

(四) 集合: 关系 (Relation)

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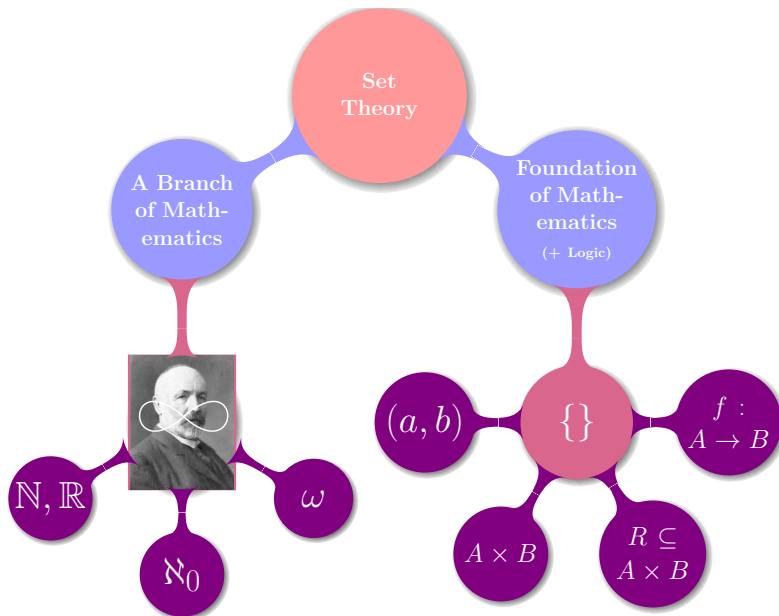


Figure 13. A selection of consistency axioms over an execution $(E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar})$

Auxiliary relations

$\text{sameobj}(e, f) \iff \text{obj}(e) = \text{obj}(f)$

Per-object causality (aka happens-before) order:

$$\text{hbo} = ((\text{ro} \cap \text{sameobj}) \cup \text{vis})^+$$

Causality (aka happens-before) order: $\text{hb} = (\text{ro} \cup \text{vis})^+$

Axioms

EVENTUAL:

$$\forall e \in E. \neg(\exists \text{infinitely many } f \in E. \text{sameobj}(e, f) \wedge \neg(e \xrightarrow{\text{vis}} f))$$

THINAIR: $\text{ro} \cup \text{vis}$ is acyclic

POCV (Per-Object Causal Visibility): $\text{hbo} \subseteq \text{vis}$

POCA (Per-Object Causal Arbitration): $\text{hbo} \subseteq \text{ar}$

COCV (Cross-Object Causal Visibility): $(\text{hb} \cap \text{sameobj}) \subseteq \text{vis}$

COCA (Cross-Object Causal Arbitration): $\text{hb} \cup \text{ar}$ is acyclic

Σ	$\text{ReplicaID} \times \mathcal{P}(\mathbb{Z} \times (\text{ReplicaID} \rightarrow \mathbb{N}_0))$
\hat{a}_k	$\langle r, \hat{a} \rangle$
M	$\mathcal{P}(\mathbb{Z} \times (\text{ReplicaID} \rightarrow \mathbb{N}_0))$
$\text{do}(\text{wr}(a), \langle r, V \rangle, t) =$	$\langle (r, \langle (a, \text{if } s \neq r \text{ then } \max\{v(s) \mid \langle u, v \rangle \in V \rangle$
	$\text{else } \max\{v(s) \mid \langle u, v \rangle \in V \} + 1 \rangle), \perp \rangle$
$\text{do}(\text{rd}, \langle r, V \rangle, t) =$	$\langle (r, V), \{a \mid (a, \cdot) \in V\} \rangle$
$\text{send}(\langle r, V \rangle) =$	$\langle (r, V), V \rangle$
$\text{receive}(\langle r, V \rangle, V') =$	$\langle r, \langle (a, v) \in V'' \mid$
	$v \in \mathbb{Z} \mid \{v' \mid \exists a'. (a', v') \in V'' \wedge a \neq a'\} \rangle$
	$\text{where } V'' = \{(\langle a, \lfloor v' \mid (a, v') \in V \cup V' \rangle) \mid (a, \cdot) \in V \cup V'\} \rangle$
$V[V'][\hat{R}_k] \iff$	$(r = a) \wedge (V[V'] M)$
$V[M] \iff$	$(\langle E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar} \rangle, \text{info}) \iff$
	$(\forall(a, v), (a', v') \in V. (a = a' \implies v = v')) \wedge$
	$(\forall(a, v) \in V. \exists a. v(a) > 0) \wedge$
	$(\forall(a, v) \in V. v \in \mathbb{Z} \mid \{v' \mid \exists a'. (a', v') \in V \wedge a \neq a'\}) \wedge$
	$\exists \text{distinct } e_{a,k}. \{ \langle e \in E \mid \exists a. \text{oper}^r(e) = \text{wr}(a) \} = \{e_{a,k} \mid a \in \text{ReplicaID} \wedge$
	$1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \} \wedge$
	$(\forall a, j, k. (\text{repl}^k(e_{a,k}) = a) \wedge (e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \iff j < k)) \wedge$
	$(\forall(a, v) \in V. \forall q. \{j \mid \text{oper}^r(e_{a,j}) = \text{wr}(a)\} \cup$
	$\{j \mid \exists k, k. e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \wedge \text{oper}^r(e_{a,k}) = \text{wr}(a)\} =$
	$\{j \mid 1 \leq j \leq v(q)\}) \wedge$
	$(\forall e \in E. (\text{oper}(e) = \text{wr}(a)) \wedge$
	$\neg \exists f \in E. \text{oper}(f) = \text{wr}(\cdot) \wedge e \xrightarrow{\text{vis}} f \implies (a, \cdot) \in V)$

the former. The only non-trivial obligation is to show that if

$$V[M] \iff (\langle E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis} \rangle, \text{info}),$$

then

$$\{a \mid (a, \cdot) \in V\} \subseteq \{a \mid \exists e \in E. \text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists a'. \text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{vis}} f\} \quad (13)$$

