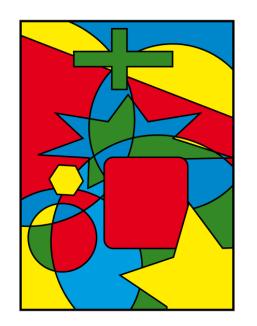
(十一) 图论: 平面图与图着色 (Planarity and Coloring)

魏恒峰

hfwei@nju.edu.cn

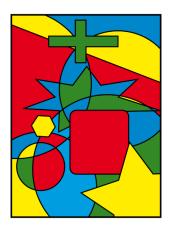
2021年05月20日





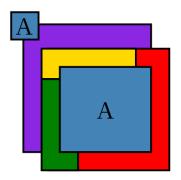
Every map can be colored with only four colors such that no two adjacent regions share the same color.

Every map can be colored with only four colors such that no two adjacent regions share the same color.



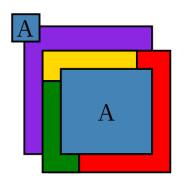
Every map can be colored with only four colors such that no two adjacent regions share the same color.

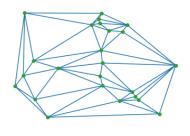
Every map can be colored with only four colors such that no two adjacent regions share the same color.



Regions should be contiguous.

Every map can be colored with only four colors such that no two adjacent regions share the same color.





Adjacent regions share a segment.

Regions should be contiguous.

2021 年 05 月 20 日

Every map can be colored with only four colors such that no two adjacent regions share the same color.

Every map can be colored with only four colors such that no two adjacent regions share the same color.



Every map can be colored with only four colors such that no two adjacent regions share the same color.



What if we have a map in which every region is adjacent to ≥ 5 other regions?

5/41

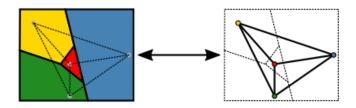
Every map can be colored with only four colors such that no two adjacent regions share the same color.

Every map can be colored with only four colors such that no two adjacent regions share the same color.

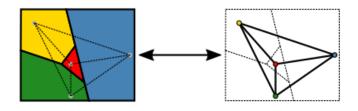
What does it to do with GRAPH THEORY?

Every map can be colored with only four colors such that no two adjacent regions share the same color.

Every map can be colored with only four colors such that no two adjacent regions share the same color.



Every map can be colored with only four colors such that no two adjacent regions share the same color.



Theorem (Four Color Theorem (Appel and Haken, 1976))

Every simple planar graph is 4-colorable.

Theorem (Four Color Theorem (Appel and Haken, 1976))

Every simple planar graph is 4-colorable.

Theorem (Four Color Theorem (Appel and Haken, 1976)) Every simple planar graph is 4-colorable.

I will *not* show its proof (which I don't understand either)!



Theorem

Every simple planar graph is 6-colorable.

Theorem

Every simple planar graph is 6-colorable.

Theorem (Percy John Heawood)

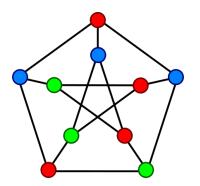
Every simple planar graph is 5-colorable.

Definition (k-Colorable (k-可着色的))

If G is a connected undirected graph without loops, then G is k-colorable if its vertices can be colored in $\leq k$ colors so that adjacent vertices have different colors.

Definition (k-Colorable (k-可着色的))

If G is a connected undirected graph without loops, then G is k-colorable if its vertices can be colored in $\leq k$ colors so that adjacent vertices have different colors.



The Petersen graph is ≥ 3 -colorable.

Definition (k-Chromatic (k-色数的))

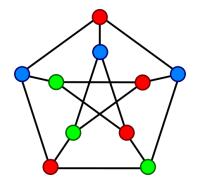
If G is k-colorable, but is not (k-1)-colorable, then G is k-chromatic.

$$\chi(G)=k$$

Definition (k-Chromatic (k-色数的))

If G is k-colorable, but is not (k-1)-colorable, then G is k-chromatic.

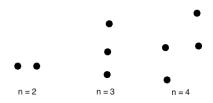
$$\chi(G) = k$$



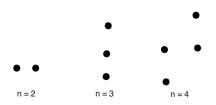
The Petersen graph is 3-chromatic.

