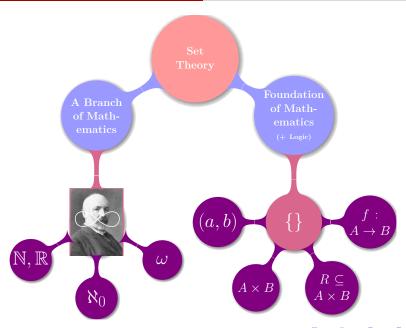
1-9 关系及其基本性质

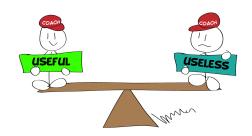
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2017年12月11日





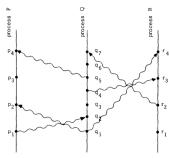




Time, Clocks, and the Ordering of Events in a Distributed System

Leslie Lamport Massachusetts Computer Associates, Inc.

The concept of one event happening before another in a distributed system is examined, and is shown to define a partial ordering of the events. A distributed algorithm is given for synchronizing a system of logical clocks which can be used to totally order the events.



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Figure 13. A selection of consistency axioms over an execution (E, \mathsf{repl}, \mathsf{obj}, \mathsf{oper}, \mathsf{rval}, \mathsf{ro}, \mathsf{vis}, \mathsf{ar})
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Auxiliary relations

 $\mathsf{sameobj}(e,f) \iff \mathsf{obj}(e) = \mathsf{obj}(f)$ Per-object causality (aka happens-before) order:

hbo = ((ro ∩ sameobj) ∪ vis)+

Causality (aka happens-before) order: $hb = (ro \cup vis)^+$

Axioms

EVENTUAL:

 $\forall e \in E. \ \neg (\exists \ \text{infinitely many} \ f \in E. \ \text{sameobj}(e,f) \land \neg (e \xrightarrow{\mathsf{vis}} f))$ THINAIR: $\mathsf{ro} \cup \mathsf{vis}$ is acyclic

POCV (Per-Object Causal Visibility): hbo ⊆ vis

 $POCA\ (Per\text{-}Object\ Causal\ Arbitration):\ hbo\subseteq ar$

 $COCV\ (Cross-Object\ Causal\ Visibility) : (hb \cap sameobj) \subseteq vis$

COCA (Cross-Object Causal Arbitration): $\mathsf{hb} \cup \mathsf{ar}$ is acyclic



Figure 13. A selection of consistency axioms over an execution (E, repl, obj, oper, rval, ro, vis, ar)

Auxiliary relations

 $sameobi(e, f) \iff obi(e) = obi(f)$ Per-object causality (aka happens-before) order:

 $hbo = ((ro \cap sameobj) \cup vis)^+$

Causality (aka happens-before) order: hb = (ro ∪ vis)+ Axioms

EVENTUAL:

 $\forall e \in E. \neg (\exists \text{ infinitely many } f \in E. \text{ sameobj}(e, f) \land \neg (e \xrightarrow{\text{vis}} f))$ THINAIR: ro ∪ vis is acvelic

POCV (Per-Object Causal Visibility): hbo ⊂ vis

POCA (Per-Object Causal Arbitration): hbo ⊂ ar

COCV (Cross-Object Causal Visibility): (hb ∩ sameobj) ⊂ vis

COCA (Cross-Object Causal Arbitration): hb ∪ ar is acyclic

Figure 17. Optimized state-based multi-value register and its simulation = ReplicalD $\times P(\mathbb{Z} \times (ReplicalD \rightarrow \mathbb{N}_0))$ $= P(\mathbb{Z} \times (\mathsf{ReplicalD} \to \mathbb{N}_0))$

do(wr(a), (r, V), t) = $(\langle r, \{(a, (\lambda s, \text{if } s \neq r \text{ then } \max\{v(s) \mid (\square, v) \in V\}$ else $\max\{v(s) \mid (\neg, v) \in V\} + 1))\}, \bot)$

 $do(rd, (r, V), t) = ((r, V), \{a \mid (a, *) \in V\})$ send((r, V))

receive $(\langle r, V \rangle, V') = \langle r, \{(a, v) \in V'' \mid$ $v \boxtimes H(v' | \exists a', (a', v') \in V'' \land a \neq a'))).$ where $V'' = \{(a, | |\{v' \mid (a, v') \in V \cup V'\}) \mid (a, *) \in V \cup V'\}$