(the reverse inclusion is straightforwardly implied by R_k).

$$\text{Take } (a, v) \in V. \text{ We have } (a, v) \in V. \exists a. v(a) > 0, \\ v \in \mathbb{Z} \mid \{v' \mid \exists a'. (a', v') \in V \wedge a \neq a'\} \wedge$$

and

$$\forall(a, v) \in V. \forall q. \{j \mid \text{oper}(e_{a,j}) = \text{wr}(a)\} \cup \\ \{j \mid \exists k, k. e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \wedge \text{oper}(e_{a,k}) = \text{wr}(a)\} = \\ \{j \mid 1 \leq j \leq v(q)\}.$$

From this we get that for some $e \in E$

$$\text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists a'. a \neq a' \wedge \\ \text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{vis}} f.$$

Since vis is acyclic, this implies that for some $e' \in E$

$$\text{oper}(e') = \text{wr}(a) \wedge \neg \exists f \in E. \text{oper}(e') = \text{wr}(\cdot) \wedge e' \xrightarrow{\text{vis}} f, \\ \text{which establishes (13).}$$

Let us now discharge RECEIVE . Let $\text{receive}(\langle r, V \rangle, V') =$

$$V'' = \{(\langle a, \lfloor v' \mid \{a, v'\} \in V \cup V' \rangle) \mid (a, \cdot) \in V \cup V'\}; \\ V''' = \{(a, v) \in V'' \mid v \in \mathbb{Z} \mid \{(a', v') \in V'' \mid a \neq a'\}\}.$$

Assume $\langle r, V \rangle [R_k] I, V' [M] J$ and

$$I = ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info}); \\ J = ((E', \text{repl}', \text{obj}', \text{oper}', \text{rval}', \text{ro}', \text{vis}', \text{ar}'), \text{info}'); \\ I \sqcup J = ((E \cup E', \text{repl}^r, \text{obj}^r, \text{oper}^r, \text{rval}^r, \text{ro}^r, \text{vis}^r, \text{ar}^r), \text{info}^r).$$

By agree we have $I \sqcup J \in \text{IEx}$. Then

$$(\forall(a, v), (a', v') \in V. (a = a' \implies v = v')) \wedge \\ (\forall(a, v) \in V. \exists a. v(a) > 0) \wedge \\ (\forall(a, v) \in V. v \in \mathbb{Z} \mid \{v' \mid \exists a'. (a', v') \in V \wedge a \neq a'\}) \wedge \\ \exists \text{distinct } e_{a,k}. \{ \langle e \in E \mid \exists a. \text{oper}^r(e) = \text{wr}(a) \} = \{e_{a,k} \mid a \in \text{ReplicaID} \wedge$$

$$1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \} \wedge \\ (\forall a, j, k. (\text{repl}^k(e_{a,k}) = a) \wedge (e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \iff j < k)) \wedge \\ (\forall(a, v) \in V. \forall q. \{j \mid \text{oper}^r(e_{a,j}) = \text{wr}(a)\} \cup \\ \{j \mid \exists k, k. e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \wedge \text{oper}^r(e_{a,k}) = \text{wr}(a)\} = \\ \{j \mid 1 \leq j \leq v(q)\}) \wedge \\ (\forall e \in E. (\text{oper}^r(e) = \text{wr}(a)) \wedge \\ \neg \exists f \in E. \text{oper}^r(f) = \text{wr}(\cdot) \wedge e \xrightarrow{\text{vis}} f) \implies (a, \cdot) \in V)$$

and

$$(\forall(a, v), (a', v') \in V'. (a = a' \implies v = v')) \wedge \\ (\forall(a, v) \in V'. \exists a. v(a) > 0) \wedge \\ (\forall(a, v) \in V'. v \in \mathbb{Z} \mid \{v' \mid \exists a'. (a', v') \in V' \wedge a \neq a'\}) \wedge \\ \exists \text{distinct } e_{a,k}. \{ \langle e \in E' \mid \exists a. \text{oper}^r(e) = \text{wr}(a) \} = \{e_{a,k} \mid a \in \text{ReplicaID} \wedge$$

$$1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \} \wedge \\ (\forall a, j, k. (\text{repl}^k(e_{a,k}) = a) \wedge (e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \iff j < k)) \wedge \\ (\forall(a, v) \in V'. \forall q. \{j \mid \text{oper}^r(e_{a,j}) = \text{wr}(a)\} \cup \\ \{j \mid \exists k, k. e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \wedge \text{oper}^r(e_{a,k}) = \text{wr}(a)\} = \\ \{j \mid 1 \leq j \leq v(q)\}) \wedge \\ (\forall e \in E'. (\text{oper}^r(e) = \text{wr}(a)) \wedge \\ \neg \exists f \in E'. \text{oper}^r(f) = \text{wr}(\cdot) \wedge e \xrightarrow{\text{vis}'} f) \implies (a, \cdot) \in V').$$

The agree property also implies

$$\forall s, k. 1 \leq k \leq \min \{ \max\{v(s) \mid \exists a. (a, v) \in V\}, \\ \max\{v(s) \mid \exists a. (a, v) \in V'\} \} \implies e_{a,k} = e'_{a,k}.$$

