

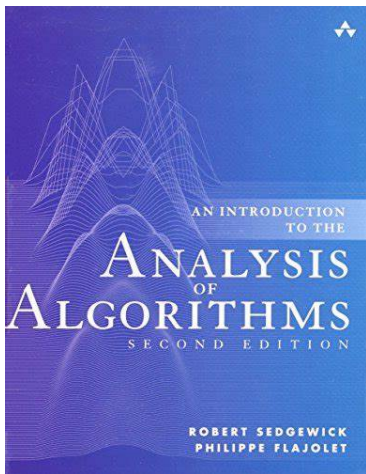
## 2-3 Counting

Hengfeng Wei

hfwei@nju.edu.cn

March 12, 2020

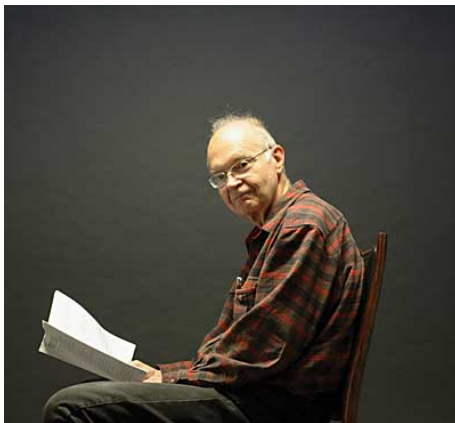




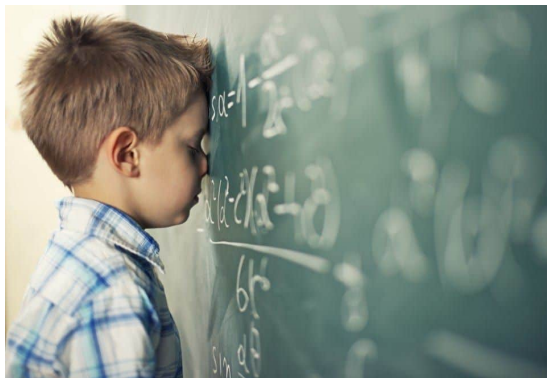
$O$      $\Omega$      $\Theta$

$o$      $\omega$

*“People who **analyze algorithms** have **double happiness** ...”*



Donald E. Knuth (1938 ~)



Unfortunately, you have to master some **mathematics**.



## Counting

Sums  $\Sigma$

Binomials  $\binom{n}{k}$

**PRELIMINARY**

## Falling and Rising Factorials

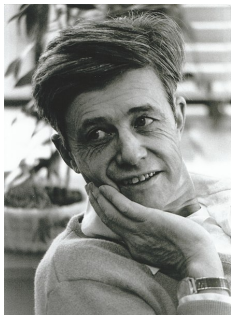
$$m^{\underline{n}} = (m)_n = m(m-1)(m-2)\cdots(m-n+1) = \frac{m!}{(m-n)!}$$

$$m^{\bar{n}} = m^{(n)} = m(m+1)(m+2)\cdots(m+n-1)$$

$$n! = n^{\underline{n}} = 1^{\bar{n}}$$

$$\binom{m}{n} = \frac{m^{\underline{n}}}{n!}$$

## Iverson Bracket



$$[P] = \begin{cases} 1, & \text{if } P \text{ is true;} \\ 0, & \text{otherwise} \end{cases}$$

$$[n \leq m] = \begin{cases} 1, & \text{if } n \leq m; \\ 0, & \text{if } n > m \end{cases}$$

Kenneth Eugene Iverson  
(1920 ~ 2004)



### Theorem (Sum Principle)

$$S \cap T = \emptyset \implies |S \cup T| = |S| + |T|$$

### Theorem (Product Principle)

$$|S \times T| = |S| \times |T|$$

Holds for **finite** sets  $S$  and  $T$ .

先学习下加法， $1 + 1$ ，就是



所以 $1 + 1 = 2$ ，这很好理解

那我们趁热打铁学习下一个重要公式吧：

$$\frac{\sum_{w \in W} (-1)^{\det(w)} w(e^{\lambda + \rho})}{e^{\rho} \prod_{\alpha > 0} (1 - e^{-\alpha})}$$

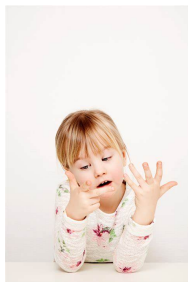


## Counting

tuples

permutations

combinations



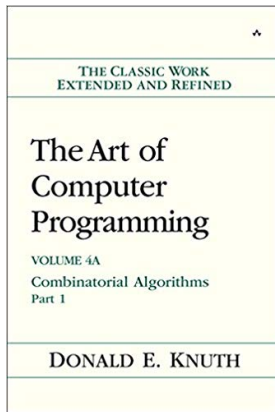
compositions

set partitions

integer partitions

Counting # of functions under (twelve) different restrictions

## Counting *vs.* Generating



Generating is more about algorithms.

