

1                   **Food production shocks across land and sea**

2                   Richard S. Cottrell<sup>1,2\*</sup>, Kirsty L. Nash<sup>1,2</sup>, Benjamin S. Halpern<sup>3,4,5</sup>, Tomas A. Remenyi<sup>6</sup>,

3                   Stuart P. Corney<sup>2</sup>, Aysha Fleming<sup>1,7</sup>, Elizabeth A. Fulton<sup>1,8</sup>, Sara Hornborg<sup>1,2,8,9</sup>, Alexandra

4                   Johne<sup>2</sup>, Reg A. Watson<sup>1,2</sup>, Julia L. Blanchard<sup>1,2</sup>

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6                   \*Corresponding author: [richardstuart.cottrell@utas.edu.au](mailto:richardstuart.cottrell@utas.edu.au)

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8                   **Affiliations**

9                   <sup>1</sup>Centre for Marine Socioecology, University of Tasmania, Hobart, Australia, 7004

10                  <sup>2</sup>Institute for Marine and Antarctic Studies, University of Tasmania, Hobart, Australia, 7004

11                  <sup>3</sup>National Centre for Ecological Analysis and Synthesis, University of California, 735 State St,  
12                   Santa Barbara, CA 93101-5504, USA

13                  <sup>4</sup>Bren School of Environmental Science & Management, University of California, Santa  
14                   Barbara, CA, 93106, USA

15                  <sup>5</sup>Imperial College London, Silwood Park Campus, Burkhurst Rd., Ascot, SL57PY, UK

16                  <sup>6</sup>Antarctic Climate and Ecosystems Cooperative Research Centre, University of Tasmania,  
17                   Hobart, Australia, 7004

18                  <sup>7</sup>CSIRO Land and Water, Castray Esplanade, Hobart, Australia 7004

19                  <sup>8</sup>CSIRO Oceans and Atmosphere, Castray Esplanade, Hobart, Australia 7004

20                  <sup>9</sup>Rise – Research Institutes of Sweden, Agrifood and Bioscience, Sustainable Food Production,  
21                   Gothenburg, Sweden

## 22 Abstract

23 Sudden and unpredictable losses (shocks) to food production can threaten food security and  
24 livelihoods across land and sea. Yet our understanding of global exposure to production  
25 shocks is limited by a lack of standardized assessment across agricultural and seafood  
26 systems. Here we investigate historical global trends in frequency, size, recovery times and  
27 drivers of shocks to crop, livestock, fisheries, and aquaculture production. Despite large  
28 geographical differences in frequency and driver influence, we show extreme weather and  
29 political instability to be the dominant drivers of shocks on land, with overfishing and  
30 aquaculture disease primary drivers in seafood systems. Geopolitical crises tended to produce  
31 larger shocks and longer recovery times than other drivers. Critically, we demonstrate  
32 increasing shock frequency and diversity across all food sectors through time. In a more  
33 shock-prone world, where individual crises can reach across multiple sectors, social  
34 protection measures and bold domestic food policies may be central to adaptation.

## 35 Main

36 Abrupt and unexpected declines in food production, defined here as shocks, pose a significant  
37 challenge for achieving zero hunger because of their potential to disrupt food supply and  
38 security<sup>1,2</sup>. Exposure, sensitivity and capacity to adapt to such production losses determine a  
39 food systems' vulnerability to shocks across local, regional and national scales<sup>3,4</sup>. Combined  
40 differences in these three aspects of vulnerability mean the consequences of production  
41 shocks can vary considerably among regions and through time. The huge contrasts in loss of  
42 life and livelihood during severe droughts across Sub-Saharan Africa compared to developed  
43 countries such as Australia, provide stark examples<sup>5–7</sup>. Beyond reducing domestic production,  
44 shocks can also influence food availability, access and stability *indirectly* by propagating  
45 through trade-networks<sup>8</sup>, driving rapid price changes<sup>6,9</sup>, or posing recurrent barriers to  
46 economic development and investment at a national scale<sup>5,10–12</sup>. Production shocks have a  
47 number of possible causes, and understanding which food sectors are more shock-prone,  
48 which regions experience more shocks, and why will be fundamental to developing effective  
49 food system policy into the future.

50 Understanding global patterns in both terrestrial and aquatic shocks and their drivers is  
51 critical in building a complete picture of exposure to production crises. Yet studies on food  
52 production shocks to date deal largely with agricultural and seafood commodities in  
53 isolation<sup>1,2,10,13</sup>. Given differences in national dependence on agriculture and fisheries

54 worldwide<sup>14,15</sup>, and the suite of interactions between them<sup>16</sup>, integrating land and sea can  
55 illuminate both multisector threats and opportunities for improving food system resilience  
56 under global change.

57 Here we present global trends in shock exposure and drivers for national crop, livestock,  
58 capture fisheries (herein fisheries) and aquaculture sectors from 1961 – 2013. We use three  
59 metrics to describe exposure; shock frequency, shock size, and recovery time (duration of  
60 perturbation)<sup>4</sup>. We define shock frequency as the number of shocks detected divided by the  
61 number of national production time series used for detection over either space or time. We  
62 calculate shock size as the production loss relative to the previous 7-year production average  
63 (based on sensitivity analysis, see Methods). Recovery time describes the number of years  
64 taken to recover from the shock point to at least 95% of the previous production average. We  
65 employ a statistical approach to shock detection applicable across terrestrial and aquatic  
66 systems by fitting local regression models to production time series and identifying outliers in  
67 the regression between residuals and lag-1 residuals from model fit (Figure S1). We combine  
68 this quantitative detection method with a literature search for likely causes, or drivers, of each  
69 production shock to balance limitations in data availability and reporting biases over different  
70 regions<sup>1</sup>.

## 71 ***Trends in food production shocks and their drivers***

72 From 741 available time series (crops = 187, livestock = 190, fisheries = 202, aquaculture =  
73 162) we detected 226 production shocks. We compare shock exposure indices across eight  
74 geographical regions (Latin America and Caribbean, North America, Europe and Central  
75 Asia, Middle East and North Africa, Sub-Saharan Africa, South Asia, East Asia, and  
76 Oceania). For most sectors, shock frequencies were regionally distinct, with some areas  
77 experiencing shocks far more frequently than others (**Figure 1**). For example, shock  
78 frequencies in crop and livestock systems in South Asia were higher than any other region in  
79 both sectors (**Figure 1a, b**). Shock frequencies in fisheries were more globally homogenous  
80 (**Figure 1c**), whereas in the aquaculture sector, Latin America and the Caribbean sustained  
81 the highest frequency of shocks, 1.3 – 3.4 times higher than elsewhere (**Figure 1d**).

