

$P(Sn)$

On the other hand if  $n$  is an integer, the goal

Goal

...

$P(n)$

will be split into 3 cases

Goal

...

$P(0)$

Goal

...

$n : \text{positive}$

$P(Z.\text{pos } n)$

Goal

...

 $n : \text{negative}$  $P(Z.\text{neg } n)$ 

**Rewrite goal using the definition of VAR.**

If the goal will involve a previous definition d, then

Rewrite goal using the definition of d.

will unfold a definition of d inside the conclusion of the goal.

**obtain VAR applying VAR to VAR**

.

**Prove by contradiction.**

Assume the goal is:

Goal

...

 $P$ 

then

Prove by contradiction.

will transform the goal to

Goal
$\dots$ $\neg P$
$False$

**This follows from reflexivity.**

Assume the goal is

Goal
$\dots$
$a = a$

then

This follows from reflexivity.
--------------------------------

will finish the proof.

This follows from symmetry.

**Apply result VAR.**

Assume that the goal is

Goal
$\dots$ $Hyp : P \rightarrow Q$ $\dots$
$Q$

Then

Apply result Hyp
------------------

will transform the goal to

Goal
$\dots$ $Hyp : P \rightarrow Q$ $\dots$
$P$

Similarly if there is a theorem whose name is `thm` and whose conclusion is  $P \rightarrow Q$  then

<b>Apply result thm</b>
-------------------------

will transform the goal to

Goal
$\dots$ $Hyp : P \rightarrow Q$ $\dots$
$P$

**This follows from assumptions.**

if the goal is

Goal
$\dots$ $Hyp : P$ $\dots$
$P$

Then the tactic

**This follows from assumptions.**

finishes the proof.

**Denote VAR by VAR**

This is a techincal tactic.

**Denote**  $expr_0$  **by**  $expr_1$ .

will modify the goal by adding a hypothesis

$$H : expr_1 = expr_0.$$

You usually use this to rewrite terms to simply some notations<sup>1</sup>. .

---

<sup>1</sup> We owe the idea of this tactic to Curt Bennett

## Appendix D

### Two simple examples

We give two detailed examples that will exemplify the mechanics of the GUI. For clarity we will use colour boxes that will exemplify the window that we refer to. So green boxes refer to the processed window, yellow ones to the input window and gray ones to the feedback window.

#### *D.0.1 Propositional Calculus*

We will prove that if  $P$  and  $Q$  are propositions then

$$P \vee Q \Rightarrow Q \vee P$$

the way to enter this is:

```
Lemma commor(P Q :Prop): P \ / Q -> Q \ / P .
```

Note that the feedback from Coq says

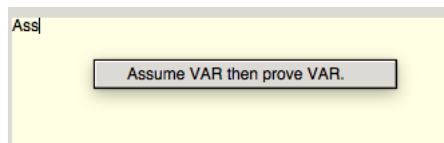
Goal

$P, Q : Prop$

$P \vee Q \rightarrow Q \vee P$

This means that the hypotheses are that  $P$  and  $Q$  are propositions and the conclusion is  $P \vee Q \rightarrow Q \vee P$ . To prove an implication statement we assume the left hand and try to prove the right hand. Here is how you do it in Spatchcoq. There are two different ways to do this in spatchCoq:

Type “Assume” and press ESC to get a list of tactic choices:



choose the tactic



Press CTRL-r to select the first VAR.

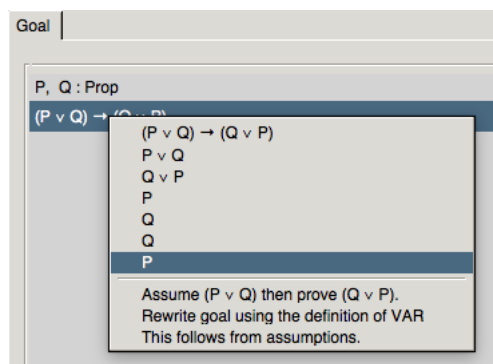
write  $(P \vee Q)$  to replace the first VAR. Repeat CTRL-r and and replace the second VAR by  $(Q \vee P)$ .

The text in the yellow window should now be



Click run.

The other variant is to click on the orange goal in the feedback column to get a number of to get a list of possible choices: Note that the choices bellow the horizontal line are tactics while those on



the top are pieces of the goal. You can use a combination of the two methods of course. As before choose



and click run.