Hence, there exist distinct

$$e''_{a,k} \text{ for } a \in \text{ReplicaID}, k = 1..(\max\{v(s) \mid \exists a. (a, v) \in V''\}), \\ \text{such that}$$

$$(\forall s, k. 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \implies e''_{a,k} = e_{a,k}) \wedge \\ (\forall s, k. 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V'\} \implies e''_{a,k} = e'_{a,k})$$

and

$$(\{e \in E \cup E' \mid \exists a. \text{oper}^r(e) = \text{wr}(a)\} = \\ \{e''_{a,k} \mid a \in \text{ReplicaID} \wedge 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V''\}\}) \wedge \\ (\forall s, j, k. (\text{repl}^k(e''_{a,k}) = a) \wedge (e''_{a,j} \xrightarrow{\text{vis}} e''_{a,k} \iff j < k)).$$

By the definition of V'' and V''' we have

$$\forall(a, v), (a', v') \in V'', (a = a' \implies v = v').$$

We also straightforwardly get

$$\forall(a, v) \in V'. \exists a. v(a) > 0$$

and

$$(\forall(a, v) \in V''. \forall q. \{j \mid \text{oper}^r(e''_{a,j}) = \text{wr}(a)\} \cup \\ \{j \mid \exists k, k. e''_{a,j} \xrightarrow{\text{vis}} e''_{a,k} \wedge \text{oper}^r(e''_{a,k}) = \text{wr}(a)\} = (14) \\ \{j \mid 1 \leq j \leq v(q)\}).$$

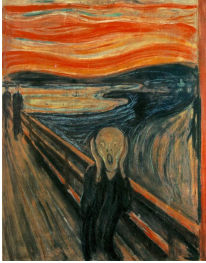


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Figure 17. Optimized state-based multi-value register and its simulation

Σ	$= \text{ReplicaID} \times \mathcal{P}(\mathbb{Z} \times (\text{ReplicaID} \rightarrow \mathbb{N}_0))$
\hat{a}_i	$= \langle r, \hat{a} \rangle$
M	$= \mathcal{P}(\mathbb{Z} \times (\text{ReplicaID} \rightarrow \mathbb{N}_0))$
$\text{do}(\text{wr}(a), \langle r, V \rangle, t) =$	$\{ \langle r, \{ (a, \text{do}, \text{if } s \neq r \text{ then } \max\{v(s) (s, v) \in V \}$
	$\text{else } \max\{v(s) (s, v) \in V \} + 1 \} \rangle \}$
$\text{do}(\text{rd}, \langle r, V \rangle, t) =$	$\{ \langle r, V \rangle, \{ a (a, \cdot) \in V \} \}$
$\text{send}(\langle r, V \rangle) =$	$\langle \langle r, V \rangle, V \rangle$
$\text{receive}(\langle \langle r, V \rangle, V' \rangle) =$	$\langle r, \{ (a, v) \in V'' \}$
	$v \in \bigcup \{ \{ v' \exists a'. (a', v') \in V'' \wedge a \neq a' \} \}$
where $V'' =$	$\{ (a, \bigcup \{ v' (a, v') \in V \cup V' \}) (a, \cdot) \in V \cup V' \}$
$\langle V, V' \rangle \models_{\text{R}_k} I \iff$	$(r = a) \wedge (V \models M \uparrow I)$
$V \models M \uparrow ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info}) \iff$	
	$(\forall (a, v), (a', v') \in V. (a = a' \implies v = v')) \wedge$
	$(\forall (a, v) \in V. \exists a. v(a) > 0) \wedge$
	$(\forall (a, v) \in V. v \in \bigcup \{ \{ v' \exists a'. (a', v') \in V' \wedge a \neq a' \} \} \wedge$
	$\exists \text{distinct } e_{a,k}. \{ \{ e \in E \exists a. \text{oper}^r(e) = \text{wr}(a) \} = \{ e_{a,k} a \in \text{ReplicaID} \wedge$
	$1 \leq k \leq \max\{v(s) \exists a. (a, v) \in V \} \} \wedge$
	$(\forall a, j, k. (\text{repl}^r(e_{a,k}) = a) \wedge (e_{a,j} \xrightarrow{\text{ro}} e_{a,k} \iff j < k)) \wedge$
	$(\forall (a, v) \in V. \forall q. \{ j \text{oper}^r(e_{a,j}) = \text{wr}(a) \} \cup$
	$\{ j \exists a, k. e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \wedge \text{oper}^r(e_{a,k}) = \text{wr}(a) \} =$
	$\{ j 1 \leq j \leq v(q) \} \wedge$
	$(\forall e \in E. (\text{oper}^r(e) = \text{wr}(a)) \wedge$
	$\neg \exists f \in E. (\text{oper}^r(f) = \text{wr}(\cdot) \wedge e \xrightarrow{\text{ro}} f) \implies (a, \cdot) \in V)$

the former. The only non-trivial obligation is to show that if

$$V \models M \uparrow ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}), \text{info}),$$

then

$$\{ a | (a, \cdot) \in V \} \subseteq \{ a | \exists e \in E. \text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists a'. \text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f \} \quad (13)$$

(the reverse inclusion is straightforwardly implied by R_k).

Take $\{ a, b \} \in V$. We have $(a, v) \in V, \exists a. v(a) > 0$,

$$v \in \bigcup \{ \{ v' | \exists a'. (a', v') \in V' \wedge a \neq a' \} \}$$

and

$$\begin{aligned} \forall (a, v) \in V. \forall q. \{ j | \text{oper}(e_{a,j}) = \text{wr}(a) \} \cup \\ \{ j | \exists a, k. e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \wedge \text{oper}(e_{a,k}) = \text{wr}(a) \} = \\ \{ j | 1 \leq j \leq v(q) \}. \end{aligned}$$

From this we get that for some $e \in E$

$$\text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists a'. a' \neq a \wedge$$

$$\text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f.$$

Since vis is acyclic, this implies that for some $e' \in E$

$$\text{oper}(e') = \text{wr}(a) \wedge \neg \exists f \in E. \text{oper}(e') = \text{wr}(\cdot) \wedge e' \xrightarrow{\text{ro}} f,$$

which establishes (13).

