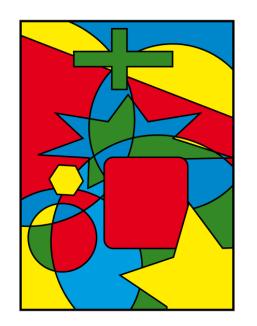
(十一) 图论: 平面图与图着色 (Planarity and Coloring)

魏恒峰

hfwei@nju.edu.cn

2021年05月20日



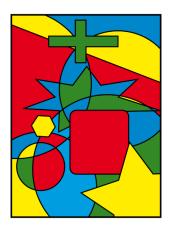


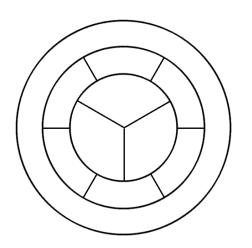
Theorem (Four Color (Map) Theorem (informal))

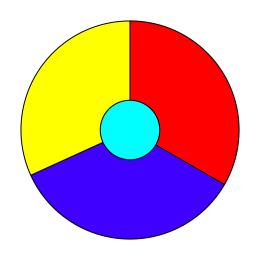
Every map can be colored with only four colors such that no two adjacent regions share the same color.

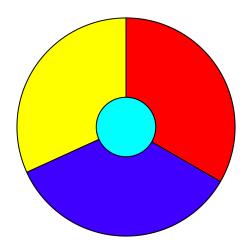
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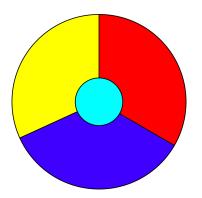
Every region is adjacent to the other 3 regions.

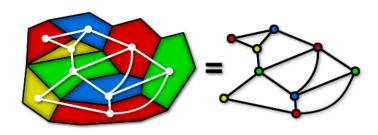
What if we have a map which contains 5 regions so that every region is adjacent to the other 4 regions?

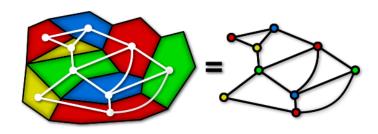
What if we have a map which contains 5 regions so that every region is adjacent to the other 4 regions?



What does Four Color Theorem to do with Graph Theory?







Every map produces a planar graph.

Theorem (Four Color Theorem (Kenneth Appel, Wolfgang Haken; 1976)) Every simple planar graph is 4-colorable.



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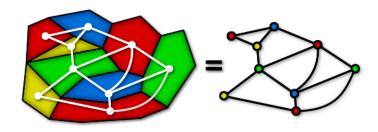
I will *not* show its proof (which I don't understand either)!

Every simple planar graph is 6-colorable.

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Theorem (Percy John Heawood (1890))

Every simple planar graph is 5-colorable.



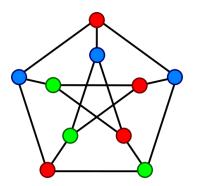
Graph Coloring Problem

Definition (k-Colorable (k-可着色的))

If G is a connected undirected graph without loops, then G is k-colorable if its vertices can be colored in k colors so that adjacent vertices have different colors.

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The Petersen graph is ≥ 3 -colorable.

Definition (k-Chromatic (k-色数的))

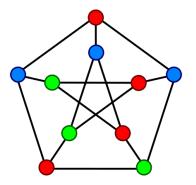
If G is k-colorable, but is not (k-1)-colorable, then G is k-chromatic.

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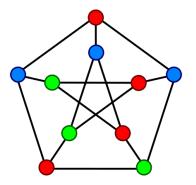


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The Petersen graph is 3-chromatic.

(It contains an odd cycle.)

Lemma

 $A\ graph\ is\ 1$ -colorable iff

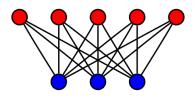
Lemma

A graph is 1-colorable iff it is the the empty graph with 1 vertex.

