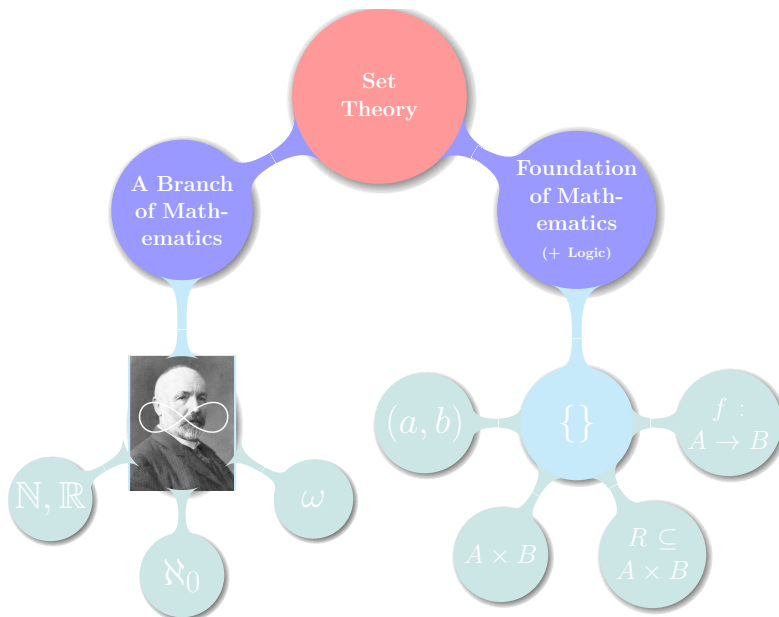


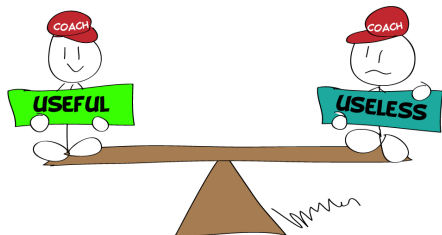
1-9 关系及其基本性质

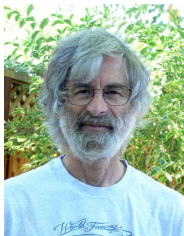
魏恒峰

hfwei@nju.edu.cn

2017 年 12 月 11 日







Time, Clocks, and the Ordering of Events in a Distributed System

Leslie Lamport
Massachusetts Computer Associates, Inc.

The concept of one event happening before another in a distributed system is examined, and is shown to define a partial ordering of the events. A distributed algorithm is given for synchronizing a system of logical clocks which can be used to totally order the events.

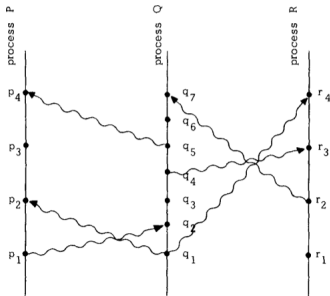


Figure 13. A selection of consistency axioms over an execution $(E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar})$

Auxiliary relations

$\text{sameobj}(e, f) \iff \text{obj}(e) = \text{obj}(f)$

Per-object causality (aka happens-before) order:

$$\text{hbo} = ((\text{ro} \cap \text{sameobj}) \cup \text{vis})^+$$

Causality (aka happens-before) order: $\text{hb} = (\text{ro} \cup \text{vis})^+$

Axioms

EVENTUAL:

$$\forall e \in E. \neg(\exists \text{ infinitely many } f \in E. \text{sameobj}(e, f) \wedge \neg(e \xrightarrow{\text{vis}} f))$$

THINAIR: $\text{ro} \cup \text{vis}$ is acyclic

POCV (Per-Object Causal Visibility): $\text{hbo} \subseteq \text{vis}$

POCA (Per-Object Causal Arbitration): $\text{hbo} \subseteq \text{ar}$

COCV (Cross-Object Causal Visibility): $(\text{hb} \cap \text{sameobj}) \subseteq \text{vis}$

COCA (Cross-Object Causal Arbitration): $\text{hb} \cup \text{ar}$ is acyclic



Figure 13. A selection of consistency axioms over an execution $(E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar})$

Auxiliary relations

sameobj(e, f) $\iff \text{obj}(e) = \text{obj}(f)$

Per-object causality (aka happens-before) order:

$\text{hbo} = ((\text{ro} \cap \text{sameobj}) \cup \text{vis})^+$

Causality (aka happens-before) order: $\text{hb} = (\text{ro} \cup \text{vis})^+$

