# 1-9 Set Theory (II): Relations

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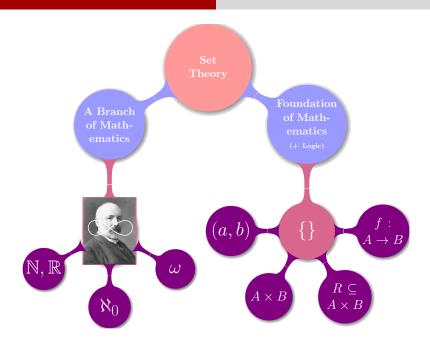




Figure 13. A selection of consistency axioms over an execution (E, repl, obj, oper, rval, ro, vis, ar)

#### Auxiliary relations

 $sameobi(e, f) \iff obi(e) = obi(f)$ 

Per-object causality (aka happens-before) order:  $hbo = ((ro \cap sameobj) \cup vis)^+$ 

Causality (aka happens-before) order: hb = (ro ∪ vis)+

#### Axioms

EVENTUAL:

 $\forall e \in E. \neg (\exists \text{ infinitely many } f \in E. \text{ sameobj}(e, f) \land \neg (e \xrightarrow{\text{vis}} f))$ THINAIR: ro ∪ vis is acvelic

POCV (Per-Object Causal Visibility): hbo ⊂ vis

POCA (Per-Object Causal Arbitration): hbo ⊂ ar

COCV (Cross-Object Causal Visibility): (hb ∩ sameobj) ⊆ vis

COCA (Cross-Object Causal Arbitration): hb ∪ ar is acyclic

Figure 17. Optimized state-based multi-value register and its simulation = ReplicalD  $\times P(\mathbb{Z} \times (ReplicalD \rightarrow \mathbb{N}_0))$ = (r, 0) $= P(\mathbb{Z} \times (\mathsf{ReplicalD} \to \mathbb{N}_0))$ do(ur(a), (r, V), t) =

 $(\langle r, \{(a, (\lambda s, if s \neq r \text{ then } \max\{v(s) \mid (\square, v) \in V\}$ else  $\max\{v(s) \mid (\neg, v) \in V\} + 1))\}, \bot)$  $do(xd, (r, V), t) = ((r, V), \{a \mid (a, s) \in V\})$ send((r, V))

 $\operatorname{receive}(\langle r, V \rangle, V') = \langle r, \{(a, v) \in V'' \}$  $v \not\sqsubseteq \bigsqcup \{v' \mid \exists a'. (a', v') \in V'' \land a \neq a'\}\}),$ 

where  $V'' = \{(a, | |\{v' \mid (a, v') \in V \cup V'\}) \mid (a, *) \in V \cup V'\}$ (s, V)  $[R_s]$   $I \iff (r = s) \land (V [M] I)$ 

V[M] ((E. repl. obi. oper, rval. ro. vis. ar), info)  $\Leftrightarrow$  $(\forall (a, v), (a', v') \in V. (a = a' \implies v = v')) \land$  $(\forall (a, v) \in V, \exists s, v(s) > 0) \land$ 

 $(\forall (a, v) \in V : v \not\sqsubseteq | |\{v' \mid \exists a' . (a', v') \in V \land a \neq a'\}) \land$ ∃ distinct e. a.  $\{e \in E \mid \exists a. oper(e) = wr(a)\} = \{e_{s,k} \mid s \in ReplicalD \land a. oper(e) = wr(a)\}$  $1 \le k \le \max\{v(s) \mid \exists a, (a, v) \in V\}\} \land$  $(\forall s, j, k. \, (\mathsf{repl}(c_{s,k}) = s) \, \wedge \, (c_{s,j} \xrightarrow{\cong} c_{s,k} \iff j < k)) \, \wedge \\$ 

 $(\forall (a, v) \in V . \forall q. \{j \mid \mathsf{oper}(e_{g,j}) = \mathsf{wr}(a)\} \cup$  $\{i \mid \exists s, k, e_{-i}, \stackrel{\text{vis}}{\longrightarrow} e_{-i} \land \text{oper}(e_{-i}) = \text{wr}(a)\} =$ 

 $\{i \mid 1 \le i \le v(q)\}\} \land$  $(\forall e \in E, (oper(e) = vx(a) \land$ 

 $\neg \exists f \in E.oper(f) = wx(\downarrow) \land e \xrightarrow{\forall a} f) \Longrightarrow (a,\downarrow) \in V$ 

the former. The only non-trivial obligation is to show that if V [M] ((E. repl. obi. oper, rval. ro. vis), info).