The empty graph (null graph) is 1-chromatic.

The empty graph (null graph) is 1-chromatic.



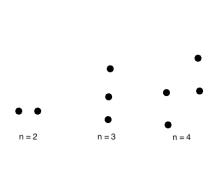
The empty graph (null graph) is 1-chromatic.

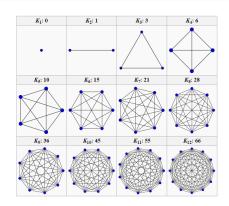


Lemma

 K_n is n-chromatic.

The empty graph (null graph) is 1-chromatic.





Lemma

 K_n is n-chromatic.

Theorem

 $A \ graph \ is \ 2\text{-}colorable \ iff$

Theorem

A graph is 2-colorable iff it is bipartite.

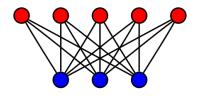
A graph is 2-colorable iff it is bipartite.

A graph is 2-colorable iff it is bipartite.

A graph is bipartite iff it does not contain any odd cycles.

A graph is 2-colorable iff it is bipartite.

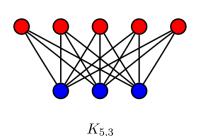
A graph is bipartite iff it does not contain any odd cycles.

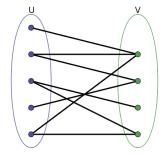


 $K_{5,3}$

A graph is 2-colorable iff it is bipartite.

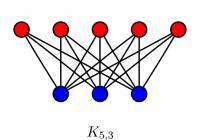
A graph is bipartite iff it does not contain any odd cycles.

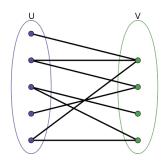




A graph is 2-colorable iff it is bipartite.

A graph is bipartite iff it does not contain any odd cycles.





Lemma

Every tree is bipartite and is thus 2-colorable.

Lemma (Characterization of Bipartite Graphs (\Longrightarrow))

If a graph is bipartite, then it does not contain any odd cycles.

(十一) 平面图与图着色

15/41

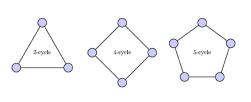
Lemma (Characterization of Bipartite Graphs (⇐=))

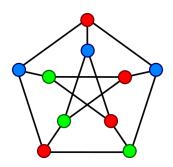
If a graph does not contain any odd cycles, then it is bipartite.

alg

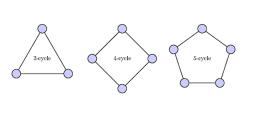
The 3-coloring problem (i.e., testing whether a graph is 3-colorable or not) is NP-complete.

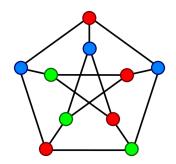
The 3-coloring problem (i.e., testing whether a graph is 3-colorable or not) is NP-complete.





The 3-coloring problem (i.e., testing whether a graph is 3-colorable or not) is NP-complete.





Theorem

The 4-coloring problem is also NP-complete.

Let G be a simple connected graph. Then,

$$\chi(G) \le \Delta(G) + 1.$$

Let G be a simple connected graph. Then,

$$\chi(G) \le \Delta(G) + 1.$$

By induction on the number of vertices of G.

Let G be a simple connected graph. Then,

$$\chi(G) \le \Delta(G) + 1.$$

By induction on the number of vertices of G.

Basis Step: n = 1. $\chi(G) = 1$, $\Delta(G) = 0$.

Let G be a simple connected graph. Then,

$$\chi(G) \le \Delta(G) + 1.$$

By induction on the number of vertices of G.

Basis Step:
$$n = 1$$
. $\chi(G) = 1$, $\Delta(G) = 0$.

Induction Hypothesis: Suppose that for any simple connected graph G with n vertices,

$$\chi(G) \le \Delta(G) + 1.$$

Let G be a simple connected graph. Then,

$$\chi(G) \le \Delta(G) + 1.$$

By induction on the number of vertices of G.

Basis Step:
$$n = 1$$
. $\chi(G) = 1$, $\Delta(G) = 0$.