 $(s, V) [R_s] I \iff (r = s) \land (V [M] I)$ V[M] ((E. repl. obi. oper, rval. ro. vis. ar), info) \Leftrightarrow

 $(\forall (a, v), (a', v') \in V. (a = a' \implies v = v')) \land$ $(\forall (a, v) \in V, \exists s, v(s) > 0) \land$ $(\forall (a, v) \in V. v \not\sqsubseteq \bigsqcup \{v' \mid \exists a'. (a', v') \in V \land a \neq a'\}) \land$ ∃ distinct e. a.

 $(\{e \in E \mid \exists a. oper(e) = wr(a)\} = \{e_{s,k} \mid s \in ReplicalD \land A$ $1 \le k \le \max\{v(s) \mid \exists a, (a, v) \in V\}\} \land$ $(\forall s, i, k, (repl(c, s) = s) \land (c, s \xrightarrow{s} c, s \iff i < k)) \land$

 $(\forall (a, v) \in V. \forall q. \{j \mid oper(e_{q,j}) = wr(a)\} \cup$ $\{j \mid \exists s, k. e_{q,j} \xrightarrow{\forall b} e_{s,k} \land \mathsf{oper}(e_{s,k}) = \mathsf{wr}(a)\} =$

 $\{j\mid 1\leq j\leq v(q)\})\wedge\\$ $(\forall e \in E, (oper(e) = yx(a) \land$ $\neg \exists f \in E.oper(f) = wr(\downarrow) \land e \xrightarrow{\forall a} f) \implies (a, \downarrow) \in V$

the former. The only non-trivial obligation is to show that if V[M] ((E, repl, obj, oper, rval, ro, vis), info),

 $\{a \mid (a,.) \in V\} \subseteq \{a \mid \exists e \in E.oper(e) = vr(a) \land$ $\neg \exists f \in E, \exists a', \mathsf{oper}(e) = \mathsf{wr}(a') \land e \xrightarrow{\mathsf{vir}} f\}$ (13)

(the reverse inclusion is straightforwardly implied by R_c). Take $(a, v) \in V$. We have $\forall (a, v) \in V$. $\exists s, v(s) > 0$. $v \boxtimes | \{v' \mid \exists a', (a', v') \in V \land a \neq a'\}$

 $\forall (a, v) \in V. \forall q. \{j \mid \mathsf{oper}(c_{q,j}) = \mathsf{wr}(a)\} \cup$ $\{j \mid \exists s, k. e_{a,j} \xrightarrow{\text{wis}} e_{a,k} \land \mathsf{oper}(e_{a,k}) = \mathsf{wr}(a)\} =$ $\{j \mid 1 \le j \le v(q)\}.$

From this we get that for some $e \in E$ $oper(e) = wr(a) \land \neg \exists f \in E. \exists a'. a' \neq a \land$

Since vis is acyclic, this implies that for some $e' \in E$

 $oper(e) = wx(a') \wedge e \xrightarrow{\forall a} f$.

 $oper(e') = wr(a) \land \neg \exists f \in E \ oper(e') = wr(.) \land e' \xrightarrow{\forall k} f.$ which establishes (13), Let us now discharge RECEIVE. Let receive((r, V), V') =(r. V"), where

 $V'' = \{(a, | |\{v' \mid (a, v') \in V \cup V'\}) \mid (a, \omega) \in V \cup V'\};$

Assume (r, V) $[R_r]$ I, V' [M] J and

I = ((E, repl, obj, oper, rval, ro, vis, ar), info);J = ((E', repl', obj', oper', rval', ro', vis', ar'), info') $I \sqcup J = ((E^{\prime\prime}, repl^{\prime\prime}, obj^{\prime\prime}, oper^{\prime\prime}, rval^{\prime\prime}, ro^{\prime\prime}, vis^{\prime\prime}, ar^{\prime\prime}), info^{\prime\prime}).$