The response from Coq is



Goal
$P, Q : \text{Prop}$ $\text{Hyp} : P \vee Q$
$Q \vee P$

This reflects the fact that we have a new hypothesis tabled Hyp and a new conclusion.

Of course since we have a hypothesis with a disjunction we will use an argument by cases. To do so, type “cases” and press ESC. Choose the following:

Consider cases based on disjunction in hypothesis VAR.

Press CTRL-r and replace VAR by Hyp. Click run.

Similarly click on the hypothesis Hyp on the right hand side to get:

The screenshot shows a proof assistant interface. At the top, there is a 'Goal' tab. Below it, the context is displayed:  $P, Q : \text{Prop}$ ,  $\text{Hyp} : P \vee Q$ , and  $Q \vee P$ . A right-click context menu is open over the hypothesis  $\text{Hyp} : P \vee Q$ . The menu options are:  $P \vee Q$ ,  $P$ ,  $Q$ , 'Consider cases based on disjunction in hypothesis Hyp .', and 'Apply result Hyp .'.

choose

Consider cases based on disjunction in hypothesis Hyp .

and click Run.

Notice that there are now two goals:

Goal
$P, Q : \text{Prop}$ $\text{Hyp0} : P$ =====
$Q \vee P$

and

Goal

$P \ Q : \text{Prop}$   
 $\text{Hyp1} : Q$   
=====

$Q \vee P$

corresponding to the two cases to consider. In first goal we will prove the right hand side of the disjunction in the conclusion. To do so, type “right” and press ESC. You get to pick

Prove right hand side.

and after clicking run you will get the following feedback (note that the second goal stays unchanged)

Goal

$P \ Q : \text{Prop}$   
 $\text{Hyp0} : P$   
=====

$P$

Finally you can finish this goal by using the hypothesis Hyp0. To do this you use

This follows from assumptions.

Note that you have now finished this goal. Repeat the argument for the second goal by using:

Prove left hand side.

This follows from assumptions.

to get

Goal

no goals

Now type

Qed.

to save the theorem. It now appears among the proved theorems:

and you can see its proof tree by clicking on draw tree:



Fig. D.1 the tree window

### D.0.2 An elementary number theory example

We shall prove the transitivity of divisibility. That is we will prove that

$$\forall a, b, c \in \mathbb{N}, a|b \wedge b|c \Rightarrow a|c.$$

In the process we will introduce definitions and notations.

To start we note that we will be talking about objects of type `nat`. We will introduce the following definition

Definition divides `a b := exists x:nat, b = a*x.`

We hope that the format is quite clear, it resembles the one we used before but uses a few new notions, the operator `:=` which defines the function `divides` and the quantifier `exists`. Note that we have not explicitly stated that `a` and `b` should be natural numbers, Coq will deduce that from the context. We could have been very precise as follows:

```
Definition divides (a b:nat) := exists x:nat, b = a*x.
```

Note that the definition will not get any feedback from Coq. If we want to check that we have correctly defined our notion we can use

```
Check divides.
```

to get

Query commands should not be inserted in scripts

```
divides
: nat -> nat -> Prop
```

or

```
Print divides.
```

to get a more detailed

Query commands should not be inserted in scripts

```
divides = λab : nat, ∃x : nat, b = a * x
: nat -> nat -> Prop
Argument scopes are [nat_scope nat_scope]
```

We will not describe all this output here but we note the change from `exists` to  $\exists$  and the occurrence of  $\lambda$ , a notation for functions.

Next we define a notation for `divides`

```
Notation " a | b " := (divides a b) (at level 10).
```

Again no feedback from Coq. The definition should be self-evident except for the “(at level 10)” part. We will discuss this elsewhere.

We are now ready to state out theorem

We can state the theorem as (see the corresponding feedback)

```
Theorem refldiv (a b c:nat):
(a | b) ∧ (b | c) -> (a | c).
```

Goal

$a, b, c : nat$

$a|b \wedge b|c \rightarrow a|c$

but we prefer the version

```
Theorem refldiv: forall a b c, (a | b) ∧ (b | c) -> (a | c).
```

because it is almost identical to the above mathematical statement and it will allow us to show some more tactics. The corresponding feedback is

Goal

$\forall a\ b\ c : nat, a|b \wedge b|c \rightarrow a|c$

Note that Coq has correctly deduced that  $a, b, c$  are natural numbers and replaced the quantifier `forall` with  $\forall$ . Note also that in this form there are no hypotheses.

