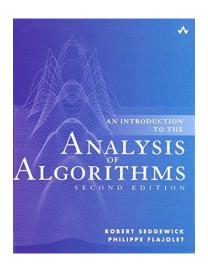
2-3 Counting

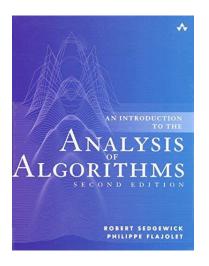
Hengfeng Wei

hfwei@nju.edu.cn

March 12, 2020







 $O \quad \Omega \quad \Theta$

o ω

2/34

"People who analyze algorithms have double happiness ..."



Donald E. Knuth (1938 \sim)



Unfortunately, you have to master some mathematics.



Counting



Counting

Sums \sum



Counting

Sums ∑

Binomials $\binom{n}{k}$



$$m^{\underline{n}} = (m)_n = m(m-1)(m-2)\cdots(m-n+1) = \frac{m!}{(m-n)!}$$

$$m^{\underline{n}} = (m)_n = m(m-1)(m-2)\cdots(m-n+1) = \frac{m!}{(m-n)!}$$

 $m^{\overline{n}} = m^{(n)} = m(m+1)(m+2)\cdots(m+n-1)$

7/34

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$$n! = n^{\underline{n}} = 1^{\overline{n}}$$

7/34

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$$m^{\bar{n}} = m^{(n)} = m(m+1)(m+2)\cdots(m+n-1)$$

$$n! = n^{\underline{n}} = 1^{\bar{n}}$$

$$\binom{m}{n} = \frac{m^{\underline{n}}}{n!}$$

Iverson Bracket



Kenneth Eugene Iverson $(1920 \sim 2004)$

$$[P] = \begin{cases} 1, & \text{if } P \text{ is true;} \\ 0, & \text{otherwise} \end{cases}$$

Iverson Bracket



Kenneth Eugene Iverson $(1920 \sim 2004)$

$$[P] = \begin{cases} 1, & \text{if } P \text{ is true;} \\ 0, & \text{otherwise} \end{cases}$$

$$[n \le m] = \begin{cases} 1, & \text{if } n \le m; \\ 0, & \text{if } n > m \end{cases}$$

Theorem (Sum Principle)

$$S \cap T = \emptyset \implies |S \cup T| = |S| + |T|$$

Theorem (Sum Principle)

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Theorem (Product Principle)

$$|S \times T| = |S| \times |T|$$

Theorem (Sum Principle)

$$S \cap T = \emptyset \implies |S \cup T| = |S| + |T|$$

Theorem (Product Principle)

$$|S \times T| = |S| \times |T|$$

Holds for finite sets S and T.

先学习下加法,1+1,就是



所以1+1=2,这很好理解 那我们趁热打铁学习下一个重要公式吧:

$$\frac{\sum_{w \in W} (-1)^{\det(w)} w(e^{\lambda + \rho})}{e^{\rho} \prod_{\alpha > 0} (1 - e^{-\alpha})}$$





tuples
permutations
combinations



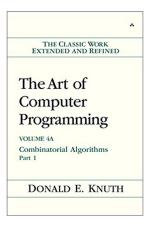
tuples
permutations
combinations



compositions set partitions integer partitions

Counting vs. Generating

Counting vs. Generating



Generating is more about algorithms.

$$f: N \to M$$
 $(|N| = n, |M| = m)$

$$f: N \to M$$
 $(|N| = n, |M| = m)$

$$12 = (2 \times 2) \times 3$$

$$f: N \to M$$
 $(|N| = n, |M| = m)$

$$12 = (2 \times 2) \times 3$$

Elements of N	Elements of M	Any f	Injective f	Surjective f
distinguishable	distinguishable			
in distinguishable	distinguishable			
distinguishable	in distinguishable			
in distinguishable	in distinguishable			

Table: The Twelvefold Way (Functions).

$$f: N \to M$$
 $(|N| = n, |M| = m)$

$$12 = (2 \times 2) \times 3$$

Elements of N	Elements of M	Any f	Injective f	Surjective f
distinguishable	distinguishable			
in distinguishable	distinguishable			
distinguishable	in distinguishable			
in distinguishable	in distinguishable			

Table: The Twelvefold Way (Functions).

distinguishable vs. indistinguishable

Balls	Bins	unrestricted	≤ 1	≥ 1
unlabeled	unlabeled			
labeled	unlabeled			
unlabeled	labeled			
labeled	labeled			

Table: The Twelvefold Way (Balls into Bins Model).

