

# Theory of Spin-Orbit-Coupled Cold Atomic Systems

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# Outline

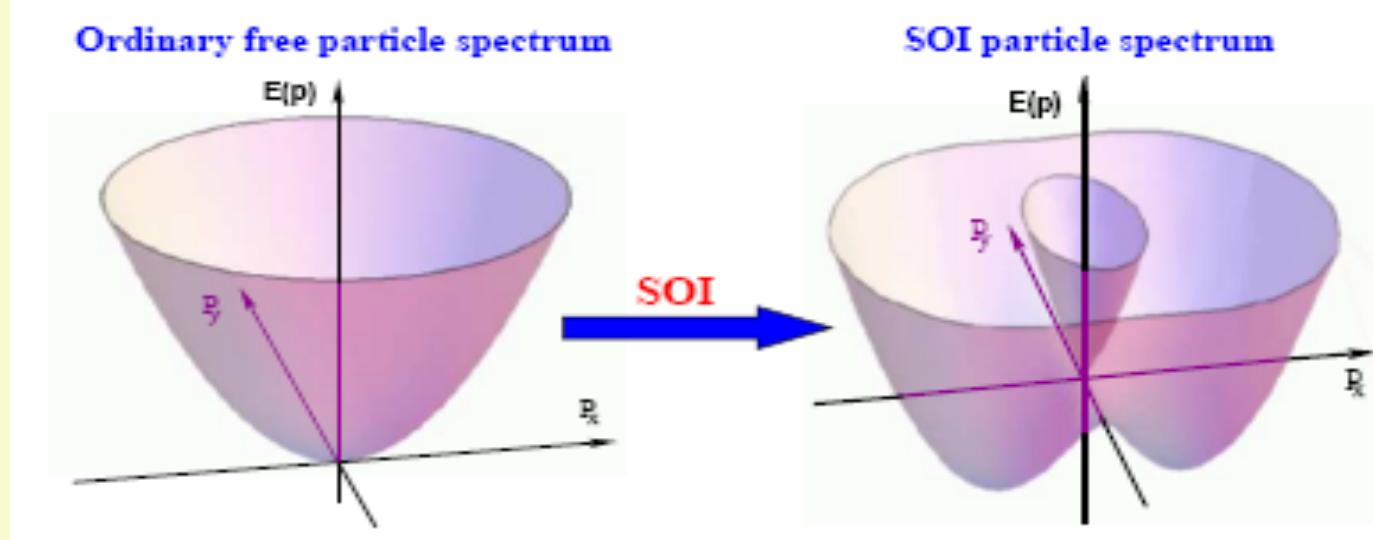
- Synthetic spin-orbit coupling schemes, old and new and many more
- A zoo of spin-orbit-coupled BECs: (mostly) theory
- How to create vortices in spin-orbit-coupled BECs?
- Topological optical lattices & lattice quantum Hall states
- Practical applications: Quantum gravimetry and interferometry

# Spin-Orbit-Coupled Systems

Spin-orbit-coupled 2D electron gas:

$$\hat{\mathcal{H}} = \frac{p^2}{2m} + \mathbf{h}_p \cdot \hat{\boldsymbol{\sigma}}$$

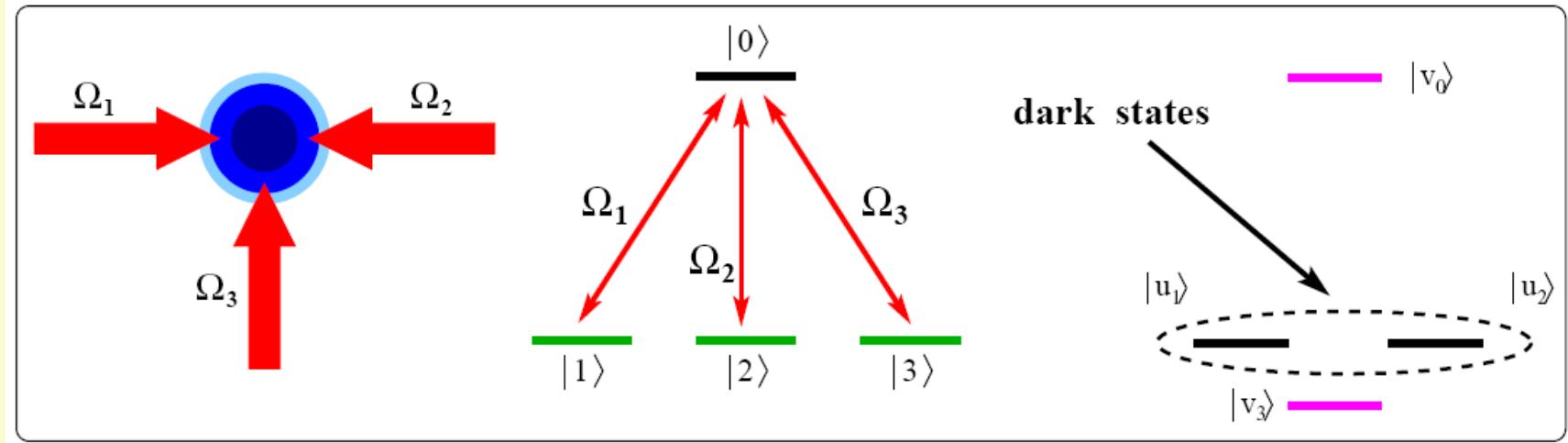
- Rashba:  $\mathbf{h}_p^R = \alpha \mathbf{p} \times \hat{\mathbf{z}}$ ; Dresselhaus:  $\mathbf{h}_p^{D_1} = -\beta_1 (p_x, -p_y)$



# **Synthetic Spin-Orbit Couplings**

## **in Cold-Atom Systems**

# Atom in a r-dependent laser field. Tripod scheme



Hamiltonian:  $\hat{\mathcal{H}} = \hat{\mathcal{H}}_{\text{kin}} + \hat{V}_{\text{trap}} + \hat{\mathcal{H}}_{\text{a-l}}$

Atom-laser interaction:

$$\hat{\mathcal{H}}_{\text{a-l}} = - [\Omega_1(\mathbf{r})|0\rangle\langle 1| + \Omega_2(\mathbf{r})|0\rangle\langle 2| + \Omega_3(\mathbf{r})|0\rangle\langle 3|] + \text{h. c.}$$

Refs: Ruseckas, Juzeliunas, Oehberg, & Fleischhauer, Phys. Rev. Lett. **95**, 010404 (2005)  
Stanescu, Zhang, & Galitski, Phys. Rev. Lett. **99**, 110403 (2007)

## Two equivalent descriptions

To get the effective Hamiltonian...

1. Diagonalize  $\hat{\mathcal{H}}_{a-l}$  via a unitary rotation,  $\hat{\mathcal{H}}_{a-l} \rightarrow \hat{U}^\dagger(\mathbf{r}) \hat{\mathcal{H}}_{a-l} \hat{U}(\mathbf{r})$
2. Project the result onto the dark subspace

$$\hat{\mathcal{H}}_{\text{eff}} = \hat{\mathcal{P}}_{\text{dark}} \hat{U}^\dagger(\mathbf{r}) \left( \frac{-\hbar^2 \nabla^2}{2m} \right) \hat{U}(\mathbf{r}) \hat{\mathcal{P}}_{\text{dark}}$$

- **Picture I:** Particle in a non-Abelian “gauge field”

$$\hat{\mathcal{H}}_{\text{eff}} = \frac{1}{2m} \left[ -i\hbar \nabla - \mathbf{A}^i(\mathbf{r}) \hat{\sigma}_i \right]^2$$

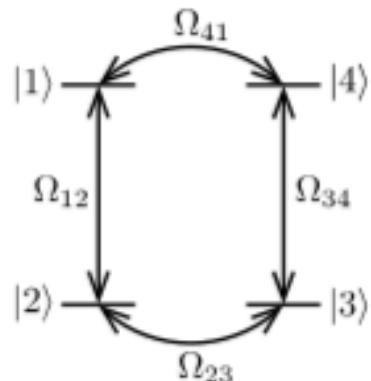
- **Picture II:** Spin-orbit coupled particle

$$\hat{\mathcal{H}}_{\text{eff}}(\mathbf{p}) = \frac{\mathbf{p}^2}{2m} + \mathbf{b}(\mathbf{p}) \cdot \hat{\boldsymbol{\sigma}}$$