By agree we have $I \sqcup J \in \mathsf{IEx}$. Then

 $(\forall (a, v), (a', v') \in V. (a = a' \implies v = v')) \land$ $(\forall (a, v) \in V, \exists s, v(s) > 0) \land$ $(\forall (a, v) \in V. v \square \mid |\{v' \mid \exists a'. (a', v') \in V \land a \neq a'\}) \land$

 $(\{e \in E \mid \exists a. \mathsf{oper}^e(e) = \mathsf{wr}(a)\} = \{e_{a,k} \mid s \in \mathsf{ReplicalD} \land A$ $1 \le k \le \max\{v(s) \mid \exists a, (a, v) \in V\}\} \land$ $(\forall s, j, k. (repl''(e_{s,k}) = s) \land (e_{s,j} \xrightarrow{ra} e_{s,k} \iff j < k)) \land$ $(\forall (a, v) \in V. \forall q. \{j \mid \mathsf{oper}^{\pi}(e_{g,j}) = \mathsf{wr}(a)\} \cup$

 $\{j \mid \exists s, k. c_{g,i} \xrightarrow{\forall a} c_{s,k} \land oper''(c_{s,k}) = wr(a)\} =$ $\{j \mid 1 \leq j \leq v(q)\}) \land$ $(\forall e \in E. (\mathsf{oper''}(e) = \mathsf{wr}(a) \land$

 $\neg \exists f \in E. oper''(f) = vr(\cdot) \land e \xrightarrow{vis} f) \Longrightarrow (a, \cdot) \in V$

 $(\forall (a,v),(a',v') \in V'.(a=a' \implies v=v')) \land$ $(\forall (a, v) \in V', \exists s, v(s) > 0) \land$ $(\forall (a, v) \in V'. v \not\sqsubseteq | |\{v' \mid \exists a'. (a', v') \in V' \land a \neq a'\}) \land$ 3 distinct e. ..

 $(\{e \in E' \mid \exists a. \text{ oper}''(e) = \text{wr}(a)\} = \{e_{s,k} \mid s \in \text{Replical D} \land A\}$ $1 \le k \le \max\{v(s) \mid \exists a. (a, v) \in V'\}\}) \land$ $(\forall s, j, k. \, (\mathsf{repl}^{\vee}(e_{s,k}) = s) \, \wedge \, (e_{s,j} \xrightarrow{n'} e_{s,k} \iff j < k)) \, \wedge \\$ $(\forall (a, v) \in V', \forall q, \{j \mid oper''(e_{q,j}) = wx(a)\} \cup$

 $\{i \mid \exists s, k, e_{n,i} \xrightarrow{\forall n'} e_{s,k} \land \mathsf{oper}''(e_{s,k}) = \mathsf{wr}(n)\} =$ $(\forall e \in E', (\mathsf{oper}''(e) = \mathsf{wr}(a) \land$

 $\neg \exists f \in E', \mathsf{oper}''(f) = \mathsf{vr}(J) \land e \xrightarrow{\mathsf{vir}} f) \Longrightarrow (a, J) \in V').$ The agree property also implies

 $\forall s, k, 1 \le k \le \min \{ \max\{v(s) \mid \exists a, (a, v) \in V \}.$ $\max\{v(s) \mid \exists a. (a, v) \in V'\}\} \implies e_{s,k} = e'_{s,k}.$

Hence there exist distinct $e_{s,k}^{\prime\prime}$ for $s \in \text{ReplicalD}$, $k = 1..(\max\{v(s) \mid \exists a, (a, v) \in V^{\prime\prime\prime}\})$,

 $(\forall s, k, 1 \le k \le \max\{v(s) \mid \exists a, (a, v) \in V\} \Longrightarrow e''_{s,k} = e_{s,k}) \land$ $(\forall s, k, 1 \le k \le \max\{v(s) \mid \exists a, (a, v) \in V'\} \Longrightarrow e''_{+k} = e'_{+k})$ $(\{e \in E \cup E' \mid \exists a, oper''(e) = yx(a)\} =$

 $\{e_{s,k}^{\prime\prime} \mid s \in \text{ReplicalD} \land 1 \le k \le \max\{v(s) \mid \exists a, (a, v) \in V^{\prime\prime\prime}\}\}$ $\wedge (\forall s, i, k, (repl(e''_{s,k}) = s) \wedge (e''_{s,k}, \stackrel{so''}{\longrightarrow} e''_{s,k}, \iff i < k)),$ By the definition of V'' and V''' we have

