

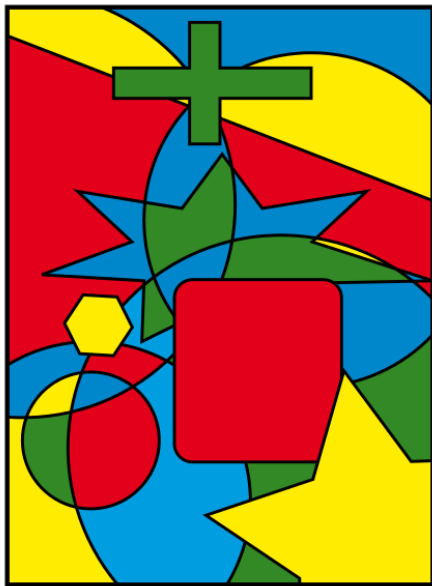
# (十一) 图论: 平面图与图着色 (Planarity and Coloring)

魏恒峰

hfwei@nju.edu.cn

2021 年 05 月 20 日



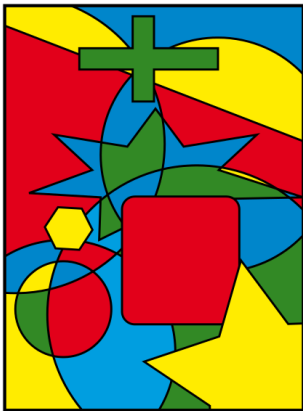


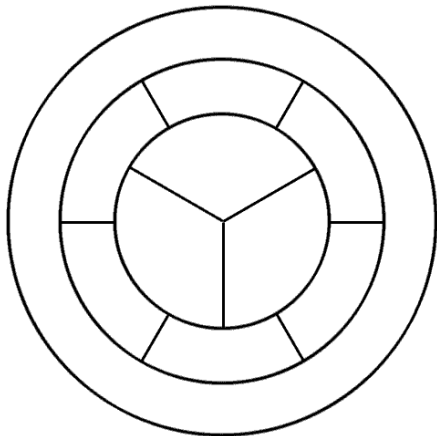
## Theorem (Four Color (Map) Theorem (informal))

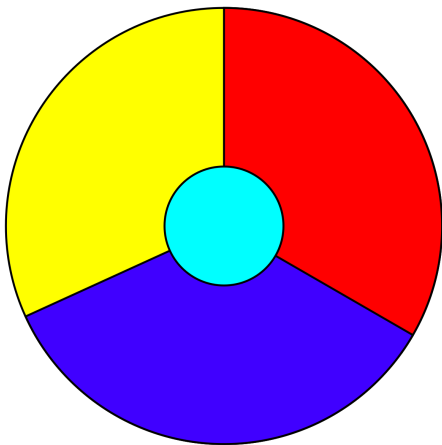
*Every **map** can be colored with only **four** colors such that no two **adjacent regions** share the same color.*

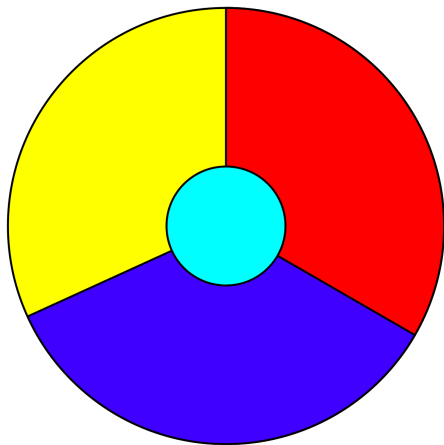
## Theorem (Four Color (Map) Theorem (informal))

Every *map* can be colored with only *four* colors such that no two *adjacent regions* share the same color.









Every region is adjacent to the other 3 regions.

What if we have a map which contains 5 regions so that every region is adjacent to the other 4 regions?

