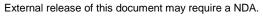


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### 1 FEATURES AND CONFIGURATION

The MatrixCMS implementation supports the creation and parsing of three CMS data types:

- Signed-Data Content Type (RFC 5652)
- Authenticated-Enveloped-Data Content Type (RFC 5083)
- Compressed-Data Type (RFC 3274)

The Signed-Data (SD) and Authenticated-Enveloped-Data (AED) types use Elliptic Curve public key operations for the key agreement and digital signature operations.

The Signed-Data and Authenticated-Enveloped-Data types support SHA-2 digest algorithms SHA256, SHA384, and SHA512.

The Authenticated -Enveloped-Data type supports AES\_GCM and AES\_CBC\_CMAC encryption-with-authentication algorithms.

The Compressed-Data (CD) type does not compress or decompress the data but does assume zlib as the compression algorithm.

Each data type can be parsed or created in a single-pass atomic mode or an Init/Update/Final streaming mode.

The following compile time settings can be found in the utilities/cms/matrixCmsConfig.h file.

USE_MCMS_STREAMING_SD_CREATE	Enable the SD streaming creation APIs
USE_MCMS_ATOMIC_SD_CREATE	Enable the SD atomic creation APIs
USE_MCMS_STREAMING_SD_PARSE	Enable the SD streaming parsing APIs
USE_MCMS_ATOMIC_SD_PARSE	Enable the SD atomic parsing APIs
USE_MCMS_STREAMING_AED_CREATE	Enable the AED streaming creation APIs
USE_MCMS_ATOMIC_AED_CREATE	Enable the AED atomic creation APIs
USE_MCMS_STREAMING_AED_PARSE	Enable the AED streaming parsing APIs
USE_MCMS_ATOMIC_AED_PARSE	Enable the AED atomic parsing APIs
MCMS_EMPTY_AED_AUTH_ATTRIBS	Empty authenticated attributes must be enabled if stream parsing AED with AES_GCM. This is because the authenticated data must be available to initialize the cipher but it appears after the data in the ASN.1.
MCMS_EMPTY_CBC_CMAC_PARAMS	This is a customer specific setting to exclude the parameters from the AES_CBC_CMAC ASN.1 encodings. Defining this option will imply an empty value for the Initialization Vector for the AES_CBC_CMAC algorithm as the requirement calls for.
USE_MCMS_STREAMING_CD_CREATE	Enable the CD streaming creation APIs



USE_MCMS_ATOMIC_CD_CREATE	Enable the CD atomic creation APIs
USE_MCMS_STREAMING_CD_PARSE	Enable the CD streaming parsing APIs
USE_MCMS_ATOMIC_CD_PARSE	Enable the CD atomic parsing APIs

# 1.1 Building the CMS Library

Matrix packages that include CMS functionality can be identified by the presence of the ./utilities/cms directory. There is a *Makefile* at that directory level that will generate a *libmatrixcms.a* static library.

In order to achieve a successful compile, the required crypto algorithms must be enabled in ./crypto/cryptoConfig.h. The set of algorithms that are disabled by default and must be enabled:

USE\_AES\_CMAC
USE\_AES\_WRAP
USE AES GCM

### **AESNI Incompatibility**

The Intel hardware accelerated AES algorithm, AESNI, does not function correctly with CMS in streaming modes. Additionally, AESNI does not support AES with 192 bit key sizes. You must disable AESNI if working with CMS in streaming mode or if using AES192.

# 1.2 Running cmsTest

A comprehensive CMS test application is included in the ./utilties/cms/test directory and depends on the libmatrixcms.a library have been built.

Once compiled, invoke ./cmsTest to run.

**NOTE**: If running in streaming parse mode, crypto trace will not be a suitable run-time setting due to the ASN.1 "parse errors" that will occur while testing with the partial data.



### 2 SIGNED-DATA CONTENT TYPE API

The Signed-Data Content Type is defined in RFC 5652. It defines a standard ASN.1 encapsulation mechanism to transport a digital signature. A digital signature is a private key encryption of a digest hash of some given data. This data type allows authentication of arbitrary data.

Currently, the MatrixCMS library supports ECDSA SHA-2 algorithms for creating and validating signatures.

### 2.1 Signed Data Creation

There are two available mechanisms to create a Signed-Data type. The first is the atomic version in which the data contents are given in a single parameter to the matrixCmsCreateSignedData function.

The second mechanism is a streaming version that uses an Init/Update/Final API. The APIs for this method are matrixCmsInitCreateSignedData, matrixCmsUpdateCreateSignedData, and matrixCmsFinalCreateSignedData. Each of these three APIs will return a portion of the full Signed-Data Content Type to the caller who can append them in a single file (or memory buffer) or send them to the receiving entity as they are created.

The signers X.509 certificate is always included in the Signed-Data Type in this current implementation.

### 2.1.1 Detached vs. Attached signed content

In some use-cases the plaintext data that is being signed is not included in the Signed-Data Content Type itself. This is called a **detached** mode of operation and is the default for atomic SD creation. In this mode, it is assumed the data will be exchanged via some other mechanism. To include the plaintext data in the SD for atomic creations, include the MCMS FLAGS SD NODETACH define in the flags parameter.

If running in detached mode it is not necessary to pass in the entire data contents. The data may be prehashed and passed as the contents with the flag MCMS FLAGS SD CONTENT PREHASHED.

Detached mode is not available to the stream creation APIs. This is because there should be no reason to stream-generate a SD if there is not a large quantity of data to include in the data type.



# 2.2 matrixCmsCreateSignedData

Parameter	Input/Output	Description
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused
content	input	The data that will be signed OR the pre-hashed data
contentLen	input	The byte length of data
contentType	input	The OID type of data that is being signed. Must be CMS_PKCS7_DATA, CMS_PKCS7_SIGNED_DATA, CMS_PKCS9_AUTH_ENVELOPED_DATA, or CMS_PKCS9_COMPRESSED_DATA
cert	input	The certificate of the signing entity
key	input	The private key of the signing entity
hashId	input	The digest algorithm for the desired signature
outputBuf	output	The DER encoded Signed-Data Type
outputLen	output	The byte length of outputBuf
flags	input	Creation control flags. See the discussion below

Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
< 0	Failure.
PS_UNSUPPORTED_FAIL	Failure. An unsupported algorithm was encountered
PS_SUCCESS	Success.

This is the atomic Signed-Data Content Type creation function.

The psx509Cert\_t \*cert parameter will have been obtained using psx509ParseCertFile or psx509ParseCert. The certificate parse function MUST be called with a flags value of CERT\_STORE\_UNPARSED\_BUFFER | CERT\_STORE\_DN\_BUFFER to keep the needed encoded portions of the certificate available to CMS. For information on encoding a certificate chain instead of a single certificate, see Creation Control Flags below.

The content and contentLen will identify the data to be signed. The contentType should identify the data that is being signed and this OID value will be written as the eContentType member of the encapContentInfo encoding. If the data being signed is a generic blob use the CMS\_PKCS7\_DATA identifier. Otherwise, choose the CMS\_PKCS7\_SIGNED\_DATA, CMS\_PKCS9\_AUTH\_ENVELOPED\_DATA, or CMS\_PKCS9\_COMPRESSED\_DATA if the signed data is itself a CMS data type. The See the Creation Control Flags section below for more information regarding detached and pre-hashed content.

The psPubKey\_t \*key parameter is the signing private key associated with the certificate and will have been obtained using psEcdsaParsePrivKey.

The hashid parameter shall be one of MCMS SHA256 ALG, MCMS SHA384 ALG, OR MCMS SHA512 ALG.

The  ${\tt outputBuf}$  data must be freed using  ${\tt psFree}$  when no longer needed.

#### **Creation Control Flags**

The flags parameter controls the options on whether the plaintext signed data will be attached, how the signer's certificate is identified within the Signed-Data, and whether the ContentInfo header will be written to the SignedData encoding.

The first configuration option is to determine whether the plaintext data will be attached. By default, it will not be attached. When the data is not attached it is not necessary to pass in the entire contents of the



data. The caller may choose to only pass in the hash digest of the data in this case. If the <code>content</code> parameter is the pre-hashed digest value the value <code>MCMS\_FLAGS\_SD\_CONTENT\_PREHASHED</code> must be included in the flags parameter.

To attach the full plaintext data, include the <code>MCMS\_FLAGS\_SD\_NODETACH</code> value in flags.

To encode a certificate chain instead of a single certificate, include the MCMS\_FLAGS\_SD\_CERT\_CHAIN value in flags. In addition, the cert parameter must point to the child-most certificate, with the next member of each certificate pointing to its issuer certificate. It is possible to automatically setup the links properly by concatenating the chain certificates into a PEM file in child-to-parent order and parsing the file with psx509ParseCertFile.

The second configuration option is to determine how the signer's certificate will be identified in the SD. The options are between using the X.509 issuer Distinguished Name and Serial Number or the X.509 Subject Key Identifier extension. The default is IssuerAndSerialNumber and there is no flags value to identify this choice. Supplying the value MCMS\_FLAGS\_SD\_SUBJECT\_KEY\_ID to the flags will create the SD with the SubjectKeyIdentifier instead.

