

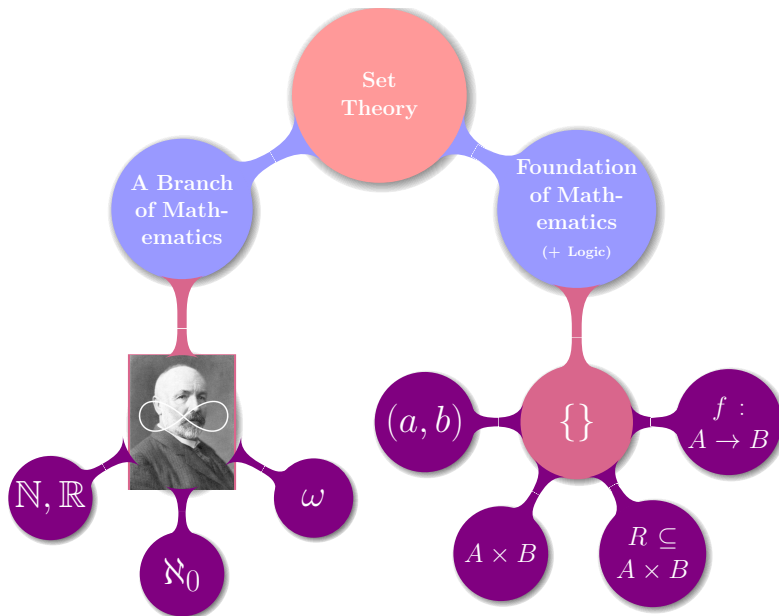
# 1-9 关系及其基本性质

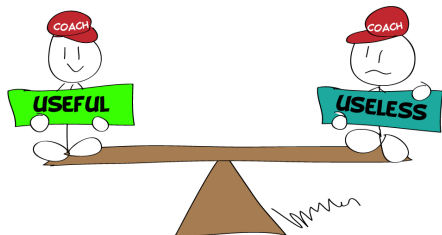
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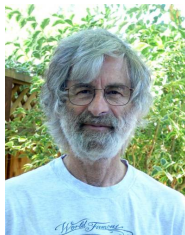
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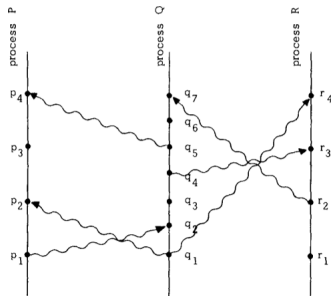




# Time, Clocks, and the Ordering of Events in a Distributed System

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The concept of one event happening before another in a distributed system is examined, and is shown to define a partial ordering of the events. A distributed algorithm is given for synchronizing a system of logical clocks which can be used to totally order the events.





**Figure 13.** A selection of consistency axioms over an execution  $(E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar})$

### Auxiliary relations

sameobj( $e, f$ )  $\iff \text{obj}(e) = \text{obj}(f)$

Per-object causality (aka happens-before) order:

$\text{hbo} = ((\text{ro} \cap \text{sameobj}) \cup \text{vis})^+$

Causality (aka happens-before) order:  $\text{hb} = (\text{ro} \cup \text{vis})^+$

### Axioms

EVENTUAL:

$\forall e \in E. \neg(\exists \text{infinitely many } f \in E. \text{sameobj}(e, f) \wedge \neg(e \xrightarrow{\text{vis}} f))$

THINAIR:  $\text{ro} \cup \text{vis}$  is acyclic

POCV (Per-Object Causal Visibility):  $\text{hbo} \subseteq \text{vis}$

POCA (Per-Object Causal Arbitration):  $\text{hbo} \subseteq \text{ar}$

COCV (Cross-Object Causal Visibility):  $(\text{hb} \cap \text{sameobj}) \subseteq \text{vis}$

