

Cardinality Part I

Original Notes adopted from December 3, 2001 (Week 13)

©P. Rosenthal, MAT246Y1, University of Toronto, Department of Mathematics

S and T have the same **cardinality** if there exists $f: S \rightarrow T$ one-to-one onto (i.e. a “pairing”) or **one-to-one correspondence**.

We showed that $|\mathbb{N}| = |E| = |\mathbb{Q}^+|$

$|S| = |\mathbb{N}|$ iff S is an infinite set whose elements can be listed. We call such sets “countably infinite”, or say they have cardinality \aleph_0 .

$|S| = \aleph_0$ means $|S| = |\mathbb{N}|$.

$|[0, 1]| \neq \aleph_0$

Proof We'll show no list can contain all numbers in $[0, 1]$.

$a_{ij} \in \{0, 1, 2, 3, 4, \dots, 9\}$

Suppose we have a list c_1, c_2, c_3, \dots , write them as

$c_1 = .a_{11}a_{12}a_{13}a_{14}a_{15}\dots$

$c_2 = .a_{21}a_{22}a_{23}a_{24}a_{25}\dots$

$c_3 = .a_{31}a_{32}a_{33}a_{34}a_{35}\dots$

$\dots\dots$

In ambiguous cases, pick representation with all 9's. e.g. $.34999\dots = .3500000$.

Let $x = .b_1b_2b_3b_4\dots$ where b_j any digit other than 0, 9 or a_{jj}

Then x isn't among numbers listed for it differs from the k th number listed in its k th place.

Therefore $|[0, 1]| \neq \aleph_0$

We say $[0, 1]$ has the cardinality of the continuum, or $|[0, 1]| = c$

Definition. $|S| \leq |T|$ (“The cardinality of S is less than or equal to the cardinality of T ”) if there exists $T_0 \subset T$ such that $|S| = |T_0|$.

We say $|S| < |T|$ if $|S| \leq |T|$ and $|S| \neq |T|$

Claim: $|\mathbb{N}| < |[0, 1]|$. We just proved $|\mathbb{N}| \neq |[0, 1]|$.

$\{0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, .010, \dots\}$

This is an easier way:

Let $T_0 = \{1, 1/2, 1/3, 1/4, \dots\} \subset [0, 1]$

Let $f: \mathbb{N} \rightarrow T_0$ by $f(n) = 1/n$.

Since f is one-to-one onto and onto, $|\mathbb{N}| = |T_0|$.

Therefore $|\mathbb{N}| \leq |T| = |[0, 1]|$, or $|\mathbb{N}| < c$.

We defined $|S| \leq |T|$ to mean $|S| = |T_0|$ for some $T_0 \subset T$.

Suppose that also $|T| \leq |S|$. Must $|S| = |T|$?

$|S| \leq |T|$ means there exists $f: S \rightarrow T$, f one-to-one (not necessarily onto)

$|T| \leq |S|$ means there exists $g: T \rightarrow S$, g one-to-one

$|S| = |T|$ means there exists $h: S \rightarrow T$, h one-to-one and onto

Theorem. (Schröder-Bernstein or Cantor-Bernstein Theorem)

If $|S| \leq |T|$ and $|T| \leq |S|$, then $|S| = |T|$.

Theorem. If $a < b$ and $c < d$, then $|[a, b]| = |[b, d]|$ and $|(a, b)| = |(c, d)|$

Proof: Let $f(x) = c(\frac{x-b}{a-b}) + d(\frac{x-a}{b-a})$. Then
 $f : [a, b] \Rightarrow [c, d]$ one-to-one and onto
 $f : (a, b) \Rightarrow (c, d)$ one-to-one and onto

Eg. $(\pi, \frac{3\pi}{2}), [0, 1]$.
 $|(\pi, \frac{3\pi}{2})| \leq |[\pi, \frac{3\pi}{2}]| = |[\pi + 0.1, \frac{3\pi}{2} - 0.1]| \leq |(\pi, \frac{3\pi}{2})|$
 $S-B \Rightarrow |(\pi, \frac{3\pi}{2})| = |[\pi, \frac{3\pi}{2}]|$

Corollary. If $a < b$ and $c < d$, then $|[a, b]| = |(c, d)| = |[c, d]| = |(c, d)| = |[c, d]|$. The cardinalities of any intervals (closed or not) are equal.

