

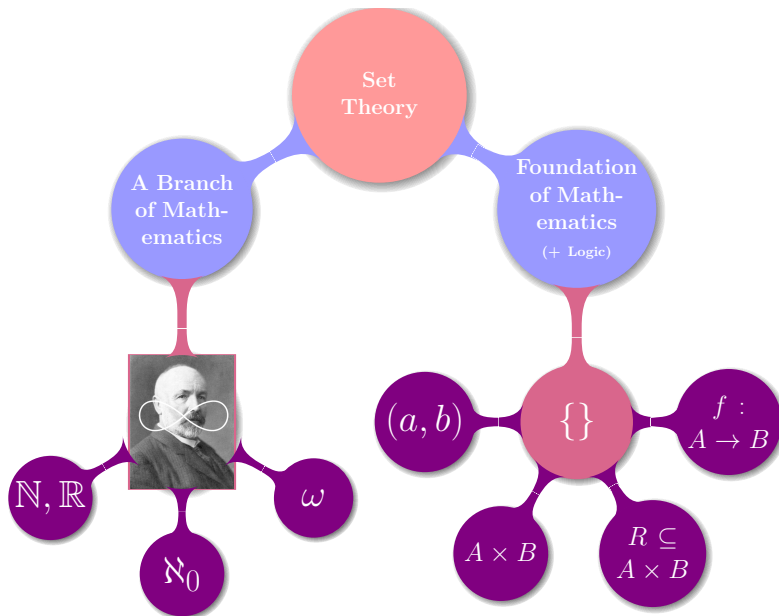
# 1-9 Set Theory (II): Relations

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**Figure 13.** A selection of consistency axioms over an execution  $(E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar})$

### Auxiliary relations

$\text{sameobj}(e, f) \iff \text{obj}(e) = \text{obj}(f)$

Per-object causality (aka happens-before) order:

$\text{hbo} = ((\text{ro} \cap \text{sameobj}) \cup \text{vis})^+$

Causality (aka happens-before) order:  $\text{hb} = (\text{ro} \cup \text{vis})^+$

### Axioms

EVENTUAL:

$\forall e \in E. \neg(\exists \text{infinitely many } f \in E. \text{sameobj}(e, f) \wedge \neg(e \xrightarrow{\text{vis}} f))$

THINAIR:  $\text{ro} \cup \text{vis}$  is acyclic

POCV (Per-Object Causal Visibility):  $\text{hbo} \subseteq \text{vis}$

POCA (Per-Object Causal Arbitration):  $\text{hbo} \subseteq \text{ar}$

COCV (Cross-Object Causal Visibility):  $(\text{hb} \cap \text{sameobj}) \subseteq \text{vis}$

