

(十) 图论: 树 (Trees)

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2021 年 05 月 13 日



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ROBIN WILLIAMS MATT DAMON

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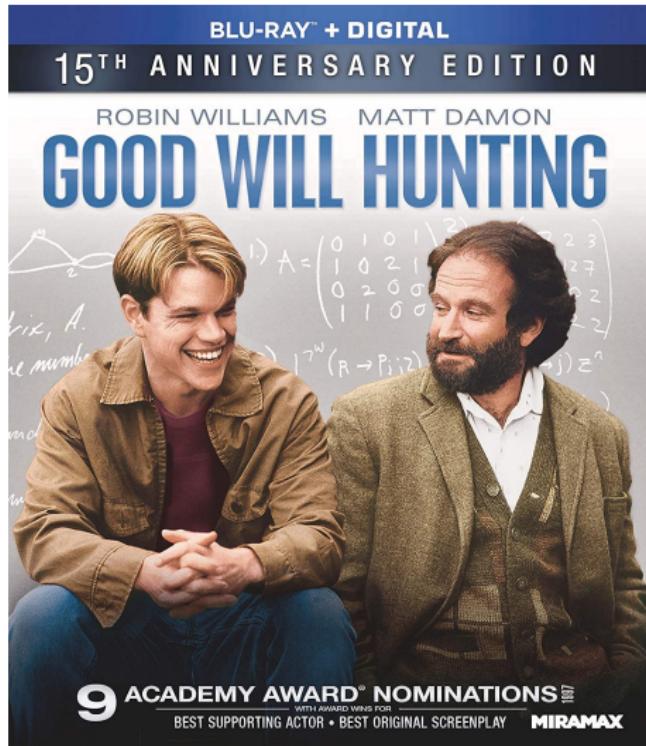
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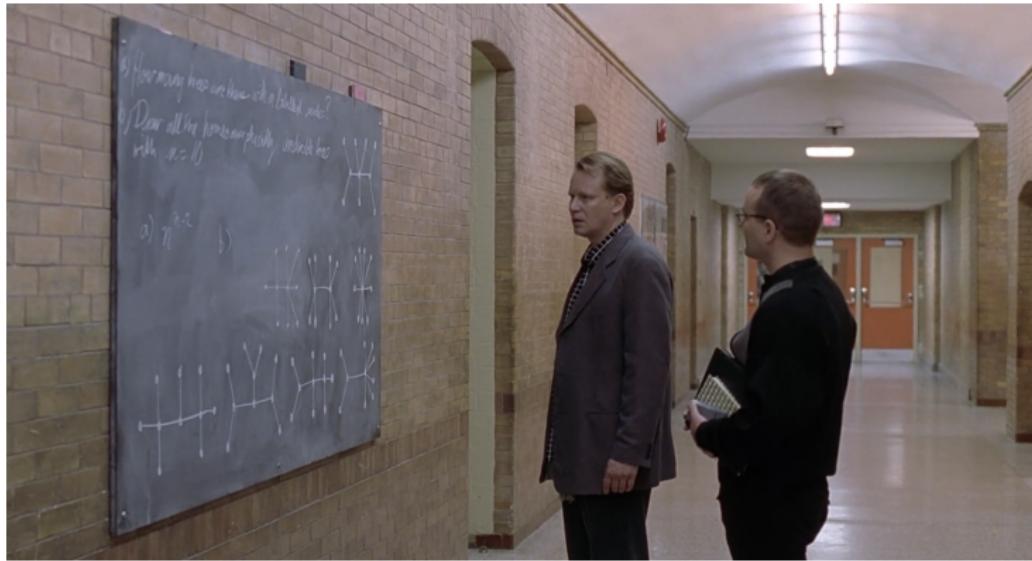
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MIRAMAX



你，真得，看懂了吗？





- (1) How many **trees** are there with n labeled vertices?
- (2) Draw all homomorphically **irreducible** trees with $n = 10$.

Definition (Tree (树))

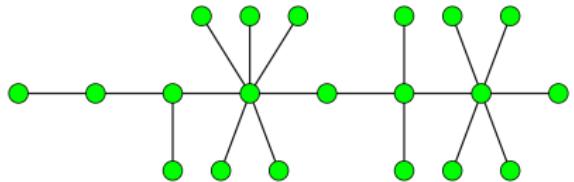
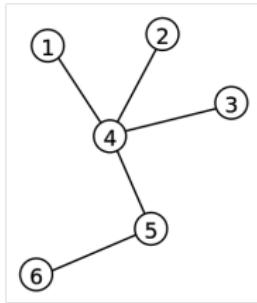
A **tree** is a **connected acyclic undirected** graph.

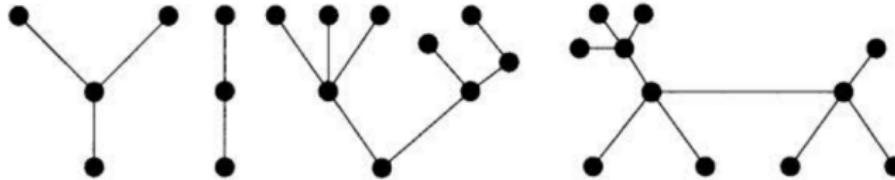
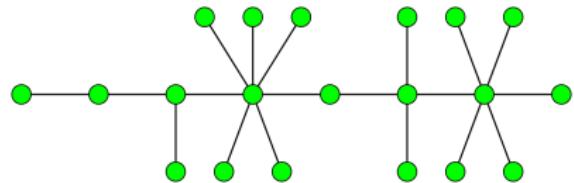
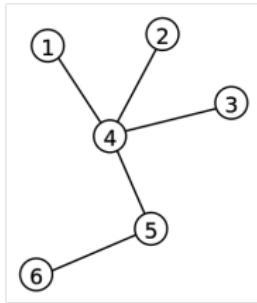
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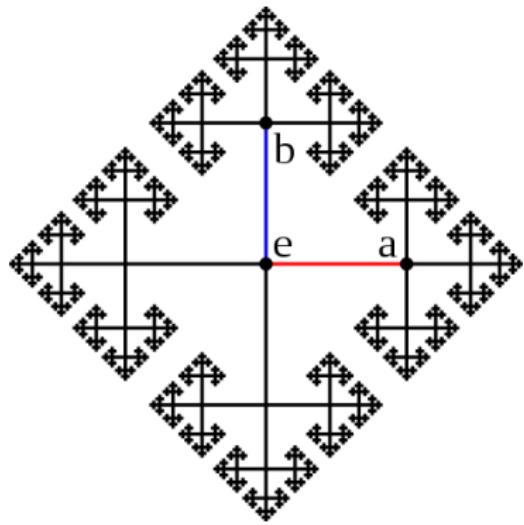
A **tree** is a **connected acyclic undirected** graph.

