

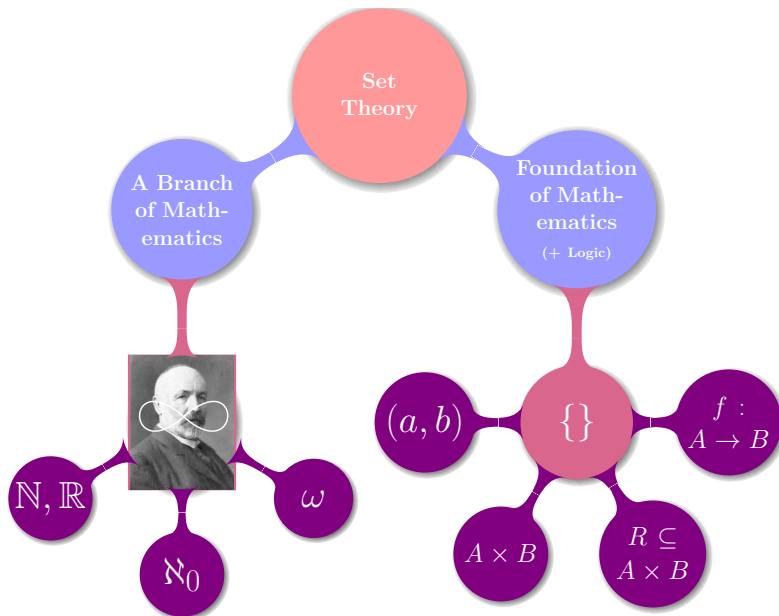
## (四) 集合: 关系 (Relation)

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2021 年 04 月 08 日





**Figure 13.** A selection of consistency axioms over an execution  $(E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar})$

### Auxiliary relations

$\text{sameobj}(e, f) \iff \text{obj}(e) = \text{obj}(f)$

Per-object causality (aka happens-before) order:

$$\text{hbo} = ((\text{ro} \cap \text{sameobj}) \cup \text{vis})^+$$

Causality (aka happens-before) order:  $\text{hb} = (\text{ro} \cup \text{vis})^+$

### Axioms

EVENTUAL:

$$\forall e \in E. \neg(\exists \text{infinitely many } f \in E. \text{sameobj}(e, f) \wedge \neg(e \xrightarrow{\text{vis}} f))$$

THINAIR:  $\text{ro} \cup \text{vis}$  is acyclic

POCV (Per-Object Causal Visibility):  $\text{hbo} \subseteq \text{vis}$

POCA (Per-Object Causal Arbitration):  $\text{hbo} \subseteq \text{ar}$

COCV (Cross-Object Causal Visibility):  $(\text{hb} \cap \text{sameobj}) \subseteq \text{vis}$

COCA (Cross-Object Causal Arbitration):  $\text{hb} \cup \text{ar}$  is acyclic

$\Sigma$	$\text{ReplicaID} \times \mathcal{P}(\mathbb{Z} \times (\text{ReplicaID} \rightarrow \mathbb{N}_0))$
$\hat{a}_i$	$\langle r, \hat{a} \rangle$
$M$	$\mathcal{P}(\mathbb{Z} \times (\text{ReplicaID} \rightarrow \mathbb{N}_0))$
$\text{do}(\text{wr}(a), (r, V), t) =$	$\langle (r, \langle (a, \text{if } s \neq r \text{ then } \max\{v(s) \mid (s, v) \in V \rangle$
	$\text{else } \max\{v(s) \mid (s, v) \in V \cup \{1\}\}), \perp \rangle$
$\text{do}(\text{rd}, (r, V), t) =$	$\langle (r, V), \{a \mid (a, s) \in V\} \rangle$
$\text{send}(\langle r, V \rangle) =$	$\langle (r, V), V \rangle$
$\text{receive}(\langle r, V \rangle, V') =$	$\langle r, \langle (a, v) \in V'' \mid$
	$v \in \mathbb{Z} \mid \{v' \mid \exists a'. (a', v') \in V'' \wedge a \neq a'\} \rangle$
	$\text{where } V'' = \{(a, \lfloor v' \mid (a, v') \in V \cup V'\} \mid (a, s) \in V \cup V'\} \rangle$
$V[V'][\hat{R}_i] \iff$	$(r = a) \wedge (V[V'] M)$
$V[M] \iff$	$(E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info} \iff$
	$(\forall(a, v), (a', v') \in V. (a = a' \implies v = v')) \wedge$
	$(\forall(a, v) \in V. \exists a. v(a) > 0) \wedge$
	$(\forall(a, v) \in V. v \in \mathbb{Z} \mid \{v' \mid \exists a'. (a', v') \in V' \wedge a \neq a'\}) \wedge$
	$\exists \text{distinct } e_{a,k}$
	$\{e \in E \mid \exists a. \text{oper}^r(e) = \text{wr}(a) \} = \{e_{a,k} \mid s \in \text{ReplicaID} \wedge$
	$1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \}$
	$\wedge (\forall s, j, k. (\text{repl}^r(e_{a,k}) = s) \wedge (e_{a,j} \xrightarrow{\text{ro}} e_{a,k} \iff j < k)) \wedge$
	$(\forall(a, v) \in V. \forall q. \{j \mid \text{oper}^r(e_{a,j}) = \text{wr}(a)\} \cup$
	$\{j \mid \exists k, k. e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \wedge \text{oper}^r(e_{a,k}) = \text{wr}(a)\} =$
	$\{j \mid 1 \leq j \leq v(q)\}) \wedge$
	$(\forall e \in E. (\text{oper}(e) = \text{wr}(a)) \wedge$
	$\neg \exists f \in E. \text{oper}(f) = \text{wr}(\perp) \wedge e \xrightarrow{\text{ro}} f \implies (a, \perp) \in V)$

the former. The only non-trivial obligation is to show that if

$$V[M] \iff (E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}), \text{info},$$

then

$$\{a \mid (a, \perp) \in V\} \subseteq \{a \mid \exists e \in E. \text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists a'. \text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f\} \quad (13)$$

(the reverse inclusion is straightforwardly implied by  $R_{\text{ca}}$ ).

$$\text{Take } (a, v) \in V. \text{ We have } (a, v) \in V. \exists a. v(a) > 0 \\ v \in \mathbb{Z} \mid \{v' \mid \exists a'. (a', v') \in V' \wedge a \neq a'\} \}$$

and

$$\forall(a, v) \in V. \forall q. \{j \mid \text{oper}(e_{a,j}) = \text{wr}(a)\} \cup \\ \{j \mid \exists k, k. e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \wedge \text{oper}(e_{a,k}) = \text{wr}(a)\} = \\ \{j \mid 1 \leq j \leq v(q)\}.$$

