

# Multiple Sequence Alignment

BMI/CS 576

[www.biostat.wisc.edu/bmi576.html](http://www.biostat.wisc.edu/bmi576.html)

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# Multiple Sequence Alignment: Task Definition

- Given
  - a set of more than 2 sequences
  - a method for scoring an alignment
- Do:
  - determine the correspondences between the sequences such that the alignment score is maximized

# Multiple Alignment of SH3 Domain

```

GGWWRGdy.ggkkqLWFP SN YV
IGWLNgyne.tgkerGDFP GT YV
PNWWEgql..nnrrGIFP SN YV
DEWWQAr r..deqiGIVP SK --
GEWWKAqs..tgqgeGFI PFNFV
GDWWLAr s..sgqtrGYIP SN YV
GDWWDAel..kgrrrGKVPSN YL
-DWWEAr s l s sghrGYVP SN YV
GDWWYAr s l i t n s eGYIP ST YV
GEWWKArs l a t r k eGYIP SN YV
GDWWLAr s l v t g r eGYVP SN FV
GEWWKAks l s s k r eGFI PSN YV
GEWCEAqt.kngq.GWVP SN YI
SDWWRVvn l t t r q eGLIPLNFV
LPWWRAr d.kngqgeGYIP SN YI
RDWWEFrs k t v y t pGYYESGYV
EHWWKVkd.a l g n vGYIP SN YV
IHWWRVqd.r n g h eGYVP SS YL
KDWWKVe v..ndr qGGFVPAAYV
VGWMPGlner t r q rGGDFP GT YV
PDWWEGel..ngqrGVFP AS YV
ENWWNGei..gnrkGIFPAT YV
EEWLEGec..kgkvGIFPKVFV
GGWWKGdy.g t r i qQYFP SN YV
DGWWRGsy..ngqvGWFP SN YV
QGWWRGel..ygrvGWFP AN YV
GRWWKAr r.a n g e tGIIP SN YV
GGWTQGel.k s g q kGWAPT N YL
GDWWEAr s n . t g e nGYIP SN YV
NDWWTGr t . . n g k eGIFP AN YV

```


Figure from A. Krogh, An Introduction to Hidden Markov Models for Biological Sequences

# Motivation for MSA

- establish input data for phylogenetic analyses
- determine evolutionary history of a set of sequences
  - At what point in history did certain mutations occur?
- discovering a common motif in a set of sequences (e.g. DNA sequences that bind the same protein)
- characterizing a set of sequences (e.g. a protein family)
- building *profiles* for sequence-database searching
  - PSI-BLAST generalizes a query sequence into a profile to search for remote relatives

# Scoring a Multiple Alignment

- key issue: how do we assess the quality of a multiple sequence alignment?
- usually, the assumption is made that the individual *columns* of an alignment are independent

$$Score(m) = G + \sum_i S(m_i)$$


gap function

score of  $i^{\text{th}}$  column

- we'll discuss two methods
  - sum of pairs (SP)
  - minimum entropy

# Scoring an Alignment: Sum of Pairs

- compute the sum of the pairwise scores

$$S(m_i) = \sum_{k < l} s(m_i^k, m_i^l)$$

$m_i^k$  = character of the  $k$ th sequence in the  $i$ th column

$S$  = substitution matrix

# Scoring an Alignment: Minimum Entropy

- basic idea: try to minimize the *entropy* of each column
- another way of thinking about it: columns that can be communicated using few bits are good
- information theory tells us that an optimal code uses  $-\log_2 p$  bits to encode a message of probability  $p$ 
  - Frequently sent messages require few bits
  - Rarely sent messages require many bits

# Scoring an Alignment: Minimum Entropy

- the messages in this case are the characters in a given column
- the entropy of a column is given by:

$$S(m_i) = - \sum_a c_{ia} \log_2 p_{ia}$$

$m_i$  = the  $i$  th column of an alignment  $m$

$c_{ia}$  = count of character  $a$  in column  $i$

$p_{ia}$  = probability of character  $a$  in column  $i$



# Dynamic Programming Approach

- can find optimal alignments using dynamic programming
- generalization of methods for pairwise alignment
  - consider  $k$ -dimension matrix for  $k$  sequences (instead of 2-dimensional matrix)
  - each matrix element represents alignment score for  $k$  subsequences (instead of 2 subsequences)
- given  $k$  sequences of length  $n$ 
  - space complexity is

$$O(n^k)$$

# Dynamic Programming Approach

$$\alpha_{i_1, i_2, \dots, i_k} = \max \left\{ \begin{array}{ll} \alpha_{i_1-1, i_2-1, \dots, i_k-1} & + S(x_{i_1}^1, x_{i_2}^2, \dots, x_{i_k}^k) \\ \alpha_{i_1, i_2-1, \dots, i_k-1} & + S(-, x_{i_2}^2, \dots, x_{i_k}^k) \\ \alpha_{i_1-1, i_2, \dots, i_k-1} & + S(x_{i_1}^1, -, \dots, x_{i_k}^k) \\ \vdots & \\ \alpha_{i_1, i_2, \dots, i_k-1} & + S(-, -, \dots, x_{i_k}^k) \\ \vdots & \end{array} \right.$$

max score of alignment  
for subsequences  
 $x_{i_1}^1, x_{i_2}^2, \dots, x_{i_k}^k$