Let us now discharge RECEIVE. Let $\text{receive}(\langle r, V \rangle, V') =$

$$\langle r, V'' \rangle, \text{ where } V'' = \{ (a, \bigcup \{ v' | (a, v') \in V \cup V' \}) | (a, \cdot) \in V \cup V' \};$$

$$V'' = \{ (a, v) \in V'' | v \in \bigcup \{ \{ v' | (a', v') \in V'' \wedge a \neq a' \} \} \}.$$

Assume $\langle r, V \rangle \models_{\text{R}_k} I, V' \models M \uparrow J$ and

$$I = ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info});$$

$$J = ((E', \text{repl}', \text{obj}', \text{oper}', \text{rval}', \text{ro}', \text{vis}', \text{ar}'), \text{info}');$$

$$I \sqcup J = ((E \sqcup E', \text{repl}^r, \text{obj}^r, \text{oper}^r, \text{rval}^r, \text{ro}^r, \text{vis}^r, \text{ar}^r), \text{info}^r).$$

By agree we have $I \sqcup J \in \text{IEx}$. Then

$$(\forall (a, v), (a', v') \in V. (a = a' \implies v = v')) \wedge$$

$$(\forall (a, v) \in V. \exists a. v(a) > 0) \wedge$$

$$(\forall (a, v) \in V. v \in \bigcup \{ \{ v' | \exists a'. (a', v') \in V' \wedge a \neq a' \} \} \wedge$$

$$\exists \text{distinct } e_{a,k}. \{ \{ e \in E | \exists a. \text{oper}^r(e) = \text{wr}(a) \} = \{ e_{a,k} | a \in \text{ReplicaID} \wedge$$

$$1 \leq k \leq \max\{v(s) | \exists a. (a, v) \in V \} \} \wedge$$

$$(\forall a, j, k. (\text{repl}^r(e_{a,k}) = a) \wedge (e_{a,j} \xrightarrow{\text{ro}} e_{a,k} \iff j < k)) \wedge$$

$$(\forall (a, v) \in V. \forall q. \{ j | \text{oper}^r(e_{a,j}) = \text{wr}(a) \} \cup$$

$$\{ j | \exists a, k. e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \wedge \text{oper}^r(e_{a,k}) = \text{wr}(a) \} =$$

$$\{ j | 1 \leq j \leq v(q) \} \wedge$$

$$(\forall e \in E. (\text{oper}^r(e) = \text{wr}(a)) \wedge$$

$$\neg \exists f \in E. \text{oper}^r(f) = \text{wr}(\cdot) \wedge e \xrightarrow{\text{ro}} f) \implies (a, \cdot) \in V)$$

and

$$(\forall (a, v), (a', v') \in V'. (a = a' \implies v = v')) \wedge$$

$$(\forall (a, v) \in V'. \exists a. v(a) > 0) \wedge$$

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$$(\forall a, j, k. (\text{repl}^{r'}(e_{a,k}) = a) \wedge (e_{a,j} \xrightarrow{\text{ro}'} e_{a,k} \iff j < k)) \wedge$$

$$(\forall (a, v) \in V'. \forall q. \{ j | \text{oper}^{r'}(e_{a,j}) = \text{wr}(a) \} \cup$$

$$\{ j | \exists a, k. e_{a,j} \xrightarrow{\text{vis}'} e_{a,k} \wedge \text{oper}^{r'}(e_{a,k}) = \text{wr}(a) \} =$$

$$\{ j | 1 \leq j \leq v(q) \} \wedge$$

$$(\forall e \in E'. (\text{oper}^{r'}(e) = \text{wr}(a)) \wedge$$

$$\neg \exists f \in E'. \text{oper}^{r'}(f) = \text{wr}(\cdot) \wedge e \xrightarrow{\text{ro}'} f) \implies (a, \cdot) \in V').$$

The agree property also implies

$$\forall s, k. 1 \leq k \leq \min \{ \max\{v(s) | \exists a. (a, v) \in V \},$$

$$\max\{v(s) | \exists a. (a, v) \in V' \} \} \implies e_{s,k} = e'_{s,k}.$$

Hence, there exist distinct

$$e''_{s,k} \text{ for } s \in \text{ReplicaID}, k = 1..(\max\{v(s) | \exists a. (a, v) \in V''\}),$$

such that

$$(\forall s, k. 1 \leq k \leq \max\{v(s) | \exists a. (a, v) \in V \} \implies e''_{s,k} = e_{s,k}) \wedge$$

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and

$$(\{ e \in E \cup E' | \exists a. \text{oper}^{r,r'}(e) = \text{wr}(a) \} = \{ e''_{a,k} | a \in \text{ReplicaID} \wedge 1 \leq k \leq \max\{v(s) | \exists a. (a, v) \in V'' \} \})$$

$$\wedge (\forall a, j, k. (\text{repl}^{r,r'}(e''_{a,k}) = a) \wedge (e''_{a,j} \xrightarrow{\text{ro}^{r,r'}} e''_{a,k} \iff j < k)).$$

By the definition of V'' and V'' we have

$$\forall (a, v), (a', v') \in V'', (a = a' \implies v = v').$$

We also straightforwardly get

$$\forall (a, v) \in V'', \exists a. v(a) > 0$$

and

$$(\forall (a, v) \in V'', \forall q. \{ j | \text{oper}^{r,r'}(e''_{a,j}) = \text{wr}(a) \} \cup$$

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$$\{ j | 1 \leq j \leq v(q) \} \}.$$





I'm so excited.



