#### 4-11 P and NP

Hengfeng Wei

hfwei@nju.edu.cn

May 20, 2019













"对于数学问题,自己想出解答, 和判断别人说的解答是否正确,何者比较简单"











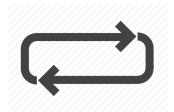


Always terminate.









May loop forever for "NO" instance.

### Definition (Halting Problem)

Input: An arbitrary program and input

Output: Will the program eventually halt?





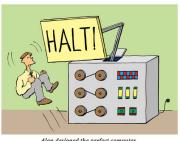
Alan designed the perfect computer

#### Definition (Halting Problem)

Input: An arbitrary program and input

Output: Will the program eventually halt?





Alan designed the perfect computer

#### Undecidable

#### Definition (Halting Problem)

Input: An arbitrary program and input

Output: Will the program eventually halt?





Alan designed the perfect computer

### Undecidable But Acceptable (Semi-decidable)

 $P = \{L : L \text{ is decided by a poly. time algorithm}\}$ 

$$P = \{L : L \text{ is decided by a poly. time algorithm}\}$$

Theorem (Theorem 34.2)

$$P = \{L : L \text{ is accepted by a poly. time algorithm}\}$$

$$P = \{L : L \text{ is decided by a poly. time algorithm}\}$$

Theorem (Theorem 34.2)

$$P = \left\{ L : L \text{ is accepted by a poly. time algorithm} \right\}$$

You can safely forget "semi-decidable" in computational complexity theory.



### Definition (NP)

$$L \in NP$$



 $\exists$  poly. time verifier V(x,c) such that

$$\forall x \in \{0,1\}^* : x \in L \iff \exists c \text{ with } |c| = O(|x|^k), V(x,c) = 1.$$

NP-problems has short certificates that are easy to verify.

 $\exists L : L \notin NP?$ 

### $\exists L : L \notin NP?$



Alan designed the perfect computer

 $\exists L : L \notin \text{NP} \land L \text{ is decidable?}$ 

#### $\exists L : L \notin NP \wedge L \text{ is decidable?}$

Theorem (Deterministic Time Hierarchy Theorem (1965))

$$f(n)\log f(n) = o\big(g(n)\big) \implies \mathit{DTIME}\big(f(n)\big) \subsetneqq \mathit{DTIME}\big(g(n)\big)$$

#### $\exists L : L \notin NP \wedge L \text{ is decidable?}$

Theorem (Deterministic Time Hierarchy Theorem (1965))

$$f(n)\log f(n) = o\big(g(n)\big) \implies \mathit{DTIME}\big(f(n)\big) \subsetneqq \mathit{DTIME}\big(g(n)\big)$$

$$P \subsetneqq EXP$$

#### $\exists L : L \notin \text{NP} \land L \text{ is decidable?}$

Theorem (Deterministic Time Hierarchy Theorem (1965))

$$f(n)\log f(n) = o\big(g(n)\big) \implies \mathit{DTIME}\big(f(n)\big) \subsetneqq \mathit{DTIME}\big(g(n)\big)$$

$$P \subsetneqq EXP$$

Theorem (Non-deterministic Time Hierarchy Theorem (Cook, 1972))

$$f(n+1) = o(g(n)) \implies \mathit{NTIME}(f(n)) \subsetneqq \mathit{NTIME}(g(n))$$

#### $\exists L : L \notin \text{NP} \land L \text{ is decidable?}$

Theorem (Deterministic Time Hierarchy Theorem (1965))

$$f(n)\log f(n) = o\big(g(n)\big) \implies \mathit{DTIME}\big(f(n)\big) \subsetneqq \mathit{DTIME}\big(g(n)\big)$$

$$P \subsetneqq EXP$$

Theorem (Non-deterministic Time Hierarchy Theorem (Cook, 1972))

$$f(n+1) = o(g(n)) \implies \mathit{NTIME}(f(n)) \subsetneqq \mathit{NTIME}(g(n))$$