Definition (Forest (森林))

A **forest** is a **acyclic undirected** graph.







Cayley Graph (4-regular tree)

Definition (Internal Vertex (内部顶点); Leaf (叶子))

In a tree T with ≥ 2 vertices, for a vertex v in T , if

$$\deg(v) = 1$$

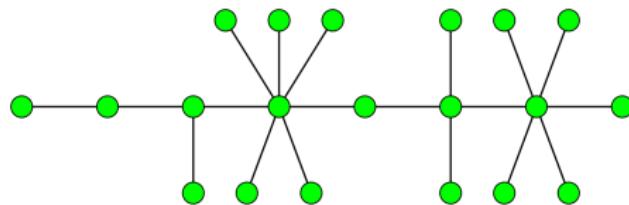
then v is called a **leaf**; otherwise, v is an **internal vertex**.

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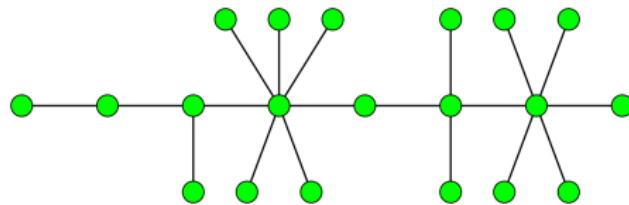


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Lemma

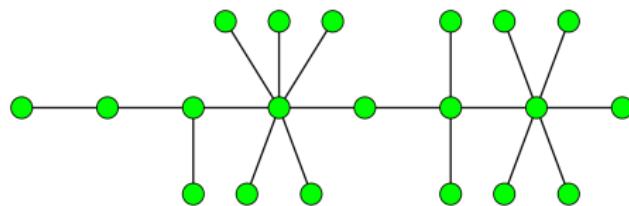
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In a tree T with ≥ 2 vertices, for a vertex v in T , if

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Lemma

Any tree T with ≥ 2 vertices contains ≥ 1 leaf.

Otherwise, $\forall v \in V. \deg(v) \geq 2 \implies T$ has cycles.

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Consider the two endpoints of any maximal path in T .

They are leaves of T .

Lemma

*Deleting a **leaf** from a tree T with n vertices produces a **tree** with $n - 1$ vertices.*

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$G' = G - v$ is **connected** and **acyclic**.

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$G' = G - v$ is *connected* and *acyclic*.

A leaf does *not* belong to any paths connecting two other vertices.

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A leaf does *not* belong to any paths connecting two other vertices.

This lemma can be used in induction for trees!

Theorem ((We call it) Characterization of Trees)

Let T be an undirected graph with n vertices.

Then the following statements are *equivalent*:

- (1) T is a tree;
- (2) T is acyclic, and has $m = n - 1$ edges;
- (3) T is connected, and has $m = n - 1$ edges;
- (4) T is connected, and each edge is a *bridge*;
- (5) Any two vertices of T are connected by exactly one path;
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$$(1) \implies (2) \implies (3) \implies (4) \implies (5) \implies (6) \implies (1)$$

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Induction Hypothesis: Any trees with $n - 1$ vertices has $n - 2$ edges.

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For $\textcolor{red}{T}' = T - v$, $m(T') = (n - 1) - 1 = n - 2$.

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$$m(T) = (n - 2) + 1 = n - 1.$$

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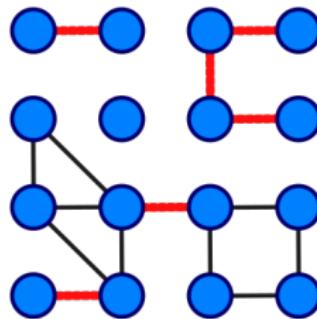
$$m(T) = \sum_{i=1}^k m(T_i) = n - k \neq n - 1.$$

Theorem (Characterization of Trees)

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Definition (Bridge (桥))

A **bridge** of a graph G is an **edge e** such that

$$c(G - e) > c(G).$$

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$$m(T - e) = (n - 1) - 1 = n - 2.$$

$T - e$ must be disconnected.

Theorem (Characterization of Trees)

- (4) *T is connected, and each edge is a bridge;*
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Consider any two vertices u and v .

T is connected $\implies u$ and v are connected by ≥ 1 path.

If u and v are connected by two paths,
the edges on these two paths are not bridges.

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If T has a cycle C ,

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Consider the addition of edge $\{u, v\}$ to T .

It creates a cycle, consisting of $\{u, v\}$ and the path from u to v .

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Lemma

If two distinct cycles of a graph G share a common edge e ,
then G has a cycle that does not contain e .