Balls	Bins	unrestricted	≤ 1	≥ 1
unlabeled	unlabeled			
labeled	unlabeled			
unlabeled	labeled			
labeled	labeled			

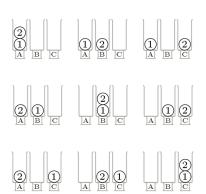
Table: The Twelvefold Way (Balls into Bins Model).

labeled vs. unlabeled

2 balls, 3 bins (unrestricted)

2 balls, 3 bins (unrestricted)

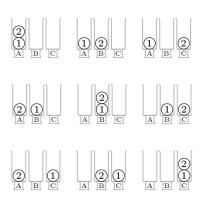
labeled balls into labeled bins



2 balls, 3 bins

(unrestricted)

labeled balls into labeled bins

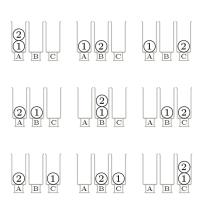


unlabeled balls into labeled bins

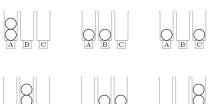
2 balls, 3 bins

(unrestricted)

labeled balls into labeled bins

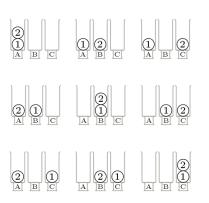


unlabeled balls into labeled bins

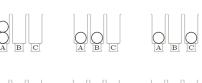


(unrestricted)

labeled balls into labeled bins

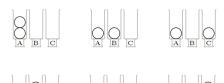


unlabeled balls into labeled bins



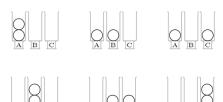
(unrestricted)

unlabeled balls into labeled bins



$$2 = 2 + 0 + 0$$

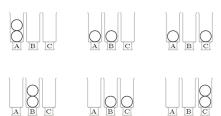
unlabeled balls into labeled bins



(unrestricted)

$$2 = 2 + 0 + 0$$
$$= 1 + 1 + 0$$

unlabeled balls into labeled bins



(unrestricted)

2 = 2 + 0 + 0

= 1 + 1 + 0= 1 + 0 + 1

= 0 + 2 + 0= 0 + 1 + 1= 0 + 0 + 2

unlabeled balls into labeled bins









(unrestricted)

$$2 = 2 + 0 + 0$$

$$= 1 + 1 + 0$$

$$= 1 + 0 + 1$$

$$= 0 + 2 + 0$$

$$= 0 + 1 + 1$$

$$= 0 + 0 + 2$$

$$n = x_1 + x_2 + \dots + x_m \quad (x_i > 0)$$



$$2 = 2 + 0 + 0$$

$$= 1 + 1 + 0$$

$$= 1 + 0 + 1$$

$$= 0 + 2 + 0$$

$$= 0 + 1 + 1$$

$$= 0 + 0 + 2$$

$$n = x_1 + x_2 + \dots + x_m \quad (x_i \ge 0)$$

Only # of balls in each bin matters. weak co

weak composition of n with m terms

(unrestricted)



$$2 = 2 + 0 + 0$$

$$= 1 + 1 + 0$$

$$= 1 + 0 + 1$$

$$= 0 + 2 + 0$$

$$= 0 + 1 + 1$$

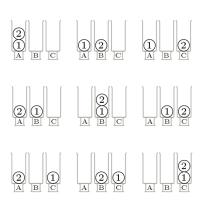
$$= 0 + 0 + 2$$

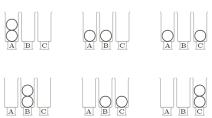
$$n = x_1 + x_2 + \dots + x_m \quad (x_i \ge 0)$$

weak composition of n with m terms

(unrestricted)