From this we get that for some  $e \in E$

$$\text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists a'. a \neq a' \wedge \\ \text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f.$$

Since  $\text{vis}$  is acyclic, this implies that for some  $e' \in E$

$$\text{oper}(e') = \text{wr}(a) \wedge \neg \exists f \in E. \text{oper}(e') = \text{wr}(\perp) \wedge e' \xrightarrow{\text{ro}} f, \\ \text{which establishes (13).}$$

Let us now discharge  $\text{RECEIVE}$ . Let  $\text{receive}(\langle r, V \rangle, V') =$

$$V'' = \{(a, \lfloor v' \mid \{a, v'\} \in V \cup V'\} \mid (a, s) \in V \cup V'\} \}; \\ V''' = \{(a, v) \in V'' \mid v \in \mathbb{Z} \mid \{(a', v') \in V'' \mid a \neq a'\} \}.$$

Assume  $\langle r, V \rangle [R_i] I, V' [M] J$  and

$$I = ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info}); \\ J = ((E', \text{repl}', \text{obj}', \text{oper}', \text{rval}', \text{ro}', \text{vis}', \text{ar}'), \text{info}'); \\ I \sqcup J = ((E''', \text{repl}''', \text{obj}''', \text{oper}''', \text{rval}''', \text{ro}''', \text{vis}''', \text{ar}'''), \text{info}''').$$

By agree we have  $I \sqcup J \in \text{IEx}$ . Then

$$(\forall(a, v), (a', v') \in V. (a = a' \implies v = v')) \wedge \\ (\forall(a, v) \in V. \exists a. v(a) > 0) \wedge \\ (\forall(a, v) \in V. v \in \mathbb{Z} \mid \{v' \mid \exists a'. (a', v') \in V' \wedge a \neq a'\}) \wedge \\ \exists \text{distinct } e_{a,k} \wedge \\ (\{e \in E \mid \exists a. \text{oper}^r(e) = \text{wr}(a) \} = \{e_{a,k} \mid s \in \text{ReplicaID} \wedge \\ 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \}) \wedge \\ (\forall s, j, k. (\text{repl}^r(e_{a,k}) = s) \wedge (e_{a,j} \xrightarrow{\text{ro}} e_{a,k} \iff j < k)) \wedge \\ (\forall(a, v) \in V. \forall q. \{j \mid \text{oper}^r(e_{a,j}) = \text{wr}(a)\} \cup \\ \{j \mid \exists k, k. e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \wedge \text{oper}^r(e_{a,k}) = \text{wr}(a)\} = \\ \{j \mid 1 \leq j \leq v(q)\}) \wedge \\ (\forall e \in E. (\text{oper}^r(e) = \text{wr}(a)) \wedge \\ \neg \exists f \in E. \text{oper}^r(f) = \text{wr}(\perp) \wedge e \xrightarrow{\text{ro}} f) \implies (a, \perp) \in V)$$

and

$$(\forall(a, v), (a', v') \in V'. (a = a' \implies v = v')) \wedge \\ (\forall(a, v) \in V'. \exists a. v(a) > 0) \wedge \\ (\forall(a, v) \in V'. v \in \mathbb{Z} \mid \{v' \mid \exists a'. (a', v') \in V' \wedge a \neq a'\}) \wedge \\ \exists \text{distinct } e_{a,k} \wedge \\ (\{e \in E' \mid \exists a. \text{oper}^r(e) = \text{wr}(a) \} = \{e_{a,k} \mid s \in \text{ReplicaID} \wedge \\ 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V'\} \}) \wedge \\ (\forall s, j, k. (\text{repl}^r(e_{a,k}) = s) \wedge (e_{a,j} \xrightarrow{\text{ro}} e_{a,k} \iff j < k)) \wedge \\ (\forall(a, v) \in V'. \forall q. \{j \mid \text{oper}^r(e_{a,j}) = \text{wr}(a)\} \cup \\ \{j \mid \exists k, k. e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \wedge \text{oper}^r(e_{a,k}) = \text{wr}(a)\} = \\ \{j \mid 1 \leq j \leq v(q)\}) \wedge \\ (\forall e \in E'. (\text{oper}^r(e) = \text{wr}(a)) \wedge \\ \neg \exists f \in E'. \text{oper}^r(f) = \text{wr}(\perp) \wedge e \xrightarrow{\text{ro}} f) \implies (a, \perp) \in V').$$

The agree property also implies

$$\forall s, k. 1 \leq k \leq \min \{ \max\{v(s) \mid \exists a. (a, v) \in V\}, \\ \max\{v(s) \mid \exists a. (a, v) \in V'\} \} \implies e_{a,k} = e'_{a,k}.$$

Hence, there exist distinct

$$e''_{a,k} \text{ for } s \in \text{ReplicaID}, k = 1..(\max\{v(s) \mid \exists a. (a, v) \in V''\}), \\ \text{such that}$$

$$(\forall s, k. 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \implies e''_{a,k} = e_{a,k}) \wedge \\ (\forall s, k. 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V'\} \implies e''_{a,k} = e'_{a,k})$$

and

$$(\{e \in E \cup E' \mid \exists a. \text{oper}^r(e) = \text{wr}(a) \} = \\ \{e''_{a,k} \mid s \in \text{ReplicaID} \wedge 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V''\} \}) \wedge \\ (\forall s, j, k. (\text{repl}^r(e''_{a,k}) = s) \wedge (e''_{a,j} \xrightarrow{\text{ro}} e''_{a,k} \iff j < k)).$$

By the definition of  $V''$  and  $V'''$  we have

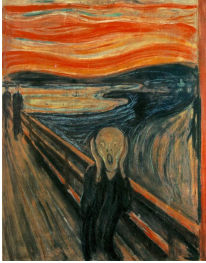
$$\forall(a, v), (a', v') \in V''. (a = a' \implies v = v').$$

We also straightforwardly get

$$\forall(a, v) \in V'. \exists a. v(a) > 0$$

and

$$(\forall(a, v) \in V''. \forall q. \{j \mid \text{oper}^r(e''_{a,j}) = \text{wr}(a)\} \cup \\ \{j \mid \exists k, k. e''_{a,j} \xrightarrow{\text{vis}} e''_{a,k} \wedge \text{oper}^r(e''_{a,k}) = \text{wr}(a)\} = (14) \\ \{j \mid 1 \leq j \leq v(q)\}).$$



**Figure 13.** A selection of consistency axioms over an execution  $(E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar})$