Induction Hypothesis: Suppose that for any simple connected graph G with n vertices,

$$\chi(G) \le \Delta(G) + 1.$$

Induction Step: Consider a simple connected graph G with n+1 vertices.

19/41

Let G be a simple connected graph. Then,

$$\chi(G) \le \Delta(G) + 1.$$

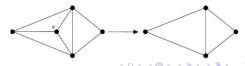
By induction on the number of vertices of G.

Basis Step:
$$n = 1$$
. $\chi(G) = 1$, $\Delta(G) = 0$.

Induction Hypothesis: Suppose that for any simple connected graph G with n vertices,

$$\chi(G) \le \Delta(G) + 1.$$

Induction Step: Consider a simple connected graph G with n+1 vertices.



Let G be a simple connected graph. Then,

$$\chi(G) \le \Delta(G) + 1.$$

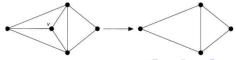
By induction on the number of vertices of G.

Basis Step:
$$n = 1$$
. $\chi(G) = 1$, $\Delta(G) = 0$.

Induction Hypothesis: Suppose that for any simple connected graph G with n vertices,

$$\chi(G) \le \Delta(G) + 1.$$

Induction Step: Consider a simple connected graph G with $\deg(v) \leq \Delta(G)$ n+1 vertices.



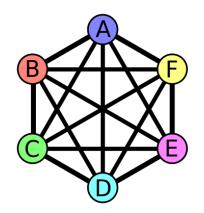
alg

Let G be a <u>simple</u> connected graph other than a complete graph or an odd cycle. Then

$$\chi(G) \le \Delta(G)$$
.

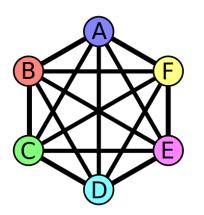
Let G be a simple connected graph other than a complete graph or an odd cycle. Then

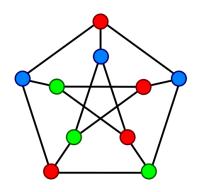
$$\chi(G) \le \Delta(G)$$
.



Let G be a <u>simple</u> connected graph other than a complete graph or an odd cycle. Then

$$\chi(G) \leq \Delta(G)$$
.



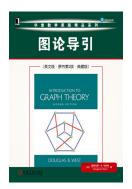


Let G be a <u>simple</u> connected graph other than a complete graph or an odd cycle. Then

$$\chi(G) \le \Delta(G)$$
.

Let G be a simple connected graph other than a complete graph or an odd cycle. Then

$$\chi(G) \leq \Delta(G)$$
.



Theorem 5.1.22

Definition (Planar Graph (平面图))

A planar graph is a graph that can be drawn in the plane without edge crossings.

Definition (Planar Graph (平面图))

A planar graph is a graph that can be drawn in the plane without edge crossings.







Definition (Planar Graph (平面图))

A planar graph is a graph that can be drawn in the plane without edge crossings.



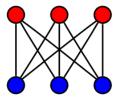




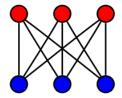
Theorem (K. Wagner (1936); I. Fáry (1948))

Every simple planar graph can be drawn with straight lines.

The utility graph $K_{3,3}$ is non-planar.



The utility graph $K_{3,3}$ is non-planar.







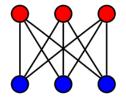








The utility graph $K_{3,3}$ is non-planar.







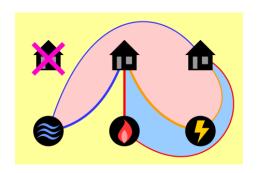


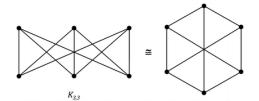


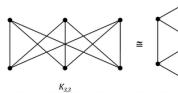


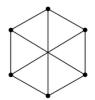


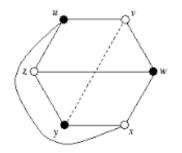


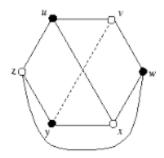


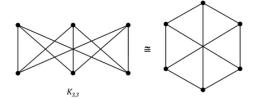


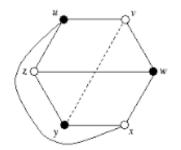


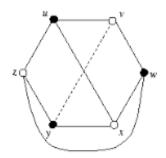






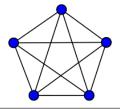




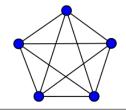


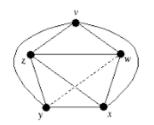
$$\operatorname{cr}(K_{3,3}) = 1$$

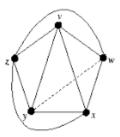
 K_5 is non-planar.



