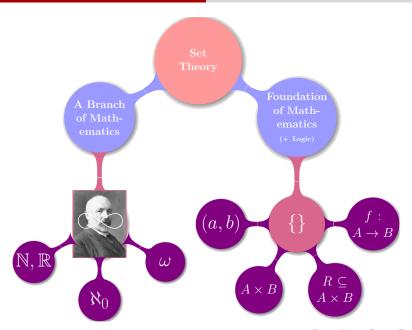
1-11 Set Theory (IV): Infinity

魏恒峰

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2019年12月17日







Georg Cantor (1845 – 1918)



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Leopold Kronecker (1823 - 1891)



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Henri Poincaré (1854 – 1912)



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Hengfeng Wei (hfwei@nju.edu.cn)



Henri Poincaré (1854 - 1912)



Ludwig Wittgenstein



Georg Cantor (1845 – 1918)



David Hilbert (1862 – 1943)



Leopold Kronecker (1823 - 1891)



Henri Poincaré (1854 - 1912)



Ludwig Wittgenstein (1889 - 1951)

From his paradise that Cantor with us unfolded, we hold our breath in awe; knowing, we shall not be expelled.

— David Hilbert

"没有人能把我们从 Cantor 创造的乐园中驱逐出去"

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"das wesen der mathematik liegt in ihrer freiheit"



"das wesen der mathematik liegt in ihrer freiheit"

"The essence of mathematics lies in its freedom"

Before Cantor











公理: "整体大于部分"



Galilei (1564 – 1642)



"关于两门新科学的对话" (1638)





Galileo Galilei (1564 – 1642)

"关于两门新科学的对话" (1638)

"用我们有限的心智来讨论无限…"

$$S_1 = \{1, 2, 3, \dots, n, \dots\}$$

 $S_2 = \{1, 4, 9, \dots, n^2, \dots\}$

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"部分等于全体"

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说到底,"等于"、"大于"和"小于"诸性质不能用于无限,而只能用于有限的数量。 — Galileo Galilei

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无穷数是不可能的。

— Gottfried Wilhelm Leibniz

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这些证明一开始就期望那些数要具有有穷数的一切性质,或者甚至于把有穷数的性质强加于无穷。

相反,这些无穷数,如果它们能够以任何形式被理解的话,倒 是由于它们与有穷数的对应,<mark>它们必须具有完全新的数量特征</mark>。

这些性质完全依赖于事物的本性, ··· 而并非来自我们的主观任意性或我们的偏见。

— Georg Cantor (1885)

Definition (Dedekind-infinite & Dedekind-finite (Dedekind, 1888))

A set A is $\underbrace{Dedekind\text{-}infinite}$ if there is a bijective function from A onto some proper subset B of A.

A set is *Dedekind-finite* if it is not Dedekind-infinite.

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This is a theorem in our theory of infinity.



We have not defined "finite" and "infinite"!

Comparing Sets

Comparing Sets





Comparing Sets





Function



Definition ($|A| = |B| (A \approx B) (1878)$)

A and B are equipotent if there exists a bijection from A to B.

Definition (
$$|A| = |B| (A \approx B) (1878)$$
)

 $\overline{\overline{A}}$ (two abstractions)

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Abstract from elements: $\{1, 2, 3\}$ vs. $\{a, b, c\}$

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Abstract from elements: $\{1, 2, 3\}$ vs. $\{a, b, c\}$

Abstract from order: $\{1,2,3,\cdots\}$ vs. $\{1,3,5,\cdots,2,4,6,\cdots\}$

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Q: Is " \approx " an equivalence relation?

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Theorem (

For any sets A, B, C:

- (a) $A \approx B$
- (b) $A \approx B \implies B \approx A$
- (c) $A \approx B \land B \approx C \implies A \approx C$

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Theorem (The "Equivalence Concept" of Equipotent)

For any sets A, B, C:

- (a) $A \approx B$
- (b) $A \approx B \implies B \approx A$
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Definition (Finite)

X is finite if

$$\exists n \in \mathbb{N} : |X| = \frac{n}{n}.$$

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Theorem (UD Theorem 22.6)

Let A be a finite set. There is a unique $n \in \mathbb{N}$ such that $A \approx \{0, 1, \dots, n-1\}.$

X is infinite if it is not finite:

$$\forall n \in \mathbb{N} : |X| \neq n.$$

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Theorem (UD Theorem 22.3)

 \mathbb{N} is infinite. (So are \mathbb{Z} , \mathbb{Q} , \mathbb{R} .)