A graph is 2-colorable iff

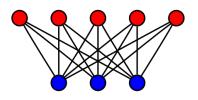
A graph is 2-colorable iff it is bipartite.

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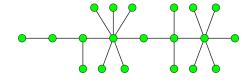


 $K_{5,3}$

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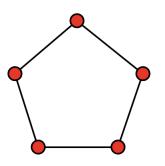
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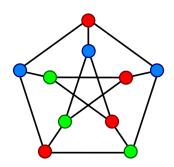


Trees are bipartite.

The 3-coloring problem (i.e., testing whether a graph is 3-colorable or not) is NP-complete (HARD!).

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Theorem (Four Color Theorem (Kenneth Appel, Wolfgang Haken; 1976)) Every simple planar graph is 4-colorable.

Let G be a simple connected graph. Then,

$$\chi(G) \le \Delta(G) + 1.$$

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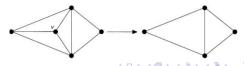
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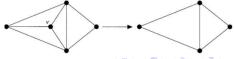
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Induction Hypothesis: Suppose that for any simple connected graph G with n vertices,

$$\chi(G) \le \Delta(G) + 1.$$

Induction Step: Consider a simple connected graph G with $\deg(v) \leq \Delta(G)$ n+1 vertices.

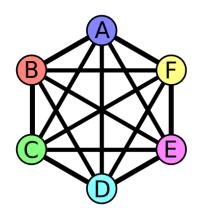


Let G be a <u>simple</u> connected graph other than a complete graph or an odd cycle. Then

$$\chi(G) \le \Delta(G)$$
.

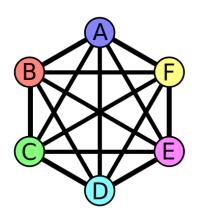
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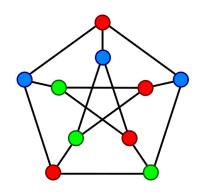
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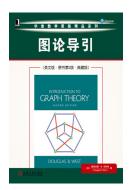


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Theorem 5.1.22

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Definition (Planar Graph (平面图))

A planar graph is a graph that can be drawn in the plane without edge crossings.

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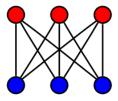




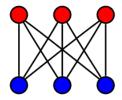
Theorem (K. Wagner (1936); I. Fáry (1948))

Every simple planar graph can be drawn with straight lines.

The utility graph $K_{3,3}$ is non-planar.



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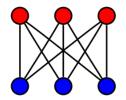








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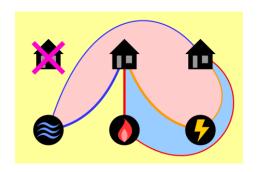


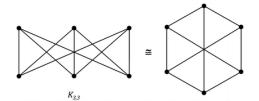


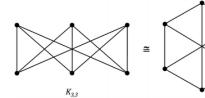


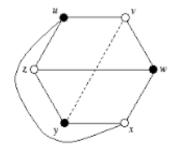


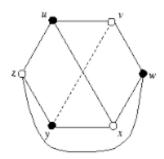


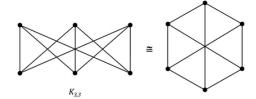


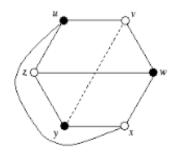


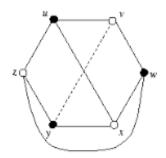






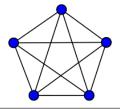




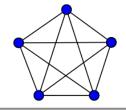


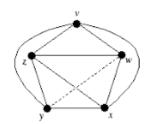
$$\operatorname{cr}(K_{3,3}) = 1$$

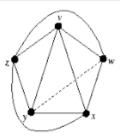
 K_5 is non-planar.