COCA (Cross-Object Causal Arbitration):  $\text{hb} \cup \text{ar}$  is acyclic

**Figure 17.** Optimised state-based multi-value register and its simulation

```

Σ      = Replicated × P(Z × (Replicated → N₀))
ā₀     = (r, 0)
M      = P(Z × (Replicated → N₀))

do wr(a), (r, V), t =
  ⟨(r, {a, k, s | a ≠ r then max{r(s) | (a, v) ∈ V}
    else max{r(a) | (a, v) ∈ V} + 1)}), t, ⊥⟩

do rd, (r, V), t =
  ⟨(r, V), {a | (a, v) ∈ V}⟩

do rd, (r, V), V' =
  ⟨(r, {a, v | (a, v) ∈ V'⟩⟩

receive((r, V), V') =
  ⟨r, {a, v | (a, v) ∈ V' ∧ a ≠ a'}⟩,
  where V' = {(a, v) | (a, v) ∈ V ∪ V'} ∪ {(a, v) ∈ V ∪ V'}

(a, v), (r, V) f ⇔ (r = a) ∧ (V [M] f)

V [M] ((E, repl, obj, oper, rval, ro, vis, ar), info) ⇔
  (∀(a, v), (a', v') ∈ V. (a = a' ⇒ v = v')) ∧
  (∀(a, v) ∈ V. ∃a, v(s) > 0) ∧
  (∀(a, v) ∈ V. v ∈ ℤ [v'] ∃a', (a', v') ∈ V ∧ a ≠ a') ∧
  ∃ distinct ea,k
  { (e ∈ E | ∃a, oper(e) = wr(a)) = {ea,k | s ∈ Replicated ∧
    1 ≤ k ≤ max{r(s) | ∃a, (a, v) ∈ V} } }
  (∀a, j, k. (repl(ea,k) = a) ∧ (ea,j → ea,k ⇔ j < k)) ∧
  (∀(a, v) ∈ V. ∀q. {j | oper(ea,j) = wr(a)} ∪
    {j | ∃a, k, ea,j → ea,k ∧ oper(ea,k) = wr(a)} =
    {j | 1 ≤ j ≤ v(q)}) )
  (∀e ∈ E. oper(e) = wr(a) ∧
    ¬∃f ∈ E. oper(f) = wr(⊥) ∧ e → f) ⇒ (a, ⊥) ∈ V'

the former. The only non-trivial obligation is to show that if
V [M] ((E, repl, obj, oper, rval, ro, vis), info),
then
{a | (a, ⊥) ∈ V} ⊆ {a | ∃e ∈ E. oper(e) = wr(a) ∧
  ¬∃f ∈ E. ∃a'. oper(e) = wr(a') ∧ e → f} (13)
(the reverse inclusion is straightforwardly implied by Ra).
Take (a, v) ∈ V. We have ∀(a, v) ∈ V. ∃a, v(s) > 0,
v ∈ ℤ [v'] ∃a', (a', v') ∈ V ∧ a ≠ a'
and
∀(a, v) ∈ V. ∀q. {j | oper(ea,j) = wr(a)} ∪
  {j | ∃a, k, ea,j → ea,k ∧ oper(ea,k) = wr(a)} =
  {j | 1 ≤ j ≤ v(q)}
From this we get that for some e ∈ E
oper(e) = wr(a) ∧ ¬∃f ∈ E. ∃a'. e' → f
oper(e) = wr(a') ∧ e → f.
Since vis is acyclic, this implies that for some e' ∈ E
oper(e') = wr(a) ∧ ¬∃f ∈ E. oper(e') = wr(⊥) ∧ e' → f,
which establishes (13).
Let us now discharge RECEIVE. Let receive((r, V), V') =
(r, V''), where
V'' = {(a, v) | (a, v') ∈ V ∪ V'} ∪ {(a, v) ∈ V ∪ V';
  V'' = {(a, v) ∈ V'' | v ∈ ℤ [v'] ∃a', (a', v') ∈ V' ∧ a ≠ a'}.

```

Assume  $(r, V) [R_+] f, V' [M] f$  and

$I = ((E, \text{repl}, \text{obj}, \text{oper}, \text{rval}, \text{ro}, \text{vis}, \text{ar}), \text{info})$ ;  
 $J = ((E', \text{repl}', \text{obj}', \text{oper}', \text{rval}', \text{ro}', \text{vis}', \text{ar}'), \text{info}')$ ;  
 $I \sqcup J = ((E'', \text{repl}'', \text{obj}'', \text{oper}'', \text{rval}'', \text{ro}'', \text{vis}'', \text{ar}''), \text{info}'')$ .

