

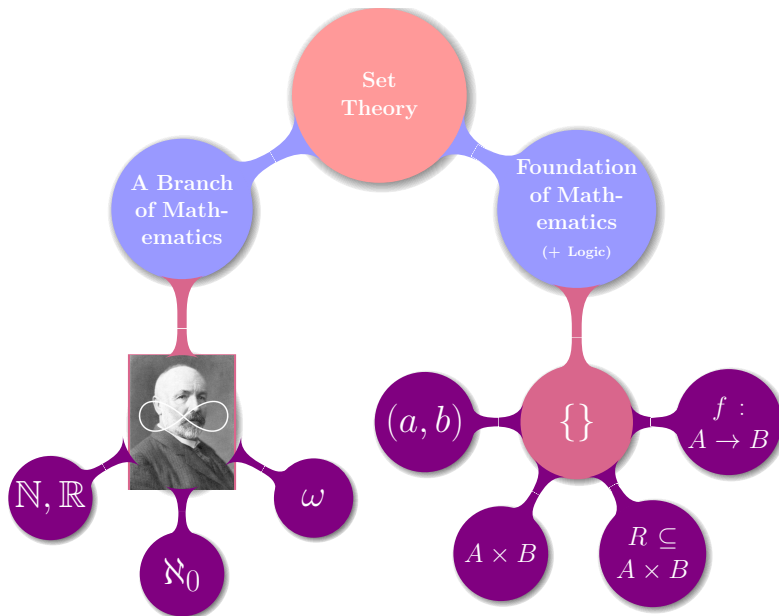
Functions

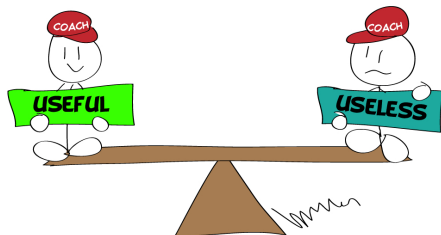
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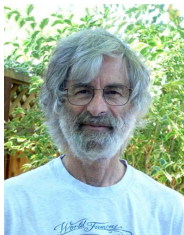
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2018 年 02 月 xx 日









Time, Clocks, and the Ordering of Events in a Distributed System

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The concept of one event happening before another in a distributed system is examined, and is shown to define a partial ordering of the events. A distributed algorithm is given for synchronizing a system of logical clocks which can be used to totally order the events.

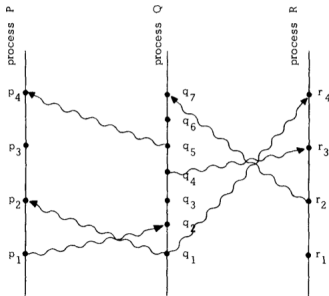


Figure 13. A selection of consistency axioms over an execution $(E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar})$

Auxiliary relations

$\text{sameobj}(e, f) \iff \text{obj}(e) = \text{obj}(f)$

Per-object causality (aka happens-before) order:

$$\text{hbo} = ((\text{ro} \cap \text{sameobj}) \cup \text{vis})^+$$

Causality (aka happens-before) order: $\text{hb} = (\text{ro} \cup \text{vis})^+$

Axioms

EVENTUAL:

$$\forall e \in E. \neg(\exists \text{ infinitely many } f \in E. \text{sameobj}(e, f) \wedge \neg(e \xrightarrow{\text{vis}} f))$$

THINAIR: $\text{ro} \cup \text{vis}$ is acyclic

POCV (Per-Object Causal Visibility): $\text{hbo} \subseteq \text{vis}$

POCA (Per-Object Causal Arbitration): $\text{hbo} \subseteq \text{ar}$

COCV (Cross-Object Causal Visibility): $(\text{hb} \cap \text{sameobj}) \subseteq \text{vis}$

