

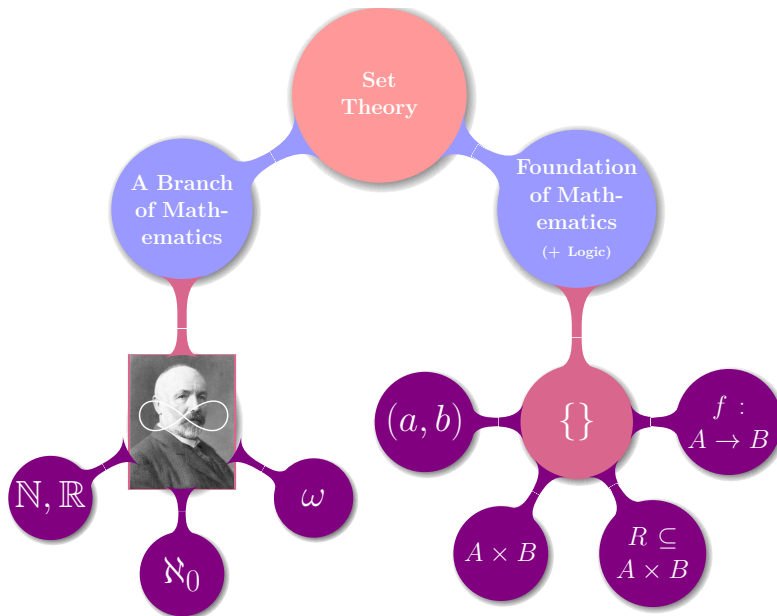
# 1-9 Set Theory (II): Relations

魏恒峰

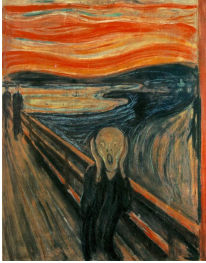
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$$\text{and} \\ (\forall (a, v) \in V^n. \forall q. \{j \mid \text{oper}^n(c_{q,j}^n) = \text{wr}(a)\} \cup \\ \{j \mid \exists s, k. c_{q,j}^n \xrightarrow{\text{wr}} c_{s,k}^n \wedge \text{oper}^n(c_{s,k}^n) = \text{wr}(a)\}) = \quad (14)$$



**Figure 13.** A selection of consistency axioms over an execution  $(E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar})$

### Auxiliary relations

sameobj( $e, f$ )  $\iff$  obj( $e$ ) = obj( $f$ )

Per-object causality (aka happens-before) order:

hbo =  $((\text{ro} \cap \text{sameobj}) \cup \text{vis})^+$

Causality (aka happens-before) order: hb =  $(\text{ro} \cup \text{vis})^+$

### Axioms

EVENTUAL:

$\forall e \in E. \neg(\exists \text{infinitely many } f \in E. \text{sameobj}(e, f) \wedge \neg(e \xrightarrow{\text{vis}} f))$

THINAIR:  $\text{ro} \cup \text{vis}$  is acyclic

POCV (Per-Object Causal Visibility):  $\text{hbo} \subseteq \text{vis}$

POCA (Per-Object Causal Arbitration):  $\text{hbo} \subseteq \text{ar}$

COCV (Cross-Object Causal Visibility):  $(\text{hb} \cap \text{sameobj}) \subseteq \text{vis}$