# Dynamic Programming Approach

- given  $k$  sequences of length  $n$ 
  - time complexity is

$$O(k^2 2^k n^k)$$

if we use sum of pairs

$$O(k 2^k n^k)$$

if column scores can be  
computed in  $O(k)$  ,  
as with entropy

# Heuristic Alignment Methods

- since time complexity of DP approach is exponential in the number of sequences, heuristic methods are usually used
- *progressive alignment*: construct a succession of pairwise alignments
  - star approach
  - tree approaches, like CLUSTALW
  - etc.
- iterative refinement
  - given a multiple alignment (say from a progressive method)
    - remove a sequence, realign it to profile of other sequences
    - repeat until convergence

# Star Alignment Approach

- given:  $k$  sequences to be aligned  
 $x_1, \dots, x_k$ 
  - pick one sequence  $x_c$  as the “center”
  - for each  $x_i \neq x_c$  determine an optimal alignment between  $x_i$  and  $x_c$
  - merge pairwise alignments
- return: multiple alignment resulting from aggregate

# Star Alignment Example

Given:

ATTGCCATT

ATGGCCATT

ATCCAATTTT

ATCTTCTT

ATTGCCGATT

ATGGCCATT

ATTGCCATT

ATC-CAATTTT

ATTGCCATT--

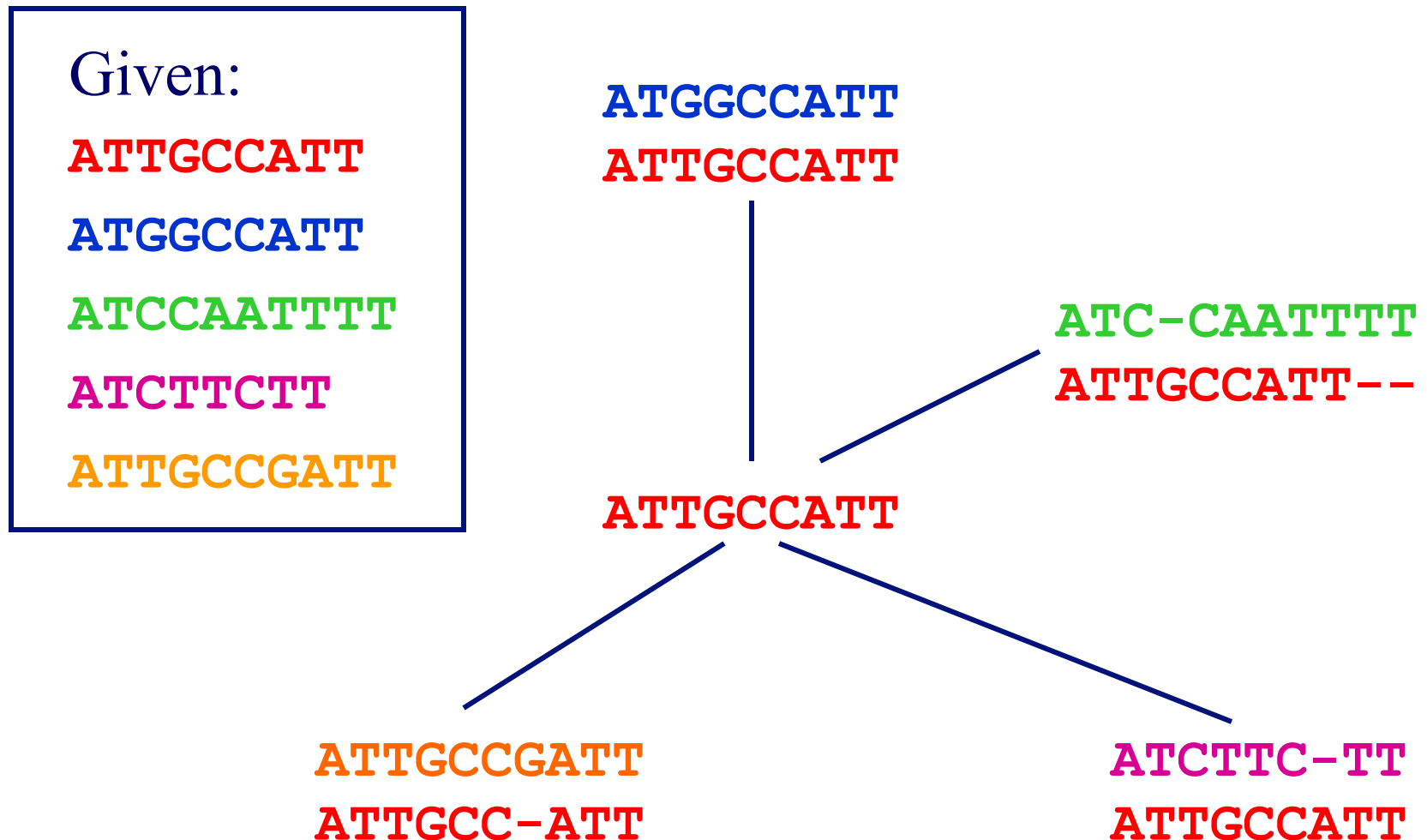
ATTGCCATT

ATTGCCGATT

ATTGCC-ATT

ATCTTC-TT

ATTGCCATT



# Star Alignment Example

- merging pairwise alignments

	present pair	alignment
1.	ATGGCCATT ATTGCCATT	ATTGCCATT ATGGCCATT
2.	ATC-CAATTTT ATTGCCATT--	ATTGCCATT-- ATGGCCATT-- ATC-CAATTTT