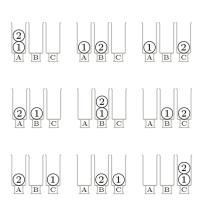
labeled balls into labeled bins

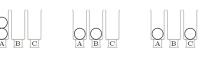




(unrestricted)

labeled balls into labeled bins







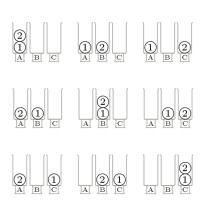


$$f: 1 \mapsto A, \quad 2 \mapsto B$$

$$f': 2 \mapsto A, \quad 1 \mapsto B$$

(unrestricted)

labeled balls into labeled bins









$$f: 1 \mapsto A, \quad 2 \mapsto B$$

$$f': 2 \mapsto A, \quad 1 \mapsto B$$

$$f' = f \circ (h: 1 \mapsto 2, \quad 2 \mapsto 1)$$

f class	Any f	Injective f	Surjective f
f	n tuples		
J	of m items		
$f\circ S_N$	compositions of n		
	into m parts		

f class	Any f	Injective f	Surjective f
f	n tuples		
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$f\circ S_N$	compositions of n		
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$$S_N = \{ f : N \stackrel{\text{onto}}{\longleftrightarrow} N \}$$

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$$[f] = f \circ S_N = \{ f \circ g \mid g \in S_N \}$$

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$$S_N = \{ f : N \stackrel{\text{onto}}{\longleftrightarrow} N \}$$

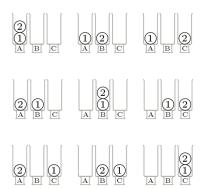
$$[f] = f \circ S_N = \{ f \circ g \mid g \in S_N \}$$

f, g are indistinguishable $\iff g \in [f]$



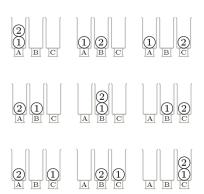
2 balls, 3 bins (unrestricted)

2 balls, 3 bins (unrestricted)



(unrestricted)

labeled balls into unlabeled bins



(unrestricted)

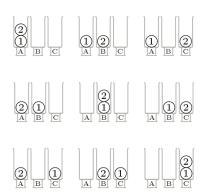
labeled balls into labeled bins

$$\{1,2\} = \{1,2\}$$

= $\{1\} \cup \{2\}$

(unrestricted)

labeled balls into labeled bins



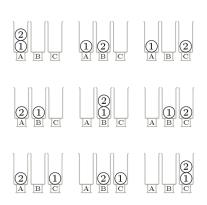
labeled balls into unlabeled bins

$$\{1,2\} = \{1,2\}$$
$$= \{1\} \cup \{2\}$$

partition of N into $\leq m$ parts

(unrestricted)

labeled balls into labeled bins



labeled balls into unlabeled bins

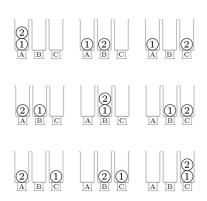
$$\{1,2\} = \{1,2\}$$
$$= \{1\} \cup \{2\}$$

partition of N into $\leq m$ parts

$$\binom{n}{k}$$
: # of partitions of N into k pa

(unrestricted)

labeled balls into labeled bins



labeled balls into unlabeled bins

$$\{1,2\} = \{1,2\}$$
$$= \{1\} \cup \{2\}$$

partition of N into $\leq m$ parts

$$\binom{n}{k}$$
: # of partitions of N into k pa

$$\sum_{k=1} {n \brace k}$$

$$\{1,2,3\} = \{1,2,3\}$$

$$= \{1\} \cup \{2,3\}$$

$$= \{2\} \cup \{1,3\}$$

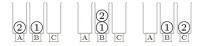
$$= \{3\} \cup \{1,2\}$$

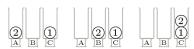
$$= \{1\} \cup \{2\} \cup \{3\}$$

2 balls, 3 bins (unrestricted)