We fill fix the three variables with the tactics:

```
Fix an arbitrary element a.
Fix an arbitrary element b.
Fix an arbitrary element c.
```

to get

Goal

$a, b, c : nat$

$a|b \wedge b|c \rightarrow a|c$

As before, in order to prove an implication  $A \rightarrow B$  we use the tactic

```
Assume A then prove B.
```

More precisely, in this case we have

Assume  $(a \mid b \wedge b \mid c)$  then prove  $(a \mid c)$ .

to get

Goal

$a, b, c : \text{nat}$   
 $\text{Hyp} : a \mid b \wedge b \mid c$

$a \mid c$

Note that hypothesis Hyp is of type  $A \wedge B$ . We will split this in two hypotheses with:

Eliminate the conjunction in hypothesis Hyp.

to get

Goal

$a, b, c : \text{nat}$   
 $\text{Hyp0} : a \mid b$   
 $\text{Hyp1} : b \mid c$

$a \mid c$

We seem to have used all the tricks up our selves and so it is time to “unfold” the definitions:

Rewrite hypothesis Hyp0 using the definition of divides.

Goal

$a, b, c : \text{nat}$   
 $\text{Hyp0} : \exists x : \text{nat}, b = a * x$   
 $\text{Hyp1} : b \mid c$

$a \mid c$

then

Rewrite hypothesis Hyp1 using the definition of divides.

Goal

$$a, b, c : \text{nat}$$

$$\text{Hyp0} : \exists x : \text{nat}, b = a * x$$

$$\text{Hyp1} : \exists x : \text{nat}, c = b * x$$

$$a|c$$

and

Rewrite goal using the definition of divides.

Goal

$$a, b, c : \text{nat}$$

$$\text{Hyp0} : \exists x : \text{nat}, b = a * x$$

$$\text{Hyp1} : \exists x : \text{nat}, c = b * x$$

$$\exists x : \text{nat}, c = a * x$$

We now pick  $x$  as in the hypothesis Hyp1, that is:

Fix  $x$  the existentially quantified variable in Hyp1.

to get

Goal

$$a, b, c : \text{nat}$$

$$\text{Hyp0} : \exists x : \text{nat}, b = a * x$$

$$x : \text{nat}$$

$$\text{Hyp1} : c = b * x$$

$$\exists x0 : \text{nat}, c = a * x0$$

Note the variable name was changed in the goal but not in Hyp0.

We now use the newly formed Hyp1 as follows:

Rewrite the goal using Hyp1.

to get

Goal

$$\begin{aligned}
 &a, b, c : \text{nat} \\
 &\text{Hyp0} : \exists x : \text{nat}, b = a * x \\
 &x : \text{nat} \\
 &\text{Hyp1} : c = b * x
 \end{aligned}$$

$$\exists x0 : \text{nat}, b * x = a * x0$$

Similarly we pick  $y$  as in Hyp0 and replace it in the goal

Fix  $y$  the existentially quantified variable in Hyp0.  
Rewrite the goal using Hyp0.

to get

Goal

$$\begin{aligned}
 &a, b, cy : \text{nat} \\
 &\text{Hyp0} : b = a * y \\
 &x : \text{nat} \\
 &\text{Hyp1} : c = b * x
 \end{aligned}$$

$$\exists x0 : \text{nat}, a * y * x = a * x0$$

It is now easy to guess that  $x0 = y * x$  so we write

Prove the existential claim is true for  $(y*x)$ .

to obtain

Goal

$$\begin{aligned}
 &a, b, cy : \text{nat} \\
 &\text{Hyp0} : b = a * y \\
 &x : \text{nat} \\
 &\text{Hyp1} : c = b * x
 \end{aligned}$$

$$a * y * x = a * (y * x)$$

which can be proved by



True by arithmetic properties.

the total proof is

```

Definition divides (a b:nat) := exists x:nat, b = a*x.
Notation " a | b " := (divides a b) (at level 10).
Theorem refldiv:forall a b c, (a | b) ∧ (b | c) -> (a | c).
Fix an arbitrary element a.
Fix an arbitrary element b.
Fix an arbitrary element c. Assume (a | b ∧ b | c) then prove (a | c).
Eliminate the conjunction in hypothesis Hyp.
Rewrite hypothesis Hyp0 using the definition of divides.
Rewrite hypothesis Hyp1 using the definition of divides.
Rewrite goal using the definition of divides.
Fix x the existentially quantified variable in Hyp1.
Rewrite the goal using Hyp1.
Fix y the existentially quantified variable in Hyp0.
Rewrite the goal using Hyp0.
Prove the existential claim is true for (y*x).
True by arithmetic properties.

