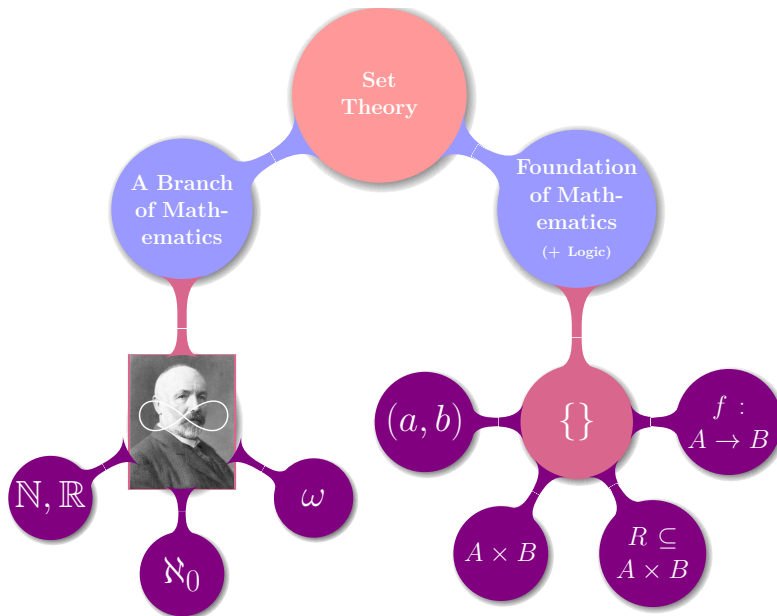


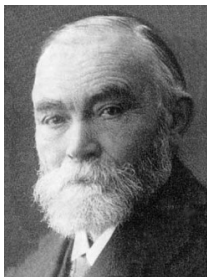
1-8 Set Theory: Axioms and Operations

魏恒峰

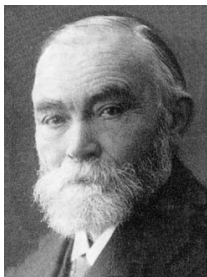
hfwei@nju.edu.cn

2019 年 11 月 26 日

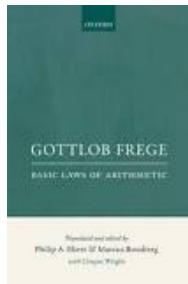




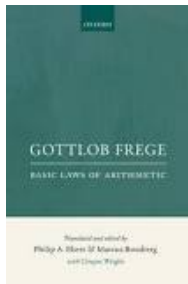
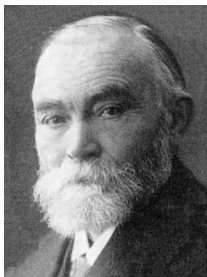
Gottlob Frege (1848–1925)



Gottlob Frege (1848–1925)



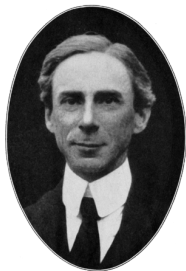
“Basic Laws of Arithmetic”



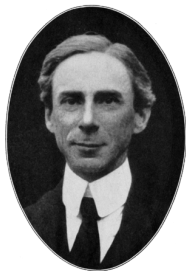
Gottlob Frege (1848–1925)

“Basic Laws of Arithmetic”

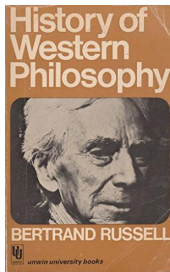
对于一个科学工作者来说，最不幸的事情莫过于：当他的工作接近完成时，却发现那大厦的基础已经动摇。 — 《附录二》，1902

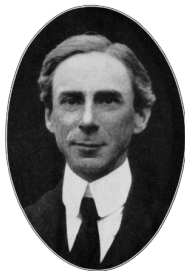


Bertrand Russell (1872–1970)

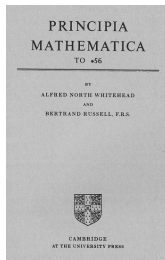
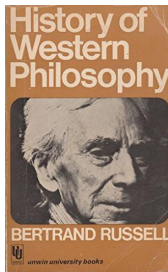