(unrestricted)

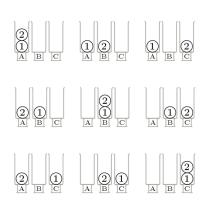
labeled balls into labeled bins





(unrestricted)

labeled balls into labeled bins



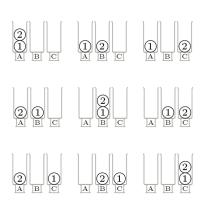
$$f: 1 \mapsto A, \quad 2 \mapsto A$$

$$g: 1 \mapsto B, \quad 2 \mapsto B$$

$$h: 1 \mapsto C, \quad 2 \mapsto C$$

(unrestricted)

labeled balls into labeled bins



$$f: 1 \mapsto A, \quad 2 \mapsto A$$

$$g: 1 \mapsto B, \quad 2 \mapsto B$$

$$h: 1 \mapsto C, \quad 2 \mapsto C$$

$$g=(l:A\mapsto B,\quad B\mapsto A)\circ f$$

f class	Any f	Injective f	Surjective f
t.	n tuples		
J	of m items		
$f\circ S_N$	compositions of n		
$J \circ SN$	into m parts		
$S_M \circ f$	partitions of N		
$\mathcal{D}_M \circ \mathcal{J}$	into $\leq m$ parts		

f class	Any f	Injective f	Surjective f
f	n tuples		
J	of m items		
$f \circ S_N$	compositions of n		
<i>J</i> 11	into m parts		
$S_M \circ f$	partitions of N		
\mathcal{O}_{M}	into $\leq m$ parts		

$$S_M = \{ f : M \stackrel{\text{onto}}{\longleftarrow} M \}$$

f class	Any f	Injective f	Surjective f
f	n tuples		
J	of m items		
$f \circ S_N$	compositions of n		
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$S_M \circ f$	partitions of N		
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$$S_M = \{ f : M \stackrel{\text{onto}}{\longleftarrow} M \}$$
$$[f] = S_M \circ f = \{ g \circ f \mid g \in S_M \}$$

f class	Any f	Injective f	Surjective f
f	n tuples		
J	of m items		
$f \circ S_N$	compositions of n		
<i>J</i> 11	into m parts		
$S_M \circ f$	partitions of N		
\mathcal{O}_{M}	into $\leq m$ parts		

$$S_M = \{ f : M \xleftarrow{\text{onto}}_{1-1} M \}$$
$$[f] = S_M \circ f = \{ g \circ f \mid g \in S_M \}$$

f, g are indistinguishable $\iff g \in [f]$

22/34

f class	Any f	Injective f	Surjective f
f	n tuples of $m items$		
$f \circ S_N$	compositions of n into m parts		
$S_M \circ f$	partitions of N into $\leq m$ parts		
$S_M \circ f \circ S_N$			

f class	Any f	Injective f	Surjective f
f	n tuples		
J	of m items		
$f\circ S_N$	compositions of n		
$\int \circ S_N$	into m parts		
S_{1} of	partitions of N		
$S_M \circ f$	into $\leq m$ parts		
$S_M \circ f \circ S_N$	partitions of n		
$D_M \circ J \circ D_N$	into $\leq m$ parts		

f class	Any f	Injective f	Surjective f
f	n tuples		
J	of m items		
$f \circ S_N$	compositions of n		
$\int \circ S_N$	into m parts		
S_{1} of	partitions of N		
$S_M \circ f$	into $\leq m$ parts		
$S_M \circ f \circ S_N$	partitions of n		
$S_M \circ J \circ S_N$	into $\leq m$ parts		

$$5 = 5$$

$$= 41$$

$$= 32 = 311$$

$$= 221 = 21111$$

$$= 11111$$



f class	Any f	Injective f	Surjective f
f	n tuples		
J	of m items		
$f \circ S_N$	compositions of n		
$\int \circ S_N$	into m parts		
C f	partitions of N		
$S_M \circ f$	into $\leq m$ parts		
$S_M \circ f \circ S_N$	partitions of n		
$S_M \circ J \circ S_N$	into $\leq m$ parts		