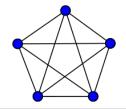
 K_5 is non-planar.

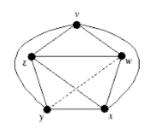


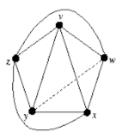




 K_5 is non-planar.



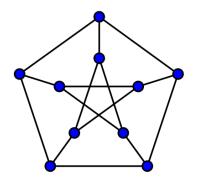




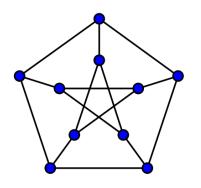
 $\operatorname{cr}(K_5) = 1$

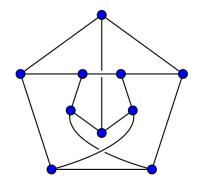


The Petersen graph is non-planar.

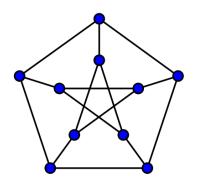


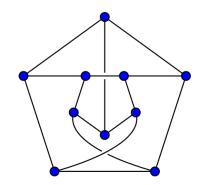
The Petersen graph is non-planar.





The Petersen graph is non-planar.





cr(Petersen Graph) = 2

A graph is planar iff it contains no subgraph homeomorphic to K_5 or $K_{3,3}$.

A graph is planar iff it contains no subgraph homeomorphic to K_5 or $K_{3,3}$.



A graph is planar iff it contains no subgraph homeomorphic to K_5 or $K_{3,3}$.



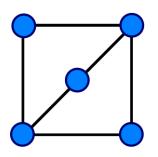
"The K in K_5 stands for Kazimierz, and the K in $K_{3,3}$ stands for Kuratowski."

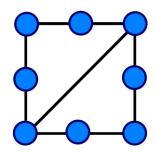
Theorem (Kazimierz Kuratowski, 1930)

A graph is planar iff it contains no subgraph homeomorphic to K_5 or $K_{3,3}$.

Theorem (Kazimierz Kuratowski, 1930)

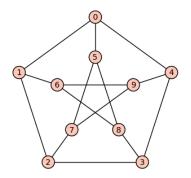
A graph is planar iff it contains no subgraph homeomorphic to K_5 or $K_{3,3}$.

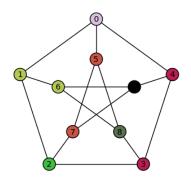


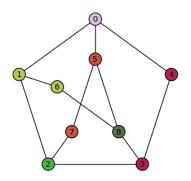


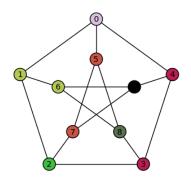
Definition (Homeomorphic)

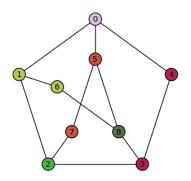
Two graphs are homeomorphic if one can be obtained from another by inserting or contracting vertices of degree 2.