```

Note that one could use a slightly shorter version of this theorem:

```

Theorem refldiv (a b c:nat): (a | b) ∧ (b | c) -> (a | c).
Rewrite goal using the definition of divides.
Assume ((∃ x : nat, b = a * x) ∧ (∃ x : nat, c = b * x)) then prove (∃ x : nat, c = a * x).
Eliminate the conjunction in hypothesis Hyp.
Fix x the existentially quantified variable in Hyp1.
Rewrite the goal using Hyp1.
Fix y the existentially quantified variable in Hyp0.
Rewrite the goal using Hyp0.
Prove the existential claim is true for (y*x).
True by arithmetic properties.

```

Note also that if you save the latex form of the proof you will obtain the following:

**Definition 2 (divides)**  $\text{divides } (a b : \text{nat}) := x : \text{nat}, b = a * x.$

**Theorem 1 (refldiv)**  $\forall a b c, (a | b) \wedge (b | c) \Rightarrow (a | c).$

Proof: In order to show

$$\forall a b c : \text{nat}, a | b \wedge b | c \Rightarrow a | c$$

we pick an arbitrary

$a$

and show

$$\forall bc : nat, a|b \wedge b|c \Rightarrow a|c.$$

In order to show

$$\forall bc : nat, a|b \wedge b|c \Rightarrow a|c$$

we pick an arbitrary

$$b$$

and show

$$\forall c : nat, a|b \wedge b|c \Rightarrow a|c.$$

In order to show

$$\forall c : nat, a|b \wedge b|c \Rightarrow a|c$$

we pick an arbitrary

$$c$$

and show

$$a|b \wedge b|c \Rightarrow a|c.$$

We will assume

$$Hyp : a|b \wedge b|c$$

and show

$$a|c.$$

Since we know

$$Hyp : a|b \wedge b|c$$

we also know

$$Hyp0 : a|bHyp1 : b|c.$$

We use the definition of

$$divides$$

in

$$Hyp0$$

to obtain

$$Hyp0 : \exists x : nat, b = a * x$$

We use the definition of

$$divides$$

in

$$Hyp1$$

to obtain

$$Hyp1 : \exists x : nat, c = b * x$$

Rewriting the definition of

$$divides$$

in our conclusion

$$a|c$$

, we now need to show

$$\exists x : nat, c = a * x.$$

We choose a variable

$$x$$

in

$$Hyp1$$

to obtain

$$x : natHyp1 : c = b * x.$$

We rewrite the goal using

$$Hyp1$$

to obtain

$$\exists x0 : nat, b * x = a * x0.$$

We choose a variable

$$y$$

in

$$Hyp0$$

to obtain

$$a, b, c, y : natHyp0 : b = a * y.$$

We rewrite the goal using

$$Hyp0$$

to obtain

$$\exists x0 : nat, a * y * x = a * x0.$$

We shall prove

$$\exists x0 : nat, a * y * x = a * x0$$

by showing

$$a * y * x = a * (y * x).$$

This follows immediately from arithmetic.

This is done Now

$$a * y * x = a * (y * x)$$

means that

$$\exists x0 : nat, a * y * x = a * x0.$$

We have now proved

$$\exists x0 : nat, a * y * x = a * x0$$

and so

$$\exists x0 : nat, b * x = a * x0$$

follows. and so we have proved

$$\exists x0 : nat, b * x = a * x0.$$

We have now proved

$$\exists x0 : nat, b * x = a * x0$$

and so

$$\exists x0 : nat, c = a * x0$$

follows. and so we have proved

$$\exists x : nat, c = a * x.$$

Therefore we have showed

$$\exists x : nat, c = a * x$$

and so

$$a|c.$$

therefore we have

$$a|c.$$

therefore we have

$$a|c.$$

We are now done with

$$a|c.$$

We have now showed that if

$$Hyp : a|b \wedge b|c$$

then

$$a|c$$

a proof of

$$a|b \wedge b|c \Rightarrow a|c.$$

Since

$$c$$

was arbitrary this shows

$$\forall c : nat, a|b \wedge b|c \Rightarrow a|c.$$

Since

$$b$$

was arbitrary this shows

$$\forall bc : nat, a|b \wedge b|c \Rightarrow a|c.$$

Since

$$a$$

was arbitrary this shows

$$\forall abc : nat, a|b \wedge b|c \Rightarrow a|c.$$



## Appendix E

### Brief description of sets and types

[12] [8]

#### E.1 INtro

This is a rather subtle section. It deals with some concepts of Calculus of Inductive Constructions, the logic behind Coq. In particular it deals (albeit briefly) with types. Reading through the book you might have wondered about the occurrence of things like this:

Goal

```
P : Prop
Q : Prop
H : P → Q
```

...