The final configuration option is to determine whether the outer ContentInfo ASN.1 header is written to the output. If the ContentInfo should be excluded, add the MCMS FLAGS NO CONTENT INFO flag.

The table below shows some viable combinations of flags for creating Signed-Data types.

Flag combinations	Meaning
MCMS_FLAGS_SD_NODETACH	Full plaintext data passed to content and will be included in the Signed-Data type. IssuerAndSerialNumber will be used as the certificate identification as the SignerIdentifier.
MCMS_FLAGS_SD_CONTENT_PREHASHED	Detached mode. Pre-hashed data passed to content. IssuerAndSerialNumber will be used as the certificate identification as the SignerIdentifier.
MCMS_FLAGS_SD_NODETACH   MCMS_FLAGS_SD_SUBJECT_KEY_ID	Full plaintext data passed to content and will be included in the Signed-Data type. The SignerIdentifier will use the SubjectKeyId extension of the certificate for identification
0	Detached mode. Full plaintext data passed to content but it will not be included in the data. IssuerAndSerialNumber will be used as the certificate identification as the SignerIdentifier.
MCMS_FLAGS_SD_SUBJECT_KEY_ID	Detached mode. Full plaintext data passed to content but it will not be included in the data. The SignerIdentifier will use the SubjectKeyId extension of the certificate for identification
MCMS_FLAGS_SD_CONTENT_PREHASHED   MCMS_FLAGS_SD_SUBJECT_KEY_ID	Detached mode. Pre-hashed data passed to content. The SignerIdentifier will use the SubjectKeyId extension of the certificate for identification

## 2.3 matrixCmsInitCreateSignedData

Parameter	Input/Output	Description
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused
cert	input	The certificate of the signing entity
key	input	The private key of the signing entity
hashId	input	The digest algorithm for the desired signature
contentType	input	The OID type of data that is being signed. Must be CMS_PKCS7_DATA, CMS_PKCS7_SIGNED_DATA, CMS_PKCS9_AUTH_ENVELOPED_DATA, or CMS_PKCS9_COMPRESSED_DATA



outputBuf	output	The initial portion of a BER encoded Signed-Data Type
outputLen	output	The byte length of outputBuf
flags	input	See the discussion below
sdCtx	output	The context that will be passed to the Update/Final components

Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
< 0	Failure.
PS_UNSUPPORTED_FAIL	Failure. An unsupported algorithm was encountered
PS_SUCCESS	Success.

Begins the streaming creation of the Signed-Data Content Type. This mode can only be used when the full plaintext data will be attached to the SD. There is no detached option for stream creation because such a data type should be small enough to create with the atomic version.

On success, the <code>outputBuf</code> will contain the BER encoded Signed-Data type all the way to the constructed OCTET STRING of the EncapsulatedContentInfo. Each subsequent

matrixCmsUpdateCreateSignedData function calls will output a component OCTET STRING of the content data that is passed to it. The matrixCmsFinalCreateSignedData function call will complete the signature process and return the final BER encoding to complete the full data type.

The psx509Cert\_t \*cert parameter will have been obtained using psx509ParseCertFile or psx509ParseCert. The certificate parse function MUST be called with a flags value of CERT\_STORE\_UNPARSED\_BUFFER | CERT\_STORE\_DN\_BUFFER to keep the needed encoded portions of the certificate available to CMS.

The psPubKey\_t \*key parameter is the signing private key associated with the certificate and will have been obtained using psEcdsaParsePrivKey.

The hashid parameter shall be one of MCMS SHA256 ALG, MCMS SHA384 ALG, OR MCMS SHA512 ALG.

The contentType should identify the data that is being signed and this OID value will be written as the eContentType member of the encapContentInfo encoding. If the data being signed is a generic blob use the CMS\_PKCS7\_DATA identifier. Otherwise, choose the CMS\_PKCS7\_SIGNED\_DATA, CMS\_PKCS9\_AUTH\_ENVELOPED\_DATA, or CMS\_PKCS9\_COMPRESSED\_DATA if the signed data is itself a CMS data type.

The outputBuf data must be freed using psfree when no longer needed.

The  $\mathtt{sdCtx}$  context parameter must be freed at the conclusion of the streaming creation using  $\mathtt{matrixCmsFreeStreamCreatedSignedData}$ 

#### **Creation Control Flags**

This streaming mode can only be used if the content will be included in the Signed-Data structure. There should be no reason to require a streaming mode for detached content because the pre-hash of the data can be performed via the streaming mechanism of a SHA-2 Init/Update/Final API.

Therefore, the creation control flags are only used for certificate identification and whether the ContentInfo header is to be included when using this stream creation method. If the ContentInfo should be excluded, add the MCMS FLAGS NO CONTENT INFO flag.

Flag combinations	
0	IssuerAndSerialNumber will be used as the certificate identification as the SignerIdentifier.
MCMS_FLAGS_SD_SUBJECT_KEY_ID	The SignerIdentifier will use the SubjectKeyId extension of the certificate for identification



# 2.4 matrixCmsUpdateCreateSignedData

Parameter	Input/Output	Description
pool input Optional Matrix Deterministic memory pool for allocations. MUST be same pool as matrixCmsInitCreateSignedData. NULL if unused		
sdCtx	input	The context from a previous call to matrixCmsInitCreateSignedData
content	input	The next portion of the data that will be signed
contentLen	input	The byte length of content
outputBuf	output	The next portion of a BER encoded Signed-Data Type
outputLen	output	The byte length of outputBuf

Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
PS_SUCCESS	Success.

Continues the streaming creation of the Signed-Data Content Type.

On success, the output Buf will contain the BER encoded OCTET STRING of the content that should be appended to the output of a previous call to matrixCmsUpdateCreateSignedData (or matrixCmsInitCreateSignedData if this is the first portion). Each subsequent matrixCmsUpdateCreateSignedData function calls will output a component OCTET STRING of the content data. The matrixCmsFinalCreateSignedData function call will complete the signature process and return the final BER encoding to complete the full data type.

The outputBuf data must be freed using psFree when no longer needed.

The  ${\tt sdCtx}$  context parameter must be freed at the conclusion of the streaming creation using  ${\tt matrixCmsFreeStreamCreatedSignedData}$ 

# 2.5 matrixCmsFinalCreateSignedData

Parameter	Input/Output	Description	
pool	input	Optional Matrix Deterministic memory pool for allocations. MUST be same pool as matrixCmsInitCreateSignedData. NULL if unused	
sdCtx	input	The context from a previous call to matrixCmsInitCreateSignedData	
outputBuf	output	The final portion of a BER encoded Signed-Data Type	
outputLen	output	The byte length of outputBuf	



Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
PS_SUCCESS	Success.
< 0	Failure.

Finalizes the streaming creation of the Signed-Data Content Type.

On success, the outputBuf will contain the remainder of the BER encoded Signed-Data type.

The outputBuf data must be freed using psFree when no longer needed.

The sdctx context parameter must be freed at the conclusion of the streaming creation using matrixCmsFreeStreamCreatedSignedData

# 2.6 matrixFreeStreamCreatedSignedData

void matrixFreeStreamCreatedSignedData(cmsSdStream t \*sdCtx);

Parameter	Input/Output	Description
sdCtx	input	The context from a previous call to matrixCmsInitCreateSignedData

Frees the SD stream creation context.

# 2.7 Signed Data Parsing

There are two available mechanisms to parse a Signed-Data type. The first is the atomic version that uses the matrixCmsParseSignedData function to parse the data type in a single pass. After the parse is complete, the signature confirmation is performed with the matrixCmsConfirmSignature API. This process allows the user to examine the data fields after the parse phase to validate the signing certificate that is then used to confirm the signature.

The second mechanism is a stream parsing based flow in which an Init/Update/Final sequence is used to process the SD. The APIs for this method are matrixCmsInitParseSignedData, matrixCmsUpdateParseSignedData, and matrixCmsFinalParseSignedData. The final phase performs the signature confirmation.

# 2.8 matrixCmsParseSignedData

Parameter	Input/Output	Description
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused
sdBuf	input	ASN.1 formatted signed data to parse
sdBufLen	input	Byte length of sdBuf
signedData	output	Signed data structure
flags	input	Whether the incoming SignedData type includes the ContentInfo header. Set to MCMS_FLAGS_NO_CONTENT_INFO if absent. Set to 0 if the full CMS data type is being parsed.



Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
PS_PARSE_FAIL	Failure. SignedData ASN.1 parse failure
PS_UNSUPPORTED_FAIL	Failure. An unsupported algorithm was encountered
PS_SUCCESS	Success. The signedData can now be validated with matrixCmsConfirmSignature
MCMS_PARTIAL	Success. The ASN.1 stream is DER encoded and the passed in sdBufLen is not large enough based on the initial encoded size of the Content Type. The caller must retrieve the remainder of the data and call again. It is not possible to return this code with a BER encoded ASN.1 stream that uses indefinite-length encoding.

