

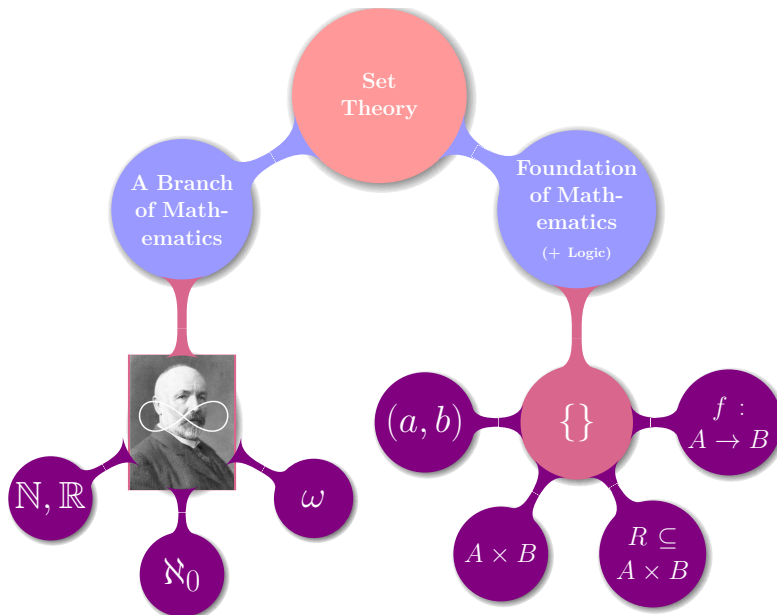
(六) 集合: 函数 (Functions)

魏恒峰

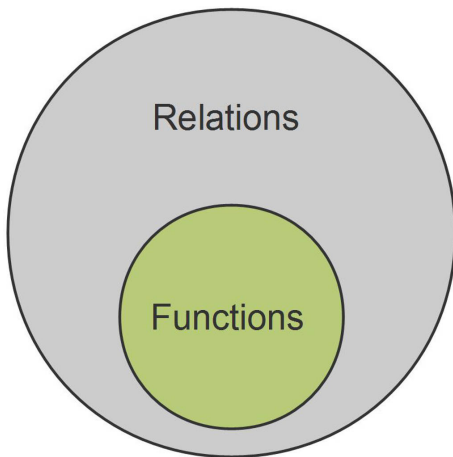
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2021 年 04 月 15 日





从“关系”的角度理解“函数”



$$f(x) = 2x + 1$$

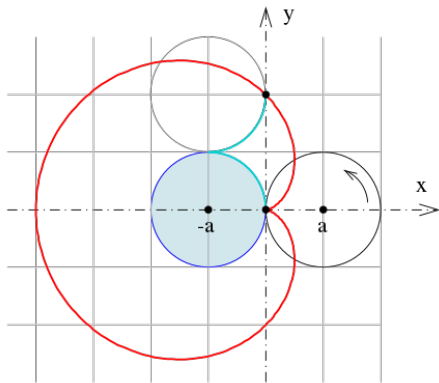


$f(x)$

“函数”也是“关系”

$\{\dots, (-2, -3), (-1, -1), (0, 1), (1, 3), \dots\}$

$$(x^2 + y^2)^2 + 4ax(x^2 + y^2) - 4a^2y^2 = 0$$



“函数”不允许“一对多”

Functions

Functions



PROOF!

Definition of Functions

$$R \subseteq A \times B$$

is a *relation* from A to B

Definition (Function)

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$$\forall a \in A. \exists! b \in B. (a, b) \in f.$$