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**Figure 17.** Optimized state-based multi-value register and its simulation

$\Sigma$	$= \text{ReplicaID} \times \mathcal{P}(\mathbb{Z} \times (\text{ReplicaID} \rightarrow \mathbb{N}_0))$
$\hat{a}_i$	$= (r, \hat{a})$
$M$	$= \mathcal{P}(\mathbb{Z} \times (\text{ReplicaID} \rightarrow \mathbb{N}_0))$
$\text{do}(\text{wr}(a), (r, V), t) =$	$((r, \{(a, \text{as}, \text{if } s \neq r \text{ then } \max\{v(s)   (s, v) \in V\} \\ \text{else } \max\{v(s)   (s, v) \in V\} + 1\})), \perp)$
$\text{do}(\text{rd}, (r, V), t) =$	$((r, V), \{a   (a, \cdot) \in V\})$
$\text{send}(\langle r, V' \rangle) =$	$((r, V), V')$
$\text{receive}(\langle (r, V), V' \rangle) =$	$(r, \{(a, v) \in V'' \mid$ $v \in \bigcup \{v' \mid \exists a', (a', v') \in V'' \wedge a \neq a'\}\},$ $\text{where } V'' = \{(a, \bigcup \{v' \mid (a, v') \in V \cup V'\}) \mid (a, \cdot) \in V \cup V'\})$
$\langle s, V' \rangle \mathcal{R}_s \perp \iff$	$(s = a) \wedge (V' \neq M \perp)$
$V \models \mathcal{M} \{ (E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info} \} \iff$	$(\forall (a, v), (a', v') \in V. (a = a' \implies v = v')) \wedge$ $(\forall (a, v) \in V. \exists s. v(s) > 0) \wedge$ $(\forall (a, v) \in V. v \in \bigcup \{v' \mid \exists a', (a', v') \in V' \wedge a \neq a'\}) \wedge$ $\exists \text{distinct } e_{s,k}.$ $\{ (e \in E \mid \exists a. \text{oper}^s(e) = \text{wr}(a) = \{e_{s,k} \mid s \in \text{ReplicaID} \wedge$ $1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\}) \wedge$ $(\forall s, j, k. (\text{repl}^s(e_{s,k}) = s) \wedge (e_{s,j} \xrightarrow{\text{ro}} e_{s,k} \iff j < k)) \wedge$ $(\forall (a, v) \in V. \forall q. \{j \mid \text{oper}^s(e_{s,j}) = \text{wr}(a)\} \cup$ $\{j \mid \exists k, k. e_{s,j} \xrightarrow{\text{ro}} e_{s,k} \wedge \text{oper}^s(e_{s,k}) = \text{wr}(a)\} =$ $\{j \mid 1 \leq j \leq v(q)\}) \wedge$ $(\forall e \in E. (\text{oper}^e(e) = \text{wr}(a) \wedge$ $\neg \exists f \in E. (\text{oper}^f(f) = \text{wr}(a) \wedge e \xrightarrow{\text{ro}} f) \implies (a, \cdot) \in V)$

the former. The only non-trivial obligation is to show that if

$$V \models \mathcal{M} \{ (E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}), \text{info} \},$$

then

$$\{a \mid (a, \cdot) \in V\} \subseteq \{a \mid \exists e \in E. \text{oper}(e) = \text{wr}(a) \wedge$$
  
 $\neg \exists f \in E. \exists a'. \text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f\} \quad (13)$

(the reverse inclusion is straightforwardly implied by  $\mathcal{R}_s$ ).

Take  $(a, v) \in V$ . We have  $(a, v) \in V. \exists s. v(s) > 0$ ,

$$v \in \bigcup \{v' \mid \exists a', (a', v') \in V' \wedge a \neq a'\}$$

and

$$\forall (a, v) \in V. \forall q. \{j \mid \text{oper}(e_{s,j}) = \text{wr}(a)\} \cup$$
  

$$\{j \mid \exists k, k. e_{s,j} \xrightarrow{\text{ro}} e_{s,k} \wedge \text{oper}(e_{s,k}) = \text{wr}(a)\} =$$
  

$$\{j \mid 1 \leq j \leq v(q)\}.$$

From this we get that for some  $e \in E$

$$\text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists a'. a' \neq a \wedge$$

$$\text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f.$$

Since  $\text{vis}$  is acyclic, this implies that for some  $e' \in E$

$$\text{oper}(e') = \text{wr}(a) \wedge \neg \exists f \in E. \text{oper}(e') = \text{wr}(a) \wedge e' \xrightarrow{\text{ro}} f,$$

which establishes (13).

Let us now discharge RECEIVE. Let  $\text{receive}(\langle r, V \rangle, V') =$

$$(r, V''), \text{ where } V'' = \{(a, \bigcup \{v' \mid (a, v') \in V \cup V'\}) \mid (a, \cdot) \in V \cup V'\};$$

$$V'' = \{(a, v) \in V'' \mid v \in \bigcup \{(v' \mid (a', v') \in V'' \wedge a \neq a'\})\}.$$

Assume  $\langle r, V \rangle \mathcal{R}_s \perp$ ,  $V' \models \mathcal{M} \{ J \text{ and}$

$$I = ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info});$$

$$I = ((E', \text{repl}', \text{obj}', \text{oper}', \text{rval}', \text{ro}', \text{vis}', \text{ar}'), \text{info}');$$

$$I \cup J = ((E'', \text{repl}'', \text{obj}'', \text{oper}'', \text{rval}'', \text{ro}'', \text{vis}'', \text{ar}''), \text{info}'').$$

By agree we have  $I \cup J \in \text{IEx}$ . Then

$$(\forall (a, v), (a', v') \in V. (a = a' \implies v = v')) \wedge$$

$$(\forall (a, v) \in V. \exists s. v(s) > 0) \wedge$$

$$(\forall (a, v) \in V. v \in \bigcup \{v' \mid \exists a', (a', v') \in V' \wedge a \neq a'\}) \wedge$$

$$\exists \text{distinct } e_{s,k}.$$

$$\{ (e \in E \mid \exists a. \text{oper}^s(e) = \text{wr}(a) = \{e_{s,k} \mid s \in \text{ReplicaID} \wedge$$

$$1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\}) \wedge$$

$$(\forall s, j, k. (\text{repl}^s(e_{s,k}) = s) \wedge (e_{s,j} \xrightarrow{\text{ro}} e_{s,k} \iff j < k)) \wedge$$

$$(\forall (a, v) \in V. \forall q. \{j \mid \text{oper}^s(e_{s,j}) = \text{wr}(a)\} =$$