The atomic parse of a CMS Signed-data Content Type. The function returns the parsed information in a cmsSignedData t structure that will be passed as input to matrixCmsConfirmSignature.

The most important fields in the signedData structure will be cert, eContent, and eContentLen. The cert is the X.509 certificate whose private key was used to sign the data and should be validated by the user. The eContent and eContentLen will contain the data from the Encapsulated Content that has been signed. If the Signed-Data type was generated in detached mode, eContent will be NULL.

The caller should take this opportunity before calling matrixCmsConfirmSignature to locate the signing certificate in the cmsSignedData\_t structure and confirm a trusted Certificate Authority has issued it.

On success, signedData must be freed with matrixCmsFreeParsedSignedData when no longer needed.

# 2.9 matrixCmsConfirmSignature

Parameter	Input/Output	Description	
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused	
signedData	input	Populated signedData structure from a previous call to matrixCmsParseSignedData	
data	input	Optional. Plaintext data to confirm signature. Required if not attached in the signedData structure	
dataLen	input	Byte length of data	
validationCert	input	Optional. X.509 certificate to perform signature validation. Required if not provided in signedData structure	

Return Value	Description
PS_ARG_FAIL	Failure. Bad input parameters
MCMS_SIG_FAIL_CONTENT_MISMATCH	Failure. The provided data did not match what was attached in the signedData structure
MCMS_SIG_FAIL_NO_CONTENT	Failure. No data was provided and no content was found attached in the signedData structure
MCMS_SIG_FAIL_BAD_USER_CERT	Failure. The user provided validationCert did not match the certificate found in the signedData structure
MCMS_SIG_FAIL_NO_CERT	Failure. No validationCert was provided and no certificate was found embedded in the signedData structure
MCMS_SIG_FAIL_SIGNATURE_FAIL	Failure. The signature operation failed.
MCMS_SIG_FAIL_SIGNATURE_MISMATCH	Failure. The signature operation succeeded but the signedData digest comparison failed.



	Failure. The signature operation succeeded and the signedData hash digest matched but the raw digest of the content did not match the value in signedData
PS_SUCCESS	The signature was successfully authenticated

This function performs the signature validation of an SD that was parsed with matrixCmsParseSignedData.

If used, the psX509Cert\_t \*validation parameter will have been obtained using psX509ParseCertFile or psX509ParseCert.

signedData must be freed with matrixCmsFreeParsedSignedData when no longer needed.

# 2.10 matrixCmsInitParseSignedData

Parameter	Input/Output	Description
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused
sdBuf	input	The first portion of an SD type to decrypt.
sdBufLen	input	The byte length of sdBuf
sdCtx	output	On success, the context to use as input to the parse routines to follow
remainder	output	The remaining SD data from sdBuf that this Init function did not process. The next call to matrixCmsUpdateParseSignedData must begin with this remainder data
remainderLen	output	The byte length of any remainder
flags	input	Whether the incoming SignedData type includes the ContentInfo header. Set to MCMS_FLAGS_NO_CONTENT_INFO if absent. Set to 0 if the full CMS data type is being parsed.

Return Value	Description
PS_LIMIT_FAIL	Failure. The input buffer did not contain enough of the SD to complete the Init. The buffer must be appended with additional SD data and called again. The original sdBuf is NOT saved within this function and must be resubmitted along with the newly appended data.
PS_UNSUPPORTED_FAIL	Failure. An unsupported algorithm was encountered
PS_PARSE_FAIL	Failure. The SD type could not be parsed at the ASN.1 level
PS_MEM_FAIL	Failure. An internal memory allocation failed
PS_SUCCESS	Success. The initialization is complete and matrixCmsUpdateParseSignedData can now be called.

This is the first call to perform a stream parse of a Signed-Data type. This function requires that all the SD data up to the signed content itself be available in the sdBuf parameter. The function will return PS\_LIMIT\_FAIL if this requirement is not met and the user must append additional SD data and call again.

The sdctx output context will become input to the other streaming parse routines for this SD.

The remainder output parameter points to the sdBuf location where this function stopped processing. The remainder must be the start of the data that is passed to the first call to matrixCmsUpdateParseSignedData to continue the parse.



sdCtx must be freed with matrixCmsFreeParsedSignedData when the parse is complete.

# 2.11 matrixCmsUpdateParseSignedData

Parameter	Input/Output	Description	
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused	
sdCtx	input	The context from a previously successful call to matrixCmsInitParseSignedData	
sdBuf	input/output	The next portion of an SD type to process.	
sdBufLen	input	The byte length of sdBuf	
data	output	If the plaintext signed data is included in the SD, this parameter will hold that data	
dataLen	output	The byte length of the data output	
remainder	output	The remaining SD data from sdBuf that this Update function did not process. Only used with MCMS_PARTIAL return codes	
remainderLen	output	The byte length of any remainder	

Return Value	Description
PS_SUCCESS	Success. The end of the contents has been found. matrixCmsFinalSignedData can now be called.
MCMS_PARTIAL	Success. The update successfully completed but there is still more data expected. This function must be called again with more SD. The remainder parameter will indicate where the next SD data should begin
PS_LIMIT_FAIL	Failure. The input buffer did not contain enough data to complete the update. Append more SD data and call again.
PS_PARSE_FAIL	Failure. The SD type could not be parsed at the ASN.1 level

This is the continuation of the SD stream parse. This function will be called with SD data until PS\_SUCESS is returned. If the plaintext signed data is included in the SD it will be returned in the data and dataLen output parameters. The data parameter points into sdBuf so the caller should be aware of the sdBuf lifecycle if the data needs to be saved aside.

The MCMS\_PARTIAL return code will be returned while parsing the SD if more data is expected. In this case the caller should still test the data and dataLen parameters for data that was successfully parsed. Additionally, the caller must use the remainder and remainderLen parameters as the start of the next sdBuf that is passed to this function.

The PS\_LIMIT\_FAIL return code can occur while parsing the SD ASN.1 that follows the plaintext content. If this return code is hit, the caller must append additional SD to the sdBuf and call again. The remainder and data parameters will not be used in this return code case.

sdCtx must be freed with matrixCmsFreeParsedSignedData when the parse is complete.



# 2.12 matrixCmsFinalParseSignedData

Parameter	Input/Output	Description
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused
sdCtx	input	The context from a previously successful call to matrixCmsInitParseSignedData
hash	input	The SHA2 hash of the plaintext data whose signature is being authenticated
hashLen	input	The byte length of hash. Must be SHA256_HASH_SIZE, SHA384_HASH_SIZE, or SHA512_HASH_SIZE
validationCert	input	Optional signer certificate.

Return Value	Description
PS_ARG_FAIL	Failure. Bad input parameters
MCMS_SIG_FAIL_BAD_USER_CERT	Failure. The user provided validationCert did not match the certificate found in the sdCtx structure
MCMS_SIG_FAIL_NO_CERT	Failure. No validationCert was provided and no certificate was found embedded in the signedData structure
MCMS_SIG_FAIL_SIGNATURE_FAIL	Failure. The signature operation failed.
MCMS_SIG_FAIL_SIGNATURE_MISMATCH	Failure. The signature operation succeeded but the $\mathtt{sdCtx}$ digest comparison failed.
MCMS_SIG_FAIL_CONTENT_HASH_MISMATCH	Failure. The signature operation succeeded and the $sdCtx$ hash digest matched but the raw digest of the content provided by the user did not match the value in $sdCtx$
PS_SUCCESS	The signature was successfully authenticated

This is the final step of a SD stream parse and performs the signature authentication.

The caller should look in the <code>cmsSignedData\_t</code> structure after <code>PS\_SUCCESS</code> has been returned from <code>matrixCmsUpdateParseSignedData</code> to determine the input parameters to this function. The <code>hash</code> and <code>hashLen</code> parameters are the user-calculated values of the plaintext data that is being authenticated.

To determine which hash algorithm was used in the creation of the SD the user can examine the <code>digestId</code> member of the <code>sdCtx</code> structure. Possible supported values are <code>OID\_SHA256\_ALG</code>, <code>OID\_SHA384\_ALG</code>, and <code>OID\_SHA512\_ALG</code> to identify the correct SHA-2 algorithm. If needed, the Matrix SHA-2 functions may be used to calculate the hash value. For example, <code>psSha256Init</code>, <code>psSha256Update</code>, and <code>psSha256Final</code> are the routines for <code>OID\_SHA256\_ALG</code> identities.

A validationCert will be required in some cases to provide the public key portion for the signature validation. In MatrixCMS-created SD types, the signer certificate will be embedded in the data type but users may be working with a third party SD type or may simply wish to confirm the certificate by looking at the certificate identification within the sdctx. Certificates may be identified in one of two ways: IssuerAndSerialNumber or SubjectKeyldentifier. The choice is found in the version member of the cmsSignerId t \*signerId pointer which itself is referenced through the cmsSignerInfos \*signers



member of the sdctx. So in C, sdctx->signers->signerId ->version will get the user to the certificate identification option. A version value of 1 is IssuerAndSerialNumber. A version value of 3 is SubjectKeyIdentifier.