# Star Alignment Example

present pair

alignment

3.     ATCTTC-**TT**  
       ATTGCCATT

ATTGCCATT--  
ATGGCCATT--  
ATC-CAATTTT  
ATCTTC-**TT**--

4.     ATTGCCGATT  
       ATTGCC-ATT

ATTGCC- **A** TT--  
ATGGCC- **A** TT--  
ATC-CA- **A** TTTT  
ATCTTC- - TT--  
ATTGCCG **A** TT--

shift entire columns  
when incorporating a gap





# Star Alignments: Aggregating Pairwise Alignments

- “once a gap, always a gap”
- shift entire columns when incorporating gaps

# Star Alignments: Approaches to Picking the Center

Two possible approaches:

1. try each sequence as the center, return the best multiple alignment
2. compute all pairwise alignments and select the string  $x_c$  that maximizes:

$$\sum_{i \neq c} \text{sim}(x_i, x_c)$$

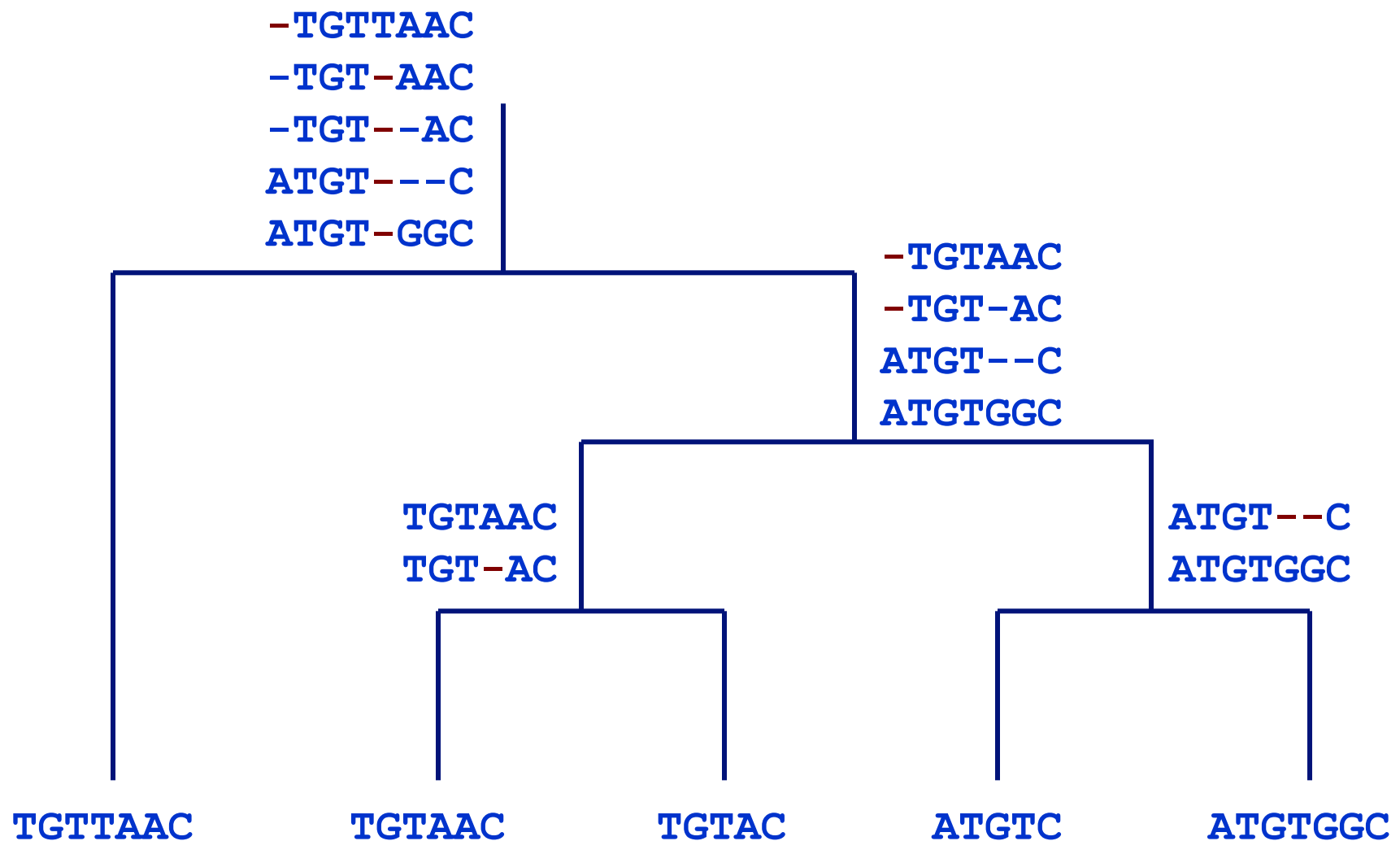
# Tree Alignments

- basic idea: organize multiple sequence alignment using a *guide tree*
  - leaves represent sequences
  - internal nodes represent alignments
- determine alignments from bottom of tree upward
  - return multiple alignment represented at the root of the tree
- one common variant: the CLUSTALW algorithm  
[Thompson et al. 1994]