$$\{j \mid \exists k, k. e_{s,j} \xrightarrow{\text{ro}} e_{s,k} \wedge \text{oper}^s(e_{s,k}) = \text{wr}(a)\} =$$

$$\{j \mid 1 \leq j \leq v(q)\}) \wedge$$

$$(\forall e \in E. (\text{oper}^e(e) = \text{wr}(a) \wedge$$

$$\neg \exists f \in E. \text{oper}^f(f) = \text{wr}(a) \wedge e \xrightarrow{\text{ro}} f) \implies (a, \cdot) \in V)$$

and

$$(\forall (a, v), (a', v') \in V'. (a = a' \implies v = v')) \wedge$$

$$(\forall (a, v) \in V'. \exists s. v(s) > 0) \wedge$$

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$$(\forall e \in E'. (\text{oper}^e(e) = \text{wr}(a) \wedge$$

$$\neg \exists f \in E'. \text{oper}^f(f) = \text{wr}(a) \wedge e \xrightarrow{\text{ro}} f) \implies (a, \cdot) \in V').$$

The agree property also implies

$$\forall s, k. 1 \leq k \leq \min \{ \max\{v(s) \mid \exists a. (a, v) \in V\},$$

$$\max\{v(s) \mid \exists a. (a, v) \in V'\} \} \implies e_{s,k} = e'_{s,k}.$$

Hence, there exist distinct

$$e''_{s,k} \text{ for } s \in \text{ReplicaID}, k = 1..(\max\{v(s) \mid \exists a. (a, v) \in V''\}),$$

such that

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and

$$\{ (e \in E \cup E' \mid \exists a. \text{oper}^s(e) = \text{wr}(a) = \{e''_{s,k} \mid s \in \text{ReplicaID} \wedge 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V''\}) \wedge$$

$$(\forall s, j, k. (\text{repl}^s(e''_{s,k}) = s) \wedge (e''_{s,j} \xrightarrow{\text{ro}} e''_{s,k} \iff j < k)).$$

By the definition of  $V''$  and  $V''$  we have

$$\forall (a, v), (a', v') \in V'', (a = a' \implies v = v').$$

We also straightforwardly get

$$\forall (a, v) \in V'. \exists s. v(s) > 0$$

and

$$(\forall (a, v) \in V''. \forall q. \{j \mid \text{oper}^s(e''_{s,j}) = \text{wr}(a)\} \cup$$

$$\{j \mid \exists k, k. e''_{s,j} \xrightarrow{\text{ro}} e''_{s,k} \wedge \text{oper}^s(e''_{s,k}) = \text{wr}(a)\} = (14)$$

$$\{j \mid 1 \leq j \leq v(q)\}).$$





**I'm so excited.**



## Definition (关系 (Relations))

A *relation*  $R$  from  $A$  to  $B$  is a subset of  $A \times B$ :

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## Definition (Cartesian Products)

The *Cartesian product*  $A \times B$  of  $A$  and  $B$  is defined as

$$A \times B \triangleq \{(a, b) \mid a \in A \wedge b \in B\}$$



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A *relation*  $R$  from  $A$  to  $B$  is a subset of  $A \times B$ :

$$R \subseteq A \times B$$

## Definition (Cartesian Products)

The *Cartesian product*  $A \times B$  of  $A$  and  $B$  is defined as

$$A \times B \triangleq \{(a, b) \mid a \in A \wedge b \in B\}$$

## Theorem (Ordered Pairs)

$$(a, b) = (c, d) \iff a = c \wedge b = d$$

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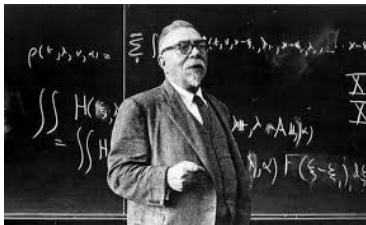
CASE I :  $a = b$

CASE II :  $a \neq b$



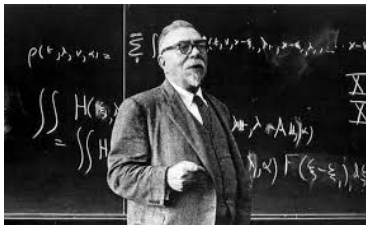
## Definition (Ordered Pairs (Norbert Wiener; 1914))

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## Theorem

$$(x_1, \dots, x_n) = (y_1, \dots, y_n) \iff x_1 = y_1 \wedge \dots x_n = y_n$$

## Definition (笛卡尔积 (Cartesian Products))

The *Cartesian product*  $A \times B$  of  $A$  and  $B$  is defined as

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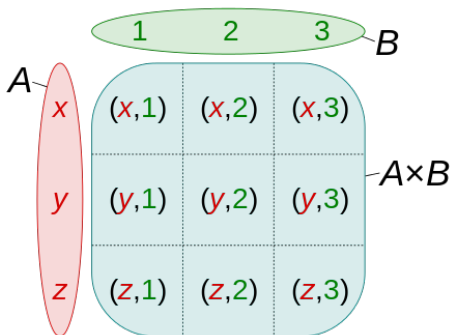
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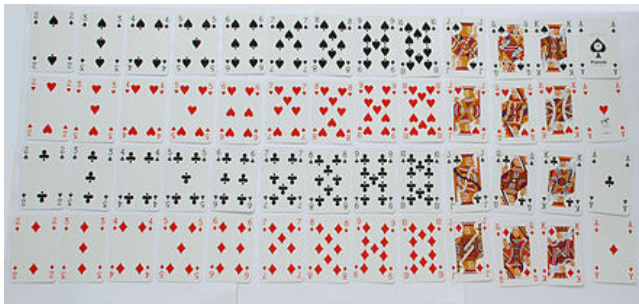
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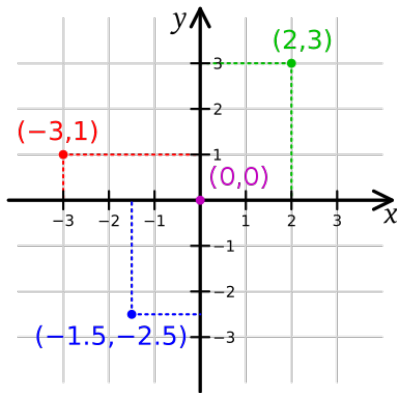
$$X^2 \triangleq X \times X$$



$$\text{Ranks} = \{2, \dots, 10, J, Q, K, A\}$$



$$\text{Suits} = \{\}$$



$$\mathbb{Z}^2 \triangleq \mathbb{Z} \times \mathbb{Z}$$



$$X \times \emptyset = \emptyset \times X$$

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$$X \times Y \neq Y \times X$$