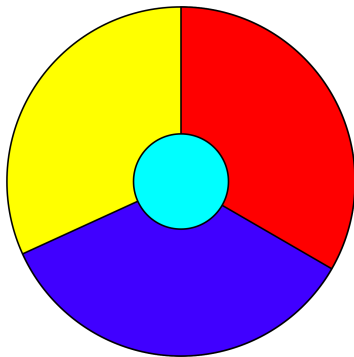


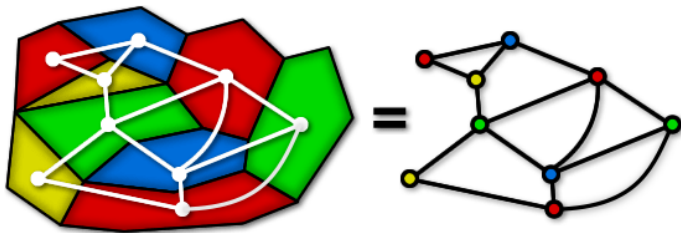
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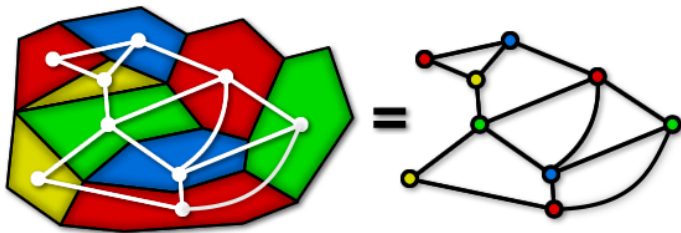


**IMPOSSIBLE™**

What does Four Color Theorem to do with Graph Theory?







Every map produces a **planar** graph.

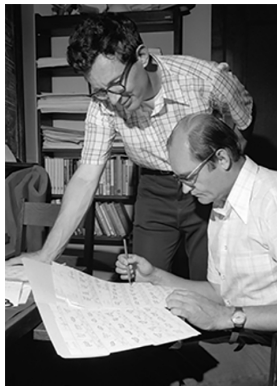
Theorem (Four Color Theorem (Kenneth Appel, Wolfgang Haken; 1976))

Every *simple planar* graph is *4-colorable*.



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I will *not* show its proof (which I don't understand either)!

## Theorem

*Every simple planar graph is 6-colorable.*

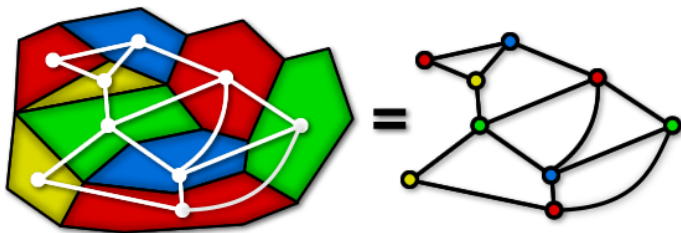
## Theorem

Every *simple planar* graph is *6-colorable*.

## Theorem (Percy John Heawood (1890))

Every *simple planar* graph is *5-colorable*.





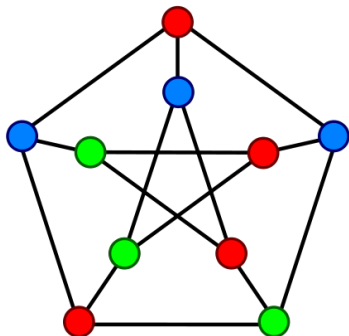
## Graph Coloring Problem

## Definition ( $k$ -Colorable ( $k$ -可着色的))

If  $G$  is a connected undirected graph without loops, then  $G$  is  **$k$ -colorable** if its vertices can be colored in  $k$  colors so that adjacent vertices have different colors.

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The Petersen graph is  $\geq 3$ -colorable.

### Definition ( $k$ -Chromatic ( $k$ -色数的))

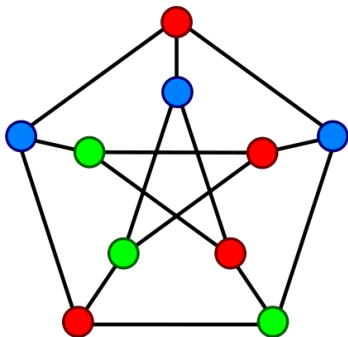
If  $G$  is  $k$ -colorable, but is not  $(k - 1)$ -colorable, then  $G$  is  $k$ -chromatic.

$$\chi(G) = k$$

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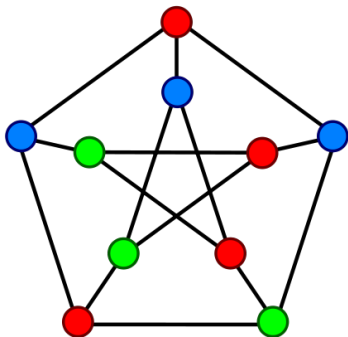


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If  $G$  is  $k$ -colorable, but is not  $(k - 1)$ -colorable, then  $G$  is  $k$ -chromatic.