# Doing the Progressive Alignment in CLUSTALW

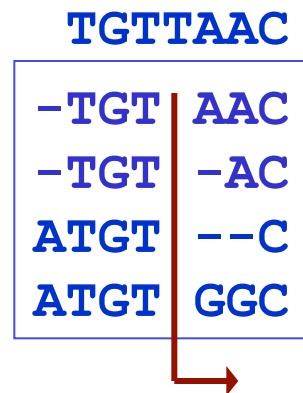
- depending on the internal node in the tree, we may have to align a
  - a sequence with a sequence
  - a sequence with a *profile* (partial alignment)
  - a *profile* with a *profile*
- in all cases we can use dynamic programming
  - for the profile cases, use SP scoring

# Tree Alignment Example



# Aligning Profiles

- aligning sequences/profiles to profiles is essentially pairwise alignment
  - shift entire columns when incorporating gaps



Alignment rows showing gaps (indicated by dashes):

- TGTTAAC
- TGT-AAC
- TGT--AC
- ATGT---C
- ATGT-GGC

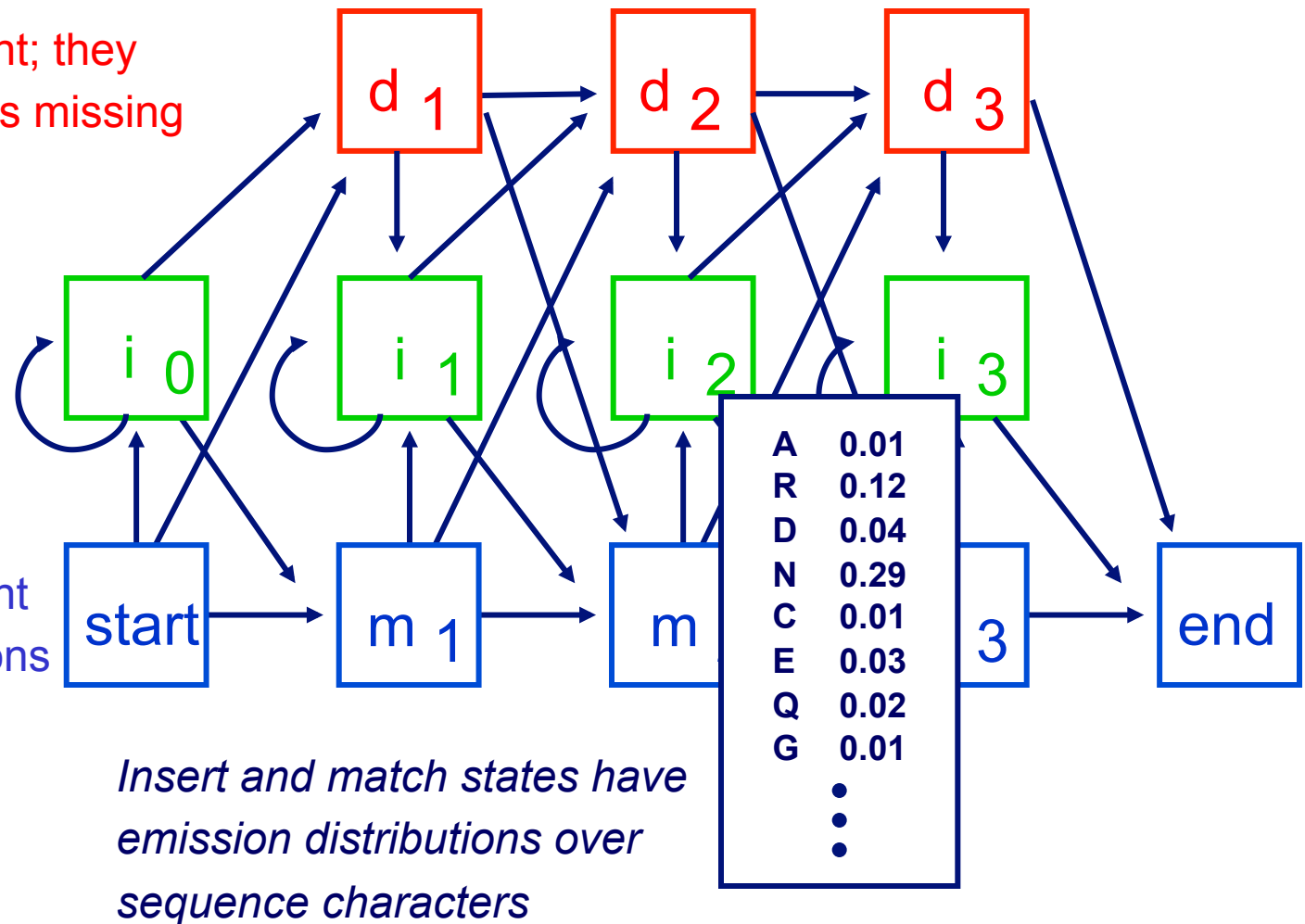
# Profile HMMs

- profile HMMs are commonly used to model families of sequences

*Delete states are silent; they  
Account for characters missing  
in some sequences*