Theorem (Characterization of Trees)

- (6) T is acyclic, but the addition of any edge creates exactly one cycle;
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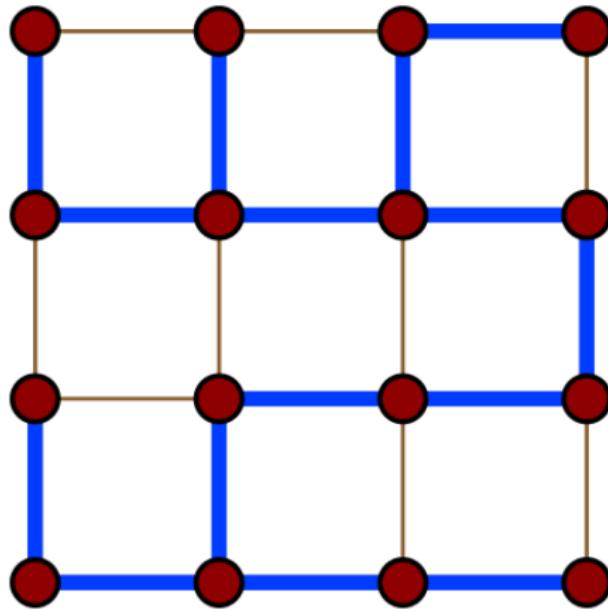
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Choose $u \in V(T_1), v \in V(T_2)$.

$T + \{u, v\}$ does **not** create cycles.



Spanning Trees (trees in graphs)

Definition (Subgraph (子图))

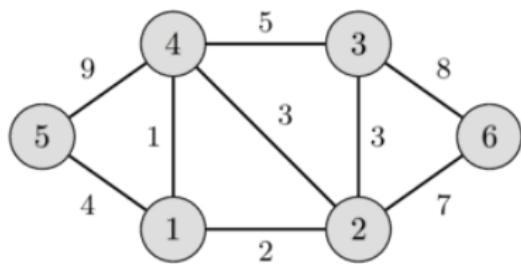
A graph S is a **subgraph** of G if

$$V(S) \subseteq V(G) \wedge E(S) \subseteq E(G) \wedge \bigcup E(S) \subseteq V(S)$$

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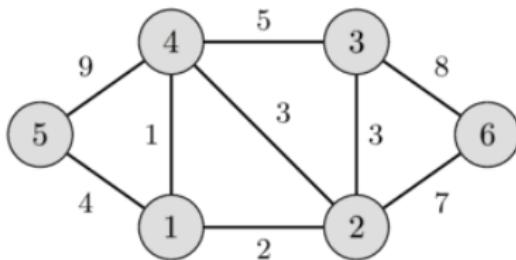
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Definition (Induced Subgraph (诱导子图))

A graph S is an **induced subgraph** of G if S is a **subgraph** of G such that

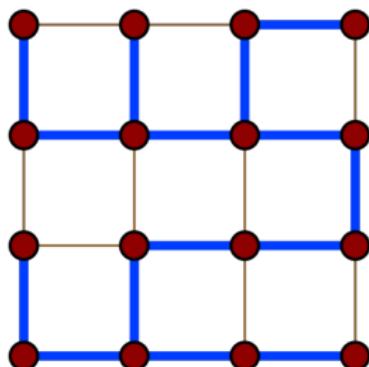
$$\{\{u, v\} \in E(G) \mid u \in V(S), v \in V(S)\} \subseteq E(S).$$

Definition (Spanning Tree (生成树))

A **spanning tree** T of an **undirected** graph G is a **subgraph** that is a **tree** with all vertices of G .

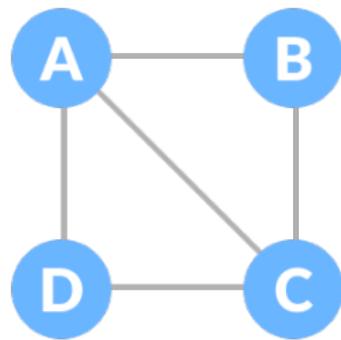
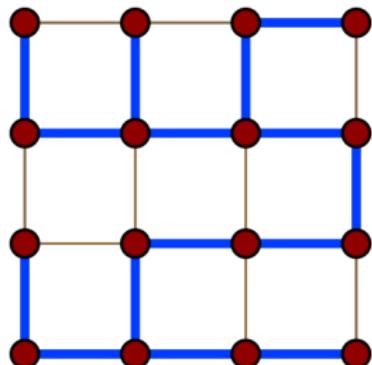
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Theorem

*Every connected undirected graph G **admits** a spanning tree.*

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Repeatedly deleting edges in cycles until the graph is acyclic.

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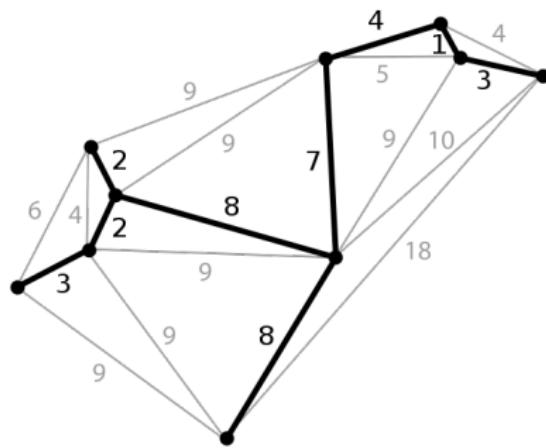
The remaining graph is a spanning tree of G .

Definition (Minimum Spanning Tree (MST; 最小生成树))

A **minimum spanning tree** T of an **edge-weighted** undirected graph G is a spanning tree with **minimum** total weight of edges.

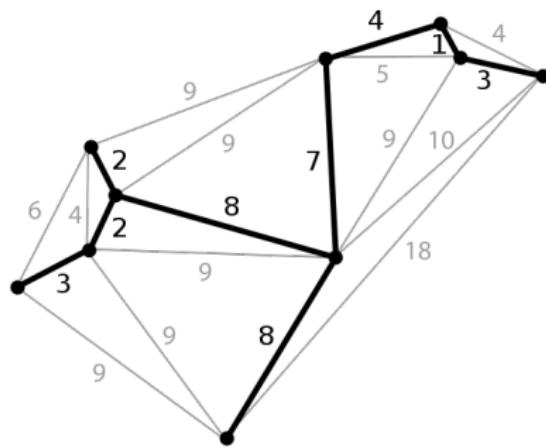
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Existence?

Uniqueness?

