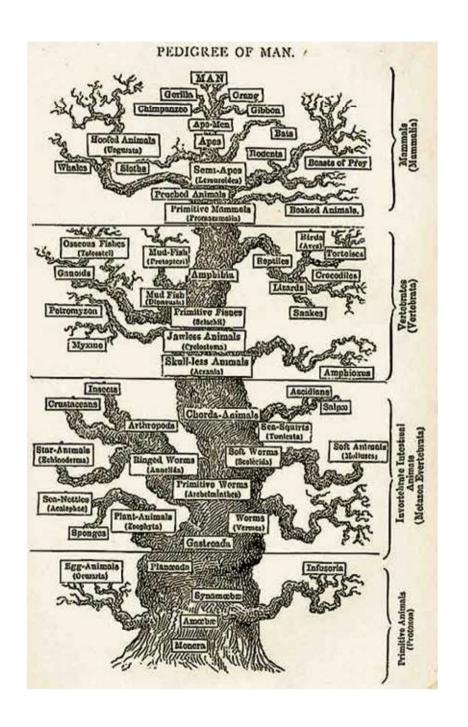


How to read and make phylogenetic trees Zuzana Starostová

phylogeny – the evolutionary history of a species or group of related species

- the process of evolution produces a pattern of relationships between species as lineages evolve and split and modifications are inherited, their evolutionary paths diverge
- this produces a branching pattern of evolutionary relationships
- each lineage has a part of its history that is unique to it alone and parts that are shared with other lineages



Why is phylogeny important?

understanding and classifying the diversity of life

We use phylogenetic trees for:

comparative analysis and character evolution

- biogeography
- dating age of different taxa
- genetic engineering
- disease epidemiology
- conservation

. . .

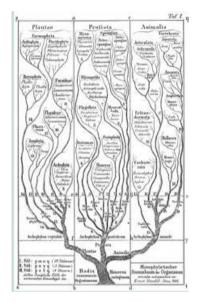
The Tree of Life Web Project (ToL) is a collaborative effort of biologists and nature enthusiasts from around the world. On more than 10,000 World Wide Web pages, the project provides information about biodiversity, the characteristics of different groups of organisms, and their evolutionary history.

http://tolweb.org/tree/

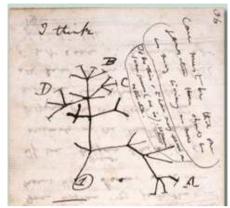
Tree of life



Charles Darwin
Relationships among species compared to
"the great Tree of Life"



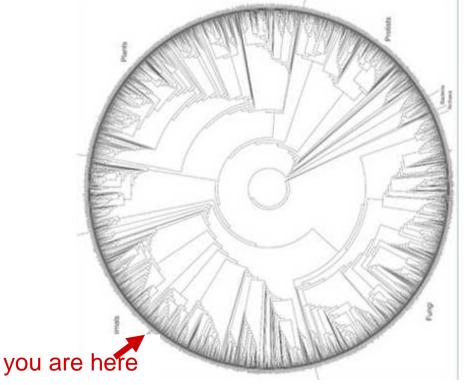
Ernst Haeckel – 1866 diagram of relationships among species based on general similarity

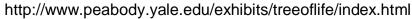


Darwin's diary, 1837

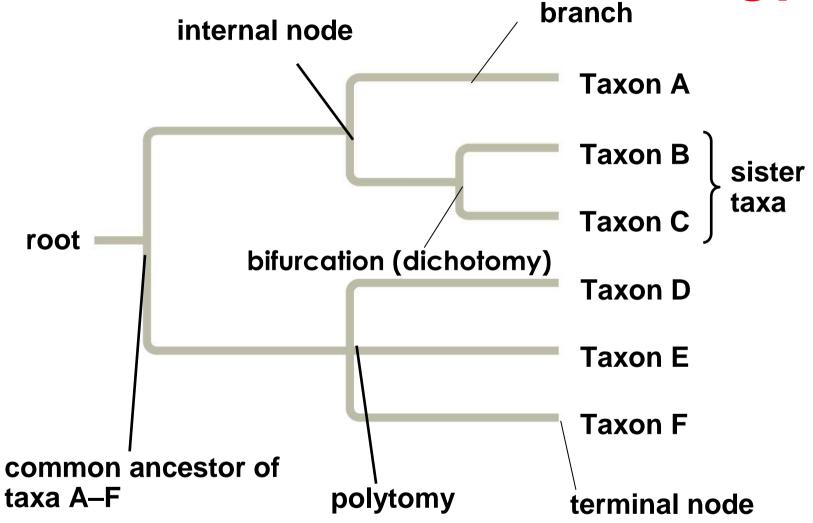
Willi Hennig – 1960s foundation of modern phylogenetics







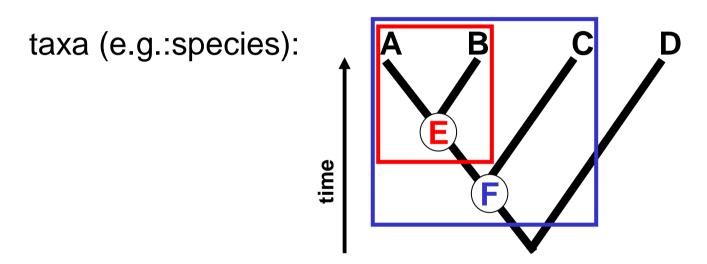
how to read trees - terminology



- •root of the tree represents the ancestral lineage, and the tips of the branches represent the descendants of that ancestor
- as one moves from the root to the tips = moving forward in time

phylogenetic tree

branching diagram showing relationships between taxa based on their shared common ancestors