If the version is 1 the signers issuer distinguished name will be found in the dn member in the same  $cmsSignerId_t *signerId_t *signer$ 

If the version is 3 the subject key identifier extension of the signer will be found in the sn member and will have a length of snLen.

sdCtx must be freed with matrixCmsFreeParsedSignedData when the parse is complete.

# 2.13 matrixCmsFreeParsedSignedData

void matrixCmsFreeParsedSignedData(cmsSignedData t \*signedData);

Parameter	Input/Output	Description
signedData	input	The context from a previous call to matrixCmsParseSignedData or
		matrixCmsInitParseSignedData

Frees the SD parsing data structure.



### 3 AUTHENTICATED-ENVELOPED-DATA CONTENT TYPE API

The Authenticated-Enveloped-Data (AED) Content Type is defined in RFC 5083. It defines a standard ASN.1 format for transporting arbitrary content that is both authenticated and encrypted.

MatrixCMS currently supports the "Key Agreement" technique for deriving AES keys that are used to encrypt the data. ECDH is the supported public key algorithm for key agreement.

MatrixCMS currently supports AES\_GCM and AES\_CBC\_CMAC as the authenticated encryption modes.

### 3.1 AED Creation

There are two available mechanisms to create an Authenticated-Enveloped-Data type.

The first is the atomic version in which the entire data contents are given in a single parameter to the matrixCmsCreateAuthEnvData function.

The second is a streaming version that uses an Init/Update/Final flow to create the data type. The APIs for this method are matrixCmsInitCreateAuthEnvData, matrixCmsUpdateCreateAuthEnvData, and matrixCmsFinalCreateAuthEnvData. Each of these streaming APIs will return a portion of the full Authenticated-Enveloped-Data Content Type to the caller who can append them in a single file (or memory buffer) or send them to the receiving entity for them to reconstruct.

### 3.2 matrixCmsCreateAuthEnvData

Parameter	Input/Output	Description
pool	input	Optional. Matrix Deterministic memory pool for allocations. NULL if unused
myCert	input	Optional. The originator certificate. Must be included if embedding certificate in AED. See Creation Control Flags section below for more info
privKey	input	Optional. The private key of the originator used the for key agreement algorithm. May be omitted if using ephemeral keys. See <b>Creation Control Flags</b> section below for more info
recipientCert	input	Required. The certificate of the receiving entity
keyMethod	input	MCMS_AED_KEY_AGREE_METHOD
encryptMethod	input	The authenticated encryption algorithm. See below
wrapMethod	input	The AES key wrap algorithm. See below
keyAgreeScheme	input	The ECDH key agreement scheme. See below
content	input	The content to be encrypted and tagged
contentLen	input	Byte length of content



contentType	input	The OID type of data that is being signed. Must be CMS_PKCS7_DATA, CMS_PKCS7_SIGNED_DATA, CMS_PKCS9_AUTH_ENVELOPED_DATA, or CMS_PKCS9_COMPRESSED_DATA
outputBuf	output	The AED output
outputLen	output	Byte length of the output
flags	input	Creation Control Flags. See Creation Control Flags section below for more info

Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
PS_ARG_FAIL	Failure. Unsupported input parameters
PS_UNSUPPORTED_FAIL	Failure. An unsupported algorithm was encountered
PS_PLATFORM_FAIL	Failure. One of the crypto algorithms failed
PS_SUCCESS	Success.

This is the atomic Authenticated-Enveloped-Data Content Type creation function.

The psx509cert\_t \*myCert and \*recipientCert parameters will have been obtained using the Matrix crypto API psx509ParseCertFile or psx509ParseCert. When parsed with these functions the recipient certificate parse function MUST be called with a flags value of CERT\_STORE\_DN\_BUFFER to store the needed encoded portions of the certificate that are required by CMS. The originator certificate (myCert) parse MUST be called with a flags value of CERT\_STORE\_UNPARSED\_BUFFER | CERT\_STORE\_DN\_BUFFER to keep the needed encoded portions of the certificate that are required by CMS.

The psPubKey\_t \*privKey parameter is the static ECDH key agreement private key associated with myCert and will have been obtained using psEcdsaParsePrivKey.

The keyMethod parameter must be MCMS AED KEY AGREE METHOD.

The encryptMethod parameter must be one of: MCMS\_AES128\_GCM, MCMS\_AES192\_GCM, MCMS AES256 GCM, MCMS AES128 CBC CMAC, MCMS AES192 CBC CMAC, Or MCMS AES256 CBC CMAC

The wrapMethod parameter must be one of: MCMS\_AES128\_WRAP, MCMS\_AES192\_WRAP, or MCMS AES256 WRAP.

The keyAgreeScheme parameter must be one of: MCMS\_ECKA\_X963KDF\_SHA256, MCMS\_ECKA\_X963KDF\_SHA384, or MCMS\_ECKA\_X963KDF\_SHA512

The <code>contentType</code> should identify the data that is being encrypted and this OID value will be written as the eContentType member of the authEncyprtedContentInfo encoding. If the data being encrypted is a generic blob use the <code>cms\_pkcs7\_data</code> identifier. Otherwise, choose the <code>cms\_pkcs7\_signed\_data</code>, <code>cms\_pkcs9\_auth\_enveloped\_data</code>, or <code>cms\_pkcs9\_compressed\_data</code> if the data is itself a CMS data type.

The outputBuf data must be freed using psfree when no longer needed.

#### **Creation Control Flags**

The flags parameter controls the options on which key agreement method is used, how the originator and recipient are identified within the Authenticated-Enveloped-Data, and whether the outer ContentInfo ASN.1 encoding should be included when writing the data type.

The first configuration option is to determine whether the ECKA key agreement will be static or ephemeral. Static mode is the default and does not have a flag value. If static is chosen, the originator private key will be used when generating the secret key so the <code>privKey</code> parameter must be provided. If ephemeral, a random private key is created based on the EC parameters of the supplied recipient certificate. In this case it is not necessary to include <code>privKey</code> or <code>myCert</code>, however <code>myCert</code> is encouraged to be included so there is some information on the originator in the AED. To use ephemeral mode, include the value <code>MCMS FLAGS AED ORIG DHE PUBLIC KEY</code> in the flags parameter.

The second configuration option is to determine how the originator and recipient will be identified in the AED. For recipients the options are between using the X.509 issuer Distinguished Name and Serial Number or the X.509 Subject Key Identifier extension. The default is IssuerAndSerialNumber and there is



no flags value to identify this choice. Supplying the value <code>MCMS\_FLAGS\_AED\_RECIP\_SUBJECT\_KEY\_ID</code> to the flags will create the AED with the SubjectKeyldentifier instead.

For originator identification, you have already chosen the method if you are using ephemeral key agreement. In addition to the IssuerAndSerialNumber and SubjectKeyIdentifier options a third option for OriginatorPublicKey is available. Ephemeral key agreement must use the OriginatorPublicKey to transfer the public key directly instead of referencing a X.509 certificate. If you are using static mode the two other options are available and IssuerAndSerialNumber is the default and has no flags value. Set MCMS\_FLAGS\_AED\_ORIG\_SUBJECT\_KEY\_ID to identify the originator certificate using the Subject Key Id extension instead. Therefore, It is not an allowed combination to supply MCMS\_FLAGS\_AED\_ORIG\_DHE\_PUBLIC\_KEY\_I MCMS\_FLAGS\_AED\_ORIG\_SUBJECT\_KEY\_ID to flags.

The third configuration option is whether or not to include the originator X.509 certificate in the AED originatorInfo ASN.1. This is controlled by the MCMS\_FLAGS\_AED\_INCLUDE\_CERT flag value and can be used in any combination with other flags.

The final configuration option is to determine whether the outer ContentInfo ASN.1 header is written to the output. If the ContentInfo should be excluded, add the MCMS FLAGS NO CONTENT INFO flag.

The table below shows some viable combinations of flags for creating Authenticated-Enveloped-Data types.

AED flag combinations with relationships to myCert and privKey parameters

Representative Flag Combinations	Interpretation	myCert or privKey Required
0	Key agreement will be static so private key will be required.	myCert privKey
	OriginatorIdentifierOrKey will be IssuerAndSerialNumber and will require originator certificate.	
	Originator certificate is not included in OriginatorInfo	
	KeyAgreeRecipientIdentifier will be IssuerAndSerialNumber.	
MCMS_FLAGS_AED_ORIG_DHE_PUBLIC_KEY   MCMS_FLAGS_AED_INCLUDE_CERT	Key agreement will be ephemeral so no private key will be required.	myCert
	OriginatorIdentifierOrKey will be OriginatorPublicKey so originator certificate will not be required.	
	Originator certificate is included in OriginatorInfo so will be required	
	KeyAgreeRecipientIdentifier will be IssuerAndSerialNumber.	
MCMS_FLAGS_AED_ORIG_DHE_PUBLIC_KEY   MCMS_FLAGS_AED_RECIP_SUBJECT_KEY_ID	Key agreement will be ephemeral so no private key will be required.	
	OriginatorIdentifierOrKey will be OriginatorPublicKey so originator certificate will not be required.	
	Originator certificate is not included in OriginatorInfo	
	KeyAgreeRecipientIdentifier will be SubjectKeyId.	