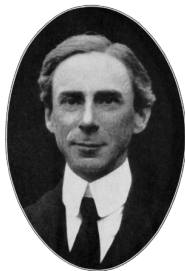
Bertrand Russell (1872–1970)



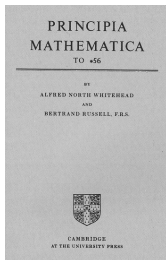
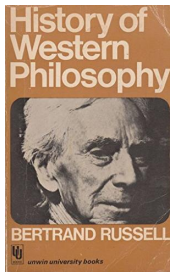


Bertrand Russell (1872–1970)



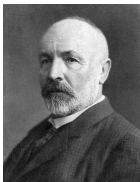


Bertrand Russell (1872–1970)



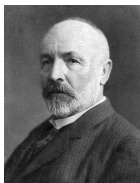
我们将集合理解为任何将我们思想中那些确定而彼此独立的对象放在一起而形成的聚合。

— *Georg Cantor* 《超穷数理论基础》



我们将集合理解为任何将我们思想中那些确定而彼此独立的对象放在一起而形成的聚合。

— Georg Cantor 《超穷数理论基础》



Theorem (概括原则)

*For any predicate $\psi(x)$, there is a **set** X :*

$$X = \{x \mid \psi(x)\}$$

Theorem (概括原则)

*For any predicate $\psi(x)$, there is a **set** X :*

$$X = \{x \mid \psi(x)\}.$$

Theorem (概括原则)

For any predicate $\psi(x)$, there is a *set* X :

$$X = \{x \mid \psi(x)\}.$$

Definition (Russell's Paradox)

$$\psi(x) \triangleq "x \notin x"$$

Theorem (概括原则)

For any predicate $\psi(x)$, there is a *set* X :

$$X = \{x \mid \psi(x)\}.$$

Definition (Russell's Paradox)

$$\psi(x) \triangleq "x \notin x"$$

$$R = \{x \mid x \notin x\}$$

Theorem (概括原则)

For any predicate $\psi(x)$, there is a *set* X :

$$X = \{x \mid \psi(x)\}.$$

Definition (Russell's Paradox)

$$\psi(x) \triangleq "x \notin x"$$

$$R = \{x \mid x \notin x\}$$

$$Q : R \in R ?$$

Q: 既然朴素集合论存在悖论，你是如何做作业的？







Theorem (Russell's Paradox)

$\{x \mid x \notin x\}$ is *not* a set.

Axiomatic Set Theory (ZFC)



Ernst Zermelo (1871–1953)



Abraham Fraenkel (1891–1965)

First-order Language

Parentheses: $(,)$

Variables: x, y, z, \dots

Connectives: $\wedge, \vee, \neg, \rightarrow, \leftrightarrow$

Quantifiers: \forall, \exists

Equality: $=$

First-order Language

Parentheses: $(,)$

Variables: x, y, z, \dots

Connectives: $\wedge, \vee, \neg, \rightarrow, \leftrightarrow$

Quantifiers: \forall, \exists

Equality: $=$

Constants: a, b, c, \dots

Functions: f, g, h, \dots

Predicates: R, P, Q, \dots

First-order Language for Sets \mathcal{L}_{Set}

First-order Language for Sets $\mathcal{L}_{Set} = \{\in\}$

Parentheses: $(,)$

Variables: x, y, z, \dots

Connectives: $\wedge, \vee, \neg, \rightarrow, \leftrightarrow$

Quantifiers: \forall, \exists

Equality: $=$

Constants:

Functions:

Predicates: \in

First-order Language for Sets $\mathcal{L}_{Set} = \{\in\}$

Parentheses: $(,)$

Variables: x, y, z, \dots

Connectives: $\wedge, \vee, \neg, \rightarrow, \leftrightarrow$

Quantifiers: \forall, \exists

Equality: $=$

Constants:

Functions:

Predicates: \in

First-order Language for Sets $\mathcal{L}_{Set} = \{\in\}$

Parentheses: $(,)$

Variables: x, y, z, \dots

Connectives: $\wedge, \vee, \neg, \rightarrow, \leftrightarrow$

Quantifiers: \forall, \exists

Equality: $=$

Constants:

Functions:

Predicates: \in

Everything we consider in \mathcal{L}_{Set} is a set.