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The Petersen graph is **3**-chromatic.  
(It contains an **odd** cycle.)

## Lemma

*A graph is 1-colorable iff*

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*A graph is 1-colorable iff it is the empty graph with 1 vertex.*



## Theorem

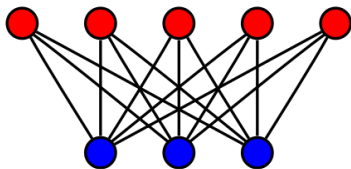
*A graph is 2-colorable iff*

## Theorem

*A graph is 2-colorable iff it is **bipartite**.*

## Theorem

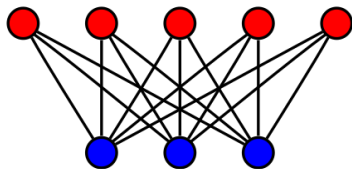
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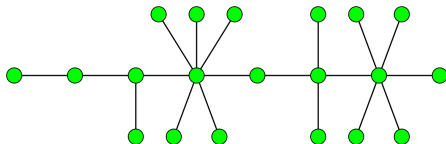
$K_{5,3}$

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$K_{5,3}$



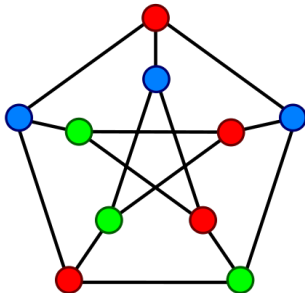
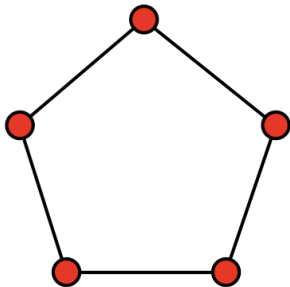
Trees are bipartite.

## Theorem

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Theorem (Four Color Theorem (Kenneth Appel, Wolfgang Haken; 1976))

*Every simple planar graph is 4-colorable.*



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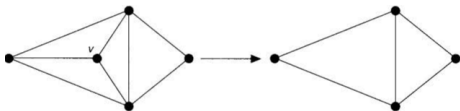
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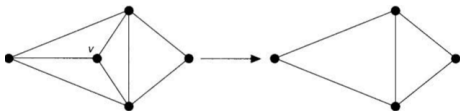
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$$\deg(v) \leq \Delta(G)$$



## Theorem (Brooks's Theorem (R. Leonard Brooks; 1941))

Let  $G$  be a *simple* connected graph other than a *complete graph* or an *odd cycle*. Then

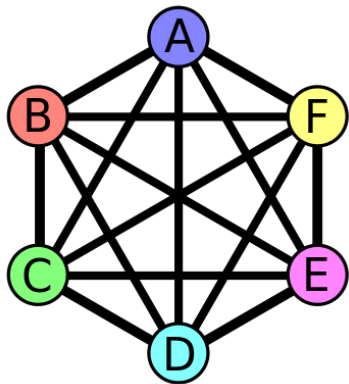
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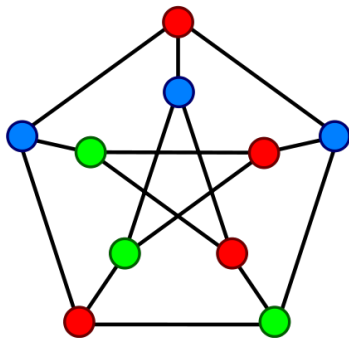
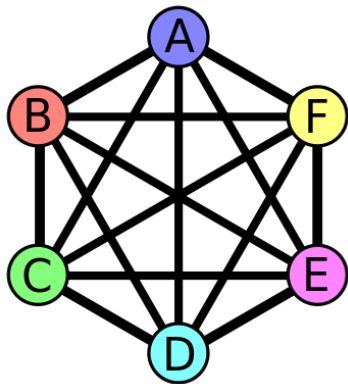
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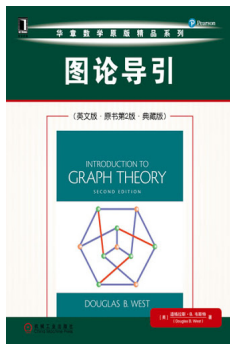
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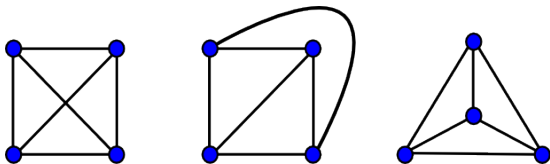
## Theorem 5.1.22

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A **planar graph** is a graph that **can** be drawn in the plane without **edge crossings**.