By agree we have  $I \sqcup J \in \mathcal{R}\text{Ex}$ . Then

$(\forall(a, v), (a', v') \in V. (a = a' \Rightarrow v = v')) \wedge$   
 $(\forall(a, v) \in V. \exists a, v(s) > 0) \wedge$   
 $(\forall(a, v) \in V. v \in \mathbb{Z} [v'] \exists a', (a', v') \in V \wedge a \neq a') \wedge$   
 $\exists \text{ distinct } e_{a,k}$   
 $\{ (e \in E | \exists a, \text{oper}(e) = \text{wr}(a)) = \{e_{a,k} | s \in \text{Replicated} \wedge$   
 $1 \leq k \leq \max\{r(s) | \exists a, (a, v) \in V\} \} \}$   
 $(\forall a, j, k. (\text{repl}(e_{a,k}) = a) \wedge (e_{a,j} \rightarrow e_{a,k} \iff j < k)) \wedge$   
 $(\forall(a, v) \in V. \forall q. \{j | \text{oper}(e_{a,j}) = \text{wr}(a)\} \cup$   
 $\{j | \exists a, k, e_{a,j} \rightarrow e_{a,k} \wedge \text{oper}(e_{a,k}) = \text{wr}(a)\} =$   
 $\{j | 1 \leq j \leq v(q)\}) \wedge$   
 $(\forall e \in E. (\text{oper}(e) = \text{wr}(a)) \wedge$   
 $\neg \exists f \in E. \text{oper}(f) = \text{wr}(\perp) \wedge e \rightarrow f) \Rightarrow (a, \perp) \in V$

and

$(\forall(a, v), (a', v') \in V'. (a = a' \Rightarrow v = v')) \wedge$   
 $(\forall(a, v) \in V'. \exists a, v(s) > 0) \wedge$   
 $(\forall(a, v) \in V'. v \in \mathbb{Z} [v'] \exists a', (a', v') \in V' \wedge a \neq a') \wedge$   
 $\exists \text{ distinct } e_{a,k}$   
 $\{ (e \in E' | \exists a, \text{oper}'(e) = \text{wr}(a)) = \{e_{a,k} | s \in \text{Replicated} \wedge$   
 $1 \leq k \leq \max\{r(s) | \exists a, (a, v) \in V'\} \} \}$   
 $(\forall a, j, k. (\text{repl}'(e_{a,k}) = a) \wedge (e_{a,j} \rightarrow e_{a,k} \iff j < k)) \wedge$   
 $(\forall(a, v) \in V'. \forall q. \{j | \text{oper}'(e_{a,j}) = \text{wr}(a)\} \cup$   
 $\{j | \exists a, k, e_{a,j} \rightarrow e_{a,k} \wedge \text{oper}'(e_{a,k}) = \text{wr}(a)\} =$   
 $\{j | 1 \leq j \leq v(q)\}) \wedge$   
 $(\forall e \in E'. (\text{oper}'(e) = \text{wr}(a)) \wedge$   
 $\neg \exists f \in E'. \text{oper}'(f) = \text{wr}(\perp) \wedge e \rightarrow f) \Rightarrow (a, \perp) \in V'$

The agree property also implies

$\forall a, k. 1 \leq k \leq \min\{\max\{v(s) | \exists a, (a, v) \in V\},$   
 $\max\{v(s) | \exists a, (a, v) \in V'\}\} \Rightarrow e_{a,k} = e'_{a,k}$ .

Hence, these exist distinct

$e''_{a,k}$  for  $a \in \text{Replicated}$ ,  $k = 1..(\max\{v(s) | \exists a, (a, v) \in V''\})$ ,

such that  
 $(\forall a, k. 1 \leq k \leq \max\{v(s) | \exists a, (a, v) \in V\} \Rightarrow e''_{a,k} = e_{a,k}) \wedge$   
 $(\forall a, k. 1 \leq k \leq \max\{v(s) | \exists a, (a, v) \in V'\} \Rightarrow e''_{a,k} = e'_{a,k})$

and

$\{ (e \in E \cup E' | \exists a, \text{oper}''(e) = \text{wr}(a)) =$   
 $\{e''_{a,k} | s \in \text{Replicated} \wedge 1 \leq k \leq \max\{v(s) | \exists a, (a, v) \in V''\} \}$   
 $\wedge (\forall a, j, k. (\text{repl}''(e''_{a,k}) = a) \wedge (e''_{a,j} \rightarrow e''_{a,k} \iff j < k))$

By the definition of  $V''$  and  $V'''$  we have

$(\forall(a, v), (a', v') \in V''. (a = a' \Rightarrow v = v'))$ .