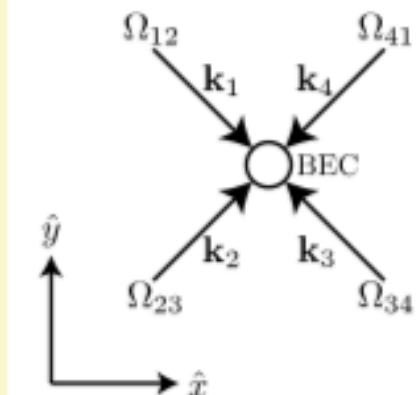
For the tripod scheme in 2D:  $\mathbf{b}(\mathbf{p}) = (0, vp_x, v'p_y)$

# Loop scheme for Rashba SOC

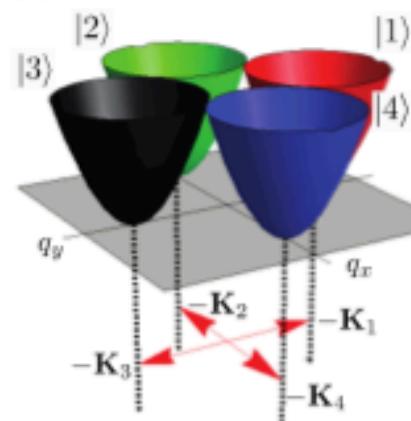
(a) Coupling scheme



(b) Geometry



(c) Uncoupled dispersion

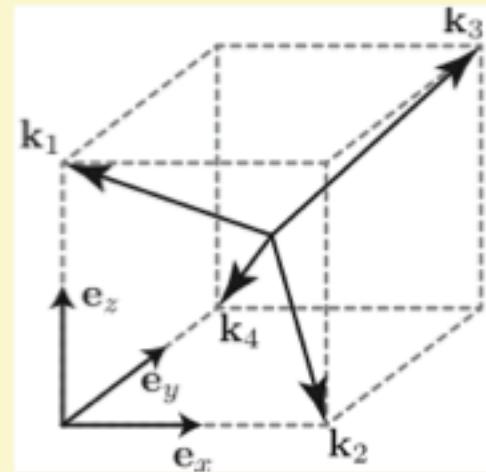
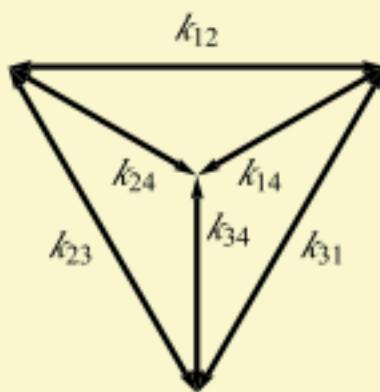
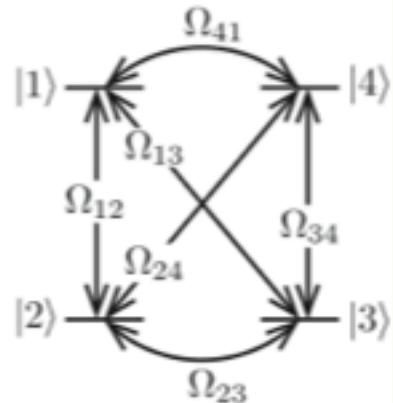


$$\hat{\mathcal{H}}_{SO} = \frac{\mathbf{p}^2}{2m} + v(p_x \hat{\sigma}_x + p_y \hat{\sigma}_y)$$

D. Campbell, G. Juzeliunas, & I. Spielman, PRA 84, 025602 (2011)

# Tetrahedron scheme for “Weyl” SOC in 3D

(a) Coupling scheme



- Chose lasers, so that “momentum flux” through every loop vanishes.

$$\hat{\mathcal{H}}_{\text{SO}} = \frac{\mathbf{p}^2}{2m} + v(p_x \hat{\sigma}_x + p_y \hat{\sigma}_y + p_z \hat{\sigma}_z)$$

B. Anderson, G. Juzeliunas, I. Spielman, & V. Galitski, tbp

## SU(3) “spin”-orbit coupling

A different choice of phases in the tetragonal scheme leads to a Hamiltonian that can NOT be spanned by Pauli matrices alone, but may be spanned by  $3 \times 3$  Gell-Mann matrices

$$[\hat{\lambda}_i, \hat{\lambda}_j] = i f_{ij}^k \hat{\lambda}_k$$

Gell-mann matrices are generators of  $su(3)$ .

*su(3) spin-orbit coupled system:*

$$\hat{\mathcal{H}}_{\text{su}(3)} = \frac{\mathbf{p}^2}{2m} + \sum_{i=1}^8 b^i(\mathbf{p}) \hat{\lambda}_i$$

No analogue in condensed matter (or any other matter)...

G. Boyd, B. Anderson, & V. Galitski, tbp

## Experimental status (see Ian's talk for details)

PRL 102, 130401 (2009)

**P** Selected for a *Viewpoint in Physics*  
PHYSICAL REVIEW LETTERS

week ending  
3 APRIL 2009

### Bose-Einstein Condensate in a Uniform Light-Induced Vector Potential

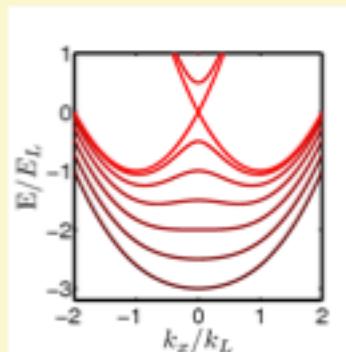
Y.-J. Lin, R. L. Compton, A. R. Perry, W. D. Phillips, J. V. Porto, and I. B. Spielman\*

*Joint Quantum Institute, National Institute of Standards and Technology, and University of Maryland,  
Gaithersburg, Maryland, 20899, USA*

(Received 17 September 2008; published 30 March 2009)

- “Only” “Abelian” SOC ( $\sim$  persistent spin helix type) has been realized so far

$$\hat{\mathcal{H}} = \frac{\mathbf{p}^2}{2m} + vp_x\hat{\sigma}_z + \Omega\hat{\sigma}_x + \delta\hat{\sigma}_z$$



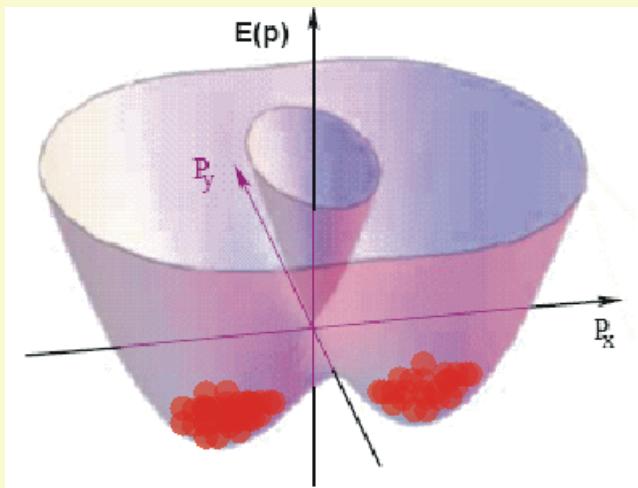
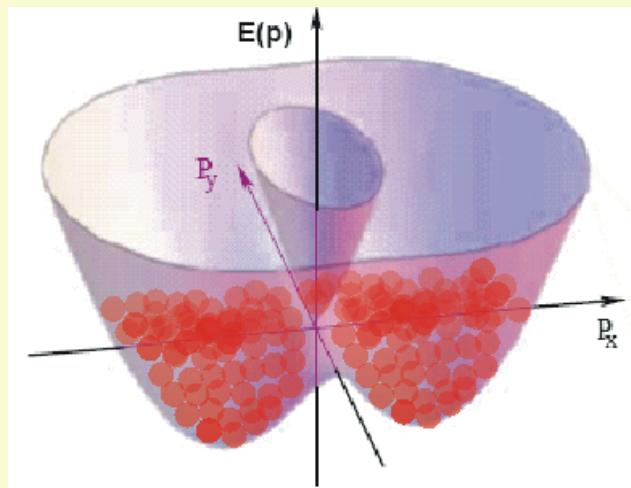
- The loop SOC ( $\sim$  Rashba) may probably be realized soon
- The tetragonal SOC scheme ( $\sim$  Weyl or  $su(3)$ ) is realistic