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$$(X \times Y) \times Z \neq X \times (Y \times Z)$$

$$X \times \emptyset = \emptyset \times X$$

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$$A = \{1\} \quad (A \times A) \times A \neq A \times (A \times A)$$

## Theorem (分配律 (Distributivity))

$$A \times (B \cap C) = (A \times B) \cap (A \times C)$$

$$A \times (B \cup C) = (A \times B) \cup (A \times C)$$

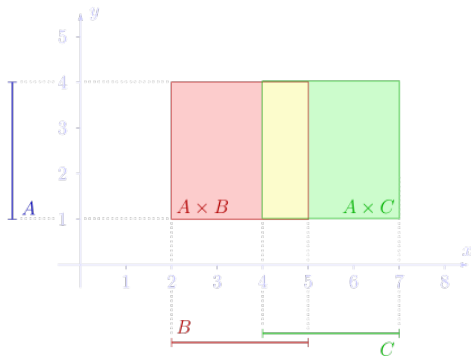
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$$X^n \triangleq \underbrace{X \times \cdots \times X}_n$$



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## Definition (Notations)

$$(a, b) \in R \quad R(a, b) \quad aRb$$

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## Examples

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## Examples

- Both  $A \times B$  and  $\emptyset$  are relations from  $A$  to  $B$ .

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- ▶  $P$  : the set of people

$$M = \{(a, b) \in P \times P \mid a \text{ is the mother of } b\}$$

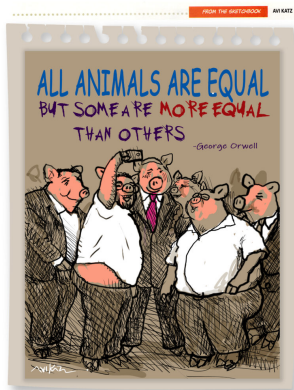
$$B = \{(a, b) \in P \times P \mid a \text{ is the brother of } b\}$$

# Important Relations:

Equivalence Relations

Ordering Relations

Functions (next class)



## Outline:

3 Definitions

5 Operations

7 Properties

2 Special Relations

### 3 Definitions

$\text{dom}(R)$        $\text{ran}(R)$        $\text{fld}(R)$

## Definition (定义域 (Domain))

$$\text{dom}(R) = \{a \mid \exists b. (a, b) \in R\}$$

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### Definition (值域 (Range))

$$\text{ran}(R) = \{b \mid \exists a : (a, b) \in R\}$$

### Definition (定义域 (Domain))

$$\text{dom}(R) = \{a \mid \exists b. (a, b) \in R\}$$

### Definition (值域 (Range))

$$\text{ran}(R) = \{b \mid \exists a : (a, b) \in R\}$$

### Definition (域 (Field))

$$\text{fld}(R) = \text{dom}(R) \cup \text{ran}(R)$$



$$R = \{(x, y) \mid x = y\} \subseteq \mathbb{R} \times \mathbb{R}$$

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$$\text{dom}(R) = \mathbb{R} \quad \text{ran}(R) = \mathbb{R} \quad \text{fld}(R) = \mathbb{R}$$

$$R = \{(x, y) \mid x^2 + y^2 = 1\} \subseteq \mathbb{R} \times \mathbb{R}$$

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$$\text{dom}(R) = [1, 1] \quad \text{ran}(R) = [-1, 1] \quad \text{fld}(R) = [-1, 1]$$

## Theorem

$$\text{dom}(R) \subseteq \bigcup \bigcup R \quad \text{ran}(R) \subseteq \bigcup \bigcup R$$

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对任意  $a$ ,

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(6)

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$$\implies \exists b. a \in \bigcup \bigcup R \tag{5}$$

$$\tag{6}$$

## Theorem

$$\text{dom}(R) \subseteq \bigcup \bigcup R \quad \text{ran}(R) \subseteq \bigcup \bigcup R$$

对任意  $a$ ,

$$a \in \text{dom}(R) \quad (1)$$

$$\implies \exists b. (a, b) \in R \quad (2)$$

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$$\implies \exists b. \{a, b\} \in \bigcup R \quad (4)$$

$$\implies \exists b. a \in \bigcup \bigcup R \quad (5)$$

$$\implies a \in \bigcup \bigcup R \quad (6)$$

## 5 Operations

$$R^{-1} \quad R|_X \quad R[X] \quad R^{-1}[Y] \quad R \circ S$$

## Definition (逆 (Inverse))

The *inverse* of  $R$  is the **relation**

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$$\leq = \{(x, y) \mid x \leq y\} \subseteq \mathbb{R} \times \mathbb{R} \quad \leq^{-1} = \geq \triangleq \{(x, y) \mid x \geq y\}$$

## Theorem

$$(R^{-1})^{-1} = R$$

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对任意  $(a, b)$ ,

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(3)

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对任意  $(a, b)$ ,

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$$\iff (b, a) \in R^{-1} \quad (2)$$

$$(3)$$

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$$(R^{-1})^{-1} = R$$

对任意  $(a, b)$ ,

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$$\iff (b, a) \in R^{-1} \quad (2)$$

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## Theorem (关系的逆)

$$R, S \subseteq A \times B$$

$$(R \cup S)^{-1} = R^{-1} \cup S^{-1}$$

$$(R \cap S)^{-1} = R^{-1} \cap S^{-1}$$

$$(R \setminus S)^{-1} = R^{-1} \setminus S^{-1}$$

## Definition (左限制 (Left-Restriction))

Suppose  $R \subseteq X \times Y$  and  $S \subseteq X$ . The *left-restriction* relation of  $R$  to  $S$  is

$$R|_S = \{(x, y) \in R \mid x \in S\}$$

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### Definition (右限制 (Right-Restriction))

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### Definition (左限制 (Left-Restriction))

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### Definition (右限制 (Right-Restriction))

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### Definition (限制 (Restriction))