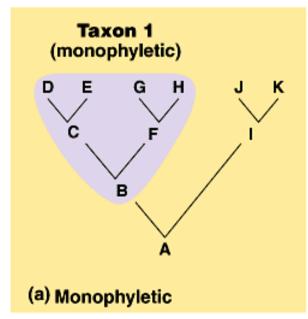
A and B are most closely related because they share a common ancestor (here "E") that C and D do not share

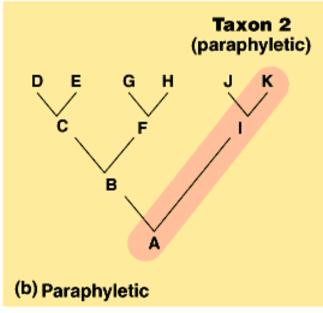
A+B+C are more closely related to each other than to D because they share a common ancestor ("F") that D does not share

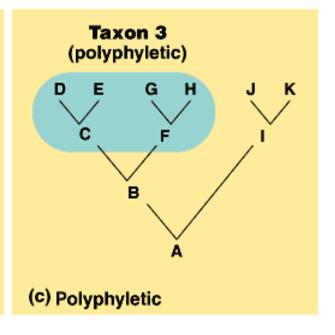
Phylogeny and classification

phylogenetic (cladistic) classification reflects evolutionary history

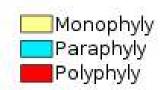
the only valid group for classification is **monophyletic group = clade** = group that includes a common ancestor and all the descendants (living and extinct) of that ancestor.

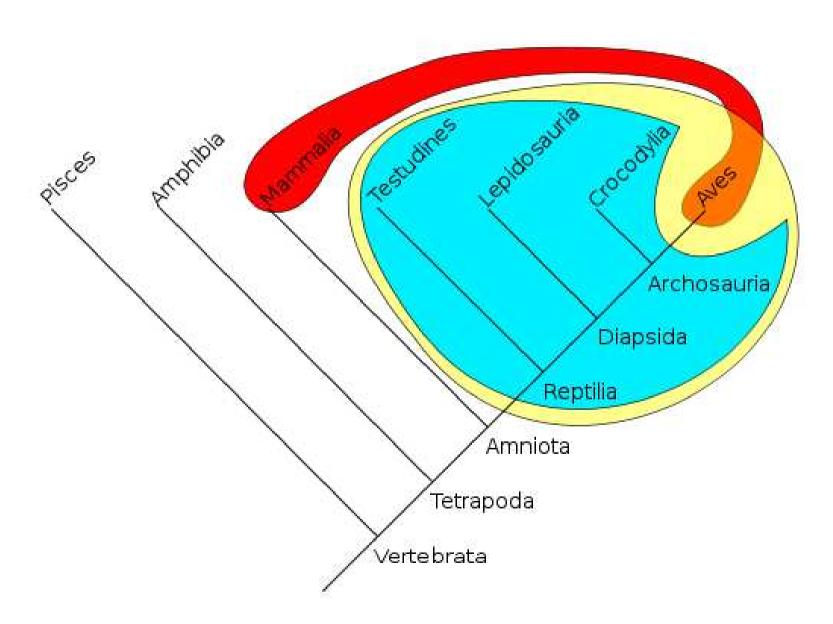






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rooted vs. unrooted phylogenetic trees

rooted tree – root leads to the common ancestor of all studied taxa (e.g.: species)

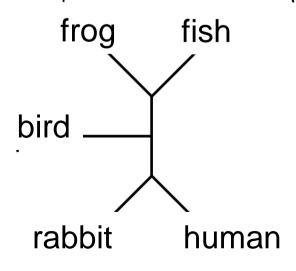
rooting the tree = indicating the direction of the evolutionary process

helps determine what is plesiomorphic and apomorphic

How to root a tree?

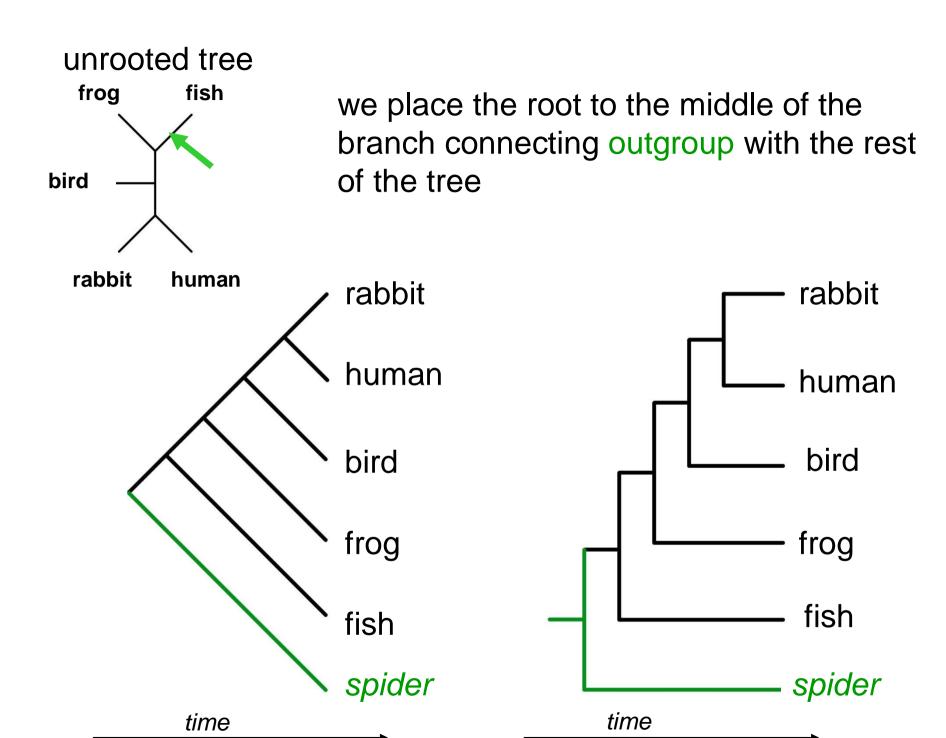
- introducing outgroup

outgroup – a species or a set of species that are definitely distant from all the species of interest (ingroup), but still closely related