# **Many-Body Physics of Spin-Orbit-Coupled Cold Atoms**

**Spin-Orbit-Coupled  
Bose-Einstein Condensates**

# Spin-Orbit-Coupled Fermions and Bosons

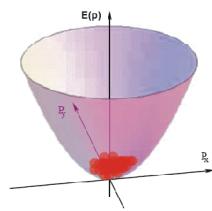
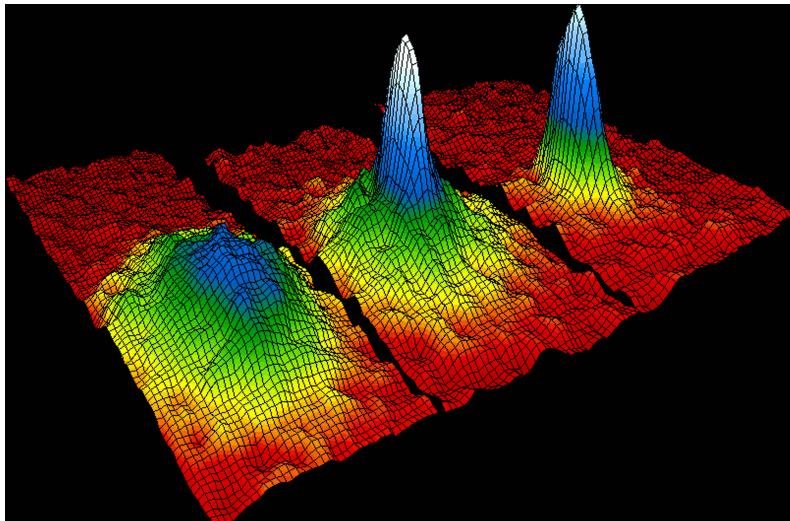
- Proposed methods to engineer synthetic spin and synthetic spin-orbit couplings involve single-particle physics. Statistics play no role.
- Spin-orbit-coupled fermions form two Fermi surfaces.
- **Spin-1/2 (!) spin-orbit-coupled bosons condense.**



Refs: Stanescu, Zhang, & V.G., *Phys. Rev. Lett.* **99**, 110403 (2007)  
Stanescu, Anderson, & V.G., *Phys. Rev. A* **78**, 023616 (2008)

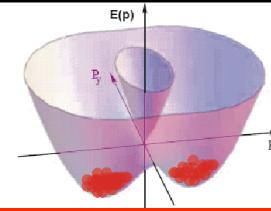
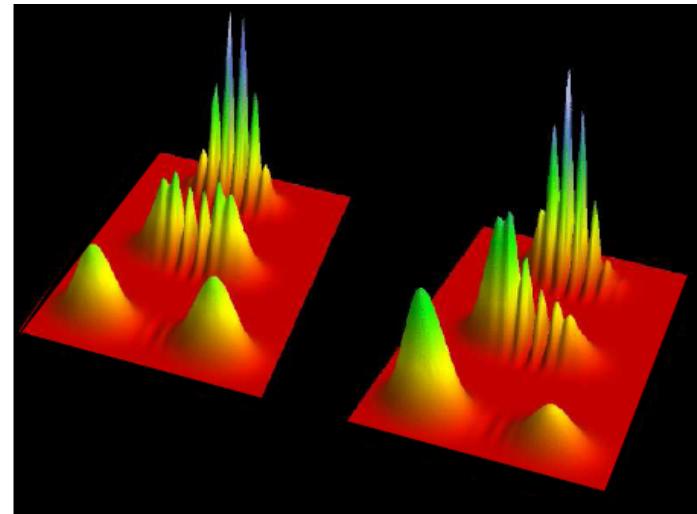
# New type of Bose-Einstein condensate

- Time-of-flight for the usual BEC



$$|\Psi\rangle = e^{i\phi_0} |\text{Zero-momentum state}\rangle$$

- ToF for a spin-orbit coupled BEC



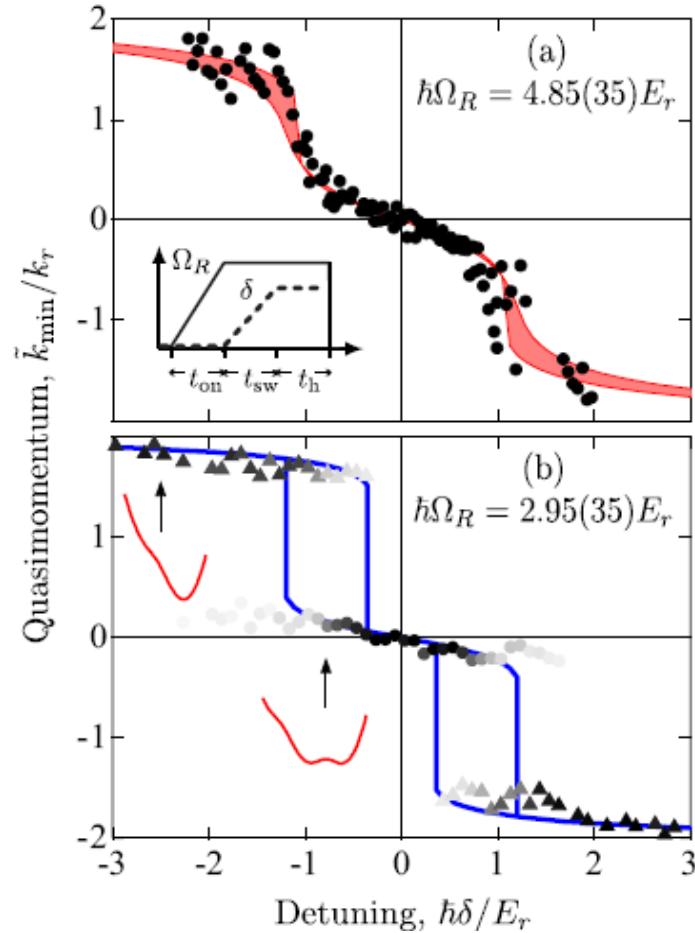
$$|\Psi\rangle = \text{Linear combination of } |\text{Left}\rangle \text{ and } |\text{Right}\rangle$$

## Bose-Einstein Condensate in a Uniform Light-Induced Vector Potential

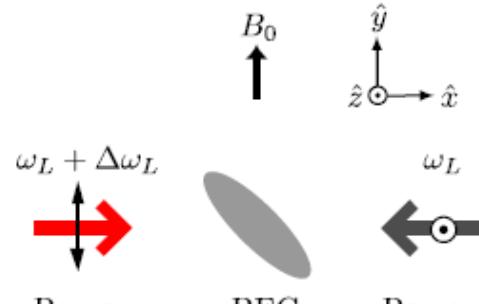
Y.-J. Lin, R. L. Compton, A. R. Perry, W. D. Phillips, J. V. Porto, and I. B. Spielman\*

*Joint Quantum Institute, National Institute of Standards and Technology, and University of Maryland,  
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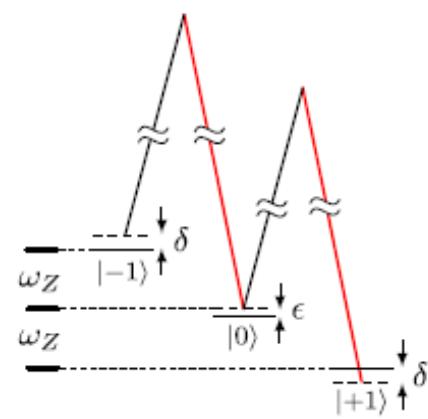
(Received 17 September 2008; published 30 March 2009)