COCA (Cross-Object Causal Arbitration):  $\text{hb} \cup \text{ar}$  is acyclic

**Figure 17.** Optimized state-based multi-value register and its simulation

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$\Sigma = \text{ReplicatedID} \times \mathcal{P}(\mathbb{Z} \times (\text{ReplicatedID} \rightarrow \mathbb{N}_0))$   
 $\delta_0 = (r, \emptyset)$   
 $M = \mathcal{P}(\mathbb{Z} \times (\text{ReplicatedID} \rightarrow \mathbb{N}_0))$   
 $\text{do}(w(a), (r, V), t) = \langle r, \{ (a, s) \mid s \neq r \text{ then } \max\{v(s) \mid (a, v) \in V\} \text{ else } \max\{v(s) \mid (a, v) \in V\} + 1 \} \rangle, \perp \rangle$   
 $\text{del}(r, (r, V), t) = \langle (r, V), \{a \mid (a, v) \in V\} \rangle$   
 $\text{send}((r, V), t) = \langle (r, V), V \rangle$   
 $\text{receive}((r, V), V') = \langle (r, \{ (a, v) \in V'' \mid v \in \mathbb{Z} \setminus \{v' \mid \exists a'. (a', v') \in V'' \wedge a \neq a'\} \}), \text{where } V'' = \{ (a, \perp) \mid v' \mid (a, v') \in V \cup V' \} \mid (a, \perp) \in V \cup V' \} \rangle$   
 $(r, V) \ll [R_1] \implies (r, V) \wedge (V \models M)$   
 $V \models M \iff ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info}) \iff$   
 $(\forall(a, v), (a', v') \in V. (a = a' \implies v = v') \wedge$   
 $(\forall(a, v) \in V. \exists s. v(s) > 0) \wedge$   
 $(\forall(a, v) \in V. \forall j. [j \mid \text{oper}(e_{j,k}) = \text{wr}(a)] \implies$   
 $\exists \text{ distinct } e_{j,k}$   
 $\{ \{e \in E \mid \exists a. \text{oper}(e) = \text{wr}(a)\} = \{e_{j,k} \mid a \in \text{ReplicatedID} \wedge$   
 $1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \}) \wedge$   
 $(\forall a, j, k. (\text{repl}(e_{j,k}) = s) \wedge (e_{j,k} \xrightarrow{\text{vis}} e_{j,k} \iff j < k)) \wedge$   
 $(\forall(a, v) \in V. \forall j. [j \mid \text{oper}(e_{j,k}) = \text{wr}(a)] \implies$   
 $\{j \mid \exists a, k. e_{j,k} \xrightarrow{\text{vis}} e_{j,k} \wedge \text{oper}(e_{j,k}) = \text{wr}(a)\} =$   
 $\{j \mid 1 \leq j \leq v(q)\}) \wedge$   
 $(\forall e \in E. (\text{oper}(e) = \text{wr}(a)) \wedge$   
 $\neg \exists f \in E. \text{oper}(f) = \text{wr}(a') \wedge e \xrightarrow{\text{vis}} f) \implies (a, \perp) \in V)$

the form. The only non-trivial obligation is to show that if

$$V \models M \iff ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}), \text{info}),$$

then

$$\{a \mid (a, \perp) \in V\} \subseteq \{a \mid \exists e \in E. \text{oper}(e) = \text{wr}(a)\} \wedge$$

$$\neg \exists f \in E. \exists a'. \text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{vis}} f \quad (13)$$

(the reverse inclusion is straightforwardly implied by  $R_1$ ).

Take  $(a, v) \in V$ . We have  $\forall(a, v) \in V. \exists s. v(s) > 0$ .

$$v \in \mathbb{Z} \setminus \{v' \mid \exists a'. (a', v') \in V \wedge a \neq a'\}$$

and

$$\forall(a, v) \in V. \forall j. [j \mid \text{oper}(e_{j,k}) = \text{wr}(a)] \implies$$

$$\{j \mid \exists a, k. e_{j,k} \xrightarrow{\text{vis}} e_{j,k} \wedge \text{oper}(e_{j,k}) = \text{wr}(a)\} =$$

$$\{j \mid 1 \leq j \leq v(q)\}.$$

From this we get that for some  $e \in E$

$$\text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists a'. a \neq a' \wedge$$

$$\text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{vis}} f.$$

Since  $\text{vis}$  is acyclic, this implies that for some  $e' \in E$

$$\text{oper}(e') = \text{wr}(a) \wedge \neg \exists f \in E. \text{oper}(e') = \text{wr}(a') \wedge e' \xrightarrow{\text{vis}} f,$$

which establishes (13).