82 We classify the drivers of shocks into five main categories. *Climate/weather events* include  
83 anomalies such as storms, droughts, ENSO events, or climate-driven ecosystem change.  
84 *Geopolitical/economic events* covers disturbances from conflict, state dissolution or financial  
85 crises. *Mismanagement* includes multiple categories such as overfishing in the ocean, or

86 deforestation and erosion of soils on land. *Policy change* can refer to, for example, closure of  
87 a fishery or abolition of agricultural subsidies. The ‘*Other*’ category includes a wide range of  
88 pressures from production diseases to geological events such as tsunamis or volcanic  
89 eruptions. Due to the complex nature of social-ecological stressors on food systems, we  
90 combined many of these categories to explain the drivers of production shocks, and highlight  
91 these sub-categories in **Figure 2**. The Unknown category contains shocks for which we could  
92 not find a documented reason. It is possible that our statistical approach to detection means  
93 we identify changes to national reporting methods as a shock. This highlights the importance  
94 of the complimentary quantitative and qualitative approaches used here to prevent spurious  
95 conclusions on cause and effect being drawn from such false positives<sup>1</sup>.

96 Extreme weather events and geopolitical crises were the dominant drivers of agricultural  
97 shocks (**Figure 2**). Over half of all shocks to crop production systems were a result of extreme  
98 weather events (**Figure 2**), largely drought, reinforcing the concern about vulnerability of  
99 arable systems to climatic and meteorological volatility across the globe<sup>17</sup>. We also found  
100 extreme weather to be a major driver of shocks to livestock (23%), particularly where  
101 reductions to feed occurred. For instance, severe summertime droughts in Mongolia in 2001  
102 and 2010 reduced fodder and feed availability, compromised livestock condition, and led to  
103 mass mortality events during cold winter extremes<sup>18</sup>. Diseases such as foot and mouth also  
104 contributed to 10% of livestock shocks. Geopolitical crises, however, such as economic  
105 decentralisation in Europe or conflict in Sub-Saharan Africa, accounted for the greatest  
106 proportion (41%) of the livestock shocks in our analysis (**Figure 2**).

107 In contrast, drivers of seafood production shocks were more diverse than for terrestrial systems  
108 (**Figure 2**). For fisheries, overfishing was responsible, at least in part, for 45% of shocks  
109 detected in landings data. However, geopolitical crises contributed to 23% of fisheries shocks,  
110 climate/weather events to 13% and policy changes to 11%. Shocks driven by policy changes  
111 can reflect positive interventions, but may also be a response to declining resources. In the  
112 aquaculture sector, while disease (included in ‘*Other*’ category) was the most common  
113 individual driver, responsible for 16% of shocks overall, a spectrum of geopolitical stressors  
114 were behind a third of aquaculture shocks, from state dissolution, to violent conflict, and  
115 declining competitiveness in export markets<sup>19–21</sup>.

116 The number of shocks with unknown drivers was greatest in the aquaculture sector. This may  
117 be due to a combination of reporting biases. Firstly, aquaculture is still small-scale in most

118 producing countries and no aquaculture shock of an unknown driver exceeded 0.05% of total  
119 domestic food production that year. Production losses this small may pose little threat to food  
120 supply or security and are likely to go unreported. Second, the majority of shocks with  
121 unknown drivers occurred in countries of medium, low or unclassified human development  
122 status. In low-income countries, low management and governance capacity limit  
123 governmental knowledge of the resource-base<sup>22</sup>, and therefore reliable reporting. Therefore,  
124 there are likely to be more instances where sudden declines in production go undocumented.

125 Patterns of driver influence differed across regions (**Figure 3**). In South Asia, where  
126 agricultural shocks were most frequent, nearly all crop and livestock losses were driven by  
127 flooding or drought (**Figure 3a,b**). Whereas in Sub-Saharan Africa, where the greatest burden  
128 of hunger still persists<sup>11</sup>, geopolitical or economic crises were the leading drivers of agricultural  
129 shocks (**Figure 3a,b**). In seafood sectors, regional diversity of driver types was more consistent.  
130 In wild systems, overfishing and geopolitical drivers contributed to numerous shocks across  
131 Europe, Sub-Saharan Africa and East Asia. For aquaculture, disease was the primary driver in  
132 Europe and Latin America, but geopolitical conditions more significant for both East Asia or  
133 the Middle East and North Africa. Therefore, while we highlight dominant shock drivers for  
134 each sector at a global scale, we reiterate that challenges for increasing food production will  
135 vary greatly from place to place.

136 The size of a shock seemed dependent on both where it occurs and its driver. Intuitively, the  
137 largest shocks tended to occur in regions where large-scale production exists, such as East Asia  
138 and Europe, with shocks in Oceania smaller on average (**Figure 3**). However, the largest shocks  
139 across all sectors were driven, at least in part, by geopolitical crises. For example in our analysis,  
140 the largest shock to crop production occurred in Nigeria during outbreaks of violent conflict in  
141 2009 where unsafe working conditions disrupted farmers' access to land, fertilisers, herbicides  
142 and seeds<sup>20</sup> (**Figure 3a**). In the livestock sector, the largest shock occurred in Mexico in 1989  
143 after successive economic crises exacerbated by drought<sup>23</sup> (**Figure 3b**). Whereas the largest  
144 fisheries (USSR) and aquaculture (North Korea) shocks happened during the fall of  
145 communism in Europe, as production subsidies, export markets, and consumer demand fell  
146 away with the dissolution of the Council for Mutual Economic Assistance<sup>1,24,25</sup> (**Figure 3c,d**).  
147 The indirect effects of such geopolitical events reinforce how shocks can propagate through  
148 interconnected trade networks.

