

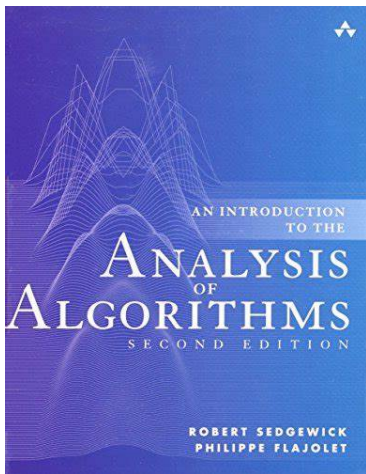
2-3 Counting

Hengfeng Wei

hfwei@nju.edu.cn

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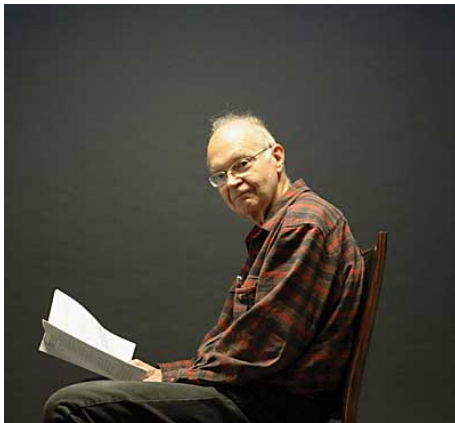




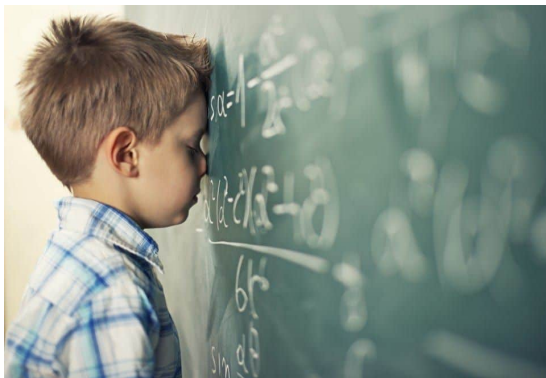
O Ω Θ

o ω

*“People who **analyze algorithms** have **double happiness** ...”*



Donald E. Knuth (1938 ~)



Unfortunately, you have to master some **mathematics**.



Counting

Sums Σ

Binomials $\binom{n}{k}$

PRELIMINARY

Falling and Rising Factorials

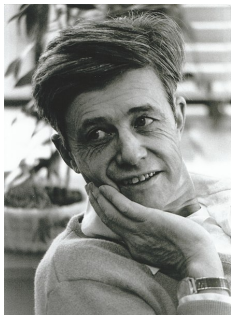
$$m^{\underline{n}} = (m)_n = m(m-1)(m-2)\cdots(m-n+1) = \frac{m!}{(m-n)!}$$

$$m^{\bar{n}} = m^{(n)} = m(m+1)(m+2)\cdots(m+n-1)$$

$$n! = n^{\underline{n}} = 1^{\bar{n}}$$

$$\binom{m}{n} = \frac{m^{\underline{n}}}{n!}$$

Iverson Bracket



$$[P] = \begin{cases} 1, & \text{if } P \text{ is true;} \\ 0, & \text{otherwise} \end{cases}$$

$$[n \leq m] = \begin{cases} 1, & \text{if } n \leq m; \\ 0, & \text{if } n > m \end{cases}$$

Kenneth Eugene Iverson
(1920 ~ 2004)

Theorem (Sum Principle)

$$S \cap T = \emptyset \implies |S \cup T| = |S| + |T|$$

Theorem (Product Principle)

$$|S \times T| = |S| \times |T|$$

Holds for **finite** sets S and T .