Axioms

EVENTUAL:

$\forall e \in E. \neg(\exists \text{infinitely many } f \in E. \text{sameobj}(e, f) \wedge \neg(e \xrightarrow{\text{vis}} f))$

THINAIR: $\text{ro} \cup \text{vis}$ is acyclic

POCV (Per-Object Causal Visibility): $\text{hbo} \subseteq \text{vis}$

POCA (Per-Object Causal Arbitration): $\text{hbo} \subseteq \text{ar}$

COCV (Cross-Object Causal Visibility): $(\text{hb} \cap \text{sameobj}) \subseteq \text{vis}$

COCA (Cross-Object Causal Arbitration): $\text{hb} \cup \text{ar}$ is acyclic

Figure 17. Optimized state-based multi-value register and its simulation

$$\begin{aligned} \Sigma &= \text{ReplicatedID} \times \mathcal{P}(\mathbb{Z} \times (\text{ReplicatedID} \rightarrow \mathbb{N})) \\ \delta_0 &= (r, \emptyset) \\ M &= \mathcal{P}(\mathbb{Z} \times (\text{ReplicatedID} \rightarrow \mathbb{N})) \\ \text{do}(w(a), (r, V), t) &= ((r, \{(a, s) \mid s \neq r \text{ then } \max\{v(s) \mid (a, v) \in V\} \\ &\quad \text{else } \max\{v(s) \mid (a, v) \in V\} + 1\}\}), \perp) \\ \text{del}(r, (r, V), t) &= ((r, V'), \{(a, v) \mid (a, v) \in V\}) \\ \text{send}((r, V), t) &= ((r, V'), V) \\ \text{receive}((r, V), V') &= ((r, (a, v) \in V^{V'}) \\ &\quad \vee \bigcup_{s \in \mathbb{Z}} \bigcup_{k \in \mathbb{N}} \{\exists a'. (a', v') \in V^{V'} \wedge a \neq a'\})), \\ \text{where } V^{V'} &= \{(a, \bigcup_{v' \in V'} \{v' \mid (a, v') \in V \cup V'\}) \mid (a, v) \in V \cup V^{V'}\} \\ (a, V) [\mathbb{R}_c] &= (r, a) \wedge (V' [M] t) \\ V [M] ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info}) &\iff \\ (\forall(a, v), (a', v') \in V. (a = a' \implies v = v') \wedge \\ (\forall(a, v) \in V. \exists a, v(s) > 0) \wedge \\ (\forall(a, v) \in V. \exists a, v(s) > 0) \wedge \\ \exists \text{distinct } e_{a,k} \\ \{(e \in E \mid \exists a. \text{oper}(e) = \text{wr}(a)) = \{e_{a,k} \mid a \in \text{ReplicatedID} \wedge \\ 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\}\}) \wedge \\ (\forall a, j, k. (\text{repl}(e_{a,k}) = s) \wedge (e_{a,j} \xrightarrow{\text{ro}} e_{a,k} \iff j < k)) \wedge \\ (\forall(a, v) \in V. \forall j. [j \mid \text{oper}(e_{a,j}) = \text{wr}(a)]) \cup \\ [j \mid \exists a, k. e_{a,j} \xrightarrow{\text{ro}} e_{a,k} \wedge \text{oper}(e_{a,k}) = \text{wr}(a)]) = \\ [j \mid 1 \leq j \leq v(q)]) \wedge \\ (\forall e \in E. (\text{oper}(e) = \text{wr}(a)) \wedge \\ \neg \exists f \in E. \text{oper}(f) = \text{wr}(a) \wedge e \xrightarrow{\text{ro}} f) \implies (a, v) \in V) \end{aligned}$$

the former. The only non-trivial obligation is to show that if

$$V [M] ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}), \text{info}),$$

then

$$\{a\} (a, v) \subseteq \{a \mid \exists e \in E. \text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists a'. \text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f\} \quad (13)$$

(the reverse inclusion is straightforwardly implied by \mathbb{R}_c).

$$\text{Take } (a, v) \in V. \text{ We have } \forall(a, v) \in V. \exists a, v(s) > 0. \\ v \in \bigcup_{s \in \mathbb{Z}} \bigcup_{k \in \mathbb{N}} \{\exists a'. (a', v') \in V \wedge a \neq a'\}$$

and

$$\begin{aligned} \forall(a, v) \in V. \forall j. [j \mid \text{oper}(e_{a,j}) = \text{wr}(a)] \cup \\ [j \mid \exists a, k. e_{a,j} \xrightarrow{\text{ro}} e_{a,k} \wedge \text{oper}(e_{a,k}) = \text{wr}(a)] = \\ [j \mid 1 \leq j \leq v(q)]. \end{aligned}$$

From this we get that for some $e \in E$

$$\begin{aligned} \text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists a'. \text{oper}(f) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f, \\ \text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \text{oper}(f) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f. \end{aligned}$$

Since vis is acyclic, this implies that for some $e' \in E$

$$\text{oper}(e') = \text{wr}(a) \wedge \neg \exists f \in E. \text{oper}(f) = \text{wr}(a') \wedge e' \xrightarrow{\text{ro}} f,$$

which establishes (13).