$By\ Contradiction.$

$$\exists n \in \mathbb{N} : |\mathbb{N}| = n.$$

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For any set X,

Countably Infinite

Uncountable

$$|X| = |\mathbb{N}| \triangleq \aleph_0$$

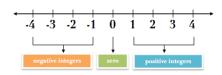
(finite
$$\lor$$
 countably infinite)

$$(\neg \text{ countable})$$

(infinite)
$$\land$$
 (\neg (countably infinite))



$$|\mathbb{Z}| = |\mathbb{N}|$$



$$|\mathbb{Z}| = |\mathbb{N}|$$



$$0 \quad 1 \quad -1 \quad 2 \quad -2 \quad \cdots$$

Theorem (\mathbb{Q} is Countable. (Cantor 1873-11; Published in 1874))

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 (UD Problem 23.12)

$$q \in \mathbb{Q}^+ : a/b \ (a, b \in \mathbb{N}^+)$$

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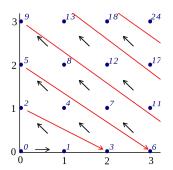
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 $|\mathbb{Q}| = |\mathbb{N}|$ (UD Problem 23.12)

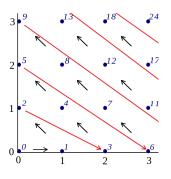
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$$|\mathbb{N}\times\mathbb{N}|=|\mathbb{N}|$$

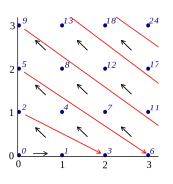


$$|\mathbb{N} \times \mathbb{N}| = |\mathbb{N}|$$



 $\pi: \mathbb{N} \times \mathbb{N} \to \mathbb{N}$

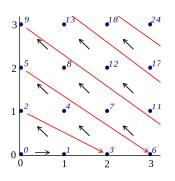
$$|\mathbb{N} \times \mathbb{N}| = |\mathbb{N}|$$



$$\pi: \mathbb{N} \times \mathbb{N} \to \mathbb{N}$$

$$\pi(k_1,k_2) = \frac{1}{2}(k_1 + k_2)(k_1 + k_2 + 1) + k_2$$

$$|\mathbb{N} \times \mathbb{N}| = |\mathbb{N}|$$



$$\pi: \mathbb{N} \times \mathbb{N} \to \mathbb{N}$$

$$\pi(k_1, k_2) = \frac{1}{2}(k_1 + k_2)(k_1 + k_2 + 1) + k_2$$

Cantor Pairing Function



$$|\mathbb{N}^n|=|\mathbb{N}|$$

$$|\mathbb{N}^n| = |\mathbb{N}|$$

Theorem

The Cartesian product of finitely many countable sets is countable.

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 \mathbb{N}^n vs. $\mathbb{N}^{\mathbb{N}}$

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$$\mathbb{N}^n$$
 vs. $\mathbb{N}^{\mathbb{N}}$

$$\pi^{(n)}: \mathbb{N}^n \to \mathbb{N}$$

$$|\mathbb{N}^n| = |\mathbb{N}|$$

Theorem

The Cartesian product of finitely many countable sets is countable.

$$\mathbb{N}^n$$
 vs. $\mathbb{N}^{\mathbb{N}}$

$$\pi^{(n)}: \mathbb{N}^n \to \mathbb{N}$$

$$\pi^{(n)}(k_1,\ldots,k_{n-1},k_n)=\pi(\pi^{(n-1)}(k_1,\ldots,k_{n-1}),k_n)$$



Any finite union of countable sets is countable.