先学习下加法， $1 + 1$ ，就是



所以 $1 + 1 = 2$ ，这很好理解

那我们趁热打铁学习下一个重要公式吧：

$$\frac{\sum_{w \in W} (-1)^{\det(w)} w(e^{\lambda + \rho})}{e^{\rho} \prod_{\alpha > 0} (1 - e^{-\alpha})}$$

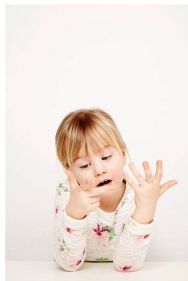


Counting

tuples

permutations

combinations



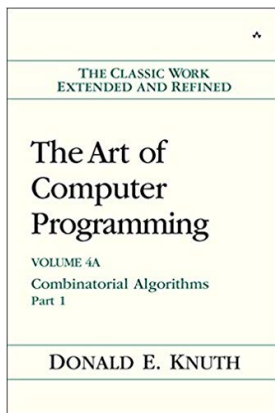
compositions

set partitions

integer partitions

Counting # of functions under (twelve) different restrictions

Counting *vs.* Generating



Generating is more about algorithms.

Counting # of functions under (twelve) different restrictions

$$f : N \rightarrow M \quad (|N| = n, \quad |M| = m)$$

$$12 = (2 \times 2) \times 3$$

Elements of N	Elements of M	Any f	Injective f	Surjective f
<i>distinguishable</i>	<i>distinguishable</i>			
<i>indistinguishable</i>	<i>distinguishable</i>			
<i>distinguishable</i>	<i>indistinguishable</i>			
<i>indistinguishable</i>	<i>indistinguishable</i>			

Table: The Twelfold Way (Functions).

distinguishable *vs.* indistinguishable

Balls	Bins	unrestricted	≤ 1	≥ 1
<i>unlabeled</i>	<i>unlabeled</i>			
<i>labeled</i>	<i>unlabeled</i>			
<i>unlabeled</i>	<i>labeled</i>			
<i>labeled</i>	<i>labeled</i>			

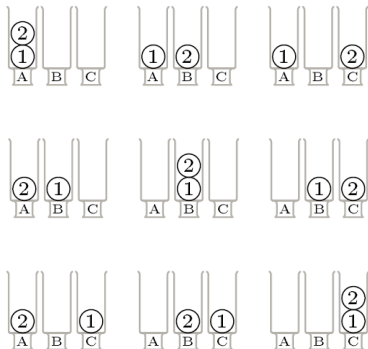
Table: The Twelfold Way (Balls into Bins Model).

labeled vs. unlabeled

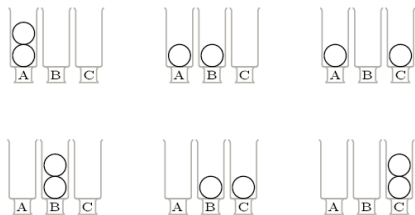
2 balls, 3 bins

(unrestricted)

labeled balls into labeled bins



unlabeled balls into labeled bins

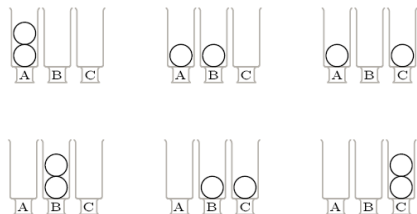


Only # of balls in each bin matters.

2 balls, 3 bins

(unrestricted)

unlabeled balls into labeled bins



$$2 = 2 + 0 + 0$$

$$= 1 + 1 + 0$$

$$= 1 + 0 + 1$$

$$= 0 + 2 + 0$$

$$= 0 + 1 + 1$$

$$= 0 + 0 + 2$$

$$n = x_1 + x_2 + \dots + x_m \quad (x_i \geq 0)$$

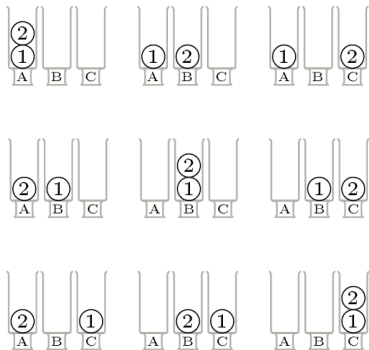
Only # of balls in each bin matters. weak composition of n with m terms