Let us now discuss **RECEIVE**. Let $\text{receive}((r, V), V') = (r, V'')$, where

$$\begin{aligned} V'' = \{(a, \bigcup_{v' \in V'} \{v' \mid (a, v') \in V \cup V'\}) \mid (a, v) \in V \cup V''\}; \\ V''' = \{(a, v) \in V'' \mid v \in \bigcup_{s \in \mathbb{Z}} \bigcup_{k \in \mathbb{N}} \{(a', v') \in V \mid a \neq a'\}\}. \end{aligned}$$

Assume $(r, V) [\mathbb{R}_c] f, V' [M] J$ and

$$\begin{aligned} I &= ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info}); \\ J &= ((E', \text{repl}', \text{obj}', \text{oper}', \text{rval}', \text{ro}', \text{vis}', \text{ar}'), \text{info}'); \\ I \sqcup J &= ((E'', \text{repl}'', \text{obj}'', \text{oper}'', \text{rval}'', \text{ro}'', \text{vis}'', \text{ar}''), \text{info}''). \end{aligned}$$

By agree we have $I \sqcup J \in \text{EX}$. Then

$$\begin{aligned} (\forall(a, v), (a', v') \in V. (a = a' \implies v = v') \wedge \\ (\forall(a, v) \in V. \exists a, v(s) > 0)) \wedge \\ (\forall(a, v) \in V. v \in \bigcup_{s \in \mathbb{Z}} \bigcup_{k \in \mathbb{N}} \{\exists a'. (a', v') \in V \wedge a \neq a'\}) \wedge \\ \exists \text{distinct } e_{a,k} \\ \{(e \in E \mid \exists a. \text{oper}''(e) = \text{wr}(a)) = \{e_{a,k} \mid a \in \text{ReplicatedID} \wedge \\ 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\}\}) \wedge \\ (\forall a, j, k. (\text{repl}''(e_{a,k}) = s) \wedge (e_{a,j} \xrightarrow{\text{ro}} e_{a,k} \iff j < k)) \wedge \\ (\forall(a, v) \in V. \forall j. [j \mid \text{oper}''(e_{a,j}) = \text{wr}(a)]) \cup \\ [j \mid \exists a, k. e_{a,j} \xrightarrow{\text{ro}} e_{a,k} \wedge \text{oper}''(e_{a,k}) = \text{wr}(a)]) = \\ [j \mid 1 \leq j \leq v(q)]) \wedge \\ (\forall e \in E. (\text{oper}''(e) = \text{wr}(a)) \wedge \\ \neg \exists f \in E. \text{oper}''(f) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f) \implies (a, v) \in V) \end{aligned}$$

and

$$\begin{aligned} (\forall(a, v), (a', v') \in V'. (a = a' \implies v = v') \wedge \\ (\forall(a, v) \in V'. \exists a, v(s) > 0) \wedge \\ (\forall(a, v) \in V'. v \in \bigcup_{s \in \mathbb{Z}} \bigcup_{k \in \mathbb{N}} \{\exists a'. (a', v') \in V' \wedge a \neq a'\}) \wedge \\ \exists \text{distinct } e'_{a,k} \\ \{(e \in E' \mid \exists a. \text{oper}'(e) = \text{wr}(a)) = \{e'_{a,k} \mid a \in \text{ReplicatedID} \wedge \\ 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V'\}\}) \wedge \\ (\forall a, j, k. (\text{repl}'(e'_{a,k}) = s) \wedge (e'_{a,j} \xrightarrow{\text{ro}} e'_{a,k} \iff j < k)) \wedge \\ (\forall(a, v) \in V'. \forall j. [j \mid \text{oper}'(e'_{a,j}) = \text{wr}(a)]) \cup \\ [j \mid \exists a, k. e'_{a,j} \xrightarrow{\text{ro}} e'_{a,k} \wedge \text{oper}'(e'_{a,k}) = \text{wr}(a)]) = \\ [j \mid 1 \leq j \leq v'(q)]) \wedge \\ (\forall e \in E'. (\text{oper}'(e) = \text{wr}(a)) \wedge \\ \neg \exists f \in E'. \text{oper}'(f) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f) \implies (a, v) \in V'). \end{aligned}$$

