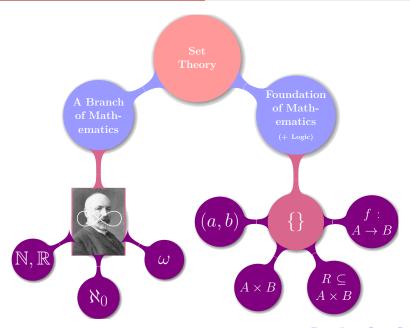
# (六) 集合: 函数 (Functions)

## 魏恒峰

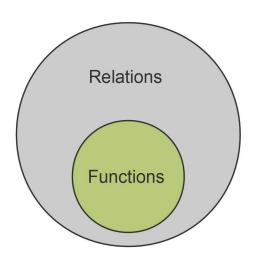
hfwei@nju.edu.cn

2021年04月15日





### 从"关系"的角度理解"函数"



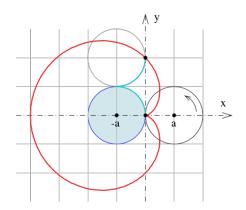
$$f(x) = 2x + 1$$



"函数"也是"关系"

$$\{\ldots, (-2, -3), (-1, -1), (0, 1), (1, 3), \ldots\}$$

$$(x^2 + y^2)^2 + 4ax(x^2 + y^2) - 4a^2y^2 = 0$$



"函数"不允许"一对多"

# Functions

## Functions



PROOF!

# Definition of Functions

$$R \subseteq A \times B$$

is a relation from A to B

$$f \subseteq A \times B$$
 is a *function* from A to B if

 $\forall a \in A. \exists ! b \in B. (a, b) \in f.$ 

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$$f:A\to B$$

$$dom(f) = A$$
  $cod(f) = B$  
$$ran(f) = f(A) \subseteq B$$

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$$f: a \mapsto b$$
$$f(a) \triangleq b$$

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For Proof:

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#### For Proof:

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$$\forall a \in A. \ \exists b \in B.(a,b) \in f$$

$$\exists ! b \in B.$$

$$\forall b, b' \in B. (a, b) \in f \land (a, b') \in f \implies b = b'$$

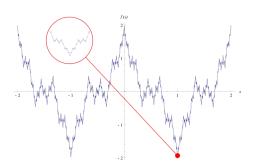
$$I_X:X\to X$$

X 上的恒等函数

$$\forall x \in X. \ I_X(x) = x$$

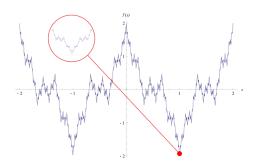
$$f(x) = \sum_{n=0}^{\infty} a^n \cos(b^n \pi x)$$

0 < a < 1, b is a positive odd integer,  $ab > 1 + \frac{3}{2}\pi$ 



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### Weierstrass Function (1872)

"处处连续, 但处处不可导"

$$Y^X = \{ f \mid f : X \to Y \}$$

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$$|X| = x \quad |Y| = y, \qquad |Y^X| =$$

The set of all functions from X to Y:

$$Y^X = \{ f \mid f : X \to Y \}$$

$$|X| = x \quad |Y| = y, \qquad |Y^X| = y^x$$

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$$\forall Y. Y^{\emptyset} =$$

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$$\forall X \neq \emptyset. \ \emptyset^X =$$

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$$\forall X \neq \emptyset. \ \emptyset^X = \emptyset$$

$$Y^X = \{ f \mid f : X \to Y \}$$

The set of all functions from X to Y:

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Q: Is there a set consisting of all functions?

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#### Theorem

There is no set consisting of all functions.

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Suppose by contradiction that A is the set of all functions.

The **set** of all functions from X to Y:

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Suppose by contradiction that A is the set of all functions.

For every set X, there exists a function  $I_X : \{X\} \to \{X\}$ .

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Suppose by contradiction that A is the set of all functions.

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$$\bigcup_{I_X \in A} \operatorname{dom}(I_X)$$

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Suppose by contradiction that A is the set of all functions.

For every set X, there exists a function  $I_X : \{X\} \to \{X\}$ .