(a) Experimental layout

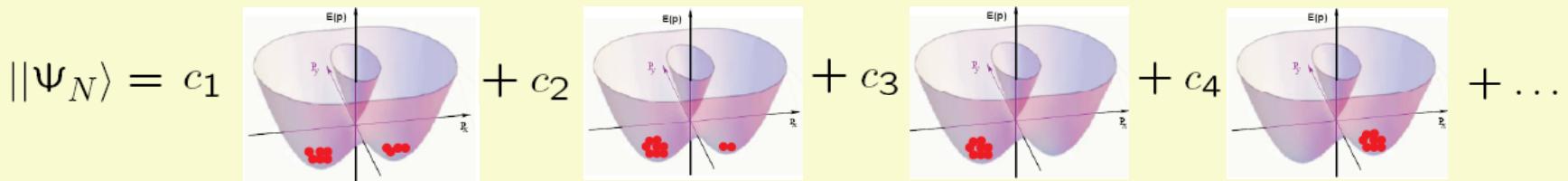


(b) Level diagram



# Non-interacting spin-orbit-coupled BEC

If there are no interactions and we do not require momentum to be a good quantum number, then the degeneracy of the many-body ground state is enormous –  $(N + 1)$ -fold.



General many-body wave-function

$$|\Psi_N\rangle = \sum_{n=0}^N \frac{c_n}{\sqrt{n!(N-n)!}} (\hat{B}_L^\dagger)^n (\hat{B}_R^\dagger)^{N-n} |\text{vac}\rangle,$$

$$\sum_n |c_n|^2 = 1$$

Interactions lift the huge degeneracy at the many-body level and reduce it to a two-fold degenerate state...

# “Order-by-disorder:” Selecting a ground state

- Density-density interaction model (in terms of the original bosons)

$$\hat{\mathcal{H}}_{\text{int}} = \frac{1}{2V} \sum_{\mathbf{p}, \mathbf{p}', \mathbf{q}} V_{\text{int}}(\mathbf{q}) \hat{b}_{\alpha \mathbf{p}}^\dagger \hat{b}_{\alpha \mathbf{p} + \mathbf{q}} \hat{b}_{\beta \mathbf{p}'}^\dagger \hat{b}_{\beta \mathbf{p}' - \mathbf{q}}$$

- Interaction term for pseudo-spin bosons

$$\begin{aligned} \hat{\mathcal{H}}_{\text{int}} = & \frac{1}{2V} \sum_{\mathbf{p}, \mathbf{p}', \mathbf{q}} \sum'_{\{\sigma_i\}} V_{\text{int}}(\mathbf{q}) \hat{B}_{\sigma_1 \mathbf{p}}^\dagger \hat{B}_{\sigma_2 \mathbf{p} + \mathbf{q}} \hat{B}_{\sigma_3 \mathbf{p}'}^\dagger \hat{B}_{\sigma_4 \mathbf{p}' - \mathbf{q}} \\ & \times U_{\sigma_1 \alpha}^\dagger(\mathbf{p}) U_{\alpha \sigma_2}(\mathbf{p} + \mathbf{q}) U_{\sigma_3 \alpha'}^\dagger(\mathbf{p}') U_{\alpha' \sigma_4}(\mathbf{p} - \mathbf{q}), \end{aligned}$$

Matrices  $\hat{U}$  represent momentum-space rotations (Berry's phases).

- Bogoliubov theory ( $\hat{\beta}$ 's below are Goldstone modes)

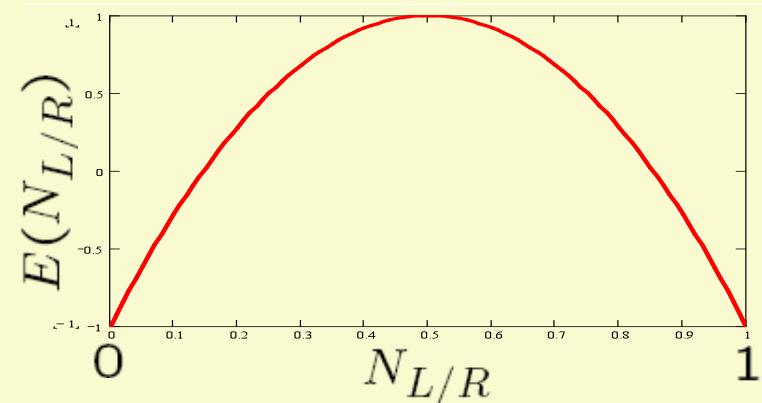
$$\hat{\mathcal{H}} = \sum_{n=0}^N \hat{\mathcal{P}}_{N_L, N_R} \left[ \mathcal{E}_0(N_L, N_R) + \sum_{\mathbf{q}, \sigma} \Omega_\sigma(n, \mathbf{q}) \hat{\beta}_{\sigma, \mathbf{q}}^\dagger \hat{\beta}_{\sigma, \mathbf{q}} \right] \hat{\mathcal{P}}_{N_L, N_R}$$

$\hat{\mathcal{P}}_{N_L, N_R}$  projects on the subspace with  $N_L$  left- and  $N_R$  right-movers.

The ground state energy is subspace-dependent!

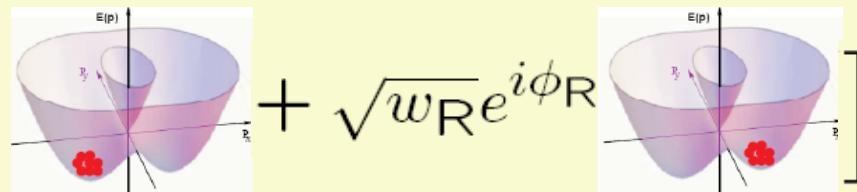
# $|N00N\rangle$ state

Energy of the ground state as a function of the density of the left-movers,  $N_L/N$ .



Energy is minimized if all particles are moving to the left or to the right.  
The ground state

$$|\Psi_N\rangle = \frac{1}{\sqrt{N!}} \left[ \sqrt{w_L} e^{i\phi_L} + \sqrt{w_R} e^{i\phi_R} \right]$$



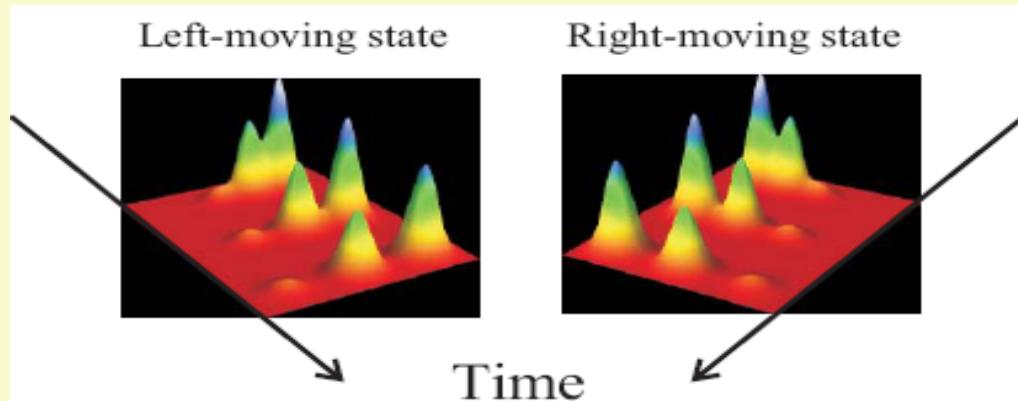
# Measuring a Spin-Orbit-Coupled “Qubit”

- A way to measure the cat-state ( $|N00N\rangle$ -state) of a trapped BEC

$$|\Psi_N\rangle = \frac{1}{\sqrt{N!}} [\sqrt{w_L} e^{i\phi_L} |N 0\rangle + \sqrt{w_R} e^{i\phi_R} |0 N\rangle]$$

is via time-of-flight expansion.