The agree property also implies

$$\forall a, k. 1 \leq k \leq \min\{\max\{v(s) \mid \exists a. (a, v) \in V\}, \max\{v'(s) \mid \exists a. (a, v) \in V'\}\} \implies e_{a,k} = e'_{a,k}.$$

Hence, there exist distinct

$$\begin{aligned} e''_{a,s} \text{ for } s \in \text{ReplicatedID}, k = 1..(\max\{v(s) \mid \exists a. (a, v) \in V''\}), \\ \text{such that} \\ (\forall a, k. 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \implies e''_{a,k} = e_{a,k}) \wedge \\ (\forall a, k. 1 \leq k \leq \max\{v'(s) \mid \exists a. (a, v) \in V'\} \implies e''_{a,k} = e'_{a,k}) \wedge \\ \text{and} \\ \{(e \in E \cup E' \mid \exists a. \text{oper}''(e) = \text{wr}(a)) = \{e''_{a,k} \mid s \in \text{ReplicatedID} \wedge 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V''\}\}) \wedge \\ (\forall a, j, k. (\text{repl}''(e''_{a,k}) = s) \wedge (e''_{a,j} \xrightarrow{\text{ro}} e''_{a,k} \iff j < k)). \end{aligned}$$

By the definition of V'' and V''' we have

$$\forall(a, v), (a', v') \in V''. (a = a' \implies v = v').$$

We also straightforwardly get

$$\forall(a, v) \in V''. \exists a, v(s) > 0$$

and

$$\begin{aligned} (\forall(a, v) \in V''. \forall j. [j \mid \text{oper}''(e''_{a,j}) = \text{wr}(a)]) \cup \\ [j \mid \exists a, k. e''_{a,j} \xrightarrow{\text{ro}} e''_{a,k} \wedge \text{oper}''(e''_{a,k}) = \text{wr}(a)]) = \quad (14) \\ [j \mid 1 \leq j \leq v''(q)]. \end{aligned}$$

Power Set

$\{a,b,c\}$

$\left\{ \begin{array}{l} \{\}, \\ \{a\}, \{b\}, \{c\}, \\ \{a,b\}, \{a,c\}, \{b,c\}, \\ \{a,b,c\} \end{array} \right\}$

Definition (Axiom of Power Set)

$$\forall X \exists Y \forall u (u \subseteq X \iff u \in Y)$$

$$\mathcal{P}(X)$$

Definition (Axiom of Power Set)

$$\forall X \exists Y \forall u (u \subseteq X \iff u \in Y)$$

$$\mathcal{P}(X)$$

$$2^X = \{0, 1\}^X$$

$$\mathcal{P}(\{\text{🍏 🍌}\}) = \left\{ \left\{ \begin{array}{c} \text{🍏 🍌} \\ \text{🍏} \\ \text{🍌} \end{array} \right\} \right\} \cong \left\{ \begin{array}{cc} \text{in} & \text{in} \\ \text{in} & \text{out} \\ \text{out} & \text{in} \\ \text{out} & \text{out} \end{array} \right\}$$

$$S \in \mathcal{P}(X) \iff S \subseteq X$$

“ \subseteq ” (UD 9.4)

$$A \subseteq B \iff \mathcal{P}(A) \subseteq \mathcal{P}(B)$$

“ \subseteq ” (UD 9.4)

$$A \subseteq B \iff \mathcal{P}(A) \subseteq \mathcal{P}(B)$$

$$A \subseteq B \implies \mathcal{P}(A) \subseteq \mathcal{P}(B)$$

$$\mathcal{P}(A) \subseteq \mathcal{P}(B) \implies A \subseteq B$$

$$x \in \mathcal{P}(A) \implies x \subseteq A$$

$$x \in A \implies \{x\} \subseteq \mathcal{P}(A)$$

“ \subseteq ” (UD 9.2)

$$\mathcal{P}(A) \cup \mathcal{P}(B) \subseteq \mathcal{P}(A \cup B)$$

“ \subseteq ” (UD 9.2)

$$\mathcal{P}(A) \cup \mathcal{P}(B) \subseteq \mathcal{P}(A \cup B)$$

Proof.