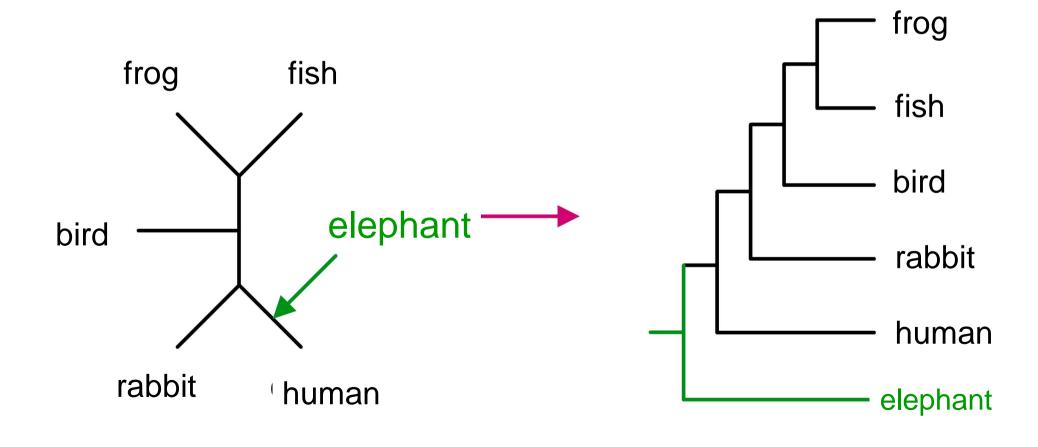


we will use an invertebrate species to root the tree

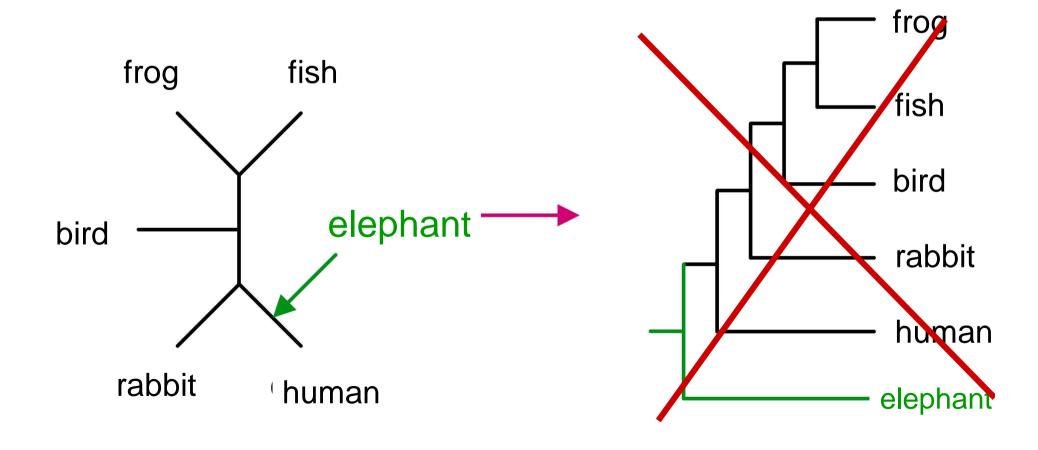
(since these species are all Craniata it would be better to use Urochordata (tunicates) to root the tree)



But what if our outgroup is wrong?



But what if our outgroup is wrong?



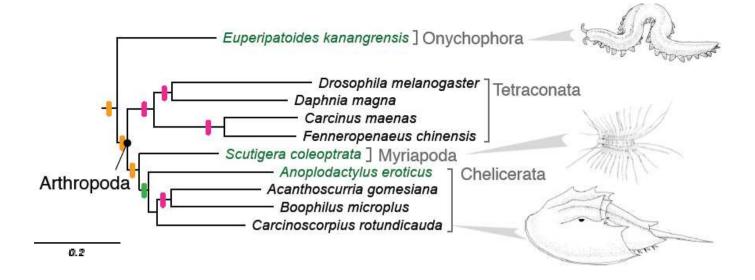
rooted vs. unrooted phylogenetic trees

(2n - 3)!

 $2^{n-2}(n-2)!$ Number of bifurcating rooted trees for n taxa (OTUs) OTU = operational taxonomical unit

Number of bifurcating trees is increasing with number of taxa. The number of unrooted trees for n taxa is equal for the number of rooted trees for (n-1) taxa.

no. of taxa	no. of unrooted trees	no. of rooted trees
4	3	15
8	10 395	135 135
10	2 027 025	34 459 425
22	3×10^{23}	
50	3×10^{74}	
100	2×10^{182}	



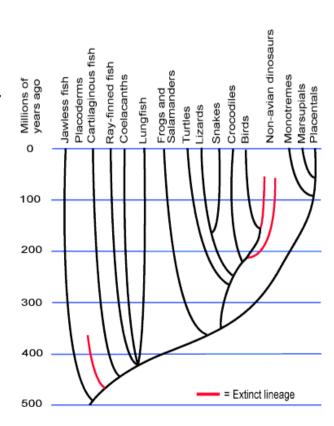
branch length = time of divergence from the ancestor

molecular clock: sequence divergence increases over time linearly

when molecular clock holds (accumulation is linear over time) – all lineages in the tree have accumulated substitutions at the same rate

evolutionary rate dependent on metabolic rate, generation time, bottleneck events,...

we need calibration points (fossils, geological events)



How to make trees?

like family trees, phylogenetic trees represent patterns of ancestry

to reveal phylogenetic relationship, we have to compare characters which are **inherited from a common ancestor**

homologous characters (x analogous characters are result of convergent evolution)