 $\bigcup_{I_X \in A} \operatorname{dom}(I_X) \text{ would be the universe that does not exist!}$ 

# Functions as Sets

Theorem (函数的外延性原理 (The Principle of Functional Extensionality))

f, g are functions:

$$f = g \iff dom(f) = dom(g) \land (\forall x \in dom(f). \ f(x) = g(x))$$

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$$f=g\iff \forall (a,b).\ ((a,b)\in f\leftrightarrow (a,b)\in g).$$

It may be that  $cod(f) \neq cod(g)$ .

$$f: A \to B$$
  $g: C \to D$ 

Q: Is  $f\cap g$  a function?

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Theorem (Intersection of Functions)

$$f\cap g:(A\cap C)\to (B\cap D)$$

 $f:A\to B \qquad g:C\to D$ 

Q: Is  $f \cup g$  a function?

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Q: Is  $f \cup g$  a function?

Theorem (Union of Functions)

$$f \cup g : (A \cup C) \to (B \cup D) \iff \forall x \in dom(f) \cap dom(g). \ f(x) = g(x)$$

$$f:A \to B$$
  $g:C \to D$ 

Q: Is  $f \cup g$  a function?

## Theorem (Union of Functions)

$$f \cup g: (A \cup C) \rightarrow (B \cup D) \iff \forall x \in \mathit{dom}(f) \cap \mathit{dom}(g). \ f(x) = g(x)$$

$$f: \mathbb{O} \to \mathbb{R}$$

$$f(x) = \begin{cases} x+1, & \text{if } x \in 2\mathbb{Z} \\ x-1, & \text{if } x \in 3\mathbb{Z} \\ 2, & \text{otherwise} \end{cases}$$

$$f: \mathcal{P}(\mathbb{R}) \to \mathbb{Z}$$

$$f(A) = \begin{cases} \min(A \cap \mathbb{N}) & \text{if } A \cap \mathbb{N} \neq \emptyset \\ -1 & \text{if } A \cap \mathbb{N} = \emptyset \end{cases}$$

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$$dom(f) \cap dom(g) = \emptyset$$

By the Well-Ordering Principle of  $\mathbb{N}$ 

$$D:\mathbb{R}\to\mathbb{R}$$

$$D(x) = \begin{cases} 1 & \text{if } x \in \mathbb{Q} \\ 0 & \text{if } x \in \mathbb{R} \setminus \mathbb{Q} \end{cases}$$

Dirichlet Function

"处处不连续"

Special Functions (<u>-jectivity</u>)

$$f:A\to B$$

$$\forall a_1, a_2 \in A : a_1 \neq a_2 \implies f(a_1) \neq f(a_2)$$

$$f:A\to B \qquad f:A\rightarrowtail B$$

$$\forall a_1, a_2 \in A : a_1 \neq a_2 \implies f(a_1) \neq f(a_2)$$

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$$f:A\to B$$
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#### For Proof:

 $\blacktriangleright$  To prove that f is 1-1:

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$$\forall a_1, a_2 \in A : f(a_1) = f(a_2) \implies a_1 = a_2$$

$$f: A \to B$$
  $f: A \rightarrowtail B$ 

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#### For Proof:

▶ To prove that f is 1-1:

$$\forall a_1, a_2 \in A : f(a_1) = f(a_2) \implies a_1 = a_2$$

▶ To show that f is not 1-1:

$$\exists a_1, a_2 \in A : a_1 \neq a_2 \land f(a_1) = f(a_2)$$

$$f:A\to B$$

$$\mathrm{ran} f = B$$

$$f:A \to B$$
  $f:A woheadrightarrow B$ 

$$\mathrm{ran} f = B$$

$$f:A \to B$$
  $f:A woheadrightarrow B$ 

$$ran f = B$$

#### For Proof:

ightharpoonup To prove that f is onto:

$$\forall b \in B \ \Big( \exists a \in A : f(a) = b \Big)$$

$$f:A \to B$$
  $f:A \twoheadrightarrow B$ 

$$ran f = B$$

#### For Proof:

ightharpoonup To prove that f is onto:

$$\forall b \in B \ \Big( \exists a \in A : f(a) = b \Big)$$

ightharpoonup To show that f is not onto:

$$\exists b \in B \ (\forall a \in A : f(a) \neq b)$$

Definition (Bijective (one-to-one correspondence) ——对应)