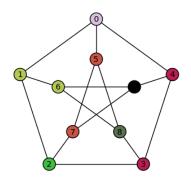


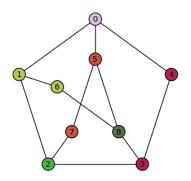


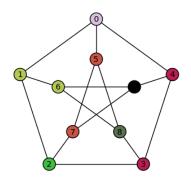


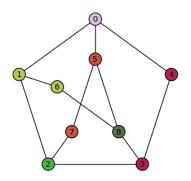


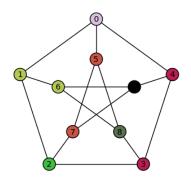


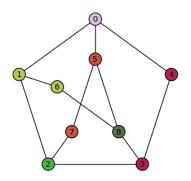


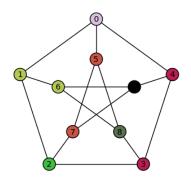


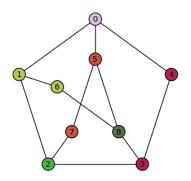


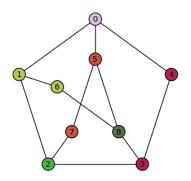


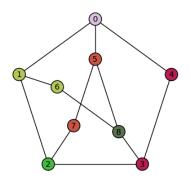


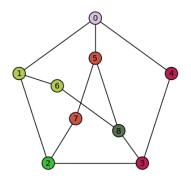


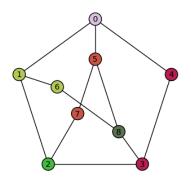


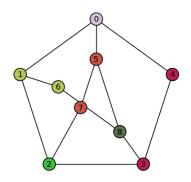


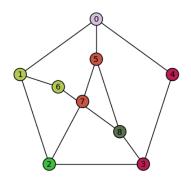


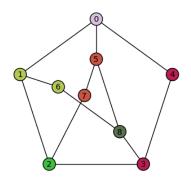


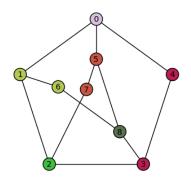


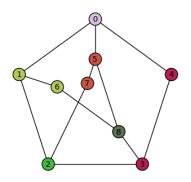


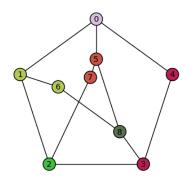


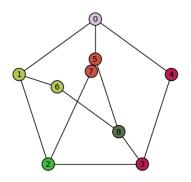


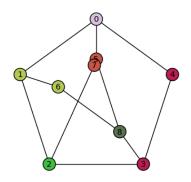


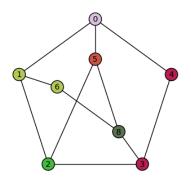


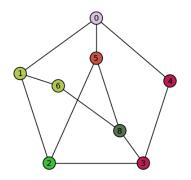


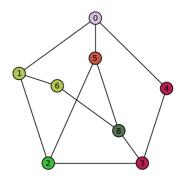


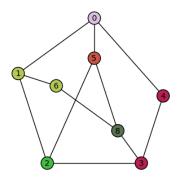


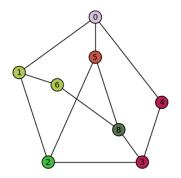


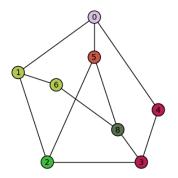


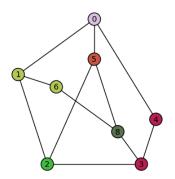


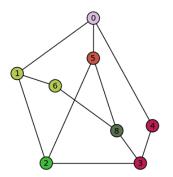


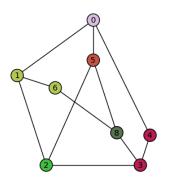


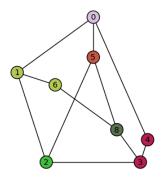


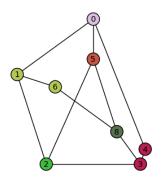


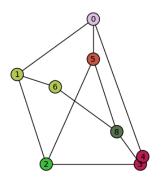


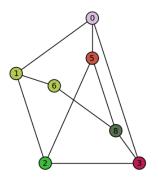


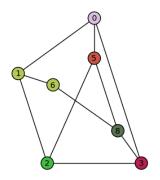


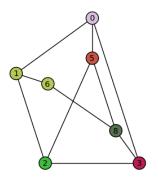


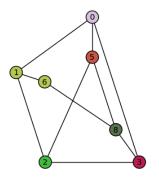




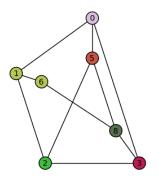




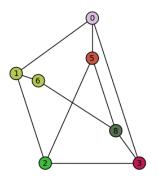


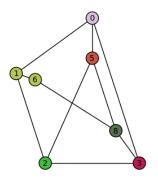


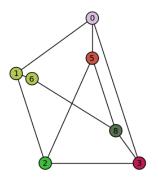
The Petersen graph is non-planar.