COCA (Cross-Object Causal Arbitration): $\text{hb} \cup \text{ar}$ is acyclic



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Figure 17. Optimized state-based multi-value register and its simulation

$$\begin{aligned} \Sigma &= \text{ReplicatedID} \times \mathcal{P}(\mathbb{Z} \times (\text{ReplicatedID} \rightarrow \mathbb{N})) \\ \delta_0 &= (r, \emptyset) \\ M &= \mathcal{P}(\mathbb{Z} \times (\text{ReplicatedID} \rightarrow \mathbb{N})) \\ \text{do}(\text{wr}(a), (r, V), t) &= \langle r, \{ (s, \text{if } s \neq r \text{ then } \max\{v(s) \mid (s, v) \in V\} \\ &\quad \text{else } \max\{v(s) \mid (s, v) \in V\} + 1) \} \rangle, \perp \rangle \\ \text{del}(\text{r}, (r, V), t) &= \langle (r, V), \{a \mid (a, v) \in V\} \rangle, \perp \rangle \\ \text{send}(\langle r, V \rangle) &= \langle (r, V), V \rangle \\ \text{receive}(\langle (r, V), V' \rangle) &= \langle (r, (a, v) \in V^{V'}) \\ &\quad \vee \bigcup_{s \in \mathbb{Z}} \{ \{v' \mid \exists a'. (a', v') \in V^{V'} \wedge a' \neq a\} \} \rangle, \\ &\text{where } V' = \{ (a, \bigcup_{v' \in V} \{v' \mid (a, v') \in V \cup V'\} \mid (a, _) \in V \cup V' \} \rangle \\ \langle (r, V) \mid \mathbb{R}_\infty \rangle, t \leftrightarrow (r, a) \wedge (V \models M) \end{aligned}$$

$$\begin{aligned} V \models M \mid ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info}) &\iff \\ (\forall (a, v), (a', v') \in V. (a = a' \implies v = v') \wedge \\ (\forall (a, v) \in V. \exists s. v(s) > 0) \wedge \\ (\forall (a, v) \in V. \forall j. [j \mid \text{oper}(e_{j,k}) = \text{wr}(a)] \cup \\ \exists \text{distinct } e_{j,k} \\ \{ \{e \in E \mid \exists n. \text{oper}(e) = \text{wr}(a)\} = \{e_{j,k} \mid s \in \text{ReplicatedID} \wedge \\ 1 \leq k \leq \max\{v(s) \mid \exists n. (a, v) \in V\} \} \} \wedge \\ (\forall s, j, k. (\text{repl}(e_{j,k}) = s) \wedge (e_{j,k} \xrightarrow{\text{ro}} e_{j,k} \iff j < k)) \wedge \\ (\forall (a, v) \in V. \forall j. [j \mid \text{oper}(e_{j,k}) = \text{wr}(a)] \cup \\ \{j \mid \exists s, k. e_{j,k} \xrightarrow{\text{ro}} e_{j,k} \wedge \text{oper}(e_{j,k}) = \text{wr}(a)\} = \\ \{j \mid 1 \leq j \leq v(q)\}) \wedge \\ (\forall e \in E. (\text{oper}(e) = \text{wr}(a)) \wedge \\ \neg \exists f \in E. \text{oper}(f) = \text{wr}(a) \wedge e \xrightarrow{\text{ro}} f) \implies (a, _) \in V) \end{aligned}$$

the form. The only non-trivial obligation is to show that if

$$V \models M \mid ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}), \text{info}),$$

then

$$\{a \mid (a, _) \in V\} \subseteq \{a \mid \exists n \in E. \text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists n'. \text{oper}(e) = \text{wr}(n') \wedge e \xrightarrow{\text{ro}} f\} \quad (13)$$

(the reverse inclusion is straightforwardly implied by \mathbb{R}_∞).

$$\text{Take } (a, v) \in V. \text{ We have } \forall (a, v) \in V. \exists s. v(s) > 0. \\ v \subseteq \bigcup_{s \in \mathbb{Z}} \{v' \mid \exists a'. (a', v') \in V \wedge a' \neq a\}$$

and

$$\begin{aligned} \forall (a, v) \in V. \forall j. [j \mid \text{oper}(e_{j,k}) = \text{wr}(a)] \cup \\ \{j \mid \exists s, k. e_{j,k} \xrightarrow{\text{ro}} e_{j,k} \wedge \text{oper}(e_{j,k}) = \text{wr}(a)\} = \\ \{j \mid 1 \leq j \leq v(q)\}. \end{aligned}$$

From this we get that for some $e \in E$

$$\begin{aligned} \text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists n'. a' \neq a \wedge \\ \text{oper}(e) = \text{wr}(n') \wedge e \xrightarrow{\text{ro}} f. \end{aligned}$$

Since vis is acyclic, this implies that for some $e' \in E$

$$\text{oper}(e') = \text{wr}(a) \wedge \neg \exists f \in E. \text{oper}(e') = \text{wr}(a') \wedge e' \xrightarrow{\text{ro}} f,$$

which establishes (13).