$$\boxed{\binom{n}{m}}$$

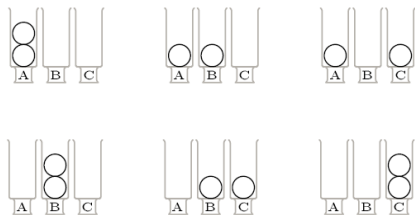
2 balls, 3 bins

(unrestricted)

labeled balls into labeled bins



unlabeled balls into labeled bins



$$f : 1 \mapsto A, \quad 2 \mapsto B$$

$$f' : 2 \mapsto A, \quad 1 \mapsto B$$

$$f' = f \circ (h : 1 \mapsto 2, \quad 2 \mapsto 1)$$

f class	Any f	Injective f	Surjective f
f	n tuples of m items		
$f \circ S_N$	compositions of n into m parts		

Table: The Twelfold Way (Functions).

$$S_N = \{f : N \xleftrightarrow[1-1]{\text{onto}} N\}$$

$$[f] = f \circ S_N = \{f \circ g \mid g \in S_N\}$$

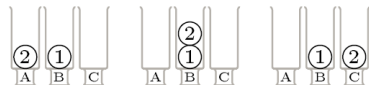
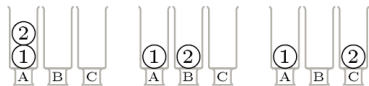
$$f, g \text{ are indistinguishable} \iff g \in [f]$$

2 balls, 3 bins

(unrestricted)

labeled balls into unlabeled bins

labeled balls into labeled bins



$$\{1, 2\} = \{1, 2\} \\ = \{1\} \cup \{2\}$$

partition of N into $\leq m$ parts

$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$: # of partitions of N into k parts

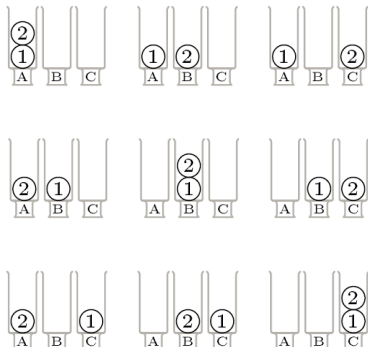
$$\sum_{k=1}^m \left\{ \begin{matrix} n \\ k \end{matrix} \right\}$$

$$\begin{aligned}\{1, 2, 3\} &= \{1, 2, 3\} \\ &= \{1\} \cup \{2, 3\} \\ &= \{2\} \cup \{1, 3\} \\ &= \{3\} \cup \{1, 2\} \\ &= \{1\} \cup \{2\} \cup \{3\}\end{aligned}$$

2 balls, 3 bins

(unrestricted)

labeled balls into labeled bins



labeled balls into unlabeled bins

$$f : 1 \mapsto A, \quad 2 \mapsto A$$

$$g : 1 \mapsto B, \quad 2 \mapsto B$$

$$h : 1 \mapsto C, \quad 2 \mapsto C$$

$$g = (l : A \mapsto B, \quad B \mapsto A) \circ f$$

f class	Any f	Injective f	Surjective f
f	n tuples of m items		
$f \circ S_N$	compositions of n into m parts		
$S_M \circ f$	partitions of N into $\leq m$ parts		

Table: The Twelfold Way (Functions).

$$S_M = \{f : M \xleftrightarrow[1-1]{\text{onto}} M\}$$

$$[f] = S_M \circ f = \{g \circ f \mid g \in S_M\}$$

$$f, g \text{ are indistinguishable} \iff g \in [f]$$

f class	Any f	Injective f	Surjective f
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$S_M \circ f \circ S_N$	partitions of n into $\leq m$ parts		

Table: The Twelffold Way (Functions).