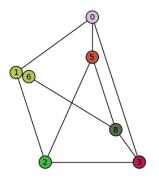


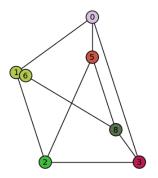
31/41

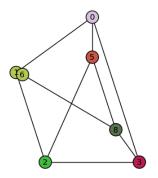


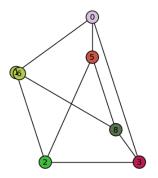


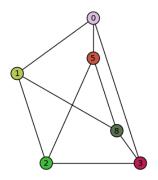


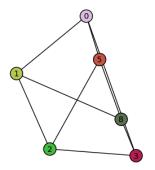


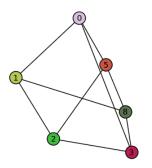


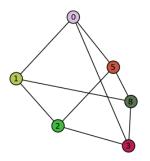


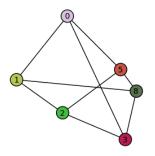


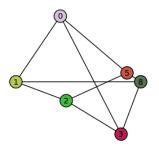


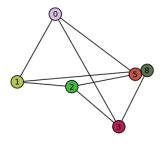


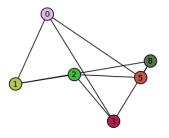


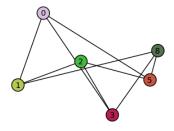


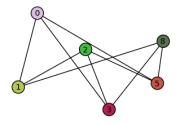


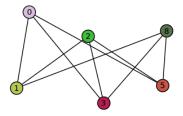


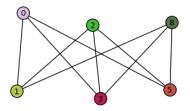


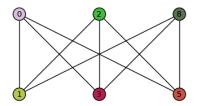












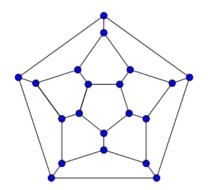
A planar graph should not has too many edges.

Let G be a plane drawing of a connected planar graph, and let n, m, and f denote respectively the number of vertices, edges, and faces of G.

$$n - m + \mathbf{f} = 2$$

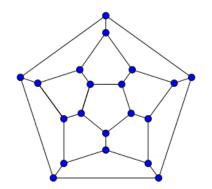
Let G be a plane drawing of a connected planar graph, and let n, m, and f denote respectively the number of vertices, edges, and faces of G.

$$n - m + f = 2$$



Let G be a plane drawing of a connected planar graph, and let n, m, and f denote respectively the number of vertices, edges, and faces of G.

$$n - m + \mathbf{f} = 2$$



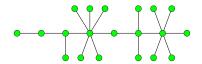
$$n-m+f=20-30+12=2$$

Let G be a plane drawing of a connected planar graph, and let n, m, and f denote respectively the number of vertices, edges, and faces of G.

$$n - m + f = 2$$

Let G be a plane drawing of a connected planar graph, and let n, m, and f denote respectively the number of vertices, edges, and faces of G.

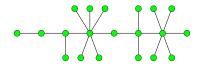
$$n - m + f = 2$$



(十一) 平面图与图着色

Let G be a plane drawing of a connected planar graph, and let n, m, and f denote respectively the number of vertices, edges, and faces of G.

$$n - m + f = 2$$



$$n - m + f = n - (n - 1) + 1 = 2$$

Basis Step: m = 0. We have n = 1 and f = 1.

35/41

Basis Step: m = 0. We have n = 1 and f = 1.

Induction Hypothesis: It holds for plane graphs with $\leq m$ edges.

Basis Step: m = 0. We have n = 1 and f = 1.

Induction Hypothesis: It holds for plane graphs with $\leq m$ edges.

Induction Step: Consider a plane graph G with m+1 edges.

Basis Step: m = 0. We have n = 1 and f = 1.

Induction Hypothesis: It holds for plane graphs with $\leq m$ edges.

Induction Step: Consider a plane graph G with m+1 edges.

If G is a tree, we are done.

Basis Step: m = 0. We have n = 1 and f = 1.

Induction Hypothesis: It holds for plane graphs with $\leq m$ edges.

Induction Step: Consider a plane graph G with m+1 edges.

If G is a tree, we are done.

Otherwise, G contains a cycle.