MCMS_FLAGS_AED_RECIP_SUBJECT_KEY_ID	Key agreement will be static so private key will be required.	myCert privKey
	OriginatorIdentifierOrKey will be IssuerAndSerialNumber and will require originator certificate.	
	Originator certificate is not included in OriginatorInfo	
	KeyAgreeRecipientIdentifier will be SubjectKeyId.	
MCMS_FLAGS_AED_ORIG_DHE_PUBLIC_KEY	Key agreement will be ephemeral so no private key will be required.	
(This mode will result in an anonymous originator AED)	OriginatorIdentifierOrKey will be OriginatorPublicKey so originator certificate will not be permitted.	
	Originator certificate is not included in OriginatorInfo	
	KeyAgreeRecipientIdentifier will be IssuerAndSerialNumber.	
MCMS_FLAGS_AED_INCLUDE_CERT	Key agreement will be static so private key will be required.	myCert privKey
	OriginatorIdentifierOrKey will be IssuerAndSerialNumber and will require originator certificate.	
	Originator certificate is included in OriginatorInfo	
	KeyAgreeRecipientIdentifier will be IssuerAndSerialNumber.	
MCMS_FLAGS_AED_INCLUDE_CERT   MCMS_FLAGS_AED_ORIG_SUBJECT_KEY_ID   MCMS_FLAGS_AED_DECID_SUBJECT_KEY_ID	Key agreement will be static so private key will be required.	myCert privKey
MCMS_FLAGS_AED_RECIP_SUBJECT_KEY_ID	OriginatorIdentifierOrKey will be SubjectKeyId and will require originator certificate.	
	Originator certificate is included in OriginatorInfo	
	KeyAgreeRecipientIdentifier will be SubjectKeyId.	

### 3.3 matrixCmsInitCreateAuthEnvData



Parameter	Input/Output	Description	
pool	input	Optional. Matrix Deterministic memory pool for allocations. NULL if unused	
myCert	input	Optional. The originator certificate. Must be included if attaching certificate. See the table in matrixCmsCreateAuthEnvData above for creation control flag information.	
privKey	input	Optional. The private key or the originator used the for key agreement algorithm. May be omitted if using ephemeral keys. See the table in matrixCmsCreateAuthEnvData above for creation control flag information.	
recipientCert	input	Required. The certificate of the receiving entity	
keyMethod	input	MCMS_AED_KEY_AGREE_METHOD	
encryptMethod	input	The authenticated encryption algorithm. See the descriptive text in the API for matrixCmsCreateAuthEnvData for details.	
wrapMethod	input	The AES key wrap algorithm. See the descriptive text in the API for matrixCmsCreateAuthEnvData for details.	
keyAgreeScheme	input	The ECDH key agreement scheme. See the descriptive text in the API for matrixCmsCreateAuthEnvData for details.	
contentType	input	The OID type of data that is being signed. Must be CMS_PKCS7_DATA, CMS_PKCS7_SIGNED_DATA, CMS_PKCS9_AUTH_ENVELOPED_DATA, or CMS_PKCS9_COMPRESSED_DATA	
outputBuf	output	The AED output	
outputLen	output	Byte length of the output	
flags	input	Creation flags. See matrixCmsCreateAuthEnvData API documentation for Creation Control Flags for information.	
aedCtx	output	The streaming context for calls to matrixCmsUpdateCreateAuthEnvData and matrixCmsFinalCreateAuthEnvData	

Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
PS_ARG_FAIL	Failure. Unsupported input parameters
PS_UNSUPPORTED_FAIL	Failure. An unsupported algorithm was encountered
PS_PLATFORM_FAIL	Failure. One of the crypto algorithms failed
PS_SUCCESS	Success.

This is the initialization function for the streaming mode of Authenticated-Enveloped-Data Content Type creation.

This function will return the ASN.1 BER encoded data up to the point of the OCTET STRING for the encrypted data. Each subsequent matrixCmsUpdateCreateAuthEnvData function call will output a component OCTET STRING of the encrypted content data. The matrixCmsFinalCreateAuthEnvData function call will complete the encryption and authentication process and return the final BER encoding to complete the full data type.

The outputBuf data must be freed using psFree when no longer needed.

The  $\mathtt{aedCtx}$  streaming context will be input to the Update/Final API calls and must be freed with  $\mathtt{matrixCmsFreeStreamCreatedAuthEnvData}$  when no longer needed.

Please see the information in the API matrixCmsCreateAuthEnvData for details on the parameters to this function.

## 3.4 matrixCmsUpdateCreateAuthEnvData



const unsigned char \*dataIn,
const int32 dataInLen,
unsigned char \*\*out,
int32 \*outLen);

Parameter	Input/Output	Description
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused
aedCtx	input/output	The context from a previous call to matrixCmsInitCreateAuthEnvData
content	input	The next portion of the data that will be encrypted
contentLen	input	The byte length of content
outputBuf	output	The next portion of the output BER encoded data
outputLen	output	The byte length of outputBuf

Return Value	Description	
PS_MEM_FAIL	Failure. Internal memory allocation failure	
PS_FAILURE	Failure. An internal crypto process failed	
PS_SUCCESS	Success.	

Continues the streaming creation of an Authenticated-Enveloped-Data Content Type.

On success, the output Buf will contain the BER encoded OCTET STRING of the encrypted content that should be appended to the output of a previous call to matrixCmsUpdateCreateAuthEnvData (or matrixCmsInitCreateAuthEnvData if this is the first portion). Each subsequent matrixCmsUpdateCreateAuthEnvData function call will output a component OCTET STRING of the content data. The matrixCmsFinalCreateAuthEnvData function call will complete the encryption and authentication process and return the final BER encoding to complete the full data type.

The outputBuf data must be freed using psFree when no longer needed.

The aedCtx context must be freed with matrixCmsFreeStreamCreatedAuthEnvData when no longer needed.

### 3.5 matrixCmsFinalCreateAuthEnvData

Parameter	Input/Output	Description
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused
aedCtx	input/output	The context from a previous call to matrixCmsInitCreateAuthEnvData
outputBuf	output	The next portion of the BER encoded type
outputLen	output	The byte length of outputBuf

Return Value	Description	
PS_MEM_FAIL	Failure. Internal memory allocation failure	
PS_FAILURE	Failure. An internal crypto process failed	
PS_SUCCESS	Success.	

Finishes the streaming creation of an Authenticated-Enveloped-Data Content Type.



On success, the <code>outputBuf</code> will contain the remainder of the BER encoded Authenticated-Enveloped-Data type.

The outputBuf data must be freed using psFree when no longer needed.

The  $\mathtt{aedCtx}$  context must be freed with  $\mathtt{matrixCmsFreeStreamCreatedAuthEnvData}$  when no longer needed.

### 3.6 matrixCmsFreeStreamCreatedAuthEnvData

void matrixCmsFreeStreamCreatedAuthEnvData(cmsAuthEnvelopedData t \*aedCtx);

Parameter	Input/Output	Description
aedCtx	input	The context from a previous call to matrixCmsInitCreateAuthEnvData

Frees the AED stream creation data structure.

# 3.7 AED Parsing

There are two available mechanisms to parse an AED type.

The first is the atomic version in which the entire encrypted envelope type is supplied to a single parsing API matrixCmsParseAuthEnvData. In this atomic version, the caller must also provide its EC private key and possibly the X.509 originator certificate at the time of the API call. So the use case must involve a known recipient and originator, as there will be no opportunity for the caller to identify the recipient or originator during the parse.

The second is a streaming version that uses an Init/PostInit/Update/Final flow to parse the data type. The APIs for this method are matrixCmsInitParseAuthEnvData, matrixCmsPostInitParseAuthEnvDataBuf, matrixCmsUpdateParseAuthEnvData, and matrixCmsFinalParseAuthEnvData. The PostInit phase allows the caller to locate the recipient private key and originator X.509 certificate to allow more flexible use cases.

### 3.7.1 Stream parsing AED and AuthAttributes

Authenticated attributes are plaintext components that are included in the CMAC or GCM algorithms when calculating the MAC of an AED type. These AuthAttributes are defined in RFC 5083 to follow the EncryptedContentInfo in the ASN.1 format. This poses a significant stream-parsing problem for AES\_GCM because AES\_GCM requires the plaintext additional authenticated data to be an input to the initialization of the algorithm.

Unless you are willing to tolerate a MCMS\_AED\_KEY\_AGREED\_BUT\_AUTH\_FAILED return code from matrixCmsFinalParseAuthEnvData, it is not possible to get a successful return code when streamparsing AES GCM based AED if AuthAttributes are included. The data will still decrypt correctly.