$$5 = 5 x_1 + x_2 + \dots + x_k = n$$

$$= 41 x_1 \ge x_2 \ge \dots \ge x_k \ge 1$$

$$= 32 = 311$$

$$= 221 = 21111$$

$$= 11111$$

f class	Any f	Injective f	Surjective f
f	n tuples		
	of m items		
$f\circ S_N$	compositions of n		
	into m parts		
$S_M \circ f$	partitions of N		
	into $\leq m$ parts		
$S_M \circ f \circ S_N$	partitions of n		
	into $\leq m$ parts		

$$5 = 5$$

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$$x_1 + x_2 + \dots + x_k = n$$

$$x_1 \ge x_2 \ge \dots \ge x_k \ge 1$$

$$\begin{vmatrix} n \\ k \end{vmatrix} : \# \text{ of (integral) solutions}$$

f class	Any f	Injective f	Surjective f
f	n tuples		
J	of m items		
$f \circ S_N$	compositions of n		
$\int \circ S_N$	into m parts		
C f	partitions of N		
$S_M \circ f$	into $\leq m$ parts		
$S_M \circ f \circ S_N$	partitions of n		
	into $\leq m$ parts		

$$5 = 5$$

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$$x_1 + x_2 + \dots + x_k = n$$

$$x_1 \ge x_2 \ge \dots \ge x_k \ge 1$$

$$\begin{vmatrix} n \\ k \end{vmatrix} : \# \text{ of (integral) solutions}$$

f class	Any f	Injective f	Surjective f
	n-tuples		
f	of m items		
	m^n		
	weak compositions of n		
$f \circ S_N$	into m parts		
	$\binom{n}{m}$		
	partitions of N		
$S_M \circ f$	into $\leq m$ parts		
$S_M \circ j$	$\sum_{k=1}^{m} \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$		
	partitions of n		
$S_M \circ f \circ S_N$	into $\leq m$ parts		
	$\sum\limits_{k=1}^{m}\left rac{n}{k} ight $		

f class	Any f	Injective f	Surjective f
	<i>n</i> -tuples	<i>n</i> -permutations	
f	of m items	of m items	
	m^n	$m^{\underline{n}}$	
	weak compositions of n		
$f \circ S_N$	into m parts		
	$\binom{\binom{n}{m}}{}$		
	partitions of N		
$S_M \circ f$	into $\leq m$ parts		
$S_M \circ j$	$\sum_{k=1}^{m} \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$		
	partitions of n		
$S_M \circ f \circ S_N$	into $\leq m$ parts		
	$\sum_{k=1}^{m} \left {n \atop k} \right $		

f class	Any f	Injective f	Surjective f
	<i>n</i> -tuples	<i>n</i> -permutations	
f	of m items	of m items	
	m^n	$m^{\underline{n}}$	
	weak compositions of n	<i>n</i> -combinations	
$f \circ S_N$	into m parts	of m items	
	$\binom{\binom{n}{m}}{n}$	$\binom{m}{n}$	
	partitions of N		
$S_M \circ f$	into $\leq m$ parts		
$S_M \circ J$	$\sum_{k=1}^{m} \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$		
$S_M \circ f \circ S_N$	partitions of n		
	into $\leq m$ parts		
	$\sum_{k=1}^{m} \left \begin{smallmatrix} n \\ k \end{smallmatrix} \right $		

f class	Any f	Injective f	Surjective f
	n-tuples	<i>n</i> -permutations	
f	of m items	of m items	
	m^n	$m^{\underline{n}}$	
	weak compositions of n	<i>n</i> -combinations	
$f \circ S_N$	into m parts	of m items	
	$\binom{\binom{n}{m}}{}$	$\binom{m}{n}$	
	partitions of N	n pigeons	
$S_M \circ f$	into $\leq m$ parts	into m holes	
$D_M \circ J$	$\sum_{k=1}^{m} \left\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \right\}$	$[n \leq m]$	
	partitions of n		
$S_M \circ f \circ S_N$	into $\leq m$ parts		
	$\sum_{k=1}^{m} {n \choose k}$		