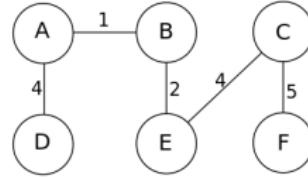
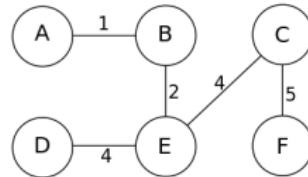
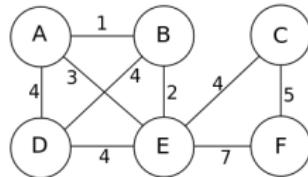
Algorithms?

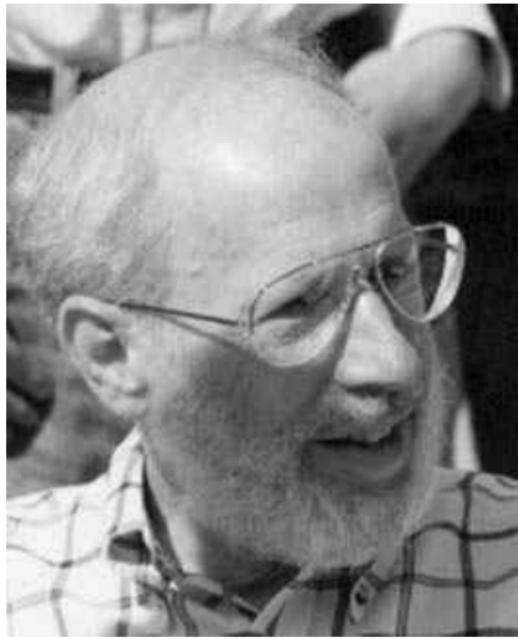
Theorem

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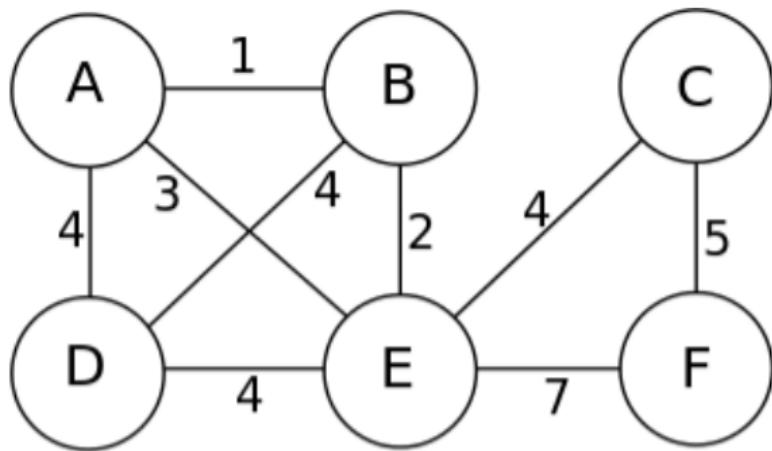




Joseph Kruskal (1928 ~ 2010)

Repeatedly adding **the next lowest-weight** edge
that will **not form a cycle** until $n - 1$ edges are added.

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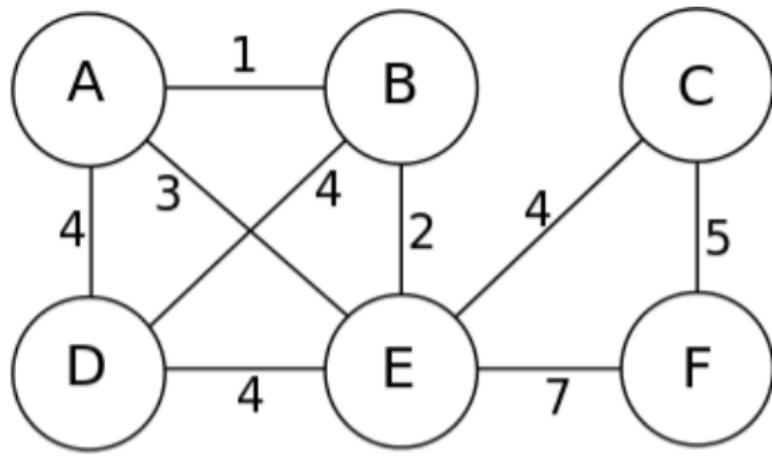




Robert C. Prim (1921 ~)

Repeatedly adding the **cheapest** possible edge from **the partially built tree** to another vertex, until $n - 1$ edges are added.

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Theorem (Cut Property)

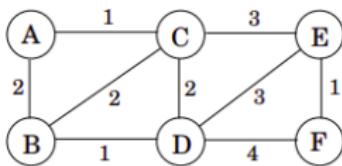
X : A part of some MST T of G

$(S, V \setminus S)$: A *cut* such that X does *not* cross $(S, V \setminus S)$

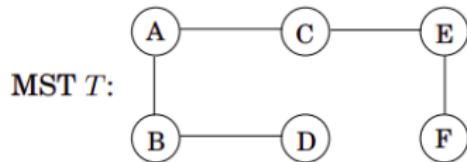
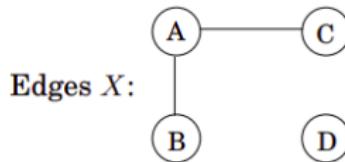
e : A *lightest edge across* $(S, V \setminus S)$

Then $X \cup \{e\}$ is a part of *some* MST T' of G .