 $\{a \mid (a, .) \in V\} \subseteq \{a \mid \exists e \in E. \mathsf{oper}(e) = \mathsf{wr}(a) \land .$ 

 $\neg \exists f \in E. \exists a'. oper(e) = wr(a') \land e \xrightarrow{vis} f$  (13) (the reverse inclusion is straightforwardly implied by  $R_c$ ). Take  $(a, v) \in V$ . We have  $\forall (a, v) \in V$ .  $\exists s. v(s) > 0$ ,  $v \boxtimes | \{v' \mid \exists a', (a', v') \in V \land a \neq a'\}$ 

 $\forall (a, v) \in V. \forall q. \{j \mid \mathsf{oper}(c_{q,j}) = \mathsf{wr}(a)\} \cup$  $\{j \mid \exists s, k. \ e_{a,j} \xrightarrow{\text{vis}} e_{a,k} \land \text{oper}(e_{s,k}) = \text{wr}(a)\} =$  $\{j \mid 1 \le j \le v(q)\}.$ 

From this we get that for some  $e \in E$  $oper(a) = wr(a) \land \neg \exists f \in F, \exists a', a' \neq a \land$ 

Since vis is acyclic, this implies that for some  $e' \in E$  $oper(e') = wr(a) \land \neg \exists f \in E. oper(e') = wr(\bot) \land e' \xrightarrow{vis} f$ ,

 $oper(e) = wx(a') \wedge e \xrightarrow{\forall a} f$ .

which establishes (13), Let us now discharge RECEIVE. Let receive((r, V), V') =(r. V"), where

 $V'' = \{(a, | |\{v' \mid (a, v') \in V \cup V'\}) \mid (a, \omega) \in V \cup V'\};$  $V^{\prime\prime\prime} = \{(a, v) \in V^{\prime\prime} \mid v \not\subseteq \bigsqcup \{(a', v') \in V^{\prime\prime} \mid a \neq a'\}\}.$ 

Assume (r, V)  $[R_r]$  I, V' [M] J and

I = ((E, repl, obj, oper, rval, ro, vis, ar), info);J = ((E', repl', obj', oper', rval', ro', vis', ar'), info') $I \sqcup J = ((E'', repl'', obj'', oper'', rval'', ro'', vis'', ar''), info").$ 

By agree we have  $I \sqcup J \in \mathsf{IEx}$ . Then  $(\forall (a, v), (a', v') \in V. (a = a' \implies v = v')) \land$ 

 $(\forall (a, v) \in V. \exists s. v(s) > 0) \land$  $(\forall (a, v) \in V. v \square \mid |\{v' \mid \exists a'. (a', v') \in V \land a \neq a'\}) \land$ 

 $(\{e \in E \mid \exists a. \mathsf{oper}^e(e) = \mathsf{wr}(a)\} = \{e_{a,k} \mid s \in \mathsf{ReplicalD} \land A$  $1 \le k \le \max\{v(s) \mid \exists a, (a, v) \in V\}\}$  $(\forall s, j, k. (\mathsf{repl}^{tr}(e_{s,k}) = s) \land (e_{s,j} \xrightarrow{m} e_{s,k} \iff j < k)) \land$  $(\forall (a, v) \in V. \forall q. \{j \mid \mathsf{oper}^{\pi}(e_{g,j}) = \mathsf{wr}(a)\} \cup$  $\{j \mid \exists s, k. c_{g,i} \xrightarrow{\forall a} c_{s,k} \land oper''(c_{s,k}) = wr(a)\} =$ 

 $(\forall e \in E. (\mathsf{oper''}(e) = \mathsf{wr}(a) \land$  $\neg \exists f \in E.\mathsf{oper}''(f) = \mathsf{vr}(\cdot) \land e \xrightarrow{\mathsf{vis}} f) \Longrightarrow (a, \cdot) \in V$ 