*Insert states account  
for extra characters  
in some sequences*

*Match states represent  
key conserved positions*



# Multiple Alignment with Profile HMMs

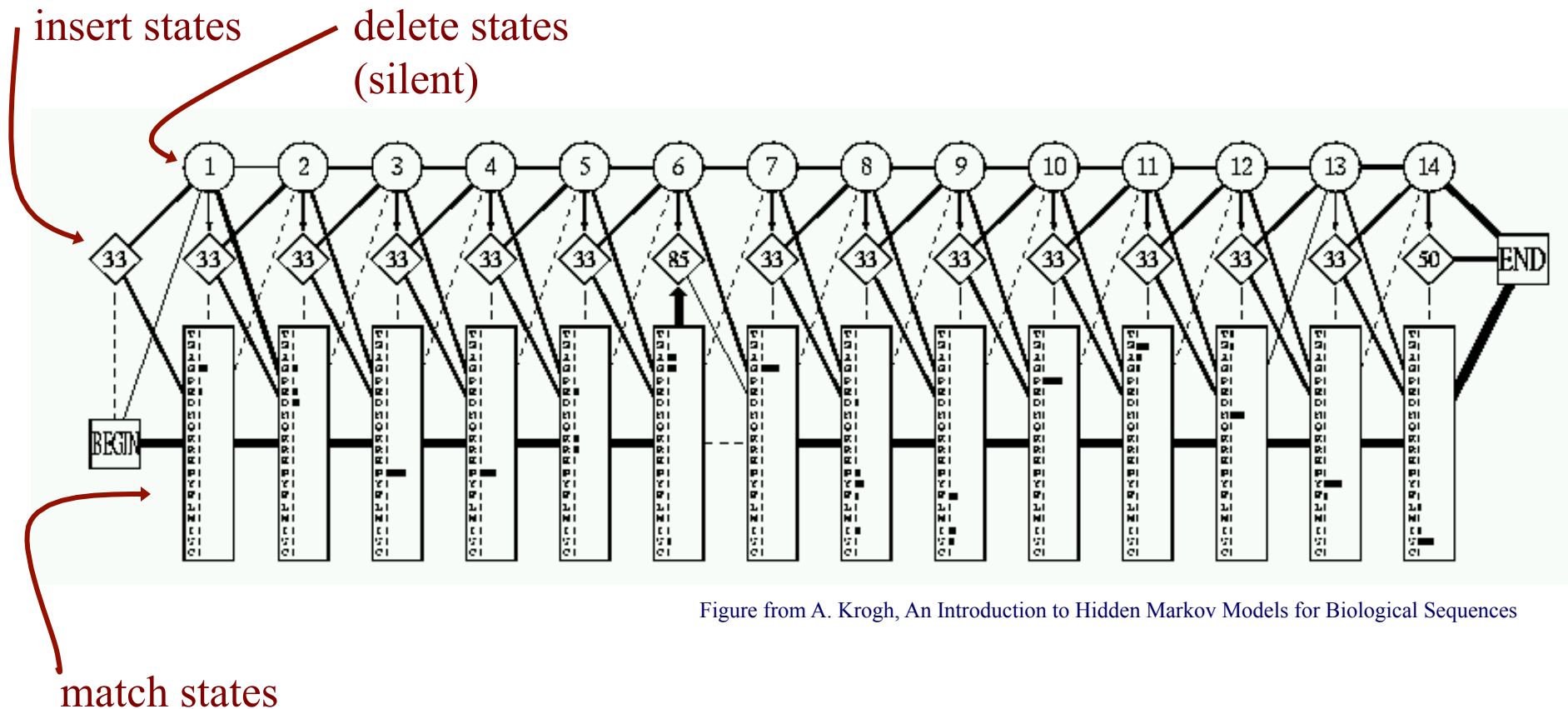


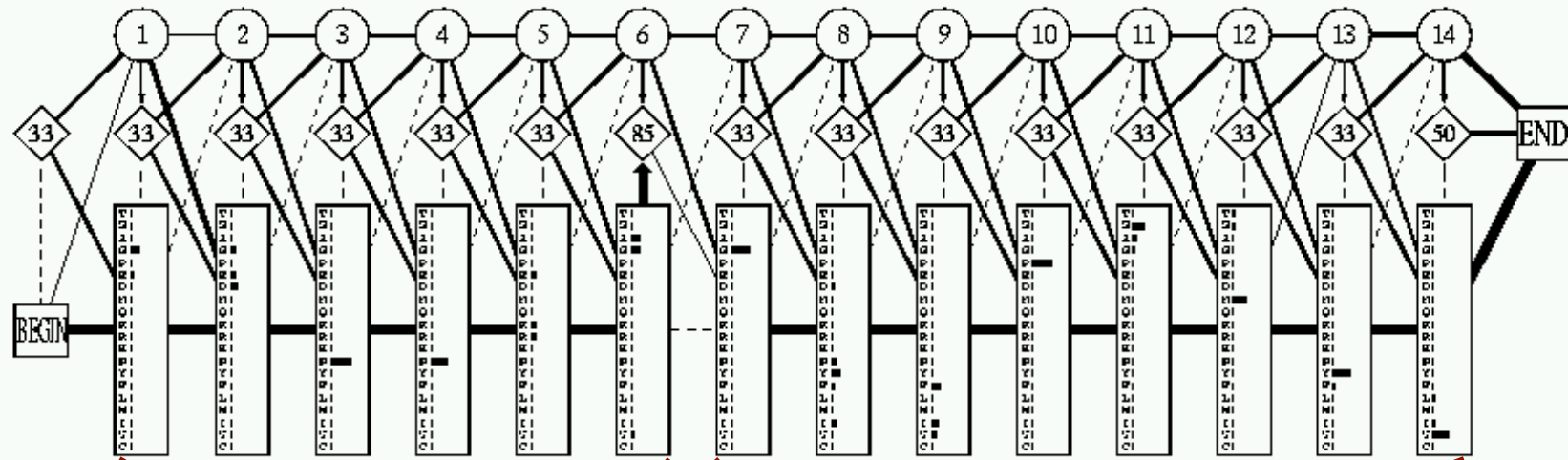
Figure from A. Krogh, An Introduction to Hidden Markov Models for Biological Sequences



# Multiple Alignment with Profile HMMs

- given a set of sequences to be aligned
  - use Baum-Welch to learn parameters of model
  - may also adjust length of profile HMM during training
- to compute a multiple alignment given the profile HMM
  - run the Viterbi algorithm on each sequence
  - Viterbi paths indicate correspondences among sequences

# Multiple Alignment with Profile HMMs



G	G	W	W	R	G	d	y	.	g	g	k	k	q	L	W	F	P	S	N	Y	Y	V
I	G	W	L	N	G	y	n	e	f	t	g	e	r	G	D	F	P	G	T	Y	Y	V
P	N	W	W	E	G	q	l	.	.	n	n	r	r	G	I	F	P	S	N	Y	Y	V
D	E	W	W	Q	A	r	r	.	.	d	e	q	i	G	I	V	P	S	K	-	-	-
G	E	W	W	K	A	q	s	.	.	t	g	q	e	G	F	I	P	F	N	F	V	-
G	D	W	W	L	A	r	s	.	.	s	g	q	t	G	Y	I	P	S	N	Y	Y	V
G	D	W	W	D	A	e	l	.	.	k	g	r	r	G	K	V	P	S	N	Y	L	-
-	D	W	W	E	A	r	s	l	s	s	g	h	r	G	Y	V	P	S	N	Y	V	-