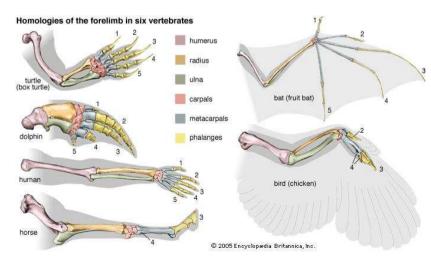
character = heritable traits that can be compared across organisms

two or more forms = character state

types: physical characteristics (morphology), genetic sequences,

and behavioral traits

	j	10	20		30		4	40	50	6
Ael	GCCCAGI	CATTAAC	TTCGAC	GAT	A	TAC	PAC:	PCCCT	CGTCCGC	CAGAGAAC
CB 9	GCTTAGC	CCTAAAC	TAAGAT	AC	AΤ	TCAC	G	TACA	ATCCGC	CAGAGCAC
CE 9	GCTTAGO	CCTAAAC	CTTGATT	TCC	CCGA	ATAC	A	AACA	ATCCGC	CAGAGCAC
CM 9	GCTTAGC	CATAAAC	CTTGATA	TACT	ACC .	ATA	A	AATA	TCCGC	CAGAGAAC
CV 9	GCTCAGC	CATTAAC	CTAGATG	TAC	AA	AAAC	A	TACA	ATCCGC	CAGAGTAC
Cyr		CGTAAAC		AGT	CAA	AC	AAC	CAACT	ATCCGC	CAGAGAAC
EAI		CATTAAC		ACA	ATA	TAA		CACA		CAGAGAAC
EAS		CATTAAC			ATA	TAAC		CACA		CAGAGAAC
EM 9	GCTTAGC	CATAAAC	CTAGGC	ACA	ACA	TAA	CA	TGCA	TGCCCGC	CAGAGAAC
EMS		CATAAAC			ACA	TAA		TGTA		CAGAGAAC
EMT		CATAAAC		ATA	ACA	TAA		TGTA		CAGAGAAC
EMV		CATAAAC			ACA	TAA	A	TGCA		CAGAGAAC
GA 9	GCTTAGC				CCA	TAC		AATA	TATTCGC	
GG 9			TTTGATA		CA			AAATC		CAGGGGAC
GKKJ		CATAAAC		AAT	TCA	AC	A	CCTA		CAGAGAAC
GKKS		CATTAAC		A T	TCA	AC	A	CCCA		CAGAGAAC
GKO		CATAAAC		AAT	TCA	AC	A	CCTA		CAGAGAAC
GKS		CATTAAC		ATT	TCA	AC	A	CCCA	ACTCCGC	
GLI			CTTAATA		CAC	TAC	A	AATA	TATTCGC	
GLU			CCTAATA		TTC	TAC	AC	AATA	TATTCGC	
Hem			CTAGGCC		CCA	CTAC	A	CATG		CAGAGAAT
hemta			CTTGGCC		CCA	CTAC		AAGC		CAGAGAAC
Hol		CATTAAC		ACC	TAAAA			CATA	GGCCCGC	
Rha	GCTTGGT	CATAAAC	CCAGAC	ATT	TTAA	AC	CA	CACA	TGTCCGC	CAGAGTAC





Pros and cons of molecular characters (mainly sequence data)

- molecular data is much more abundant (human genome 3,1 Gb, E. coli 4.6 Mb)
- independent (one position in the sequence of nucleotides x an eye the eye is missing, but it means that also cornea, retina, etc. are missing)
- easy to describe (A, C, T, G at the position 175 in the cytochrome b gene) x some structure on the bone more pointed
- can resolve relationships at all different levels of organization, from species and populations to phyla and kingdoms
- less subjective
- neutral number of shared characters mirrors phylogenetic relationship not just the same environmental selection pressures



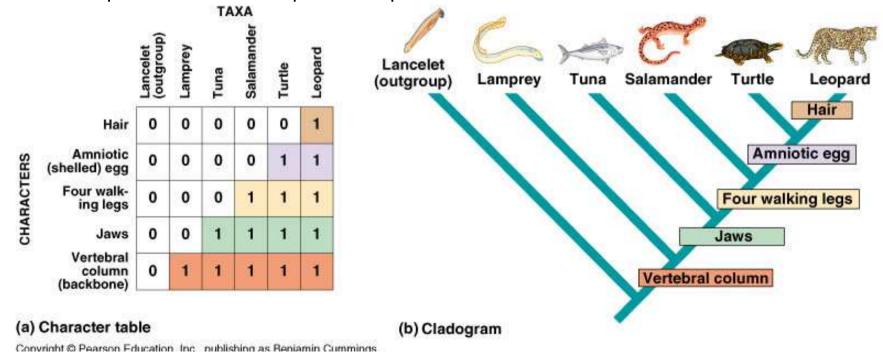
Pros and cons of molecular characters (mainly sequence data)

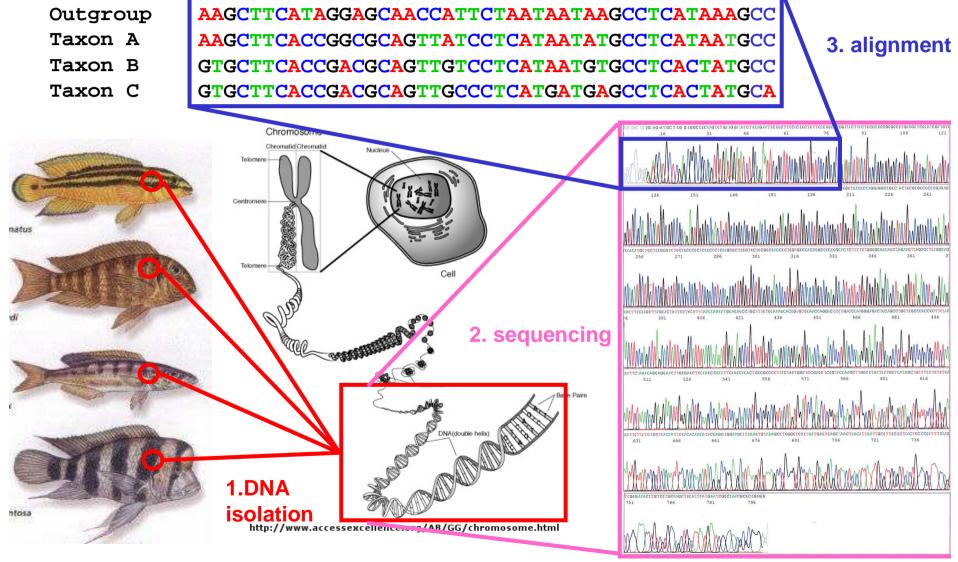
- expensive
- technically demanding
- also non-experts on a given group can use them (lack of insight)
- no information about phenotype