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$$\text{dom}(f) = A \quad \text{cod}(f) = B$$

$$\text{ran}(f) = f(A) \subseteq B$$

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$$f : a \mapsto b$$

$$f(a) \triangleq b$$

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$$\forall a \in A.$$

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$$\exists! b \in B.$$

$$\forall b, b' \in B. (a, b) \in f \wedge (a, b') \in f \implies b = b'$$

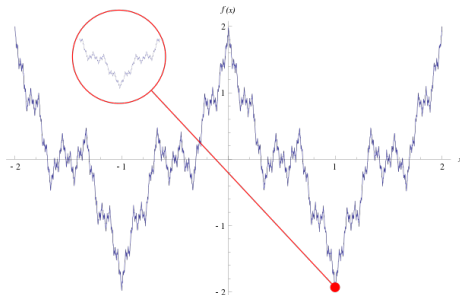
$$I_X : X \rightarrow X$$

X 上的恒等函数

$$\forall x \in X. I_X(x) = x$$

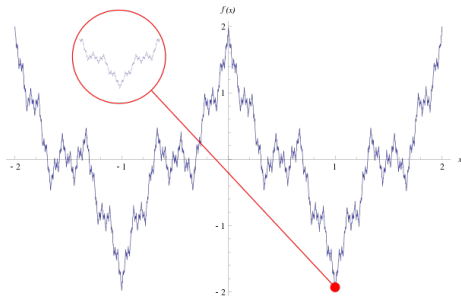
$$f(x) = \sum_{n=0}^{\infty} a^n \cos(b^n \pi x)$$

$0 < a < 1$, b is a positive odd integer, $ab > 1 + \frac{3}{2}\pi$



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Weierstrass Function (1872)

“处处连续, 但处处不可导”

Definition (Y^X)

The *set* of all functions from X to Y :

$$Y^X = \{f \mid f : X \rightarrow Y\}$$

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$$\bigcup_{I_X \in A} \text{dom}(I_X)$$

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For every set X , there exists a function $I_{\{X\}} : \{X\} \rightarrow \{X\}$.

$\bigcup_{I_X \in A} \text{dom}(I_X)$ would be the *universe* that does not exist!

Functions as Sets

Theorem (函数的外延性原理 (The Principle of Functional Extensionality))

f, g are functions :

$$f = g \iff \text{dom}(f) = \text{dom}(g) \wedge (\forall x \in \text{dom}(f). f(x) = g(x))$$

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It may be that $\text{cod}(f) \neq \text{cod}(g)$.

$$f : A \rightarrow B \quad g : C \rightarrow D$$

Q : Is $f \cap g$ a function?

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Theorem (Intersection of Functions)

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Theorem (Union of Functions)

$$f \cup g : (A \cup C) \rightarrow (B \cup D) \iff \forall x \in \text{dom}(f) \cap \text{dom}(g). f(x) = g(x)$$

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Theorem (Union of Functions)

$$f \cup g : (A \cup C) \rightarrow (B \cup D) \iff \forall x \in \text{dom}(f) \cap \text{dom}(g). f(x) = g(x)$$

$$f : \mathbb{Q} \rightarrow \mathbb{R}$$

$$f(x) = \begin{cases} x + 1, & \text{if } x \in 2\mathbb{Z} \\ x - 1, & \text{if } x \in 3\mathbb{Z} \\ 2, & \text{otherwise} \end{cases}$$

$$f : \mathcal{P}(\mathbb{R}) \rightarrow \mathbb{Z}$$

$$f(A) = \begin{cases} \min(A \cap \mathbb{N}) & \text{if } A \cap \mathbb{N} \neq \emptyset \\ -1 & \text{if } A \cap \mathbb{N} = \emptyset \end{cases}$$

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$$\text{dom}(f) \cap \text{dom}(g) = \emptyset$$

By the *Well-Ordering Principle* of \mathbb{N}

$$D : \mathbb{R} \rightarrow \mathbb{R}$$

$$D(x) = \begin{cases} 1 & \text{if } x \in \mathbb{Q} \\ 0 & \text{if } x \in \mathbb{R} \setminus \mathbb{Q} \end{cases}$$

Dirichlet Function

“处处不连续”

Special Functions (*-jectivity*)