149 Notably, the largest shocks do not necessarily correspond to slower recovery times (**Figure 3**).  
150 In theory, recovery times from shocks have the capacity to provide insight into regional

151 differences into food system resilience, that is the rate the system returns to its previous state  
152 after a perturbation<sup>26</sup>. Systems of low resilience tending to take longer to recover<sup>26,27</sup>. The  
153 longest recovery times across all sectors represent step changes in production where no  
154 recovery occurred before the time-series end (we present relative frequencies in full vs no  
155 recovery in **Figure S2**). For crop production, recovery was longer on average in East Asia  
156 where flooding was the driver for almost all shocks (**Figure 3a**). For livestock, fisheries and  
157 aquaculture, recovery was longest on average in Europe and Central Asia, largely because of  
158 shocks associated with the Soviet Union collapse or overfishing in wild stocks (**Figure 3b,c,d**).  
159 It is important to exercise caution with interpretation of these results, however. Longer recovery  
160 times (or lack of recovery) may reflect a range of social, political, ecological, or economic  
161 conditions and decisions. For example, a shock to fisheries proceeded by management  
162 interventions that reduce quota over time means landings may intentionally never return to  
163 previous levels. Therefore, slow or no recovery may not reflect the systems' *capacity* to recover  
164 but instead capture changes in the underlying social-ecological system.

165 As different shock-types may have more or less damaging consequences for national  
166 economies and food security, understanding temporal patterns in the occurrence at a global  
167 level is important to establish any systemic threat to food production. We find annual shock  
168 frequencies fluctuated considerably over time for each sector, yet in our results, decadal  
169 averages, minima and maxima increased steadily since the 1960s and 70s (**Figure 4a,c,e,g**).  
170 We did not detect any shocks to aquaculture production until the early 1980s likely due to its  
171 nascence before this, but decadal shock rates have risen faster and to a level higher than in any  
172 other sector since (**Figure 4g**).

173 The reason for the increase in shock frequency across all sectors is not clear, in part because  
174 many potential factors have changed and increased over the time period. However, crop  
175 production shocks driven by extreme weather became more frequent in our results over time  
176 (**Figure 4b**). In livestock, fisheries and aquaculture sectors particularly, the diversity of  
177 drivers increased from the 1970s (**Figure 4d,f,h**). As food systems become increasingly  
178 globalised and interdependent, a greater diversity of exogenous shocks may influence them  
179 over time<sup>28</sup>. For instance, livestock disease is increasing globally, driven largely by a rapid  
180 rise in demand for meat, the incursion of livestock in natural systems, intense farming  
181 practices and the mass movement of animals and people<sup>29</sup>. The nature of interdependencies  
182 among sectors are also changing. Demands for feed now tightly couple aquaculture to both  
183 capture fisheries and crop systems<sup>30</sup>, and the production challenges each of these encounter.

184 Furthermore, financial institutions motivated by socioeconomic drivers disconnected from  
185 their geographies of influence, increasingly sway producer investments and decisions with  
186 complex or unknown consequences for production stability or sustainability<sup>31</sup>. Nevertheless,  
187 it is also possible that increased reliability of data reporting since the 1960s may contribute to  
188 this trend.

189 On a global scale, increased shock frequency may pose a threat to the resilience of the global  
190 food system. Nearly a quarter of food, agricultural land, and freshwater resources are  
191 accessed through trade<sup>8</sup> and a number of countries are dependent on imports to meet the food  
192 demands of their population<sup>32</sup>. Trade dependency is also becoming more regionally  
193 specialised, with some major breadbaskets the sole suppliers of commodities to other nations.  
194 For example, Thailand currently provides over 96% of rice imports to a number of West  
195 African countries<sup>33</sup>. The high dependence on just a handful of producers for some countries  
196 highlights future vulnerability. Producing countries often reduce or ban exports during  
197 production crises to protect domestic supply, endangering import-dependent trade  
198 partners<sup>8,9,32,33</sup>. If shock frequencies continue to increase and major producing nations are  
199 affected, a shift to a state of reduced exports is plausible. Increased commodity prices linked  
200 to global scarcity would favor higher paying nations<sup>33</sup>, leaving low-income, trade-dependent  
201 countries in jeopardy. Whether or not early-warning signals of these changes occur in trade or  
202 price data warrants further investigation to help predict any temporal changes to global food  
203 system resilience.

#### 204 ***Adapting to food production shocks across land and sea***

205 Adapting to a greater frequency of shocks in our food system will hold different meaning  
206 across various sectors and regions. The retrospective trends described here are consistent with  
207 current threats to agricultural supply from political instability and extreme weather.  
208 Internalised conflicts have increased in Sub-Saharan Africa and the Middle East since 2010  
209 and are responsible, combined with adverse climate conditions, for the first uptick in global  
210 hunger in recent times<sup>11</sup>. Building resilience in conflict affected zones will require multi-  
211 faceted efforts to help nations and households prevent, anticipate, cope with and recover from  
212 shocks<sup>11</sup>. Greater understanding of the proximate and ultimate causes of conflict in different  
213 areas will be central to prevention<sup>11</sup>. Development of novel early-warning systems for  
214 violence are already underway<sup>34</sup>. A number of social protection instruments may become

increasingly important as coping mechanisms in conflict zones. Timely food and cash transfers, and food or cash for work programmes during times of crisis show promise throughout Sub-Saharan Africa<sup>35</sup>. Participatory planning with, and post-conflict support for, those displaced such as provisioning of tools, seeds or skills training will be crucial in building faster recovery times and closing yield gaps<sup>11,36</sup>. Weather-indexed insurance is another innovative tool that may help protect farmers against income or food access losses during adverse conditions<sup>37</sup>, and will be particularly important if predictions of more frequent extreme events are further realised<sup>38</sup>.

Protective measures need to extend beyond agriculture, however; as the threat from war and climate shocks are not restricted to a single sector but can reach across agricultural and seafood sectors. In Mali, the escalation of violent rebellions in 2012 displaced over 150,000 people, producing shocks to crop and fish production alike<sup>20</sup>. Similarly, in Afghanistan a severe drought from 2000 – 2002 decimated cereal production, grain feed for livestock, and rangeland pastures<sup>39</sup> and we detected a significant shock to inland fisheries simultaneously (**Figure 5a**). Given the dual importance of both fishing and farming to many of the world's most food insecure people, social and economic policies must start to recognise the interacting role of aquatic and agricultural commodities<sup>14</sup>.