How to make a tree - morphological example

- -selected species have shared primitive and derived characters
- -for reconstructing phylogeny derived shared characters = **synapomorphies** are important
- -create a character table (matrix) with variable characters
- -group the taxa based on synapomorphies the more shared
- characters, the more closely related are the species
- for morphological data we use usually maximum parsimony method

which prefers the simplest explanation of observed data





picture from R. Cox – Phylogeny. http://www.dartmouth.edu/~robertcox/Teaching.html

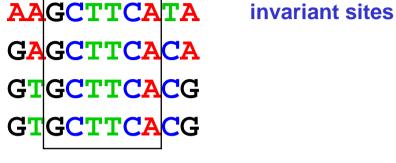
Outgroup

Taxon A

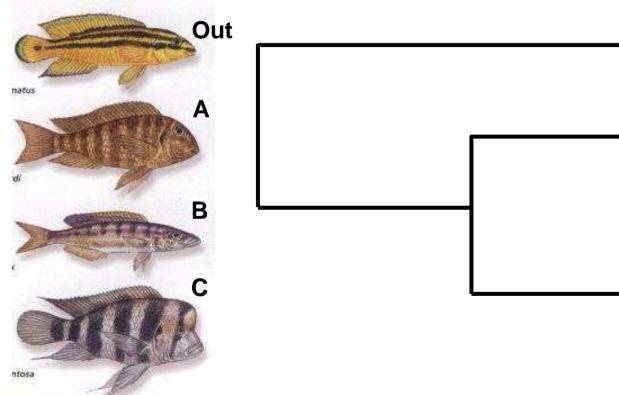
Taxon B

Taxon C

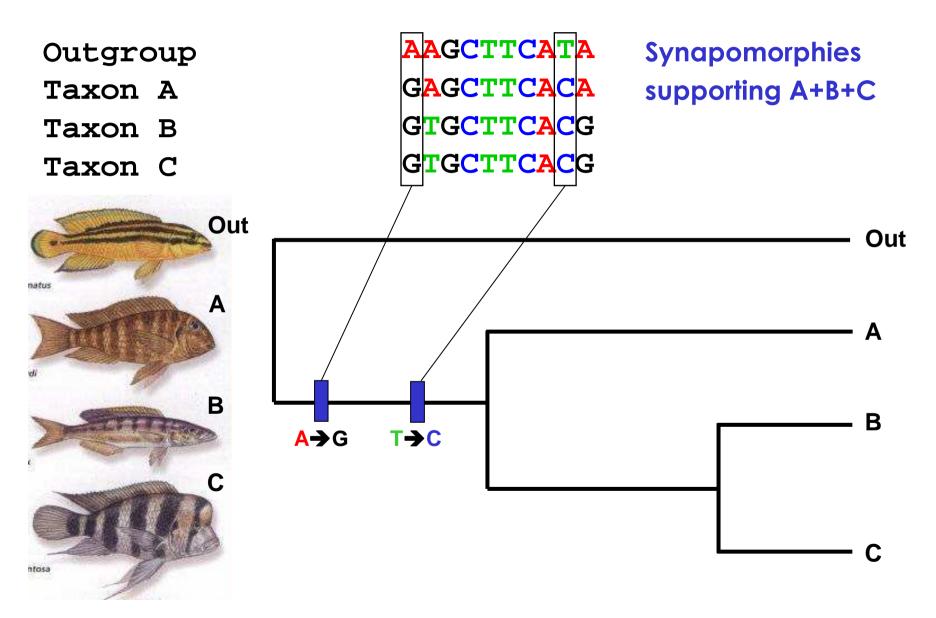
AAGCTTCATA

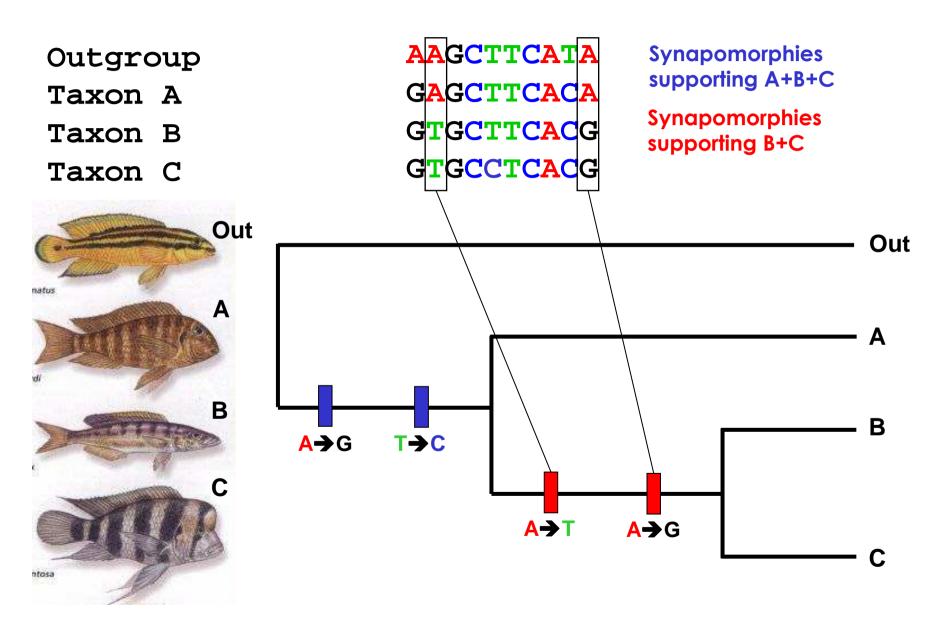


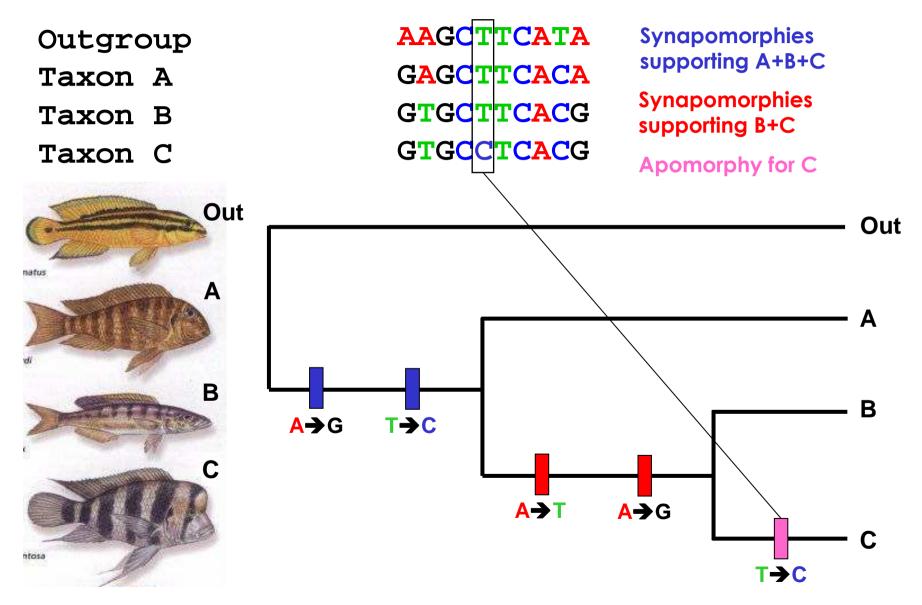
Out



picture from R. Cox – Phylogeny. http://www.dartmouth.edu/~robertcox/Teaching.html





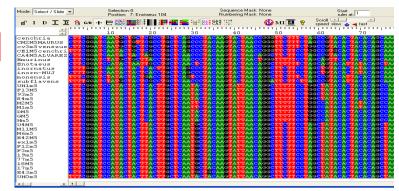


picture from R. Cox - Phylogeny. http://www.dartmouth.edu/~robertcox/Teaching.html

How to make phylogenetic trees? Workflow:

- obtain DNA sequence
- quality check
 - sequence alignment
 - calculating genetic distances
 - phylogeny estimation topology and branch length
 - reliability test (bootstrap)
 - tree visualization

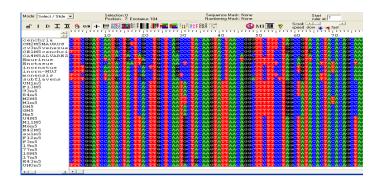
Where sequences differ and where are the same?



Alignment

- a way of arranging the sequences of DNA, (RNA, protein) to identify regions of similarity
- start of every phylogenetic analysis
- assessing of position homology of each base in the sequence
- each position (column in the alignment) in the sequence represents character potentially useful for the phylogenetic analysis
- different programs for calculating and editing alignments
 - manual: BioEdit, Macaw
 - automatic different algorithms

Clustal X, PileUp, Multalin, Mafft – often online



Alignment - pairwise alignment (two sequences)

- multiple alignment (more sequences)

gaps are inserted between the bases so that identical or similar characters are aligned in successive columns

during alignment: algorithm is searching for the best position for each base and <u>receives points</u>:

