

# 1-11 有穷与无穷

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*“das wesen der mathematik liegt in ihrer freiheit”*

*“The essence of mathematics lies in its freedom”*

# Dangerous Knowledge (BBC 2007)



$$c = \aleph_1$$



## Comparing Sets



Function



Definition ( $|A| = |B|$  ( $A \approx B$ ) (1878))

Two sets of  $A$  and  $B$  are *equipotent* if there exists a *bijection* from  $A$  to  $B$ .

“=” is an equivalence relation.

$\overline{A}$  (two *abstractions*)

$\{1, 2, 3\}$  vs.  $\{a, b, c\}$

$\{1, 2, 3, \dots\}$  vs.  $\{1, 3, 5, \dots, 2, 4, 6, \dots\}$

## Definition (Finite and Infinite)

For any set  $X$ ,

Finite

$$\exists n \in \mathbb{N} : |X| = n \quad (0 \in \mathbb{N})$$

Infinite ( $\neg$  finite)

$$\forall n \in \mathbb{N} : |X| \neq n$$

*Q : How to prove that a set is infinite?*

By contradiction.

## Definition (Finite and Infinite)

For any set  $X$ ,

Countably Infinite

$$|X| = |\mathbb{N}| \triangleq \aleph_0$$

Countable

(finite  $\vee$  countably infinite)

Uncountably Infinite

$$(\neg \text{finite}) \wedge (\neg (\text{countably infinite}))$$

$$(\neg \text{countable})$$

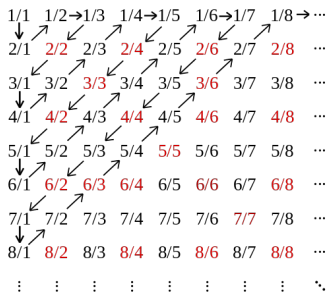


# Theorem ( $\aleph_0$ (1874))

$$|\mathbb{Q}| = |\mathbb{N}|$$

$$|\mathbb{Q}| = |\mathbb{N}| \text{ (UD 22.9)}$$

$$q \in \mathbb{Q}^+ : a/b \ (a, b \in \mathbb{N}^+)$$



$$|\mathbb{N}| = |\mathbb{Z}|$$

$$|\mathbb{N}| = |\mathbb{N}| \times |\mathbb{N}|$$

Theorem ( $\mathbb{R}$  is uncountably infinite (1874).)

$$|\mathbb{R}| \neq |\mathbb{N}| \quad (|\mathbb{R}| > |\mathbb{N}|)$$

Different “Sizes” of Infinity

Cantor's Diagonal Argument (1890)

Theorem (Cantor's Theorem (1891))

$$|X| \neq |2^X| \quad (|X| < |2^X|)$$

## Theorem ( $|\mathbb{R}|$ (1877))

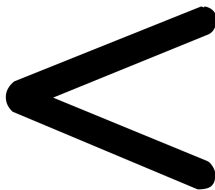
$$(0, 1) = |\mathbb{R}| = |\mathbb{R}| \times |\mathbb{R}| = |\mathbb{R}|^{n \in \mathbb{N}}$$

*“Je le vois, mais je ne le crois pas !”*

*“I see it, but I don't believe **it** !”*

*— Cantor's letter to Dedekind (1877).*

*Q : What is “dimension”?*



Definition ( $|A| \leq |B|$ )

$|A| \leq |B|$  if there exists an *one-to-one* function  $f$  from  $A$  into  $B$ .

bijection  $f : A \rightarrow f(A) (\subseteq B)$

*Q : What about onto function  $f : A \rightarrow B$ ?*

$|B| \leq |A|$  (Axiom of Choice)

Definition ( $|A| < |B|$ )

$$|A| < |B| \iff |A| \leq |B| \wedge |A| \neq |B|$$

$$|\mathbb{N}| < |\mathbb{R}|$$

$$|X| < |2^X|$$

## Definition (Countable Revisited)

$X$  is countable:

$$\exists n \in \mathbb{N} : |X| = n \vee |X| = |\mathbb{N}|$$

## Proof for Countable (UD Exercise 22.5)

$X$  is countable iff there exists a one-to-one function

$$f : A \rightarrow \mathbb{N}.$$

$X$  is countable iff

$$|X| \leq |\mathbb{N}|.$$

## Subsets of Countable Set (UD 22.6; UD Corollary 22.4)

Every subset  $B$  of a countable set  $A$  is countable.