 $(\forall (a, v), (a', v') \in V' \cdot (a = a' \implies v = v')) \land$  $(\forall (a, v) \in V', \exists s, v(s) > 0) \land$  $(\forall (a,v) \in V'.v \not\sqsubseteq \bigcup \{v' \mid \exists a'.(a',v') \in V' \land a \neq a'\}) \land$ ∃ distinct e. i..  $\{e \in E' \mid \exists a. \text{ oper}''(e) = \text{wr}(a)\} = \{e_{s,k} \mid s \in \text{Replical D} \land A\}$ 

 $1 \le k \le \max\{v(s) \mid \exists a, (a, v) \in V'\}\}) \land$  $(\forall s, j, k. (\mathsf{repl}^{\mathsf{v}}(e_{s,k}) = s) \land (e_{s,j} \xrightarrow{\mathsf{rej}} e_{s,k} \iff j < k)) \land$  $(\forall (a, v) \in V', \forall q, \{j \mid oper''(e_{q,j}) = wx(a)\} \cup$  $\{j \mid \exists s, k. e_{q,j} \xrightarrow{\text{vis'}} e_{s,k} \land \text{oper''}(e_{s,k}) = \text{wr}(a)\} =$ 

 $(\forall e \in E', (\mathsf{oper}''(e) = \mathsf{wr}(a) \land$  $\neg \exists f \in E', \mathsf{oper}''(f) = \mathsf{vr}(J) \land e \xrightarrow{\mathsf{vir}} f) \Longrightarrow (a, J) \in V').$ 

The agree property also implies  $\forall s, k. 1 \le k \le \min \{ \max\{v(s) \mid \exists a. (a, v) \in V \},$ 

 $\max\{v(s) \mid \exists a. (a, v) \in V'\}\} \implies e_{s,k} = e'_{s,k}.$ Hence, these exist distinct

 $e_{s,k}^{\prime\prime}$  for  $s \in \text{ReplicalD}$ ,  $k = 1..(\max\{v(s) \mid \exists a, (a, v) \in V^{\prime\prime\prime}\})$ ,

 $(\forall s, k, 1 \le k \le \max\{v(s) \mid \exists a, (a, v) \in V\} \Longrightarrow e''_{s,k} = e_{s,k}) \land$  $(\forall s, k, 1 \le k \le \max\{v(s) \mid \exists a, (a, v) \in V'\} \Longrightarrow e''_{s,k} = e'_{s,k})$  $(\{e \in E \cup E' \mid \exists a, oper''(e) = yx(a)\} =$ 

 $\{e_{s,k}^{\prime\prime} \mid s \in \text{Replical D} \land 1 \le k \le \max\{v(s) \mid \exists a, (a, v) \in V^{\prime\prime\prime}\}\}$  $\wedge (\forall s, i, k, (repl(e''_{-k}) = s) \wedge (e''_{-i}, \stackrel{\alpha''}{\longrightarrow} e''_{-k} \iff i < k)),$ By the definition of V'' and V''' we have

We also straightforwardly get

 $\forall (a, v) \in V', \exists s, v(s) > 0$ 

 $(\forall (a, v) \in V'' : \forall q : \{j \mid oper''(e''_{s,i}) = wr(a)\} \cup$  $\{j \mid \exists s, k, e_a^{\prime\prime}, \xrightarrow{\text{wit}^{\prime\prime}} e_{s,k}^{\prime\prime} \land \text{oper}^{\prime\prime}(e_{s,k}^{\prime\prime}) = \text{wr}(a)\} = (14)$ 

 $\{j \mid 1 \le j \le v(q)\}\}.$ 



I'm so excited.



#### Definition (Relations)

A *relation* R from A to B is a subset of  $A \times B$ :

$$R \subseteq A \times B$$

## Definition (Cartesian Products)

The Cartesian product  $A \times B$  of A and B is defined as

$$A \times B \triangleq \{(a,b) \mid a \in A \land b \in B\}$$

## Axiom (Ordered Pairs)

$$(a,b) = (c,d) \iff a = c \land b = d$$

Q: Are you satisfied with the definitions above?