Plus points for every match,
Minus points for inserting or extension of the gap,

The goal is to obtain the best score

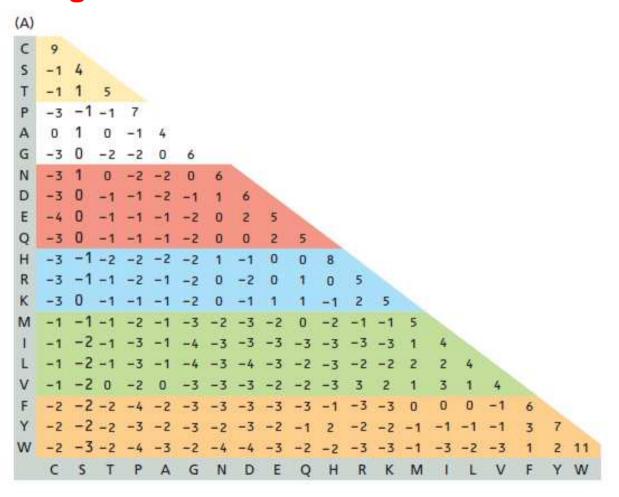


Figure 4.4

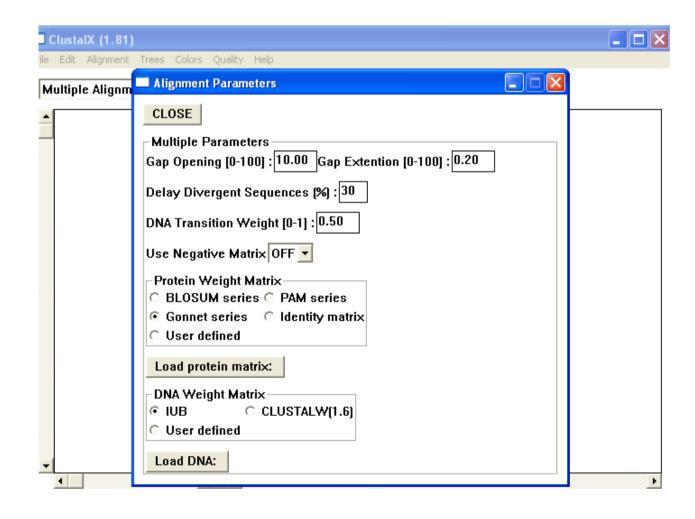
Amino acid substitution scoring matrices. (A) The BLOSUM-62 matrix and (B) the PAM120 substitution matrix. Each cell represents the score given to a residue paired with another residue (row x column). The values are given in half-bits, as discussed in Section 5.1. The colored shading indicates different physicochemical properties of the residues (see Figure 2.3): small and polar, yellow; small and nonpolar, white; polar or acidic, red; basic, blue; large and hydrophobic, green; aromatic, orange.

scoring matrices

Alignment:



Clustal W (Clustal X) www.clustal.org – frequently used software (Thompson et al. 1994 a 1997)



What can help:

- first use default parameters, later increase penalty for gap opening and decrease penalty for gap extension
- coding genes align as amino acids
- use information about sequence secondary structure (genes for 12S a 16S RNA) – database with alignments with secondary structure in mind (http://www.arb-silva.de/)
- delete ambiguously align positions

MOLECULAR PHYLOGENETICS AND EVOLUTION Vol. 2, No. 2, June, pp. 152-157, 1993

MOLECULAR PHYLOGENETICS AND EVOLUTION Vol. 4, No. 1, March, pp. 1–9, 1995

> Elision: A Method for Accommodating Multiple Molecular Sequence Alignments with Alignment-Ambiguous Sites

> > WARD C. WHEELER,* JOHN GATESY,† AND ROB DESALLE\$

Alignment-Ambiguous Nucleotide Sites and the Exclusion of Systematic Data

JOHN GATESY, * ROB DESALLE, † AND WARD WHEELER*





Phylogeny estimation



character based (maximum parsimony, maximum likelihood, Bayesian analysis)

two types of methods



distance based (Neighbour-joining, UPGMA)

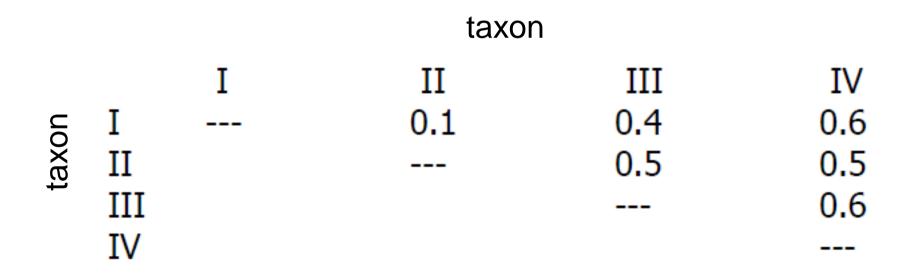
Two different approaches:

algorithm – number of specific steps resulting in one best tree methods: UPGMA, Neighbour-joining

optimality criterion – consider and compare all theoretically possible trees based on selected criteria- number of evolutionary steps, likelihood value

distances

input is a matrix of distances between species



proportional (p) distance

number of substitutions between sequences

p= total number of base differences/total no. of available sites

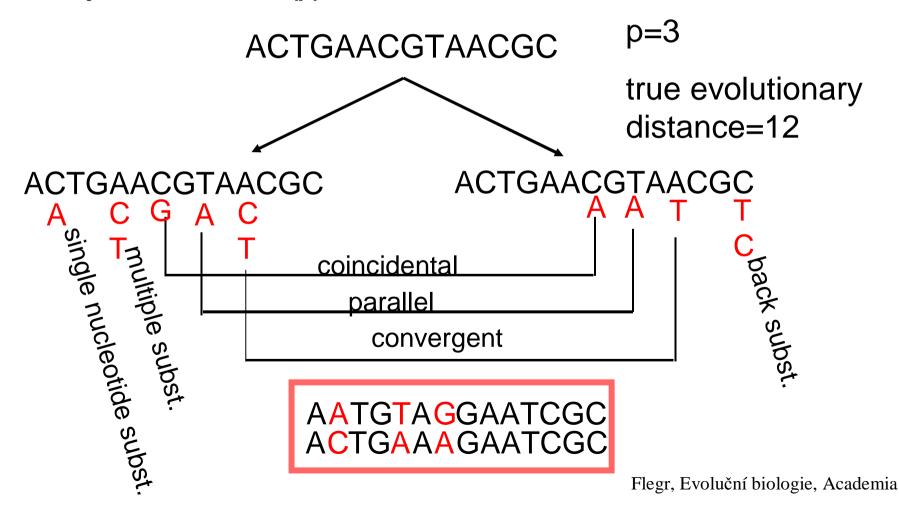
$$p = n_d/n$$

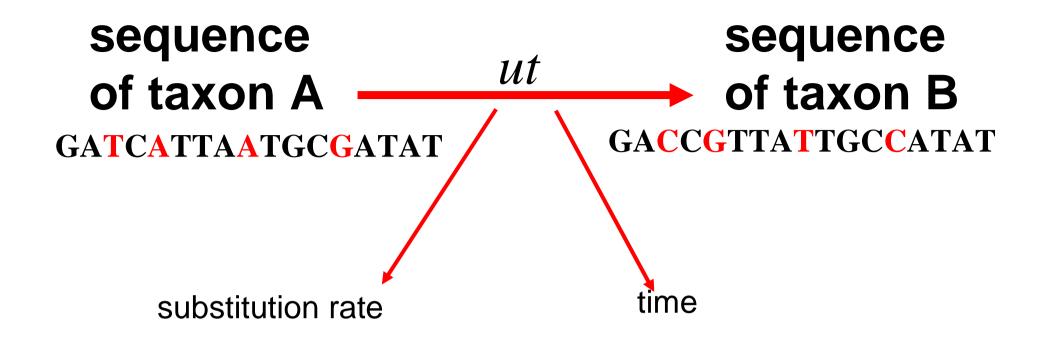
GATCATTAATGCGATAT GACCGTTATTGCCATAT

$$p = 4/17 = 0.23$$

real number of substitutions in the sequence over time is usually higher than observed p distance

we can see just 3 differences (p), but in fact there was 12 substitutions





in phylogenetic analyses we use "correction" of observed distances to estimate number of hidden changes (multiple mutations etc.)