Eg. $f(x) = \tan x$
 $f : (-\frac{\pi}{2}, \frac{\pi}{2}) \rightarrow \mathbb{R}$, one-to-one and onto
Therefore $|(-\frac{\pi}{2}, \frac{\pi}{2})| = |\mathbb{R}|$
Therefore $|\mathbb{R}| = |[0, 1]| = c$.

$[0, 1] \times [0, 1] = \{(x, y) : x \in [0, 1], y \in [0, 1]\}$
Let $S = [0, 1] \times [0, 1]$ be the unit square.
To see $|[0, 1]| \leq |S|$
Let $S_0 = \{(x, y) \in S : y = 0\}$.
Let $f : [0, 1] \rightarrow S_0$ by $f(x) = (x, 0)$.
Therefore $|[0, 1]| = |S_0| \Rightarrow |[0, 1]| \leq |S|$.

Is $|S| = |[0, 1]|$?

Represent points in S as infinite decimals:

$(x, y) = (.a_1a_2a_3 \dots, .b_1b_2b_3 \dots)$

Choose all 9's in ambiguous cases.

Let $f : S \Rightarrow [0, 1]$ by $f(.a_1a_2a_3 \dots, .b_1b_2b_3 \dots) = .a_1b_1a_2b_2a_3b_3a_4b_4 \dots$

f is one-to-one (but not onto).

For example, $.1707070707070 \dots$ is not in the range of f ; it would have to come from $(.10000 \dots, .777 \dots)$, but this is written as $(.0999 \dots, .777 \dots)$.

Since f is one-to-one, $|S| \leq |[0, 1]|$.

Schroeder-Berstein $\Rightarrow |S| = |[0, 1]| = c$.

Theorem. If $|S_i| = c$ for $i = 1, 2, 3, \dots$, then $|\bigcup_{i=1}^{\infty} S_i| = c$.
 $(\bigcup_{i=1}^{\infty} S_i = S_1 \cup S_2 \cup S_3 \dots)$

Proof: Clearly $|\bigcup_{i=1}^{\infty} S_i| \geq c$, since $S_i \subset \bigcup_{i=1}^{\infty} S_i$.

Write $\bigcup_{i=1}^{\infty} S_i = S_1 \cup (S_2 \setminus S_1) \cup (S_3 \setminus (S_1 \cup S_2)) \cup (S_4 \setminus (S_1 \cup S_2 \cup S_3)) \dots$ as a disjoint union.

Can construct $f : \bigcup_{i=1}^{\infty} S_i \rightarrow \mathbb{R}$ as follows: let f on S_1 be any one-to-one function from S_1 to $(0, 1)$; f on $S_2 \setminus S_1$ is any one-to-one function from $S_2 \setminus S_1$ onto $(1, 2)$, etc.

Then $f : \bigcup_{i=1}^{\infty} S_i \rightarrow \mathbb{R}$ is one-to-one.

Therefore $|\bigcup_{i=1}^{\infty} S_i| \leq |\mathbb{R}|$, S-B $\Rightarrow |\bigcup_{i=1}^{\infty} S_i| = |\mathbb{R}| = c$

In words: a countable union of sets of cardinality c has cardinality c .

Countable numbers of squares of unit sides covers \mathbb{R}^2 , so $|\mathbb{R}^2| = c$.

Theorem. Let S = set of all sets of real numbers (ie. the collection of subsets of \mathbb{R}).
Then $|S| > c$ (ie $|S| > |\mathbb{R}|$).

Proof: First, $|\mathbb{R}| \leq |S|$.

For each $x \in \mathbb{R}$, let $f(x) = \{x\}$ (singleton subset of \mathbb{R})

If $S_0 = \{\text{all singleton subsets of } \mathbb{R}\}$, $f : \mathbb{R} \Rightarrow S_0$ one-to-one and onto.

$|\mathbb{R}| \leq |S|$ by definition.

Must show: $|S| \neq |\mathbb{R}|$

Suppose there exists $g : \mathbb{R} \rightarrow S$, and show g can't be onto.

For $x \in \mathbb{R}$, $g(x)$ is a subset of \mathbb{R} .

Let $T = \{x \in \mathbb{R} : x \notin g(x)\}$.

Claim: there is no $y \in \mathbb{R}$ such that $g(y) = T$.

For if $g(y) = T$, is $y \in g(y)$ or not?

If $y \in g(y)$, then $y \notin T (=g(y))$. Contradiction.

Therefore $y \notin g(y)$.

But if $y \notin g(y)$, $y \in T$, so $y \in g(y)$. Another contradiction.

Therefore there is no such y , and so g is not onto.

The cardinality of S , we call 2^c . Therefore $2^c > c$.