Let us now discharge **RECEIVE**. Let $\text{receive}(\langle (r, V), V' \rangle) = \langle (r, V'') \rangle$, where

$$\begin{aligned} V'' = \{ (a, \bigcup_{v' \in V''} \{v' \mid (a, v') \in V \cup V''\}) \mid (a, _) \in V \cup V'' \}; \\ V''' = \{ (a, v) \in V'' \mid v \subseteq \bigcup_{s \in \mathbb{Z}} \{v' \mid (a', v') \in V \mid a' \neq a\} \}. \end{aligned}$$

Assume $\langle (r, V) \mid \mathbb{R}_\infty \rangle, f, V' \models M \mid J$ and

$$\begin{aligned} I &= ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info}); \\ J &= ((E', \text{repl}', \text{obj}', \text{oper}', \text{rval}', \text{ro}', \text{vis}', \text{ar}'), \text{info}'); \\ I \sqcup J &= ((E'', \text{repl}'', \text{obj}'', \text{oper}'', \text{rval}'', \text{ro}'', \text{vis}'', \text{ar}''), \text{info}''). \end{aligned}$$

By agree we have $I \sqcup J \in \text{EX}$. Then

$$\begin{aligned} (\forall (a, v), (a', v') \in V. (a = a' \implies v = v') \wedge \\ (\forall (a, v) \in V. \exists s. v(s) > 0)) \wedge \\ (\forall (a, v) \in V. v \subseteq \bigcup_{s \in \mathbb{Z}} \{v' \mid \exists a'. (a', v') \in V \wedge a' \neq a\}) \wedge \\ \exists \text{distinct } e_{j,k} \\ \{ \{e \in E' \mid \exists n. \text{oper}''(e) = \text{wr}(a)\} = \{e_{j,k} \mid s \in \text{ReplicatedID} \wedge \\ 1 \leq k \leq \max\{v(s) \mid \exists n. (a, v) \in V\} \} \} \wedge \\ (\forall s, j, k. (\text{repl}''(e_{j,k}) = s) \wedge (e_{j,k} \xrightarrow{\text{ro}} e_{j,k} \iff j < k)) \wedge \\ (\forall (a, v) \in V. \forall j. [j \mid \text{oper}''(e_{j,k}) = \text{wr}(a)] \cup \\ \{j \mid \exists s, k. e_{j,k} \xrightarrow{\text{ro}} e_{j,k} \wedge \text{oper}''(e_{j,k}) = \text{wr}(a)\} = \\ \{j \mid 1 \leq j \leq v(q)\}) \wedge \\ (\forall e \in E. (\text{oper}''(e) = \text{wr}(a)) \wedge \\ \neg \exists f \in E. \text{oper}''(f) = \text{wr}(a) \wedge e \xrightarrow{\text{ro}} f) \implies (a, _) \in V) \end{aligned}$$

and

$$\begin{aligned} (\forall (a, v), (a', v') \in V'. (a = a' \implies v = v') \wedge \\ (\forall (a, v) \in V'. \exists s. v(s) > 0)) \wedge \\ (\forall (a, v) \in V'. v \subseteq \bigcup_{s \in \mathbb{Z}} \{v' \mid \exists a'. (a', v') \in V' \wedge a' \neq a\}) \wedge \\ \exists \text{distinct } e_{j,k} \\ \{ \{e \in E' \mid \exists n. \text{oper}'(e) = \text{wr}(a)\} = \{e_{j,k} \mid s \in \text{ReplicatedID} \wedge \\ 1 \leq k \leq \max\{v(s) \mid \exists n. (a, v) \in V\} \} \} \wedge \\ (\forall s, j, k. (\text{repl}'(e_{j,k}) = s) \wedge (e_{j,k} \xrightarrow{\text{ro}} e_{j,k} \iff j < k)) \wedge \\ (\forall (a, v) \in V'. \forall j. [j \mid \text{oper}'(e_{j,k}) = \text{wr}(a)] \cup \\ \{j \mid \exists s, k. e_{j,k} \xrightarrow{\text{ro}} e_{j,k} \wedge \text{oper}'(e_{j,k}) = \text{wr}(a)\} = \\ \{j \mid 1 \leq j \leq v(q)\}) \wedge \\ (\forall e \in E'. (\text{oper}'(e) = \text{wr}(a)) \wedge \\ \neg \exists f \in E'. \text{oper}'(f) = \text{wr}(a) \wedge e \xrightarrow{\text{ro}} f) \implies (a, _) \in V'). \end{aligned}$$

