## Binary relations and equivalences

**3-1**. The answers are:

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\begin{array}{lll} \rho & = & \{(1,4),(4,1),(2,3),(2,6),(3,6),(3,2),(6,2),(6,3)\} \cup \Delta_6 \\ \sigma & = & \{(3,5),(3,1),(3,6),(4,5),(4,1),(4,6),(2,6),(5,1),(5,6)\} \cup \Delta_6 \\ \rho \cap \sigma & = & \sigma \cap \rho & = \{(4,1),(3,6),(2,6)\} \cup \Delta_6 \\ \rho \cup \sigma & = & \{(1,4),(4,1),(2,3),(2,6),(3,6),(3,2),(6,2),(6,3),(3,5),(3,1),\\ & & (4,5),(4,6),(5,1),(5,6)\} \cup \Delta_6 \\ \sigma^{-1} & = & \{(5,3),(1,3),(6,3),(5,4),(1,4),(6,4),(6,2),(1,5),(6,5)\} \cup \Delta_6 \\ \rho \circ \sigma & = & \{(3,5),(3,1),(3,6),(4,5),(4,1),(4,6),(2,6),(1,4),(4,1),(2,3),(2,6),\\ & & (3,6),(3,2),(6,2),(6,3),(1,5),(1,6),(2,5),(6,5),(6,1)\} \cup \Delta_6 \\ \sigma \circ \rho & = & \sigma \cup \rho \cup \Delta_6 \cup \{(3,4),(3,2),(4,2),(4,3)\}, \end{array}
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where  $\Delta_6 = \{ (x, x) : x \in \{1, \dots, 6\} \}.$ 

- **3-2**. (a)  $\rho$  is reflexive if and only if  $(x,x) \in \rho$  for all  $x \in X$  if and only if  $\{(x,x) : x \in X\} \subseteq \rho$ ;
  - (b)  $\rho$  is symmetric if and only if  $(x,y) \in \rho$  implies  $(y,x) \in \rho$  if and only if  $\rho^{-1} \subseteq \rho$ ;
  - (c)  $\rho$  is transitive if and only if  $(x,y),(y,z)\in\rho$  implies  $(x,z)\in\rho$  if and only if  $\rho\circ\rho=\{(x,z):\exists y\in X \text{ with } (x,y),(y,z)\in\rho\}\subseteq\rho$ .

**3-3**. It suffices to prove that  $\rho \cap \sigma$  is reflexive, symmetric and transitive.

**Reflexive:** by Problem 3-2(a)  $\Delta_X \subseteq \rho$  and  $\Delta_X \subseteq \sigma$ . It follows that  $\Delta_X \subseteq \rho \cap \sigma$  and so  $\rho \cap \sigma$  is reflexive.

**Symmetric:**  $(x,y) \in \rho \cap \sigma$  implies that  $(y,x) \in \rho$  and  $(y,x) \in \sigma$ . It follows that  $(y,x) \in \rho \cap \sigma$ .

**Transitive:**  $(x,y), (y,z) \in \rho \cap \sigma$  implies that  $(x,y), (y,z) \in \rho$  and  $(x,y), (y,z) \in \sigma$ . Thus  $(x,z) \in \rho$  and  $(x,z) \in \sigma$  and so  $(x,z)\rho \cap \sigma$ .

The classes of  $\rho \cap \sigma$  are intersections of the classes of  $\rho$  and  $\sigma$ . For example, if  $\rho = \{\{1, 2, 3, 4\}, \{5, 6\}\}\}$  and  $\sigma = \{\{1, 2, 3\}, \{4, 5\}, \{6\}\},$  then  $\rho \cap \sigma = \{\{1, 2, 3\}, \{4\}, \{5\}, \{6\}\}\}.$ 

**3-4.** Let  $\sigma = \{(1,2),(2,1)\} \cup \Delta_2$  and  $\rho = \{(2,3),(3,2)\} \cup \Delta_3$ . Then  $(1,2),(2,3) \in \rho \cup \sigma$  but  $(1,3) \notin \rho \cup \sigma$  and so  $\rho \cup \sigma$  is not transitive and hence not an equivalence relation.