$$5 = 5$$

$$= 41$$

$$= 32 = 311$$

$$= 221 = 21111$$

$$= 11111$$

$$x_1 + x_2 + \cdots + x_k = n$$

$$x_1 \geq x_2 \geq \cdots \geq x_k \geq 1$$

$$\begin{vmatrix} n \\ k \end{vmatrix} : \# \text{ of (integral) solutions}$$

$$\sum_{k=1}^m \begin{vmatrix} n \\ k \end{vmatrix}$$

f class	Any f	Injective f	Surjective f
f	n -tuples of m items m^n	n -permutations of m items $m^{\underline{n}}$???
$f \circ S_N$	weak compositions of n into m parts $\left(\binom{n}{m}\right)$	n -combinations of m items $\binom{m}{n}$	compositions of n into m parts
$S_M \circ f$	partitions of N into $\leq m$ parts $\sum_{k=1}^m \left\{ \begin{matrix} n \\ k \end{matrix} \right\}$	n pigeons into m holes $[n \leq m]$	partitions of N into m parts $\left\{ \begin{matrix} n \\ m \end{matrix} \right\}$
$S_M \circ f \circ S_N$	partitions of n into $\leq m$ parts $\sum_{k=1}^m \left \begin{matrix} n \\ k \end{matrix} \right $	n pigeons into m holes $[n \leq m]$	partitions of n into m parts $\left \begin{matrix} n \\ m \end{matrix} \right $

Table: The Twelfold Way (Functions).

$$\left(\binom{n}{m} \right) \quad \left\{ \begin{matrix} n \\ k \end{matrix} \right\} \quad \left| \begin{matrix} n \\ k \end{matrix} \right|$$

Weak composition of n with m terms

$$2 = 2 + 0 + 0$$

$$= 1 + 1 + 0$$

$$= 1 + 0 + 1$$

$$= 0 + 2 + 0$$

$$= 0 + 1 + 1$$

$$= 0 + 0 + 2$$

$$n = x_1 + x_2 + \dots + x_m \quad (x_i \geq 0)$$

$$\binom{n+m-1}{m-1} = \binom{n+m-1}{n}$$



$$7 = 4 + 0 + 1 + 2 + 0 \quad (\text{Stars and Bars})$$

Placing $m - 1$ bars into $n + (m - 1)$ slots.

Composition of n with m terms

$$n = x_1 + x_2 + \dots + x_m \quad (x_i > 0)$$



$$7 = 4 + 1 + 2 \quad (\text{Stars and Bars})$$

Placing $m - 1$ bars into $n - 1$ slots.

$$\binom{n-1}{m-1}$$

Theorem

The # of *weak composition* of n with m terms is

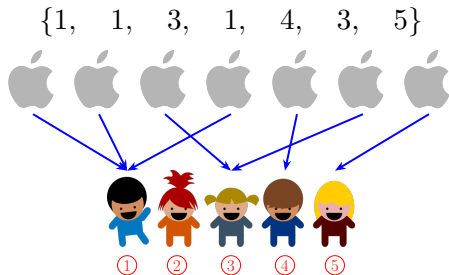
$$\binom{n+m-1}{m-1} = \binom{n+m-1}{n}$$

Theorem (CS Theorem 1.8)

The # of n -element *multisets* chosen from an m -element set is

$$\binom{n+m-1}{m-1} = \binom{n+m-1}{n}$$

$n = 7$ $m = 5$ (Apples and Children)



$$7 = 3 + 0 + 2 + 1 + 1$$

$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$: # of partitions of set N into k parts

$$\begin{aligned}\{1, 2, 3\} &= \{1, 2, 3\} \\ &= \{1\} \cup \{2, 3\} \\ &= \{2\} \cup \{1, 3\} \\ &= \{3\} \cup \{1, 2\} \\ &= \{1\} \cup \{2\} \cup \{3\}\end{aligned}$$

Stirling number of the second kind

Set Partition (CS : 1.5 – 12)

$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$: # of partitions of set N into k parts

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = \underbrace{\left\{ \begin{matrix} n-1 \\ k-1 \end{matrix} \right\}}_{n \text{ is alone}} + \underbrace{k \left\{ \begin{matrix} n-1 \\ k \end{matrix} \right\}}_{n \text{ is not alone}} \quad (n > 0, k > 0)$$