f class	Any f	Injective f	Surjective f
	n-tuples	<i>n</i> -permutations	
f	of m items	of m items	
	m^n	$m^{\underline{n}}$	
	weak compositions of n	<i>n</i> -combinations	
$f \circ S_N$	into m parts	of m items	
	$\binom{n}{m}$	$\binom{m}{n}$	
$S_M \circ f$	partitions of N into $\leq m$ parts $\sum_{k=1}^{m} {n \brace k}$	n pigeons into m holes $[n \le m]$	
$S_M \circ f \circ S_N$	partitions of n into $\leq m$ parts $\sum_{k=1}^{m} {n \choose k}$	n pigeons into m holes $[n \le m]$	

f class	Any f	Injective f	Surjective f
	<i>n</i> -tuples	<i>n</i> -permutations	
f	of m items m^n	of m items $m^{\underline{n}}$	
4 0	weak compositions of n	<i>n</i> -combinations	compositions of n
$f \circ S_N$	into m parts $\binom{n}{m}$	of m items $\binom{m}{n}$	into m parts
$S_M \circ f$	partitions of N into $\leq m$ parts $\sum_{k=1}^{m} {n \brace k}$	n pigeons into m holes $[n \le m]$	
$S_M \circ f \circ S_N$	partitions of n into $\leq m$ parts $\sum_{k=1}^{m} {n \choose k}$	n pigeons into m holes $[n \le m]$	

f class	Any f	Injective f	Surjective f
c	n-tuples	<i>n</i> -permutations	
Ĵ	of m items m^n	of m items $m^{\underline{n}}$	
$f\circ S_N$	weak compositions of n into m parts $\binom{n}{m}$	n -combinations of m items $\binom{m}{n}$	compositions of n into m parts
$S_M \circ f$	partitions of N into $\leq m$ parts $\sum_{k=1}^{m} {n \brace k}$	n pigeons into m holes $[n \le m]$	partitions of N into m parts $\binom{n}{m}$
$S_M \circ f \circ S_N$	partitions of n into $\leq m$ parts $\sum_{k=1}^{m} {n \choose k}$	n pigeons into m holes $[n \le m]$	

f class	Any f	Injective f	Surjective f
	n-tuples	<i>n</i> -permutations	
f	of m items	of m items	
	m^n	$m^{\underline{n}}$	
	weak compositions of n	<i>n</i> -combinations	compositions of n
$f \circ S_N$	into m parts	of m items	into m parts
	$\binom{n}{m}$	$\binom{m}{n}$	mto m parts
	partitions of N	n pigeons	partitions of N
$S_M \circ f$	into $\leq m$ parts	into m holes	into m parts
$\sim m$	$\sum_{k=1}^{m} {n \choose k}$	$[n \leq m]$	$\binom{n}{m}$
	$ \begin{array}{c c} & k=1 \\ & \text{partitions of } n \end{array} $		
	into $\leq m$ parts	n pigeons	partitions of n
$S_M \circ f \circ S_N$	m	into m holes	into m parts
	$\sum_{k=1}^{\infty} \binom{n}{k}$	$[n \leq m]$	$\binom{n}{m}$

f class	Any f	Injective f	Surjective f
f	n -tuples of m items m^n	n -permutations of m items $m^{\underline{n}}$???
$f\circ S_N$	weak compositions of n into m parts $\binom{n}{m}$	n -combinations of m items $\binom{m}{n}$	compositions of n into m parts
$S_M \circ f$	partitions of N into $\leq m$ parts $\sum_{k=1}^{m} {n \brace k}$	n pigeons into m holes $[n \le m]$	partitions of N into m parts $\binom{n}{m}$
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$$\binom{n}{m}$$
 $\binom{n}{k}$ $\binom{n}{k}$ $\binom{n}{k}$ $\binom{n}{k}$

$$2 = 2 + 0 + 0$$

$$= 1 + 1 + 0$$

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$$= 0 + 2 + 0$$

$$= 0 + 1 + 1$$

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$$n = x_1 + x_2 + \ldots + x_m \quad (x_i \ge 0)$$