The agree property also implies

$$\forall s, k. 1 \leq k \leq \min \{ \max\{v(s) \mid \exists n. (a, v) \in V\}, \max\{v(s) \mid \exists n. (a, v) \in V'\} \} \implies e_{j,k} = e'_{j,k}.$$

Hence, there exist distinct

$$\begin{aligned} e''_{j,k} \text{ for } s \in \text{ReplicatedID}, k = 1, \dots, \max\{v(s) \mid \exists n. (a, v) \in V''\}), \\ \text{such that} \\ (\forall s, k. 1 \leq k \leq \max\{v(s) \mid \exists n. (a, v) \in V\} \implies e''_{j,k} = e_{j,k}) \wedge \\ (\forall s, k. 1 \leq k \leq \max\{v(s) \mid \exists n. (a, v) \in V'\} \implies e''_{j,k} = e'_{j,k}) \wedge \\ \text{and} \\ \{ \{e \in E \cup E' \mid \exists n. \text{oper}''(e) = \text{wr}(a)\} = \{e''_{j,k} \mid s \in \text{ReplicatedID} \wedge 1 \leq k \leq \max\{v(s) \mid \exists n. (a, v) \in V''\} \} \} \\ \wedge (\forall s, j, k. (\text{repl}''(e''_{j,k}) = s) \wedge (e''_{j,k} \xrightarrow{\text{ro}} e''_{j,k} \iff j < k)). \end{aligned}$$

By the definition of V'' and V''' we have

$$\forall (a, v), (a', v') \in V'''. (a = a' \implies v = v').$$

We also straightforwardly get

$$\forall (a, v) \in V'''. \exists s. v(s) > 0$$

and

$$\begin{aligned} (\forall (a, v) \in V'''. \forall j. [j \mid \text{oper}''(e''_{j,k}) = \text{wr}(a)] \cup \\ \{j \mid \exists s, k. e''_{j,k} \xrightarrow{\text{ro}} e''_{j,k} \wedge \text{oper}''(e''_{j,k}) = \text{wr}(a)\} = \\ \{j \mid 1 \leq j \leq v(q)\}). \end{aligned} \quad (14)$$

Definition of Function

Definition (Function)

Let A and B be sets.

A **function** f from A to B is a *relation* f from A to B such that

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$$\text{ran}(f) = f(A) = \{f(a) \mid a \in A\} \subseteq B$$

A function $f : A \rightarrow B$ is a set.

$$f \subseteq A \times B$$

$$A \times B = \{(a, b) \mid a \in A, b \in B\}$$

$$(a, b) = \{\{a\}, \{a, b\}\}$$

Definition (Axiom of Extensionality (集合的外延公理))

$$\forall A \forall B \forall x (x \in A \iff x \in B) \iff A = B.$$

Intensionality (内涵) vs. Extensionality (外延)

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Intensionality (内涵) vs. Extensionality (外延)

Definition (函数的外延性原则)

$$f = g \iff \text{dom}(f) = \text{dom}(g) \wedge (\forall x \in \text{dom}(f) : f(x) = g(x))$$

Special Functions (*-jectivity*)

Definition (Injective (one-to-one; 1-1) 单射函数)

$$f : A \rightarrow B$$

$$\forall a_1, a_2 \in A : a_1 \neq a_2 \implies f(a_1) \neq f(a_2)$$

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For Proof:

- ▶ To prove that f *is* 1-1:

$$\forall a_1, a_2 \in A : f(a_1) = f(a_2) \implies a_1 = a_2$$

- ▶ To show that f *is not* 1-1:

$$\exists a_1, a_2 \in A : a_1 \neq a_2 \wedge f(a_1) = f(a_2)$$

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- ▶ To show that f *is not* onto:

$$\exists b \in B \left(\forall a \in A : f(a) \neq b \right)$$

Theorem (Cantor Theorem (ES Theorem 24.4))

Let A be a set.

If $f : A \rightarrow 2^A$, then f is not onto.

Proof.

Proof. Let A be a set and let $f : A \rightarrow 2^A$. To show that f is not onto, we must find a $B \in 2^A$ (i.e., $B \subseteq A$) for which there is no $a \in A$ with $f(a) = B$. In other words, B is a set that f “misses.” To this end, let

$$B = \{x \in A : x \notin f(x)\}.$$

We claim there is no $a \in A$ with $f(a) = B$.