(a)

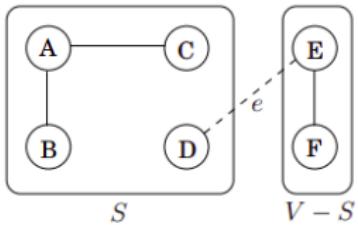


(b)

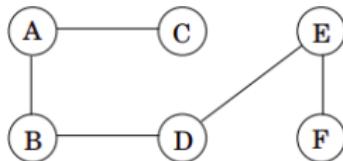


(c)

The cut:



MST T' :



Theorem (Cut Property)

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$(S, V \setminus S)$: A cut such that X does not cross $(S, V \setminus S)$

e : A lightest edge across $(S, V \setminus S)$

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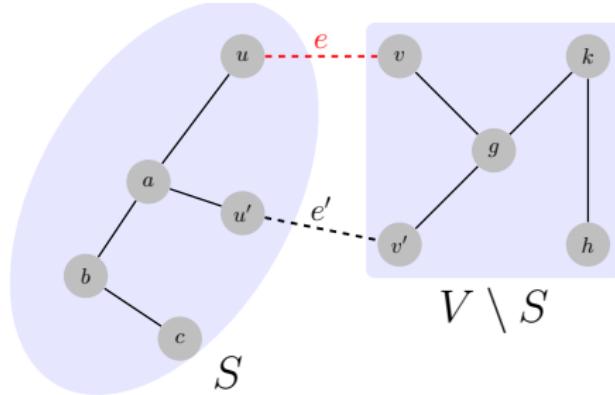
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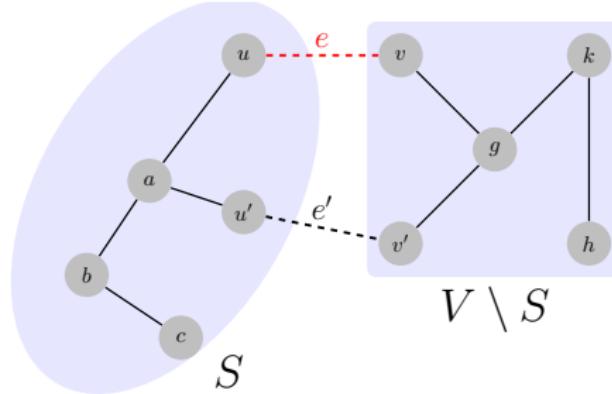
Correctness of Kruskal's and Prim's algorithms.

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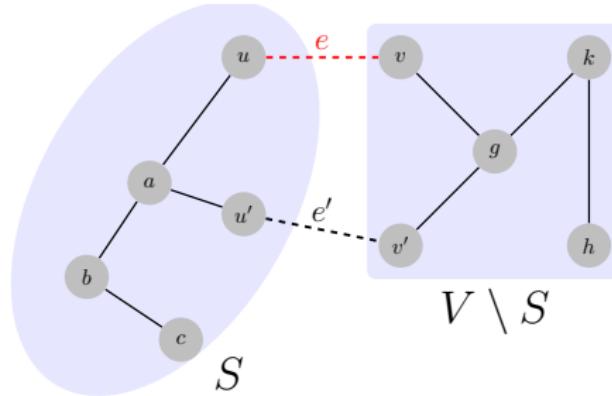


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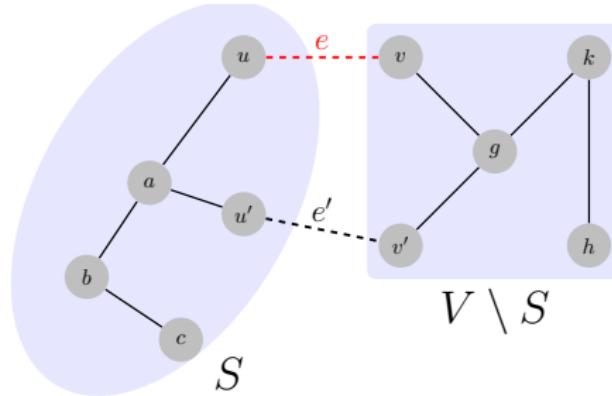
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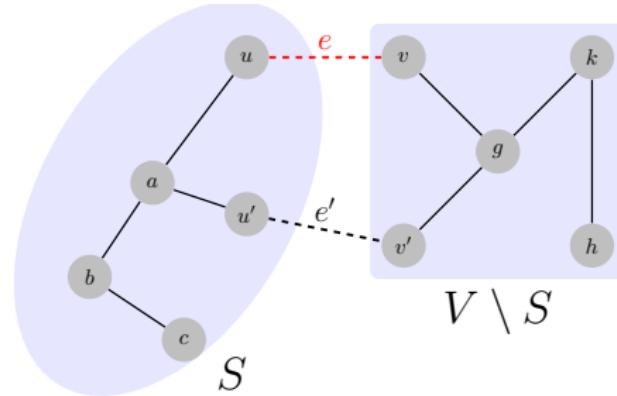
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$w(e) \leq w(e') \implies T'$ is also an MST

Theorem (Cut Property)

X : A part of some MST T of G

$(S, V \setminus S)$: A *cut* such that X does *not* cross $(S, V \setminus S)$

e : A *lightest edge across* $(S, V \setminus S)$

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“a” → “the” \Rightarrow “some” → “all”