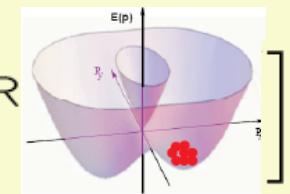
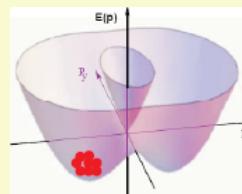
- Velocities at the minima *vanish*,  $\left\langle \frac{\partial \hat{\mathcal{H}}}{\partial \mathbf{p}} \right\rangle_{\pm p_0} = 0$ . To observe the condensate(s), we need to turn off both the trap and SO-couplings.
- The result of the measurement is intrinsically impossible to predict with certainty. There are two possibilities:



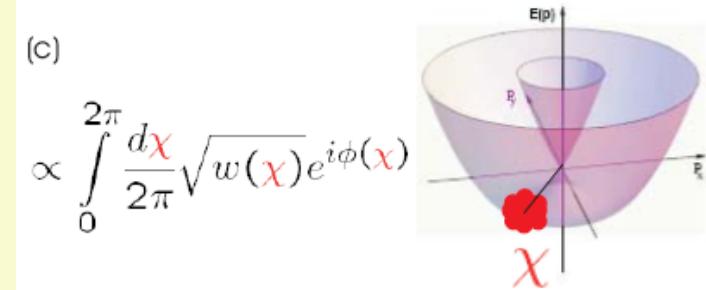
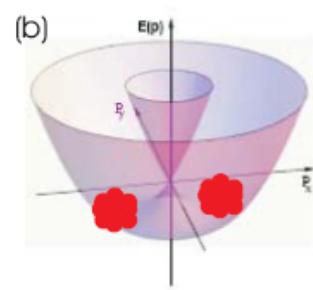
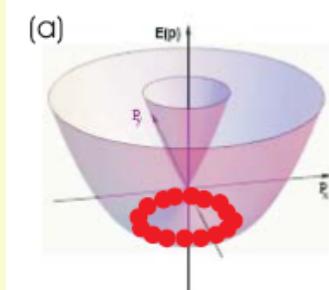
# Topological Bose-Einstein Condensates

- Energetics analysis selects a “double-degenerate” BEC:

$$|\Psi_N\rangle = \frac{1}{\sqrt{N!}} \left[ \sqrt{w_L} e^{i\phi_L} + \sqrt{w_R} e^{i\phi_R} \right]$$



- The Heisenberg uncertainty principle,  $\delta x \delta p \gtrsim \hbar$ , provides intuition: Bosons repel each other in **r**-space (“attract” each other in **p**-space).
- More exotic states appear for infinite-degenerate (Rashba) BEC:



- (b) Spontaneous symmetry breaking (“Higgs” physics)
- (c) Topologically distinct states

# Vortices in Spin-Orbit Bose-Einstein Condensates

PHYSICAL REVIEW A 84, 063604 (2011)

## Vortices in spin-orbit-coupled Bose-Einstein condensates

J. Radić,<sup>1</sup> T. A. Sedrakyan,<sup>1</sup> I. B. Spielman,<sup>1,2</sup> and V. Galitski<sup>1</sup>

<sup>1</sup>*Joint Quantum Institute, University of Maryland, College Park, Maryland 20742-4111, USA*

<sup>2</sup>*National Institute of Standards and Technology, Gaithersburg, Maryland 20899, USA*

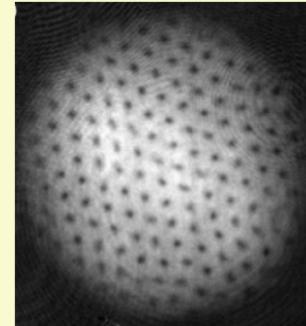
(Received 24 August 2011; published 5 December 2011)

# How to create vortices in a BEC?

- Rotation: If an ordinary BEC is stirred by a laser “spoon” or the *anisotropic* trap is rotated:
  - The Hamiltonian in the rotating frame is *time-independent*:

$$H_{\text{RF}} = H_0 - \boldsymbol{\Omega} \cdot \mathbf{L}$$

- Equilibrium stat. mechanics apply
- Vortex lattice appears
- Synthetic magnetic field for neutral atoms leads to an effective magnetic field for dressed states



Ketterle, MIT (2001)



Spielman, JQI-NIST (2009)

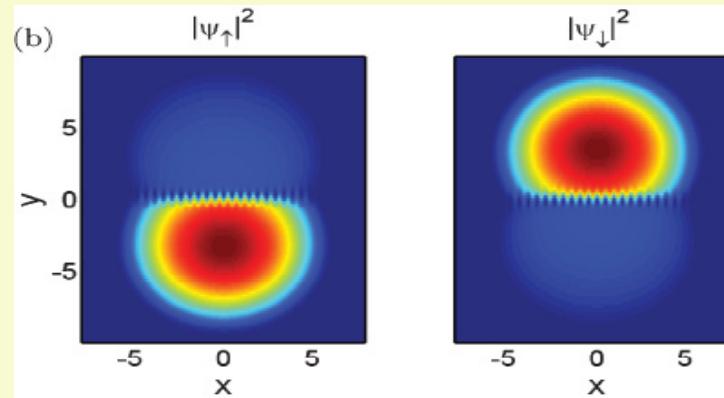
Rotating the trap generally does NOT work for spin-orbit BECs, as there is no rotating frame where the Hamiltonian is time-independent (non-equilibrium physics, heating). However, to combine synthetic spin-orbit coupling with synthetic magnetic field should work.

## Spin-orbit coupling + spatially-dependent detuning

- Introducing spatially-dependent detuning  $\delta(r)$  in I. Spielman's existing scheme creates an effective gauge field

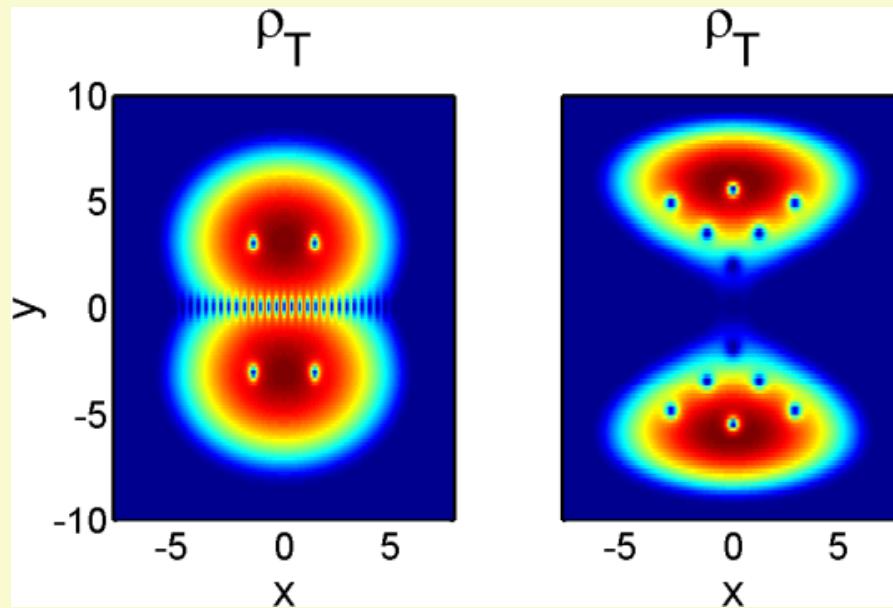
$$H_{\text{eff}} = \frac{\mathbf{p}^2}{2m} + vp_x\hat{\sigma}_z + \Omega\hat{\sigma}_x + \delta(y)\hat{\sigma}_z$$

- The combination of the SOC and synthetic gauge field yields two main effects:
  1. Spatial separation of the left- and right-movers
  2. Synthetic mag. field for each component and vortex nucleation