Definition (Injective (one-to-one; 1-1) 单射函数)

$$f : A \rightarrow B$$

$$\forall a_1, a_2 \in A. a_1 \neq a_2 \rightarrow f(a_1) \neq f(a_2)$$

Definition (Injective (one-to-one; 1-1) 单射函数)

$$f : A \rightarrow B \quad f : A \rightarrowtail B$$

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For Proof:

► To prove that f *is* 1-1:

$$\forall a_1, a_2 \in A. f(a_1) = f(a_2) \rightarrow a_1 = a_2$$

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$$\forall a_1, a_2 \in A. f(a_1) = f(a_2) \rightarrow a_1 = a_2$$

- ▶ To show that f *is not* 1-1:

$$\exists a_1, a_2 \in A. a_1 \neq a_2 \wedge f(a_1) = f(a_2)$$

Definition (Surjective (onto) 满射函数)

$$f : A \rightarrow B$$

$$\text{ran}(f) = B$$

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► To prove that f *is* onto:

$$\forall b \in B. (\exists a \in A. f(a) = b)$$

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$$\exists b \in B. (\forall a \in A. f(a) \neq b)$$

Definition (Bijective (one-to-one correspondence) 双射; 一一对应)

$$f : A \rightarrow B$$

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$$f : A \rightarrow B \quad f : A \xrightarrow[\text{onto}]{1-1} B$$

1-1 & onto

$$f : \mathbb{Z} \rightarrow \mathbb{N}, \quad f(x) = x^2 + 1$$

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$$f : \mathbb{N} \rightarrow \mathbb{Q}, \quad f(x) = \frac{1}{x}$$

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$$f : \mathbb{Z} \times \mathbb{N} \rightarrow \mathbb{Q}, \quad f(z, n) = \frac{z}{n+1}$$

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$$f : \mathbb{Z} \times \mathbb{N} \rightarrow \mathbb{Q}, \quad f(z, n) = \frac{z}{n+1}$$

$$f : \mathbb{R}^2 \rightarrow \mathbb{R}^2, \quad f(x, y) = (x+1, y+1)$$

Theorem (Cantor Theorem)

*If $f : A \rightarrow 2^A$, then f is **not** onto.*

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Proof. Let A be a set and let $f : A \rightarrow 2^A$. To show that f is not onto, we must find a $B \in 2^A$ (i.e., $B \subseteq A$) for which there is no $a \in A$ with $f(a) = B$. In other words, B is a set that f “misses.” To this end, let

$$B = \{x \in A : x \notin f(x)\}.$$

We claim there is no $a \in A$ with $f(a) = B$.

Suppose, for the sake of contradiction, there is an $a \in A$ such that $f(a) = B$.

We ponder: Is $a \in B$?

- If $a \in B$, then, since $B = f(a)$, we have $a \in f(a)$. So, by definition of B , $a \notin f(a)$; that is, $a \notin B \Rightarrow \Leftarrow$
- If $a \notin B = f(a)$, then, by definition of B , $a \in B \Rightarrow \Leftarrow$

Both $a \in B$ and $a \notin B$ lead to contradictions, and hence our supposition [there is an $a \in A$ with $f(a) = B$] is false, and therefore f is not onto. ■

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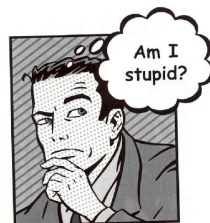
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$$A = \{1, 2, 3\}$$

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$$2^A = \mathcal{P}(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$$

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$$\forall B \in 2^A. (\exists a \in A. f(a) = B)$$

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$$\forall B \in 2^A. (\exists a \in A. f(a) = B)$$