 $f:A\to B$ 

1-1 & onto

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$$f: A \to B$$
  $f: A \stackrel{1-1}{\longleftrightarrow} B$ 

1-1 & onto

# Functions as Relations

$$f|_X \qquad f(A) \qquad f^{-1}(B) \qquad f^{-1} \qquad f \circ g$$

# Definition (Restriction)

The restriction of a function f to X is the function:

$$f|_X = \{(x, y) \in f \mid x \in X\}$$

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$$f:A\to B$$

$$f|_X: A \cap X \to B$$

$$f|_X(x) = f(x), \forall x \in A \cap X$$

## Definition (Image)

The image of X under a function f is the set

$$f(X) = \{b \mid \exists a \in X : (a, b) \in f\}$$

# Definition (Inverse Image)

The *inverse image* of Y under a function f is the set

$$f^{-1}(Y) = \{ a \mid \exists b \in Y : (a, b) \in f \}$$

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 $X \subseteq \text{dom} f, Y \subseteq \text{ran} f$  are not necessary

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The *inverse image* of Y under a function f is the set

$$f^{-1}(Y) = \{ a \mid \exists b \in Y : (a, b) \in f \}$$

 $X \subseteq \text{dom} f, Y \subseteq \text{ran} f$  are not necessary

f may not be invertible in  $f^{-1}(Y)$ 



$$y \in f(X) \iff \exists x \in \text{dom} f \cap X : y = f(x)$$

$$y \in f(X) \iff \exists x \in X : y = f(x)$$

$$x \in f^{-1}(Y) \iff f(x) \in Y$$

# Theorem (Properties of f and $f^{-1}$ (UD Theorem 17.7))

$$f: A \to B$$
  $A_1, A_2 \subseteq A, B_1, B_2 \subseteq B$ 

- (i) f preserves only  $\subseteq$  and  $\cup$ :
  - $(1) A_1 \subseteq A_2 \implies f(A_1) \subseteq f(A_2)$
  - (2)  $f(A_1 \cup A_2) = f(A_1) \cup f(A_2)$
  - (3)  $f(A_1 \cap A_2) \subseteq f(A_1) \cap f(A_2)$
  - $(4) f(A_1 \setminus A_2) \supseteq f(A_1) \setminus f(A_2)$
- (ii)  $f^{-1}$  preserves  $\subseteq, \cup, \cap, and \setminus$ :
  - $(5) B_1 \subseteq B_2 \implies f^{-1}(B_1) \subseteq f^{-1}(B_2)$
  - (6)  $f^{-1}(B_1 \cup B_2) = f^{-1}(B_1) \cup f^{-1}(B_2)$
  - (7)  $f^{-1}(B_1 \cap B_2) = f^{-1}(B_1) \cap f^{-1}(B_2)$
  - (8)  $f^{-1}(B_1 \setminus B_2) = f^{-1}(B_1) \setminus f^{-1}(B_2)$

$$f:A\to B$$

$$f(A_1 \cap A_2) \subseteq f(A_1) \cap f(A_2)$$

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$$b \in f(A_1 \cap A_2)$$

$$f:A\to B$$

$$f(A_1 \cap A_2) \subseteq f(A_1) \cap f(A_2)$$

$$b \in f(A_1 \cap A_2)$$

$$\implies \exists a \in A_1 \cap A_2 \cap A : b = f(a)$$

$$f:A\to B$$

$$f(A_1 \cap A_2) \subseteq f(A_1) \cap f(A_2)$$

$$b \in f(A_1 \cap A_2)$$

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$$\implies \exists a \in A : a \in A_1 \land a \in A_2 \land b = f(a)$$

$$f:A\to B$$

$$f(A_1 \cap A_2) \subseteq f(A_1) \cap f(A_2)$$

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$$\implies \exists a \in A \cap A_1 : b = f(a) \land \exists a \in A \cap A_2 : b = f(a)$$

$$f:A\to B$$

$$f(A_1 \cap A_2) \subseteq f(A_1) \cap f(A_2)$$

$$\Rightarrow \exists a \in A_1 \cap A_2 \cap A : b = f(a)$$

$$\Rightarrow \exists a \in A : a \in A_1 \land a \in A_2 \land b = f(a)$$

$$\implies \exists a \in A \cap A_1 : b = f(a) \land \exists a \in A \cap A_2 : b = f(a)$$