The notation seems to be similar for  $P : Prop$  and for  $Hyp : P \rightarrow Q$ .

Let us try some experiments. We first define some variables: P and Q will be propositions and h “will be” in  $P \rightarrow Q$ ”

```
Variable P Prop. Variable Q:Prop.
Variable h:P→Q.
```

Now let us check them,

```
Check P.
Check (P→Q)
```

Nor surprises there, we get  $P : Prop$  and “ $P \rightarrow Q : Prop$ ” Now try

```
Check h.
```

The result is

```
h : P → Q.
```

Note that, in particular  $h$  is NOT a proposition but an object of type  $P \rightarrow Q$ , I.e. a witness(proof) of the implication  $P \rightarrow Q$ . Similarly if you define

```
Axiom aaa:2=1+1.
```

then

```
Check aaa.
```

will produce

```
aaa : 2 = 1 + 1
```

That means that  $aaa$  is a witness of the equality  $2 = 1 + 1$  and that you can refer to  $aaa$  in other proofs (for example using `rewrite`). You can also show for example that there is only one proof of the fact that  $2=1+1$ .

For much of the book one can look at the notation  $a : nat$  as a SpatchCoq version of  $a \in \mathbb{N}$ . This is not quite correct. In fact  $a : U$  denotes the statement “ $a$  is of type  $U$ ”. In particular, the notation  $Hyp : P \rightarrow Q$  and  $P : Prop$  have the same kind of meaning. The first one means that  $Hyp$  is an object of the type  $P \rightarrow Q$  i.e a witness of a proof of  $P \rightarrow Q$  while the second means that  $P$  is an object of type  $Prop$ .

The point is that types are primitive objects in Coq (hence in SpatchCoq) and, more importantly,

## E.2 Types are not Sets!

In Coq (and SpatchCoq) every object has a unique type. For example,  $0$  cannot represent both the natural number zero and the integer zero. The two objects are different and you need a conversion between them. try for example:

```
Check 0.
```



```
Check 0%Z.
```

Now consider the following:

```
Check Type.
```

you get “Type: Type”!!!! What does that even mean? It seems that Type is of type Type, surely this must be some sort of Russell paradox.

This is in some sense, the crux of the matter. Modern type theory evolved out of an attempt, by Russell himself, to resolve the paradoxes of Set Theory. This was surpassed in popularity by the ZF Axiomatic Set Theory and waited, half forgotten, for Computer Scientists to rediscover it. The type system of Coq (and SpatchCoq) is based (as is the name of the software) on the work of Thierry Coquand on the calculus of inductive constructions.

In fact, the notation “ $Type : Type$ ” is a small notational abuse. It really means that  $Type_0 : Type_1$  or, more generally  $Type_n : Type_{n+1}$ . This is exactly how Russell imagined types, as an infinite series. At the bottom there are sets, that is types like  $nat$  or  $\mathbb{Z}$  or  $bool$  or  $nat \rightarrow nat$ . They are themselves types of type Set. The next layer is made of Set itself which of type  $Type_0$  is the type Prop.  $Type_0$  is itself an object which is of type  $Type_1$  and so on. Note for example:

```
Check Type:Type
```

which produces:  $Type : Type : Type$ .

## E.3 Equality in Coq

We mentioned earlier that every element has a unique type. In particular zero could mean many different things. For example we have the natural number  $0 : nat$  and the integer  $0\%Z : \mathbb{Z}$ . Surely one should be able to see that  $0 \neq 0\%Z$  right? Let us try:

```
Lemma a: (0 ≠ 0%Z).
```

This gives the confusing error:

```
Error: The term “0%Z” has type ” Z ” while it is expected to have type ” nat ”.
```

Indeed you cannot even compare two element of different type. If we ask ourselves what “=” means,

About ‘=’.