There is also a pressing need to understand better the extent and sustainability consequences of livelihood switches among sectors during food shortages. For example, times of fish scarcity in West Africa are known to drive increased bushmeat hunting<sup>40</sup>, and upturns in unregulated fishing occurred in Somalia as terrestrial systems failed in the early 1990s<sup>16</sup>. Responses to agricultural shocks in Dominica further illustrate the potential for land-sea trade-offs. Repeated damage to farmland from tropical storms during the 1970s pushed more of the nation's farmers into fishing for a primary income source<sup>41</sup>. After Hurricane David decimated the banana crop in 1979, fisheries landings increased dramatically from 1980, followed by a rapid decline in the early 1980s (**Figure 5b**), likely driven by overfishing driving stock collapse in nearshore waters<sup>41</sup>. The unpredictable transmission of human impacts across sectors during crises pose challenges for global sustainability goals such as the 2030 Sustainability Agenda or Aichi biodiversity targets which remain sector specific in their focus. Understanding how and why communities react to production crises beyond trade at the national level, remains an element of sustainability research with scant information.

246 For some countries, transitioning to a greater dependence on marine resources may be an  
247 attractive option for avoiding volatility in terrestrial production. Given the stagnation in  
248 global fisheries landings, the burden of meeting future seafood demands will fall on  
249 aquaculture, which now provides over half of global production<sup>42</sup>. Aquaculture diseases  
250 remain a significant issue<sup>43</sup>, however, representing the largest single driver of aquaculture  
251 shocks in our analysis. Domestication of broodstock has been a major step forward in  
252 reducing disease transmission, but industry focus on only a few major species in multiple  
253 locations has left farmed animals naïve to native pathogens<sup>44</sup>. Open data and new sequencing  
254 technologies are also helping, both by further understanding of the complex microbial trophic  
255 structures surrounding disease emergence in pond cultures <sup>43</sup>, and by accelerating the  
256 production of diverse genetic lines and pathogen resistance (which complements the growth  
257 in emergency vaccine development allowing more rapid intervention). Understanding  
258 vulnerability of feeds under climate change will be an increasingly important aspect of  
259 research for the sector too. As aquaculture relies on both agriculture and fisheries for inputs<sup>30</sup>  
260 adapting to volatility in current feed production will be an important step in building  
261 resilience for the sector.

262 Despite the growing global importance of aquaculture, only 20 countries produce 96% of  
263 total production<sup>16</sup>, largely in freshwater, meaning capture-based fish production will remain  
264 the most important source of local animal protein in many countries (especially in Oceania  
265 where animal husbandry and aquaculture potential are limited)<sup>15,45</sup>. Given persistent historic  
266 patterns of widespread overfishing <sup>46</sup> and the likelihood that climate change will alter  
267 productivity of reef-based fisheries, policy and funding for infrastructure changes will be  
268 required to see proportionally more of the valuable returns on investment from pelagic  
269 species diverted toward subsistence and local food security<sup>45</sup>.

270 Trends discussed here will almost certainly underrepresent the frequency of production  
271 shocks due to aggregation of production data at the country level. Sudden production losses  
272 may be locally isolated or restricted to a single food type but are still of concern for  
273 livelihoods and food security in affected communities. Summing across commodity types  
274 tends to smooth out shocks to single food items – particularly in North America where food is  
275 grown over such a large and diverse landscape. Given the influence of different parameters  
276 used here on our ability to detect shocks, we suggest the results presented are a representative  
277 sample of shocks across land and sea (**Figure S3**). Further, the shock detection method  
278 described here is less sensitive to production changes in highly variable systems where large

279 fluctuations are common within the time series (**Table S1**)<sup>1</sup>. While variable production has  
280 consequences for food supply and security, in a system where large fluctuations are expected,  
281 we do not consider them shocks. Moreover, while shocks remain a significant barrier to food  
282 security in many regions, this method does not account for gradual declines in food  
283 production, such as those expected to productivity under climate change, which may be more  
284 damaging overall. Finally, we limit our analysis to the role of exogenous stressors on food  
285 systems. To what extent any of the shocks identified here are driven by inherent internal  
286 vulnerabilities within the food system (e.g. low crop diversity) is unclear.

## 287 **Conclusions**

288 Achieving zero hunger by 2030 will require addressing the underlying drivers of shocks to  
289 food production. Political instability and extreme weather conditions have been the dominant  
290 drivers of agricultural shocks since 1960, and both factors remain a source of significant  
291 disturbance in regions where the highest burden of hunger persists. With adverse weather  
292 predicted to increase into the future, potentially interacting with civil unrest, achieving food  
293 security in the most exposed regions may hinge on successful social protection mechanisms  
294 to help people cope and recover.

295 Meanwhile improving availability and access to seafood for a growing global population will  
296 require significant advances in aquaculture disease prediction and management, which  
297 remains a considerable hurdle for the sector. In fisheries-dependent nations, bold domestic  
298 and international policy changes that prioritise food security over revenue may be the only  
299 mechanisms for sustainably diversifying and increasing fish supply from wild sources.  
300 Whether or not increasing shock frequency at a global level across all sectors is threatening  
301 the resilience of trade-linked food systems requires closer examination.

## 302 **Methods**

303 To identify and compare shock occurrence among fundamentally different systems  
304 (agriculture and seafood), we adopt and extend the statistical approach of Gephart et al<sup>1</sup>. This  
305 method identifies shocks through breaks in the autocorrelation structure of a food production  
306 time-series rather than using sector specific indices, making it a standardised approach  
307 applicable across crop, livestock, fisheries, and aquaculture sectors. We apply it to a range of  
308 data from the UN's Food and Agricultural Organization (FAO) combined with published  
309 datasets.

310 ***Data Sources***

311 We used crop and livestock data from FAOSTAT production quantity dataset 1961 – 2014  
312 dataset (<http://www.fao.org/faostat/en/>)<sup>47</sup>. Crop types included cereals, coarse grains, fruits,  
313 roots and tubers, pulses, tree nuts and vegetables; while livestock included total meat, milk,  
314 and egg production from bovine, poultry, swine, mutton and goat sources. We used the FAO  
315 FishStat database<sup>48</sup> for inland and marine aquaculture production, and inland fisheries  
316 landings data (1950 – 2015 Global Production dataset,  
317 [www.fao.org/fishery/topic/166235/en](http://www.fao.org/fishery/topic/166235/en)). We used marine fish landings data from Watson<sup>49</sup> to  
318 account for estimates of large-scale, small-scale and illegal, unregulated, and unreported  
319 (IUU) landings. Fisheries data included all landed finfish, crustaceans, and molluscs.  
320 Aquaculture data included all farmed finfish, crustaceans, molluscs and algae. While we  
321 recognise that underreporting of small-scale production across all sectors is a limitation of  
322 FAO data, it provides global coverage of production across multiple sectors, and the  
323 detection of shocks relies on overall trends in data rather than absolute production values.