$$\implies b \in f(A_1) \cap f(A_2)$$

 $b \in f(A_1 \cap A_2)$ 

## Theorem (UD Problem 17.5)

$$f:A\to B$$

$$f(A_1 \cap A_2) \subseteq f(A_1) \cap f(A_2)$$

$$b \in f(A_1 \cap A_2)$$

$$\implies \exists a \in A_1 \cap A_2 \cap A : b = f(a)$$

$$\implies \exists a \in A : a \in A_1 \land a \in A_2 \land b = f(a)$$

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$$\implies b \in f(A_1) \cap f(A_2)$$

Q: When does  $f(A_1 \cap A_2) = f(A_1) \cap f(A_2)$  hold?



## Theorem (UD Problem 17.5)

$$f:A\to B$$

$$f(A_1 \cap A_2) \subseteq f(A_1) \cap f(A_2)$$

$$b \in f(A_1 \cap A_2)$$

$$\implies \exists a \in A_1 \cap A_2 \cap A : b = f(a)$$

$$\implies \exists a \in A : a \in A_1 \land a \in A_2 \land b = f(a)$$

$$\implies \exists a \in A \cap A_1 : b = f(a) \land \exists a \in A \cap A_2 : b = f(a)$$

$$\implies b \in f(A_1) \cap f(A_2)$$

Q: When does  $f(A_1 \cap A_2) = f(A_1) \cap f(A_2)$  hold?

f is injective.



Theorem (Properties of f and  $f^{-1}$  (UD Theorem 17.7))

$$f:A\to B$$

- (iii) f and  $f^{-1}$ :
  - (9)  $A_0 \subseteq A \implies A_0 \subseteq f^{-1}(f(A_0))$
  - (10)  $B_0 \supseteq f(f^{-1}(B_0))$

Theorem (Properties of f and  $f^{-1}$  (UD Theorem 17.7))

$$f:A\to B$$

- (iii) f and  $f^{-1}$ :
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  - (10)  $B_0 \supseteq f(f^{-1}(B_0))$

Theorem (UD Problem 17.8)

$$A_0 \subseteq A \implies A_0 \subseteq f^{-1}(f(A_0))$$

$$f:A\to B$$

$$B_0 \supseteq f(f^{-1}(B_0))$$

$$f:A\to B$$

$$B_0 \supseteq f(f^{-1}(B_0))$$

$$b \in f(f^{-1}(B_0))$$

$$f:A\to B$$

$$B_0 \supseteq f(f^{-1}(B_0))$$

$$b \in f(f^{-1}(B_0))$$

$$\implies \exists a \in f^{-1}(B_0) : b = f(a)$$

$$f:A\to B$$

$$B_0 \supseteq f(f^{-1}(B_0))$$

$$b \in f(f^{-1}(B_0))$$

$$\implies \exists a \in f^{-1}(B_0) : b = f(a)$$

$$\implies \exists a \in A : f(a) \in B_0 \land b = f(a)$$

$$f:A\to B$$

$$B_0 \supseteq f(f^{-1}(B_0))$$

$$b \in f(f^{-1}(B_0))$$

$$\implies \exists a \in f^{-1}(B_0) : b = f(a)$$

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$$f:A\to B$$

$$B_0 \supseteq f(f^{-1}(B_0))$$

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Q: When does  $B_0 = f(f^{-1}(B_0))$  hold?



$$f:A\to B$$

$$B_0 \supseteq f(f^{-1}(B_0))$$

$$b \in f(f^{-1}(B_0))$$

$$\implies \exists a \in f^{-1}(B_0) : b = f(a)$$

$$\implies \exists a \in A : f(a) \in B_0 \land b = f(a)$$

$$\implies b \in B_0$$

Q: When does  $B_0 = f(f^{-1}(B_0))$  hold?

f is surjective and  $B_0 \subseteq B$ .