Not Onto

$$\exists B \in 2^A. (\forall a \in A. f(a) \neq B)$$

$$f(1) = \{1, 2\}$$

$$f(2) = \{1, 3\}$$

$$f(3) = \emptyset$$

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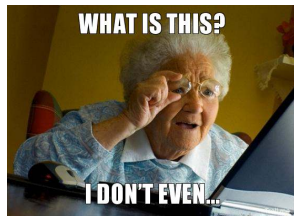
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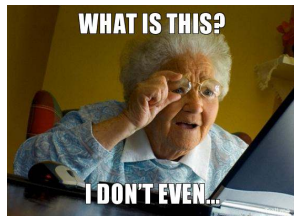
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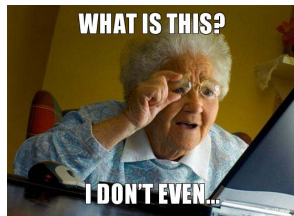
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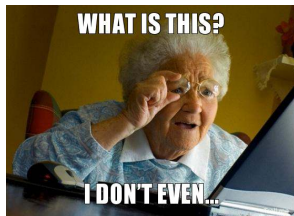
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$$a \in B \iff a \notin B$$

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a	$f(a)$					
	1	2	3	4	5	...
1	1	1	0	0	1	...
2	0	0	0	0	0	...
3	1	0	0	1	0	...
4	1	1	1	1	1	...
5	0	1	0	1	0	...
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	...



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\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	...

$$B = \{0, 1, 1, 0, 1\}$$



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If $f : A \rightarrow 2^A$, then f is *not* onto.

对角线论证 (Cantor's diagonal argument) (以下仅适用于可数集合 A).

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3	1	0	0	1	0	...
4	1	1	1	1	1	...
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\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	...

$$B = \{0, 1, 1, 0, 1\}$$



Functions as Relations

$$f|_X \quad f(A) \quad f^{-1}(B) \quad f \circ g \quad f^{-1}$$

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It is **unnecessary** that $X \subseteq A$, though it is **usually** the case.

Definition (Restriction)

The *restriction* of a function $f : A \rightarrow B$ to X is the **function**:

$$f|_X = \{(x, y) \in f \mid x \in X\}$$

It is **unnecessary** that $X \subseteq A$, though it is **usually** the case.

$$f|_X : A \cap X \rightarrow B$$

$$\forall x \in A \cap X. f|_X(x) = f(x)$$

Definition (像 (Image))

The *image* of X under a function $f : A \rightarrow B$ is the set

$$f(X) = \{y \mid \exists x \in X. (x, y) \in f\}$$

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$$f(\{a\}) = \{b\} \quad \text{简记为} \quad f(a) = b$$

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Definition (逆像 (Inverse Image))

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$$f : A \rightarrow B$$

$$a \in A_0 \not\Rightarrow f(a) \in f(A_0)$$

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$$a \in A_0 \cap A \Rightarrow f(a) \in f(A_0)$$

Theorem (Properties of f and f^{-1})

$$f : A \rightarrow B \quad \cancel{A_1, A_2 \subseteq A, B_1, B_2 \subseteq B}$$

(i) f preserves only \subseteq and \cup :

$$(1) A_1 \subseteq A_2 \implies f(A_1) \subseteq f(A_2)$$

$$(2) f(A_1 \cup A_2) = f(A_1) \cup f(A_2)$$

$$(3) f(A_1 \cap A_2) \subseteq f(A_1) \cap f(A_2)$$

$$(4) f(A_1 \setminus A_2) \supseteq f(A_1) \setminus f(A_2)$$

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Theorem (Properties of f and f^{-1})

$$f : A \rightarrow B \quad \cancel{A_1}, \cancel{A_2} \not\subseteq \cancel{A}, \cancel{B_1}, \cancel{B_2} \not\subseteq \cancel{B}$$