Q : What is “ \in ”?

Q : What are “sets”?

We don't define them directly.

We only describe their properties in an **axiomatic** way.



- (1) To draw a straight line from any point to any point.
- (2) To extend a finite straight line continuously in a straight line.
- (3) To describe a circle with any center and radius.
- (4) That all right angles are equal to one another.
- (5) The parallel postulate.

$$E, E; P, U, R, P; I, C; F$$

Definition (\notin)

$$x \notin A \triangleq \neg(x \in A).$$

Definition (\notin)

$$x \notin A \triangleq \neg(x \in A).$$

Definition (\subseteq, \subset)

$$A \subseteq B \triangleq \forall x(x \in A \implies x \in B)$$

$$A \subset B \triangleq A \subseteq B \wedge A \neq B$$

Axiom (Pairing Axiom)

For any sets x and y , there is a set having as members just x and y :

$$\forall x \forall y \exists B (\forall z (z \in B \iff z = x \vee z = y)).$$

Axiom (Paring Axiom)

For any sets x and y , there is a set having as members just x and y :

$$\forall x \forall y \exists B (\forall z (z \in B \iff z = x \vee z = y)).$$

Definition (“ $\{x, y\}$ ”)

$\{x, y\} \triangleq$ the **unique** set obtained by **paring** x and y .

Axiom (Paring Axiom)

For any sets x and y , there is a set having as members just x and y :

$$\forall x \forall y \exists B (\forall z (z \in B \iff z = x \vee z = y)).$$

Definition (“ $\{x, y\}$ ”)

$\{x, y\} \triangleq$ the **unique** set obtained by **paring** x and y .

Theorem

$$\{x, y\} = \{y, x\}.$$

Axiom (Paring Axiom)

For any sets x and y , there is a set having as members just x and y :

$$\forall x \forall y \exists B (\forall z (z \in B \iff z = x \vee z = y)).$$

Definition (“ $\{x, y\}$ ”)

$\{x, y\} \triangleq$ the **unique** set obtained by **paring** x and y .

Theorem

$$\{x, y\} = \{y, x\}.$$

Definition (“ $\{x\}$ ”)

$$\{x\} \triangleq \{x, x\}.$$

Axiom (Union Axiom (Simplified Version))

For any sets x and y , there is a set whose members are the elements belonging either to x or to y (or both):

$$\forall x \forall y \exists B (\forall z (z \in B \iff z \in x \vee z \in y)).$$

Axiom (Union Axiom (Simplified Version))

For any sets x and y , there is a set whose members are the elements belonging either to x or to y (or both):

$$\forall x \forall y \exists B (\forall z (z \in B \iff z \in x \vee z \in y)).$$

Definition (“ $x \cup y$ ”)

$x \cup y \triangleq$ the **unique** set obtained by **unioning** x and y .

Definition (“ $\{x, y\}$ ”)

$\{x, y\} \triangleq$ the **unique** set obtained by **paring** x and y .

Definition (“ $\{x\}$ ”)

$$\{x\} \triangleq \{x, x\}.$$

Definition (“ $\{x, y\}$ ”)

$\{x, y\} \triangleq$ the **unique** set obtained by **paring** x and y .

Definition (“ $\{x\}$ ”)

$$\{x\} \triangleq \{x, x\}.$$

Definition (“ $\{x, y, z\}$ ”)

$$\{x, y, z\} \triangleq \{x, y\} \cup \{z\}.$$

Definition (“ $\{x, y\}$ ”)

$\{x, y\} \triangleq$ the **unique** set obtained by **paring** x and y .

Definition (“ $\{x\}$ ”)

$$\{x\} \triangleq \{x, x\}.$$

Definition (“ $\{x, y, z\}$ ”)

$$\{x, y, z\} \triangleq \{x, y\} \cup \{z\}.$$

We can use **pairing** and **union** together to form **finite sets**.