## Counting # of functions under (twelve) different restrictions

$$f : N \rightarrow M \quad (|N| = n, \quad |M| = m)$$

$$12 = (2 \times 2) \times 3$$

| Elements of $N$          | Elements of $M$          | Any $f$ | Injective $f$ | Surjective $f$ |
|--------------------------|--------------------------|---------|---------------|----------------|
| <i>distinguishable</i>   | <i>distinguishable</i>   |         |               |                |
| <i>indistinguishable</i> | <i>distinguishable</i>   |         |               |                |
| <i>distinguishable</i>   | <i>indistinguishable</i> |         |               |                |
| <i>indistinguishable</i> | <i>indistinguishable</i> |         |               |                |

Table: The Twelfold Way (Functions).

distinguishable *vs.* indistinguishable

| Balls            | Bins             | unrestricted | $\leq 1$ | $\geq 1$ |
|------------------|------------------|--------------|----------|----------|
| <i>unlabeled</i> | <i>unlabeled</i> |              |          |          |
| <i>labeled</i>   | <i>unlabeled</i> |              |          |          |
| <i>unlabeled</i> | <i>labeled</i>   |              |          |          |
| <i>labeled</i>   | <i>labeled</i>   |              |          |          |

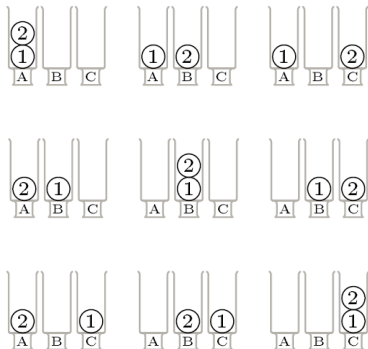
Table: The Twelfold Way (Balls into Bins Model).

labeled vs. unlabeled

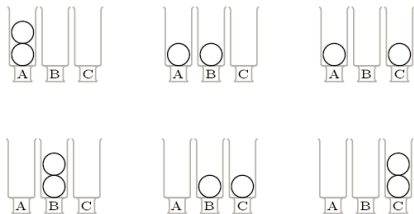
2 balls, 3 bins

(unrestricted)

labeled balls into labeled bins



unlabeled balls into labeled bins



Only # of balls in each bin matters.

2 balls, 3 bins

(unrestricted)

$$2 = 2 + 0 + 0$$

$$= 1 + 1 + 0$$

$$= 1 + 0 + 1$$

$$= 0 + 2 + 0$$

$$= 0 + 1 + 1$$

$$= 0 + 0 + 2$$

unlabeled balls into labeled bins



$$n = x_1 + x_2 + \dots + x_m \quad (x_i \geq 0)$$

Only # of balls in each bin matters. weak composition of  $n$  with  $m$  terms

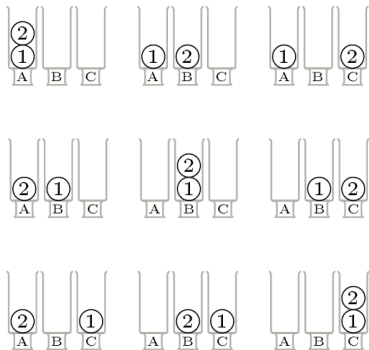
$$\boxed{\left( \binom{n}{\phantom{x}} \right)}$$



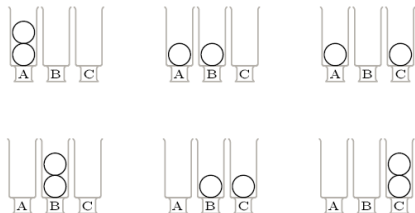
2 balls, 3 bins

(unrestricted)

labeled balls into labeled bins



unlabeled balls into labeled bins



$$f : 1 \mapsto A, \quad 2 \mapsto B$$

$$f' : 2 \mapsto A, \quad 1 \mapsto B$$

$$f' = f \circ (h : 1 \mapsto 2, \quad 2 \mapsto 1)$$

| $f$ class     | Any $f$                               | Injective $f$ | Surjective $f$ |
|---------------|---------------------------------------|---------------|----------------|
| $f$           | $n$ tuples<br>of $m$ items            |               |                |
| $f \circ S_N$ | compositions of $n$<br>into $m$ parts |               |                |
|               |                                       |               |                |
|               |                                       |               |                |