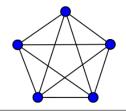
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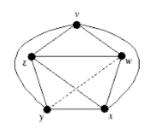


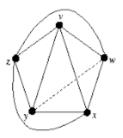




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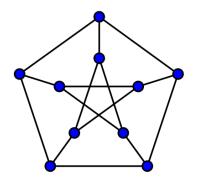


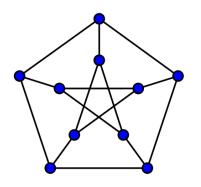


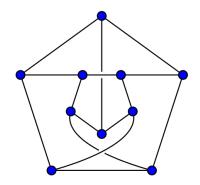
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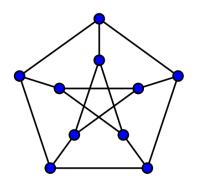


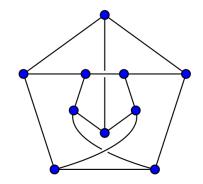
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 $\operatorname{cr}(\operatorname{Petersen Graph}) = 2$

A graph is planar iff it contains no subgraph homeomorphic to K_5 or $K_{3,3}$.

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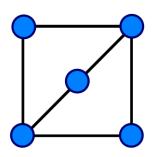
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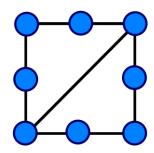


"The K in K_5 stands for Kazimierz, and the K in $K_{3,3}$ stands for Kuratowski."

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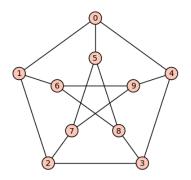
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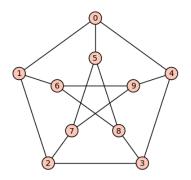


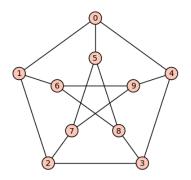


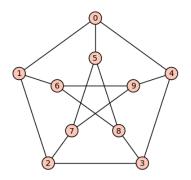
Definition (Homeomorphic)

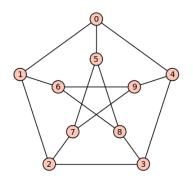
Two graphs are homeomorphic if one can be obtained from another by inserting or contracting vertices of degree 2.

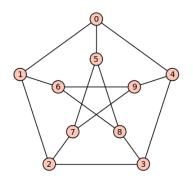


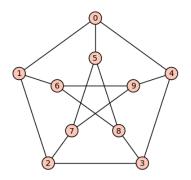


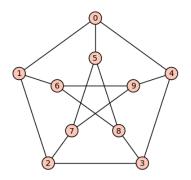


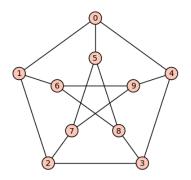


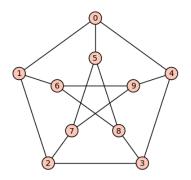


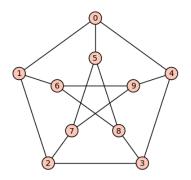


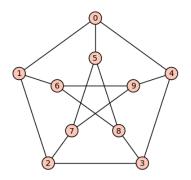


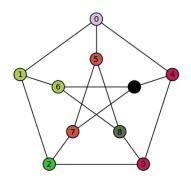




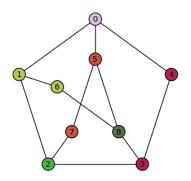




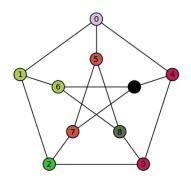




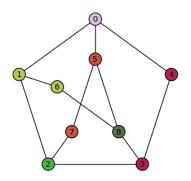
The Petersen graph is non-planar.