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 (Stars and Bars)

Placing m-1 bars into n+(m-1) slots.



$$n = x_1 + x_2 + \ldots + x_m \quad (x_i > 0)$$

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$$\star\star\star\star|\star|\star\star$$

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$$\binom{n-1}{m-1}$$



The # of weak composition of n with m terms is

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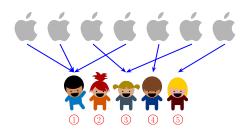
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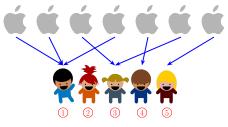
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 $\{1, 1, 3, 1, 4, 3, 5\}$



7 = 3 + 0 + 2 + 1 + 1

 $\begin{Bmatrix} n \\ k \end{Bmatrix}$: # of partitions of set N into k parts

$$\{1,2,3\} = \{1,2,3\}$$

$$= \{1\} \cup \{2,3\}$$

$$= \{2\} \cup \{1,3\}$$

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Stirling number of the second kind

Set Partition (CS: 1.5 - 12)

 ${n \brace k}$: # of partitions of set N into k parts

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Bell number:
$$B_n = \sum_{k=1}^{k=n} {n \choose k}$$

 $\begin{bmatrix} n \\ k \end{bmatrix}$: # of partitions of n into k parts

 $\binom{n}{k}$: # of partitions of n into k parts

$$5 = 5$$

$$= 41$$

$$= 32 = 311$$

$$= 221 = 21111$$

$$= 11111$$

$$\begin{pmatrix} n \\ k \end{pmatrix}$$
: # of partitions of n into k parts

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Theorem (Recurrence for $\left\lceil \frac{n}{k} \right\rceil)$

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Case II: $x_k > 1$

Case
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Theorem (Recurrence for $\binom{n}{k}$)

Case II: $x_k > 1$

Case I:
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$$\begin{vmatrix} n-1 \\ k-1 \end{vmatrix}$$

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$$|n-1|$$

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$$\left| \begin{array}{c|c} n-1 \\ k & 1 \end{array} \right|$$

$$x_1 - 1 \ge x_2 - 1 \ge \cdots \ge x_k - 1 \ge 1$$

$$\left| \left| {n-k \atop k} \right| \right|$$

$$p(n) \triangleq \sum_{k=1}^{k=n} {n \choose k} \sim \frac{1}{4\sqrt{3}n} \exp\left(\pi\sqrt{\frac{2n}{3}}\right)$$

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3.203%

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$S_M \circ f$	into $\leq m$ parts	into m holes	into m parts
	$\sum_{k=1}^{\infty} \begin{Bmatrix} n \\ k \end{Bmatrix}$	$[n \leq m]$	$\binom{n}{m}$
$S_M \circ f \circ S_N$	partitions of n	n pigeons	partitions of n
	into $\leq m$ parts	into m holes	into m parts
	$\sum_{k=1}^{m} \binom{n}{k}$	$[n \leq m]$	$\binom{n}{m}$

THE TWELVEFOLD WAY

balls per urn	unrestricted	≤ 1	≥ 1
n labeled balls, m labeled urns	n-tuples of m things	n-permutations of m things	partitions of $\{1, \ldots, n\}$ into m ordered parts
n unlabeled balls, m labeled urns	n-multicombinations of m things	n-combinations of m things	compositions of n into m parts
n labeled balls, m unlabeled urns	partitions of $\{1, \dots, n\}$ into $\leq m$ parts	n pigeons into m holes	partitions of $\{1, \dots, n\}$ into m parts
n unlabeled balls, m unlabeled urns	partitions of n into $\leq m$ parts	n pigeons into m holes	partitions of n into m parts

Thank You!



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