(ii) f^{-1} preserves \subseteq , \cup , \cap , and \setminus :

$$(5) \quad B_1 \subseteq B_2 \implies f^{-1}(B_1) \subseteq f^{-1}(B_2)$$

$$(6) \quad f^{-1}(B_1 \cup B_2) = f^{-1}(B_1) \cup f^{-1}(B_2)$$

$$(7) \quad f^{-1}(B_1 \cap B_2) = f^{-1}(B_1) \cap f^{-1}(B_2)$$

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Theorem (Properties of f and f^{-1})

$$f : A \rightarrow B$$

(iii) f and f^{-1} :

$$(9) \quad A_0 \subseteq A \implies A_0 \subseteq f^{-1}(f(A_0))$$

$$(10) \quad B_0 \supseteq f(f^{-1}(B_0))$$

Theorem

$$f : A \rightarrow B$$

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Theorem

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对任意 b ,

$$a \in A_0 \tag{1}$$

$$\tag{4}$$

Theorem

$$f : A \rightarrow B$$

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对任意 b ,

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Theorem

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对任意 b ,

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$$\tag{4}$$

Theorem

$$f : A \rightarrow B$$

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对任意 b ,

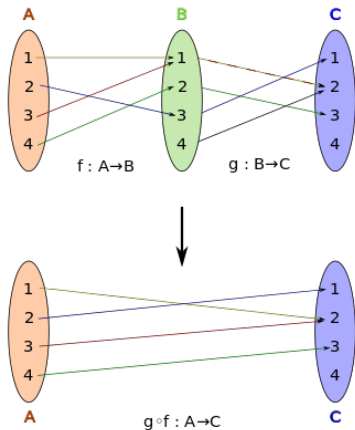
$$a \in A_0 \tag{1}$$

$$\implies a \in A_0 \cap A \tag{2}$$

$$\implies f(a) \in f(A_0) \tag{3}$$

$$\iff a \in f^{-1}(f(A_0)) \tag{4}$$

Function Composition



Definition (Composition)

$$f : A \rightarrow B \quad g : C \rightarrow D$$

$$\text{ran}(f) \subseteq C$$

The *composite function* $g \circ f : A \rightarrow D$ is defined as

$$(g \circ f)(x) = g(f(x))$$

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Definition (Composition)

The *composition* of relations R and S is the relation

$$R \circ S = \{(a, c) \mid \exists b : (a, b) \in S \wedge (b, c) \in R\}$$

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$$f : A \rightarrow B \quad g : C \rightarrow D$$

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Why not “ $\exists b$ ” as below?

Definition (Composition)

The *composition* of relations R and S is the relation

$$R \circ S = \{(a, c) \mid \exists b : (a, b) \in S \wedge (b, c) \in R\}$$

Theorem (Associative Property for Composition)

$$f : A \rightarrow B \quad g : B \rightarrow C \quad h : C \rightarrow D$$

$$h \circ (g \circ f) = (h \circ g) \circ f$$

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Proof.

Theorem (Associative Property for Composition)

$$f : A \rightarrow B \quad g : B \rightarrow C \quad h : C \rightarrow D$$

$$h \circ (g \circ f) = (h \circ g) \circ f$$

Proof.

(i)

$$\text{dom}(h \circ (g \circ f)) = \text{dom}((h \circ g) \circ f)$$

(ii)

$$\forall x \in A. (h \circ (g \circ f))(x) = ((h \circ g) \circ f)(x)$$



$$(h \circ (g \circ f))(x) = ((h \circ g) \circ f)(x)$$

$$(h \circ (g \circ f))(x) = ((h \circ g) \circ f)(x)$$

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$$(h \circ (g \circ f))(x) \quad (1) \qquad ((h \circ g) \circ f)(x) \quad (1)$$

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Theorem

$$f : A \rightarrow B \quad g : B \rightarrow C$$

- (i) *If f, g are injective, then $g \circ f$ is injective.*
- (ii) *If f, g are surjective, then $g \circ f$ is surjective.*
- (iii) *If f, g are bijective, then $g \circ f$ is bijective.*

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If f, g are injective, then $g \circ f$ is injective.

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$$(g \circ f)(a_1) = (g \circ f)(a_2) \quad (1)$$

$$\iff g(f(a_1)) = g(f(a_2)) \quad (2)$$

$$\implies f(a_1) = f(a_2) \quad (3)$$

$$\implies a_1 = a_2 \quad (4)$$

Theorem

$$f : A \rightarrow B \quad g : B \rightarrow C$$

- (i) *If $g \circ f$ is injective, then f is injective.*
- (ii) *If $g \circ f$ is surjective, then g is surjective.*

Theorem

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对任意 a_1, a_2 ,

$$g \circ f \text{ is surjective} \tag{1}$$

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对任意 a_1, a_2 ,

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If $g \circ f$ is surjective, then g is surjective.