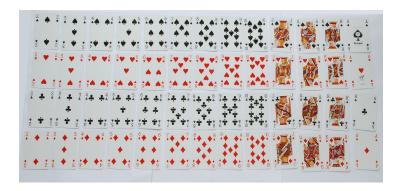
 $\forall (a, v), (a', v') \in V''', (a = a' \implies v = v').$ We also straightforwardly get

 $\forall (a, v) \in V', \exists s, v(s) > 0$

 $(\forall (a, v) \in V'' : \forall q : \{j \mid oper''(e''_{s,i}) = wr(a)\} \cup$ $\{j \mid \exists s, k, e_{a,i}^{\prime\prime} \xrightarrow{\text{wit}^{\prime\prime}} e_{a,k}^{\prime\prime} \land \text{oper}^{\prime\prime}(e_{a,k}^{\prime\prime}) = \text{wr}(a)\} = (14)$

 $\{j \mid 1 \le j \le v(q)\}\}$.

Ordered Pair and Cartesian Product



$$(a,b) = \{\{a\}, \{a,b\}\}$$

$$(a,b) = (x,y) \iff a = x \land b = y$$

$$(a,b) = \{\{a\}, \{a,b\}\}\$$

$$(a,b) = (x,y) \iff a = x \land b = y$$

$$\{\{a\},\{a,b\}\} = \{\{x\},\{x,y\}\} \implies a = x \land b = y$$

$$(a,b) = \{\{a\}, \{a,b\}\}$$

$$(a,b) = (x,y) \iff a = x \land b = y$$

$$\{\{a\},\{a,b\}\} = \{\{x\},\{x,y\}\} \implies a = x \land b = y$$

$Q: \mathsf{What}$ is wrong with this proof?

$$(1) \begin{cases} \{a\} &= \{x\} \\ \{a,b\} &= \{x,y\} \end{cases}$$

$$\implies \begin{cases} a = x \\ b = y \end{cases}$$

$$(2) \begin{cases} \{a\} &= \{x,y\} \\ \{a,b\} &= \{x\} \end{cases}$$

$$\implies \text{no solution.}$$

$$(a,b) = \{\{a\}, \{a,b\}\}$$

$$(a,b) = (x,y) \iff a = x \land b = y$$

$$\{\{a\},\{a,b\}\} = \{\{x\},\{x,y\}\} \implies a = x \land b = y$$

Proof.

Case
$$a = b$$

Case
$$a \neq b$$

$$(a,b) = \{\{a\}, \{a,b\}\}$$

$$(a,b) = (x,y) \iff a = x \land b = y$$

$$\{\{a\},\{a,b\}\} = \{\{x\},\{x,y\}\} \implies a = x \land b = y$$

Proof.

Case
$$a = b$$

Case
$$a \neq b$$

$$(a,a) = \{\{a\}\}\$$



$$(a,b) = \{\{a\}, \{a,b\}\}$$

$$(a,b) = (x,y) \iff a = x \land b = y$$

$$\{\{a\},\{a,b\}\} = \{\{x\},\{x,y\}\} \implies a = x \land b = y$$

Proof.

Case
$$a = b$$

Case
$$a \neq b$$

$$(a,a) = \{\{a\}\}$$

$${a} = {x} \quad {a,b} = {x,y}$$

$$(a,b) = \{\{a\},\{a,b\}\}$$

$$a \in A \land b \in B \implies (a, b) \in \mathcal{P}(\mathcal{P}(A \cup B))$$

$$(a,b) = \{\{a\}, \{a,b\}\}\$$

$$a \in A \land b \in B \implies (a, b) \in \mathcal{P}(\mathcal{P}(A \cup B))$$

$$A \times B = \{ x \in \mathcal{P}(\mathcal{P}(A \cup B)) \mid \exists a \in A \, \exists b \in B : x = (a, b) \}$$

$$A \subseteq C \land B \subseteq D \implies A \times B \subseteq C \times D$$



$$A \times B \subseteq C \times D \stackrel{?}{\Longrightarrow} A \subseteq C \land B \subseteq D$$

$$A \times B \subseteq C \times D \stackrel{?}{\Longrightarrow} A \subseteq C \land B \subseteq D$$