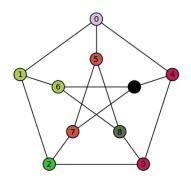
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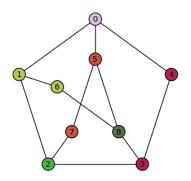
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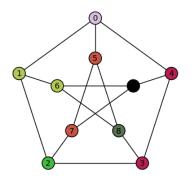
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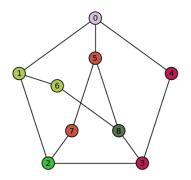


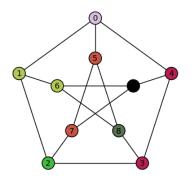
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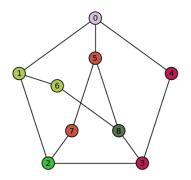


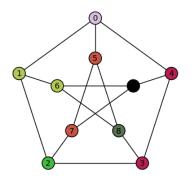
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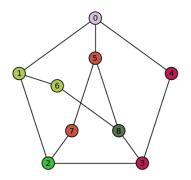


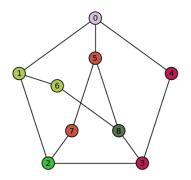




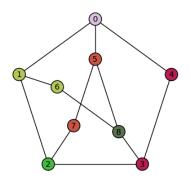




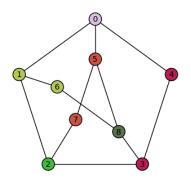




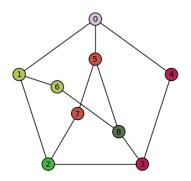
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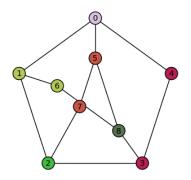
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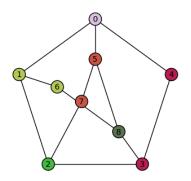
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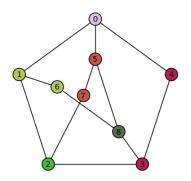
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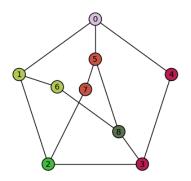


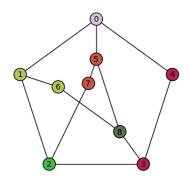
The Petersen graph is non-planar.

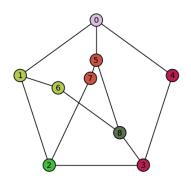


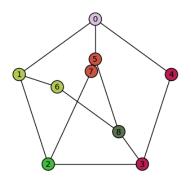
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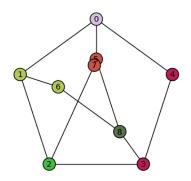


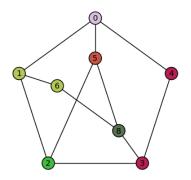


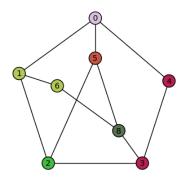


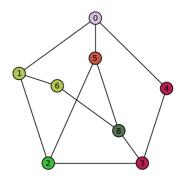


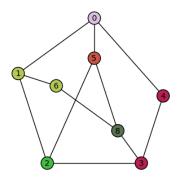


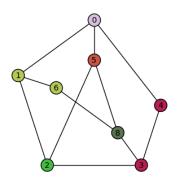


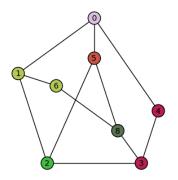




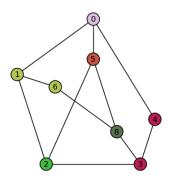




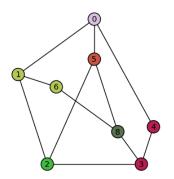


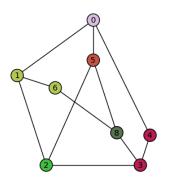


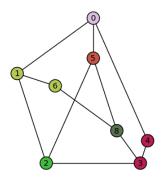
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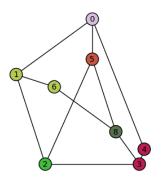


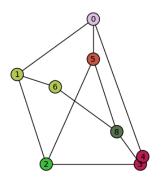
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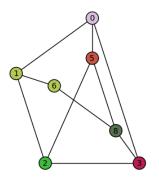