324 ***Detecting and quantifying production shocks and drivers***

325 For all countries we aggregated production to total annual values from 1961 – 2013 across all  
326 commodity types described above for crop, livestock, fisheries and aquaculture sectors. We  
327 fitted local polynomial regression (LOESS) models with a span of 0.6 to aggregated annual  
328 production data for all countries and sectors. We regressed model residuals against lag-1  
329 residuals, and any outliers in this regression (quantified as data points with a Cook's  
330 distance > 0.3), we deemed shocks (**Figure S1**). Given only production losses are of concern  
331 for food security, we only considered shock points associated with a loss in production  
332 relative to a previous 7-year median production baseline. We calculated the size of a shock as  
333 the loss in production (in tonnes) relative to this baseline, and recovery time for the shock as  
334 the number of years taken to increase back up to at least 95% of this baseline. Some shocks  
335 did not recover by the end of the time series and we highlight the relative frequencies of these  
336 across regions and sectors in **Figure S2** and the individual shocks in **Table S2**. We calculated  
337 shock frequencies for each geographical region, by dividing the number of shocks detected  
338 from 1961 – 2013 by the number of time-series used for detection. For annual shock  
339 frequencies, for every sector we divided the number of shocks detected for a given year by  
340 the number of countries producing in that year. We used this approach to compensate for

341 different numbers of countries within each region, and the increasing number of countries  
342 producing through time.

343 Given production shocks may be the result of multiple social-ecological drivers interacting in  
344 complex manners, we adopt a qualitative approach to understanding them, searching peer-  
345 reviewed and grey literature (e.g. NGO reports, news articles etc.) for the likely causes, or  
346 drivers, of each individual shock. The combination of quantitative and qualitative methods  
347 provide complimentary approaches where purely data driven methods may highlight  
348 correlative relationships with drivers without causation. Likewise, purely qualitative analyses  
349 may be limited in their capacity to detect shocks because of differences in reporting across  
350 regions. We caution that this approach is not meant to provide a comprehensive list of  
351 contributing factors for a given shock, but instead highlights potential drivers of change from  
352 the literature we identify.

353 ***Sensitivity analyses of shock detection parameters***

354 The sensitivity of the detection method outlined above depends on the values for a number of  
355 parameters used including LOESS model span, Cook's distance threshold, duration of the  
356 production baseline, and the average type used (i.e. mean or median). This becomes  
357 particularly important when looking at temporal trends in shock frequency and understanding  
358 how sensitive these trends are to changes in each parameter.

359 To establish a reasonable combination of parameters that allow us to account for uncertainty  
360 in shock detection, particularly in temporal analyses, we constructed a confidence interval of  
361 shock frequencies over time. We ran the shock detection analysis using a range of values for  
362 LOESS span (0.2 – 0.8, by 0.1), duration used for production baseline average (3, 5, 7, and 9  
363 years) and average type (mean or median). The minimum and maximum of annual shock  
364 frequencies produced by changing these parameters yielded a plausible range of shock  
365 frequencies over time. To select the combination to apply to our analysis of shock size,  
366 frequency, recovery times, and drivers, we identified the combination that minimised the sum  
367 of squared residuals with the median of this range through time. This combination was a  
368 LOESS span of 0.6, and 7-year median production baseline (**Figure S3**).

369 To determine a Cook's distance value to use for identifying outliers in all analyses, we tested  
370 the number of shocks detected against incremental changes to Cook's distance values  
371 between two very different rules of thumb (1 and  $4/(n-k-1)$ ). The value of 0.3 is the point in  
372 this relationship, reasonable across all sectors, where the number of shocks detected begins to

373 asymptote (**Figure S4**). This is very similar to the value used by Gephart et al<sup>1</sup> and is robust  
374 to changes in LOESS model span, baseline duration and average type (**Figure S4**). Note we  
375 conducted sensitivity analysis of Cook's distance values separately as we wanted to optimise  
376 sensitivity within practical bounds for this study rather than simply selecting a central value.

377 ***Effect of time series variability and shock size***

378 We conducted power analysis of the shock detection method across time series of different  
379 variance structures as per Gephart et al<sup>1</sup>. To do this we fitted autoregressive integrated  
380 moving average models (ARIMA) models to each national time series for all sectors and  
381 selected the most common and parsimonious model specification (ARIMA 0,1,0) across all  
382 sectors, determined by corrected Akaike's Information Criterion. Using these ARIMA  
383 models, we simulated production time series using a range of variance structures (standard  
384 deviations from 0.1 – 1) and imposed different shock sizes (0 – 6) to each simulation. We  
385 applied the shock detection approach described above to each simulation and repeated this  
386 1000 times for each shock size /standard deviation combination. As Gephart et al<sup>1</sup> found in  
387 their study, the sensitivity of shock detection decreases for a given shock size as the  
388 embedded variance in a time series increases. Further, across all values of time series  
389 standard deviation, larger shocks are more frequently detected (**Table S1**). Type I error rates  
390 were very low, with shocks hardly ever detected when no shock was imposed (**Table S1**).

391 ***Code availability***

392 All code for analyses conducted in this study will be made publicly available through Github  
393 (linked provided on manuscript acceptance).

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398 **Author contributions**

399 RSC, JLB, KLN, and BSH designed the study, and RSC conducted the analysis and wrote the  
400 paper. TAR assisted with figures and AJ assisted with qualitative analysis of shock drivers.  
401 All authors contributed to development of the paper through methodological advice,  
402 comments and edits of the text and figures.