Let us now discharge **RECEIVE**. Let  $\text{receive}((r, V), V') = (r, V'')$ , where

$$V'' = \{(a, \perp) \mid v' \mid (a, v') \in V \cup V'\} \mid (a, \perp) \in V \cup V';$$

$$V''' = \{(a, v) \in V'' \mid v \in \mathbb{Z} \setminus \{v' \mid (a', v') \in V \cup V' \mid a \neq a'\}\}.$$

Assume  $(r, V) \ll [R_1] \iff V' \models M'$  and

$$I = ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info});$$

$$J = ((E', \text{repl}', \text{obj}', \text{oper}', \text{rval}', \text{ro}', \text{vis}', \text{ar}'), \text{info}');$$

$$I \sqcup J = ((E'', \text{repl}'', \text{obj}'', \text{oper}'', \text{rval}'', \text{ro}'', \text{vis}'', \text{ar}''), \text{info}'').$$

By agree we have  $I \sqcup J \in \text{EX}$ . Then

$$(\forall(a, v), (a', v') \in V. (a = a' \implies v = v') \wedge$$

$$(\forall(a, v) \in V. \exists s. v(s) > 0) \wedge$$

$$(\forall(a, v) \in V. \forall j. [j \mid \text{oper}'(e_{j,k}') = \text{wr}(a')] \implies$$

$$\exists \text{ distinct } e_{j,k}'$$

$$\{ \{e \in E' \mid \exists a. \text{oper}'(e) = \text{wr}(a)\} = \{e_{j,k}' \mid a \in \text{ReplicatedID} \wedge$$

$$1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \}) \wedge$$

$$(\forall a, j, k. (\text{repl}'(e_{j,k}') = s) \wedge (e_{j,k}' \xrightarrow{\text{vis}} e_{j,k}' \iff j < k)) \wedge$$

$$(\forall(a, v) \in V. \forall j. [j \mid \text{oper}'(e_{j,k}') = \text{wr}(a')] \implies$$

$$\{j \mid \exists a, k. e_{j,k}' \xrightarrow{\text{vis}} e_{j,k}' \wedge \text{oper}'(e_{j,k}') = \text{wr}(a')\} =$$

$$\{j \mid 1 \leq j \leq v(q')\}) \wedge$$

$$(\forall e \in E. (\text{oper}'(e) = \text{wr}(a)) \wedge$$

$$\neg \exists f \in E. \text{oper}'(f) = \text{wr}(a') \wedge e \xrightarrow{\text{vis}} f) \implies (a, \perp) \in V)$$

and

$$(\forall(a, v), (a', v') \in V'. (a = a' \implies v = v') \wedge$$

$$(\forall(a, v) \in V'. \exists s. v(s) > 0) \wedge$$

$$(\forall(a, v) \in V'. \forall j. [j \mid \text{oper}'(e_{j,k}') = \text{wr}(a')] \implies$$

$$\exists \text{ distinct } e_{j,k}'$$

$$\{ \{e \in E' \mid \exists a. \text{oper}'(e) = \text{wr}(a)\} = \{e_{j,k}' \mid a \in \text{ReplicatedID} \wedge$$

$$1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V'\} \}) \wedge$$

$$(\forall a, j, k. (\text{repl}'(e_{j,k}') = s) \wedge (e_{j,k}' \xrightarrow{\text{vis}} e_{j,k}' \iff j < k)) \wedge$$

$$(\forall(a, v) \in V'. \forall j. [j \mid \text{oper}'(e_{j,k}') = \text{wr}(a')] \implies$$

$$\{j \mid \exists a, k. e_{j,k}' \xrightarrow{\text{vis}} e_{j,k}' \wedge \text{oper}'(e_{j,k}') = \text{wr}(a')\} =$$

$$\{j \mid 1 \leq j \leq v(q')\}) \wedge$$

$$(\forall e \in E'. (\text{oper}'(e) = \text{wr}(a)) \wedge$$

$$\neg \exists f \in E'. \text{oper}'(f) = \text{wr}(a') \wedge e \xrightarrow{\text{vis}} f) \implies (a, \perp) \in V').$$