We also straightforwardly get

$(\forall(a, v) \in V''. \exists a, v(s) > 0)$

and

$(\forall(a, v) \in V''. \forall q. \{j | \text{oper}''(e''_{a,j}) = \text{wr}(a)\} \cup$   
 $\{j | \exists a, k, e''_{a,j} \rightarrow e''_{a,k} \wedge \text{oper}''(e''_{a,k}) = \text{wr}(a)\} =$   
 $\{j | 1 \leq j \leq v(q)\})$ .



**I'm so excited.**



### Definition (Relations)

A *relation*  $R$  from  $A$  to  $B$  is a subset of  $A \times B$ :

$$R \subseteq A \times B$$

### Definition (Cartesian Products)

The *Cartesian product*  $A \times B$  of  $A$  and  $B$  is defined as

$$A \times B \triangleq \{(a, b) \mid a \in A \wedge b \in B\}$$

### Axiom (Ordered Pairs)

$$(a, b) = (c, d) \iff a = c \wedge b = d$$

*Q* : Are you satisfied with the definitions above?

## Axiom (Ordered Pairs)

$$(a, b) = (c, d) \iff a = c \wedge b = d$$



## Definition (Ordered Pairs (Kazimierz Kuratowski; 1921))

$$(a, b) \triangleq \{\{a\}, \{a, b\}\}$$

Definition (Ordered Pairs (Kazimierz Kuratowski; 1921))

$$(a, b) \triangleq \{\{a\}, \{a, b\}\}$$

Theorem

$$(a, b) = (c, d) \iff a = c \wedge b = d$$

Proof.

$$\{\{a\}, \{a, b\}\} = \{\{c\}, \{c, d\}\}$$

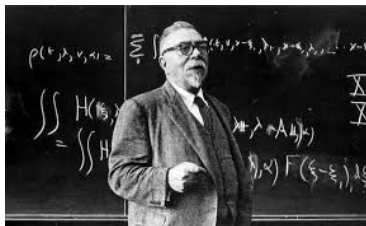
CASE I :  $a = b$

CASE II :  $a \neq b$



## Definition (Ordered Pairs (Norbert Wiener; 1914))

$$(a, b) \triangleq \left\{ \left\{ \{a\}, \emptyset \right\}, \left\{ \{b\} \right\} \right\}$$



## Theorem

$$(a, b) = (c, d) \iff a = c \wedge b = d$$



## Definition (Cartesian Products)

The *Cartesian product*  $A \times B$  of  $A$  and  $B$  is defined as

$$A \times B \triangleq \{(a, b) \mid a \in A \wedge b \in B\}$$

$$X^2 \triangleq X \times X$$

## Theorem

$A \times B$  *is* a set.

Proof.

$$A \times B \triangleq \{(a, b) \in ? \mid a \in A \wedge b \in B\}$$

$$\{\{a\}, \{a, b\}\} \in ?\mathcal{P}(\mathcal{P}(A \cup B))$$



## Definition (Relations)

A *relation*  $R$  from  $A$  to  $B$  is a subset of  $A \times B$ :

$$R \subseteq A \times B$$

If  $A = B$ ,  $R$  is called a relation *on*  $A$ .

## Definition (Notations)

$$(a, b) \in R \quad R(a, b) \quad aRb$$

## Definition (Relations)

A *relation*  $R$  from  $A$  to  $B$  is a subset of  $A \times B$ :

$$R \subseteq A \times B$$

## Examples

- ▶ Both  $A \times B$  and  $\emptyset$  are relations from  $A$  to  $B$ .



$$< = \{(a, b) \in \mathbb{R} \times \mathbb{R} \mid a \text{ is less than } b\}$$



$$D = \{(a, b) \in \mathbb{N} \times \mathbb{N} \mid \exists q \in \mathbb{N} : a \cdot q = b\}$$