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A *relation* R from A to B is a subset of $A \times B$:

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The *Cartesian product* $A \times B$ of A and B is defined as

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Theorem (Ordered Pairs)

$$(a, b) = (c, d) \iff a = c \wedge b = d$$

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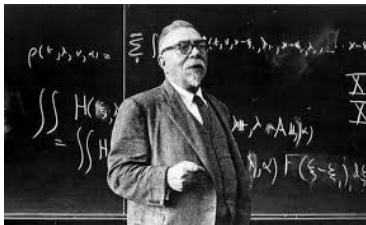
CASE I : $a = b$

CASE II : $a \neq b$



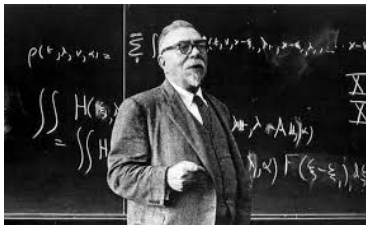
Definition (Ordered Pairs (Norbert Wiener; 1914))

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Definition (n -元组 (n-ary tuples))

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Theorem

$$(x_1, \dots, x_n) = (y_1, \dots, y_n) \iff x_1 = y_1 \wedge \dots x_n = y_n$$

Definition (笛卡尔积 (Cartesian Products))

The *Cartesian product* $A \times B$ of A and B is defined as

$$A \times B \triangleq \{(a, b) \mid a \in A \wedge b \in B\}$$

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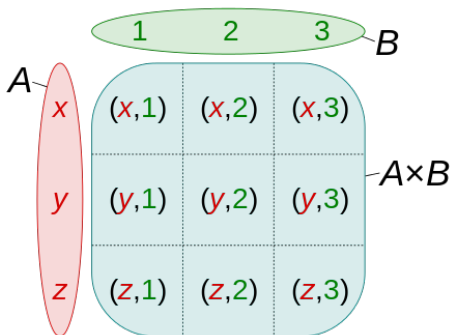
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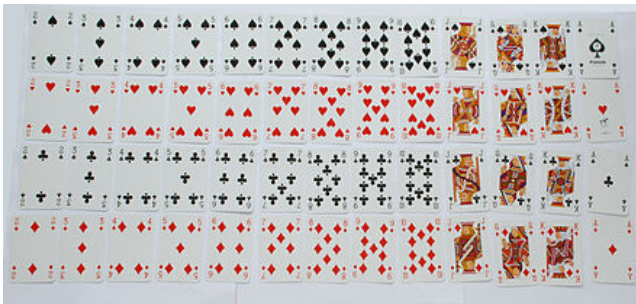
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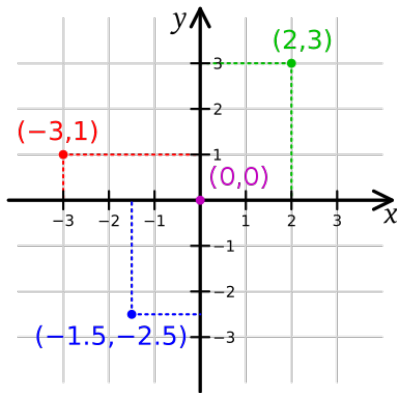
$$X^2 \triangleq X \times X$$



$$\text{Ranks} = \{2, \dots, 10, J, Q, K, A\}$$



$$\text{Suits} = \{\}$$



$$\mathbb{Z}^2 \triangleq \mathbb{Z} \times \mathbb{Z}$$

$$X \times \emptyset = \emptyset \times X$$

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$$(X \times Y) \times Z \neq X \times (Y \times Z)$$

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$$(X \times Y) \times Z \neq X \times (Y \times Z)$$

$$A = \{1\} \quad (A \times A) \times A \neq A \times (A \times A)$$

Theorem (分配律 (Distributivity))

$$A \times (B \cap C) = (A \times B) \cap (A \times C)$$

$$A \times (B \cup C) = (A \times B) \cup (A \times C)$$

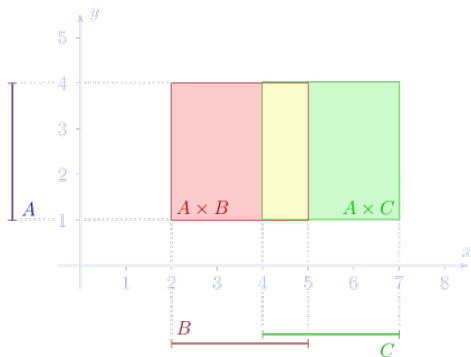
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$$X^n \triangleq \underbrace{X \times \cdots \times X}_n$$

Definition (关系 (Relations))

A *relation* R from A to B is a *subset* of $A \times B$:

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Definition (Notations)

$$(a, b) \in R \quad R(a, b) \quad aRb$$

Definition (Relations)

A *relation* R from A to B is a *subset* of $A \times B$:

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Examples

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- Both $A \times B$ and \emptyset are relations from A to B .

