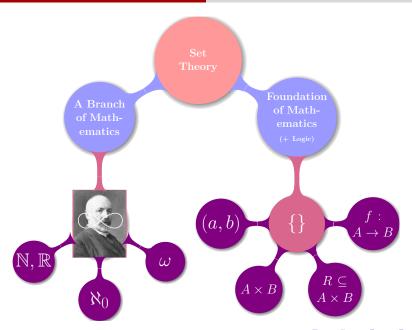
(四) 集合: 基本概念与运算 (Set Theory)

魏恒峰

hfwei@nju.edu.cn

2021年04月01日





2 / 20

我们将集合理解为任何将我们思想中那些确定而彼此独立的对象放在一起而形成的聚合。

— Georg Cantor《超穷数理论基础》



Georg Cantor (1845–1918)

4/20

我们将集合理解为任何将我们思想中那些确定而彼此独立的对 象放在一起而形成的聚合。

— Georg Cantor《超穷数理论基础》

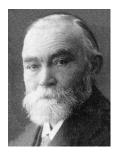


Georg Cantor (1845–1918)

Theorem (概括原则)

For any predicate $\psi(x)$, there is a set X:

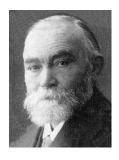
$$X = \{x \mid \psi(x)\}$$



Gottlob Frege (1848–1925)



"Basic Laws of Arithmetic" (1893 & 1903)



Gottlob Frege (1848–1925)



"Basic Laws of Arithmetic" (1893 & 1903)

对于一个科学工作者来说,最不幸的事情莫过于: 当他的工作接近完成时,却发现那大厦的基础已经动摇。

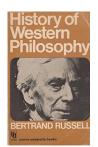
— 《附录二》,1902



Bertrand Russell (1872–1970)

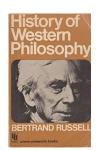


Bertrand Russell (1872–1970)





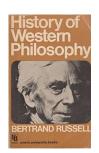
Bertrand Russell (1872–1970)

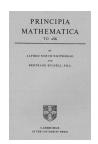






Bertrand Russell (1872–1970)







For any predicate $\psi(x)$, there is a set X:

$$X = \{x \mid \psi(x)\}.$$

8/20

For any predicate $\psi(x)$, there is a set X:

$$X = \{x \mid \psi(x)\}.$$

Definition (Russell's Paradox)

$$\psi(x) \triangleq "x \notin x"$$

For any predicate $\psi(x)$, there is a set X:

$$X = \{x \mid \psi(x)\}.$$

Definition (Russell's Paradox)

$$\psi(x) \triangleq "x \notin x"$$

$$R = \{x \mid x \notin x\}$$

8/20

For any predicate $\psi(x)$, there is a set X:

$$X = \{x \mid \psi(x)\}.$$

Definition (Russell's Paradox)

$$\psi(x) \triangleq "x \notin x"$$

$$R = \{x \mid x \notin x\}$$

 $Q: R \in R$?

8/20

Q: 既然朴素集合论存在悖论, 你是如何做作业的?







Theorem (Russell's Paradox)

 $\{x \mid x \notin x\}$ is **not** a set.

Axiomatic Set Theory (ZFC)



Ernst Zermelo (1871–1953)



Abraham Fraenkel (1891–1965)

11/20

2021 年 04 月 01 日

First-order Language for Sets $\mathcal{L}_{Set} = \{\in\}$

```
Parentheses: (,)
   Variables: x, y, z, \cdots
Connectives: \land, \lor, \neg, \rightarrow, \leftrightarrow
 Quantifiers: \forall, \exists
    Equality: =
  Constants:
   Functions:
  Predicates: \in
```

First-order Language for Sets $\mathcal{L}_{Set} = \{\in\}$

```
Parentheses: (,)
   Variables: x, y, z, \cdots
Connectives: \land, \lor, \neg, \rightarrow, \leftrightarrow
 Quantifiers: \forall, \exists
    Equality: =
  Constants:
   Functions:
  Predicates: \in
```

First-order Language for Sets $\mathcal{L}_{Set} = \{\in\}$

```
Parentheses: (,)
   Variables: x, y, z, \cdots
Connectives: \land, \lor, \neg, \rightarrow, \leftrightarrow
 Quantifiers: \forall, \exists
    Equality: =
  Constants:
   Functions:
  Predicates: ∈
```

Everything we consider in \mathcal{L}_{Set} is a set.

Q: What is " \in "?

Q: What are "sets"?

Q: What is " \in "?

Q: What are "sets"?

We don't define them directly.

We only describe their properties in an axiomatic way.



- (1) To draw a straight line from any point to any point.
- (2) To extend a finite straight line continuously in a straight line.
- (3) To describe a circle with any center and radius.
- (4) That all right angles are equal to one another.
- (5) The parallel postulate.

Definition $(\not\in)$

$$x \notin A \triangleq \neg (x \in A).$$

Definition $(\not\in)$

$$x \notin A \triangleq \neg (x \in A).$$

Definition (\subseteq)

$$A \subseteq B \triangleq \forall x (x \in A \implies x \in B)$$

Definition (" $\bigcup A$ " (Arbitrary Union))

 $\bigcup A \triangleq$ the unique set obtained by unioning A.