We get the strange looking answer:

```
eq : ∀ A : Type, A → A → Prop
```

Further investigation (using “Print eq.”)reveals the following:

```
Inductive eq (A : Type) (x : A) : A → Prop := eq_refl : x = x
```

In other words equality is a predicate that can only take as arguments things of the same type (in particular there is an implicit type hidden in the definition of “=”). Moreover its definition seems to suggest that you can only prove that  $x = x$ . In some sense this is true. However here is a rather trivial lemma:

```
Lemma equal1: 2+2+6*3= 5*4+2.
This follows from reflexivity.
Qed.
```

If we try to print the proof of the lemma

```
Print equal1.
```

We get that

```
equal1 = eq_refl : 2 + 2 + 6 * 3 = 5 * 4 + 2
```

This seems to suggest that simplifying things is ok.

However, let us change this a bit and consider the following Lemma.

```
Lemma z(n:nat):n=n+0.
This follows from reflexivity.
```

```
In nested Ltac calls to "This follows from reflexivity" and "reflexivity", last call failed.
Error: In environment n : nat Unable to unify "n + 0" with "n".
```

Therefore even trivial simplifications are sometimes hard to prove. Nevertheless, we can use the stronger tactic “True by arithmetic properties.” to prove this equality. So what equalities can we prove by reflexivity? In fact if we look at the definition of the tactic “reflexivity” in the Coq manual we can see:

“This tactic applies to a goal that has the form  $t=u$ . It checks that  $t$  and  $u$  are convertible and then solves the goal. It is equivalent to apply `refl.equal`.”

Therefore it seems that reflexivity only works on  $u = v$  when  $u$  and  $v$  are “convertible”. This is described in more detail here <https://coq.inria.fr/refman/language/cic.html>. In fact two terms are. “intentionally equal” (and their equality provable by reflexivity) if they can be obtained from one another after a finite number of  $\beta$ ,  $\delta$ ,  $\iota$  or  $\zeta$  reductions. Here are brief descriptions of each of these:

- $\beta$  This rule reduces functional application. If you have an expression like that looks like  $(fun x \Rightarrow x + 1)1$  then  $\beta$  reduction changes it to  $1 + 1$ .
- $\delta$  This unfolds transparent constants. For example if you had defined previous `f` as  $(fun x \Rightarrow x + 1)$  then  $\delta$  reduction will change `f1` to  $(fun x \Rightarrow x + 1)1$ . Note that this is what happens when you use the tactic ‘Rewrite goal using the definition of VAR.’ but, in addition this tactic also applies  $\beta$  and  $\iota$  reduction.
- $\iota$  This reduces matches and unfolds inductive definitions (but only of the unfolding allows for a match).

We will exemplify with a rather involved yet easy example. We will show how  $0 + x$  reduces to  $x$ . Recall that the definition of plus is

```
Nat.add =
fix add (n m : nat) {struct n} : nat :=
  match n with
  | 0 => m
  | S p => S (add p m)
end
```

Suppose we try to simplify the expression  $0 + x$ . We first apply  $\delta$  reduction to get

```
(fix add (n m : nat) {struct n} : nat :=
  match n with
  | 0 => m
  | S p => S (add p m)
end) 0 x
```

Then  $\iota$  reduction will change the first step of recursion this to a horrible looking but non recursive function (note however that the second step stays recursive):

```
(fun n m : nat =>
  match n with
  | 0 => m
  | S p =>
    S
      ((fix add (n0 m0 : nat) {struct n0} : nat :=
        match n0 with
        | 0 => m0
```

```

      | S p0 => S (add p0 m0)
    end) p m)
end) 0 x

```

We can now apply  $\beta$  reduction to get

```

  match 0 with
  | 0 => x
  | S p =>
    S
      ((fix add (n m : nat) {struct n} : nat :=
        match n with
        | 0 => m
        | S p0 => S (add p0 m)
        end) p 1)
  end

```

Now  $\iota$  reduction will find the first match and replace this whole expression by  $x$ .

Luckily Coq is trained to do this automatically and so if you want to prove  $0 + x = x$  you only need to use reflexivity.

At the same time however, the expression  $x + 0$  does not reduce to  $x$ . This is because the “inductive” definition of plus takes the first variable as structural and so applying the iota reduction in this case will not work since there is no obvious matching choice for  $x$ . Indeed,  $0 + x = x$  by definition while in order to see that  $x + 0 = x$  we need to use induction.