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- ▶ P : the set of people

$$M = \{(a, b) \in P \times P \mid a \text{ is the mother of } b\}$$

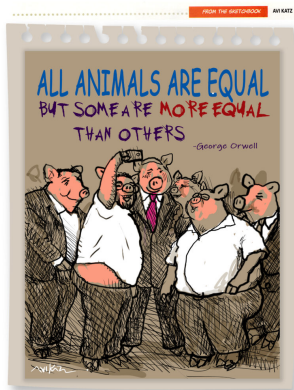
$$B = \{(a, b) \in P \times P \mid a \text{ is the brother of } b\}$$

Important Relations:

Equivalence Relations

Ordering Relations

Functions (next class)



Outline:

3 Definitions

5 Operations

7 Properties

2 Special Relations

3 Definitions

$\text{dom}(R)$ $\text{ran}(R)$ $\text{fld}(R)$

Definition (定义域 (Domain))

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Definition (值域 (Range))

$$\text{ran}(R) = \{b \mid \exists a : (a, b) \in R\}$$

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Definition (域 (Field))

$$\text{fld}(R) = \text{dom}(R) \cup \text{ran}(R)$$

$$R = \{(x, y) \mid x = y\} \subseteq \mathbb{R} \times \mathbb{R}$$

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$$\text{dom}(R) = \mathbb{R} \quad \text{ran}(R) = \mathbb{R} \quad \text{fld}(R) = \mathbb{R}$$

$$R = \{(x, y) \mid x^2 + y^2 = 1\} \subseteq \mathbb{R} \times \mathbb{R}$$

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$$\text{dom}(R) = [1, 1] \quad \text{ran}(R) = [-1, 1] \quad \text{fld}(R) = [-1, 1]$$

Theorem

$$\text{dom}(R) \subseteq \bigcup \bigcup R \quad \text{ran}(R) \subseteq \bigcup \bigcup R$$

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对任意 a ,

$$a \in \text{dom}(R) \tag{1}$$

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$$\implies a \in \bigcup \bigcup R \quad (6)$$

5 Operations

$$R^{-1} \quad R|_X \quad R[X] \quad R^{-1}[Y] \quad R \circ S$$

Definition (逆 (Inverse))

The *inverse* of R is the **relation**

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$$\leq = \{(x, y) \mid x \leq y\} \subseteq \mathbb{R} \times \mathbb{R} \quad \leq^{-1} = \geq \triangleq \{(x, y) \mid x \geq y\}$$

Theorem

$$(R^{-1})^{-1} = R$$

Theorem

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对任意 (a, b) ,

$$(a, b) \in (R^{-1})^{-1} \quad (1)$$

(3)

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对任意 (a, b) ,

$$(a, b) \in (R^{-1})^{-1} \quad (1)$$

$$\iff (b, a) \in R^{-1} \quad (2)$$

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Theorem

$$(R^{-1})^{-1} = R$$

对任意 (a, b) ,

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Theorem (关系的逆)

$$R, S \subseteq A \times B$$

$$(R \cup S)^{-1} = R^{-1} \cup S^{-1}$$

$$(R \cap S)^{-1} = R^{-1} \cap S^{-1}$$

$$(R \setminus S)^{-1} = R^{-1} \setminus S^{-1}$$

Definition (左限制 (Left-Restriction))

Suppose $R \subseteq X \times Y$ and $S \subseteq X$. The *left-restriction* relation of R to S is

$$R|_S = \{(x, y) \in R \mid x \in S\}$$

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Definition (右限制 (Right-Restriction))

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Definition (右限制 (Right-Restriction))

Suppose $R \subseteq X \times Y$ and $S \subseteq Y$. The *right-restriction* relation of R to S is

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Definition (限制 (Restriction))

Suppose $R \subseteq X \times X$ and $S \subseteq X$. The *restriction* relation of R to S is

$$R|_S = \{(x, y) \in R \mid x \in S \wedge y \in S\}$$

example

Definition (像 (Image))

The *image* of X under R is the set

$$R[X] = \{b \in \text{ran}(R) \mid \exists a \in X. (a, b) \in R\}$$

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$$R[a] \triangleq R[\{a\}] = \{b \mid (a, b) \in R\}$$

Definition (逆像 (Inverse Image))

The *inverse image* of Y under R is the set

$$R^{-1}[Y] = \{a \in \text{dom}(R) \mid \exists b \in Y : (a, b) \in R\}$$

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The *inverse image* of Y under R is the set

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$$R \subseteq A \times B \quad X \subseteq A \quad Y \subseteq B$$

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$$R^{-1}[R[X]] \stackrel{?}{=} X$$

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Theorem

$$R[X_1 \cup X_2] = R[X_1] \cup R[X_2]$$

$$R[X_1 \cap X_2] \subseteq R[X_1] \cap R[X_2]$$

$$R[X_1 \setminus X_2] \supseteq R[X_1] \setminus R[X_2]$$

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$$\iff b \in R[X_1] \vee b \in R[X_2]$$

Theorem

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Definition (复合 (Composition; $R \circ S, R; S$))

The *composition* of relations $R \subseteq X \times Y$ and $S \subseteq Y \times Z$ is the *relation*

$$R \circ S = \{(a, c) \mid \exists b : (a, b) \in S \wedge (b, c) \in R\}$$

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$$R = \{(1, 2), (3, 1)\} \quad S = \{(1, 3), (2, 2), (2, 3)\}$$

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Definition (复合 (Composition; $R \circ S, R; S$))

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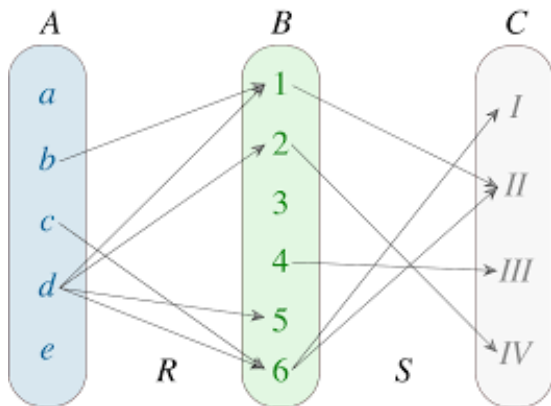
$$R = \{(1, 2), (3, 1)\} \quad S = \{(1, 3), (2, 2), (2, 3)\}$$