- ▶  $P$  : the set of people

$$M = \{(a, b) \in P \times P \mid a \text{ is the mother of } b\}$$

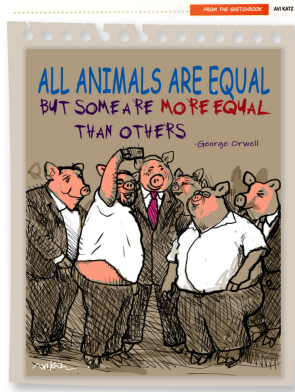
$$B = \{(a, b) \in P \times P \mid a \text{ is the brother of } b\}$$

# Important Relations:

Equivalence Relations (1-9)

Functions (1-10)

Ordering Relations (1-12)



Before that,

3 Definitions

5 Operations

7 Properties

$$R = \{(0, 1), (0, 2), (0, 3), (1, 1), (1, 2), (1, 3), (2, 3)\}$$

### 3 Definitions

## Definition (Domain)

$$\text{dom}(R) = \{a \mid \exists b : (a, b) \in R\}$$

## Theorem

$\text{dom}(R)$  *is* a set.

$$\text{dom}(R) = \{a \in \bigcup \bigcup R \mid \exists b : (a, b) \in R\}$$

$$(a, b) = \{\{a\}, \{a, b\}\} \in R$$

$$\{a, b\} \in \bigcup R$$

$$a \in \bigcup \bigcup R$$

## Definition (Range)

$$\text{ran}(R) = \{b \mid \exists a : (a, b) \in R\}$$

## Theorem

$\text{ran}(R)$  *is* a set.

$$\text{ran}(R) = \{b \in \bigcup \bigcup R \mid \exists a : (a, b) \in R\}$$

## Definition (Field)

$$\text{fld}(R) = \text{dom}(R) \cup \text{ran}(R)$$



## 5 Operations

### Definition (Inverse)

The *inverse* of  $R$  is the **relation**

$$R^{-1} = \{(a, b) \mid (b, a) \in R\}$$

### Theorem

$$(R^{-1})^{-1} = R$$

### Definition (Restriction)

The *restriction* of  $R$  to  $X$  is the **relation**

$$R|_X = \{(a, b) \in R \mid a \in X\}$$

### Definition (Image)

The *image* of  $X$  under  $R$  is the set

$$R[X] = \{b \in \text{rand}(R) \mid \exists a \in X : (a, b) \in R\} = \text{ran}(R|_X)$$

### Definition (Inverse Image)

The *inverse image* of  $Y$  under  $R$  is the set

$$R^{-1}[Y] = \{b \in \text{dom}(R) \mid b \in Y : (a, b) \in R\} = \text{ran}(R^{-1}|_Y)$$

$$R \subseteq A \times B \quad X \subseteq A \quad Y \subseteq B$$

$$R^{-1}[R[X]] \stackrel{?}{=} X$$

$$R[R^{-1}[Y]] \stackrel{?}{=} Y$$



## Theorem

$$R[X_1 \cup X_2] = R[X_1] \cup R[X_2]$$

$$R[X_1 \cap X_2] \subseteq R[X_1] \cap R[X_2]$$

$$R[X_1 \setminus X_2] \supseteq R[X_1] \setminus R[X_2]$$

$$b \in R[X_1 \cup X_2]$$

$$\iff \exists a \in X_1 \cup X_2 : (a, b) \in R$$

$$\iff \exists a \in X_1 : (a, b) \in R \vee \exists a \in X_2 : (a, b) \in R$$

$$\iff b \in R[X_1] \vee b \in R[X_2]$$

## Definition (Composition)

The *composition* of relations  $R$  and  $S$  is the **relation**

$$R \circ S = \{(a, c) \mid \exists b : (a, b) \in S \wedge (b, c) \in R\}$$

$$R = \{(0, 1), (0, 2), (0, 3), (1, 1), (1, 2), (1, 3), (2, 3)\}$$

$$R \circ R = \{\dots\}$$

$$\leq \circ \leq = \leq$$

$$\leq \circ \geq = \mathbb{R} \times \mathbb{R}$$

## Theorem

$$(R \circ S)^{-1} = S^{-1} \circ R^{-1}$$

$$(a, b) \in (R \circ S)^{-1} \iff \dots$$

## Theorem

$$(R \circ S) \circ T = R \circ (S \circ T)$$

$$(a, b) \in (R \circ S) \circ T \iff \dots$$



$$(a, b) \in (R \circ S) \circ T$$

$$\iff \exists c : (a, c) \in T \wedge (c, b) \in R \circ S$$

$$\iff \exists c : (a, c) \in T \wedge (\exists d : (c, d) \in S \wedge (d, b) \in R)$$