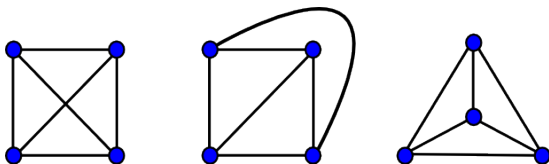
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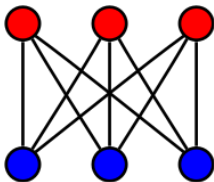


Theorem (K. Wagner (1936); I. Fáry (1948))

*Every simple planar graph can be drawn with **straight lines**.*

Theorem (Kazimierz Kuratowski, 1930)

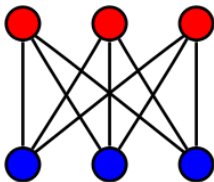
*The utility graph  $K_{3,3}$  is non-planar.*





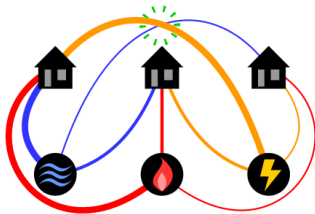
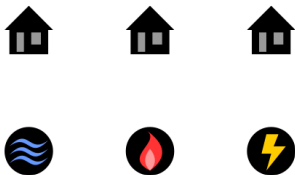
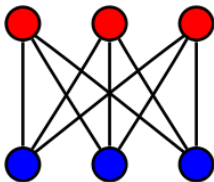
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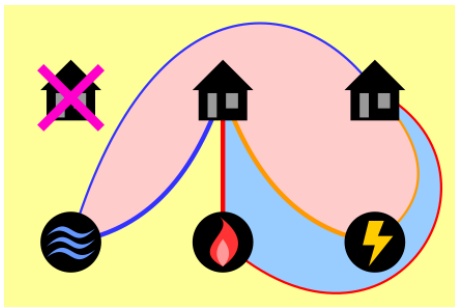
The *utility graph*  $K_{3,3}$  is non-planar.



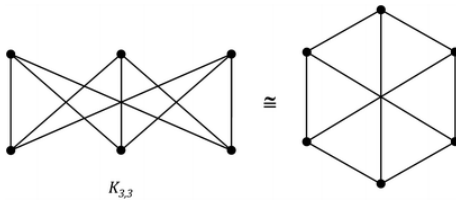
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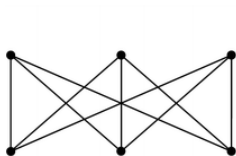
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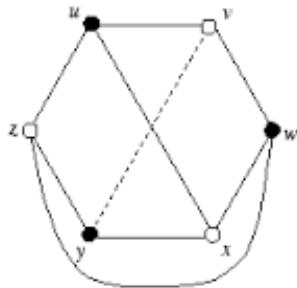
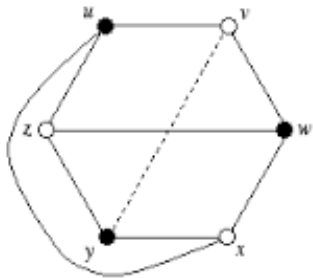
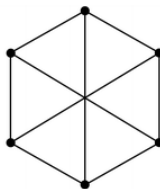
Proof without Words

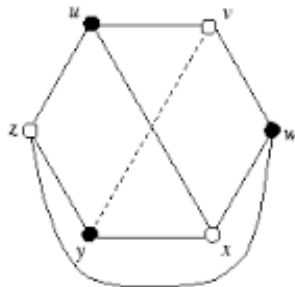
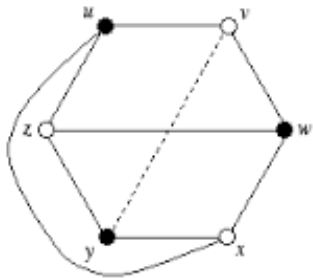
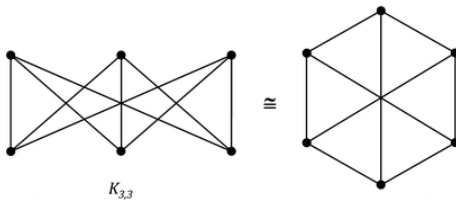