$$A \subseteq B \iff \mathcal{P}(A) \subseteq \mathcal{P}(B)$$

“ \subseteq ” (UD 9.2)

$$\mathcal{P}(A) \cup \mathcal{P}(B) \subseteq \mathcal{P}(A \cup B)$$

Proof.

$$A \subseteq B \iff \mathcal{P}(A) \subseteq \mathcal{P}(B)$$

$$\forall x (x \in \mathcal{P}(A) \cup \mathcal{P}(B) \implies x \in \mathcal{P}(A \cup B))$$



“ \subseteq ” (UD 9.2)

$$\mathcal{P}(A) \cup \mathcal{P}(B) \subseteq \mathcal{P}(A \cup B)$$

Proof.

$$A \subseteq B \iff \mathcal{P}(A) \subseteq \mathcal{P}(B)$$

$$\forall x (x \in \mathcal{P}(A) \cup \mathcal{P}(B) \implies x \in \mathcal{P}(A \cup B))$$



$$\mathcal{P}(A) \cup \mathcal{P}(B) \neq \mathcal{P}(A \cup B)$$

“ \subseteq ” (UD 9.2)

$$\mathcal{P}(A) \cup \mathcal{P}(B) \subseteq \mathcal{P}(A \cup B)$$

Proof.

$$A \subseteq B \iff \mathcal{P}(A) \subseteq \mathcal{P}(B)$$

$$\forall x (x \in \mathcal{P}(A) \cup \mathcal{P}(B) \implies x \in \mathcal{P}(A \cup B))$$

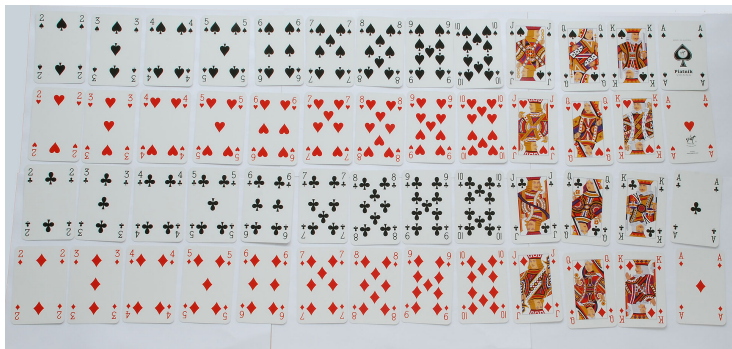


$$\mathcal{P}(A) \cup \mathcal{P}(B) \not\subseteq \mathcal{P}(A \cup B)$$

UD Exercise 9.3

$$\mathcal{P}(A) \cap \mathcal{P}(B) = \mathcal{P}(A \cap B)$$

Ordered Pair and Cartesian Product



Definitions of (a, b) and $A \times B$ (UD 9.16)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

$$(a, b) = (x, y) \iff a = x \wedge b = y$$

Definitions of (a, b) and $A \times B$ (UD 9.16)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

$$(a, b) = (x, y) \iff a = x \wedge b = y$$

$$\{\{a\}, \{a, b\}\} = \{\{x\}, \{x, y\}\} \implies a = x \wedge b = y$$

Definitions of (a, b) and $A \times B$ (UD 9.16)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

$$(a, b) = (x, y) \iff a = x \wedge b = y$$

$$\{\{a\}, \{a, b\}\} = \{\{x\}, \{x, y\}\} \implies a = x \wedge b = y$$

Q : What is wrong with this proof?

$$(1) \begin{cases} \{a\} &= \{x\} \\ \{a, b\} &= \{x, y\} \end{cases} \implies \begin{cases} a = x \\ b = y \end{cases}$$

$$(2) \begin{cases} \{a\} &= \{x, y\} \\ \{a, b\} &= \{x\} \end{cases} \implies \text{no solution.}$$

Definitions of (a, b) and $A \times B$ (UD 9.16)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

$$(a, b) = (x, y) \iff a = x \wedge b = y$$

$$\{\{a\}, \{a, b\}\} = \{\{x\}, \{x, y\}\} \implies a = x \wedge b = y$$

Proof.

CASE $a = b$

CASE $a \neq b$



Definitions of (a, b) and $A \times B$ (UD 9.16)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

$$(a, b) = (x, y) \iff a = x \wedge b = y$$

$$\{\{a\}, \{a, b\}\} = \{\{x\}, \{x, y\}\} \implies a = x \wedge b = y$$

Proof.

CASE $a = b$

CASE $a \neq b$

$$(a, a) = \{\{a\}\}$$



Definitions of (a, b) and $A \times B$ (UD 9.16)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

$$(a, b) = (x, y) \iff a = x \wedge b = y$$

$$\{\{a\}, \{a, b\}\} = \{\{x\}, \{x, y\}\} \implies a = x \wedge b = y$$

Proof.