 $\mathrm{NP} \subsetneqq \mathrm{NEXP}$ 



 $\exists L: L \notin \mathbf{NP} \wedge L \text{ is decidable?}$ 

 $\exists L : L \notin \text{NP} \land L \text{ is decidable?}$ 

"Equivalence of Regular Expressions with Squaring" is NEXP-complete:

$$e_1 \cup e_2, \quad e_1 \cdot e_2, \quad e^2$$

Closure of NP (CLRS 34.2-4)

NP is closed under  $\cup, \cap, \cdot, \star$ .

$$L_1 \in NP, L_2 \in NP \implies L = L_1 \circ L_2 \in NP$$

$$L_1 \in NP, L_2 \in NP \implies L = L_1 \cup L_2 \in NP$$

$$L_1 \in NP, L_2 \in NP \implies L = L_1 \cup L_2 \in NP$$

- 1: **procedure** V(x,c)
- 2: if  $c \neq c_1 \# c_2$  then
- 3: **return** 0
- 4: **return**  $V(x, c_1) \vee V(x, c_2)$

$$L_1 \in NP, L_2 \in NP \implies L = L_1 \cup L_2 \in NP$$

- 1: **procedure** V(x,c)
- 2: if  $c \neq c_1 \# c_2$  then
- 3: **return** 0
- 4: **return**  $V(x, c_1) \vee V(x, c_2)$

$$x \in L_1 \cup L_2 \iff \exists c, V(x,c) = 1$$



$$L_1 \in NP, L_2 \in NP \implies L = L_1 \cap L_2 \in NP$$

$$L_1 \in NP, L_2 \in NP \implies L = L_1 \cap L_2 \in NP$$

- 1: **procedure** V(x,c)
- 2: if  $c \neq c_1 \# c_2$  then
- 3: return 0
- 4: **return**  $V(x, c_1) \wedge V(x, c_2)$

$$L_1 \in NP, L_2 \in NP \implies L = L_1 \cap L_2 \in NP$$

- 1: **procedure** V(x,c)
- 2: if  $c \neq c_1 \# c_2$  then
- 3: **return** 0
- 4: **return**  $V(x, c_1) \wedge V(x, c_2)$

$$x \in L_1 \cap L_2 \iff \exists c, V(x,c) = 1$$



$$L_1 \in NP, L_2 \in NP \implies L = L_1 \cdot L_2 \in NP$$

$$L_1 \in NP, L_2 \in NP \implies L = L_1 \cdot L_2 \in NP$$

- 1: **procedure** V(x,c)
- 2: if  $c \neq c_1 \# c_2 \& m$  then
- 3: return 0
- 4: **return**  $V(x_{1...m}, c_1) \wedge V(x_{m+1...|x|}, c_2)$

$$L_1 \in NP, L_2 \in NP \implies L = L_1 \cdot L_2 \in NP$$

- 1: **procedure** V(x,c)
- if  $c \neq c_1 \# c_2 \& m$  then
- return 0 3:
- return  $V(x_{1...m}, c_1) \wedge V(x_{m+1...|x|}, c_2)$ 4:

$$x \in L_1 \cdot L_2 \iff \exists c, V(x,c) = 1$$



$$L \in NP \implies L^* \in NP$$

#### $L \in NP \implies L^* \in NP$

```
1: procedure V(x,c)
         for k \leftarrow 1 to |x| do
2:
              m_0 \leftarrow 0, m_k \leftarrow |x|
3:
              if c = c_1 \# c_2 \# \cdots \# c_k \& m_1 \& m_2 \& \cdots \& m_{k-1} then
4:
                   return \bigwedge_{i=k}^{i=k} V(x_{m_{i-1}+1...m_i}, c_i)
5:
```