Basis Step: m = 0. We have n = 1 and f = 1.

Induction Hypothesis: It holds for plane graphs with $\leq m$ edges.

Induction Step: Consider a plane graph G with m+1 edges.

If G is a tree, we are done.

Otherwise, G contains a cycle.

Let e be an edge in some cycle of G.

Basis Step: m = 0. We have n = 1 and f = 1.

Induction Hypothesis: It holds for plane graphs with $\leq m$ edges.

Induction Step: Consider a plane graph G with m+1 edges.

If G is a tree, we are done.

Otherwise, G contains a cycle.

Let e be an edge in some cycle of G.

Consider G' = G - e.

Basis Step: m = 0. We have n = 1 and f = 1.

Induction Hypothesis: It holds for plane graphs with $\leq m$ edges.

Induction Step: Consider a plane graph G with m+1 edges.

If G is a tree, we are done.

Otherwise, G contains a cycle.

Let e be an edge in some cycle of G.

Consider G' = G - e.

$$n - (m-1) + (f-1) = 2$$

Basis Step: m = 0. We have n = 1 and f = 1.

Induction Hypothesis: It holds for plane graphs with $\leq m$ edges.

Induction Step: Consider a plane graph G with m+1 edges.

If G is a tree, we are done.

Otherwise, G contains a cycle.

Let e be an edge in some cycle of G.

Consider G' = G - e.

$$n - (m-1) + (f-1) = 2$$

Therefore,

$$n-m+f=2$$



35/41

Let G be a simple connected planar graph with $n \geq 3$ vertices and m edges. Then

$$m \le 3n - 6.$$

Let G be a simple connected planar graph with $n \geq 3$ vertices and m edges. Then

$$m \leq 3n - 6$$
.

$$n - m + f = 2$$

Let G be a simple connected planar graph with $n \geq 3$ vertices and m edges. Then

$$m \leq 3n - 6$$
.

$$n - m + f = 2$$

$$3f \leq 2m$$

Let G be a simple connected planar graph with $n \geq 3$ vertices and m edges. Then

$$m \leq 3n - 6$$
.

$$n-m+f=2$$

$$3f \leq 2m$$

Double Counting:

each face is bounded by ≥ 3 edges; each edge bounds 2 faces

36/41

 K_5 is non-planar.

37 / 41

 K_5 is non-planar.

$$m \le 3n - 6$$

 K_5 is non-planar.

$$m \le 3n - 6$$

$$10 \le 3 \times 5 - 6$$

37/41

$$m \leq 3n-6$$

$$m \le 3n - 6$$

$$9 \leq 3 \times 6 - 6$$

$$m \le 3n - 6$$

$$9 \le 3 \times 6 - 6$$



Let G be a simple connected planar graph with $n \geq 3$ vertices and m edges. If G has no triangles, then

$$m \le 2n - 4$$
.

Let G be a simple connected planar graph with $n \geq 3$ vertices and m edges. If G has no triangles, then

$$m \leq 2n - 4$$
.

$$n - m + f = 2$$

Let G be a simple connected planar graph with $n \geq 3$ vertices and m edges. If G has no triangles, then

$$m \leq 2n - 4$$
.

$$n - m + f = 2$$

$$4f \leq 2m$$

$$m \leq 2n-4$$

$$m \le 2n - 4$$

$$9 \le 2 \times 6 - 4$$

Every simple planar graph contains a vertex of degree ≤ 5 .

Every simple planar graph contains a vertex of degree ≤ 5 .

$$m \le 3n - 6$$

Every simple planar graph contains a vertex of degree ≤ 5 .

$$m \leq 3n - 6$$

Suppose that, by contradiction, $\delta(G) \geq 6$.

Every simple planar graph contains a vertex of degree ≤ 5 .

$$m \leq 3n - 6$$

Suppose that, by contradiction, $\delta(G) \geq 6$.

$$6n \le 2m$$

Every simple planar graph contains a vertex of degree ≤ 5 .

$$m \leq 3n - 6$$

Suppose that, by contradiction, $\delta(G) \geq 6$.

$$3n \le m \le 3n - 6$$

Thank You!



Office 302

Mailbox: H016

hfwei@nju.edu.cn