### E.3.0.1 Leibniz equality and rewriting or deeper down the rabbit hole

More accomplished logicians than me would ask the natural question: What about Leibniz equality? Indeed Leibniz described equality between two objects as the lack of separation properties. In other words one can say that  $a = b$  if  $b$  satisfies any property that  $a$  does. In other words we can define

**Definition**  $\text{Leq } (X:\text{Type}) (a\ b:X) := \forall P\ X \rightarrow \text{Prop}, P\ a \rightarrow P\ b$

It is not too difficult to show that our earlier definition of equality is equivalent to  $\text{Leq}$ .

```

Lemma Leibnizeq (X:Type) (a b:X): Leq X a b ↔ a = b.
Rewrite goal using the definition of Leq.
Prove both directions of (∀ P : X → Prop, (P a) → (P b)) iff (a = b).
Assume (∀ P : X → Prop, (P a) → (P b)) then prove (a = b).
Denote (fun x ⇒ a = x) by P.
Apply result Hyp.
Rewrite the goal using HeqP.
This follows from reflexivity.
Assume (a = b) then prove (∀ P : X ← Prop, (P a) ← (P b)).
Fix an arbitrary element P.
Replace a by b in the goal.
Assume (P b) then prove (P b).
This follows from assumptions.
Qed.

```

Note that for the implication  $(\text{Leq } a \ b \rightarrow a = b)$  we just apply the Leibniz property to the predicate “being equal to  $a$ ”. We could have saved some space by not making the notation “Denote  $(\text{fun } x \Rightarrow a = x)$  by  $P$ .” But directly doing “Apply result  $(\text{Hyp } (\text{eq } a))$ .” The proof of this is not based on any fancy Coq machinery.

The other implication is based on the use of “replacement”. This is an essential method in mathematics and we rarely think about it. Nevertheless this discussion is about the core of equality and so we must think a bit harder. Is rewriting basically the same as Leibniz? In some sense it is. It all has to do with inductive part of the Calculus of Inductive Constructions. Recall the inductive definition of equality:

```

Inductive eq (A : Type) (x : A) :
  A -> Prop := eq_refl : eq x x

```

In Coq an inductive definition not only defines a property but it also creates and proves a few theorems. If you define an inductive object `obj` then the theorems are called `obj_ind` respectively `obj_rect`. For example in the case of `eq`

```

Check eq_ind.
Check eq_rect.

```

Produces

```

eq_ind
: ∀ (A : Type) (x : A) (P : A → Prop), P x → ∀ y : A, x = y → P y
eq_rect
: ∀ (A : Type) (x : A) (P : A → Type), P x → ∀ y : A, x = y → P y

```

The `ind` version is used to “prove by induction” and the `rect` version is used to “construct recursively”. In particular note that `eq_ind` is almost the same as `Leibniz`. Indeed we could have replaced the last four tactics in the proof by

```
Apply result (eq_ind a).
This follows from assumptions.
This follows by assumptions.
Qed.
```

In fact the “replacing tactics”, “Rewrite the goal using VAR.” respectively “Replace VAR by VAR in hypothesis VAR.” and “Replace VAR by VAR in the goal.” are all sophisticated versions of matching (and  $\iota$  reduction) on the definition of `eq`. In fact if one does the two proofs above and then writes “Print `Leibnizeq`” the results are essentially the same. They both use `eq_ind` but the rewrite version introduces some new notations that make things a bit harder to read.

The rewrite proof:

```
Leibnitzeq =
λ (X : Type) (a b : X),
conj ( λ Hyp : ∀ P : X → Prop, P a → P b, Hyp (eq a) eq_refl)
( λ (Hyp : a = b) (P : X → Prop) (Hyp0 : P a) (H:a = b:=Hyp),
eq_ind a ( λ b0 : X, P b0) Hyp0 b H)
: ∀ (X : Type) (a b : X), Leq X a b ↔ a = b
```

And the `eq_ind` proof:

```
Leibnitzeq =
λ (X : Type) (a b : X),
conj ( λ Hyp : ∀ P : X → Prop, P a → P b, Hyp (eq a) eq_refl)
( λ (Hyp : a = b) (P : X → Prop) (Hyp0 : P a), eq_ind a P Hyp0 b Hyp)
: ∀ (X : Type) (a b : X), Leq X a b ↔ a = b
```