CASE $a = b$

$$(a, a) = \{\{a\}\}$$

CASE $a \neq b$

$$\{a\} = \{x\} \quad \{a, b\} = \{x, y\}$$



Definitions of (a, b) and $A \times B$ (UD 9.16)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

$$a \in A \wedge b \in B \implies (a, b) \in \mathcal{P}(\mathcal{P}(A \cup B))$$

Definitions of (a, b) and $A \times B$ (UD 9.16)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

$$a \in A \wedge b \in B \implies (a, b) \in \mathcal{P}(\mathcal{P}(A \cup B))$$

$$A \times B = \{x \in \mathcal{P}(\mathcal{P}(A \cup B)) \mid \exists a \in A \exists b \in B : x = (a, b)\}$$

$$A \subseteq C \wedge B \subseteq D \implies A \times B \subseteq C \times D$$

Cartesian Product and “ \subseteq ” (UD 9.13)

$$A \times B \subseteq C \times D \stackrel{?}{\implies} A \subseteq C \wedge B \subseteq D$$

Cartesian Product and “ \subseteq ” (UD 9.13)

$$A \times B \subseteq C \times D \stackrel{?}{\implies} A \subseteq C \wedge B \subseteq D$$

Disproof.

$$(x, y) \in A \times B \implies (x, y) \in C \times D$$

$$x \in A \wedge y \in B \implies x \in C \wedge y \in D$$

$$(x \in A \implies x \in C) \wedge (y \in B \implies y \in D)$$

$$(A \subseteq C) \wedge (B \subseteq D)$$

Cartesian Product and “ \subseteq ” (UD 9.13)

$$A \times B \subseteq C \times D \stackrel{?}{\implies} A \subseteq C \wedge B \subseteq D$$

Disproof.

$$(x, y) \in A \times B \implies (x, y) \in C \times D$$

$$x \in A \wedge y \in B \implies x \in C \wedge y \in D$$

$$(x \in A \implies x \in C) \wedge (y \in B \implies y \in D)$$

$$(A \subseteq C) \wedge (B \subseteq D)$$

$$A = \emptyset \vee B = \emptyset$$



Cartesian Product and “ \subseteq ” (UD 9.13)

$$A \times B \subseteq C \times D \stackrel{?}{\implies} A \subseteq C \wedge B \subseteq D$$

Disproof.

$$(x, y) \in A \times B \implies (x, y) \in C \times D$$

$$x \in A \wedge y \in B \implies x \in C \wedge y \in D$$

$$(x \in A \implies x \in C) \wedge (y \in B \implies y \in D)$$

$$(A \subseteq C) \wedge (B \subseteq D)$$

$$A = \emptyset \vee B = \emptyset$$



$$A \times B \subseteq C \times D \stackrel{A, B \neq \emptyset}{\implies} A \subseteq C \wedge B \subseteq D$$

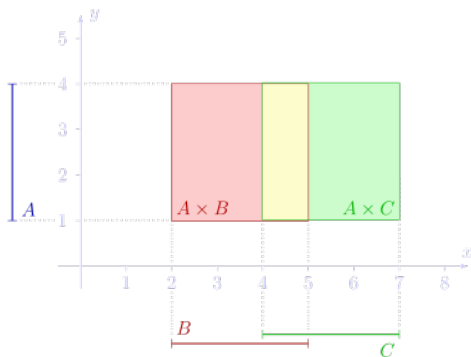
By contradiction.

Distributive Laws (UD 9.14)

$$A \times (B \cup C) = (A \times B) \cup (A \times C)$$

$$A \times (B \cap C) = (A \times B) \cap (A \times C)$$

$$A \times (B \setminus C) = (A \times B) \setminus (A \times C)$$



Relation



燕小六：“帮我照顾好我七舅姥爷和我外甥女”

$G = \{(a, b) : a \text{ 是 } b \text{ 的舅姥爷}\}$

$N = \{(a, b) : a \text{ 是 } b \text{ 的外甥女}\}$

$G \cup N$

$G = \{(a, b) : a \text{ 是 } b \text{ 的舅姥爷}\}$

$N = \{(a, b) : a \text{ 是 } b \text{ 的外甥女}\}$

$G \cup N$

“ B ” Brother

“ F ” Father

“ O ” Son

“ S ” Sister

“ M ” Mather

“ D ” Dau.