Bell number: $B_n = \sum_{k=1}^{k=n} \left\{ \begin{matrix} n \\ k \end{matrix} \right\}$

$\left| \begin{smallmatrix} n \\ k \end{smallmatrix} \right|$: # of partitions of n into k parts

$$5 = 5$$

$$= 41$$

$$= 32 = 311$$

$$= 221 = 21111$$

$$= 11111$$

$$x_1 + x_2 + \cdots + x_k = n$$

$$x_1 \geq x_2 \geq \cdots \geq x_k \geq 1$$

$$x_1 + x_2 + \cdots + x_k = n$$

$$x_1 \geq x_2 \geq \cdots \geq x_k \geq 1$$

Theorem (Recurrence for $\left| \begin{smallmatrix} n \\ k \end{smallmatrix} \right|$)

$$\left| \begin{smallmatrix} n \\ k \end{smallmatrix} \right| = \left| \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right| + \left| \begin{smallmatrix} n \\ n-k \end{smallmatrix} \right|$$

CASE II : $x_k > 1$

CASE I : $x_k = 1$

$$x_1 - 1 \geq x_2 - 1 \geq \cdots \geq x_k - 1 \geq 1$$

$$\left| \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right|$$

$$\left| \begin{smallmatrix} n \\ n-k \end{smallmatrix} \right|$$

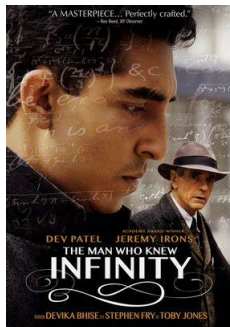
Theorem (G. H. Hardy, Ramanujan (1918))

$$p(n) \triangleq \sum_{x=1}^{x=n} \left| \frac{n}{k} \right| \sim \frac{1}{4\sqrt{3}n} \exp \left(\pi \sqrt{\frac{2n}{3}} \right)$$

$$p(200) \sim 4, 100, 251, 432, 188$$

$$p(200) = 3, 972, 999, 029, 388$$

3.203%



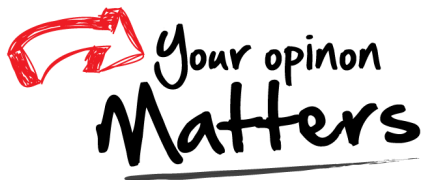
f class	Any f	Injective f	Surjective f
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$f \circ S_N$	weak compositions of n into m parts $\binom{n}{m} = \binom{n+m-1}{m-1} = \binom{n+m-1}{n}$	n -combinations of m items $\binom{m}{n}$	compositions of n into m parts $\binom{n-1}{m-1}$
$S_M \circ f$	partitions of N into $\leq m$ parts $\sum_{k=1}^m \{n_k\}$	n pigeons into m holes $[n \leq m]$	partitions of N into m parts $\{n_m\}$
$S_M \circ f \circ S_N$	partitions of n into $\leq m$ parts $\sum_{k=1}^m n_k $	n pigeons into m holes $[n \leq m]$	partitions of n into m parts $ n_m $

Table: The Twelfold Way (Functions).

THE TWELVEFOLD WAY

<i>balls per urn</i>	unrestricted	≤ 1	≥ 1
n labeled balls, m labeled urns	n -tuples of m things	n -permutations of m things	partitions of $\{1, \dots, n\}$ into m ordered parts
n unlabeled balls, m labeled urns	n -multicombinations of m things	n -combinations of m things	compositions of n into m parts
n labeled balls, m unlabeled urns	partitions of $\{1, \dots, n\}$ into $\leq m$ parts	n pigeons into m holes	partitions of $\{1, \dots, n\}$ into m parts
n unlabeled balls, m unlabeled urns	partitions of n into $\leq m$ parts	n pigeons into m holes	partitions of n into m parts

Thank
You!



Office 302

Mailbox: H016

hfwei@nju.edu.cn