Table: The Twelfold Way (Functions).

$$S_N = \{f : N \xleftrightarrow[1-1]{\text{onto}} N\}$$

$$[f] = f \circ S_N = \{f \circ g \mid g \in S_N\}$$

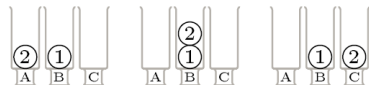
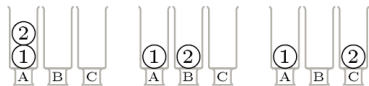
$$f, g \text{ are indistinguishable} \iff g \in [f]$$

2 balls, 3 bins

(unrestricted)

labeled balls into unlabeled bins

labeled balls into labeled bins



$$\{1, 2\} = \{1, 2\} \\ = \{1\} \cup \{2\}$$

partition of  $N$  into  $\leq m$  parts

$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$  : # of partitions of  $N$  into  $k$  parts

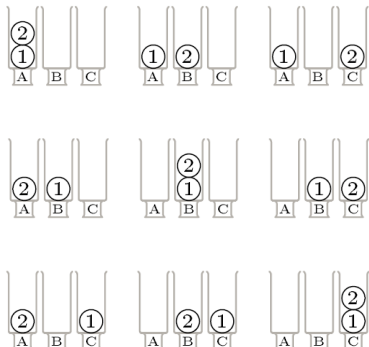
$$\sum_{k=1}^m \left\{ \begin{matrix} n \\ k \end{matrix} \right\}$$

$$\begin{aligned}\{1, 2, 3\} &= \{1, 2, 3\} \\ &= \{1\} \cup \{2, 3\} \\ &= \{2\} \cup \{1, 3\} \\ &= \{3\} \cup \{1, 2\} \\ &= \{1\} \cup \{2\} \cup \{3\}\end{aligned}$$

2 balls, 3 bins

(unrestricted)

labeled balls into labeled bins



labeled balls into unlabeled bins

$$f : 1 \mapsto A, \quad 2 \mapsto A$$

$$g : 1 \mapsto B, \quad 2 \mapsto B$$

$$h : 1 \mapsto C, \quad 2 \mapsto C$$

$$g = (l : A \mapsto B, \quad B \mapsto A) \circ f$$

| $f$ class     | Any $f$                                  | Injective $f$ | Surjective $f$ |
|---------------|--|---------------|----------------|
| $f$           | $n$ tuples<br>of $m$ items               |               |                |
| $f \circ S_N$ | compositions of $n$<br>into $m$ parts    |               |                |
| $S_M \circ f$ | partitions of $N$<br>into $\leq m$ parts |               |                |
|               |  |               |                |

Table: The Twelfold Way (Functions).

$$S_M = \{f : M \xleftrightarrow[1-1]{\text{onto}} M\}$$

$$[f] = S_M \circ f = \{g \circ f \mid g \in S_M\}$$

$$f, g \text{ are indistinguishable} \iff g \in [f]$$

| $f$ class               | Any $f$                                  | Injective $f$ | Surjective $f$ |
|-------------------------|--|---------------|----------------|
| $f$                     | $n$ tuples<br>of $m$ items               |               |                |
| $f \circ S_N$           | compositions of $n$<br>into $m$ parts    |               |                |
| $S_M \circ f$           | partitions of $N$<br>into $\leq m$ parts |               |                |
| $S_M \circ f \circ S_N$ | partitions of $n$<br>into $\leq m$ parts |               |                |

Table: The Twelffold Way (Functions).