$$\iff \exists d : \exists c : (a, c) \in T \wedge (c, d) \in S \wedge (d, b) \in R$$

$$\iff \exists d : (\exists c : (a, c) \in T \wedge (c, d) \in S) \wedge (d, b) \in R$$

$$\iff \exists d : (a, d) \in S \circ T \wedge (d, b) \in R$$

$$\iff (a, b) \in R \circ (S \circ T)$$



燕小六：“帮我照顾好我七舅姥爷和我外甥女”

“舅姥爷”: 姥姥的兄弟

$$G = \{(a, b) : a \text{ 是 } b \text{ 的舅姥爷}\}$$

$$M = \{(a, b) \mid a \text{ is the mother of } b\}$$

$$B = \{(a, b) \mid a \text{ is the brother of } b\}$$

$$G = B \circ (M \circ M)$$

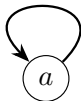
$$G = B \circ (M \circ M) = (B \circ M) \circ M$$

## 7 Properties

$$R \subseteq X \times X$$

Definition (Reflexive)

$$\forall a \in X : (a, a) \in R$$



Definition (Irreflexive)

$$\forall a \in X : (a, a) \notin R$$

$$A = \{1, 2, 3\}, R \subseteq A \times A$$

$$\{(1, 1), (1, 3), (2, 1), (2, 2), (3, 3)\}$$

$$\{(1, 2), (2, 3), (3, 1)\}$$

$$\{(1, 2), (2, 2), (2, 3), (3, 1)\}$$

$$R \subseteq X \times X$$

Definition (Symmetric)

$$\forall a, b \in X : aRb \implies bRa$$



Definition (AntiSymmetric)

$$\forall a, b \in X : (aRb \wedge bRa) \implies a = b$$

> *is* antisymmetric.

$$A = \{1, 2, 3\}, R \subseteq A \times A$$

$$\{(1, 1), (1, 2), (1, 3), (2, 1), (3, 1), (3, 3)\}$$

$$\{(1, 2), (2, 3), (2, 2), (3, 1)\}$$

$$\{(1, 1), (2, 2), (3, 3)\}$$

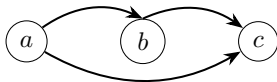
$$\{(1, 2), (2, 1), (2, 3)\}$$



$$R \subseteq X \times X$$

Definition (Transitive)

$$\forall a, b, c \in X : aRb \wedge bRc \implies aRc$$



$$A = \{1, 2, 3\}, R \subseteq A \times A$$

$$\{(1, 1), (1, 2), (1, 3), (2, 1), (2, 2), (2, 3)\}$$

$$\{(1, 2), (2, 3), (3, 1)\}$$

$$\{(1, 3)\}$$

$$\emptyset$$

$$R \subseteq X \times X$$

Definition (Connex)

$$\forall a, b \in X : aRb \vee bRa$$

Definition (Trichotomous)

$$\forall a, b \in X : \text{ exactly one of } aRb, bRa, \text{ or } a = b \text{ holds}$$

## Theorem

$$R \text{ is reflexive} \iff I \subseteq R$$

$$I = \{(a, a) \in A \times A \mid a \in A\}$$

## Theorem

$$R \text{ is symmetric} \iff R^{-1} = R$$

## Theorem

$$R \text{ is transitive} \iff R \circ R \subseteq R$$

$$(1, 2), (2, 3), (1, 3), (4, 4)$$

# Equivalence Relations

## Definition (Equivalence Relation)

$R$  is an *equivalence relation* on  $X$  iff  $R$  is

- ▶ reflexive
- ▶ symmetric
- ▶ transitive

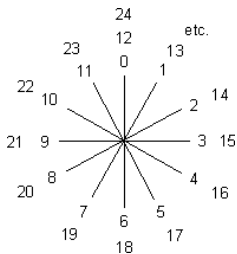
$$= \in \mathbb{R} \times \mathbb{R}$$

$$\parallel \in \mathbb{L} \times \mathbb{L}$$

$$a \sim b \iff a \% 12 = b \% 12$$

Why are equivalence relations important?