Axiom (Union Axiom (Extended Version))

For any set A , there is a set B such that:

$\forall x (x \in B \iff x \text{ belongs to some member of } A).$

$$\forall x (x \in B \iff \exists y \in A (x \in y)).$$

Axiom (Union Axiom (Extended Version))

For any set A , there is a set B such that:

$\forall x (x \in B \iff x \text{ belongs to some member of } A).$

$$\forall x (x \in B \iff \exists y \in A (x \in y)).$$

Definition (“ $\bigcup A$ ” (Arbitrary Union))

$\bigcup A \triangleq$ the **unique** set obtained by **unioning** A .

Axiom (Union Axiom (Extended Version))

For any set A , there is a set B such that:

$\forall x (x \in B \iff x \text{ belongs to some member of } A).$

$$\forall x (x \in B \iff \exists y \in A (x \in y)).$$

Definition (“ $\bigcup A$ ” (Arbitrary Union))

$\bigcup A \triangleq$ the **unique** set obtained by **unioning** A .

Theorem

$$\bigcup \{x, y\} = x \cup y.$$

Axiom (Union Axiom (Extended Version))

For any set A , there is a set B such that:

$\forall x (x \in B \iff x \text{ belongs to some member of } A).$

$$\forall x (x \in B \iff \exists y \in A (x \in y)).$$

Definition (“ $\bigcup A$ ” (Arbitrary Union))

$\bigcup A \triangleq$ the **unique** set obtained by **unioning** A .

Theorem

$$\bigcup \{x, y\} = x \cup y.$$

Theorem

$$\bigcup \emptyset = \emptyset.$$

Axiom (Replacement Axioms (Simplified Version; Subset Axioms; Separation Axioms))

Let $\psi(u)$ be a predicate. For any set u , there is a set B which is a subset of u such that each element x of B satisfies $\psi(x)$:

$$\forall u \exists B (\forall x (x \in B \iff x \in u \wedge \psi(x))).$$

Definition (“ $\{x \in u \mid \psi(x)\}$ ”)

$\{x \in u \mid \psi(x)\} \triangleq$ the **unique** set obtained by **separating** u with ψ .

Axiom (Replacement Axioms (Simplified Version; Subset Axioms; Separation Axioms))

Let $\psi(u)$ be a predicate. For any set u , there is a set B which is a subset of u such that each element x of B satisfies $\psi(x)$:

$$\forall u \exists B (\forall x (x \in B \iff x \in u \wedge \psi(x))).$$

Definition (“ $\{x \in u \mid \psi(x)\}$ ”)

$\{x \in u \mid \psi(x)\} \triangleq$ the unique set obtained by separating u with ψ .

Definition (“ $u \cap v$ ”)

$$u \cap v \triangleq \{x \in u \mid x \in v\}.$$

Theorem (“ $\bigcap A$ ” (Arbitrary Intersection))

For any nonempty set A , there is a unique set B such that

$\forall x (x \in B \iff x \text{ belongs to every member of } A).$

$$\forall x (x \in B \iff \forall y \in A (x \in y)).$$

Theorem (“ $\bigcap A$ ” (Arbitrary Intersection))

For any nonempty set A , there is a unique set B such that

$$\forall x (x \in B \iff x \text{ belongs to every member of } A).$$

$$\forall x (x \in B \iff \forall y \in A (x \in y)).$$

Proof.

Let c be a fixed member of A .

Theorem (“ $\bigcap A$ ” (Arbitrary Intersection))

For any nonempty set A , there is a unique set B such that

$$\forall x (x \in B \iff x \text{ belongs to every member of } A).$$

$$\forall x (x \in B \iff \forall y \in A (x \in y)).$$

Proof.

Let c be a fixed member of A .

$$\bigcap A \triangleq \{x \in c \mid x \text{ belongs to every other member of } A\}.$$



Theorem (“ $\bigcap A$ ” (Arbitrary Intersection))

For any nonempty set A , there is a unique set B such that

$$\forall x (x \in B \iff x \text{ belongs to every member of } A).$$

$$\forall x (x \in B \iff \forall y \in A (x \in y)).$$

Proof.