## Axiom (Ordered Pairs)

$$(a,b)=(c,d)\iff a=c\wedge b=d$$



Definition (Ordered Pairs (Kazimierz Kuratowski; 1921))

 $(a,b) \triangleq \{\{a\},\{a,b\}\}$ 

Definition (Ordered Pairs (Kazimierz Kuratowski; 1921))

$$(a,b) \triangleq \{\{a\},\{a,b\}\}$$

#### Theorem

$$(a,b) = (c,d) \iff a = c \land b = d$$

Proof.

$$\big\{\{a\},\{a,b\}\big\} = \big\{\{c\},\{c,d\}\big\}$$

Case 
$$I: a = b$$

Case II : 
$$a \neq b$$



## Definition (Ordered Pairs (Norbert Wiener; 1914))

$$(a,b) \triangleq \left\{ \{\{a\},\emptyset\}, \{\{b\}\} \right\}$$



#### Theorem

$$(a,b) = (c,d) \iff a = c \land b = d$$

#### Definition (Cartesian Products)

The Cartesian product  $A \times B$  of A and B is defined as

$$A \times B \triangleq \{(a,b) \mid a \in A \land b \in B\}$$

$$X^2 \triangleq X \times X$$

#### Theorem

 $A \times B$  is a set.

Proof.

$$A \times B \triangleq \{(a,b) \in ? \mid a \in A \land b \in B\}$$

$$\{\{a\},\{a,b\}\} \in ?\mathcal{P}(\mathcal{P}(A \cup B))$$



#### Definition (Relations)

A *relation* R from A to B is a subset of  $A \times B$ :

$$R \subseteq A \times B$$

If A = B, R is called a relation on A.

## Definition (Notations)

$$(a,b) \in R$$
  $R(a,b)$ 

aRb

## Definition (Relations)

A *relation* R from A to B is a subset of  $A \times B$ :

$$R \subseteq A \times B$$

## Examples

- ▶ Both  $A \times B$  and  $\emptyset$  are relations from A to B.

$$<=\{(a,b)\in\mathbb{R}\times\mathbb{R}\mid a \text{ is less than } b\}$$

$$D = \{(a, b) \in \mathbb{N} \times \mathbb{N} \mid \exists q \in \mathbb{N} : a \cdot q = b\}$$

ightharpoonup P: the set of people

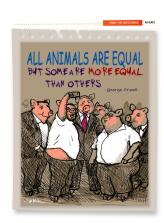
$$M = \{(a, b) \in P \times P \mid a \text{ is the mother of } b\}$$
$$B = \{(a, b) \in P \times P \mid a \text{ is the brother of } b\}$$

## Important Relations:

Equivalence Relations (1-9)

Functions (1-10)

Ordering Relations (1-12)



Before that,

- 3 Definitions
- 5 Operations
- 7 Properties

$$R = \{(0,1), (0,2), (0,3), (1,1), (1,2), (1,3), (2,3)\}$$

3 Definitions

## Definition (Domain)

$$dom(R) = \{a \mid \exists b : (a, b) \in R\}$$

#### Theorem

dom(R) is a set.

$$\operatorname{dom}(R) = \{a \in ? \bigcup R \mid \exists b : (a, b) \in R\}$$
$$(a, b) = \{\{a\}, \{a, b\}\} \in R$$
$$\{a, b\} \in \bigcup R$$
$$a \in \bigcup R$$

## Definition (Range)

$$ran(R) = \{b \mid \exists a : (a, b) \in R\}$$

#### Theorem

ran(R) is a set.

$$ran(R) = \{b \in \bigcup \bigcup R \mid \exists a : (a, b) \in R\}$$

## Definition (Field)

$$fld(R) = dom(R) \cup ran(R)$$

5 Operations

#### Definition (Inverse)

The *inverse* of R is the relation

$$R^{-1} = \{(a,b) \mid (b,a) \in R\}$$

Theorem

$$(R^{-1})^{-1} = R$$

## Definition (Restriction)

The restriction of R to X is the relation

$$R|_{X} = \{(a, b) \in R \mid \mathbf{a} \in \mathbf{X}\}\$$

#### Definition (Image)

The image of X under R is the set

$$R[X] = \{b \in \operatorname{rand}(R) \mid \exists a \in X : (a,b) \in R\} = \operatorname{ran}(R|X)$$