$K_{3,3}$

$\cong$

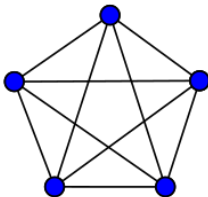




$$\text{cr}(K_{3,3}) = 1 \quad (\text{crossing number})$$

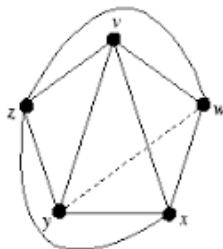
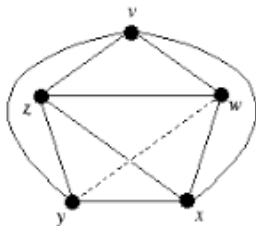
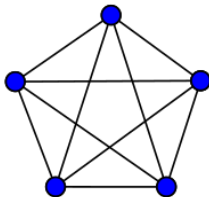
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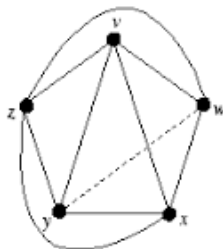
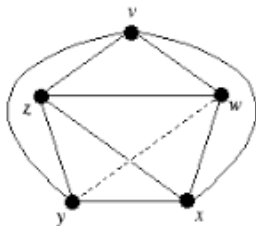
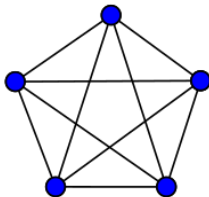
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## Theorem

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$$\text{cr}(K_5) = 1$$

Theorem (Kazimierz Kuratowski, 1930)

*A graph is planar iff it contains no subgraph homeomorphic to  $K_5$  or  $K_{3,3}$ .*

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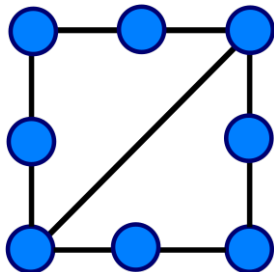
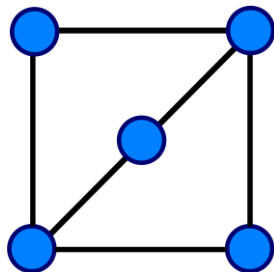
*“The  $K$  in  $K_5$  stands for Kazimierz,  
and the  $K$  in  $K_{3,3}$  stands for Kuratowski.”*

## Definition (Homeomorphic)

Two graphs are **homeomorphic** if one can be obtained from another by **inserting or contracting** vertices of **degree 2**.

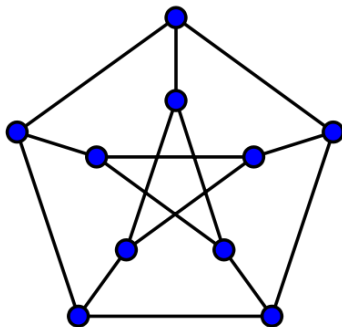
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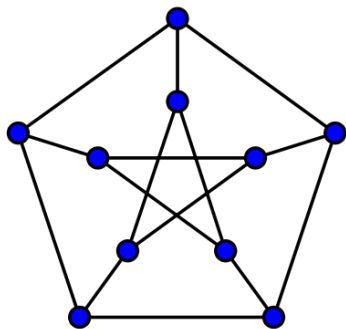