Disproof.

$$(x,y) \in A \times B \implies (x,y) \in C \times D$$
$$x \in A \land y \in B \implies x \in C \land y \times D$$
$$(x \in A \implies x \in C) \land (y \in B \implies y \in D)$$
$$(A \subseteq C) \land (B \subseteq D)$$

$$A \times B \subseteq C \times D \stackrel{?}{\Longrightarrow} A \subseteq C \land B \subseteq D$$

Disproof.

$$(x,y) \in A \times B \implies (x,y) \in C \times D$$

$$x \in A \land y \in B \implies x \in C \land y \times D$$

$$(x \in A \implies x \in C) \land (y \in B \implies y \in D)$$

$$(A \subseteq C) \land (B \subseteq D)$$

$$A=\emptyset\vee B=\emptyset$$



$$A \times B \subseteq C \times D \stackrel{?}{\Longrightarrow} A \subseteq C \land B \subseteq D$$

Disproof.

$$(x,y) \in A \times B \implies (x,y) \in C \times D$$

$$x \in A \land y \in B \implies x \in C \land y \times D$$

$$(x \in A \implies x \in C) \land (y \in B \implies y \in D)$$

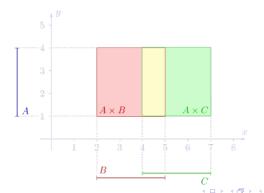
$$(A \subseteq C) \land (B \subseteq D)$$

$$A = \emptyset \lor B = \emptyset$$

$$A \times B \subseteq C \times D \xrightarrow{A,B \neq \emptyset} A \subseteq C \land B \subseteq D$$
By contradiction.

Distributive Laws (UD 9.14)

$$A \times (B \cup C) = (A \times B) \cup (A \times C)$$
$$A \times (B \cap C) = (A \times B) \cap (A \times C)$$
$$A \times (B \setminus C) = (A \times B) \setminus (A \times C)$$



Relation



燕小六: "帮我照顾好我七舅姥爷和我外甥女"

$$G = \{(a, b) : a \in b \text{ 的舅姥爷}\}$$

$$N = \{(a, b) : a \ge b$$
 的外甥女 $\}$

$$G = \{(a, b) : a \ge b \text{ 的舅姥爷}\}$$

$$N = \{(a,b) : a \in b \text{ 的外甥女}\}$$

"B" Brother "F" Father "O" Son "S" Sister "M" Mother "D" Dau.

$$G = \{(a, b) : a \in b \text{ 的舅姥爷}\}$$

$$N = \{(a,b) : a \in b \text{ 的外甥女}\}$$

"B" Brother "F" Father "O" Son "S" Sister "M" Mother "D" Dau.

$$G = B \circ M \circ M$$

$$G = \{(a, b) : a \in b \text{ 的舅姥爷}\}$$

$$N = \{(a,b) : a \in b \text{ 的外甥女}\}$$

"B" Brother "F" Father "O" Son "S" Sister "M" Mother "D" Dau.

$$G = B \circ M \circ M$$
 $N = D \circ S$

$$G = \{(a, b) : a \in b \text{ 的舅姥爷}\}$$

$$N = \{(a,b) : a \in b \text{ 的外甥女}\}$$

$$"B"$$
 Brother $"F"$ Father $"O"$ Son $"S"$ Sister $"M"$ Mother $"D"$ Dau.

$$G = B \circ M \circ M$$
 $N = D \circ S$

$$G = (B \circ M) \circ M = B \circ (M \circ M)$$

$$R\subseteq X\times Y$$

R is a relation from X to Y.

$$R\subseteq X\times X$$

R is a relation on X. (over)

Definition (Equivalence Relation)

R is an equivalence relation on X if R is

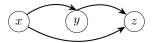
Reflexive: xRx



Symmetric: $xRy \implies yRx$



Transitive: $xRy \wedge yRz \implies xRz$



Definition (Equivalence Class)

$$(X, \sim)$$

The equivalence class of x is a **set**:

$$E_x = \{ y \in X : x \sim y \} = [x]_{\sim} = [x]$$

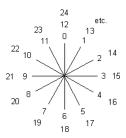
Equivalence Relation (UD 10.5)

$$(X, \sim)$$

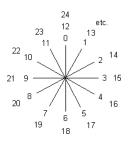
Prove that

$$\forall x,y \in X: [x]_{\sim} = [y]_{\sim} \iff x \sim y.$$

Equivalence Relations/Classes as Abstractions



Equivalence Relations/Classes as Abstractions





Equivalence Relations/Classes on Polynomials (UD 10.8)