403 **Competing interests**

404 The authors declare no competing interests.

405

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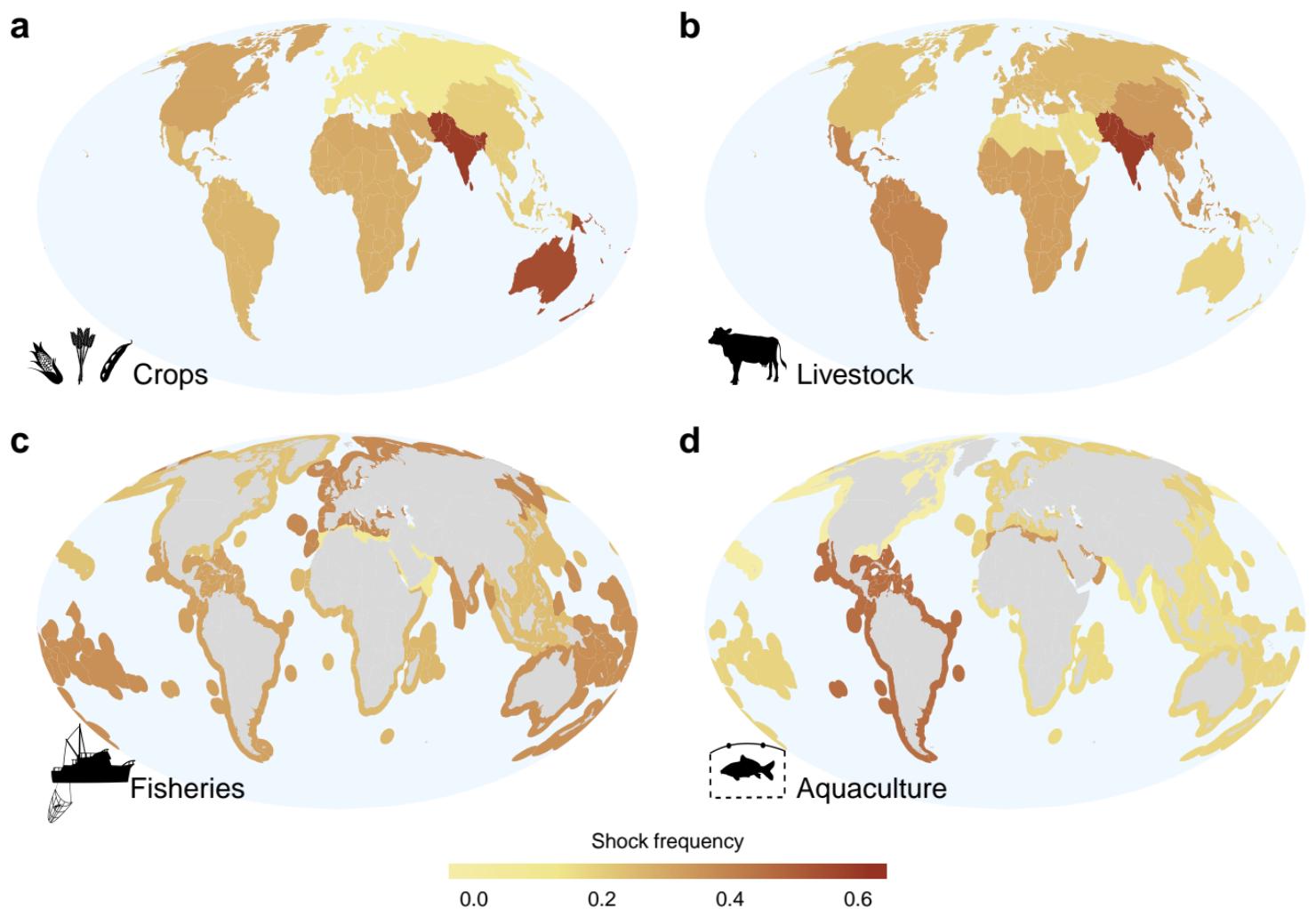
**Figure 1 – Shock frequency across geographical regions for crop, livestock, fisheries and aquaculture sectors from 1961 – 2013.** Shock frequency calculated as total number of shocks detected in a region divided by the number of national time series analysed from the region. Regions include North America, Latin America and Caribbean, Europe and Central Asia, Middle East and North Africa, Sub-Saharan Africa, South Asia, East Asia, and Oceania.

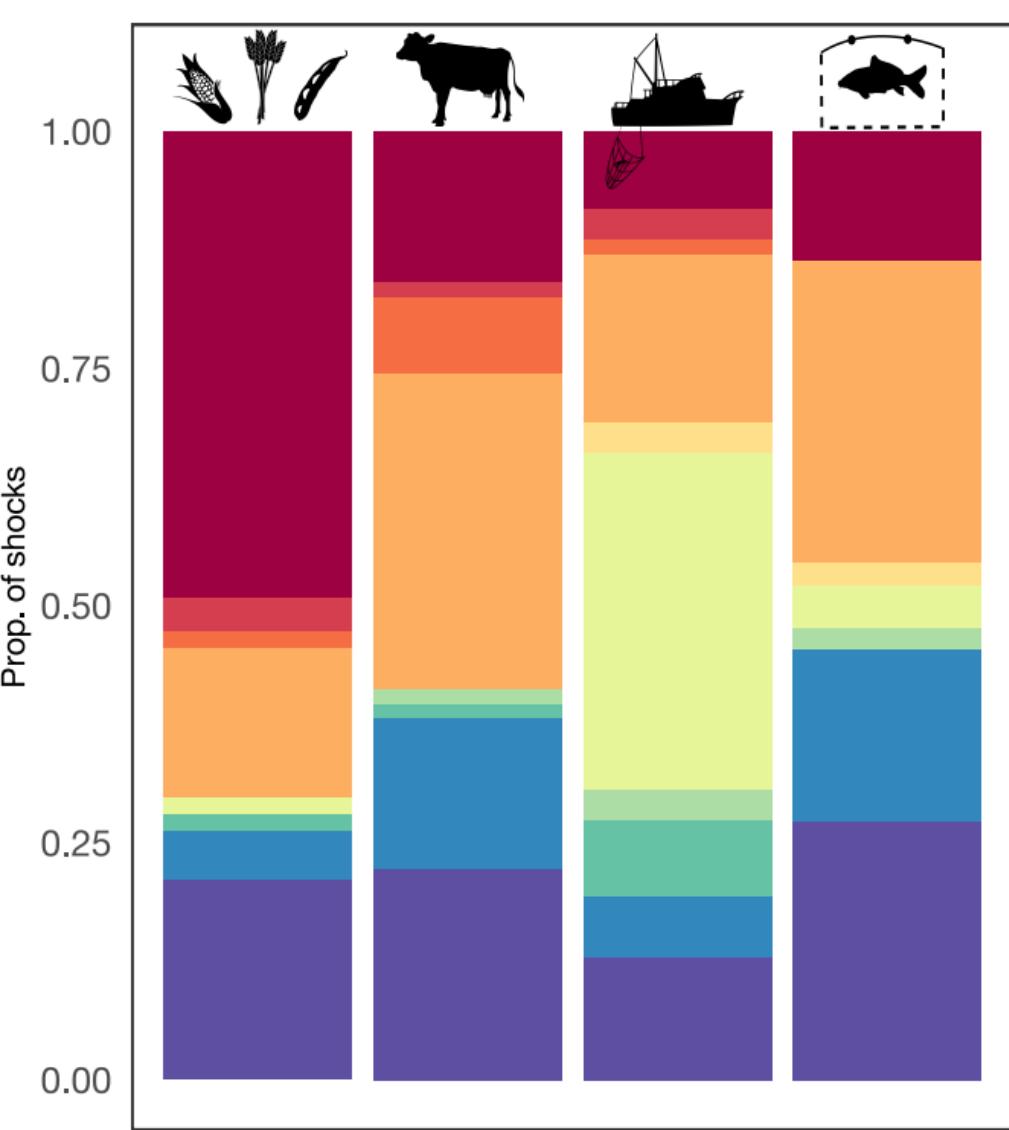
**Figure 2 – Drivers of food production shocks for crop, livestock, fisheries and aquaculture sectors.**