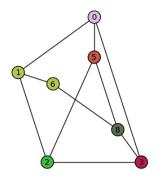




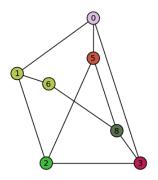




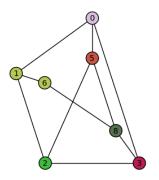


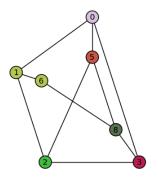


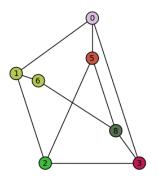
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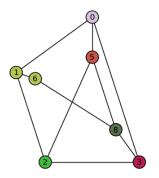


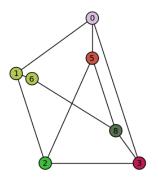
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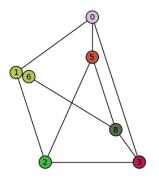


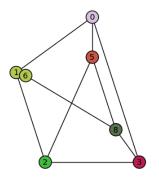


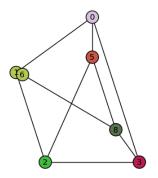


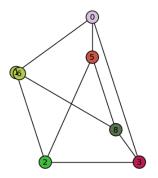


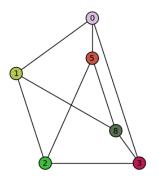


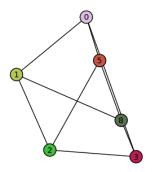


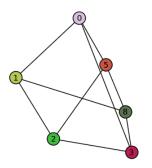


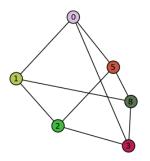


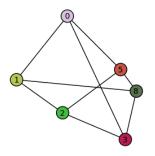


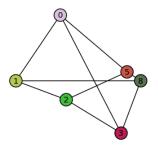


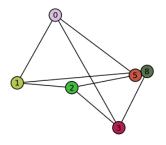


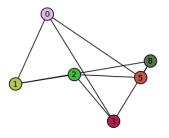


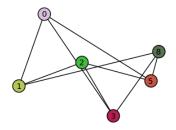


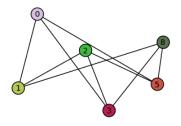


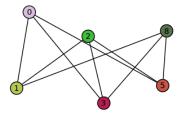


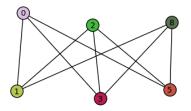


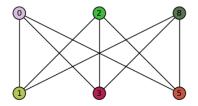












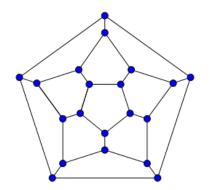
A planar graph should not has too many edges.

Let G be a plane drawing of a connected planar graph, and let n, m, and f denote respectively the number of vertices, edges, and faces of G.

$$n-m+f=2$$

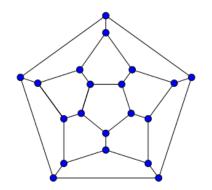
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$$n - m + f = 20 - 30 + 12 = 2$$

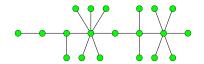
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(十一) 平面图与图着色

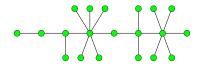
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$$n - m + f = n - (n - 1) + 1 = 2$$

Basis Step: m = 0. We have n = 1 and f = 1.

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Induction Hypothesis: It holds for plane graphs with m edges.

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Consider G' = G - e.

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Therefore,

$$n-m+f=2$$



Let G be a simple connected planar graph with $n \geq 3$ vertices and m edges. Then

$$m \le 3n - 6.$$

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$$n-m+f=2$$

$$3f \leq 2m$$

Double Counting:

each face is bounded by ≥ 3 edges; each edge bounds 2 faces

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 K_5 is non-planar.