COCA (Cross-Object Causal Arbitration):  $\text{hb} \cup \text{ar}$  is acyclic

**Figure 17.** Optimised state-based multi-value register and its simulation

```

 $\Sigma = \text{ReplicatedID} \times \mathcal{P}(\mathbb{Z} \times (\text{ReplicatedID} \rightarrow \mathbb{N}_0))$ 
 $\bar{a}_0 = (r, \emptyset)$ 
 $M = \mathcal{P}(\mathbb{Z} \times (\text{ReplicatedID} \rightarrow \mathbb{N}_0))$ 
do(rval( $a$ ), ( $r, V$ ),  $t$ ) =
   $\langle (r, \{a, \{a, \text{if } a \neq r \text{ then } \max\{r(s) \mid (s, v) \in V\}$ 
    else } \cup \{a\} \mid (s, v) \in V\} + 1)\}), \perp \rangle
do(ro, ( $r, V$ ),  $t$ ) =  $\langle (r, V), \{a \mid (a, v) \in V\} \rangle$ 
do(rd, ( $r, V$ ),  $t$ ) =  $\langle (r, V), V \rangle$ 
receive(( $r, V$ ),  $V'$ ) =  $\langle r, \{a, v \mid (a, v) \in V''\}$ 
  where  $V'' = \{(a, \bigcup\{v' \mid (a, v') \in V \cup V'\}) \mid (a, v) \in V \cup V'\}$ 
 $(a, v)$  [R1]  $f \iff (r = a) \wedge (V \setminus \{a\}) \models f$ 
 $V \models M \{ (E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info} \} \iff$ 
 $(\forall(a, v), (a', v') \in V. (a = a' \implies v = v')) \wedge$ 
 $(\forall(a, v) \in V. \exists a. v(s) > 0) \wedge$ 
 $(\forall(a, v) \in V. v \not\models \bigcup\{v' \mid \exists a'. (a', v') \in V' \wedge a \neq a'\}) \wedge$ 
 $\exists \text{distinct } e_{s,k}$ 
 $\{ (e \in E \mid \exists a. \text{oper}(e) = \text{wr}(a)) = \{e_{s,k} \mid s \in \text{ReplicatedID} \wedge$ 
 $1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\}\} \wedge$ 
 $(\forall a, j, k. (\text{repl}(e_{s,k}) = a) \wedge (e_{s,j} \xrightarrow{\text{ro}} e_{s,k} \iff j < k)) \wedge$ 
 $(\forall(a, v) \in V. \forall q. \{j \mid \text{oper}(e_{s,j}) = \text{wr}(a)\} \cup$ 
 $\{j \mid \exists a, k. e_{s,j} \xrightarrow{\text{ro}} e_{s,k} \wedge \text{oper}(e_{s,k}) = \text{wr}(a)\} =$ 
 $\{j \mid 1 \leq j \leq v(q)\}) \wedge$ 
 $(\forall e \in E. (\text{oper}(e) = \text{wr}(a)) \wedge$ 
 $\neg \exists f \in E. (\text{oper}(f) = \text{wr}(\_)) \wedge e \xrightarrow{\text{ro}} f) \implies (a, \_ ) \in V \}$ 

```

the former. The only non-trivial obligation is to show that if

$$V \models M \{ (E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}), \text{info} \},$$

then

$$\{a \mid (a, \_) \in V\} \subseteq \{a \mid \exists e \in E. \text{oper}(e) = \text{wr}(a) \wedge$$

$$\neg \exists f \in E. \exists a'. \text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f\} \quad (13)$$

(the reverse inclusion is straightforwardly implied by R<sub>2</sub>).

Take  $(a, v) \in V$ . We have  $\forall(a, v) \in V. \exists a. v(s) > 0$ ,

$$v \not\models \bigcup\{v' \mid \exists a'. (a', v') \in V' \wedge a \neq a'\}$$

and

$$\forall(a, v) \in V. \forall q. \{j \mid \text{oper}(e_{s,j}) = \text{wr}(a)\} \cup$$

$$\{j \mid \exists a, k. e_{s,j} \xrightarrow{\text{ro}} e_{s,k} \wedge \text{oper}(e_{s,k}) = \text{wr}(a)\} =$$

$$\{j \mid 1 \leq j \leq v(q)\}$$

From this we get that for some  $e \in E$

$$\text{oper}(e) = \text{wr}(a) \wedge \neg \exists f \in E. \exists a'. e' \xrightarrow{\text{ro}} f \wedge a$$

$$\text{oper}(e) = \text{wr}(a') \wedge e \xrightarrow{\text{ro}} f.$$

Since  $\text{vis}$  is acyclic, this implies that for some  $e' \in E$

$$\text{oper}(e') = \text{wr}(a) \wedge \neg \exists f \in E. \text{oper}(e') = \text{wr}(\_) \wedge e' \xrightarrow{\text{ro}} f,$$

which establishes (13).

Let us now discharge RECEIVE. Let receive( $(r, V), V'$ ) =  $(r, V'')$ , where

$$V'' = \{(a, \bigcup\{v' \mid (a, v') \in V \cup V'\}) \mid (a, v) \in V \cup V'\};$$

$$V''' = \{(a, v) \in V'' \mid v \not\models \bigcup\{(a', v') \in V''' \mid a \neq a'\})\}.$$

Assume  $(r, V) \models R_1, V' \models M \{ J \text{ and}$

$$J = ((E', \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, a'), \text{info});$$

$$J \sqcup J = ((E'', \text{repl}', \text{obj}', \text{oper}', \text{rval}', \text{ro}', \text{vis}', a''), \text{info}').$$