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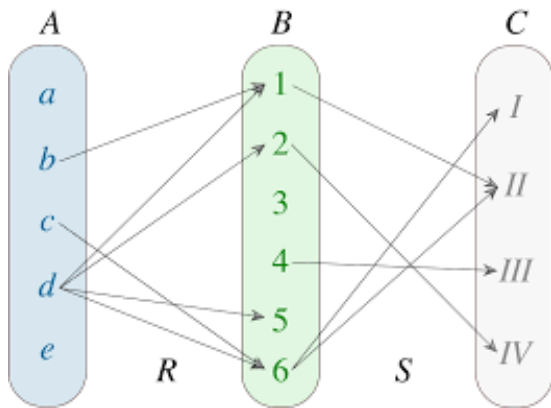
$$S \circ R = \{(1, 2), (1, 3), (3, 3)\}$$

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$$|R \circ S| =$$



$$|R \circ S| = 7$$

$$\leq \circ \leq =$$

$$\leq \circ \leq = \leq$$

$$\leq \circ \leq = \leq$$

$$\leq \circ \geq =$$

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$$\leq \circ \geq = \mathbb{R} \times \mathbb{R}$$

Theorem

$$(R \circ S)^{-1} = S^{-1} \circ R^{-1}$$

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对任意 (a, b) ,

$$(a, b) \in (R \circ S)^{-1} \quad (1)$$

(5)

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$$(5)$$

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Theorem

$$(R \circ S) \circ T = R \circ (S \circ T)$$

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$$(R \circ S) \circ T = R \circ (S \circ T)$$

对任意 (a, b) ,

$$(a, b) \in (R \circ S) \circ T \quad (1)$$

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Theorem

$$(R \circ S) \circ T = R \circ (S \circ T)$$

对任意 (a, b) ,

$$(a, b) \in (R \circ S) \circ T \quad (1)$$

$$\iff \exists c. \left((a, c) \in T \wedge (c, b) \in R \circ S \right) \quad (2)$$

$$\iff \exists c. \left((a, c) \in T \wedge (\exists d : (c, d) \in S \wedge (d, b) \in R) \right) \quad (3)$$

Theorem

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对任意 (a, b) ,

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Theorem

$$(R \circ S) \circ T = R \circ (S \circ T)$$

对任意 (a, b) ,

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“舅姥爷”：姥姥/外婆的兄弟

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“舅姥爷”：妈妈的舅舅

Theorem (关系的复合)

$$(X \cup Y) \circ Z = (X \circ Z) \cup (Y \circ Z)$$

$$(X \cap Y) \circ Z \subseteq (X \circ Z) \cap (Y \circ Z)$$

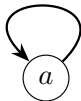
7 Properties

$$R \subseteq X \times X$$

Definition (自反的 (Reflexive))

$R \subseteq X \times X$ is *reflexive* if

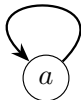
$$\forall a \in X : (a, a) \in R$$



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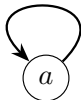


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三角形上的全等关系是自反的

Definition (反自反 (Irreflexive))

$R \subseteq X \times X$ is *irreflexive* if

$$\forall a \in X. (a, a) \notin R$$

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$$A = \{1, 2, 3\} \quad R \subseteq A \times A$$

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Definition (对称 (Symmetric))

$R \subseteq X \times X$ is *symmetric* if

$$\forall a, b \in X. aRb \rightarrow bRa$$



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Definition (反对称 (AntiSymmetric))

$R \subseteq X \times X$ is *antisymmetric* if

$$\forall a, b \in X. (aRb \wedge bRa) \rightarrow a = b$$

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\geq *is* antisymmetric

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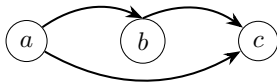
$$\{(1, 1), (2, 2), (3, 3)\}$$

$$\{(1, 2), (2, 1), (2, 3)\}$$

Definition (传递的 (Transitive))

$R \subseteq X \times X$ is *transitive* if

$$\forall a, b, c \in X. (aRb \wedge bRc \rightarrow aRc)$$



$$A = \{1, 2, 3\} \quad R \subseteq A \times A$$

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$$\{(1, 2), (2, 3), (3, 1)\}$$

$$\{(1, 3)\}$$

$$\emptyset$$

Definition (连通的 (Connex))

$R \subseteq X \times X$ is *connex* if

$$\forall a, b \in X. (aRb \vee bRa)$$

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$R \subseteq X \times X$ is *connex* if

$$\forall a, b \in X. (aRb \vee bRa)$$

Definition (三分的 (Trichotomous))

$R \subseteq X \times X$ is *trichotomous* if

$$\forall a, b \in X. (\text{exactly one of } aRb, bRa, \text{ or } a = b \text{ holds})$$

Theorem

$$R \text{ is reflexive} \iff I \subseteq R$$

$$I = \{(a, a) \in A \times A \mid a \in A\}$$

Theorem

$$R \text{ is reflexive} \iff I \subseteq R$$

$$I = \{(a, a) \in A \times A \mid a \in A\}$$

Theorem

$$R \text{ is symmetric} \iff R^{-1} = R$$

Theorem

$$R \text{ is transitive} \iff R \circ R \subseteq R$$

Theorem

$$R \text{ is transitive} \iff R \circ R \subseteq R$$

$$R = \{(1, 2), (2, 3), (1, 3), (4, 4)\}$$

Theorem

R is symmetric and transitive $\iff R = R^{-1} \circ R$

Equivalence Relations

Definition (Equivalence Relation)