$$f: A \to B$$

$$B_0 \supseteq f(f^{-1}(B_0))$$

$$b \in f(f^{-1}(B_0))$$

$$\implies \exists a \in f^{-1}(B_0) : b = f(a)$$

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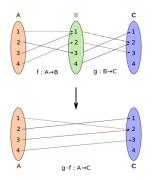
Q: When does  $B_0 = f(f^{-1}(B_0))$  hold?

f is surjective and  $B_0 \subseteq B$ .

$$B_0 \subseteq \operatorname{ran} f$$



# Function Composition



## Definition (Composition)

$$f: A \to B$$
  $g: C \to D$  
$$\operatorname{ran} f \subseteq C$$

The composite function  $g \circ f : A \to D$  is defined as

$$(g \circ f)(x) = g(f(x))$$

#### Definition (Composition)

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  $g: C \to D$  
$$\operatorname{ran} f \subseteq C$$

The composite function  $g \circ f : A \to D$  is defined as

$$(g \circ f)(x) = g(f(x))$$

Why not " $\exists b$ " as below?

## Definition (Composition)

The *composition* of relations R and S is the relation

$$R \circ S = \{(a,c) \mid \exists b : (a,b) \in S \land (b,c) \in R\}$$



Theorem (Associative Property for Composition)

$$f: A \to B \quad g: B \to C \quad h: C \to D$$

$$h\circ (g\circ f)=(h\circ g)\circ f$$

Theorem (Associative Property for Composition)

$$f:A \to B$$
  $g:B \to C$   $h:C \to D$ 

$$h\circ (g\circ f)=(h\circ g)\circ f$$

Proof.

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## Theorem (Associative Property for Composition)

$$f:A \to B$$
  $g:B \to C$   $h:C \to D$ 

$$h\circ (g\circ f)=(h\circ g)\circ f$$

#### Proof.

$$dom h \circ (g \circ f) = dom(h \circ g) \circ f$$

$$(h \circ (g \circ f))(x) = ((h \circ g) \circ f)(x)$$



$$f:A \to B$$
  $g:B \to C$ 

- (i) If f, g are injective, then  $g \circ f$  is injective.
- (ii) If f, g are surjective, then  $g \circ f$  is surjective.
- (iii) If f, g are bijective, then  $g \circ f$  is bijective.

$$f:A \to B$$
  $g:B \to C$ 

- (i) If f, g are injective, then  $g \circ f$  is injective.
- (ii) If f, g are surjective, then  $g \circ f$  is surjective.
- (iii) If f, g are bijective, then  $g \circ f$  is bijective.

## Proof for (i).

$$\forall a_1, a_2 \in A : ((g \circ f)(a_1) = (g \circ f)(a_2) \implies a_1 = a_2)$$



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$$\forall a_1, a_2 \in A : ((g \circ f)(a_1) = (g \circ f)(a_2) \implies a_1 = a_2)$$



$$\forall c \in C : (\exists a \in A : (g \circ f)(a) = c)$$

$$f:A \to B$$
  $g:B \to C$ 

- (i) If  $g \circ f$  is surjective, then g is surjective.
- (ii) If  $g \circ f$  is injective, then f is injective.

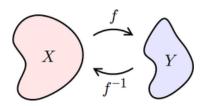
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$$f:A \to B$$
  $g:B \to C$ 

- (i) If  $g \circ f$  is surjective, then g is surjective.
- (ii) If  $g \circ f$  is injective, then f is injective.

You can also prove it by contradiction.

## Inverse Functions



#### Definition (Inverse)

Let  $f: A \to B$  be a bijective function.

The *inverse* of f is the function  $f^{-1}$ :  $B \to A$  defined by

$$f^{-1}(b) = a \iff f(a) = b.$$

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 $f: X \to Y$  is invertible if there exists  $g: Y \to X$  such that

$$f(x) = y \iff g(y) = x.$$

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#### Theorem

f is invertible  $\iff$  f is bijective.

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 $f:X\to Y$  is invertible if there exists  $g:Y\to X$  such that

$$f(x) = y \iff g(y) = x.$$

#### Theorem

f is invertible  $\iff$  f is bijective.

f is invertible  $\implies f$  is bijective

 $f:X \to Y$  is invertible if there exists  $g:Y \to X$  such that

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f is invertible \implies f is bijective g is a function \implies f is injective \operatorname{dom} g = Y \implies f is surjective
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#### Theorem

f is invertible  $\iff$  f is bijective.

