

1-11 有穷与无穷

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2017 年 12 月 25 日





“das wesen der mathematik liegt in ihrer freiheit”

“The essence of mathematics lies in its freedom”



Comparing Sets





Definition ($|A| = |B|$ ($A \approx B$) (1878))

Two sets of A and B are *equipotent* if there exists a *bijection* from A to B .

“=” is an equivalence relation.

\overline{A} (two *abstractions*)

$\{1, 2, 3\}$ vs. $\{a, b, c\}$

$\{1, 2, 3, \dots\}$ vs. $\{1, 3, 5, \dots, 2, 4, 6, \dots\}$

Definition (Finite and Infinite)

For any set X ,

Finite

$$\exists n \in \mathbb{N} : |X| = n \quad (0 \in \mathbb{N})$$

Infinite (\neg finite)

$$\forall n \in \mathbb{N} : |X| \neq n$$

Q : How to prove that a set is infinite?

By contradiction.

Definition (Finite and Infinite)

For any set X ,

Countably Infinite

$$|X| = |\mathbb{N}| \triangleq \aleph_0$$

Countable

(finite \vee countably infinite)

Uncountably Infinite

$$(\neg \text{finite}) \wedge (\neg (\text{countably infinite}))$$

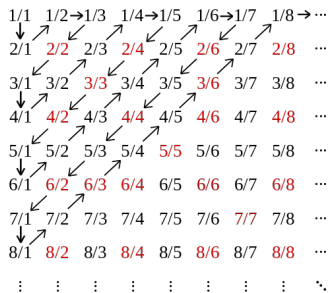
$$(\neg \text{countable})$$

Theorem (\aleph_0 (1874))

$$|\mathbb{Q}| = |\mathbb{N}|$$

$$|\mathbb{Q}| = |\mathbb{N}| \text{ (UD 22.9)}$$

$$q \in \mathbb{Q}^+ : a/b \ (a, b \in \mathbb{N}^+)$$



$$|\mathbb{N}| = |\mathbb{Z}|$$

$$|\mathbb{N}| = |\mathbb{N}| \times |\mathbb{N}|$$

Theorem (\mathbb{R} is uncountably infinite (1874).)

$$|\mathbb{R}| \neq |\mathbb{N}| \quad (|\mathbb{R}| > |\mathbb{N}|)$$

Different “Sizes” of Infinity

Cantor's Diagonal Argument (1890)

Theorem (Cantor's Theorem (1891))

$$|X| \neq |2^X| \quad (|X| < |2^X|)$$

Theorem ($|\mathbb{R}|$ (1877))

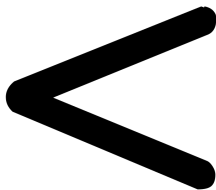
$$(0, 1) = |\mathbb{R}| = |\mathbb{R}| \times |\mathbb{R}| = |\mathbb{R}|^{n \in \mathbb{N}}$$

“Je le vois, mais je ne le crois pas !”

*“I see it, but I don't believe **it** !”*

— Cantor's letter to Dedekind (1877).

Q : What is “dimension”?



Definition ($|A| \leq |B|$)

$|A| \leq |B|$ if there exists an *one-to-one* function f from A into B .

bijection $f : A \rightarrow f(A) (\subseteq B)$

Q : What about onto function $f : A \rightarrow B$?

$|B| \leq |A|$ (Axiom of Choice)

Definition ($|A| < |B|$)

$$|A| < |B| \iff |A| \leq |B| \wedge |A| \neq |B|$$

$$|\mathbb{N}| < |\mathbb{R}|$$

$$|X| < |2^X|$$

Definition (Countable Revisited)

X is countable:

$$\exists n \in \mathbb{N} : |X| = n \vee |X| = |\mathbb{N}|$$

UD Exercise 22.5

X is countable iff there exists a one-to-one function

$$f : A \rightarrow \mathbb{N}.$$

X is countable iff

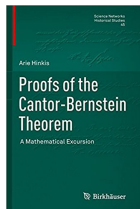
$$|X| \leq |\mathbb{N}|.$$

Q : Is “ \leq ” a partial order?

Theorem (Cantor-Schröder-Bernstein (1887))

$$|X| \leq |Y| \wedge |Y| \leq |X| \implies |X| = |Y|$$

$$\exists \text{ one-to-one } f : A \rightarrow B \wedge g : B \rightarrow A \implies \exists \text{ bijection } h : A \rightarrow B$$



Q : Is " \leq " a total order?

Theorem (PCC)

Principle of Cardinal Comparability (PCC) \iff Axiom of Choice

Finite Sets



“关于有穷，我原以为我是懂的”

学生反馈 (改编版)

“明明很显然的事情，为什么要那么繁琐的证明？
依靠直觉不可以吗？”

Definition (Finite)

X is finite if

$$\exists n \in \mathbb{N} : |X| = n$$

Theorem (Pigeonhole Principle (UD Theorem 21.2))

$$f : \{1, \dots, m\} \rightarrow \{1, \dots, n\} \quad (m, n \in \mathbb{N}^+, m > n)$$

f is not one-to-one.

$A \setminus \{a\}$ (UD 21.15)

Let A be a nonempty finite set with $|A| = n$ and let $a \in A$.
Prove that $A \setminus \{a\}$ is finite and $|A \setminus \{a\}| = n - 1$.

$$f : A \rightarrow \{1, \dots, n\}$$

$$f|_{A \setminus \{a\}} : A \setminus \{a\} \rightarrow \{1, \dots, n\} \setminus \{f(a)\}$$

$|A| \leq |B|$ (UD 21.17)

A and B are finite sets and $f : A \rightarrow B$ is one-to-one.

Show that $|A| \leq |B|$.

By contradiction and the pigeonhole principle.

(UD 21.16)

- (a) A is a finite set and $B \subseteq A$. We showed that B is finite (Corollary 20.11). Show that $|B| \leq |A|$.

one-to-one $f : B \rightarrow A$

- (b) A is a finite set and $B \subseteq A$. Show that if $B \neq A$, then $|B| < |A|$.

$$\exists a : a \in A \wedge a \notin B \quad f : B \rightarrow A \setminus \{a\} \quad |B| \leq |A \setminus \{a\}|$$

- (c) If two finite sets A and B satisfy $B \subseteq A$ and $|A| \leq |B|$, then $A = B$.

By contradiction and (b).

Cardinality of $|\text{ran}(f)|$ (UD 21.18)

Let A and B be sets with A finite.

$$f : A \rightarrow B$$

Prove that $|\text{ran}(f)| \leq |A|$.

one-to-one $g : \text{ran}(f) \rightarrow A$

(No Axiom of Choice Here)

$f : A \rightarrow A$ (UD 21.19)

Let A be a finite set.

$$f : A \rightarrow A$$

Prove that

$$f \text{ is one-to-one} \iff f \text{ is onto.}$$

\Leftarrow

\Rightarrow

By contradiction.

$$f' : A \rightarrow A \setminus \{a\}$$

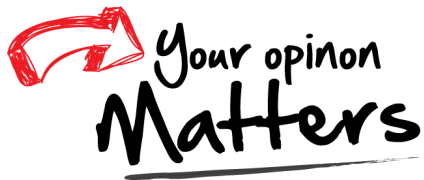
$$\forall y \in A \exists x \in A : y = f(x)$$

$$\forall y, \text{ choose } x : (g : g(y) = x)$$

g is bijective.

$$f(g(y)) = f(x) = y \implies f = g^{-1}$$

Thank
You!



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