**Figure 3 – Shock size, recovery time and drivers across geographical regions for crop (a), livestock (b), fisheries (c) and aquaculture (d) sectors.** Each shock represented by a chord flowing from a driver to a region. Shock sizes indicated by width of the chord (tonnes  $\times 10^7$ ), recovery times indicated by chord transparency, and chord colour indicates driver type. Dashed lines highlight the biggest shock detected for each sector.

**Figure 4 – Temporal trends in shock frequency and drivers in global crop (a,b), livestock (c,d), fisheries (e,f) and aquaculture (g,h) production from 1961 – 2013.** Annual shock frequencies calculated as total number of shocks in a sector divided by the total number of producing countries in a given year. Red line describes the annual shock frequency from the shocks identified in this study. Light grey confidence interval around the red line describes the plausible range of annual shock frequencies under different combinations of LOESS model span (0.2-0.8), production baseline durations (3,5,7, or 9 years) and average types used for baseline (mean or median). Dashed black line is the decadal mean of the red line and the dark grey band is the decadal minima and maxima of the confidence interval. Shock drivers described in bar plots correspond to baseline model from plots above, with light-grey dashed line representing decadal diversity of shock types. Diversity indices exclude shocks with unknown drivers.

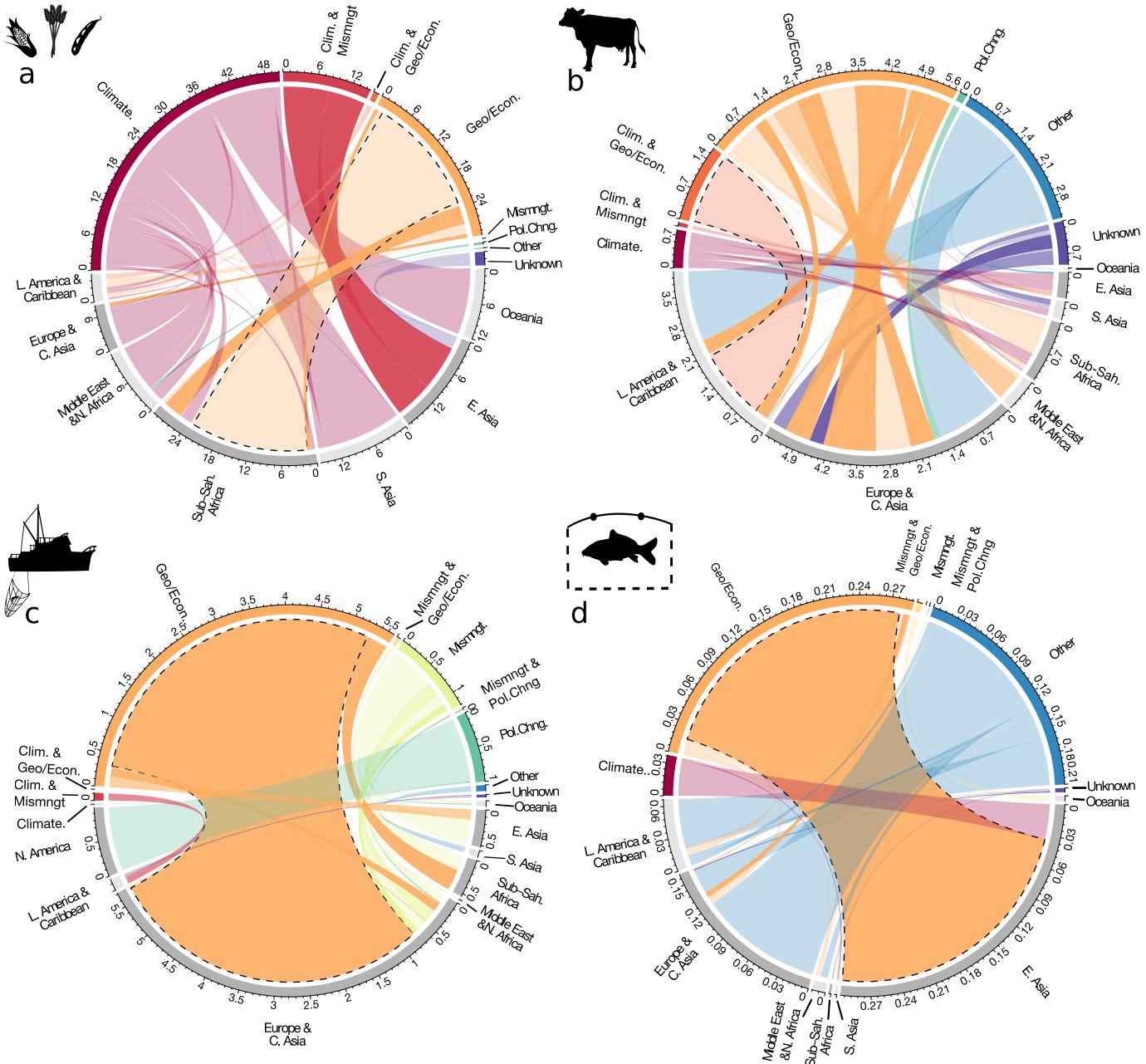
**Figure 5 – Food production shocks can bridge agriculture and seafood sectors. a.** Drought in Afghanistan from 2000-2002 (shaded area) drives sizeable production losses across agriculture and inland fisheries. **b.** Crash in Dominica's banana crop following Hurricane David in 1979 precedes spike in marine fish landings and stock collapse 4 years later. Dashed vertical lines highlight the shock driver.