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

(a)
$$p \sim q \iff p(0) = q(0)$$

$$p(x) = x$$

(b)
$$p \sim q \iff \deg(p) = \deg(q)$$

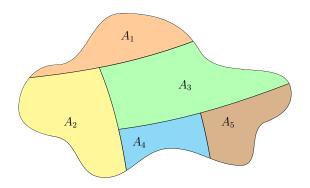
$$p(x) = 3x + 5$$

(c)
$$p \sim q \iff \deg(p) \leq \deg(q)$$

$$p(x) = x^2$$



Partition



Definition (Partition)

A family of sets $\{A_{\alpha} : \alpha \in I\}$ is a *partition* of X if

$$\forall \alpha \in I : A_{\alpha} \neq \emptyset$$

$$\forall \alpha \in I \ \exists x \in X : x \in A_{\alpha}$$

$$\bigcup_{\alpha \in I} A_{\alpha} = X$$

$$\forall x \in X \ \exists \alpha \in I : x \in A_{\alpha}$$

$$\forall \alpha, \beta \in I : A_{\alpha} \cap A_{\beta} = \emptyset \lor A_{\alpha} = A_{\beta}$$

$$\forall \alpha, \beta \in I : A_{\alpha} \cap A_{\beta} \neq \emptyset \implies A_{\alpha} = A_{\beta}$$

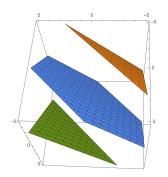






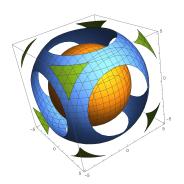
$$A_r = \{(x, y, z) \in \mathbb{R}^3 : x + y + z = r\}$$

$$A_r = \{(x, y, z) \in \mathbb{R}^3 : x + y + z = r\}$$



$$A_r = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 + z^2 = r^2\}$$

$$A_r = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 + z^2 = r^2\}$$



$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(a)
$$A_m = \{p: \deg(p) = m\} \quad m \in \mathbb{N}$$

(c)
$$A_q = \{p: \exists r(p=qr)\} \quad q \in P$$

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(a)
$$A_m = \{p: \deg(p) = m\} \quad m \in \mathbb{N}$$

(c)
$$A_q = \{p: \exists r(p=qr)\} \quad q \in P$$

$$q \in A_q$$

$$p \in A_p$$

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(a)
$$A_m = \{p: \deg(p) = m\} \quad m \in \mathbb{N}$$

(c)
$$A_q = \{p: \exists r(p=qr)\} \quad q \in P$$

$$q \in A_q$$

$$p \in A_p$$

 $p \neq q \land r = pq \implies (r \in A_q \cap A_q) \land (A_p \neq A_q)$

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(b)
$$A_c = \{p: p(0) = c\} \quad c \in \mathbb{R}$$

(d)
$$A_c = \{p: p(c) = 0\} \quad c \in \mathbb{R}$$

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(b)
$$A_c = \{p: p(0) = c\} \quad c \in \mathbb{R}$$

(d)
$$A_c = \{p: p(c) = 0\} \quad c \in \mathbb{R}$$

$$p(x) = x^2 + 1$$

Subset and Partition (UD 11.9)

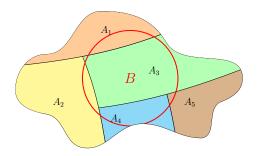
 $\{A_{\alpha}: \alpha \in I\}$ is a partition of $X \neq \emptyset$.

(a)

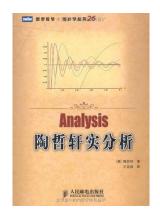
$$B \subseteq X$$
, $\forall \alpha \in I : A_{\alpha} \cap B \neq \emptyset$

To prove that

 $\{A_{\alpha} \cap B : \alpha \in I\}$ is a partition of B.



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Thank You!



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