# Parity effect in vortex nucleation

Due to symmetry of the effective gauge field with respect to reflection about the  $y = 0$  axis and almost spin-independent interactions, an interesting parity effect is observed (in GPE simulations):  
**the number of vortices is the same in both components.**



# Topological Optical Lattices

PHYSICAL REVIEW A **79**, 053639 (2009)

## Topological insulators and metals in atomic optical lattices

Tudor D. Stanescu,<sup>1</sup> Victor Galitski,<sup>1</sup> J. Y. Vaishnav,<sup>2</sup> Charles W. Clark,<sup>2</sup> and S. Das Sarma<sup>1</sup>

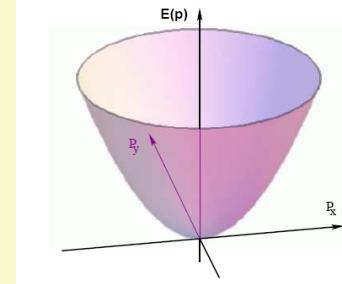
PHYSICAL REVIEW A **82**, 013608 (2010)

## Topological states in two-dimensional optical lattices

Tudor D. Stanescu,<sup>1,2</sup> Victor Galitski,<sup>1</sup> and S. Das Sarma<sup>1</sup>

# Hidden Topology in a Piece of Solid

- Kinetic energy of a moving particle:  $E = \frac{mv^2}{2} = \frac{\mathbf{p}^2}{2m}$ .  
Free particle plane-wave wave-function,  $\psi_{\mathbf{p}} \propto e^{i\mathbf{p}\cdot\mathbf{r}}$



- Now consider electrons moving in a crystal lattice.
  1. Band structure changes. I.e.,  $E \neq p^2/(2m)$  in a solid
  2. Discrete translational symmetry demands that  $\psi(x) = \psi(x + na)$  and lattice momentum is defined modulo  $2\pi\hbar/a$ . Topologically momentum space is a torus in 2D with complex wave-functions  $\psi_{\mathbf{p}}$  associated with each point. Complicated “topological” stuff!

Quantum wave-functions  
on a torus



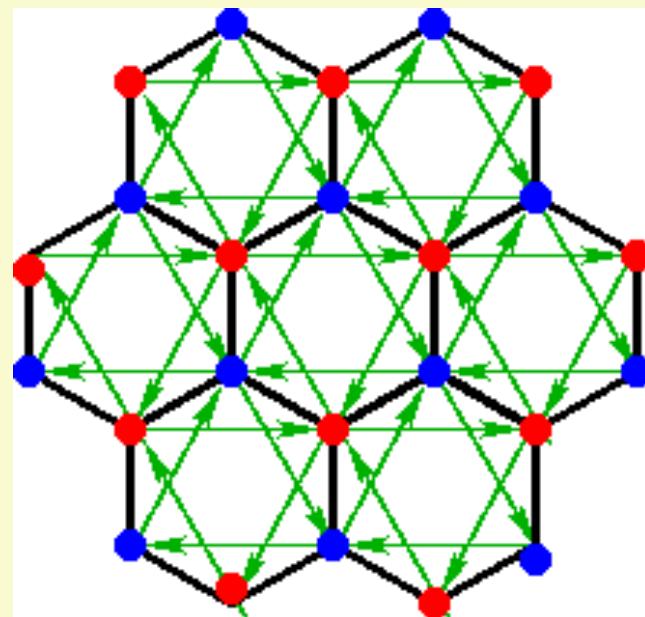
Classical world,  
where we measure  
things (resistance, etc.)

This correspondence can be classified by integer  
topological indices (Chern numbers associated with bands).



# Topological Optical Lattices

- $\mathbb{T}$ -invariant topological insulators are akin having two replicas of QHE. They are of interest in solids, because no B-field is needed.  
**It is not relevant in AMO: Lattice QHE is easier to realize.**
- Canonical Haldane model of a top. insulator with broken  $\mathbb{T}$ -reversal:



$$\hat{\mathcal{H}} = \frac{1}{2m} [\hat{\mathbf{p}} - \mathbf{A}_{\text{synt}}(\mathbf{r})]^2 + V_0 \sum_{i=1}^3 \cos^2 (\mathbf{k}_i \cdot \mathbf{r}) + U_{\text{trap}}(\mathbf{r})$$