### Driver of shock

- Climate/weather events
- Climate/weather events & mismanagement
- Climate/weather & geopolitical/economic events
- Geopolitical/economic events
- Mismanagement & geopolitical/economic events
- Mismanagement
- Mismanagement & policy change
- Policy change
- Other
- Unknown



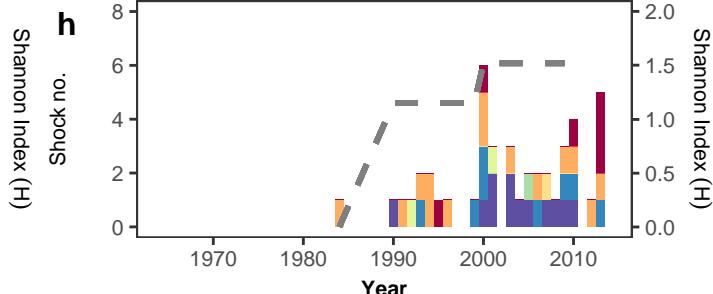
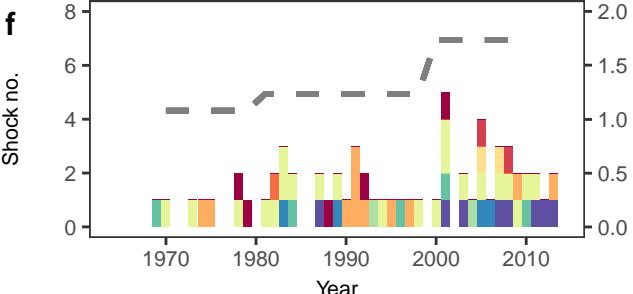
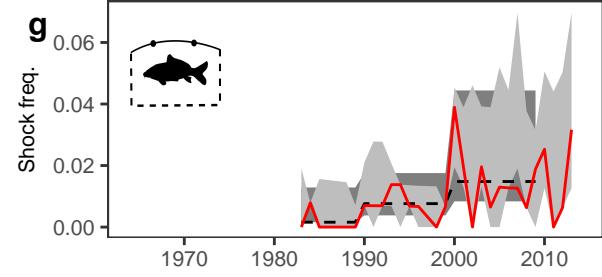
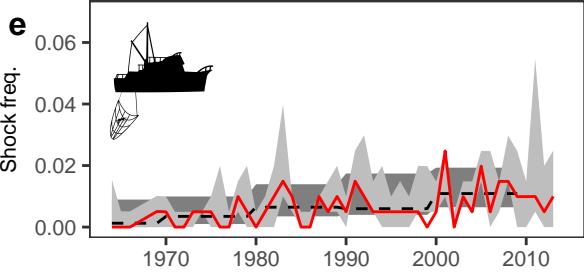
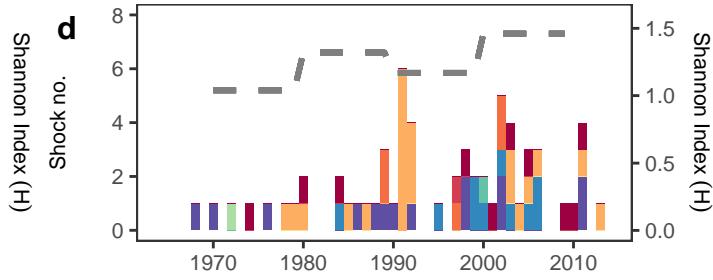
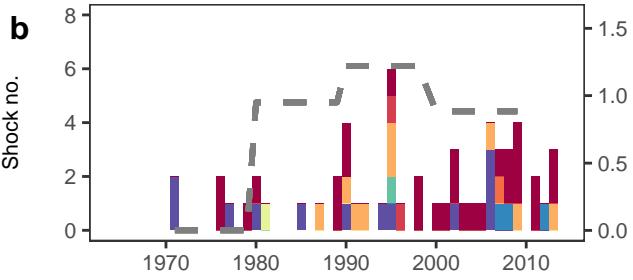
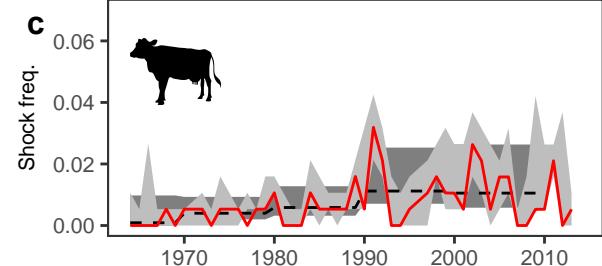
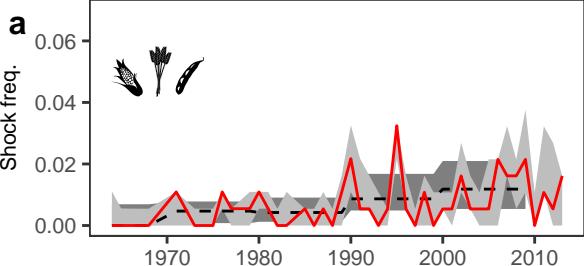
Recovery time (years)

1–7    8–15    >15



- Climate/weather events
- Climate/weather events & mismanagement
- Climate/weather & geopolitical/economic events
- Geopolitical/economic events
- Mismanagement & geopolitical/economic events
- Mismanagement
- Mismanagement & policy change
- Policy change
- Other
- Unknown

Chord scale = Shock size ( $T \times 10^7$ )



### Driver of shock

- Climate/weather events
- Climate/weather events & mismanagement
- Climate/weather & geopolitical/economic events
- Geopolitical/economic events
- Mismanagement & geopolitical/economic events

- Mismanagement
- Mismanagement & policy change
- Policy change
- Other
- Unknown