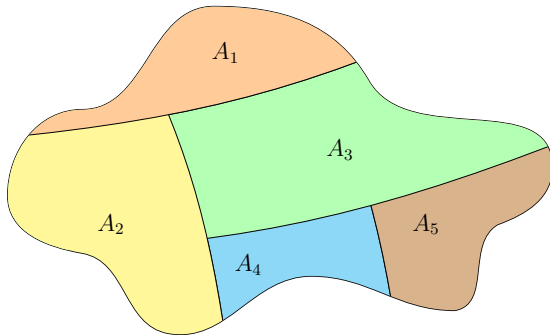
## Equivalence Relations as Abstractions



“全国人民代表大会各省代表团”

Equivalence Relation  $\iff$  Partition

# Partition



“不空、不漏、不重”



## Definition (Partition)

A family of sets  $\{A_\alpha : \alpha \in I\}$  is a *partition* of  $X$  if

(i)

$$\begin{aligned} &\forall \alpha \in I : A_\alpha \neq \emptyset \\ &(\forall \alpha \in I \exists x \in X : x \in A_\alpha) \end{aligned}$$

(ii)

$$\begin{aligned} &\bigcup_{\alpha \in I} A_\alpha = X \\ &(\forall x \in X \exists \alpha \in I : x \in A_\alpha) \end{aligned}$$

(iii)

$$\begin{aligned} &\forall \alpha, \beta \in I : A_\alpha \cap A_\beta = \emptyset \vee A_\alpha = A_\beta \\ &(\forall \alpha, \beta \in I : A_\alpha \cap A_\beta \neq \emptyset \implies A_\alpha = A_\beta) \end{aligned}$$

Equivalence Relation  $R \subseteq X \times X \implies$  Partition  $\Pi$  of  $X$

### Definition (Equivalence Class)

The *equivalence class* of  $x$  modulo  $R$  is a **set**:

$$[a]_R = \{b \in X : aRb\}$$

### Definition (Quotient Set)

The *quotient set* is a **set**:

$$X/R = \{[a]_R \mid a \in X\}$$

## Theorem

$X/R = \{[a]_R \mid a \in X\}$  is a partition of  $X$ .

$$\forall a \in X : [a]_R \neq \emptyset$$

$$\forall a \in X : \exists b \in X : a \in [b]_R$$

## Theorem

$$\forall a \in X, b \in X : [a]_R \cap [b]_R = \emptyset \vee [a]_R = [b]_R$$

$$\forall a \in X, b \in X : [a]_R \cap [b]_R \neq \emptyset \implies [a]_R = [b]_R$$

Partition  $\Pi$  of  $X \implies$  Equivalence Relation  $R \subseteq X \times X$

### Definition

$$(a, b) \in R \iff \exists S \in \Pi : a \in S \wedge b \in S$$

$$R = \{(a, b) \in X \times X \mid \exists S \in \Pi : a \in S \wedge b \in S\}$$

### Theorem

*$R$  is an equivalence relation on  $X$ .*



## Definition

$$\sim \subseteq \mathbb{N} \times \mathbb{N}$$

$$(a, b) \sim (c, d) \iff a + d = b + c$$

## Theorem

$\sim$  is an equivalence relation.

$Q$  : What is  $\mathbb{N} \times \mathbb{N} / \sim$ ?

## Definition

$$\mathbb{Z} \triangleq \mathbb{N} \times \mathbb{N} / \sim$$

Thank  
You!