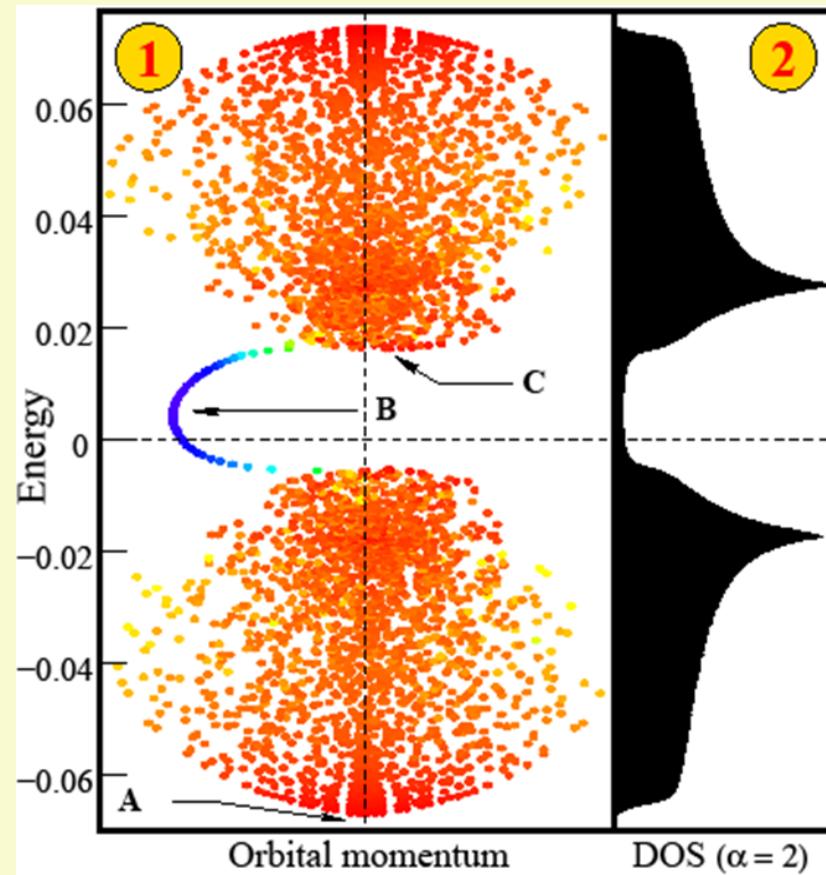
Synthetic gauge fields

Lattice formed by  
3 standing waves

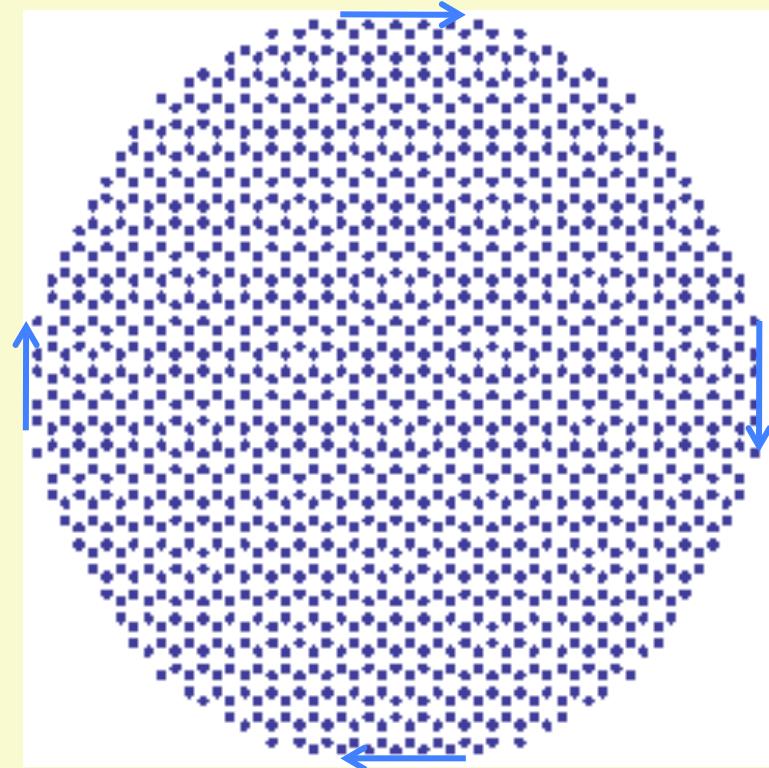
Trap

# Topological Spectrum and Wave-Function: an Example

Exact single-particle spectrum and density of states

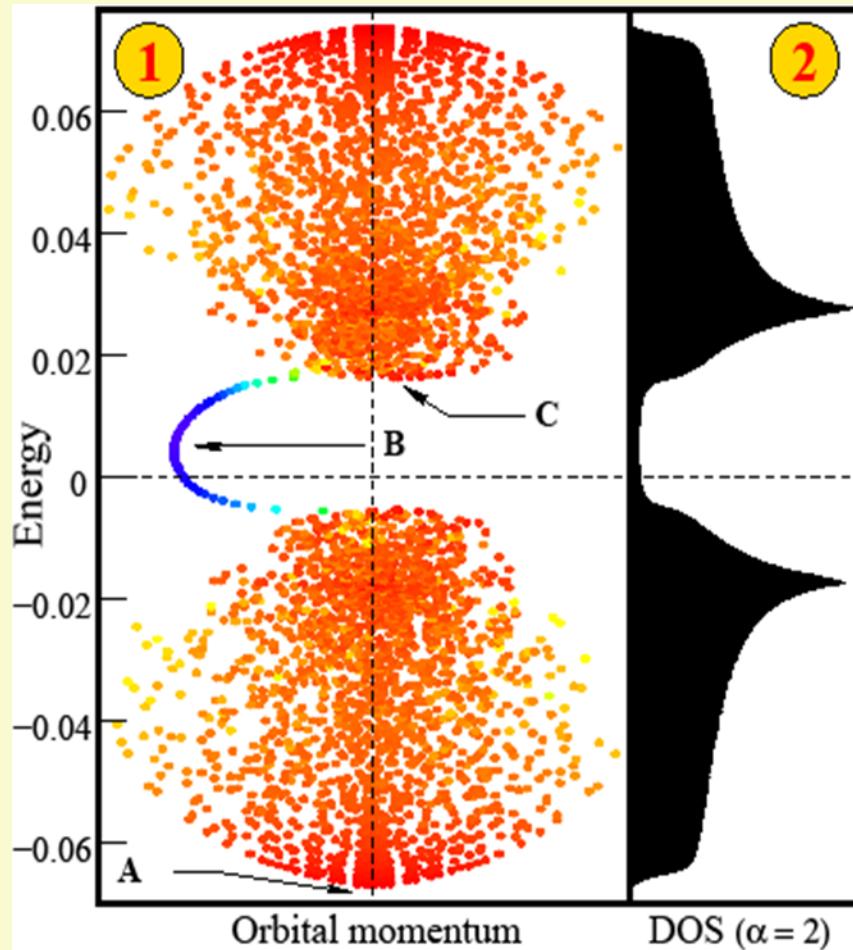


Geometry: A finite-size disk sample

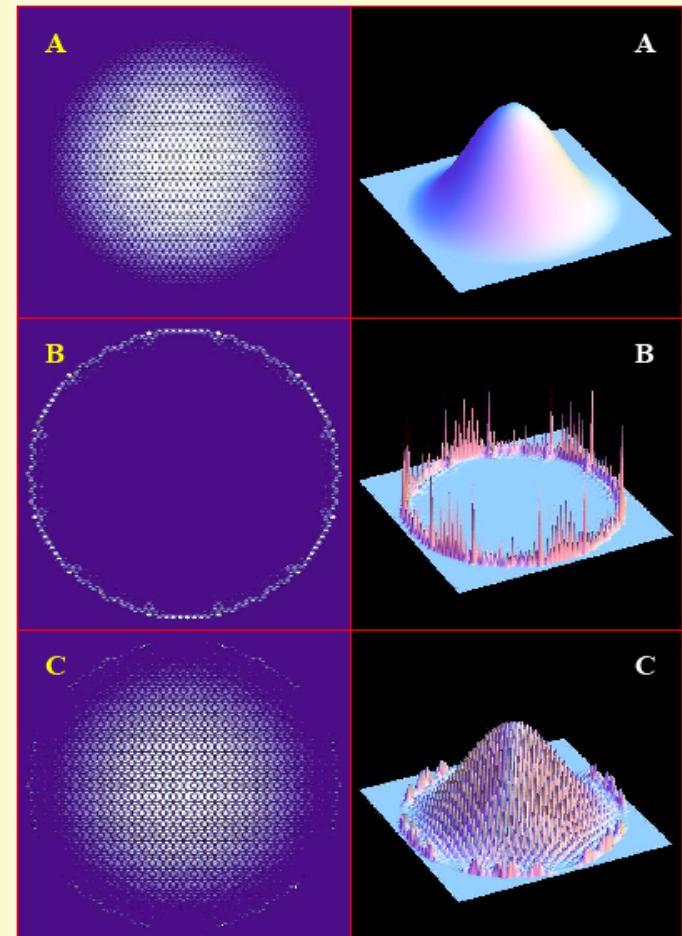


# Topological Spectrum and Wave-Function: an Example

Exact single-particle spectrum and density of states



Exact  $|\text{wave-function}|$  profile



## Viewpoint

## Quantum liquids move to a higher dimension

Tameem Albash and Stephan Haas

Department of Physics and Astronomy, University of Southern California, Los Angeles, CA 90089-0484,

PRL 107, 077201 (2011)

Selected for a Viewpoint in Physics  
PHYSICAL REVIEW LETTERSweek ending  
12 AUGUST 2011

## Kaleidoscope of Exotic Quantum Phases in a Frustrated XY Model

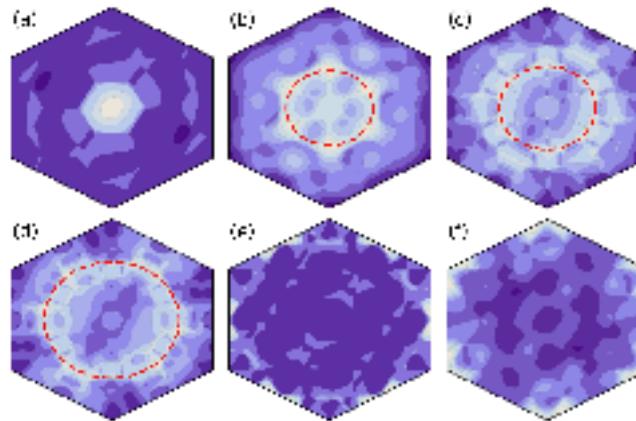
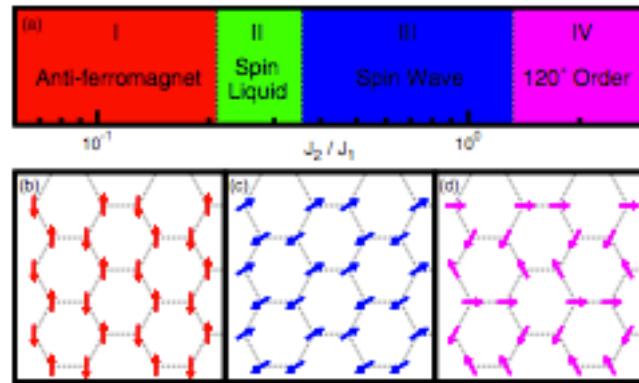
Christopher N. Varney,<sup>1,2</sup> Kai Sun,<sup>1,3</sup> Victor Galitski,<sup>1,3</sup> and Marcos Rigol<sup>2</sup>