## Theorem

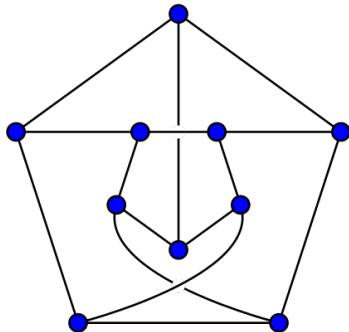
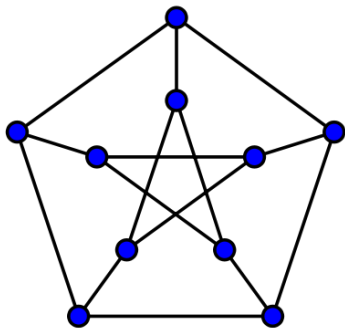
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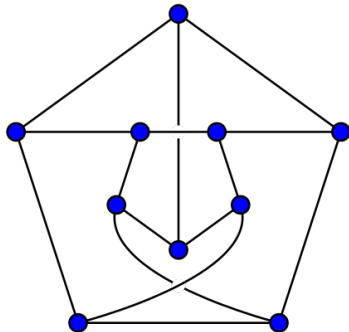
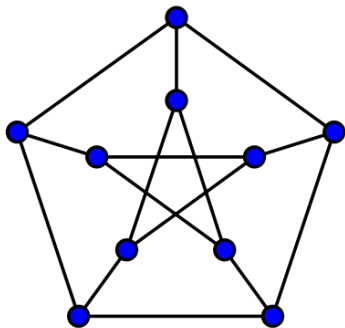


[https://github.com/courses-at-nju-by-hfwei/  
discrete-math-lectures/blob/main/11-planarity-coloring/  
figs/Kuratowski-Petersen.gif](https://github.com/courses-at-nju-by-hfwei/discrete-math-lectures/blob/main/11-planarity-coloring/figs/Kuratowski-Petersen.gif)









$$\text{cr}(\text{Petersen Graph}) = 2$$

A planar graph should not has too many edges.

## Theorem (Euler's Formula, 1750)

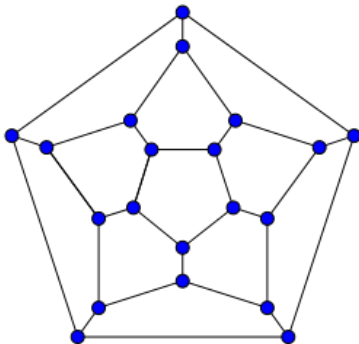
Let  $G$  be a *plane drawing* of a *connected* planar graph, and let  $n$ ,  $m$ , and  $f$  denote respectively the number of vertices, edges, and *faces* of  $G$ .

$$n - m + f = 2$$

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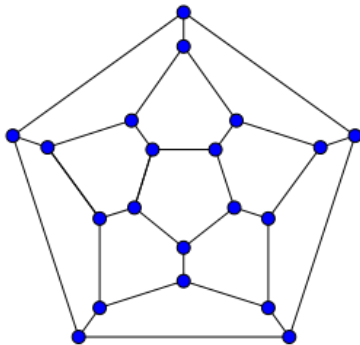
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$$n - m + f = 20 - 30 + 12 = 2$$

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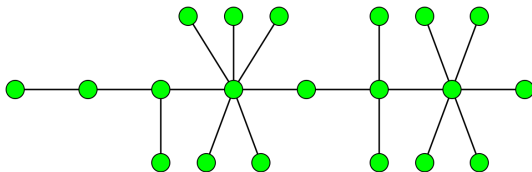
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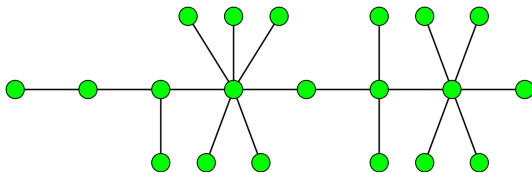




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Therefore,

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## Theorem

*Let  $G$  be a simple connected planar graph with  $n \geq 3$  vertices and  $m$  edges. Then*

$$m \leq 3n - 6.$$

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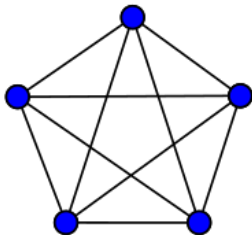
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Double Counting:

each face is bounded by  $\geq 3$  edges;  
each edge bounds 2 faces

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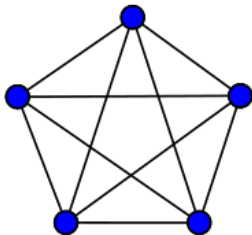
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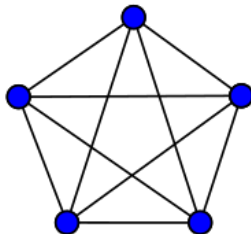
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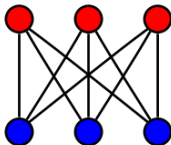