#### $L \in NP \implies L^* \in NP$

```
1: procedure V(x, c)

2: for k \leftarrow 1 to |x| do

3: m_0 \leftarrow 0, m_k \leftarrow |x|

4: if c = c_1 \# c_2 \# \cdots \# c_k \& m_1 \& m_2 \& \cdots \& m_{k-1} then

5: return \bigwedge_{i=1}^{i=k} V(x_{m_{i-1}+1...m_i}, c_i)
```

$$x \in L^* \iff \exists c, A(x,c) = 1$$



 $L_1 \leq_p L_2$  if  $\exists$  poly. time function f such that

$$\forall x: x \in L_1 \iff f(x) \in L_2.$$

 $L_1 \leq_p L_2$  if  $\exists$  poly. time function f such that

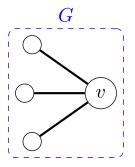
$$\forall x: x \in L_1 \iff f(x) \in L_2.$$

$$\forall L \in \text{NP}, \underline{L} \leq_p \underline{L'} \implies L' \text{ is NP-hard}$$

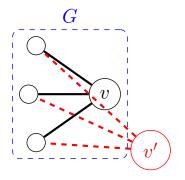
$$NP\text{-}complete = NP \cap NP\text{-}hard$$

 $\operatorname{HAM-CYCLE} \leq_p \operatorname{HAM-PATH}$ 

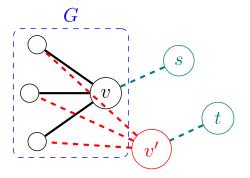
## HAM-CYCLE $\leq_p HAM$ -PATH



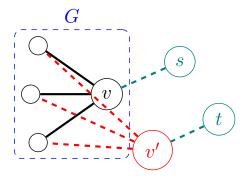
### HAM-CYCLE $\leq_p HAM$ -PATH



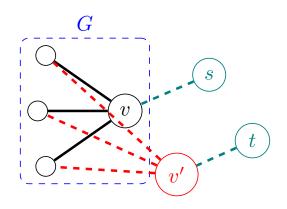
## HAM-CYCLE $\leq_p HAM$ -PATH

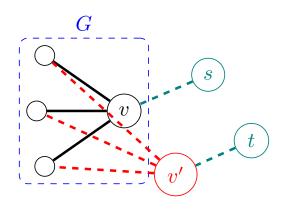


### HAM-CYCLE $\leq_p HAM$ -PATH

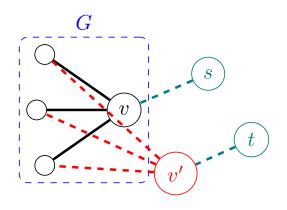


 $G \in \text{HAM-CYCLE} \iff G' \in \text{HAM-PATH}$ 





$$\deg(v) \ge 2$$



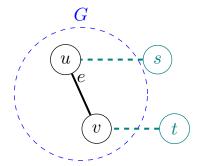
$$deg(v) \ge 2$$

$$\forall u \in V(G) : \deg(u) \ge 2$$

 $\operatorname{HAM-CYCLE} \leq_p \operatorname{HAM-PATH}$ 

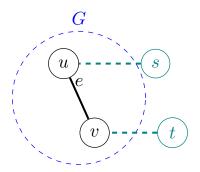
# $\operatorname{HAM-CYCLE} \leq_p \operatorname{HAM-PATH}$

 $\forall e \in G : \text{Construct } G_e$ 



### HAM-CYCLE $\leq_p HAM$ -PATH

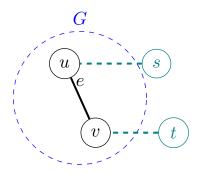
 $\forall e \in G : \text{Construct } G_e$ 