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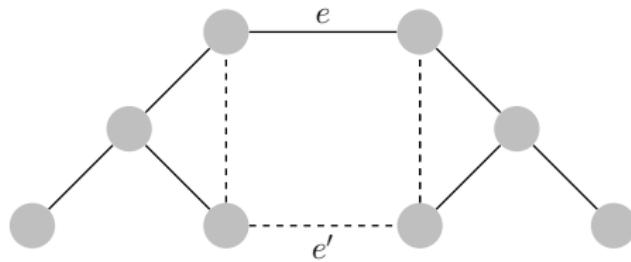
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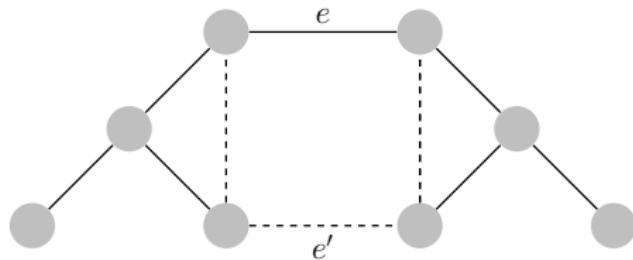
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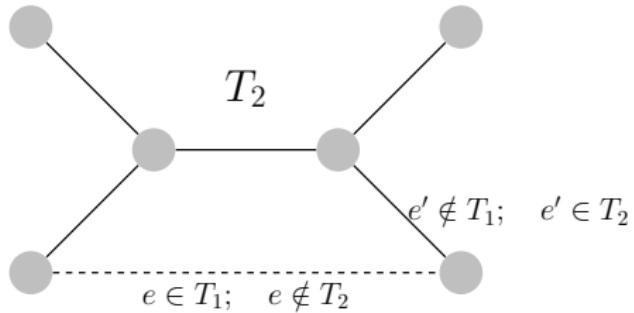
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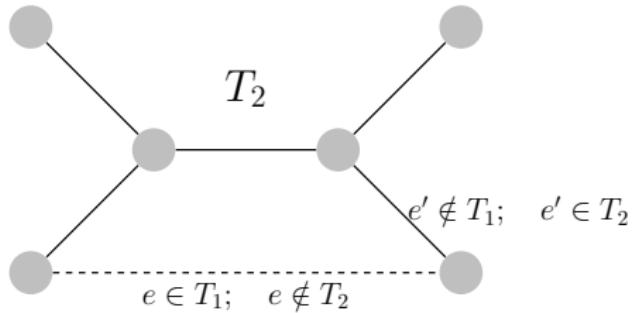
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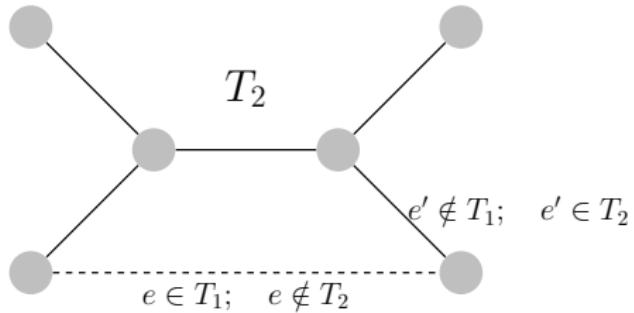
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Suppose that $e \in T_1 \setminus T_2$ (w.l.o.g)



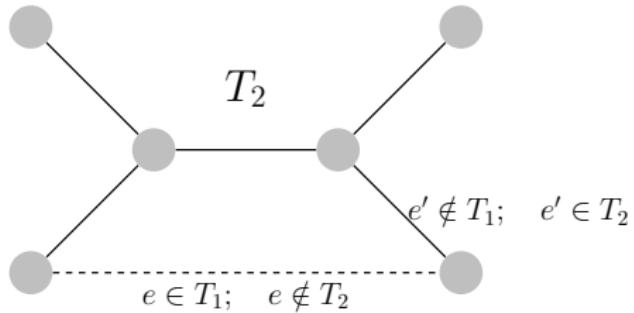


$$T_2 + \{e\} \implies C$$



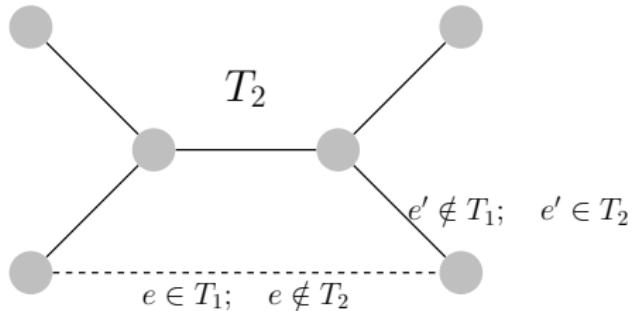
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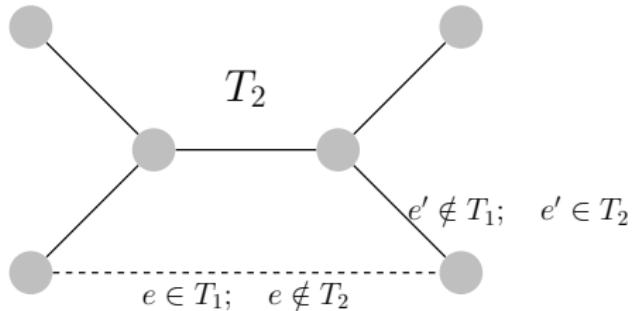
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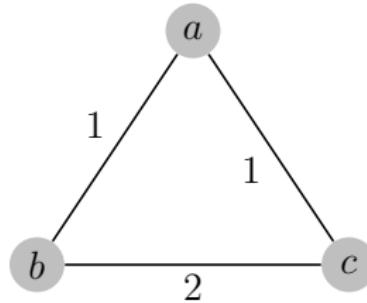
$$T' = T_2 + \{e\} - \{e'\} \implies w(T') < w(T_2)$$

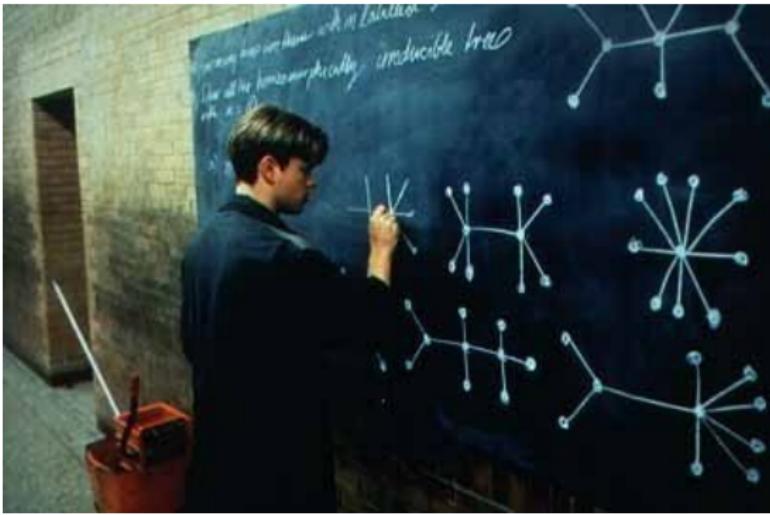
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Unique MST $\not\Rightarrow$ Distinct weights