### E.3.0.2 John Major equality

So what about `0 : nat` and `0%Z : Z`? Can you tell that they are not equal? In fact you can. There is a concept called “heterogeneous equality” defined as follows:

```
Inductive JMeq (A : Type) (x : A) :
  forall B : Type, B -> Prop := JMeq_refl : JMeq x x
```

Recall the usual definition of equality:

```
Inductive eq (A : Type) (x : A) :
```

```
A -> Prop := eq_refl : eq x x
```

The two look quite similar, it seems that you can still only prove  $x = x$ . Nevertheless the type of JMeq is:

```
JMeq
  : forall A : Type, A -> forall B : Type, B -> Prop.
```

In other words, JMeq allows you to check whether JMeq  $ab$  holds even if  $a$  and  $b$  are of different types. JMeq  $a\ b$  will of course be false unless the type of  $a$  equals the type of  $b$  and  $a = b$ . The name of the concept (as you can see from its notation) is John Major equality, a name invented by Conor McBride because “it widens aspirations without affecting the practical outcome”, an apt metaphor for tory politics in Britain.

This concept is well developed in Coq but, in this book, we tried to avoid being drawn into such subtleties.

### E.3.0.3 Functional equality

Let us complicate things further ever so slightly. Consider two functions  $f, g : \mathbb{N} \rightarrow \mathbb{N}$ ,  $f(x) = x$  respectively  $g(x) = x + 0$ . Surely these are equal. As before we might not just use reflexivity but arithmetic will do.

```
Definition f:nat -> nat:=fun x => x.
Definition g:nat-> nat:=fun x => x+0.
Lemma z:f=g.
True by arithmetic properties.
```

Arithmetic seems to not be able to make any progress.

Since functions are primitive notions, functional equality is a subtle notion in Coq. In fact the very natural statement that  $f = g$  if  $\forall x, f(x) = g(x)$  is independent of the logic of Coq and has to be entered as an axiom. (Note, I have not yet decide if to add this to Spatchcoq).

```
Axiom fun_ext : forall (A B : Type) (f g : A -> B), (forall x : A, f x = g
x) -> f = g.
```

A special case of this we have already seen in the Set theory section in which we had to employ the axiom Extensionality Ensembles.

### E.3.0.4 Proof irrelevance

Note that in Coq proof are themselves objects and therefore can be compared. Of course you cannot compare proofs of different statement, a proof has the type of the statement it proves. This creates wonderfully confusing statements in type theory. For example, consider two proofs of “ $0=0$ ”, the absolutely trivial one:

```
Lemma a:0=0.
  This follows from reflexivity.
Qed.
```

And a bizarre one:

```
Lemma b:0=0.
  Claim (1=1).
  This follows from reflexivity.
  Apply result eq_add_S.
  This follows from assumptions. Qed.
```

Are they the same proof? Let us look at the module `Eqdep_dec` and the lemma `eq_proofs_unicity_on`:

```
Theorem eq_proofs_unicity_on : ∀(y : A)(p1 p2 : x = y), p1 = p2.
```

It seems to be saying that any two proofs of an equality are the same. Cool let us use it.

```
Require Import Eqdep_dec.
Lemma c:a=b.
  Apply result eq_proofs_unicity_on.
```

Surprisingly we get:

Goal

```
∀y : nat, (0 = y) ∨ (not(0 = y))
```

Which is a bit noting, in fact, the theorem in the story is true if equality is decidable in the type  $A$ . Luckily in `nat` it is:



```

Fix an arbitrary element y.
Apply induction on y.
Prove left hand side.
This follows from reflexivity.
Consider cases based on disjunction in hypothesis IHy.
Prove right hand side.
Replace y by 0 in the goal.
This is trivial.
Prove right hand side.
Rewrite goal using the definition of not.
Apply result 0_S.
Qed.

```

However if we consider functions  $\text{nat} \rightarrow \text{nat}$  the story is a bit different even if we use identically looking functions.

```

Definition f: nat -> nat := fun x => x.
Lemma a: f=f.
This follows from reflexivity.
Qed.
Lemma b: f=f.
This follows from reflexivity.
Qed.
Require Import Eqdep_dec.
Lemma c : a=b.
Apply result eq_proofs_unicity_on.

```

Gives

Goal

$$(\forall (y : \text{nat}) \rightarrow \text{nat}, (f = y) \vee (\text{not}(f = y)))$$

Which is not decidable so we can only prove it in classical logic and not in constructive logic.



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