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$$m \le 3n - 6$$

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$$m \le 3n - 6$$

$$10 \le 3 \times 5 - 6$$

$$m \le 3n - 6$$

$$m \le 3n - 6$$

$$9 \leq 3 \times 6 - 6$$

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Let G be a simple connected planar graph with $n \geq 3$ vertices and m edges. If G has no triangles, then

$$m \le 2n - 4.$$

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$$n - m + f = 2$$

$$4f \leq 2m$$

 $K_{3,3}$ is non-planar.

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$$m \leq 2n-4$$

 $K_{3,3}$ is non-planar.

$$m \le 2n - 4$$

$$9 \le 2 \times 6 - 4$$

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Every simple planar graph contains a vertex of degree ≤ 5 .

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Every simple planar graph is 6-colorable.

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By induction on the number of vertices.

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Induction Hypothesis: Suppose that it holds for simple planar graphs with n > 1 vertices.

Every simple planar graph is 6-colorable.

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Basis Step: n = 1. Trivial.

Induction Hypothesis: Suppose that it holds for simple planar graphs

with $n \ge 1$ vertices.

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G contains a vertex v of degree ≤ 5 .

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G contains a vertex v of degree ≤ 5 .

G' = G - v is 6-colorable.

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G contains a vertex v of degree ≤ 5 .

G' = G - v is 6-colorable.

Thus, G is 6-colorable.



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G contains a vertex v of degree ≤ 5 .

G' = G - v is 6-colorable.

Thus, G is 6-colorable. $(\deg(v) \le 5)$

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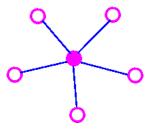
vertices.

G contains a vertex v of degree ≤ 5 .

G' = G - v is 5-colorable.

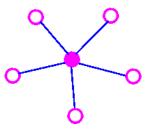
If deg(v) < 5, G is 5-colorable.

Now assume that deg(v) = 5.



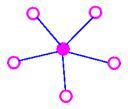
 $\{v, v_1\}, \{v, v_2\}, \{v, v_3\}, \{v, v_4\}, \{v, v_5\}$

Now assume that deg(v) = 5.

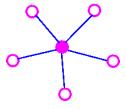


$$\{v, v_1\}, \{v, v_2\}, \{v, v_3\}, \{v, v_4\}, \{v, v_5\}$$

If v_1 , v_2 , v_3 , v_4 , and v_5 uses < 5 colors, we are done.

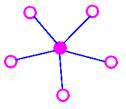


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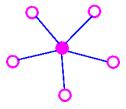
Suppose that there is $no v_1 \sim v_3$ path in G' = G - v, all of whose vertices are colored red or blue.

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Suppose that there is $no v_1 \sim v_3$ path in G' = G - v, all of whose vertices are colored red or blue.

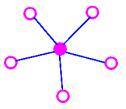
Let S be the set of all red or blue vertices of G - v connected to v_1 by a red-blue path.



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Let S be the set of all red or blue vertices of G - v connected to v_1 by a red-blue path.

$$v_1 \in S, \quad v_3 \notin S$$



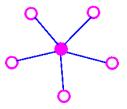
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Interchange the colors of the vertices in S

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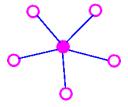
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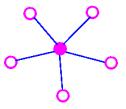
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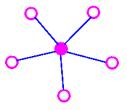
Coloring v red produces a 5-coloring of G.





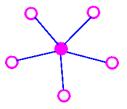
There cannot be $v_2 \sim v_4$ path in G' = G - v, all of whose vertices are colored green or purple.

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There cannot be $v_2 \sim v_4$ path in G' = G - v, all of whose vertices are colored green or purple.

(Otherwise, G' and thus G is non-planar.)



There cannot be $v_2 \sim v_4$ path in G' = G - v, all of whose vertices are colored green or purple.

(Otherwise, G' and thus G is non-planar.)

By similar argument, G is 5-colorable.

Thank You!



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