The AuthAttributes are OPTIONAL in the ASN.1 definition, however, and they are disabled by default in that Matrix CMS implementation. If you wish to include the default AuthAttributes in the AED for CMAC usage you may disable the <code>MCMS\_EMPTY\_AUTH\_ATTRIBS</code> in <code>matrixCmsConfig.h</code>.

### 3.8 matrixCmsParseAuthEnvData



```
const psX509Cert_t *originatorCert,
const psPubKey_t *privKey,
const int32 flags,
unsigned char **data,
int32 *dataLen,
cmsEncryptedEnvelope t **authData);
```

Parameter	Input/Output	Description	
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused	
buf	input	The AED type to decrypt. Not a const type because optional insitu decryption will overwrite	
bufLen	input	The byte length of buf	
originatorCert	input	Optional. The expected originator certificate that was used to create the data type if it has not been provided in the AED itself	
privKey	input	Required. The recipient ECDSA private key	
flags	input	Supply MCMS_FLAGS_EE_OVERWRITE_CT to perform an insitu decryption that destroys the cipher text. Omit to decrypt to a dedicated buffer and preserve the cipher text.	
		Supply MCMS_FLAGS_NO_CONTENT_INFO if the incoming AED type does not includes the ContentInfo header. Set to 0 if the full CMS data type is being parsed.	
data	output	The decrypted contents output. Must be freed with psFree if flags parameter is 0	
dataLen	output	The byte length of the output data	
authData	output	The context structure that was created during parse. Must be freed with matrixCmsFreeParsedAuthEnvData	

Return Value	Description
MCMS_AED_FAIL_NO_CERT	Failure. The originator cert was not provided or did not match the identity specified in the data type
MCMS_AED_FAIL_KEY_AGREE	Failure. The internal ECKA algorithm failed
MCMS_AED_FAIL_KEY_UNWRAP	Failure. The key unwrap algorithm failed.
MCMS_AED_KEY_AGREED_BUT_AUTH_FAILED	Failure. The key extraction worked but the CMAC or GCM tag did not authenticate. The decrypted content will be available in content in this return case.
MCMS_PARTIAL	Failure. The input buffer was not as large as the initial ASN.1 length identifier
PS_LIMIT_FAIL	Failure. The input buffer ran out of data before parsing could complete
PS_UNSUPPORTED_FAIL	Failure. An unsupported algorithm was encountered
PS_PARSE_FAIL	Failure. The AED type could not be parsed at the ASN.1 level
PS_MEM_FAIL	Failure. An internal memory allocation failed
PS_SUCCESS	Success. The decrypted and authenticated data is available in the data parameter

This is the atomic parse API for an AED type. This parser does require that the caller know in advance the originator certificate so is only suitable for some use cases. In some uses cases the originator may have included its certificate in the AED itself so no originatorcert would be needed. If an originator cert is provided and the AED also contains one, the two will be compared for a match.

If used, the psX509Cert\_t \*originatorCert parameter will have been obtained using psX509ParseCertFile Or psX509ParseCert.

The psPubKey\_t \*privKey parameter is the private key of this recipient and will have been obtained using psEcdsaParsePrivKey.

The output <code>eedData</code> structure allows the caller to see some of the details of the AED if desired. It must be freed with <code>matrixCmsFreeParsedAuthEnvData</code> when no longer needed. However, the decrypted contents might be held within the structure so it is important not to free the context until the <code>data</code> has been processed. The following section describes the memory usage.



### **Memory Profile**

Using the MCMS\_FLAGS\_EE\_OVERWRITE\_CT as the flags parameter will perform an insitu decryption and will always require less overall memory than if the value is set to 0. However, there are some differences in implementation based on whether the AED type had encoded its contents as one large OCTET\_STRING or a constructed OCTET\_STRING made up of several components. In the MatrixSSL library, an atomic creation will result in the single large OCTET\_STRING format and a stream creation will result in a constructed OCTET\_STRING format. When the constructed OCTET\_STRING format is parsed, there will ALWAYS be a dedicated memory location allocated within the <code>cmsEncryptedEnvelope\_t</code> structure to store the packed component parts. This means that <code>matrixCmsFreeParsedAuthEnvData</code> must not be called until the <code>data</code> has been used.

If the flags value is 0 the caller must use psFree to free data directly when no longer needed. The buf parameter may be freed at any time after this call and matrixCmsFreeParsedAuthEnvData may be called any time after this call.

The following table summarizes the relationship between the AED type and flags parameter:

	MCMS_FLAGS_EE_OVERWRITE_CT	0
Single OCTET_STRING (Atomic creation)	The decryption will happen directly in the buf parameter so buf must not be freed or invalidated until data has been used.	The decryption is made into a dedicated output memory buffer so buf may be freed immediately and matrixCmsFreeParsedAuthEnvData may be called immediately.
	The authData context may theoretically be freed immediately after this parse call but the rule should still be to call matrixCmsFreeParsedAuthEnvData after data is used because caller probably doesn't know the AED type.	The output data must be freed with psfree when done being used.
Constructed OCTET_STRING (Streaming creation)	The decryption will be written to an allocated memory buffer inside the authData structure.  The buf parameter may be freed immediately after the parse call.  matrixCmsFreeParsedAuthEnvData must not be called until after data is used.	The decryption is made into a dedicated output memory buffer so buf may be freed immediately and matrixCmsFreeParsedAuthEnvData may be called immediately.  The output data must be freed with psFree when done being used.  This option results in three memory buffers to manage the decryption of buf and therefore this is the most memory inefficient option.

### 3.9 matrixCmsInitParseAuthEnvData



Parameter	Input/Output	Description
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused
buf	input	The first portion of an AED type to decrypt.
bufLen	input	The byte length of buf
eeCtx	output	On success, the context to use as input to the parse routines to follow
remainder	output	The remaining AED data from buf that this Init function did not process. The next call to matrixCmsUpdateParseAuthEnvData must begin with this remainder data
remainderLen	output	The byte length of any remainder
flags	input	Whether the incoming AuthEnv type includes the ContentInfo header. Set to MCMS_FLAGS_NO_CONTENT_INFO if absent. Set to 0 if the full CMS data type is being parsed.

Return Value	Description
PS_LIMIT_FAIL	Failure. The input buffer did not contain enough of the AED to complete the Init. The buffer must be appended with additional AED data and called again. The original buf is NOT saved within this function and must be resubmitted along with the newly appended data.
PS_UNSUPPORTED_FAIL	Failure. An unsupported algorithm was encountered
PS_PARSE_FAIL	Failure. The AED type could not be parsed at the ASN.1 level
PS_MEM_FAIL	Failure. An internal memory allocation failed
PS_SUCCESS	Success. The initialization is complete and matrixCmsPostInitParseAuthEnvData can now be called.

This is the initialization routine for stream parsing an AED type. This function requires that all the AED data up to the encrypted content itself be available in the <code>buf</code> parameter. The function will return <code>PS\_LIMIT\_FAIL</code> if this requirement is not met and the user must append additional AED data and call again.

The eectx output context will become input to the other streaming parse routines for this AED.

The remainder output parameter points to the buf location where this function stopped processing. The remainder must be the start of the data that is passed to the first call to matrixCmsUpdateParseAuthEnvData to continue the parse.

However, before Update the next step in the streaming parse is to call matrixCmsPostInitParseAuthEnvData to register the originator certificate and the recipient private key that will be used to perform the ECDH key agreement.

### 3.10 matrixCmsPostInitParseAuthEnvData

Parameter	Input/Output	Description
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused
eeCtx	input/output	Context from previous successful call to matrixCmsInitParseAuthEnvData
originatorCert	input	The X.509 certificate of the originator of the AED
privKey	input	The EC private key of this local recipient



Return Value	Description
MCMS_AED_FAIL_NO_CERT	Failure. The originatorCert parameter was NULL and there was no certificate embedded within the AED itself.
MCMS_AED_FAIL_KEY_AGREE	Failure. The ECDH key agreement function failed.
MCMS_AED_FAIL_KEY_UNWRAP	Failure. The AES unwrap function failed.
PS_UNSUPPORTED_FAIL	Failure. An unsupported crypto algorithm was encountered in the privKey or in the AED.
PS_SUCCESS	Success. Parsing should move to the matrixCmsUpdateParseAuthEnvData phase.

This PostInit phase of stream parsing allows the user to locate and load the proper key material for decrypting the AED. It is required to be called after a successful return from matrixCmsInitParseAuthEnvData.

The user may know the <code>originatorCert</code> and recipient <code>privKey</code> based on the use case or maybe the parser needs to locate that information from the AED itself. The <code>matrixCmsInitParseAuthEnvData</code> routine has parsed the OriginatorInfo and RecipientInfos ASN.1 of the data type, which the caller may examine to locate the key material as described in the following sections.

#### Locating the Originator certificate

If the AED type was created with the originator certificate embedded directly in the ASN.1 it would be found in the originator member of the eeCtx. That data type is a psx509Cert\_t (crypto/keyformat/x509.h), which is a fully parsed X.509 certificate including the serial number and distinguished name that should enable the user to verify it is the expected originator. In this case where the originator cert was embedded, it is NOT NECESSARY to pass an originatorCert to this matrixCmsPostInitParseAuthEnvData API because the public key material is already available. The originatorCert may be set to NULL in this case.