The agree property also implies

$$\forall s, k. 1 \leq k \leq \min\{\max\{v(s) \mid \exists a. (a, v) \in V\},$$

$$\max\{v(s) \mid \exists a. (a, v) \in V'\}\} \implies e_{j,k} = e_{j,k}'.$$

Hence, there exist distinct

$$e_{j,k}' \text{ for } s \in \text{ReplicatedID}, k = 1, \dots, (\max\{v(s) \mid \exists a. (a, v) \in V''\}),$$

$$\text{such that}$$

$$(\forall s, k. 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \implies e_{j,k}' = e_{j,k}) \wedge$$

$$(\forall s, k. 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V'\} \implies e_{j,k}' = e_{j,k}')$$

and

$$\{ \{e \in E \cup E' \mid \exists a. \text{oper}''(e) = \text{wr}(a)\} = \{e_{j,k}'' \mid s \in \text{ReplicatedID} \wedge 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V''\}\} \}$$

$$\wedge (\forall s, j, k. (\text{repl}''(e_{j,k}'') = s) \wedge (e_{j,k}'' \xrightarrow{\text{vis}} e_{j,k}'' \iff j < k)).$$

By the definition of  $V''$  and  $V'''$  we have

$$\forall(a, v), (a', v') \in V''. (a = a' \implies v = v').$$

We also straightforwardly get

$$\forall(a, v) \in V'. \exists s. v(s) > 0$$

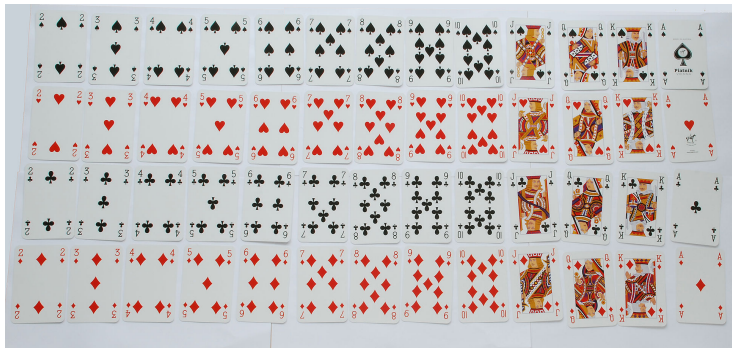
and

$$(\forall(a, v) \in V''. \forall j. [j \mid \text{oper}''(e_{j,k}'') = \text{wr}(a'')] \implies$$

$$\{j \mid \exists a, k. e_{j,k}'' \xrightarrow{\text{vis}} e_{j,k}'' \wedge \text{oper}''(e_{j,k}'') = \text{wr}(a'')\} =$$

$$\{j \mid 1 \leq j \leq v(q'')\}).$$

# Ordered Pair and Cartesian Product



## Definitions of $(a, b)$ and $A \times B$ (UD 9.16)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

$$(a, b) = (x, y) \iff a = x \wedge b = y$$

$$\{\{a\}, \{a, b\}\} = \{\{x\}, \{x, y\}\} \implies a = x \wedge b = y$$

*Q* : What is wrong with this proof?

$$(1) \begin{cases} \{a\} &= \{x\} \\ \{a, b\} &= \{x, y\} \end{cases} \implies \begin{cases} a = x \\ b = y \end{cases}$$

$$(2) \begin{cases} \{a\} &= \{x, y\} \\ \{a, b\} &= \{x\} \end{cases} \implies \text{no solution.}$$

## Definitions of $(a, b)$ and $A \times B$ (UD 9.16)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

$$(a, b) = (x, y) \iff a = x \wedge b = y$$

$$\{\{a\}, \{a, b\}\} = \{\{x\}, \{x, y\}\} \implies a = x \wedge b = y$$

Proof.

CASE  $a = b$

CASE  $a \neq b$

$$(a, a) = \{\{a\}\}$$

$$\{a\} = \{x\} \quad \{a, b\} = \{x, y\}$$





## Definitions of $(a, b)$ and $A \times B$ (UD 9.16)

$$(a, b) = \{\{a\}, \{a, b\}\}$$

$$a \in A \wedge b \in B \implies (a, b) \in \mathcal{P}(\mathcal{P}(A \cup B))$$

$$A \times B = \{x \in \mathcal{P}(\mathcal{P}(A \cup B)) \mid \exists a \in A \exists b \in B : x = (a, b)\}$$

$$A \subseteq C \wedge B \subseteq D \implies A \times B \subseteq C \times D$$

## Cartesian Product and “ $\subseteq$ ” (UD 9.13)

$$A \times B \subseteq C \times D \stackrel{?}{\implies} A \subseteq C \wedge B \subseteq D$$