Suppose, for the sake of contradiction, there is an $a \in A$ such that $f(a) = B$. We ponder: Is $a \in B$?

- If $a \in B$, then, since $B = f(a)$, we have $a \in f(a)$. So, by definition of B , $a \notin f(a)$; that is, $a \notin B \Rightarrow \Leftarrow$
- If $a \notin B = f(a)$, then, by definition of B , $a \in B \Rightarrow \Leftarrow$

Both $a \in B$ and $a \notin B$ lead to contradictions, and hence our supposition [there is an $a \in A$ with $f(a) = B$] is false, and therefore f is not onto. ■



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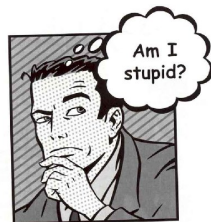
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$$A = \{1, 2, 3\}$$

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Not Onto

$$\exists B \in 2^A \left(\forall a \in A \ f(a) \neq B \right).$$

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$$B = \{x \in A \mid x \notin f(x)\}.$$



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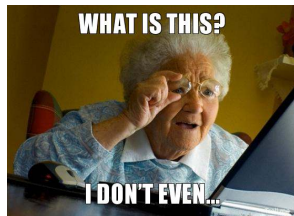
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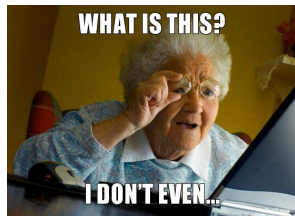
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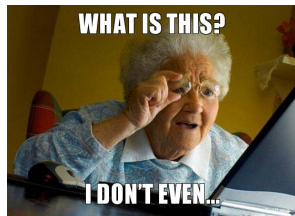
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$$Q : a \in B?$$



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对角线论证 (Cantor's diagonal argument) .

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对角线论证 (Cantor's diagonal argument) .

a	$f(a)$					
	1	2	3	4	5	...
1	1	1	0	0	1	...
2	0	0	0	0	0	...
3	1	0	0	1	0	...
4	1	1	1	1	1	...
5	0	1	0	1	0	...
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	...



Theorem (Cantor Theorem)

Let A be a set.

If $f : A \rightarrow 2^A$, then f is not onto.

对角线论证 (Cantor's diagonal argument) .

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$$B = \{0, 1, 1, 0, 1\}$$



Definition (Bijective (one-to-one correspondence) 一一对应)

$$f : A \rightarrow B \quad f : A \rightarrow B$$

1-1 & onto

cardinality

proof examples

Operations on Functions

Definition (Intersection, Union)

$$f_1, f_2 : A \rightarrow B$$

- (i) Q : Is $f_1 \cup f_2$ a function from A to B ?
- (ii) Q : Is $f_1 \cap f_2$ a function from A to B ?

Definition (Composition)

$$f : A \rightarrow B \quad g : C \rightarrow D$$

$$\text{ran}(f) \subseteq C$$

The composition function

$$g \circ f : A \rightarrow D$$

$$(g \circ f)(x) = g(f(x))$$

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Non-commutative:

$$f \circ g \neq g \circ f$$

Theorem (Associative Property for Composition)

$$f : A \rightarrow B \quad g : B \rightarrow C \quad h : C \rightarrow D$$

$$h \circ (g \circ f) = (h \circ g) \circ f$$

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$$f : A \rightarrow B \quad g : B \rightarrow C \quad h : C \rightarrow D$$

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Proof.

(i)

$$\text{dom}(h \circ (g \circ f)) = \text{dom}((h \circ g) \circ f)$$

(ii)

$$(h \circ (g \circ f))(x) = ((h \circ g) \circ f)(x)$$



Theorem (Properties of Composition (UD Theorem 15.7))

$$f : A \rightarrow B \quad g : B \rightarrow C$$

- (i) *If f, g are injective, then $g \circ f$ is injective.*
- (ii) *If f, g are surjective, then $g \circ f$ is surjective.*
- (iii) *If f, g are bijective, then $g \circ f$ is bijective.*

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- (iii) *If f, g are bijective, then $g \circ f$ is bijective.*

Proof for (i).

$$\forall a_1, a_2 \in A \left((g \circ f)(a_1) = (g \circ f)(a_2) \implies a_1 = a_2 \right)$$



Theorem (Properties of Composition (UD Theorem 15.8))

$$f : A \rightarrow B \quad g : B \rightarrow C$$

- (i) *If $g \circ f$ is injective, then f is injective.*
- (ii) *If $g \circ f$ is surjective, then g is surjective.*
- (iii) *If $g \circ f$ is bijective, then f is injective and g is surjective.*