$$m \leq 3n - 6$$

$$10 \leq 3 \times 5 - 6$$

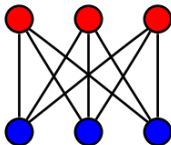
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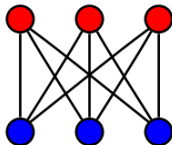
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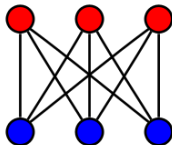


$$m \leq 3n - 6$$

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**FAILED**

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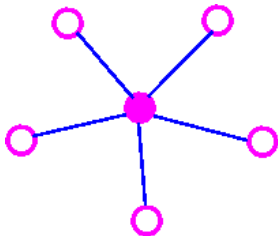
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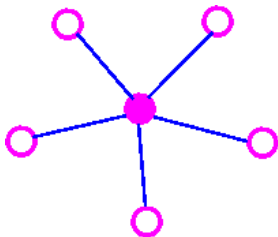
If  $\deg(v) < 5$ ,  $G$  is 5-colorable.

Now assume that  $\deg(v) = 5$ .



$$\{v, v_1\}, \{v, v_2\}, \{v, v_3\}, \{v, v_4\}, \{v, v_5\}$$

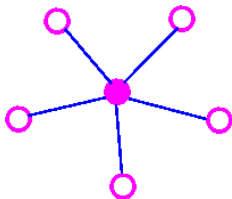
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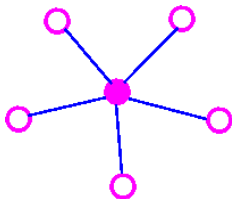
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If  $v_1, v_2, v_3, v_4$ , and  $v_5$  uses  $< 5$  colors, we are done.

Now assume that  $v_1$ ,  $v_2$ ,  $v_3$ ,  $v_4$ , and  $v_5$  uses 5 colors.

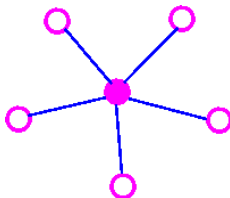


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Suppose that there is *no*  $v_1 \sim v_3$  path in  $G' = G - v$ ,  
all of whose vertices are colored red or blue.

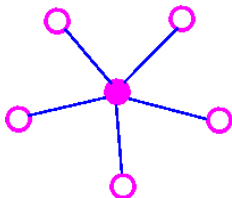
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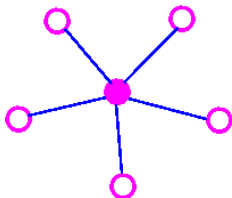
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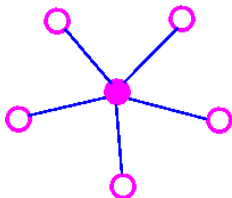
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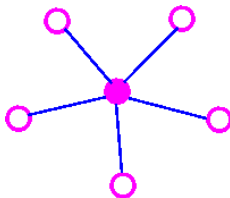
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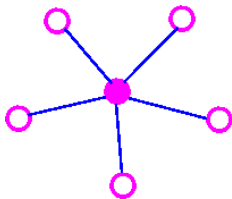
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Coloring  $v$  **red** produces a 5-coloring of  $G$ .

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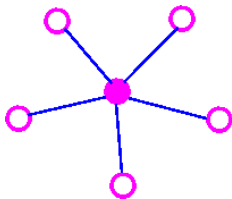


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There cannot be  $v_2 \sim v_4$  path in  $G' = G - v$ ,  
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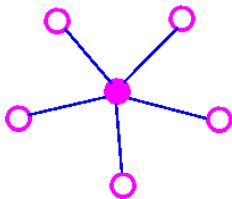
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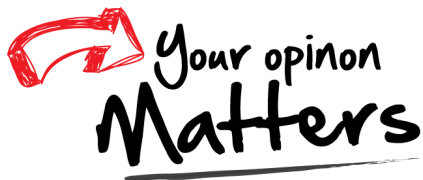


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By similar argument,  $G$  is 5-colorable.

Thank  
You!



Office 302

Mailbox: H016

hfwei@nju.edu.cn