Let c be a fixed member of A .

$$\bigcap A \triangleq \{x \in c \mid x \text{ belongs to every other member of } A\}.$$



“ $\bigcap \emptyset$ ”

$\bigcap \emptyset$ is *not* a set.

Theorem (No Universal Set)

There is no universal set.

$$\nexists B(\forall x(x \in B)).$$

Theorem (No Universal Set)

There is no universal set.

$$\nexists B(\forall x(x \in B)).$$

Proof.

For any set A , we construct a set not in A .

Theorem (No Universal Set)

There is no universal set.

$$\nexists B(\forall x(x \in B)).$$

Proof.

For any set A , we construct a set not in A .

$$B = \{x \in A \mid x \notin x\}.$$

Theorem (No Universal Set)

There is no universal set.

$$\nexists B(\forall x(x \in B)).$$

Proof.

For any set A , we construct a set not in A .

$$B = \{x \in A \mid x \notin x\}.$$

$$\boxed{B \notin A}$$

Theorem (No Universal Set)

There is no universal set.

$$\nexists B (\forall x (x \in B)).$$

Proof.

For any set A , we construct a set not in A .

$$B = \{x \in A \mid x \notin x\}.$$

$$\boxed{B \notin A}$$

$$B \in B \iff B \in A \wedge B \notin B$$

Theorem (No Universal Set)

There is no universal set.

$$\nexists B(\forall x(x \in B)).$$

Proof.

For any set A , we construct a set not in A .

$$B = \{x \in A \mid x \notin x\}.$$

$$\boxed{B \notin A}$$

$$B \in B \iff B \in A \wedge B \notin B$$

$$B \in A \implies (B \in B \iff B \notin B)$$



Theorem (Russell's Paradox)

$\{x \mid x \notin x\}$ is *not* a set.

Theorem (Russell's Paradox)

$\{x \mid x \notin x\}$ is *not* a set.

$$B = \{x \in A \mid x \notin x\}.$$

does *not* lead to contradiction.

Definition (“ $u \setminus v$ ” (Relative Complement))

$$u \setminus v \triangleq \{x \in u \mid x \notin v\}.$$

Definition (“ $u \setminus v$ ” (Relative Complement))

$$u \setminus v \triangleq \{x \in u \mid x \notin v\}.$$

Theorem

No “Absolute Complement” For any set B , the following is *not* a set:

$$\{x \mid x \notin B\}.$$

Definition (“ $u \setminus v$ ” (Relative Complement))

$$u \setminus v \triangleq \{x \in u \mid x \notin v\}.$$

Theorem

No “Absolute Complement” For any set B , the following is *not* a set:

$$\{x \mid x \notin B\}.$$

Proof.

By Contradiction.

Definition (“ $u \setminus v$ ” (Relative Complement))

$$u \setminus v \triangleq \{x \in u \mid x \notin v\}.$$

Theorem

No “Absolute Complement” For any set B , the following is *not* a set:

$$\{x \mid x \notin B\}.$$

Proof.

By Contradiction.

$\{x \mid x \notin B\} \cup B$ would be a universal set.



Definition (“ $u \setminus v$ ” (Relative Complement))

$$u \setminus v \triangleq \{x \in u \mid x \notin v\}.$$

Theorem

No “Absolute Complement” For any set B , the following is *not* a set:

$$\{x \mid x \notin B\}.$$

Proof.

By Contradiction.

$\{x \mid x \notin B\} \cup B$ would be a universal set.



We can never look for objects “not in B ” unless we know where to start looking.
— UD (Chapter 6; Page 64)

Axiom (Power Set Axiom)

For any set A , there is a set whose members are the subsets of A :

$$\forall A \exists B \forall x (x \in B \iff x \subseteq A).$$

Definition (“ $\mathcal{P}(A)$ ”)

$\mathcal{P}(A) \triangleq$ the **unique** power set of A .

The is *not* correct!

$$\mathcal{P}(A) \triangleq \{x \mid x \subseteq A\}$$

Thank
You!