$$G = \{(a, b) : a \text{ 是 } b \text{ 的舅姥爷}\}$$

$$N = \{(a, b) : a \text{ 是 } b \text{ 的外甥女}\}$$

$$G \cup N$$

“ B ” Brother

“ F ” Father

“ O ” Son

“ S ” Sister

“ M ” Mather

“ D ” Dau.

$$G = B \circ M \circ M$$

$$G = \{(a, b) : a \text{ 是 } b \text{ 的舅姥爷}\}$$

$$N = \{(a, b) : a \text{ 是 } b \text{ 的外甥女}\}$$

$$G \cup N$$

“ B ” Brother

“ F ” Father

“ O ” Son

“ S ” Sister

“ M ” Mather

“ D ” Dau.

$$G = B \circ M \circ M$$

$$N = D \circ S$$

$$G = \{(a, b) : a \text{ 是 } b \text{ 的舅姥爷}\}$$

$$N = \{(a, b) : a \text{ 是 } b \text{ 的外甥女}\}$$

$$G \cup N$$

“ B ” Brother

“ F ” Father

“ O ” Son

“ S ” Sister

“ M ” Mather

“ D ” Dau.

$$G = B \circ M \circ M$$

$$N = D \circ S$$

$$G = (B \circ M) \circ M = B \circ (M \circ M)$$

$$R \subseteq X \times Y$$

R is a relation **from** X **to** Y .

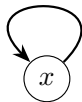
$$R \subseteq X \times X$$

R is a relation **on** X .
(over)

Definition (Equivalence Relation)

R is an **equivalence relation** on X if R is

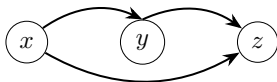
Reflexive: xRx



Symmetric: $xRy \implies yRx$



Transitive: $xRy \wedge yRz \implies xRz$



Definition (Equivalence Class)

$$(X, \sim)$$

The equivalence class of x is a **set**:

$$E_x = \{y \in X : x \sim y\} = [x]_{\sim} = [x]$$

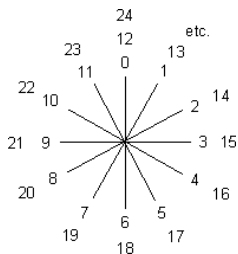
Equivalence Relation (UD 10.5)

$$(X, \sim)$$

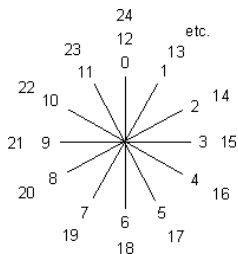
Prove that

$$\forall x, y \in X : [x]_{\sim} = [y]_{\sim} \iff x \sim y.$$

Equivalence Relations/Classes as Abstractions



Equivalence Relations/Classes as Abstractions



Equivalence Relations/Classes on Polynomials (UD 10.8)

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

(a)

$$p \sim q \iff p(0) = q(0)$$

$$p(x) = x$$

(b)

$$p \sim q \iff \deg(p) = \deg(q)$$

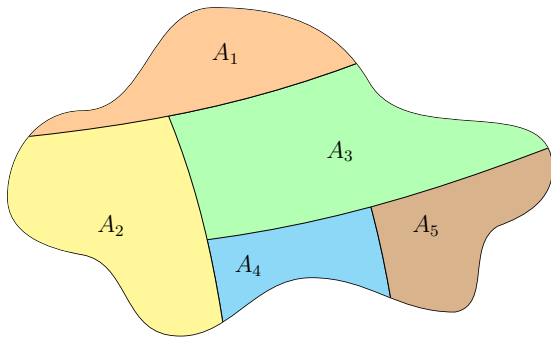
$$p(x) = 3x + 5$$

(c)

$$p \sim q \iff \deg(p) \leq \deg(q)$$

$$p(x) = x^2$$

Partition



Definition (Partition)

A family of sets $\{A_\alpha : \alpha \in I\}$ is a *partition* of X if

(i)

$$\forall \alpha \in I : A_\alpha \neq \emptyset$$

$$\forall \alpha \in I \exists x \in X : x \in A_\alpha$$

(ii)

$$\bigcup_{\alpha \in I} A_\alpha = X$$

$$\forall x \in X \exists \alpha \in I : x \in A_\alpha$$

(iii)

$$\forall \alpha, \beta \in I : A_\alpha \cap A_\beta = \emptyset \vee A_\alpha = A_\beta$$

$$\forall \alpha, \beta \in I : A_\alpha \cap A_\beta \neq \emptyset \implies A_\alpha = A_\beta$$