## Definition (Inverse Image)

The *inverse image* of Y under R is the set

$$R^{-1}[Y] = \{b \in \text{dom}(R) \mid b \in Y : (a, b) \in R\} = \text{ran}(R^{-1}|_Y)$$

$$R \subseteq A \times B$$
  $X \subseteq A$   $Y \subseteq B$ 

$$R^{-1}[R[X]]$$
 ?  $X$ 

$$R[R^{-1}[Y]] ? Y$$



#### Theorem

$$R[X_1 \cup X_2] = R[X_1] \cup R[X_2]$$

$$R[X_1\cap X_2]\subseteq R[X_1]\cap R[X_2]$$

$$R[X_1 \setminus X_2] \supseteq R[X_1] \setminus R[X_2]$$

$$b \in R[X_1 \cup X_2]$$

$$\iff \exists a \in X_1 \cup X_2 : (a,b) \in R$$

$$\iff \exists a \in X_1 : (a,b) \in R \lor \exists a \in X_2 : (a,b) \in R$$

$$\iff b \in R[X_1] \lor b \in R[X_2]$$

#### Definition (Composition)

The *composition* of relations R and S is the relation

$$R \circ S = \{(a,c) \mid \exists b : (a,b) \in S \land (b,c) \in R\}$$

$$R = \{(0,1), (0,2), (0,3), (1,1), (1,2), (1,3), (2,3)\}$$

$$R \circ R = \{\cdots\}$$

$$\leq \circ \leq = \leq$$

$$\leq \circ \geq = \mathbb{R} \times \mathbb{R}$$

#### Theorem

$$(R \circ S)^{-1} = S^{-1} \circ R^{-1}$$

$$(a,b) \in (R \circ S)^{-1} \iff \cdots$$

#### Theorem

$$(R \circ S) \circ T = R \circ (S \circ T)$$

$$(a,b) \in (R \circ S) \circ T \iff \cdots$$

$$(a,b) \in (R \circ S) \circ T$$

$$\iff \exists c : (a,c) \in T \land (c,b) \in R \circ S$$

$$\iff \exists c : (a,c) \in T \land (\exists d : (c,d) \in S \land (d,b) \in R)$$

$$\iff \exists d : \exists c : (a,c) \in T \land (c,d) \in S \land (d,b) \in R$$

$$\iff \exists d : (\exists c : (a,c) \in T \land (c,d) \in S) \land (d,b) \in R$$

$$\iff \exists d : (a,d) \in S \circ T \land (d,b) \in R$$

$$\iff (a,b) \in R \circ (S \circ T)$$



燕小六: "帮我照顾好我七舅姥爷和我外甥女"

#### "舅姥爷": 姥姥的兄弟

$$G = \{(a, b) : a \in b \text{ 的舅姥爷}\}$$

$$M = \{(a, b) \mid a \text{ is the mother of } b\}$$

$$B = \{(a, b) \mid a \text{ is the brother of } b\}$$

$$G = B \circ (M \circ M)$$

$$G = B \circ (M \circ M) = (B \circ M) \circ M$$

7 Properties

$$R \subseteq X \times X$$

## Definition (Reflexive)

$$\forall a \in X : (a, a) \in R$$



## Definition (Irreflexive)

 $\forall a \in X : (a, a) \notin R$ 

$$A = \{1, 2, 3\}, R \subseteq A \times A$$

$$\{(1, 1), (1, 3), (2, 1), (2, 2), (3, 3)\}$$

$$\{(1, 2), (2, 3), (3, 1)\}$$

$$\{(1, 2), (2, 2), (2, 3), (3, 1)\}$$

$$R \subseteq X \times X$$

## Definition (Symmetric)

$$\forall a, b \in X : aRb \implies bRa$$



## Definition (AntiSymmetric)

$$\forall a, b \in X : (aRb \land bRa) \implies a = b$$

> *is* antisymmetric.