By agree we have  $I \sqcup J \in \mathcal{R} \text{Ext}$ . Then

$$(\forall(a, v), (a', v') \in V. (a = a' \implies v = v')) \wedge$$

$$(\forall(a, v) \in V. \exists a. v(s) > 0) \wedge$$

$$(\forall(a, v) \in V. v \not\models \bigcup\{v' \mid \exists a'. (a', v') \in V' \wedge a \neq a'\}) \wedge$$

$$\exists \text{distinct } e_{s,k}$$

$$\{ (e \in E' \mid \exists a. \text{oper}'(e) = \text{wr}(a)) = \{e_{s,k} \mid s \in \text{ReplicatedID} \wedge$$

$$1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\}\} \wedge$$

$$(\forall a, j, k. (\text{repl}'(e_{s,k}) = a) \wedge (e_{s,j} \xrightarrow{\text{ro}} e_{s,k} \iff j < k)) \wedge$$

$$(\forall(a, v) \in V. \forall q. \{j \mid \text{oper}'(e_{s,j}) = \text{wr}(a)\} \cup$$

$$\{j \mid \exists a, k. e_{s,j} \xrightarrow{\text{ro}} e_{s,k} \wedge \text{oper}'(e_{s,k}) = \text{wr}(a)\} =$$

$$\{j \mid 1 \leq j \leq v(q)\}) \wedge$$

$$(\forall e \in E'. (\text{oper}'(e) = \text{wr}(a)) \wedge$$

$$\neg \exists f \in E'. \text{oper}'(f) = \text{wr}(\_) \wedge e \xrightarrow{\text{ro}} f) \implies (a, \_) \in V \}$$

and

$$(\forall(a, v), (a', v') \in V'. (a = a' \implies v = v')) \wedge$$

$$(\forall(a, v) \in V'. \exists a. v(s) > 0) \wedge$$

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$$(\forall e \in E'. (\text{oper}'(e) = \text{wr}(a)) \wedge$$

$$\neg \exists f \in E'. \text{oper}'(f) = \text{wr}(\_) \wedge e \xrightarrow{\text{ro}} f) \implies (a, \_) \in V' \}.$$

The agree property also implies

$$\forall a, k. 1 \leq k \leq \min\{\max\{v(s) \mid \exists a. (a, v) \in V\},$$

$$\max\{v(s) \mid \exists a. (a, v) \in V'\}\} \implies e_{s,k} = e'_{s,k}.$$

Hence, these exist distinct

$$e''_{s,k} \text{ for } s \in \text{ReplicatedID}, k = 1..(\max\{v(s) \mid \exists a. (a, v) \in V''\}),$$

such that

$$(\forall a, k. 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V\} \implies e''_{s,k} = e_{s,k}) \wedge$$

$$(\forall a, k. 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V'\} \implies e''_{s,k} = e'_{s,k})$$

and

$$\{ (e \in E \cup E' \mid \exists a. \text{oper}''(e) = \text{wr}(a)) =$$

$$\{e''_{s,k} \mid s \in \text{ReplicatedID} \wedge 1 \leq k \leq \max\{v(s) \mid \exists a. (a, v) \in V''\}\}$$

$$\wedge (\forall a, j, k. (\text{repl}''(e''_{s,k}) = a) \wedge (e''_{s,j} \xrightarrow{\text{ro}} e''_{s,k} \iff j < k)).$$

By the definition of  $V''$  and  $V'''$  we have

$$(\forall(a, v), (a', v') \in V''. (a = a' \implies v = v')).$$

We also straightforwardly get

$$\forall(a, v) \in V'. \exists a. v(s) > 0$$

and

$$(\forall(a, v) \in V''. \forall q. \{j \mid \text{oper}''(e''_{s,j}) = \text{wr}(a)\} \cup$$

$$\{j \mid \exists a, k. e''_{s,j} \xrightarrow{\text{ro}} e''_{s,k} \wedge \text{oper}''(e''_{s,k}) = \text{wr}(a)\} =$$

$$\{j \mid 1 \leq j \leq v(q)\}). \quad (14)$$





**I'm so excited.**



## Definition (Relations)

A *relation*  $R$  from  $A$  to  $B$  is a subset of  $A \times B$ :

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$$(a, b) = (c, d) \iff a = c \wedge b = d$$

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*Q* : Are you satisfied with the definitions above?

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Proof.