If  $\sigma$  is the relation with classes  $\{\{1,2\},\{3\}\}$ , and  $\rho$  is the equivalence relation with classes  $\{\{1\},\{2,3\}\}$ , then  $(1,3) \in \sigma \circ \rho$  but  $(3,1) \notin \sigma \circ \rho$ . Hence  $\sigma \circ \rho$  is not symmetric and hence not an equivalence relation.

**3-5.** ( $\Leftarrow$ ) Since  $(x,x) \in \alpha$  and  $(x,x) \in \beta$ , for all  $x \in X$ , it follows that  $(x,x) \in \alpha \circ \beta$  and so  $\alpha \circ \beta$  is reflexive.

From the definition and Problem **3-2**(b),  $(\alpha \circ \beta)^{-1} = \beta^{-1} \circ \alpha^{-1} \subseteq \beta \circ \alpha = \alpha \circ \beta$ . It follows that  $\alpha \circ \beta$  is symmetric. By Problem **3-2**(c) it suffices to show that  $(\alpha \circ \beta)^2 \subseteq \alpha \circ \beta$ . But  $\alpha \circ \beta \circ \alpha \circ \beta = \alpha^2 \circ \beta^2 = \alpha \circ \beta$ . It follows that  $\alpha \circ \beta$  is transitive.

 $(\Rightarrow)$   $\alpha \circ \beta$  is an equivalence relation implies that  $\alpha \circ \beta$  is symmetric and so by Problem 3-2(b)  $(\alpha \circ \beta)^{-1} \subseteq \alpha \circ \beta$ . But  $(\alpha \circ \beta)^{-1} = \beta^{-1} \circ \alpha^{-1} = \beta \circ \alpha$ . Thus  $\beta \circ \alpha \subseteq \alpha \circ \beta$ .

Let  $(x, y) \in \alpha \circ \beta$ . Then  $(y, x) \in \alpha \circ \beta$  and so there exists z such that  $(y, z) \in \alpha$  and  $(z, x) \in \beta$ . Thus  $(x, z) \in \beta$  and  $(z, y) \in \alpha$ . It follows that  $(x, y) \in \beta \circ \alpha$ .

- **3-6**. Recall from the definition that a binary relation is just a subset of  $X \times X$ . There are  $2^{n^2}$  subsets of an  $n^2$  element set.
- **3-7**. Clearly, the only partitions of the set  $\{1, \ldots, n\}$  with a single part is  $\{\{1, \ldots, n\}\}$  and the only partition with n parts is  $\{\{1\}, \ldots, \{n\}\}$ . Hence S(n, 1) = S(n, n) = 1.

In any partition of  $\{1, \ldots, n\}$ , either  $\{n\}$  is a part, or n belongs to a part of size at least 2.

The number of partitions of  $\{1, \ldots, n\}$  with r parts where  $\{n\}$  is a part equals the number of partitions of  $\{1, \ldots, n-1\}$  into r-1 parts. In other words, the number of partitions of  $\{1, \ldots, n\}$  with r parts is S(n-1, r-1).

The number of partitions of  $\{1, \ldots, n\}$  with r parts where n belongs to a part of size at least 2 can be determined by first partitioning  $\{1, \ldots, n-1\}$  into r parts, and then adding n to one of those parts. There are S(n-1, r)

| $n \setminus r$ | 1 | 2  | 3  | 4  | 5  | 6 |
|-----------------|---|----|----|----|----|---|
| 1               | 1 | -  | -  | -  | -  | - |
| 2               | 1 | 1  | -  | _  | -  | _ |
| 3               | 1 | 3  | 1  | _  | -  | _ |
| 4               | 1 | 7  | 6  | 1  | -  | - |
| 5               | 1 | 15 | 25 | 10 | 1  | - |
| 6               | 1 | 31 | 90 | 65 | 15 | 1 |

Figure 1: The first few values of the Stirling numbers of the second kind.

partitions of  $\{1, \ldots, n-1\}$  into r parts, and given such a partition, there are r distinct partitions arising from adding n to any of the parts. Hence there are rS(n-1,r) such partitions in total.