Disproof.

$$(x, y) \in A \times B \implies (x, y) \in C \times D$$

$$x \in A \wedge y \in B \implies x \in C \wedge y \in D$$

$$(x \in A \implies x \in C) \wedge (y \in B \implies y \in D)$$

$$(A \subseteq C) \wedge (B \subseteq D)$$

$$A = \emptyset \vee B = \emptyset$$



$$A \times B \subseteq C \times D \stackrel{A, B \neq \emptyset}{\implies} A \subseteq C \wedge B \subseteq D$$

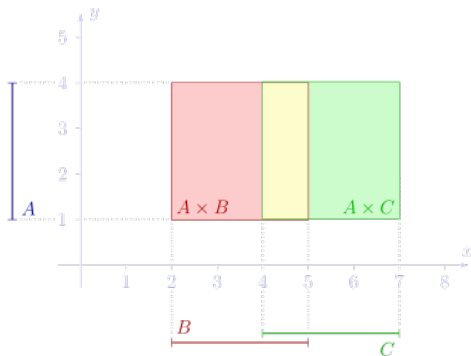
By contradiction.

## Distributive Laws (UD 9.14)

$$A \times (B \cup C) = (A \times B) \cup (A \times C)$$

$$A \times (B \cap C) = (A \times B) \cap (A \times C)$$

$$A \times (B \setminus C) = (A \times B) \setminus (A \times C)$$



# Relation



燕小六：“帮我照顾好我七舅姥爷和我外甥女”

$$G = \{(a, b) : a \text{ 是 } b \text{ 的舅姥爷}\}$$

$$N = \{(a, b) : a \text{ 是 } b \text{ 的外甥女}\}$$

$$G \cup N$$

“ $B$ ” Brother

“ $F$ ” Father

“ $O$ ” Son

“ $S$ ” Sister

“ $M$ ” Mother

“ $D$ ” Dau.

$$G = B \circ M \circ M$$

$$N = D \circ S$$

$$G = (B \circ M) \circ M = B \circ (M \circ M)$$

$$R \subseteq X \times Y$$

$R$  is a relation **from**  $X$  **to**  $Y$ .

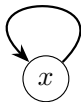
$$R \subseteq X \times X$$

$R$  is a relation **on**  $X$ .  
(over)

## Definition (Equivalence Relation)

$R$  is an **equivalence relation** on  $X$  if  $R$  is

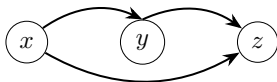
**Reflexive:**  $xRx$



**Symmetric:**  $xRy \implies yRx$



**Transitive:**  $xRy \wedge yRz \implies xRz$



## Definition (Equivalence Class)

$$(X, \sim)$$

The equivalence class of  $x$  is a **set**:

$$E_x = \{y \in X : x \sim y\} = [x]_{\sim} = [x]$$



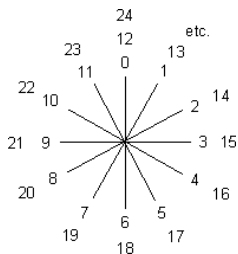
## Equivalence Relation (UD 10.5)

$$(X, \sim)$$

Prove that

$$\forall x, y \in X : [x]_{\sim} = [y]_{\sim} \iff x \sim y.$$

## Equivalence Relations/Classes as Abstractions



## Equivalence Relations/Classes on Polynomials (UD 10.8)

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

(a)

$$p \sim q \iff p(0) = q(0)$$

$$p(x) = x$$

(b)

$$p \sim q \iff \deg(p) = \deg(q)$$

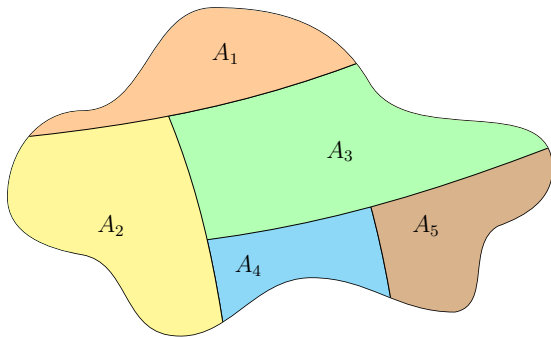
$$p(x) = 3x + 5$$

(c)