G	D	W	W	Y	A	r	s	l	i	t	n	s	e	G	Y	I	P	S	T	Y	V	-
G	E	W	W	K	A	r	s	l	a	t	r	k	e	G	Y	I	P	S	N	Y	V	-
G	D	W	W	L	A	r	s	l	v	t	g	r	e	G	Y	V	P	S	N	F	V	-
G	E	W	W	K	A	k	s	l	s	s	k	r	e	G	F	I	P	S	N	Y	V	-
G	E	W	C	E	A	q	t	.	k	n	g	q	.	G	W	V	P	S	N	Y	I	-
S	D	W	W	R	V	v	n	l	t	t	r	q	e	G	L	I	P	L	N	F	V	-
L	P	W	W	R	A	r	d	.	k	n	g	q	e	G	Y	I	P	S	N	Y	I	-
R	D	W	W	E	F	r	s	k	t	v	y	t	p	G	Y	Y	E	S	G	Y	V	-
E	H	W	W	K	V	k	d	.	a	l	g	n	v	G	Y	I	P	S	N	Y	V	-
I	H	W	W	R	V	q	d	.	r	n	q	h	e	G	Y	V	P	S	S	Y	L	-

# Multiple Alignment Case Study: The Cystic Fibrosis Gene

- cystic fibrosis (CF)
  - recessive genetic disease caused by a defect in a single-gene
  - causes the body to produce abnormally thick mucus that clogs the lungs and the pancreas
- the cystic fibrosis conductance regulator (CFTR) gene
  - gene and its role in CF identified in 1989 [Riordan et al., *Science*]
  - most common mutation is called  $\Delta F508$ ; a deletion of a phenylalanine (F) at position 508 in the CFTR protein
  - the CFTR protein controls the movement of salt and water into and out of cells; mutations in CFTR block this movement, causing mucus problem