$$5 = 5$$

$$= 41$$

$$= 32 = 311$$

$$= 221 = 21111$$

$$= 11111$$

$$x_1 + x_2 + \cdots + x_k = n$$

$$x_1 \geq x_2 \geq \cdots \geq x_k \geq 1$$

$$\begin{vmatrix} n \\ k \end{vmatrix} : \# \text{ of (integral) solutions}$$

$$\sum_{k=1}^m \begin{vmatrix} n \\ k \end{vmatrix}$$

| $f$ class               | Any $f$  | Injective $f$  | Surjective $f$   |
|-------------------------|--|--|--|
| $f$                     | $n$ -tuples<br>of $m$ items<br>$m^n$   | $n$ -permutations<br>of $m$ items<br>$m^{\underline{n}}$ | ???  |
| $f \circ S_N$           | weak compositions of $n$<br>into $m$ parts<br>$\left(\binom{n}{m}\right)$                                      | $n$ -combinations<br>of $m$ items<br>$\binom{m}{n}$      | compositions of $n$<br>into $m$ parts  |
| $S_M \circ f$           | partitions of $N$<br>into $\leq m$ parts<br>$\sum_{k=1}^m \left\{ \begin{matrix} n \\ k \end{matrix} \right\}$ | $n$ pigeons<br>into $m$ holes<br>$[n \leq m]$            | partitions of $N$<br>into $m$ parts<br>$\left\{ \begin{matrix} n \\ m \end{matrix} \right\}$ |
| $S_M \circ f \circ S_N$ | partitions of $n$<br>into $\leq m$ parts<br>$\sum_{k=1}^m \left  \begin{matrix} n \\ k \end{matrix} \right $   | $n$ pigeons<br>into $m$ holes<br>$[n \leq m]$            | partitions of $n$<br>into $m$ parts<br>$\left  \begin{matrix} n \\ m \end{matrix} \right $   |

Table: The Twelfold Way (Functions).

$$\left( \binom{n}{m} \right) \quad \left\{ \begin{matrix} n \\ k \end{matrix} \right\} \quad \left| \begin{matrix} n \\ k \end{matrix} \right|$$



## Weak composition of $n$ with $m$ terms

$$2 = 2 + 0 + 0$$

$$= 1 + 1 + 0$$

$$= 1 + 0 + 1$$

$$= 0 + 2 + 0$$

$$= 0 + 1 + 1$$

$$= 0 + 0 + 2$$

$$n = x_1 + x_2 + \dots + x_m \quad (x_i \geq 0)$$

$$\binom{n+m-1}{m-1} = \binom{n+m-1}{n}$$



$$7 = 4 + 0 + 1 + 2 + 0 \quad (\text{Stars and Bars})$$

Placing  $m - 1$  bars into  $n + (m - 1)$  slots.

## Composition of $n$ with $m$ terms

$$n = x_1 + x_2 + \dots + x_m \quad (x_i > 0)$$



$$7 = 4 + 1 + 2 \quad (\text{Stars and Bars})$$

Placing  $m - 1$  bars into  $n - 1$  slots.

$$\binom{n-1}{m-1}$$

## Theorem

The # of *weak composition* of  $n$  with  $m$  terms is

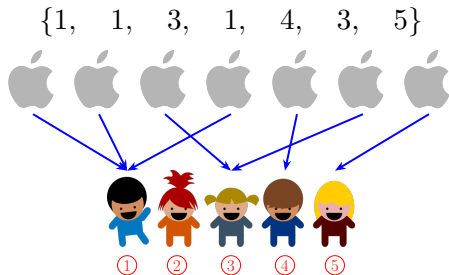
$$\binom{n+m-1}{m-1} = \binom{n+m-1}{n}$$

## Theorem (CS Theorem 1.8)

The # of  $n$ -element *multisets* chosen from an  $m$ -element set is

$$\binom{n+m-1}{m-1} = \binom{n+m-1}{n}$$

$n = 7$     $m = 5$    (Apples and Children)



$$7 = 3 + 0 + 2 + 1 + 1$$

$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$  : # of partitions of set  $N$  into  $k$  parts

$$\begin{aligned}\{1, 2, 3\} &= \{1, 2, 3\} \\ &= \{1\} \cup \{2, 3\} \\ &= \{2\} \cup \{1, 3\} \\ &= \{3\} \cup \{1, 2\} \\ &= \{1\} \cup \{2\} \cup \{3\}\end{aligned}$$

Stirling number of the second kind

## Set Partition (CS : 1.5 – 12)

$\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$  : # of partitions of set  $N$  into  $k$  parts

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = \underbrace{\left\{ \begin{matrix} n-1 \\ k-1 \end{matrix} \right\}}_{n \text{ is alone}} + \underbrace{k \left\{ \begin{matrix} n-1 \\ k \end{matrix} \right\}}_{n \text{ is not alone}} \quad (n > 0, k > 0)$$

Bell number:  $B_n = \sum_{k=1}^{k=n} \left\{ \begin{matrix} n \\ k \end{matrix} \right\}$