Any finite union of countable sets is countable.

$$A = \{a_n \mid n \in \mathbb{N}\} \quad B = \{b_n \mid n \in \mathbb{N}\} \quad C = \{c_n \mid n \in \mathbb{N}\}$$

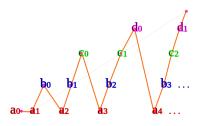
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$$a_0 \quad b_0 \quad c_0 \quad a_1 \quad b_1 \quad c_1 \cdots$$

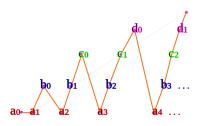
The union of countably many countable sets is countable.

The union of countably many countable sets is countable.



Counting by Diagonals.

The union of countably many countable sets is countable.



Counting by Diagonals.

We need Axiom of (Countable) Choice!

Beyond



 $|\mathbb{R}| \neq |\mathbb{N}|$

 $|\mathbb{R}| \neq |\mathbb{N}|$



 $|\mathbb{R}| \neq |\mathbb{N}|$



Different "Sizes" of Infinity

$|\mathbb{R}| \neq |\mathbb{N}|$



Different "Sizes" of Infinity

Cantor's Diagonal Argument (1890)

 $|\mathbb{R}| \neq |\mathbb{N}|$

 $|\mathbb{R}| \neq |\mathbb{N}|$

By Contradiction.

$$|\mathbb{R}| \neq |\mathbb{N}|$$

By Contradiction.

$$f: \mathbb{R} \xrightarrow[onto]{1-1} \mathbb{N}$$

$$|\mathbb{R}| \neq |\mathbb{N}|$$

By Contradiction.

$$f: \mathbb{R} \overset{1-1}{\longleftrightarrow} \mathbb{N}$$

$$3.14159...$$

$$1.41421...$$

$$1.73205...$$

$$2.23606...$$

$$2.71828...$$

$$0.14285...$$

$$1$$

$$3.43625...$$

$$1$$

$$2.32514...$$

$$|\mathbb{R}| \neq |\mathbb{N}|$$

By Contradiction.

By Diagonal Argument.



$$|(0,1)| = |\mathbb{R}| = |\mathbb{R} \times \mathbb{R}| = |\mathbb{R}^{n \in \mathbb{N}}|$$

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$$f(x) = \tan \frac{(2x-1)\pi}{2}$$
$$|(0,1)| = |(-\frac{\pi}{2}, \frac{\pi}{2})| = |\mathbb{R}|$$

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$$(x = 0.a_1a_2a_3\cdots, y = 0.b_1b_2b_3\cdots)$$

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$$(x = 0.a_1a_2a_3\cdots, y = 0.b_1b_2b_3\cdots) \mapsto 0.a_1b_1a_2b_2a_3b_3\cdots$$



$$|(0,1)| = |\mathbb{R}| = |\mathbb{R} \times \mathbb{R}| = |\mathbb{R}^n|$$

"Je le vois, mais je ne le crois pas!"

"I see it, but I don't believe it !"

— Cantor's letter to Dedekind (1877).

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Q: Then, what is "dimension"?

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Q: Then, what is "dimension"?

Theorem (Brouwer (Topological Invariance of Dimension))

There is no continuous bijections between \mathbb{R}^m and \mathbb{R}^n for $m \neq n$.

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Beyond



Theorem (Cantor's Theorem (1891))

 $|A| \neq |\mathcal{P}(A)|$

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Theorem (Cantor Theorem (ES Theorem 24.4))

If $f: A \to \mathcal{P}(A)$, then f is not onto.

Proof. Let A be a set and let $f: A \to 2^A$. To show that f is not onto, we must find a $B \in 2^A$ (i.e., $B \subseteq A$) for which there is no $a \in A$ with f(a) = B. In other words, B is a set that f "misses." To this end, let

$$B = \{x \in A : x \notin f(x)\}.$$

We claim there is no $a \in A$ with f(a) = B.

Suppose, for the sake of contradiction, there is an $a \in A$ such that f(a) = B. We ponder: Is $a \in B$?

- If a ∈ B, then, since B = f(a), we have a ∈ f(a). So, by definition of B, a ∉ f(a); that is, a ∉ B.⇒ ←
- If $a \notin B = f(a)$, then, by definition of $B, a \in B. \Rightarrow \Leftarrow$

Both $a \in B$ and $a \notin B$ lead to contradictions, and hence our supposition [there is an $a \in A$ with f(a) = B] is false, and therefore f is not onto.