Cancellation Property for Composition (Problem 15.11)

$$f : A \rightarrow B \quad g_1, g_2 : B \rightarrow A$$

$$f \circ g_1 = f \circ g_2 \wedge f \text{ is bijective} \implies g_1 = g_2$$

Cancellation Property for Composition (Problem 15.11)

$$f : A \rightarrow B \quad g_1, g_2 : B \rightarrow A$$

$$f \circ g_1 = f \circ g_2 \wedge f \text{ is bijective} \implies g_1 = g_2$$

Proof.

f is one-to-one.



Definition (Inverse)

Let $f : A \rightarrow B$ be a **bijection** function.

The **inverse** of f is the function $f^{-1} : B \rightarrow A$ defined by

$$f^{-1}(b) = a \iff f(a) = b.$$

“Bijection” Requirement of f^{-1} :

$$f : A \rightarrow B \quad f \subseteq A \times B$$

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$$f^{-1} : B \rightarrow A \quad (\text{as a function from } B \text{ to } A)$$

Theorem ((UD Theorem 15.4 (ii)))

$f : A \rightarrow B$ is bijective $\implies f^{-1}$ is bijective.

Theorem (Solving Equations (UD Theorem 15.4))

$f : A \rightarrow B$ is bijective

(i) $f \circ f^{-1} = i_B$

(ii) $g : B \rightarrow A \wedge f \circ g = i_B \implies g = f^{-1}$

(iii) $f^{-1} \circ f = i_A$

(iv) $g : B \rightarrow A \wedge g \circ f = i_A \implies g = f^{-1}$

Theorem (Solving Equations (UD Theorem 15.4))

$f : A \rightarrow B$ is bijective

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(iii) $f^{-1} \circ f = i_A$

(iv) $g : B \rightarrow A \wedge g \circ f = i_A \implies g = f^{-1}$

Solving the equations:

$$f \circ g = i_B \quad g \circ f = i_A$$

Bijjective \implies Inverse:

$f : A \rightarrow B$ is bijective

\implies

$$\exists g : B \rightarrow A \left(f \circ g = i_B \wedge g \circ f = i_A \right)$$

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Theorem (Inverse \implies Bijective (UD Theorem 15.8 (iii)))

$$\exists g : B \rightarrow A \left(g \circ f = i_A \wedge f \circ g = i_B \right)$$

\implies

$f : A \rightarrow B$ is bijective

Bijjective \implies Inverse:

$f : A \rightarrow B$ is bijective

\implies

$$\exists g : B \rightarrow A \left(f \circ g = i_B \wedge g \circ f = i_A \right) \wedge g = f^{-1}$$

Theorem (Inverse \implies Bijective (UD Theorem 15.8 (iii)))

$$\exists g : B \rightarrow A \left(g \circ f = i_A \wedge f \circ g = i_B \right)$$

\implies

$$f : A \rightarrow B \text{ is bijective} \wedge g = f^{-1}$$

Theorem (Inverse of Composition (UD Theorem 15.6))

$f : A \rightarrow B, g : B \rightarrow C$ are bijective

(i) $g \circ f$ is bijective

(ii) $(g \circ f)^{-1} = f^{-1} \circ g^{-1}$

Proof for (ii).

$$(f^{-1} \circ g^{-1}) \circ (g \circ f) = i_A$$

$$(g \circ f) \circ (f^{-1} \circ g^{-1}) = i_B$$



Definition (Symmetric Group)

Let A be a set.

Consider all bijective functions on A and the composition (\circ) operator.

- (i) $f \circ g$ is a bijective function on A
- (ii) $h \circ (g \circ f) = (h \circ g) \circ f$
- (iii) $f \circ id_A = f = id_A \circ f$
- (iv) $f \circ f^{-1} = id_A = f^{-1} \circ f$

$$f : X \rightarrow Y \quad A \subseteq X \quad B \subseteq Y$$

Definition (Image)

The **image** of A under f is the set

$$f(A) = \{f(a) \mid a \in A\}.$$

Definition (Inverse Image)

The **inverse image** of B under f is the set

$$f^{-1}(B) = \{x \in X \mid f(x) \in B\}.$$

$$Q_1 : A \text{ vs. } f^{-1}(f(A))$$

$$Q_2 : B \text{ vs. } f(f^{-1}(B))$$

Thank
You!



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