## Set Union (UD 22.1)

Give an example, if possible, of

- (c) a countably infinite collection of *pairwise disjoint* nonempty sets whose union is finite.
- (b) a countably infinite collection of nonempty sets whose union is finite.

$$\left( \{A_i : i \in \mathbb{R}\} \quad A_i = \{1\} \right) = \{\{1\}\}$$

$$|A| = n \implies |2^A| = 2^n$$

Slope (UD 22.2 (e))

(e) the set of all lines with rational slopes

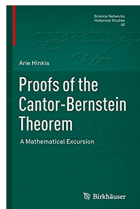
$$(\mathbb{Q}, \mathbb{R})$$

*Q : Is “ $\leq$ ” a partial order?*

Theorem (Cantor-Schröder-Bernstein (1887))

$$|X| \leq |Y| \wedge |Y| \leq |X| \implies |X| = |Y|$$

$$\exists \text{ one-to-one } f : A \rightarrow B \wedge g : B \rightarrow A \implies \exists \text{ bijection } h : A \rightarrow B$$



*Q : Is " $\leq$ " a total order?*

Theorem (PCC)

*Principle of Cardinal Comparability (PCC)  $\iff$  Axiom of Choice*

# Finite Sets



“关于有穷，我原以为我是懂的”

## 学生反馈 (改编版)

“明明很显然的事情，为什么要那么繁琐的证明？  
依靠直觉不可以吗？”

## Definition (Finite)

$X$  is finite if

$$\exists n \in \mathbb{N} : |X| = n$$

## Theorem (Pigeonhole Principle (UD Theorem 21.2))

$$f : \{1, \dots, m\} \rightarrow \{1, \dots, n\} \quad (m, n \in \mathbb{N}^+, m > n)$$

$f$  is not one-to-one.

### $A \setminus \{a\}$ (UD 21.15)

Let  $A$  be a nonempty finite set with  $|A| = n$  and let  $a \in A$ .  
Prove that  $A \setminus \{a\}$  is finite and  $|A \setminus \{a\}| = n - 1$ .

$$f : A \rightarrow \{1, \dots, n\}$$

$$f|_{A \setminus \{a\}} : A \setminus \{a\} \rightarrow \{1, \dots, n\} \setminus \{f(a)\}$$



$|A| \leq |B|$  (UD 21.17)

$A$  and  $B$  are finite sets and  $f : A \rightarrow B$  is one-to-one.

Show that  $|A| \leq |B|$ .

By contradiction and the pigeonhole principle.

(UD 21.16)

- (a)  $A$  is a finite set and  $B \subseteq A$ . We showed that  $B$  is finite (Corollary 20.11). Show that  $|B| \leq |A|$ .

one-to-one  $f : B \rightarrow A$

- (b)  $A$  is a finite set and  $B \subseteq A$ . Show that if  $B \neq A$ , then  $|B| < |A|$ .

$$\exists a : a \in A \wedge a \notin B \quad f : B \rightarrow A \setminus \{a\} \quad |B| \leq |A \setminus \{a\}|$$

- (c) If two finite sets  $A$  and  $B$  satisfy  $B \subseteq A$  and  $|A| \leq |B|$ , then  $A = B$ .

By contradiction and (b).

## Cardinality of $|\text{ran}(f)|$ (UD 21.18)

Let  $A$  and  $B$  be sets with  $A$  finite.

$$f : A \rightarrow B$$

Prove that  $|\text{ran}(f)| \leq |A|$ .

one-to-one  $g : \text{ran}(f) \rightarrow A$

(No Axiom of Choice Here)

$f : A \rightarrow A$  (UD 21.19)

Let  $A$  be a finite set.

$$f : A \rightarrow A$$

Prove that

$$f \text{ is one-to-one} \iff f \text{ is onto.}$$

$\Leftarrow$

$\Rightarrow$

By contradiction.

$$f' : A \rightarrow A \setminus \{a\}$$

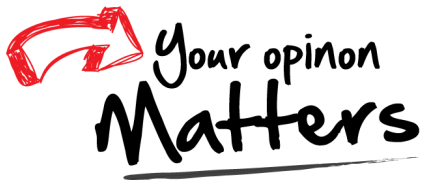
$$\forall y \in A \exists x \in A : y = f(x)$$

$$\forall y, \text{ choose } x : (g : g(y) = x)$$

$g$  is bijective.

$$f(g(y)) = f(x) = y \implies f = g^{-1}$$

Thank  
You!



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