Definition (" $\bigcup A$ " (Arbitrary Union))

 $\bigcup A \triangleq$ the unique set obtained by unioning A.

Theorem

$$\bigcup \{x,y\} = x \cup y.$$

Definition (" $\bigcup A$ " (Arbitrary Union))

 $\bigcup A \triangleq$ the unique set obtained by unioning A.

Theorem

$$\bigcup \{x,y\} = x \cup y.$$

Theorem

$$\bigcup \emptyset = \emptyset.$$

For any nonempty set A, there is a unique set B such that

 $\forall x \ (x \in B \iff x \ belongs \ to \ every \ member \ of \ A).$

$$\forall x \ (x \in B \iff \forall y \in A(x \in y)).$$

For any nonempty set A, there is a unique set B such that

 $\forall x \ (x \in B \iff x \ belongs \ to \ every \ member \ of \ A).$

$$\forall x \ (x \in B \iff \forall y \in A(x \in y)).$$

Proof.

Let c be a fixed member of A.

For any nonempty set A, there is a unique set B such that

 $\forall x \ (x \in B \iff x \ belongs \ to \ every \ member \ of \ A).$

$$\forall x \ (x \in B \iff \forall y \in A(x \in y)).$$

Proof.

Let c be a fixed member of A.

 $\bigcap A \triangleq \{x \in c \mid x \text{ belongs to every other member of } A\}.$



For any nonempty set A, there is a unique set B such that

 $\forall x \ (x \in B \iff x \ belongs \ to \ every \ member \ of \ A).$

$$\forall x \ (x \in B \iff \forall y \in A(x \in y)).$$

Proof.

Let c be a fixed member of A.

 $\bigcap A \triangleq \{x \in c \mid x \text{ belongs to every other member of } A\}.$



 $\bigcap \emptyset$ is **not** a set.

Theorem (No Universal Set)

There is no universal set.

There is no universal set.

Proof.

There is no universal set.

$$\frac{\exists B}{\exists B} (\forall x (x \in B)).$$

Proof.

$$B = \{ x \in A \mid x \notin x \}$$

There is no universal set.

$$\frac{\exists B}{\exists B} (\forall x (x \in B)).$$

Proof.

$$B = \{ x \in A \mid x \notin x \}$$

$$B \in B \iff B \in A \land B \not\in B$$

There is no universal set.

$$\frac{\exists B}{\exists B} (\forall x (x \in B)).$$

Proof.

$$B = \{x \in A \mid x \notin x\}$$

$$B \in B \iff B \in A \land B \notin B$$

$$B \notin A$$

There is no universal set.

$$\frac{1}{B}B(\forall x(x \in B)).$$

Proof.

$$B = \{x \in A \mid x \notin x\}$$

$$B \in B \iff B \in A \land B \not\in B$$

$$B \notin A$$

$$B \in A \implies (B \in B \iff B \notin B)$$

$$u \setminus v \triangleq \{x \in u \mid x \notin v\}.$$

$$u \setminus v \triangleq \{x \in u \mid x \notin v\}.$$

Theorem (No "Absolute Complement")

For any set B, the following is **not** a set:

$$\{x \mid x \notin B\}.$$

$$u \setminus v \triangleq \{x \in u \mid x \notin v\}.$$

Theorem (No "Absolute Complement")

For any set B, the following is **not** a set:

$$\{x \mid x \notin B\}.$$

Proof.

By Contradiction.

$$u \setminus v \triangleq \{x \in u \mid x \notin v\}.$$

Theorem (No "Absolute Complement")

For any set B, the following is **not** a set:

$$\{x \mid x \notin B\}.$$

Proof.

By Contradiction.

 $\{x \mid x \notin B\} \cup B$ would be a universal set.



$$u \setminus v \triangleq \{x \in u \mid x \notin v\}.$$

Theorem (No "Absolute Complement")

For any set B, the following is **not** a set:

$$\{x \mid x \notin B\}.$$

Proof.

By Contradiction.

 $\{x \mid x \notin B\} \cup B$ would be a universal set.

We can never look for objects "not in B" unless we know where to start looking.

— UD (Chapter 6; Page 64)

Definition (Power Set Axiom)

For any set A, there is a set whose members are the subsets of A:

$$\forall A \; \exists B \; \forall x (x \in B \iff x \subseteq A).$$

Definition (Power Set Axiom)

For any set A, there is a set whose members are the subsets of A:

$$\forall A \; \exists B \; \forall x (x \in B \iff x \subseteq A).$$

Definition (" $\mathcal{P}(A)$ ")

 $\mathcal{P}(A) \triangleq \text{ the unique power set of } A.$

Definition (Power Set Axiom)

For any set A, there is a set whose members are the subsets of A:

$$\forall A \; \exists B \; \forall x (x \in B \iff x \subseteq A).$$

Definition (" $\mathcal{P}(A)$ ")

 $\mathcal{P}(A) \triangleq \text{ the unique power set of } A.$

The is *not* correct!

$$\mathcal{P}(A) \triangleq \{x \mid x \subseteq A\}$$



Thank You!



Office 926 hfwei@nju.edu.cn