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CASE I :  $a = b$

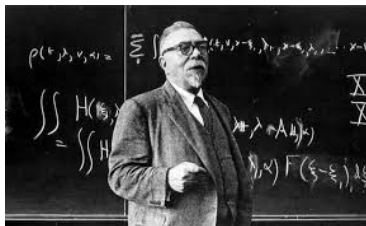
CASE II :  $a \neq b$





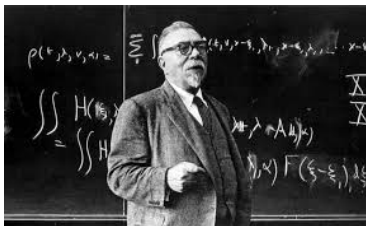
## Definition (Ordered Pairs (Norbert Wiener; 1914))

$$(a, b) \triangleq \{\{\{a\}, \emptyset\}, \{\{b\}\}\}$$



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$$(a, b) \triangleq \left\{ \left\{ \{a\}, \emptyset \right\}, \left\{ \{b\} \right\} \right\}$$



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$$\{\{a\}, \{a, b\}\} \in \mathcal{P}(\mathcal{P}(A \cup B))$$





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## Definition (Notations)

$$(a, b) \in R \quad R(a, b) \quad aRb$$

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## Examples

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- Both  $A \times B$  and  $\emptyset$  are relations from  $A$  to  $B$ .

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- ▶

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- ▶  $P$  : the set of people

$$M = \{(a, b) \in P \times P \mid a \text{ is the mother of } b\}$$

$$B = \{(a, b) \in P \times P \mid a \text{ is the brother of } b\}$$

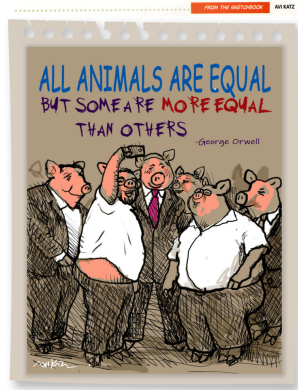


# Important Relations:

Equivalence Relations (1-9)

Functions (1-10)

Ordering Relations (1-12)



Before that,

3 Definitions

5 Operations

7 Properties

$$R = \{(0, 1), (0, 2), (0, 3), (1, 1), (1, 2), (1, 3), (2, 3)\}$$

### 3 Definitions

## Definition (Domain)

$$\text{dom}(R) = \{a \mid \exists b : (a, b) \in R\}$$

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$$a \in \bigcup \bigcup R$$

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## Theorem

$\text{ran}(R)$  *is* a set.

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## Definition (Field)

$$\text{fld}(R) = \text{dom}(R) \cup \text{ran}(R)$$

# 5 Operations

## Definition (Inverse)

The *inverse* of  $R$  is the **relation**

$$R^{-1} = \{(a, b) \mid (b, a) \in R\}$$

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The *restriction* of  $R$  to  $X$  is the **relation**

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## Definition (Image)

The *image* of  $X$  under  $R$  is the set

$$R[X] = \{b \in \text{rand}(R) \mid \exists a \in X : (a, b) \in R\}$$

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$$R \subseteq A \times B \quad X \subseteq A \quad Y \subseteq B$$

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## Theorem

$$R[X_1 \cup X_2] = R[X_1] \cup R[X_2]$$

$$R[X_1 \cap X_2] \subseteq R[X_1] \cap R[X_2]$$

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## Definition (Composition)

The *composition* of relations  $R$  and  $S$  is the **relation**

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$$\leq \circ \leq = \leq$$

$$\leq \circ \geq = \mathbb{R} \times \mathbb{R}$$

## Theorem

$$(R \circ S)^{-1} = S^{-1} \circ R^{-1}$$

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$$\begin{aligned} & (a, b) \in (R \circ S) \circ T \\ \iff & \exists c : (a, c) \in T \wedge (c, b) \in R \circ S \end{aligned}$$

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$$\iff \exists c : (a, c) \in T \wedge (c, b) \in R \circ S$$

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$$\iff (a, b) \in R \circ (S \circ T)$$



燕小六：“帮我照顾好我七舅姥爷和我外甥女”



“舅姥爷”：姥姥的兄弟

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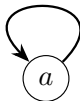
$$G = B \circ (M \circ M) = (B \circ M) \circ M$$

## 7 Properties

$$R \subseteq X \times X$$

Definition (Reflexive)

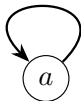
$$\forall a \in X : (a, a) \in R$$



$$R \subseteq X \times X$$

Definition (Reflexive)

$$\forall a \in X : (a, a) \in R$$



Definition (Irreflexive)

$$\forall a \in X : (a, a) \notin R$$



$$A = \{1, 2, 3\}, R \subseteq A \times A$$

$$\{(1, 1), (1, 3), (2, 1), (2, 2), (3, 3)\}$$

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$$\forall a, b \in X : (aRb \wedge bRa) \implies a = b$$

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$$\forall a, b \in X : (aRb \wedge bRa) \implies a = b$$

> *is* antisymmetric.

