

# 4-11 P and NP

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“对于数学问题，自己想出解答，  
和判断别人说的解答是否正确，何者比较简单？”

# CONCEPT

***decide.***

ACCEPT



*decide.*

ACCEPT



*decide.*

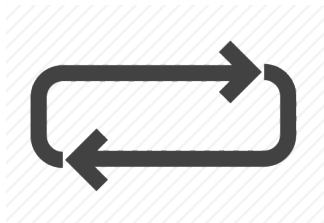
ACCEPT



Always terminate.

*decide.*

ACCEPT



Always terminate.

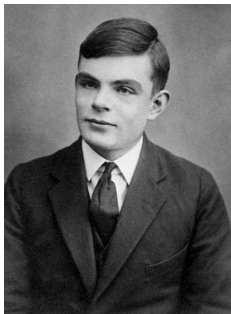
May loop forever for “NO”  
instance.



## Definition (Halting Problem)

**Input:** An arbitrary program and input

**Output:** Will the program eventually halt?

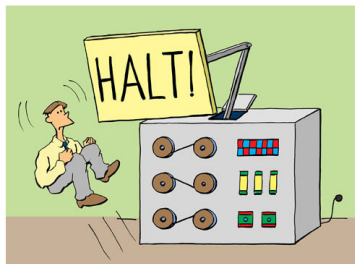
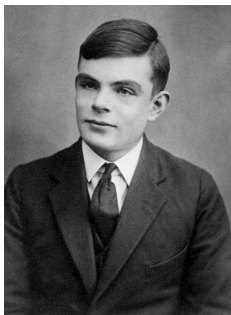


*Alan designed the perfect computer*

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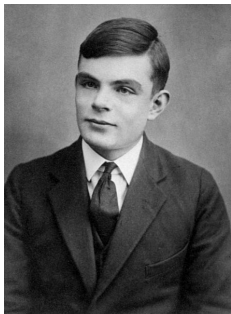
*Alan designed the perfect computer*

Undecidable

## Definition (Halting Problem)

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Undecidable

But Acceptable (Semi-decidable)

$$P = \left\{ L : L \text{ is decided by a poly. time algorithm} \right\}$$

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Theorem (Theorem 34.2)

$$P = \left\{ L : L \text{ is } \textcolor{red}{\text{accepted}} \text{ by a poly. time algorithm} \right\}$$

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*You can safely forget “semi-decidable”  
in computational complexity theory.*

## Definition (NP)

$$L \in \text{NP}$$

$$\iff$$

$\exists$  poly. time verifier  $V(x, c)$  such that  $\forall x \in \{0, 1\}^*$ :

$$x \in L \iff \exists c \in \{0, 1\}^*, V(x, c) = 1.$$

NP-problems has short **certificates** that are easy to verify.

$\exists L : L \notin \text{NP?}$



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*Alan designed the perfect computer*

$\exists L : L \notin \text{NP} \wedge L \text{ is decidable?}$

Theorem (Deterministic Time Hierarchy Theorem (1965))

$$f(n) \log f(n) = o(g(n)) \implies \text{DTIME}(f(n)) \subsetneq \text{DTIME}(g(n))$$

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$$\text{P} \subsetneq \text{EXP}$$

Theorem (Non-deterministic Time Hierarchy Theorem (Cook, 1972))

$$f(n+1) = o(g(n)) \implies \text{NTIME}(f(n)) \subsetneq \text{NTIME}(g(n))$$

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$$\text{NP} \subsetneq \text{NEXP}$$

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“Equivalence of Regular Expressions with Squaring” is  
NEXP-complete:

$$e_1 \cup e_2, \quad e_1 \cdot e_2, \quad e^2$$

## Closure of NP (CLRS 34.2-4)

NP is closed under  $\cup, \cap, \cdot, *$ .

$$L_1 \in \text{NP}, L_2 \in \text{NP} \implies L = L_1 \circ L_2 \in \text{NP}$$









## Theorem

*NP is closed under “\*”.*

$$c = c_1 \# c_2 \# \dots \# c_k \# m_1 \& m_2 \& \dots \& m_{k-1}$$

$$A^*(x, y) : \forall 1 \leq k \leq |x|$$

$$c = c_1 \# c_2 \# \dots \# c_k \# m_1 \& m_2 \& \dots \& m_{k-1}$$

$$\bigwedge_{i=1}^{i=k} A(x_i, c_i)$$

$$x \in L^* \iff \exists c, A(x, c) = 1$$

# NP-hard and NP-complete

$\forall L \in \text{NP}, L \leq_p L' \implies L' \text{ is NP-hard}$

$\text{NP-complete} = \text{NP} \cap \text{NP-hard}$

## CLRS 34.5-6

HAM-PATH is NP-complete

$\text{HAM-CYCLE} \leq_p \text{HAM-PATH}$

$\leq_p$ : split  $v$  into  $v_1, v_2$ ; add  $s, t, (s, v_1), (v_2, t)$

Question:

$\text{HAM-PATH} \leq_p \text{HAM-CYCLE}$

$\leq_p$ : add  $v'$ ;  $(v', v), \forall v \in V$













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