对任意 a_1, a_2 ,

$$g \circ f \text{ is surjective} \tag{1}$$

$$\iff \forall c \in C. \exists a \in A. (g \circ f)(a) = c \tag{2}$$

$$\iff \forall c \in C. \exists a \in A. g(f(a)) = c \tag{3}$$

(5)

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$$\implies \forall c \in C. \exists b \in B. g(b) = c \tag{4}$$

$$\tag{5}$$

Theorem

$$f : A \rightarrow B \quad g : B \rightarrow C$$

If $g \circ f$ is surjective, then g is surjective.

对任意 a_1, a_2 ,

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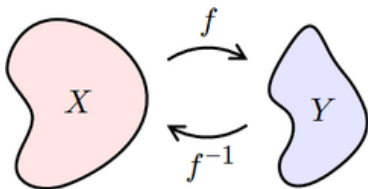
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Inverse Functions



Definition (Inverse)

Let $f : A \rightarrow B$ be a **bijective** function.

The *inverse* of f is the **function** $f^{-1} : B \rightarrow A$ defined by

$$f^{-1}(b) = a \iff f(a) = b.$$

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To show that g defined above is indeed a function from Y to X .

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$$f(x) = y \iff f^{-1}(y) = x$$

Theorem

$f : A \rightarrow B$ is bijective

(i) $f \circ f^{-1} = I_B$

(ii) $f^{-1} \circ f = I_A$

(iii) f^{-1} is bijective.

(iv) $g : B \rightarrow A \wedge f \circ g = I_B \implies g = f^{-1}$

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The ways to find/check f^{-1} .

Theorem

$f : A \rightarrow B$ is bijective

- (i) $f \circ f^{-1} = I_B$
- (ii) $f^{-1} \circ f = I_A$
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The ways to find/check f^{-1} .

$$g = f^{-1} \circ (f \circ g) = f^{-1} \circ I_B = f^{-1}$$

Theorem (Inverse of Composition)

$f : A \rightarrow B$ $g : B \rightarrow C$ are bijective

- (i) $g \circ f$ is bijective
- (ii) $(g \circ f)^{-1} = f^{-1} \circ g^{-1}$

Proof for (ii).

It suffices to check either one of the following identities:

$$(f^{-1} \circ g^{-1}) \circ (g \circ f) = I_A$$

$$(g \circ f) \circ (f^{-1} \circ g^{-1}) = I_C$$



Theorem

$$f : A \rightarrow B \quad g : B \rightarrow A$$

$$(iii) \quad f \circ g = I_B \wedge g \circ f = I_A \implies g = f^{-1}$$

Theorem

$$f : A \rightarrow B \quad g : B \rightarrow A$$

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You need to check **both** identities.

Theorem

$$f : A \rightarrow B \quad g : B \rightarrow A$$

$$(iii) \quad f \circ g = I_B \wedge g \circ f = I_A \implies g = f^{-1}$$

You need to check **both** identities.

Theorem

$$f : A \rightarrow B \quad g : B \rightarrow C$$

- (i) *If $g \circ f$ is surjective, then g is surjective.*
- (ii) *If $g \circ f$ is injective, then f is injective.*

Theorem

$$f : A \rightarrow B \quad g : B \rightarrow A$$

$$(iii) \quad f \circ g = I_B \wedge g \circ f = I_A \implies g = f^{-1}$$

You need to check **both** identities.

Theorem

$$f : A \rightarrow B \quad g : B \rightarrow C$$

- (i) If $g \circ f$ is surjective, then g is surjective.
- (ii) If $g \circ f$ is injective, then f is injective.

First show that f is bijective, and then use the Theorem.

Thank
You!



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