$R \subseteq X \times X$ is an *equivalence relation* on X iff R is

- ▶ reflexive: $\forall a \in X. aRa$
- ▶ symmetric: $\forall a, b \in X. (aRb \leftrightarrow bRa)$
- ▶ transitive: $\forall a, b, c \in X. (aRb \wedge bRc \rightarrow aRc)$

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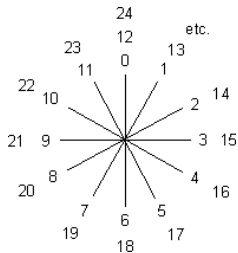
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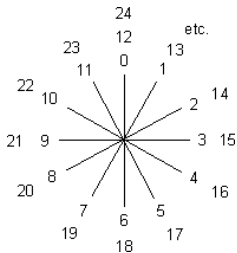
Why are equivalence relations important?

Equivalence Relations as Abstractions

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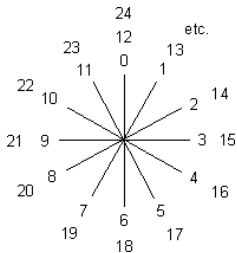


Equivalence Relations as Abstractions



“全国人民代表大会 **各省**代表团”

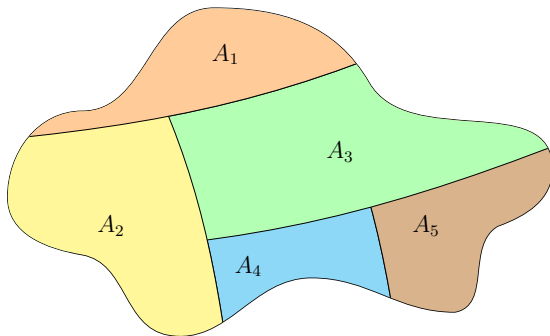
Equivalence Relations as Abstractions



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Equivalence Relation \iff Partition

Partition



“不空、不漏、不重”

Definition (划分 (Partition))

A family of sets $\Pi = \{A_\alpha \mid \alpha \in I\}$ is a *partition* of X if

(i) (不空)

$$\forall \alpha \in I. A_\alpha \neq \emptyset$$

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$$\bigcup_{\alpha \in I} A_\alpha = X$$

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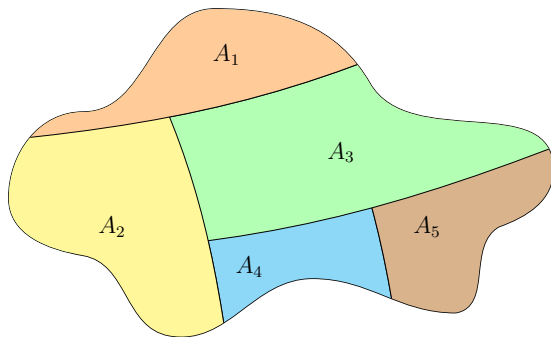
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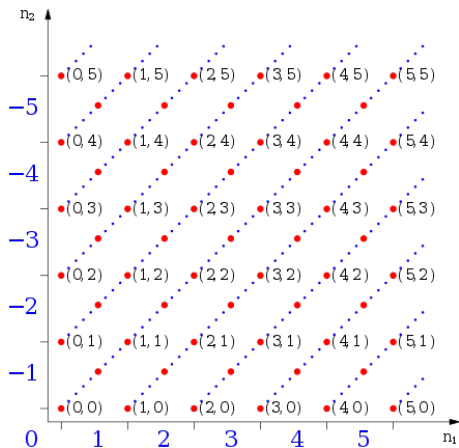
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$$[(1, 3)]_{\sim} = \{(0, 2), (1, 3), (2, 4), (3, 5), \dots\} \triangleq -2 \in \mathbb{Z}$$



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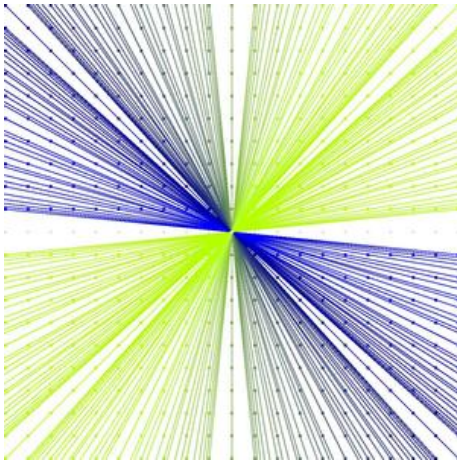
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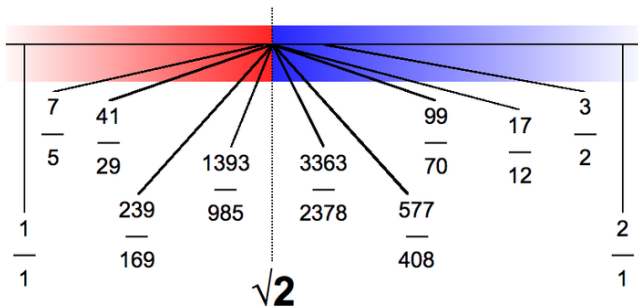
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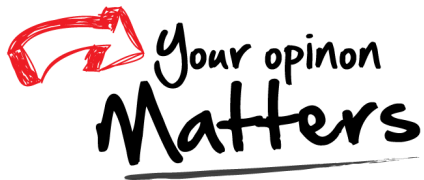
如何用有理数定义实数?

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Dedekind Cut (戴德金分割)

Thank
You!



Office 926

hfwei@nju.edu.cn