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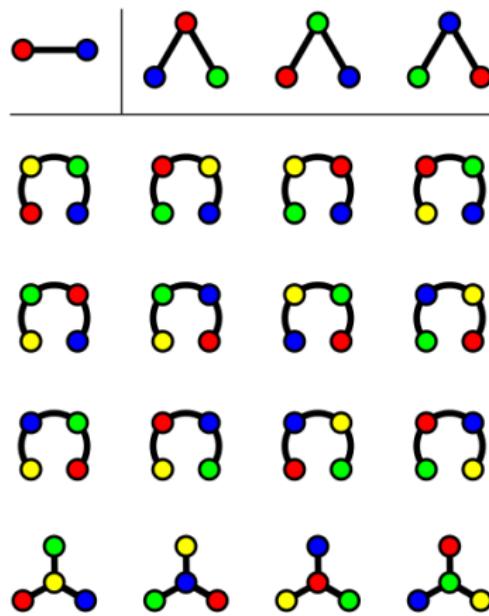


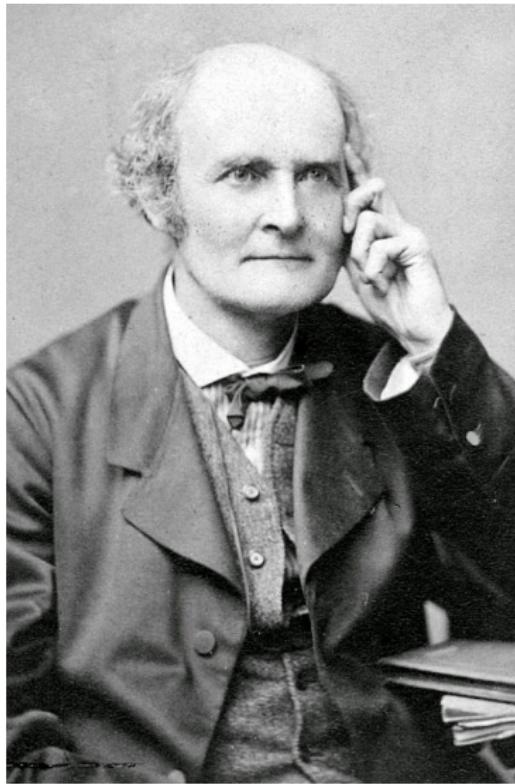
Theorem (Cayley's Formula)

The number T_n of *labeled* trees on $n \geq 2$ vertices is n^{n-2} .

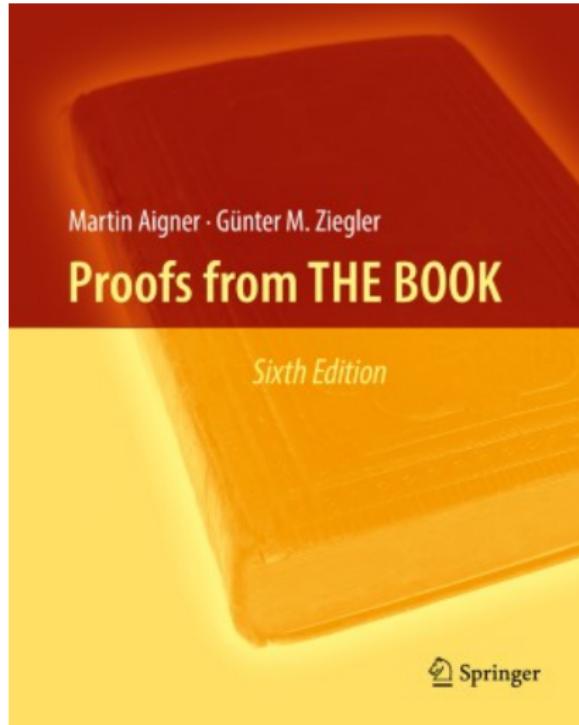
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Arthur Cayley (1821 ~ 1895)



Chapter 33: Cayley's formula for the number of trees

By Double Counting.

— Jim Pitman

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[https://en.wikipedia.org/wiki/Double_counting_\(proof_technique\)#Counting_trees](https://en.wikipedia.org/wiki/Double_counting_(proof_technique)#Counting_trees)

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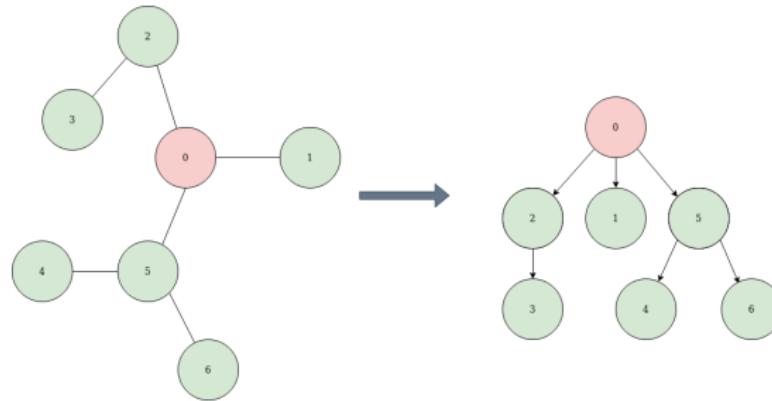
How many ways are there of forming a directed rooted tree from an empty graph by adding directed edges one by one?

Definition (Rooted Tree (有根树))

A **rooted tree** is a **tree** where one vertex has been **designated the root**.