Therefore S(n,r) = S(n-1,r-1) + rS(n-1,r), as required.

The values of S(n,r) when  $1 \le r \le n \le 6$  are displayed in Figure 1.

## Homomorphisms and isomorphisms

**3-8.** Let  $x \in S$  be an idempotent. Then  $x^2 = x$  and so

$$(x)f = (x^2)f = (x)f(x)f.$$

Thus (x)f is an idempotent.

Let S be a monoid and T be a monoid with zero element 0. Then define a mapping  $f: S \longrightarrow T$  by (s)f = 0 for all  $s \in S$ . Since 0 is an idempotent, f is a homomorphism and  $(1_S)f = 0$  is not the identity of T.

Since f is onto, for all  $t \in T$  there exists  $s \in S$  such that (s)f = t. Now, if x is the identity of S, then for any  $t \in T$ 

$$t(x)f = (s)f(x)f = (sx)f = (s)f = t$$

and

$$(x)ft = (x)f(s)f = (xs)f = (s)f = t.$$

Hence (x) f is the identity of T.

To see that (P)f is a subsemigroup it suffices to prove that it is closed. Let  $(x)f, (y)f \in Pf$ . Then  $(x)f(y)f = (xy)f \in Pf$  since f is a homomorphism and so  $xy \in P$ , as required.

**3-9**. Suppose that S is a semigroup such that  $x^2 = x$  and xyz = xz for all  $x, y, z \in S$ , and let  $a \in S$  be arbitrary. We will show that  $f: S \longrightarrow Sa \times aS$  defined by (s)f = (sa, as) is an isomorphism.

**Injective:** Suppose that (x)f = (y)f for some  $x, y \in S$ . Then (xa, ax) = (ya, ay) and so xa = ya and ax = ay. It follows that

$$x = x^2 = xax = yax = yay = y^2 = y,$$

and so f is injective.

Surjective: Trivial.

**Homomorphism:** If  $x, y \in S$ , then (x)f(y)f = (xa, ax)(ya, ay) = (xa, ay) and (xy)f = (xya, axy) = (xa, ay) = (x)f(y)f. Hence f is a homomorphism.

## Further problems

**3-10**. By Problems **2-1** and **3-9**, S is a rectangular band if and only if xyz = xz and  $x^2 = x$  for all  $x, y, z \in S$ .

 $(\Rightarrow)$ 

**[First proof.]** If S is a rectangular band, then we may assume without loss of generality that  $S = I \times \Lambda$  for some I and  $\Lambda$ . If  $a = (i, \lambda)$  and  $b = (j, \mu)$ , then ab = ba implies that  $(i, \mu) = (i, \lambda)(j, \mu) = (j, \mu)(i, \lambda) = (j, \lambda)$  and so i = j and  $\lambda = \mu$ . Thus a = b.

[Second proof.] Suppose  $a, b \in S$  are such that ab = ba. Then

$$a = a^2 = aba = ba^2 = ba = ab = ab^2 = bab = b^2 = b.$$

 $(\Leftarrow)$  It suffices to show that xyz = xz and  $x^2 = x$  for all  $x, y, z \in S$ .

If  $x \in S$  is arbitrary, then since  $x^2 \cdot x = x \cdot x^2$ , it follows by the assumption of this implication that  $x^2 = x$ .

If  $x, y \in S$  are arbitrary, then

$$xyx \cdot x = xyx^2 = xyx = x^2yx = x \cdot xyx$$

and so xyx = x. Hence

$$xyz \cdot xz = xyz = xz \cdot xyz$$

and so xyz = xz, as required.

**3-11**. It suffices, by Problem **2-3**, to show that there exist  $e, a \in S$  such that ea = a. But S is finite, and so it contains an idempotent e by Problem **2-9**. In particular, ee = e and so e is the identity of S, and so S is a monoid.  $\Box$