## 1 Problem Definitions and Statement

**Definition 1.** A point cloud A over  $\mathbb{R}^n$  is a sequence of  $|A| = k \in \mathbb{N}^+$  vectors in  $\mathbb{R}^n$ , that is,

$$A = (\mathbf{a_1}, \dots, \mathbf{a_k}) \quad \forall i \in [k], \, \mathbf{a_i} \in \mathbb{R}^n$$

**Definition 2.** The *centroid* of a point cloud A is defined to be

$$\bar{\mathbf{a}} = \frac{1}{|A|} \sum_{\mathbf{a} \in A} \mathbf{a}$$

**Definition 3.** A rigid-body transformation on a point cloud A is an affine map  $T: \mathbb{R}^n \to \mathbb{R}^n$  determined by an rotation matrix  $R \in \mathbb{R}^{n \times n}$  and translation  $t \in \mathbb{R}^n$  and given by

$$T: \mathbf{a} \mapsto R(\mathbf{a} - \bar{\mathbf{a}}) + \bar{\mathbf{a}} + \mathbf{t}$$

that is, a rotation around the centroid of followed by a translation.

The Point-Cloud Registration Problem is, given two point clouds A,B and a pairing function  $\varphi(T,\cdot)$  based on a rigid-body transformation T such that  $\forall \mathbf{a} \in A, \ \varphi(T,\mathbf{a}) \in B$ , to determine the rigid-body transformation T that optimizes

$$\min_{T} \sum_{\mathbf{a} \in A} \|T(\mathbf{a}) - \varphi(T, \mathbf{a})\|^2 \tag{1}$$

**Definition 4.** The *point-to-point metric* for two point clouds A, B is given by

$$\varphi(T, \mathbf{a}) = \operatorname*{argmin}_{b \in B} ||T(\mathbf{a}) - \mathbf{b}||^2$$
(2)

From here on, we will use the point-to-point metric unless stated otherwise.

## 2 Solution

In general, there is no closed-form solution that minimizes (1). When there is no translation, Horn (1987) derives a closed-form solution; therefore, if an initial odometry estimate is precise enough, this translation can be applied to all the points in the point cloud, producing a problem instance with zero translation.

## 2.1 Approximation for $\mathbb{R}^2$

Consider the situation where the point clouds A and B (with n and m points respectively) are over  $\mathbb{R}^2$ . First, let us write the cost function  $\mathcal{L}$ .

$$\begin{split} \mathcal{L} &= \min_{T} \sum_{\mathbf{a} \in A} \|T(\mathbf{a}) - \varphi(T, \mathbf{a})\|^2 \\ &\implies \min_{T} \sum_{\mathbf{a} \in A} \|R\mathbf{a}' + \bar{\mathbf{a}} + \mathbf{t} - \varphi(T, \mathbf{a})\|^2 \qquad \qquad \text{let } \mathbf{a}' = \mathbf{a} - \bar{\mathbf{a}} \\ &\implies \min_{T} \sum_{\mathbf{a} \in A} \|R\mathbf{a}' + \mathbf{t} - \varphi(T, \mathbf{a})\|^2 \qquad \qquad \text{since } \bar{\mathbf{a}} \text{ is constant} \end{split}$$

In  $\mathbb{R}^2$ , the rotation matrix R takes the form

$$R = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

where it is described by a single parameter  $\theta$ . Therefore, the task is to optimize  $\mathcal{L}$  over the parameter space  $(\theta, t_x, t_y)$ . We take b to be  $\varphi(T, \mathbf{a})$  where context permits.

$$\frac{\partial \mathcal{L}}{\partial t_x} = \sum_{\mathbf{a} \in A} 2t_x + 2a_x' \cos(\theta) - 2a_y' \sin(\theta) - 2b_x$$

$$\frac{\partial \mathcal{L}}{\partial t_y} = \sum_{\mathbf{a} \in A} 2t_y + 2a_x' \sin(\theta) + 2a_y' \cos(\theta) - 2b_y$$

$$\frac{\partial \mathcal{L}}{\partial \theta} = \sum_{\mathbf{a} \in A} 2(a_x' \sin(\theta) + a_y' \cos(\theta))(b_x - t_x - a_x' \cos(\theta) + a_y' \sin(\theta))$$

$$+ 2(a_x' \cos(\theta) - a_y' \sin(\theta))(t_y + a_x' \sin(\theta) + a_y' \cos(\theta) - b_y)$$

The roots of  $\partial \mathcal{L}/\partial t_x$  and  $\partial \mathcal{L}/\partial t_y$  can easily be solved in closed form;

$$t_x^* = \frac{1}{n} \sum_{\mathbf{a} \in A} -a_x' \cos(\theta) + a_y' \sin(\theta) + b_x$$
$$t_y^* = \frac{1}{n} \sum_{\mathbf{a} \in A} -a_x' \sin(\theta) - a_y' \cos(\theta) + b_y$$

or

$$\mathbf{t}^* = \frac{1}{n} \sum_{\mathbf{a} \in A} \mathbf{b} - R\mathbf{a}'$$

ISSUE: THIS MATH MUST BE WRONG

$$\mathbf{t}^* = \frac{1}{n} \sum_{\mathbf{a} \in A} \phi(T, \mathbf{a}) - R\mathbf{a}$$

is what I think have working