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f is invertible \implies f is bijective g is a function \implies f is injective \operatorname{dom} g = Y \implies f is surjective
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f is bijective  $\implies f$  is invertible

 $f:X \to Y$  is invertible if there exists  $g:Y \to X$  such that

$$f(x) = y \iff g(y) = x.$$

#### Theorem

f is invertible  $\iff$  f is bijective.

f is invertible  $\implies f$  is bijective g is a function  $\implies f$  is injective  $\operatorname{dom} g = Y \implies f$  is surjective

f is bijective  $\implies f$  is invertible

To show that g defined above is indeed a function from Y to X.

 $f:X \to Y$  is invertible if there exists  $g:Y \to X$  such that

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#### Theorem

 $g: Y \to X$  is unique.

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#### Theorem

 $g: Y \to X$  is unique.

## By Contradiction

 $f:X \to Y$  is invertible if there exists  $g:Y \to X$  such that

$$f(x) = y \iff g(y) = x.$$

#### Theorem

 $g: Y \to X$  is unique.

## By Contradiction

$$f^{-1} \triangleq g$$

 $f:X \to Y$  is invertible if there exists  $g:Y \to X$  such that

$$f(x) = y \iff g(y) = x.$$

#### Theorem

 $g: Y \to X$  is unique.

## By Contradiction

$$f^{-1} \triangleq g$$

$$f(x) = y \iff f^{-1}(y) = x$$



 $f: A \to B$  is bijective

(i) 
$$f \circ f^{-1} = I_B$$

(ii) 
$$f^{-1} \circ f = I_A$$

(iii)  $f^{-1}$  is bijective.

(iv) 
$$g: B \to A \land f \circ g = I_B \implies g = f^{-1}$$

(v) 
$$g: B \to A \land g \circ f = I_A \implies g = f^{-1}$$

 $f: A \to B$  is bijective

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The ways to find/check  $f^{-1}$ .

 $f: A \to B$  is bijective

(i) 
$$f \circ f^{-1} = I_B$$

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(iii)  $f^{-1}$  is bijective.

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$$g: B \to A \land f \circ g = I_B \implies g = f^{-1}$$

(v) 
$$g: B \to A \land g \circ f = I_A \implies g = f^{-1}$$

The ways to find/check  $f^{-1}$ .

$$g = f^{-1} \circ (f \circ g) = f^{-1} \circ I_B = f^{-1}$$



Theorem (Inverse of Composition (UD Theorem 16.6))

$$f:A \to B$$
  $g:B \to C$  are bijective

- (i)  $g \circ f$  is bijective
- (ii)  $(q \circ f)^{-1} = f^{-1} \circ q^{-1}$

Proof for (ii).

It suffices to check either one of the following identities:

$$(f^{-1} \circ g^{-1}) \circ (g \circ f) = I_A$$

$$(g \circ f) \circ (f^{-1} \circ g^{-1}) = I_C$$



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$$f:A\to B\quad g:B\to A$$

(iii) 
$$f \circ g = I_B \wedge g \circ f = I_A \implies g = f^{-1}$$

$$f:A\to B\quad g:B\to A$$

(iii) 
$$f \circ g = I_B \wedge g \circ f = I_A \implies g = f^{-1}$$

You need to check both identities.

$$f: A \to B \quad g: B \to A$$

(iii) 
$$f \circ g = I_B \wedge g \circ f = I_A \implies g = f^{-1}$$

You need to check both identities.

Theorem (UD Theorem 16.8)

$$f: A \to B$$
  $g: B \to C$ 

- (i) If  $g \circ f$  is surjective, then g is surjective.
- (ii) If  $g \circ f$  is injective, then f is injective.

$$f:A \to B \quad g:B \to A$$

(iii) 
$$f \circ g = I_B \wedge g \circ f = I_A \implies g = f^{-1}$$

You need to check both identities.

Theorem (UD Theorem 16.8)

$$f: A \to B$$
  $g: B \to C$ 

- (i) If  $g \circ f$  is surjective, then g is surjective.
- (ii) If  $g \circ f$  is injective, then f is injective.

First show that f is bijective, and then use Theorem 16.4.

# Thank You!



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