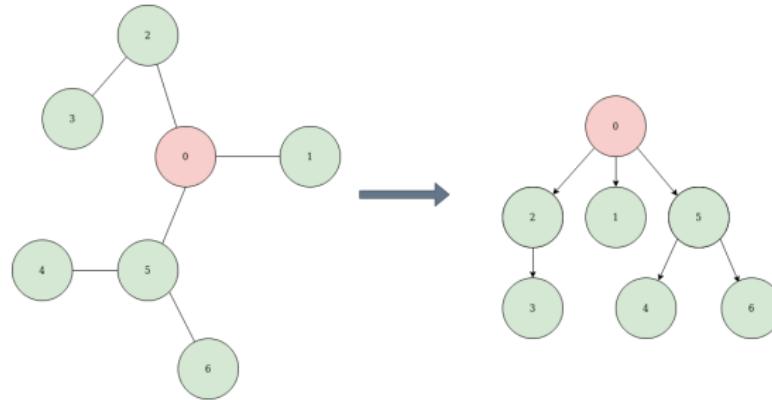
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Definition (Directed Rooted Tree (有向有根树))

A **directed rooted tree** is a **rooted tree** where all edges directed away from or towards the root.

Choose one of the T_n labeled trees on n vertices.

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Choose one of its n vertices as root.

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Choose one of the $(n - 1)!$ possible sequences
in which to add its $n - 1$ directed edges.

$$T_n n(n - 1)! = T_n n!$$

Suppose that we have added $n - k$ directed edges.

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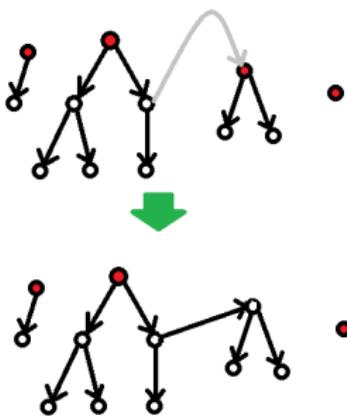
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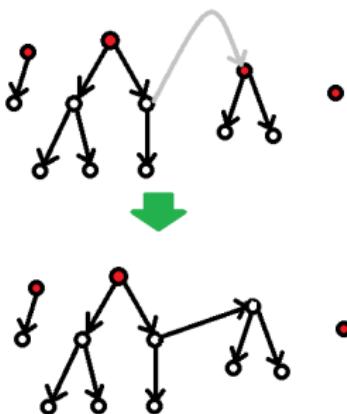
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$$\prod_{k=2}^n n(k-1) = n^{n-1}(n-1)! = n^{n-2}n!$$

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Definition (Irreducible Tree)

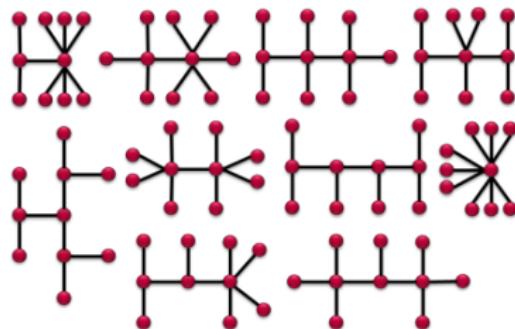
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$$\forall v \in V(T). \deg(v) \neq 2.$$

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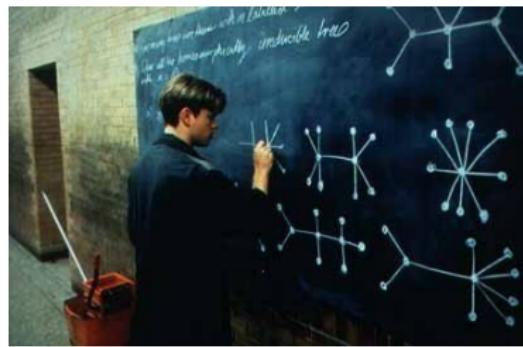
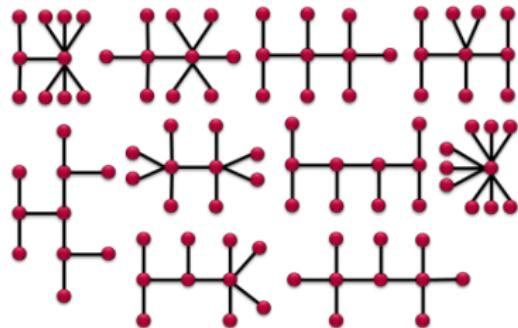
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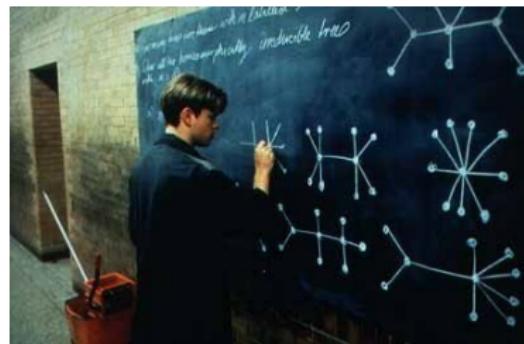
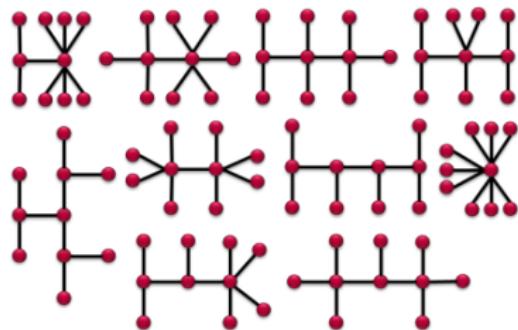
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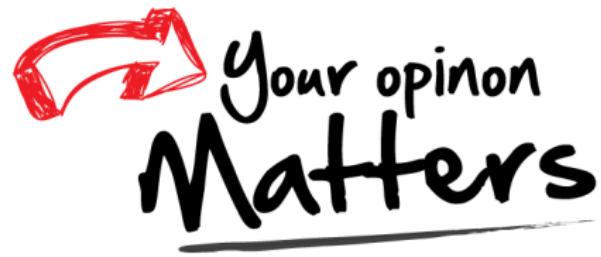
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Homeomorphically Irreducible Trees of size $n = 10$

Thank You!



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