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If $f: A \to \mathcal{P}(A)$, then f is not onto.

Understanding this problem:

$$A=\{1,2,3\}$$

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$$\mathcal{P}(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}\$$

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Understanding this problem:

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Onto

$$\forall B \in 2^A : \left(\exists a \in A : f(a) = B\right)$$

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Understanding this problem:

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Onto

$$\forall B \in 2^A : \left(\exists a \in A : f(a) = B\right)$$

Not Onto

$$\exists B \in 2^A : (\forall a \in A : f(a) \neq B)$$



$$\exists B \in \mathcal{P}(A) : (\forall a \in A : f(a) \neq B)$$

If $f: A \to \mathcal{P}(A)$, then f is not onto.

$$\exists B \in \mathcal{P}(A) : (\forall a \in A : f(a) \neq B)$$

ightharpoonup Constructive proof (\exists):

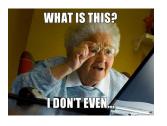
$$B = \{ a \in A \mid a \notin f(a) \}$$

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$$\exists B \in \mathcal{P}(A) : (\forall a \in A : f(a) \neq B)$$

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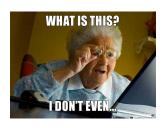
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▶ By contradiction (\forall) :

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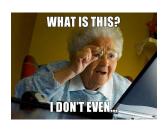
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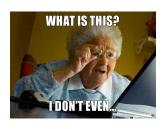
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 $Q: a \in B$?

 $a \in B \iff a \notin B$

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Diagonal Argument .

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Diagonal Argument .

a	f(a)					
	1	2	3	4	5	
1	1	1	0	0	1	
2	0	0	0	0	0	• • •
3	1	0	0	1	0	
4	1	1	1	1	1	
5	0	1	0	1	0	
	:	:	:	:	:	

If $f: A \to \mathcal{P}(A)$, then f is not onto.

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:	:	:	:	:	:	

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1	1	1	0	0	1	
2	0	0	0	0	0	
3	1	0	0	1	0	• • •
4	1	1	1	1	1	
5	0	1	0	1	0	• • •
:	:	:	:	:	:	

$$B = \{0, 1, 1, 0, 1\}$$



If $f: A \to \mathcal{P}(A)$, then f is not onto.

Diagonal Argument (以下仅适用于可数集合 A).

a	f(a)					
	1	2	3	4	5	• • •
1	1	1	0	0	1	
2	0	0	0	0	0	
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There is no largest infinity.



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$$|B| \le |A|$$
 (Axiom of Choice)

Definition (|A| < |B|)

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$$|\mathbb{N}|<|\mathbb{R}|$$

$$|X| < |2^X|$$

$$|\mathbb{N}| < |2^{\mathbb{N}}|$$

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Every subset B of a countable set A is countable.

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Slope (UD Problem 23.3(a))

(a) The set of all lines with rational slopes

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$$(\mathbb{Q}, \mathbb{R})$$

$$|\mathbb{R}| \le |\mathbb{Q} \times \mathbb{R}| \le |\mathbb{R} \times \mathbb{R}| = |\mathbb{R}|$$

Theorem (Cantor-Schröder-Bernstein (1887))

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Schröder-Bernstein theorem @ wiki

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 $Q: \mathit{Is} \ ``\leq" \ a \ \mathit{total} \ \mathit{order}?$

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Theorem (PCC)

 $Principle \ of \ Cardinal \ Comparability \ (PCC) \iff Axiom \ of \ Choice$

$$|\mathbb{R}|=|\mathcal{P}(\mathbb{N})|$$

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$$\mathfrak{c}=2^{\aleph_0}$$

Continuum Hypothesis (CH)

$$\exists A: \aleph_0 < |A| < \mathfrak{c}$$





Dangerous Knowledge (22:20; BBC 2007)





Dangerous Knowledge (22:20; BBC 2007)

Independence from ZFC:

Kurt Gödel (1940) CH cannot be disproved from ZF.

Paul Cohen (1964) CH cannot be proven from the ZFC axioms.

Thank You!



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