FIG. 1 (color online). (a) Phase diagram of the model in Eq. (1) as a function of  $J_2/J_1$ , (b) antiferromagnetic ordering

# Practical Applications of the Synthetic Gauge Fields for Precision Quantum Interferometry

RAPID COMMUNICATIONS

PHYSICAL REVIEW A 83, 031602(R) (2011)

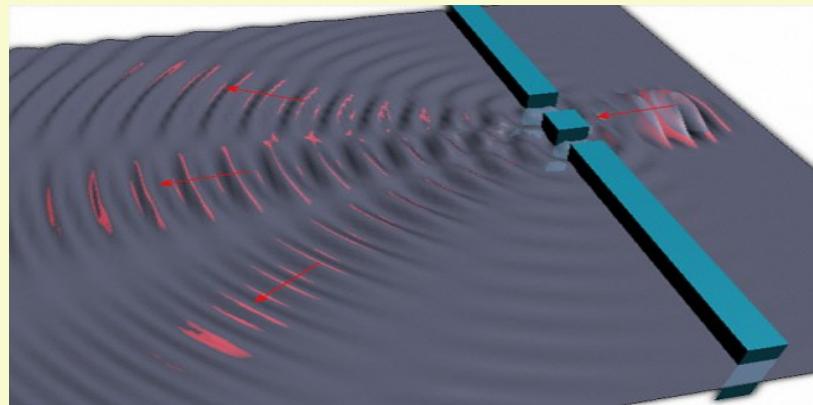
Interferometry with synthetic gauge fields

Brandon M. Anderson, Jacob M. Taylor, and Victor M. Galitski

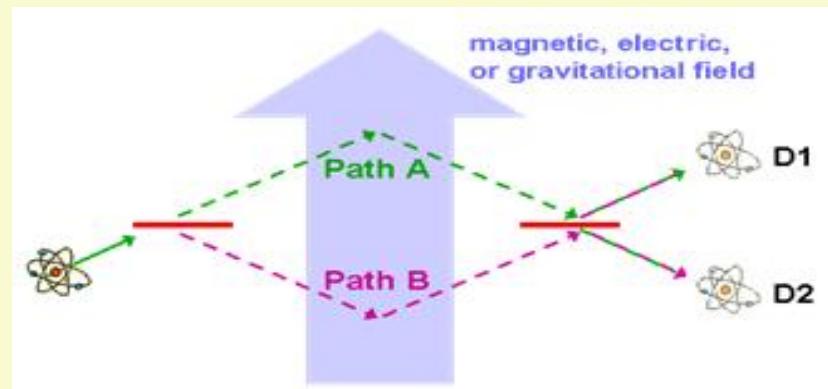
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## Existing quantum devices: Atomic interferometers

- Key idea: To take advantage of the wave-like nature of particles



- Separating and recombining particle beams leads to interference



- Dynamics of QM phases depends on external fields (gravity, acceleration, magnetic field, etc.). So, we can measure them!

# Measurement of gravitational acceleration by dropping atoms

Achim Peters, Keng Yeow Chung & Steven Chu

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Laser-cooling of atoms and atom-trapping are finding increasing application in many areas of science<sup>1</sup>. One important use of laser-cooled atoms is in atom interferometers<sup>2</sup>. In these devices, an atom is placed into a superposition of two or more spatially separated atomic states; these states are each described by a quantum-mechanical phase term, which will interfere with one another if they are brought back together at a later time. Atom

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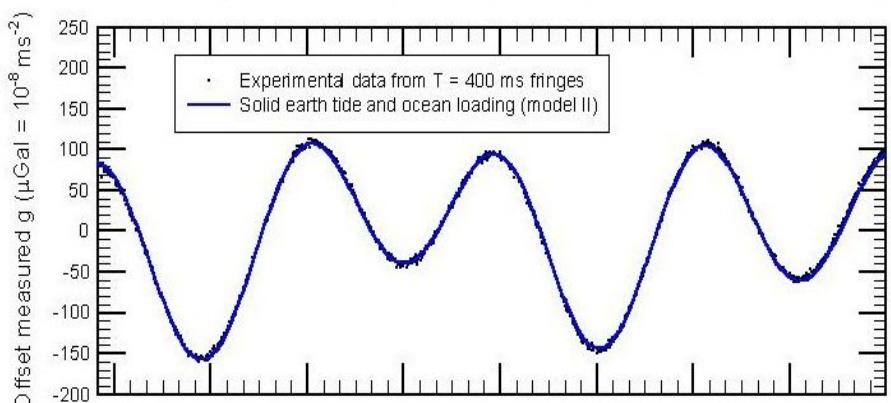
From Steven Chu group web-site at Stanford:

<http://www.stanford.edu/group/chugroup/>



**Steven Chu**

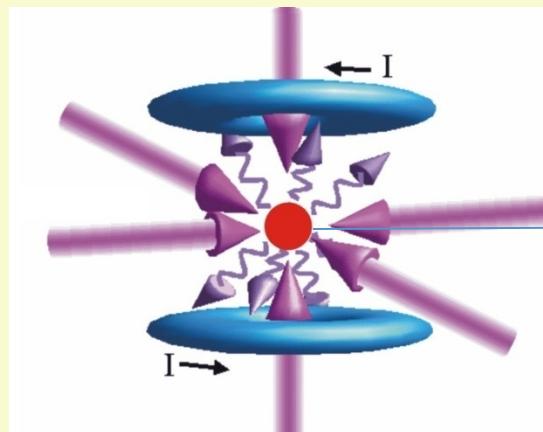
Monitoring of local gravity using  $T = 400$  ms fringes



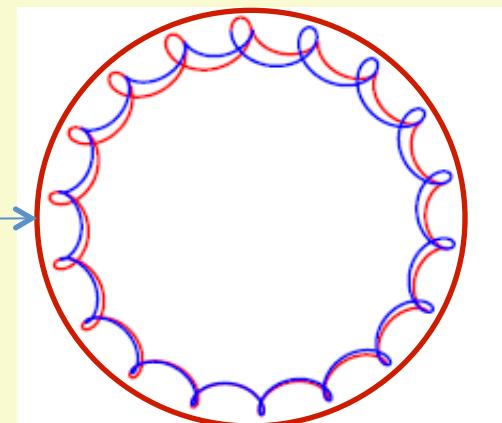
# AC Interferometer Through Synthetic Spin

Existing gravimeters do not probe time-dependent gravity/acceleration,  $\dot{\mathbf{a}}(t)$ . But it is possible with synthetic gauge fields [B. Anderson, J. Taylor, & VG, Phys. Rev. A **83**, 031602(R) (2011)].

Atoms with  $e \pm 1$  in a field:  $H = \frac{(\mathbf{p} - \sigma m\omega_c \mathbf{e}_z \times \mathbf{r})^2}{2m} + \frac{1}{2}m\omega_0^2 \mathbf{r}^2 - m\delta g(t) \cdot \mathbf{r}$



Trajectories for “spin-up” and  
“spin-down” differ depending  
on time-dependent gravity!



Phase-difference:  $\langle \hat{S}_z \rangle \propto \sin \left( 2 \int_0^T d\mathbf{r}(t) \cdot \mathbf{g}(t) \right)$

# Summary of the recent progress

- Dressed states with cold atoms potentially host a much richer variety of spin-orbit structures than that available in solids (Rashba, Dresselhaus, “Weyl,”  $\text{su}(3)$ -SOC, ...)
- Synthetic spin-structures may be practically useful for quantum interferometry
- Abelian spin-orbit BECs have already been observed. Theory predicts macroscopically-entangled states in non-Abelian SO-BECs (staying tuned for new experiments...)
- Synthetic SOC + synthetic magnetic field = new vortex structures
- SO coupling on a lattice may lead to lattice quantum Hall effect
- Future work: Non-equilibrium physics + synthetic fields. Cold atoms may be ideal candidates to realize Floquet topological insulators.