Suppose  $R \subseteq X \times X$  and  $S \subseteq X$ . The *restriction* relation of  $R$  to  $S$  is

$$R|_S = \{(x, y) \in R \mid x \in S \wedge y \in S\}$$

example

### Definition (像 (Image))

The *image* of  $X$  under  $R$  is the set

$$R[X] = \{b \in \text{ran}(R) \mid \exists a \in X. (a, b) \in R\}$$

## Definition (像 (Image))

The *image* of  $X$  under  $R$  is the set

$$R[X] = \{b \in \text{ran}(R) \mid \exists a \in X. (a, b) \in R\}$$

$$R[a] \triangleq R[\{a\}] = \{b \mid (a, b) \in R\}$$

### Definition (逆像 (Inverse Image))

The *inverse image* of  $Y$  under  $R$  is the set

$$R^{-1}[Y] = \{a \in \text{dom}(R) \mid \exists b \in Y : (a, b) \in R\}$$



## Definition (逆像 (Inverse Image))

The *inverse image* of  $Y$  under  $R$  is the set

$$R^{-1}[Y] = \{a \in \text{dom}(R) \mid \exists b \in Y : (a, b) \in R\}$$

$$R^{-1}[b] \triangleq R^{-1}[\{b\}] = \{a \mid (a, b) \in R\}$$

$$R \subseteq A \times B \quad X \subseteq A \quad Y \subseteq B$$

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$$R^{-1}[R[X]] \stackrel{?}{=} X$$

$$R[R^{-1}[Y]] \stackrel{?}{=} Y$$

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$$R[R^{-1}[Y]] \stackrel{?}{=} Y$$



## Theorem

$$R[X_1 \cup X_2] = R[X_1] \cup R[X_2]$$

$$R[X_1 \cap X_2] \subseteq R[X_1] \cap R[X_2]$$

$$R[X_1 \setminus X_2] \supseteq R[X_1] \setminus R[X_2]$$

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对任意  $b$ ,

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对任意  $b$ ,

$$b \in R[X_1 \cup X_2]$$

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对任意  $b$ ,

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$$\iff \exists a \in X_1 \cup X_2 : (a, b) \in R$$



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对任意  $b$ ,

$$b \in R[X_1 \cup X_2]$$

$$\iff \exists a \in X_1 \cup X_2 : (a, b) \in R$$

$$\iff \exists a \in X_1 : (a, b) \in R \vee \exists a \in X_2 : (a, b) \in R$$

## Theorem

$$R[X_1 \cup X_2] = R[X_1] \cup R[X_2]$$

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$$\iff b \in R[X_1] \vee b \in R[X_2]$$

## Theorem

$$R[X_1 \cup X_2] = R[X_1] \cup R[X_2]$$

$$R[X_1 \cap X_2] \subseteq R[X_1] \cap R[X_2]$$

$$R[X_1 \setminus X_2] \supseteq R[X_1] \setminus R[X_2]$$

对任意  $b$ ,

$$b \in R[X_1 \cup X_2]$$

$$\iff \exists a \in X_1 \cup X_2 : (a, b) \in R$$

$$\iff \exists a \in X_1 : (a, b) \in R \vee \exists a \in X_2 : (a, b) \in R$$

$$\iff b \in R[X_1] \vee b \in R[X_2]$$

$$\iff b \in R[X_1] \cup R[X_2]$$

## Definition (复合 (Composition; $R \circ S, R; S$ ))

The *composition* of relations  $R \subseteq X \times Y$  and  $S \subseteq Y \times Z$  is the **relation**

$$R \circ S = \{(a, c) \mid \exists b : (a, b) \in S \wedge (b, c) \in R\}$$

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$$R^{(2)} \triangleq R \circ R =$$

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$$S \circ R = \{(1, 2), (1, 3), (3, 3)\}$$

$$R^{(2)} \triangleq R \circ R = \{(3, 2)\}$$

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$$R \circ S = \{(1, 1), (2, 1)\}$$

$$S \circ R = \{(1, 2), (1, 3), (3, 3)\}$$

$$R^{(2)} \triangleq R \circ R = \{(3, 2)\} \quad (R \circ R) \circ R = \emptyset$$

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### Definition (复合 (Composition; $R \circ S, R; S$ ))

The *composition* of relations  $R \subseteq X \times Y$  and  $S \subseteq Y \times Z$  is the **relation**

$$R \circ S = \{(a, c) \mid \exists b : (a, b) \in S \wedge (b, c) \in R\}$$

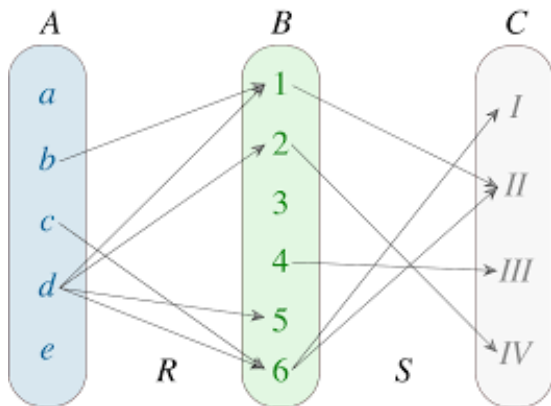
$$R = \{(1, 2), (3, 1)\} \quad S = \{(1, 3), (2, 2), (2, 3)\}$$

$$R \circ S = \{(1, 1), (2, 1)\}$$

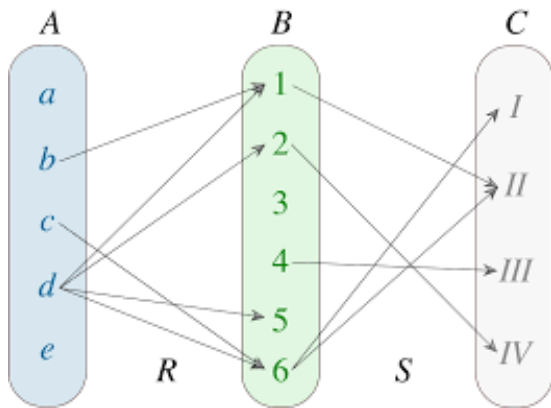
$$S \circ R = \{(1, 2), (1, 3), (3, 3)\}$$

$$R^{(2)} \triangleq R \circ R = \{(3, 2)\} \quad (R \circ R) \circ R = \emptyset$$

$$S^{(2)} \triangleq S \circ S = \{(2, 2), (2, 3)\} \quad (S \circ S) \circ S = \{(2, 2), (2, 3)\}$$



$$|R \circ S| =$$



$$|R \circ S| = 7$$

$$\leq \circ \leq =$$

$$\leq \circ \leq = \leq$$

$$\leq \circ \leq = \leq$$

$$\leq \circ \geq =$$

$$\leq \circ \leq = \leq$$

$$\leq \circ \geq = \mathbb{R} \times \mathbb{R}$$

## Theorem

$$(R \circ S)^{-1} = S^{-1} \circ R^{-1}$$



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对任意  $(a, b)$ ,

$$(a, b) \in (R \circ S)^{-1} \quad (1)$$

(5)

## Theorem

$$(R \circ S)^{-1} = S^{-1} \circ R^{-1}$$

对任意  $(a, b)$ ,

$$(a, b) \in (R \circ S)^{-1} \quad (1)$$

$$\iff (b, a) \in R \circ S \quad (2)$$

(5)