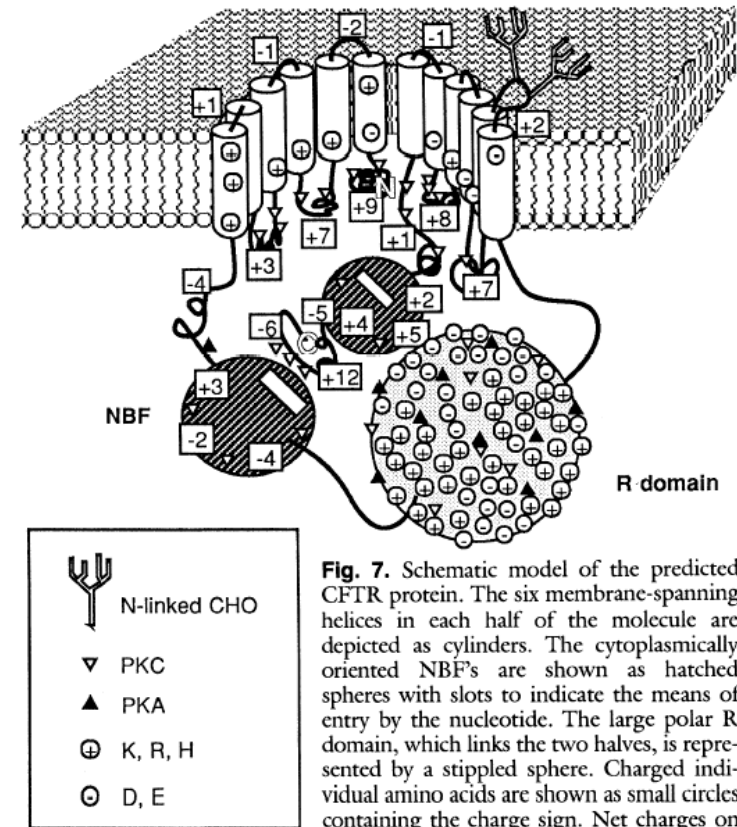
# A CFTR Multiple Alignment

CFTR (N)	FSLLGTPVLKIDINFKIERGQLAVAGSTGAGKTSLLMMIMG	ISFCSQFSWIMPGTIK-ENIFGVSYD	GEGGITLSGGQRRARISLARAVYKDADLYLLDSPFGYLDVLTEK
CFTR (C)	YTEGGEKAILNISFSISPGRQVGLLGRGTSGKSTLLSAFLR	DSITLQQWRKAFGVIPQKVFIFSGSTFR	VDGGCVLSHGKQLMCLARSVLSKAKILLLDEPSAHLDPVTYQ
hmdr1 (N)	PSRKEVKILKGLNLKVSQGTVALVGNSSGCGKSTTVQLMQR	IGVVSQEPVLFATTI-AENIRYGRNV	GERGAQLSGGQKQRIATARALVRNPKILLLDEATSALDTESEA
hmdr1 (C)	PTRPDIPVLQGLSLEVKKQGTALVGS SGCGKSTTVQLLER	LGIVSQEPILFDCSI-AENIAYGDNRSR	GDKGTLSSGGQKQRIATARALVRQPHILLLDEATSALDTESEK
mmdr1 (N)	PSRSEVQILKGLNLKVSQGTVALVGNSSGCGKSTTVQLMQR	IGVVSQEPVLFATTI-AENIRYGRNV	GERGAQLSGGQKQRIATARALVRNPKILLLDEATSALDTESEA
mmdr1 (C)	PTRPNIPVLQGLSLEVKKQGTALVGS SGCGKSTTVQLLER	LGIVSQEPILFDCSI-AENIAYGDNRSR	GDKGTLSSGGQKQRIATARALVRQPHILLLDEATSALDTESEK
mmdr2 (N)	PSRANKILKGLNLKVSQGTVALVGNSSGCGKSTTVQLLQR	IGVVSQEPVLSFTTI-AENIRYGRNV	GDRGAQLSGGQKQRIATARALVRNPKILLLDEATSALDTESEA
mmdr2 (C)	PTRANVPVLQGLSLEVKKQGTALVGS SGCGKSTTVQLLER	LGIVSQEPILFDCSI-AENIAYGDNRSR	GDKGTLSSGGQKQRIATARALVRNPKILLLDEATSALDTESEK
pfmdr (N)	DTRKDVEIYKDLSTFLTKEGKTYAFVGESGCGKSTILKLE	IGVVSQDPLLFNSNI-KNNIKYSLYSL	GSNASKLSGGQKQRIATARIMRNPKILLLDEATSSLDNKSEY
pfmdr (C)	ISRPNVPIYKNLSFTCDKSKTTAIVGETSGSKSTFMNLLR	FISVSGEPMFLNMSI-YENIKFGREDA	PYGKS-LSSGQKQRIATARALLREP KILLLDEATSSLDNSNEK
STE6 (N)	PSRPSAEVLKNVSLNFSAGQFTFIVGKSGSGKSTLSNLLR	ITVVEQRCSTLFNDTL-RKNILFGSDTS	GTGGVTLSSGGQQRVATARA FIRDTPILFDEAVSALD IVHRN
STE6 (C)	PSAPTAFFVYKNMNFDMFCQQTGLGIIGESGTGKSTLVLLTK	ISVVEQKPLEFNGTI-RDNLTYGLQDE	RIDTTLSSGGQAQRLCIARALLRKS KILILDECTSA LDSVSSS
hlyB	YKPDSPVILDNINIS IKQGEVIGIVRSGSGKSTILKILQR	RGVVLQDNVLNRSI-IDNISLAPGMS	PEGQAGLSGGQQRRIATARALVNNPKILIFDEATSALDYASEH
White	IPAPRKHLKLVCGVAYPGELLAVMGSSGAGKTTLLNALAF	RCAYVQQDDPLFGLIAREHLIFQAMVR	GRVVKGLSGGERKRLAFASEALDTPPLLICDEPTSSGLDSTFAH
MbpX	KSLGNLKLDRVSLYVPKFSLIALLGPSGSGKSSLLRILAG	MSFVFQHYALFKHMTVYENISFGLRLR	FEYPAQLSGGQKQRVALARSLAIQPDLLL-DEPFGALDGE LRR
BtuD	QDVAESTRLGPLSGEVRAGRILHLVFGPNAGKSTLLARIAG	YLSQQQTPPFATPVWHYLT LHQHDKTR	GRSTNGLSGGEWQRVRLAAVVLQITLLLLDEP MNSLDVAQQA
PstB	FYYGKFHALKINILDTAKNQVTAFIGPNSGCGKSTLLRTFNK	VGMVFKQPTPPFMSI-YDNIAGVRLF	HQSGYLSGGQQRRLCIARGAIRPEVLLDEPCSA LDPDISTG
hisP	RRYGGHEVLKGVSLQARAGDVISIIGSSGSGKSTFLRCINF	GIMVFQHFNLSHMTVLNVNMEAPIQV	GKYPVHLSGGQQRVRSIARALAMEPDVLLFDEPTSA LDPVLG
malK	KAWGEVVVSKDINIDIHEGEFVVVFGPSGCGKSTLLRMIAG	VGMVFQSYALYPHLSVAENMSFGLKPA	DRKPKALSGGQQRVAIGRTLVAEPSVFLLEP LSNLDAALRV
oppD	TPDGDTVAVNDLNTFLRAGETLGIVGESGSGKSQTAFALMG	ISMIFQDPMTSLNPMYRVGEQ LMEVLM	KMPYPHEFSGGMRQVRVIMAMALLCRPKLLIAD EPTTALDVTVOA
oppF	QPPKTLKAVDGVTLRLRYEETLGIVGVGESGCGKSTFARAIG	IQMIFQDPLASLNPRMTIGE IIAEPLR	NRPYPHEFSGGQQRIGIARALILEPKLIICDDA VSA LSVIOA
RbsA (N)	KAVPGVKALS GAALNVYPGRVMALVGENAGKSTMMKVLTG	AGI IHQELNLPQLTIAENIFLGREFV	DKLVGDLSTGDQDMVEIAKVLVSFESKVIIMDEPTCALDITETE
RbsA (C)	VDNLGCGPVNDVSFTLRKGEILGVSGLMGAGRT ELMKVLYG	ISEDKRKRDGLVLGMSVKENMSI TALRY	EQAIGLLSGGNQKQVAIARGLMTRPKVLI LDEPTPGVDVGAKK
UvrA	LTGARGNNLKDVTLTLPVGLFTCITGVSGSGKSTLINDTLF	TYTGVTVPVRELFAGVPESRARGYTPG	QGSATLSSGGEAQRVKLAR ELSKRGYILDEPTTGLHFA DIQQ
NodI	KSYGGKIVVNDLSFTIIAAGCEFGLLGPNAGKSTIIRMILG	IGIVSQEDNLDLEFTVRENLIYGVRYF	NTRVADLSSGGMKRRLLTLAGALINDPQLLILDEPTTGLD PHARK
FtsE	AYLGGRLQALGVTFHMQGEMAFLTGHS GAGKSTLLKLICG	ISMIFQDHHLLMDRTVYDNVAIPLIIA	KNFPPIQLSGGEGQQRVGIARAVVNKPAVLLADEPTGNLDDALSE