$$p \sim q \iff \deg(p) \leq \deg(q)$$

$$p(x) = x^2$$

# Partition



## Definition (Partition)

A family of sets  $\{A_\alpha : \alpha \in I\}$  is a *partition* of  $X$  if

(i)

$$\forall \alpha \in I : A_\alpha \neq \emptyset$$

$$\forall \alpha \in I \exists x \in X : x \in A_\alpha$$

(ii)

$$\bigcup_{\alpha \in I} A_\alpha = X$$

$$\forall x \in X \exists \alpha \in I : x \in A_\alpha$$

(iii)

$$\forall \alpha, \beta \in I : A_\alpha \cap A_\beta = \emptyset \vee A_\alpha = A_\beta$$

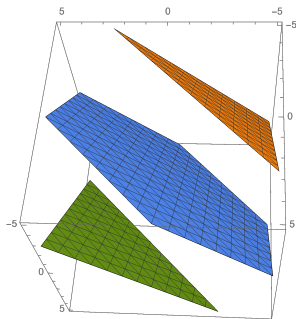
$$\forall \alpha, \beta \in I : A_\alpha \cap A_\beta \neq \emptyset \implies A_\alpha = A_\beta$$



## Partitions of $\mathbb{R}^3$ (UD 11.3)

Is  $\{A_r : r \in \mathbb{R}\}$  a partition of  $\mathbb{R}^3$ ?

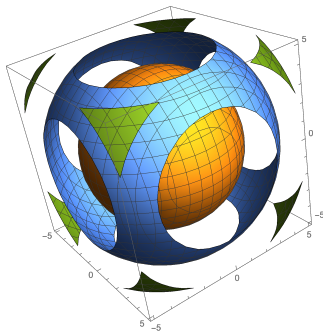
$$A_r = \{(x, y, z) \in \mathbb{R}^3 : x + y + z = r\}$$



## Partitions of $\mathbb{R}^3$ (UD 11.3)

Is  $\{A_r : r \in \mathbb{R}\}$  a partition of  $\mathbb{R}^3$ ?

$$A_r = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 + z^2 = r^2\}$$





## Partitions of the Set of Polynomials (UD 11.7)

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(a)

$$A_m = \{p : \deg(p) = m\} \quad m \in \mathbb{N}$$

(c)

$$A_q = \{p : \exists r (p = qr)\} \quad q \in P$$

$$q \in A_q$$

$$p \in A_p$$

$$p \neq q \wedge r = pq \implies (r \in A_q \cap A_q) \wedge (A_p \neq A_q)$$

## Partitions of the Set of Polynomials (UD 11.7)

$$p(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x^1 + a_0 \quad (a_j \in \mathbb{R}, n \in \mathbb{N})$$

$$\deg(p = 0) = -\infty$$

(b)

$$A_c = \{p : p(0) = c\} \quad c \in \mathbb{R}$$

(d)

$$A_c = \{p : p(c) = 0\} \quad c \in \mathbb{R}$$

$$p(x) = x^2 + 1$$

## Subset and Partition (UD 11.9)

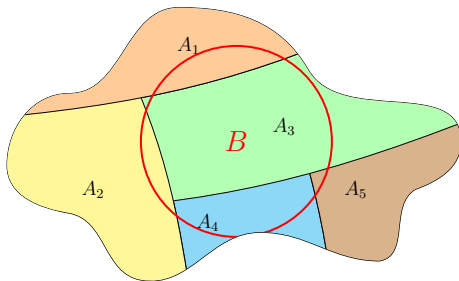
$\{A_\alpha : \alpha \in I\}$  is a partition of  $X \neq \emptyset$ .

(a)

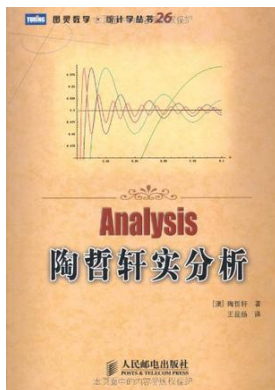
$$B \subseteq X, \quad \forall \alpha \in I : A_\alpha \cap B \neq \emptyset$$

To prove that

$\{A_\alpha \cap B : \alpha \in I\}$  *is* a partition of  $B$ .



# Order in the Reals



Thank  
You!



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