# 2-9 Sorting and Selection

## Hengfeng Wei

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# How to Argue?



Show that ..., Argue that ..., Explain why ...

# How to Argue?



Show that  $\cdots$ , Argue that  $\cdots$ , Explain why  $\cdots$ = Prove that  $\cdots$ 

## Am I Alone?



## Am I Alone?



原来你也在这里





Hoare Logic:  $\{P\} S \{Q\}$ 



Hoare Logic:  $\{P\} S \{Q\}$  null pointer



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"I call it my billion-dollar mistake."

Best-Case Complexity of Quicksort (7.4-2)

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By substitution.



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Argue that Insertion-Sort would tend to beat Quicksort on almost-sorted inputs.

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Median-of-3 Partition (Problem 7-5)

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### The Analysis of Quicksort Programs\*

#### Robert Sedgewick

Received January 19, 1976

Summary. The Quicksort sorting algorithm and its best variants are presented analyzed. Results are derived which make it possible to obtain exact formulas describing the total expected running time of particular implementations on real computers of Quicksort and an improvement called the median-of-three modification. Detailed analysis of the effect of an implementation technique called loop unwrapping is presented. The paper is intended not only to present results of direct practical utility, but also to illustrate the intriguing mathematics which arises in the complete analysis of this important algorithm.



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$$\begin{split} B_N &= \frac{12}{35} \; (N+1) \, (H_{N+1} - H_{M+2}) + \; \frac{37}{245} \; (N+1) - \frac{12}{7} \; \frac{N+1}{M+2} + 1 \quad \text{ exchanges} \\ C_N &= \frac{12}{7} \; (N+1) \, (H_{N+1} - H_{M+2}) + \; \frac{37}{49} \, (N+1) - \frac{24}{7} \; \frac{N+1}{M+2} + 2 \quad \text{ comparisons} \end{split}$$



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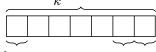


Robert Sedgewick

$$B_N = (N+1) \left( \frac{1}{3} H_{N+1} - \frac{1}{3} H_{M+2} + \frac{1}{6} - \frac{1}{M+2} \right) + \frac{1}{2} \quad \text{exchange}$$

$$C_N = (N+1) \left( 2H_{N+1} - 2H_{M+2} + 1 \right) \quad \text{comparisons,}$$

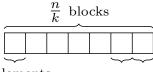
n elements  $rac{n}{k}$  blocks



k elements

not sorted

n elements

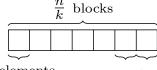


k elements

not sorted

 $\Omega(n \log k)$ 





k elements

not sorted

$$\Omega(n \log k)$$
  $O(n \log k)$ 

$$n$$
 elements
$$\frac{\frac{n}{k} \text{ blocks}}{k \text{ elements}}$$

not sorted

$$\Omega(n \log k)$$
  $O(n \log k)$ 

$$\Omega: \frac{n}{k}(k\log k)$$

$$n$$
 elements  $\frac{n}{k}$  blocks

k elements

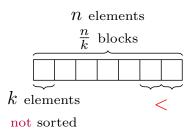
not sorted

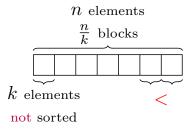
$$\Omega(n \log k)$$
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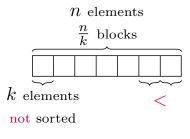
$$(k!)^{\frac{n}{k}} \le \underline{L} \le 2^H$$



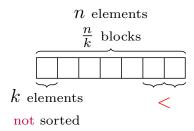




O(?)

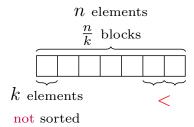


$$O(?)$$
  $\Omega(?)$ 



$$O(?)$$
  $\Omega(?)$ 

$$L \ge \left(\underbrace{\frac{n}{\underbrace{k,\ldots,k}}}_{\frac{n}{k}}\right) = \frac{n!}{(k!)^{\frac{n}{k}}}$$



$$O(?)$$
  $\Omega(?)$ 

$$L \ge \left(\underbrace{\frac{n}{k, \dots, k}}\right) = \frac{n!}{(k!)^{\frac{n}{k}}} \implies \Omega(n \log(n/k))$$

Sort n integers in  $[0, n^3 - 1]$  in O(n) time.