Partitions of \mathbb{R}^3 (UD 11.3)

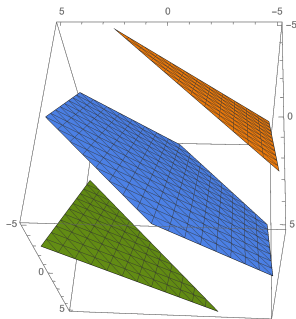
Is $\{A_r : r \in \mathbb{R}\}$ a partition of \mathbb{R}^3 ?

$$A_r = \{(x, y, z) \in \mathbb{R}^3 : x + y + z = r\}$$

Partitions of \mathbb{R}^3 (UD 11.3)

Is $\{A_r : r \in \mathbb{R}\}$ a partition of \mathbb{R}^3 ?

$$A_r = \{(x, y, z) \in \mathbb{R}^3 : x + y + z = r\}$$



Partitions of \mathbb{R}^3 (UD 11.3)

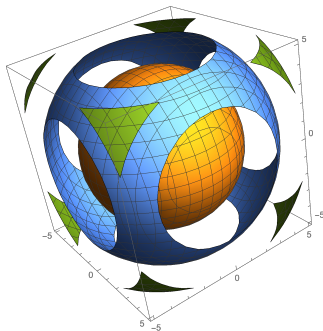
Is $\{A_r : r \in \mathbb{R}\}$ a partition of \mathbb{R}^3 ?

$$A_r = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 + z^2 = r^2\}$$

Partitions of \mathbb{R}^3 (UD 11.3)

Is $\{A_r : r \in \mathbb{R}\}$ a partition of \mathbb{R}^3 ?

$$A_r = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 + z^2 = r^2\}$$



Partitions of the Set of Polynomials (UD 11.7)

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(a)

$$A_m = \{p : \deg(p) = m\} \quad m \in \mathbb{N}$$

(c)

$$A_q = \{p : \exists r(p = qr)\} \quad q \in P$$

Partitions of the Set of Polynomials (UD 11.7)

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(a)

$$A_m = \{p : \deg(p) = m\} \quad m \in \mathbb{N}$$

(c)

$$A_q = \{p : \exists r (p = qr)\} \quad q \in P$$

$$q \in A_q$$

$$p \in A_p$$

Partitions of the Set of Polynomials (UD 11.7)

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(a)

$$A_m = \{p : \deg(p) = m\} \quad m \in \mathbb{N}$$

(c)

$$A_q = \{p : \exists r (p = qr)\} \quad q \in P$$

$$q \in A_q$$

$$p \in A_p$$

$$p \neq q \wedge r = pq \implies (r \in A_q \cap A_q) \wedge (A_p \neq A_q)$$

Partitions of the Set of Polynomials (UD 11.7)

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(b)

$$A_c = \{p : p(0) = c\} \quad c \in \mathbb{R}$$

(d)

$$A_c = \{p : p(c) = 0\} \quad c \in \mathbb{R}$$

Partitions of the Set of Polynomials (UD 11.7)

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(b)

$$A_c = \{p : p(0) = c\} \quad c \in \mathbb{R}$$

(d)

$$A_c = \{p : p(c) = 0\} \quad c \in \mathbb{R}$$

$$p(x) = x^2 + 1$$

Subset and Partition (UD 11.9)

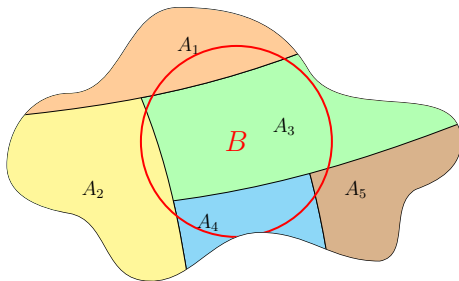
$\{A_\alpha : \alpha \in I\}$ is a partition of $X \neq \emptyset$.

(a)

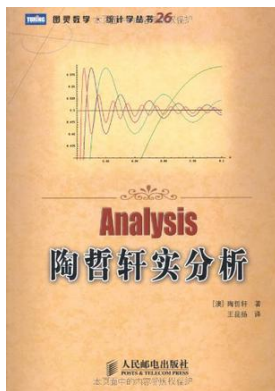
$$B \subseteq X, \quad \forall \alpha \in I : A_\alpha \cap B \neq \emptyset$$

To prove that

$\{A_\alpha \cap B : \alpha \in I\}$ *is* a partition of B .



Order in the Reals



Thank
You!



Office 302

Mailbox: H016

hfwei@nju.edu.cn