Figure from Riordan et al, *Science* 245:1066-1073, 1989.

# Multiple Alignment Case Study: the Cystic Fibrosis Gene

- two key features of the protein made apparent in multiple sequence alignment (and other analyses)
  - membrane-spanning domains
  - ATP-binding motifs
- these features indicated that CFTR is likely to be involved in transporting ions across the cell membrane



**Fig. 7.** Schematic model of the predicted CFTR protein. The six membrane-spanning helices in each half of the molecule are depicted as cylinders. The cytoplasmically oriented NBF's are shown as hatched spheres with slots to indicate the means of entry by the nucleotide. The large polar R domain, which links the two halves, is represented by a stippled sphere. Charged individual amino acids are shown as small circles containing the charge sign. Net charges on the internal and external loops joining the membrane cylinders and on regions of the NBF's are contained in open squares. Potential sites for phosphorylation by protein kinases A or C (PKA or PKC) and N-glycosylation (N-linked CHO) are as indicated. K, Lys; R, Arg; H, His; D, Asp; and E, Glu.

Figure from Riordan et al, *Science* 245:1066-1073, 1989.

# Notes on Multiple Alignment

- as with pairwise alignment, can compute *local* and *global* multiple alignments
- dynamic programming is not feasible for most cases -- heuristic methods usually used instead

# Summary: Some Methods for Multiple Sequence Alignment

method	alignment types	search
multi-dimensional dynamic programming	global/local	dynamic programming
Star	global	greedy via pairwise alignments
CLUSTALW (tree)	global	greedy via pairwise alignment
profile HMMs	global/local	Baum-Welch (EM) to learn model, Viterbi to recover alignments
EM/MEME Gibbs sampling Random projections etc.	local	EM Gibbs sampling random projections