## Theorem

$$(R \circ S)^{-1} = S^{-1} \circ R^{-1}$$

对任意  $(a, b)$ ,

$$(a, b) \in (R \circ S)^{-1} \quad (1)$$

$$\iff (b, a) \in R \circ S \quad (2)$$

$$\iff \exists c. (b, c) \in R \wedge (c, a) \in S \quad (3)$$

(5)

## Theorem

$$(R \circ S)^{-1} = S^{-1} \circ R^{-1}$$

对任意  $(a, b)$ ,

$$(a, b) \in (R \circ S)^{-1} \quad (1)$$

$$\iff (b, a) \in R \circ S \quad (2)$$

$$\iff \exists c. (b, c) \in R \wedge (c, a) \in S \quad (3)$$

$$\iff \exists c. (c, b) \in R^{-1} \wedge (a, c) \in S^{-1} \quad (4)$$

$$(5)$$

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## Theorem

$$(R \circ S) \circ T = R \circ (S \circ T)$$

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对任意  $(a, b)$ ,

$$(a, b) \in (R \circ S) \circ T \quad (1)$$

## Theorem

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对任意  $(a, b)$ ,

$$(a, b) \in (R \circ S) \circ T \quad (1)$$

$$\iff \exists c. ((a, c) \in T \wedge (c, b) \in R \circ S) \quad (2)$$



## Theorem

$$(R \circ S) \circ T = R \circ (S \circ T)$$

对任意  $(a, b)$ ,

$$(a, b) \in (R \circ S) \circ T \quad (1)$$

$$\iff \exists c. \left( (a, c) \in T \wedge (c, b) \in R \circ S \right) \quad (2)$$

$$\iff \exists c. \left( (a, c) \in T \wedge (\exists d : (c, d) \in S \wedge (d, b) \in R) \right) \quad (3)$$

## Theorem

$$(R \circ S) \circ T = R \circ (S \circ T)$$

对任意  $(a, b)$ ,

$$(a, b) \in (R \circ S) \circ T \quad (1)$$

$$\iff \exists c. ((a, c) \in T \wedge (c, b) \in R \circ S) \quad (2)$$

$$\iff \exists c. ((a, c) \in T \wedge (\exists d : (c, d) \in S \wedge (d, b) \in R)) \quad (3)$$

$$\iff \exists d. \exists c. ((a, c) \in T \wedge (c, d) \in S \wedge (d, b) \in R) \quad (4)$$

## Theorem

$$(R \circ S) \circ T = R \circ (S \circ T)$$

对任意  $(a, b)$ ,

$$(a, b) \in (R \circ S) \circ T \quad (1)$$

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$$\iff \exists d. ((\exists c. (a, c) \in T \wedge (c, d) \in S) \wedge (d, b) \in R) \quad (5)$$

## Theorem

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对任意  $(a, b)$ ,

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$$\iff \exists d. \left( (\exists c. (a, c) \in T \wedge (c, d) \in S) \wedge (d, b) \in R \right) \quad (5)$$

$$\iff \exists d. \left( (a, d) \in S \circ T \wedge (d, b) \in R \right) \quad (6)$$

## Theorem

$$(R \circ S) \circ T = R \circ (S \circ T)$$

对任意  $(a, b)$ ,

$$(a, b) \in (R \circ S) \circ T \quad (1)$$

$$\iff \exists c. \left( (a, c) \in T \wedge (c, b) \in R \circ S \right) \quad (2)$$

$$\iff \exists c. \left( (a, c) \in T \wedge (\exists d : (c, d) \in S \wedge (d, b) \in R) \right) \quad (3)$$

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$$\iff \exists d. \left( (\exists c. (a, c) \in T \wedge (c, d) \in S) \wedge (d, b) \in R \right) \quad (5)$$

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“舅姥爷”：姥姥/外婆的兄弟

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$$G = B \circ (M \circ M) = (B \circ M) \circ M$$

“舅姥爷”：妈妈的舅舅

### Theorem (关系的复合)

$$(X \cup Y) \circ Z = (X \circ Z) \cup (Y \circ Z)$$

$$(X \cap Y) \circ Z \subseteq (X \circ Z) \cap (Y \circ Z)$$

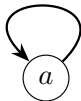
## 7 Properties

$$R \subseteq X \times X$$

## Definition (自反的 (Reflexive))

$R \subseteq X \times X$  is *reflexive* if

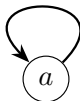
$$\forall a \in X : (a, a) \in R$$



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$R \subseteq X \times X$  is *reflexive* if

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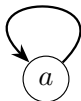
$\leq \subseteq \mathbb{R} \times \mathbb{R}$  is reflexive



## Definition (自反的 (Reflexive))

$R \subseteq X \times X$  is *reflexive* if

$$\forall a \in X : (a, a) \in R$$



$\leq \subseteq \mathbb{R} \times \mathbb{R}$  is reflexive

三角形上的全等关系是自反的

## Definition (反自反 (Irreflexive))

$R \subseteq X \times X$  is *irreflexive* if

$$\forall a \in X. (a, a) \notin R$$

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$R \subseteq X \times X$  is *irreflexive* if

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## Definition (反自反 (Irreflexive))

$R \subseteq X \times X$  is *irreflexive* if

$$\forall a \in X. (a, a) \notin R$$

$< \subseteq \mathbb{R} \times \mathbb{R}$  is irreflexive

$> \subseteq \mathbb{R} \times \mathbb{R}$  is irreflexive

$$A = \{1, 2, 3\} \quad R \subseteq A \times A$$

$$\{(1, 1), (1, 3), (2, 1), (2, 2), (3, 3)\}$$

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## Definition (对称 (Symmetric))

$R \subseteq X \times X$  is *symmetric* if

$$\forall a, b \in X. aRb \rightarrow bRa$$





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$$\forall a, b \in X. aRb \leftrightarrow bRa$$

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Definition (反对称 (AntiSymmetric))

$R \subseteq X \times X$  is *antisymmetric* if

$$\forall a, b \in X. (aRb \wedge bRa) \rightarrow a = b$$

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$R \subseteq X \times X$  is *antisymmetric* if

$$\forall a, b \in X. (aRb \wedge bRa) \rightarrow a = b$$

$\geq$  *is* antisymmetric



$$A = \{1, 2, 3\} \quad R \subseteq A \times A$$

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$$\{(1, 1), (1, 2), (1, 3), (2, 1), (3, 1), (3, 3)\}$$