If the certificate was not embedded in the AED type the <code>originator</code> member will be <code>NULL</code>. In that case, the user can look inside the <code>recipients</code> member of <code>eeCtx</code>. There are two ways an AED may identify its originator certificate; IssuerAndSerialNumber or SubjectKeyldentifier. The choice is found in the <code>originatorId</code> where it will be one of either <code>MCMS\_ORIGIN\_ID\_ISSUERDN</code> or <code>MCMS\_ORIGIN\_ID\_KEYID</code>.

If  ${\tt MCMS\_ORIGIN\_ID\_ISSUERDN}$  the issuer distinguished name of the originator will be found in the  ${\tt originatorDn}$  member and the serial number will be found in the  ${\tt originatorSn}$  member with a length of  ${\tt originatorSnLen}$ . NOTE: It is the ISSUER distinguished name in the X.509 certificate... not the SUBJECT distinguished name.

If the originatorId is MCMS\_ORIGIN\_ID\_KEYID the subject key identifier of the originator will be found in the originatorSn member and will have a length of originatorSnLen.

In either case, if the certificate was not embedded in the AED type the originatorCert parameter to this matrixCmsPostInitParseAuthEnvData must be provided. That psX509Cert\_t \* parameter will have been obtained using psX509ParseCertFile or psX509ParseCert.

#### Confirming the Recipient private key

The recipient should ideally only hold one private identity key but if verification of that key to the X.509 certificate is required, the user can look in the recipients member of eeCtx. The recipientDn and recipientSn sub-members will hold the distinguished name and serial number of the intended recipient.

The psPubKey\_t\* structure for the privKey parameter will have been obtained from a call to psEcdsaParsePrivFile.

# 3.11 matrixCmsUpdateParseAuthEnvData



Parameter	Input/Output	Description
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused
buf	input/output	The next portion of an AED type to decrypt.
bufLen	input	The byte length of buf
eeCtx	input	The context from a previously successful call to matrixCmsInitParseAuthEnvData
data	input/output	Decrypted content data from the AED.
dataLen	output	The byte length of the data output
dataSize	input	The byte length of the available memory of data that can be written to. Must be at least same size as bufLen
remainder	output	The remaining AED data from buf that this Update function did not process.
remainderLen	output	The byte length of any remainder

Return Value	Description
PS_SUCCESS	Success. The end of the contents has been found and decrypted. matrixCmsFinalParseAuthEnvData can now be called.
MCMS_PARTIAL	Success. The update successfully completed but there is still more data expected. This function must be called again with more AED.
MCMS_UNKNOWN	Success. A corner case for AED using a block cipher mode with constructed OCTET_STRING where the parse fell on a component boundary and it can't be determined if this is the final component or there is more to follow. See discussion below for more information.
PS_LIMIT_FAIL	Success. A rare corner case for AED using constructed OCTET_STRING contents where 3 or less bytes are passed in buflen and they fall right on the ASN.1 parse of the OCTET_STRING component. Append more data and recall.
PS_PARSE_FAIL	Failure. The AED type could not be parsed at the ASN.1 level
PS_ARG_FAIL	Failure. The dataSize parameter must be at least as large as bufLen. Or this function has been called after PS_SUCCESS has already been returned.

This Update phase of stream parsing is used to decrypt the actual encrypted content of the AED. The decrypted data is returned in the data and dataLen parameters.

**SECURITY NOTE**: The outgoing decrypted data has not been authenticated. The MAC authentication occurs during the matrixCmsFinalParseAuthEnvData call. In theory, the decrypted data should not be used until authenticated.

The output data location MAY point to the same location as in the incoming encrypted buf if an in-situ decryption is desired. In other words, the decrypted data will overwrite the encrypted data to save on memory usage if the original encrypted content does not need to be saved.

If the output data is to be written to a different dedicated buffer, the caller is responsible for allocating (and freeing) that memory.



The dataSize parameter is used to explicitly remind the caller that the destination data buffer must be at least as large as in the incoming buf. If insitu decryption is desired it is fine to assign dataSize to be the same value as buffen.

When MCMS\_PARTIAL is returned to indicate there is more data expected for decrypting, the remainder and remainderLen parameters will identify any bytes from buf that were not processed. The reason these bytes could not be processed is because there was not enough to feed to the AES block cipher. So the caller should expect that remainderLen will always be less than the AES blocksize of 16. The next call to matrixCmsUpdateParseAuthEnvData must begin with these remainder bytes.

#### **Constructed OCTET STRING AED considerations**

AED that was generated using stream creation uses indefinite length ASN.1 encoding which creates a couple corner cases in stream parsing. The MCMS\_UNKNOWN return code is one such case. Because the overall length of the encrypted content is not known, the only way for the parser to know if more data is expected is by looking at the bytes following each component decrypt.

If the buf ends exactly on one of these component boundaries and the symmetric cipher is block based (currently only AES\_CBC\_CMAC) there are no further bytes to determine if this is the final block that must be unpadded. In this case the MCMS\_UNKNOWN return code is used. When this return code is encountered the decrypted data may be the final unpadded component of the contents OR it may be a full decrypted component with more data to follow. In either case, the caller must gather more AED data and call matrixCmsUpdateParseAuthEnvData again to see what the next result is. If that next call results in more decrypted data, the previous unknown is not the final block and can be used exactly as returned. If the next call does not result in more decrypted data and the return code is PS\_SUCESS, the previous unknown data was the final block and it will include the pad bytes. The padding bytes can be removed by looking at the final byte of the data, taking the decimal value of that byte, and subtracting that number of bytes from the end.

The PS\_LIMIT\_FAIL is also a potential return code if indefinite length encoding was used at AED creation. This return code was chosen to match the meaning of matrixCmsInitFinalParseAuthEnvData that indicates there was not enough data to act on. The caller must append more AED to the existing buf and call again.

### 3.12 matrixCmsFinalParseAuthEnvData

Parameter	Input/Output	Description
pool	input	Optional Matrix Deterministic memory pool for allocations. NULL if unused
buf	input	The remainder of AED data after matrixCmsUpdateParseAuthEnvData returns PS_SUCESS
bufLen	input	The byte length of buf
eeCtx	input	The context from a previously successful call to matrixCmsInitParseAuthEnvData

Return Value	Description
PS_SUCCESS	Success. The AED is fully decrypted and authenticated
PS_MEM_FAIL	Failure. An internal memory allocation failed
MCMS_AED_KEY_AGREED_BUT_AUTH_FAILED	Failure. The final CMAC or GCM tag validation failed. The previously decrypted data from the matrixCmsUpdateParseAuthEnvData calls did not ultimately authenticate correctly.



PS_LIMIT_FAIL	Failure. There was not enough data to complete the AED parse. Append more AED data and call again
PS_PARSE_FAIL	Failure. The AED type could not be parsed at the ASN.1 level
PS_UNSUPPORTED_FAIL	Failure. An unknown crypto algorithm was encountered

This is the final step for stream parsing an AED.

After PS\_SUCCESS is returned from matrixCmsUpdateParseAuthEnvData this function should be called with the remainder of the AED.

When finished with the AED processing, the eeCtx parameter must be freed with a call to matrixCmsFreeParsedAuthEnvData.

**NOTE**: If you are receiving the MCMS\_AED\_KEY\_AGREED\_BUT\_AUTH\_FAILED return code and the decrypted data looks correct please see section 4.2.1 for a discussion on AES\_GCM stream parsing and AuthAttributes as a possible explanation.

### 3.13 matrixCmsFreeParsedAuthEnvData

void matrixCmsFreeParsedAuthEnvData(cmsEncryptedEnvelope\_t \*ee);

Parameter	Input/Output	Description	
ee	input	The context from a previous call to matrixCmsInitParseAuthEnvData or	
		matrixCmsParseAuthEnvData	

Frees the data structure. To guarantee memory safety, call this routine after the final decrypted data has been processed.



### 4 COMPRESSED-DATA CONTENT TYPE API

The Compressed-Data Content Type is defined in RFC 3274. It defines a standard ASN.1 format for transporting compressed data.

**MatrixCMS** does not support the compression and decompression of data. The API only provides the ASN.1 wrapping and unwrapping functionality. However, zlib compression is assumed and zlib OID values will be used in the encoding.

## 4.1 Compressed Data Creation

There are two available mechanisms to create a Compressed-Data type. The first is the atomic version in which the entire data contents are given in a single parameter to the matrixCmsCreateCompressedData function.

The second is a streaming version that uses an Init/Update/Final API. The APIs for this method are matrixCmsInitCreateCompressedData, matrixCmsUpdateCreateCompressedData, and matrixCmsFinalCreateCompressedData. Each of these three APIs will return a portion of the full Compressed-Data Content Type to the caller who can append them in a single file (or memory buffer) or send them to the receiving entity for them to reconstruct.