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$$n\text{-ary} \qquad d=3$$

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$$n$$
-ary  $d=3$ 

$$n=5:[15,39,20,123,98]=\{030,124,040,443,343\}$$

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$$n = 5: [15, 39, 20, 123, 98] = \{030, 124, 040, 443, 343\}$$

$$\Theta\Big(d(\underbrace{n}_n + \underbrace{n}_k)\Big) = \Theta(n)$$

Suppose that the n records have keys in the range  $[0,k]. \label{eq:condition}$ 

Modify Counting-Sort to sort them in place (O(k)) in O(n+k) time.

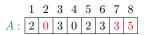
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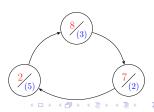
Suppose that the n records have keys in the range [0, k]. Modify COUNTING-SORT to sort them in place (O(k)) in O(n + k) time.

	_	_	•	-	5	0	•	_
A:	2	5	3	0	2	3	0	3

Suppose that the n records have keys in the range [0,k]. Modify Counting-Sort to sort them in place (O(k)) in O(n+k) time.

	1	2	3	4	5	6	7	8
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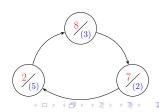




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	_	_	_	4	_	_	•	_
A:	2	5	3	0	2	3	0	3

## for $(i \leftarrow n \text{ to } 1)$ :



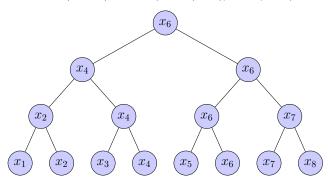
Finding the 2nd Smallest Element (Problem 9.1-1) Show that the 2nd smallest of n elements can be found with  $n+\lceil \log n \rceil -2$  comparisons in the worst case.

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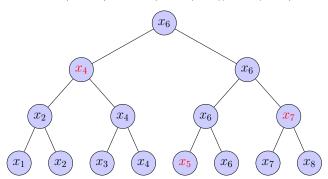
$$(n-1) + (n-1-1) = 2n-3$$

$$n + \lceil \log n \rceil - 2 = (n-1) + (\lceil \log n \rceil - 1)$$

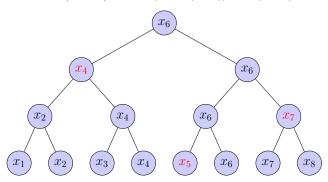
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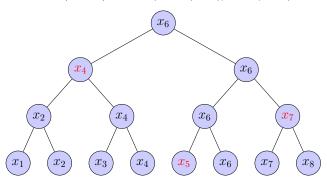


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 $\# Potential \ 2nd \ smallest \ elements \le \lceil \log n \rceil$ 

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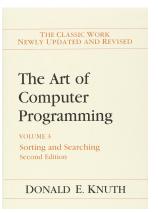
#Potential 2nd smallest elements  $\leq \lceil \log n \rceil$ 

## Q: Can we do even better?

$$\Omega = n + \lceil \log n \rceil - 2$$

$$\Omega = n + \lceil \log n \rceil - 2 = (n-1) + (\lceil \log n \rceil - 1)$$

$$\Omega = n + \lceil \log n \rceil - 2 = (n - 1) + (\lceil \log n \rceil - 1)$$



TAOCP Vol 3 (Page 209, Section 5.3.3)

S:n distinct numbers

 $k \le n$ 

S:n distinct numbers  $k \leq n$ 

$$k \le n$$

$$\frac{n}{2} - \frac{k}{2} \sim \frac{n}{2} + \frac{k}{2}$$

S:n distinct numbers  $k \leq n$ 

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$$\frac{n}{2} - \frac{k}{2} \sim \frac{n}{2} + \frac{k}{2} \qquad \times$$

S: n distinct numbers k < n

$$k \le n$$

$$\frac{n}{2} - \frac{k}{2} \sim \frac{n}{2} + \frac{k}{2} \qquad \times$$

$$S = \{800, 6, 900, 50, 7\}, \quad k = 2 \implies \{6, 7\}$$

S:n distinct numbers  $k \leq n$ 

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$$S - 50 = \{750, -44, 850, 0, -43\}$$

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$$S - 50 = \{750, -44, 850, 0, -43\}$$

median + subtraction + (k + 1)-th smallest + partition + add back



## Thank You!



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