G has a HC containing  $e \iff G_e$  has a HP

### HAM-CYCLE $\leq_p HAM$ -PATH

 $\forall e \in G : \text{Construct } G_e$ 



G has a HC containing  $e \iff G_e$  has a HP

 $G \in \text{HAM-CYCLE} \iff \exists G_e : G_e \text{ has a HP}$ 

### HAM-CYCLE $\leq_p HAM$ -PATH



G has a HC containing  $e \iff G_e$  has a HP

 $G \in \text{HAM-CYCLE} \iff \exists G_e : G_e \text{ has a HP}$ 

 $L_1 \leq_p L_2$  if  $\exists$  poly. time function f such that

$$\forall x: x \in L_1 \iff f(x) \in L_2.$$

 $L_1 \leq_p L_2$  if  $\exists$  poly. time function f such that

$$\forall x: x \in L_1 \iff f(x) \in L_2.$$

$$x \text{ for } L_1 \mapsto x' = f(x) \text{ for L2}$$

 $L_1 \leq_p L_2$  if  $\exists$  poly. time function f such that

$$\forall x : x \in L_1 \iff f(x) \in L_2.$$

$$x \text{ for } L_1 \mapsto x' = f(x) \text{ for L2}$$

Call the oracle  $O_2$  for  $L_2$  once

 $L_1 \leq_p L_2$  if  $\exists$  poly. time function f such that

$$\forall x : x \in L_1 \iff f(x) \in L_2.$$

$$x \text{ for } L_1 \mapsto x' = f(x) \text{ for L2}$$

Call the oracle  $O_2$  for  $L_2$  once

Answer whatever  $O_2$  returns

 $L_1 \leq_p L_2$  if  $\exists$  poly. time function f such that

$$\forall x: x \in L_1 \iff f(x) \in L_2.$$

$$x \text{ for } L_1 \mapsto x' = f(x) \text{ for L2}$$

Call the oracle  $O_2$  for  $L_2$  once

Answer whatever  $O_2$  returns



 $L_1 \leq_p L_2$  if  $\exists$  poly. time function f such that

$$\forall x: x \in L_1 \iff f(x) \in L_2.$$

 $L_1 \leq_p L_2$  if  $\exists$  poly. time function f such that

$$\forall x : x \in L_1 \iff f(x) \in L_2.$$

Karp Reduction

 $L_1 \leq_p L_2$  if  $\exists$  poly. time function f such that

$$\forall x : x \in L_1 \iff f(x) \in L_2.$$

## Karp Reduction



REDUCIBILITY AMONG COMBINATORIAL PROBLEMS

Richard M. Karp University of California at Berkeley

(1972)

Richard M. Karp (1935  $\sim$ )

#### Cook Reduction



The Complexity of Theorem-Proving Procedures

Stephen A. Cook

University of Toronto

(1971)

Stephen Cook (1939  $\sim$ )

 $\text{UNSAT} = \Big\{ \varphi : \varphi \text{ is unsatisfiable.} \Big\}$ 

Q: Is UNSAT NP-hard?

 $\text{UNSAT} = \Big\{ \varphi : \varphi \text{ is unsatisfiable.} \Big\}$ 

Q: Is UNSAT NP-hard?

$$\text{UNSAT} = \Big\{ \varphi : \varphi \text{ is unsatisfiable.} \Big\}$$

$$SAT \leq_p UNSAT$$

$$\label{eq:unsatz} \text{UNSAT} = \left\{ \varphi : \varphi \text{ is unsatisfiable.} \right\}$$

$$\mathrm{SAT} \leq_p \mathrm{UNSAT}$$

$$x \in SAT \iff x \notin UNSAT$$

$$\label{eq:unsatz} \text{UNSAT} = \left\{ \varphi : \varphi \text{ is unsatisfiable.} \right\}$$

$$SAT \leq_p UNSAT$$

$$x \in SAT \iff x \notin UNSAT$$



$$\label{eq:unsatz} \text{UNSAT} = \left\{ \varphi : \varphi \text{ is unsatisfiable.} \right\}$$

Proof.

$$SAT \leq_p UNSAT$$

 $x \in SAT \iff x \notin UNSAT$ 



 $\forall x : x \in L_1 \iff f(x) \in L_2$ 





Office 302

Mailbox: H016

hfwei@nju.edu.cn