## 4.2 matrixCmsCreateCompressedData

Parameter	Input/Output	Description
pool	input	Optional. Matrix Deterministic memory pool for allocations. NULL if unused
compressedData	input	The compressed content to be wrapped
compressedDataLen	input	Byte length of content
outputBuf	output	The compressed data type output
outputLen	output	Byte length of the output
flags	input	Supply MCMS_FLAGS_NO_CONTENT_INFO if the outer ContentInfo header should be excluded from the output. Use 0 to create the full CMS data type.

Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
PS_SUCCESS	Success.

This is the atomic Compressed-Data Content Type creation function.

The outputBuf data must be freed using psFree when no longer needed.

# 4.3 matrixCmsInitCreateCompressedData



Parameter	Input/Output	Description
pool	input	Optional. Matrix Deterministic memory pool for allocations. NULL if unused
outputBuf	output	The Compressed-Data output
outputLen	output	Byte length of the output
flags	input	Supply MCMS_FLAGS_NO_CONTENT_INFO if the outer ContentInfo header should be excluded from the output. Use 0 to create the full CMS data type.

Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
PS_SUCCESS	Success.

This is the initialization function for the streaming Compressed-Data Content Type creation. The internal allocated output buffer will be the ASN.1 data right up to the point of expected compressed data. That compressed data will be sent in subsequent calls to matrixCmsUpdateCreateCompressedData.

Note that there is no context to associate the streaming creation with the update and final calls. It is the caller's responsibility to give any necessary context to the creation if required.

The outputBuf data must be freed using psfree when no longer needed.

## 4.4 matrixCmsUpdateCreateCompressedData

Parameter	Input/Output	Description
pool	input	Optional. Matrix Deterministic memory pool for allocations. NULL if unused
compressedData	input	The compressed content to be wrapped
compressedDataLen	input	Byte length of content
outputBuf	output	The compressed data type output
outputLen	output	Byte length of the output

Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
PS_SUCCESS	Success.

This is the continuation function for the streaming Compressed-Data Content Type creation. The input compressed Data must be zlib compressed before calling this function.

Note that there is no context to associate the streaming update with the init and final calls. It is the caller's responsibility to give any necessary context to the creation.

The internally allocated <code>outputBuf</code> data should be appended on to the output from the previous call to <code>matrixCmsInitCreateCompressedData</code>. Additionally, the <code>outputBuf</code> must be freed using <code>psFree</code> when no longer needed.

# 4.5 matrixCmsFinalCreateCompressedData

int32 matrixCmsFinalCreateCompressedData(psPool t \*pool,

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Parameter	Input/Output	Description
pool	input	Optional. Matrix Deterministic memory pool for allocations. NULL if unused
outputBuf	output	The Compressed-Data output
outputLen	output	Byte length of the output
flags	input	Supply MCMS_FLAGS_NO_CONTENT_INFO if the outer ContentInfo header should be excluded from the output. Use 0 to create the full CMS data type. Must match whatever was passed as the flags value to matrixCmsInitCreateCompressedData

Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
PS_SUCCESS	Success.

This is the finalize function for the streaming Compressed-Data Content Type creation. The internally allocated output buffer should be appended to the output from the previous calls to matrixCmsUpdateCreateCompressedData.

Note that there is no context to associate the streaming finalize with the Init and Update calls. It is the caller's responsibility to give any necessary context to the creation. Therefore, this Final creation must also provide the same flags parameter that was passed to the matrixCmsInitCreateCompressedData function.

The outputBuf data must be freed using psFree when no longer needed.

### 4.6 Compressed Data Parsing

There are two available mechanisms to parse a CD type. The first is the atomic version in which the entire data contents are given in a single parameter to matrixCmsParseCompressedData.

The second is a streaming version that uses an Init/Update API. The APIs for this method are matrixCmsInitParseCompressedData and matrixCmsUpdateParseCompressedData. Note there is no Final API for stream parsing a CD type because there is no ASN.1 data that follows the data in that particular CMS data type.

# 4.7 matrixCmsParseCompressedData

Parameter	Input/Output	Description
pool	input	Optional. Matrix Deterministic memory pool for allocations. NULL if unused
cdBuf	input	The Compressed-Data input
cdBufLen	input	Byte length of the input
cd	output	Data structure containing the parsed information
flags	input	Supply MCMS_FLAGS_NO_CONTENT_INFO if the outer ContentInfo header is not included in the CD being parsed. Use 0 to parse the full CMS data type.



Return Value	Description
PS_MEM_FAIL	Failure. Internal memory allocation failure
PS_PARSE_FAIL	Failure. ASN.1 parse failure
PS_SUCCESS	Success. The compressed data is available in the cd structure
MCMS_PARTIAL	Success. If the ASN.1 stream is DER encoded and the passed in cdBufLen is not large enough for the initial encoded size of the Content Type, this return code will be passed back. The caller must retrieve the remainder of the data and call again. It is not possible to return this code with a BER encoded ASN.1 stream that uses indefinite-length encoding.

This is the atomic parse of a Compressed Data type. The compressed data information can be found in the <code>cmsCompressedData\_t</code> structure. The compressed data itself is contained in the <code>compressedData\_member</code> with a length of <code>compressedDataLen</code>. The caller must inflate with zlib.

cd must be freed with matrixCmsFreeCompressedData when no longer needed.

## 4.8 matrixCmsInitParseCompressedData

Parameter	Input/Output	Description
pool	input	Optional. Matrix Deterministic memory pool for allocations. NULL if unused
cdBuf	input	The initial bytes of a Compressed-Data type
cdBufLen	input	Byte length of the input
cdCtx	output	Context data structure that will be passed to matrixCmsUpdateParseCompressedData
compressedOut	output	Pointer to start of compressed data within the CD. NULL if data not reached
compressedOutLen	output	Byte length of compressedOut if not NULL
flags	input	Supply MCMS_FLAGS_NO_CONTENT_INFO if the outer ContentInfo header is not included in the CD being parsed. Use 0 to parse the full CMS data type.

Return Value	Description
PS_LIMIT_FAIL	Failure. The input buffer did not contain enough of the CD to complete the Init. The buffer must be appended with additional CD data and called again. The original cdBuf is NOT saved within this function and must be resubmitted along with the newly appended data.
PS_PARSE_FAIL	Failure. The CD type could not be parsed at the ASN.1 level
PS_MEM_FAIL	Failure. An internal memory allocation failed
PS_SUCCESS	Success. The initialization is complete and matrixCmsUpdateParseCompressedData can now be called.

This is the stream parse initialization function for Compressed Data types. If there are not enough initial bytes to reach the compressed data, this function will return PS\_LIMIT\_FAIL and the user must append additional CD data and call again.

If non-NULL the <code>compressedOut</code> output parameter will be a pointer directly into the supplied <code>cdBuf</code> memory. If the application requires the compressed data to remain available for other uses, it may copy the output elsewhere before inflating.

# 4.9 matrixCmsUpdateParseCompressedData



Parameter	Input/Output	Description
cdCtx	input	Context structure from a previous call to matrixCmsInitParseCompressedData
cdBuf	input	The next bytes of a Compressed-Data type
cdBufLen	input	Byte length of the input
compressedOut	output	Pointer to start of the next portion of compressed data within the CD. NULL if data not found
compressedOutLen	output	Byte length of compressedOut if not NULL
remainder	output	Pointer to CD data from cdBuf that was not parsed. Must be the start of the cdBuf to the next call to matrixCmsUpdateParseCompressedData
remainderLen	output	Byte length of remainder if not NULL

Return Value	Description
MCMS_PARTIAL	Success. The parse was successful and more CD bytes are expected. Gather more (or check for data in remainder) and call this function again.
PS_PARSE_FAIL	Failure. The CD type could not be parsed at the ASN.1 level
PS_SUCCESS	Success. The CD has fully completed the parse.

This is the continuation of a stream parsed CD type.

If non-NULL the <code>compressedOut</code> output parameter will be a pointer directly into the supplied <code>cdBuf</code> memory. If the application requires the compressed data to remain available for other uses, it may copy the output elsewhere before inflating.

If parsing a CD that was generated with indefinite length encoding the remainder and remainderLen output parameters may be populated to point to the next OCTET\_STRING component to be parsed. If non-NULL the remainder output will be pointing to a location within cdBuf. The caller MUST make the remainder pointer the start of data passed to the next matrixCmsUpdateParseCompressedData call.

Note there is no Final API for CD stream parsing. The compressed data is the final ASN.1 component in a CMS Compressed Data type so there will be no further data to parse to a final routine. The return code of PS SUCCESS is the indication that all compressed data has been returned to the caller.

The cdCtx must be freed with a call to matrixCmdFreeCompressedData when parsing is complete.

# 4.10 matrixCmsFreeCompressedData

void matrixCmsFreeCompressedData(cmsCompressedData\_t \*compressedData);

Parameter	Input/Output	Description
compressedData	input	Data structure created from a previous call to matrixCmsParseCompressedData or matrixCmsInitParseCompressedData

Frees the data structure.