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$$A = \{1, 2, 3\} \quad R \subseteq A \times A$$

$$\{(1, 1), (1, 2), (1, 3), (2, 1), (3, 1), (3, 3)\}$$

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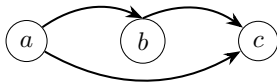
$$\{(1, 1), (2, 2), (3, 3)\}$$

$$\{(1, 2), (2, 1), (2, 3)\}$$

## Definition (传递的 (Transitive))

$R \subseteq X \times X$  is *transitive* if

$$\forall a, b, c \in X. (aRb \wedge bRc \rightarrow aRc)$$



$$A = \{1, 2, 3\} \quad R \subseteq A \times A$$

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$$\{(1, 1), (1, 2), (1, 3), (2, 1), (2, 2), (2, 3)\}$$



$$A = \{1, 2, 3\} \quad R \subseteq A \times A$$

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$$\{(1, 2), (2, 3), (3, 1)\}$$

$$A = \{1, 2, 3\} \quad R \subseteq A \times A$$

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## Definition (连通的 (Connex))

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### Definition (三分的 (Trichotomous))

$R \subseteq X \times X$  is *trichotomous* if

$$\forall a, b \in X. (\text{exactly one of } aRb, bRa, \text{ or } a = b \text{ holds})$$

## Theorem

$$R \text{ is reflexive} \iff I \subseteq R$$

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$$R = \{(1, 2), (2, 3), (1, 3), (4, 4)\}$$

## Theorem

*$R$  is symmetric and transitive  $\iff R = R^{-1} \circ R$*

# Equivalence Relations

## Definition (Equivalence Relation)

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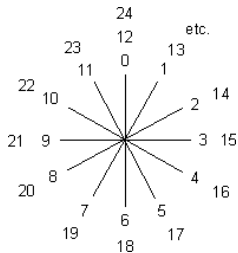
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Why are equivalence relations important?

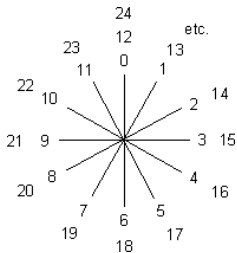


# Equivalence Relations as Abstractions

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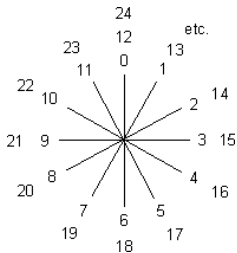


## Equivalence Relations as Abstractions



“全国人民代表大会各省代表团”

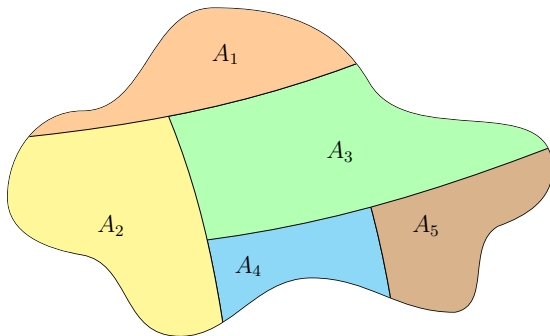
## Equivalence Relations as Abstractions



“全国人民代表大会各省代表团”

Equivalence Relation  $\iff$  Partition

# Partition



“不空、不漏、不重”

## Definition (Partition)

A family of sets  $\{A_\alpha : \alpha \in I\}$  is a *partition* of  $X$  if

(i)

$$\forall \alpha \in I : A_\alpha \neq \emptyset$$

(ii)

$$\bigcup_{\alpha \in I} A_\alpha = X$$

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$$\forall \alpha, \beta \in I : A_\alpha \cap A_\beta = \emptyset \vee A_\alpha = A_\beta$$

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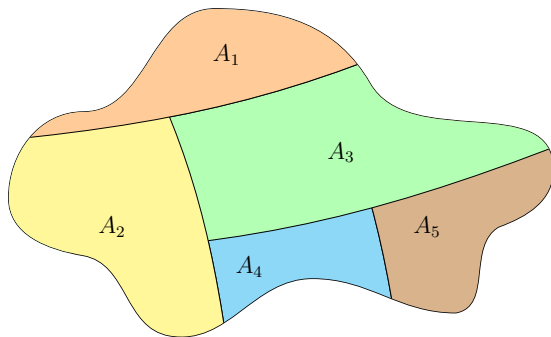
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$$\forall x, y, z \in X : xRy \wedge yRz \implies xRz$$





Equivalence Relation  $\iff$  Partition

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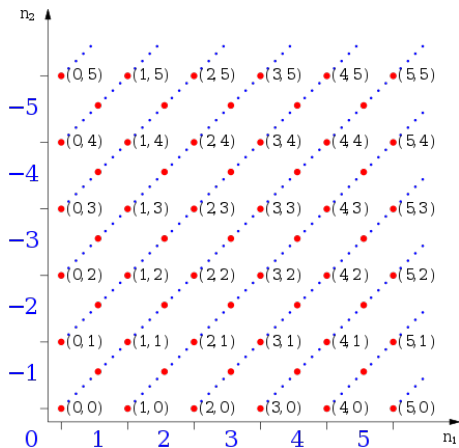
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$$[(1, 3)]_{\sim} = \{(0, 2), (1, 3), (2, 4), (3, 5), \dots\} \triangleq -2 \in \mathbb{Z}$$



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## Definition $(+_{\mathbb{Z}})$

$$[(m_1, n_1)] +_{\mathbb{Z}} [(m_2, n_2)] = [m_1 +_{\mathbb{N}} m_2, n_1 +_{\mathbb{N}} n_2]$$



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## Definition

$$\sim \subseteq (\mathbb{Z} \times \mathbb{Z} \setminus \{0_{\mathbb{Z}}\})^2$$

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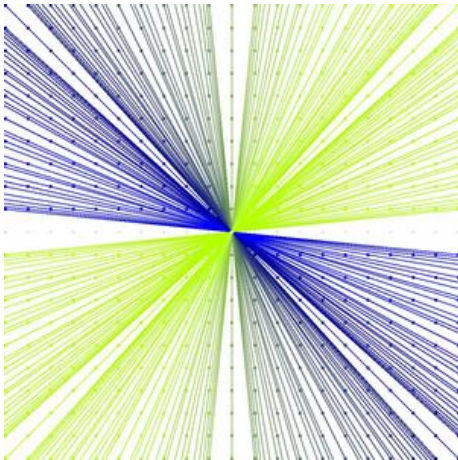
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How to define  $\mathbb{R}$  as equivalence classes of ordered pairs of  $\mathbb{Q}$ ?

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Thank  
You!



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