$$A = \{1, 2, 3\}, R \subseteq A \times A$$

$$\{(1, 1), (1, 2), (1, 3), (2, 1), (3, 1), (3, 3)\}$$

$$\{(1, 2), (2, 3), (2, 2), (3, 1)\}$$

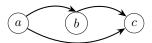
$$\{(1, 1), (2, 2), (3, 3)\}$$

$$\{(1, 2), (2, 1), (2, 3)\}$$

#### $R \subseteq X \times X$

## Definition (Transitive)

 $\forall a,b,c \in X: aRb \wedge bRc \implies aRc$ 



$$A = \{1, 2, 3\}, R \subseteq A \times A$$
 
$$\{(1, 1), (1, 2), (1, 3), (2, 1), (2, 2), (2, 3)\}$$
 
$$\{(1, 2), (2, 3), (3, 1)\}$$
 
$$\{(1, 3)\}$$

$$R \subseteq X \times X$$

## Definition (Connex)

$$\forall a, b \in X : aRb \lor bRa$$

## Definition (Trichotomous)

 $\forall a, b \in X :$ exactly one of aRb, bRa,or a = bholds

#### Theorem

$$R \text{ is reflexive} \iff I \subseteq R$$

$$I = \{(a,a) \in A \times A \mid a \in A\}$$

#### Theorem

$$R \text{ is symmetric} \iff R^{-1} = R$$

#### Theorem

 $R \text{ is transitive} \iff R \circ R \subseteq R$ 

Equivalence Relations

## Definition (Equivalence Relation)

R is an equivalence relation on X iff R is

- ► reflexive
- **▶** symmetric
- transitive

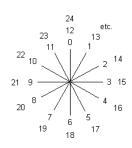
$$= \; \in \mathbb{R} \times \mathbb{R}$$

$$\parallel \in \mathbb{L} \times \mathbb{L}$$

$$a \sim b \iff a \% 12 = b \% 12$$

Why are equivalence relations important?

## Equivalence Relations as Abstractions

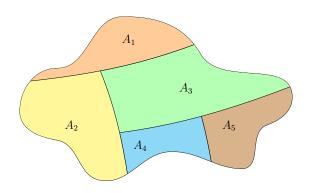




"全国人民代表大会各省代表团"

Equivalence Relation  $\iff$  Partition

# Partition



"不空、不漏、不重"

#### Definition (Partition)

A family of sets  $\{A_{\alpha} : \alpha \in I\}$  is a *partition* of X if

$$\forall \alpha \in I : A_{\alpha} \neq \emptyset$$

$$(\forall \alpha \in I \; \exists x \in X : x \in A_{\alpha})$$

$$\bigcup_{\alpha \in I} A_{\alpha} = X$$

$$(\forall x \in X \ \exists \alpha \in I : x \in A_{\alpha})$$

$$\forall \alpha, \beta \in I : A_{\alpha} \cap A_{\beta} = \emptyset \lor A_{\alpha} = A_{\beta}$$

$$(\forall \alpha, \beta \in I : A_{\alpha} \cap A_{\beta} \neq \emptyset \implies A_{\alpha} = A_{\beta})$$

## Equivalence Relation $R \subseteq X \times X \implies \text{Partition } \Pi \text{ of } X$

## Definition (Equivalence Class)

The equivalence class of a modulo R is a set:

$$[a]_R = \{b \in X : aRb\}$$

#### Definition (Quotient Set)

The quotient set is a set:

$$X/R = \{ [a]_R \mid a \in X \}$$

#### Theorem

$$X/R = \{[a]_R \mid a \in X\}$$
 is a partition of X.

$$\forall a \in X : [a]_R \neq \emptyset$$

$$\forall a \in X : \exists b \in X : a \in [b]_R$$

#### Theorem

$$\forall a \in X, b \in X: [a]_R \cap [b]_R = \emptyset \vee [a]_R = [b]_R$$

$$\forall a \in X, b \in X : [a]_R \cap [b]_R \neq \emptyset \implies [a]_R = [b]_R$$

## Partition $\Pi$ of $X \implies$ Equivalence Relation $R \subseteq X \times X$

#### Definition

$$(a,b) \in R \iff \exists S \in \Pi : a \in S \land b \in S$$
 
$$R = \{(a,b) \in X \times X \mid \exists S \in \Pi : a \in S \land b \in S\}$$

#### Theorem

R is an equivalence relation on X.



#### Definition

$$\sim\,\,\subset\mathbb{N}\times\mathbb{N}$$

$$(a,b) \sim (c,d) \iff a+d=b+c$$

#### Theorem

 $\sim$  is an equivalence relation.

 $Q: \text{What is } \mathbb{N} \times \mathbb{N}/\sim?$ 

#### Definition

$$\mathbb{Z} \triangleq \mathbb{N} \times \mathbb{N} / \sim$$

# Thank You!