$\left| \begin{smallmatrix} n \\ k \end{smallmatrix} \right|$  : # of partitions of  $n$  into  $k$  parts

$$5 = 5$$

$$= 41$$

$$= 32 = 311$$

$$= 221 = 21111$$

$$= 11111$$

$$x_1 + x_2 + \cdots + x_k = n$$

$$x_1 \geq x_2 \geq \cdots \geq x_k \geq 1$$

$$x_1 + x_2 + \cdots + x_k = n$$

$$x_1 \geq x_2 \geq \cdots \geq x_k \geq 1$$

Theorem (Recurrence for  $\left| \begin{smallmatrix} n \\ k \end{smallmatrix} \right|$ )

$$\left| \begin{smallmatrix} n \\ k \end{smallmatrix} \right| = \left| \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right| + \left| \begin{smallmatrix} n-k \\ k \end{smallmatrix} \right|$$

CASE II :  $x_k > 1$

CASE I :  $x_k = 1$

$$x_1 - 1 \geq x_2 - 1 \geq \cdots \geq x_k - 1 \geq 1$$

$$\left| \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right|$$

$$\left| \begin{smallmatrix} n-k \\ k \end{smallmatrix} \right|$$

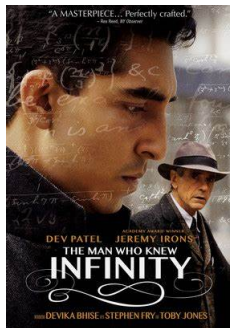
## Theorem (G. H. Hardy, Ramanujan (1918))

$$p(n) \triangleq \sum_{x=1}^{x=n} \left| \frac{n}{k} \right| \sim \frac{1}{4\sqrt{3}n} \exp \left( \pi \sqrt{\frac{2n}{3}} \right)$$

$$p(200) \sim 4, 100, 251, 432, 188$$

$$p(200) = 3, 972, 999, 029, 388$$

3.203%





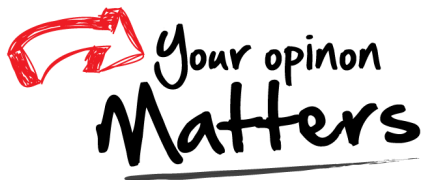
| $f$ class               | Any $f$  | Injective $f$                                       | Surjective $f$  |
|-------------------------|--|---|---|
| $f$                     | $n$ -tuples<br>of $m$ items<br>$m^n$   | $n$ -permutations<br>of $m$ items<br>$m^n$          | ???   |
| $f \circ S_N$           | weak compositions of $n$<br>into $m$ parts<br>$\binom{n}{m} = \binom{n+m-1}{m-1} = \binom{n+m-1}{n}$ | $n$ -combinations<br>of $m$ items<br>$\binom{m}{n}$ | compositions of $n$<br>into $m$ parts<br>$\binom{n-1}{m-1}$ |
| $S_M \circ f$           | partitions of $N$<br>into $\leq m$ parts<br>$\sum_{k=1}^m \{n_k\}$                                   | $n$ pigeons<br>into $m$ holes<br>$[n \leq m]$       | partitions of $N$<br>into $m$ parts<br>$\{n_m\}$            |
| $S_M \circ f \circ S_N$ | partitions of $n$<br>into $\leq m$ parts<br>$\sum_{k=1}^m  n_k $                                     | $n$ pigeons<br>into $m$ holes<br>$[n \leq m]$       | partitions of $n$<br>into $m$ parts<br>$ n_m $              |

Table: The Twelfold Way (Functions).

THE TWELVEFOLD WAY

| <i>balls per urn</i>                       | unrestricted   | $\leq 1$                           | $\geq 1$  |
|--|--|------------------------------------|---|
| $n$ labeled balls,<br>$m$ labeled urns     | $n$ -tuples<br>of $m$ things                           | $n$ -permutations<br>of $m$ things | partitions of $\{1, \dots, n\}$<br>into $m$ ordered parts |
| $n$ unlabeled balls,<br>$m$ labeled urns   | $n$ -multicombinations<br>of $m$ things                | $n$ -combinations<br>of $m$ things | compositions of $n$<br>into $m$ parts                     |
| $n$ labeled balls,<br>$m$ unlabeled urns   | partitions of $\{1, \dots, n\}$<br>into $\leq m$ parts | $n$ pigeons<br>into $m$ holes      | partitions of $\{1, \dots, n\}$<br>into $m$ parts         |
| $n$ unlabeled balls,<br>$m$ unlabeled urns | partitions of $n$<br>into $\leq m$ parts               | $n$ pigeons<br>into $m$ holes      | partitions of $n$<br>into $m$ parts                       |

Thank  
You!



Office 302

Mailbox: H016

hfwei@nju.edu.cn