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$$\{(1, 1), (1, 2), (1, 3), (2, 1), (3, 1), (3, 3)\}$$



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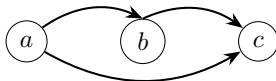
$$\{(1, 1), (2, 2), (3, 3)\}$$

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$$R \subseteq X \times X$$

Definition (Transitive)

$$\forall a, b, c \in X : aRb \wedge bRc \implies aRc$$



$$A = \{1, 2, 3\}, R \subseteq A \times A$$

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$$\emptyset$$



$$R \subseteq X \times X$$

Definition (Connex)

$$\forall a, b \in X : aRb \vee bRa$$

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Definition (Connex)

$$\forall a, b \in X : aRb \vee bRa$$

Definition (Trichotomous)

$$\forall a, b \in X : \text{exactly one of } aRb, bRa, \text{ or } a = b \text{ holds}$$

## Theorem

$$R \text{ is reflexive} \iff I \subseteq R$$

$$I = \{(a, a) \in A \times A \mid a \in A\}$$

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## Theorem

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$$(1, 2), (2, 3), (1, 3), (4, 4)$$

# Equivalence Relations

## Definition (Equivalence Relation)

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- ▶ reflexive
- ▶ symmetric
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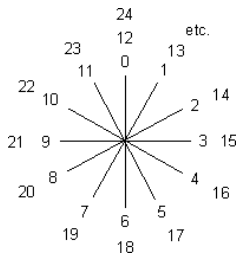
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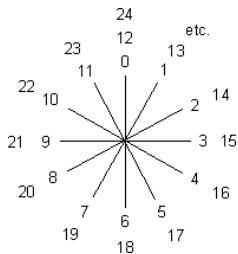
Why are equivalence relations important?

# Equivalence Relations as Abstractions

## Equivalence Relations as Abstractions

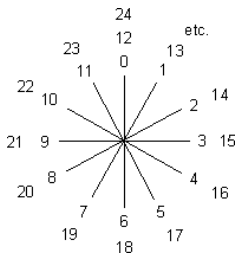


## Equivalence Relations as Abstractions



“全国人民代表大会各省代表团”

## Equivalence Relations as Abstractions

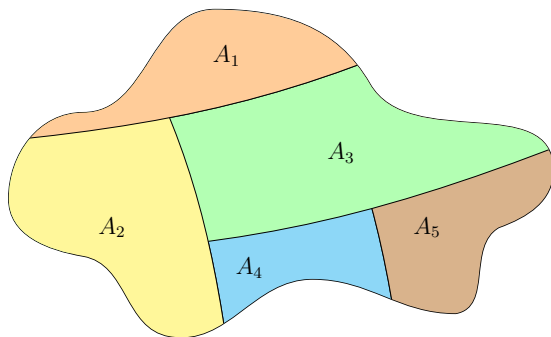


“全国人民代表大会各省代表团”

Equivalence Relation  $\iff$  Partition



# Partition



“不空、不漏、不重”

## Definition (Partition)

A family of sets  $\{A_\alpha : \alpha \in I\}$  is a *partition* of  $X$  if

(i)

$$\forall \alpha \in I : A_\alpha \neq \emptyset$$

(ii)

$$\bigcup_{\alpha \in I} A_\alpha = X$$

(iii)

$$\forall \alpha, \beta \in I : A_\alpha \cap A_\beta = \emptyset \vee A_\alpha = A_\beta$$

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Equivalence Relation  $R \subseteq X \times X \implies$  Partition  $\Pi$  of  $X$

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Equivalence Relation  $R \subseteq X \times X \implies$  Partition  $\Pi$  of  $X$

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### Definition (Quotient Set)

The *quotient set* is a **set**:

$$X/R = \{[a]_R \mid a \in X\}$$



## Theorem

$X/R = \{[a]_R \mid a \in X\}$  is a partition of  $X$ .

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Thank  
You!