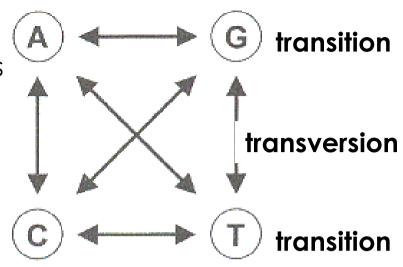
correction based on different substitution type (Ts, Tv), different substitution rate, frequencies of nucleotides

Jukes-Cantor model (distance)

all substitution types and base frequencies are presumed equal

JC distance

$$d_{JC} = -\frac{3}{4} \ln(1 - \frac{4}{3}p)$$



Kimura 2-parameter model (K2P):

transitions are more likely than transversions, equal base frequencies

K2P distance

$$P = n_{TS} / n$$

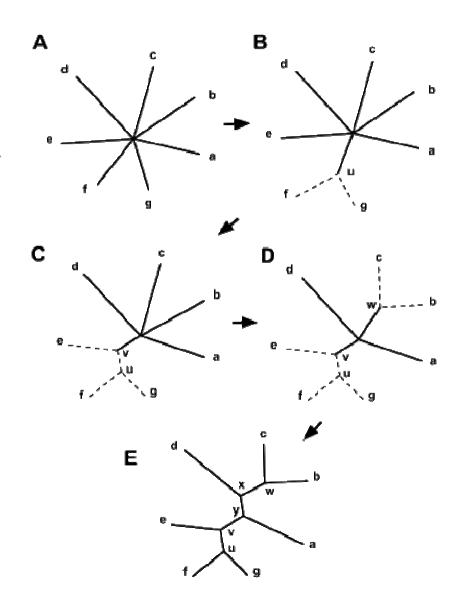
 $Q = n_{TV} / n$

$$d_{K2P} = 0.5 \ln \left(\frac{1}{1 - 2P - Q} \right) + 0.25 \ln \left(\frac{1}{1 - 2Q} \right)$$

methods

Neighbour-joining (NJ) - the fully resolved tree is decomposed from a fully unresolved star tree by successively inserting branches between a pair of closest neighbors and the remaining terminals in the tree - result is one tree

• other methods: UPGMA (Unweighted Pair Group Method using Arithmetic means), Minimal evolution



conclusion, pros and cons

distance methods rely on evolutionary models to estimate the numbers of multiple substitutions – their result is dependent on how well the accepted models match the actual evolutionary properties of the sequences

only one tree is derived

discards the primary character data

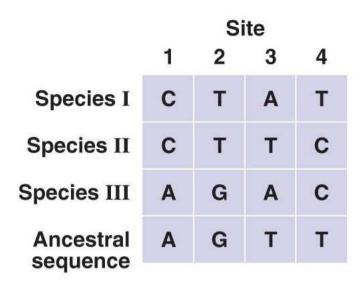
problem with interpretation of branch lengths

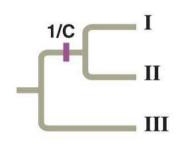
very fast, ideal for the first insight

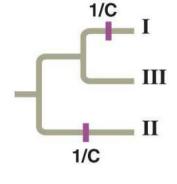
Maximum parsimony:

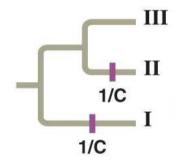
optimality criterion - parsimony score = minimum number of events (steps) required by a tree to explain the variation in the data

search for topologies that minimize the total tree length assuming a minimum number of base changes "Occam's Razor" – "keep it simple"



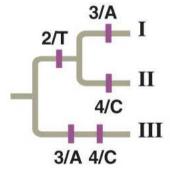


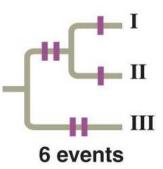


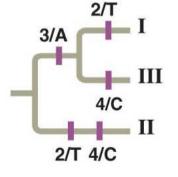


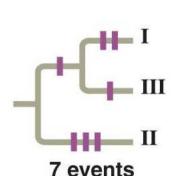
not all characters are good for parsimony:

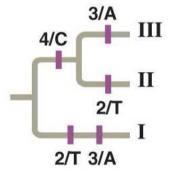
the alignment is checked for **informative positions** = a site must have the same sequence characters in at least two taxa and must favor one topology over another

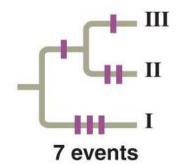












Maximum parsimony:

optimality criterion - parsimony score = minimum number of events (steps) required by a tree to explain the variation in the data

search for topologies that minimize the total tree length assuming a minimum number of base changes "Occam's Razor" – "keep it simple"

(2n - 3)!

We already know that there are a lot of possible trees- in most cases we can not compare all of them

2ⁿ⁻²(n-2)!

no. of taxa	no. of unrooted trees	no. of rooted trees
4	3	15
8	10 395	135 135
10	2 027 025	34 459 425
22	3×10^{23}	
50	3×10^{74}	
100	2×10^{182}	

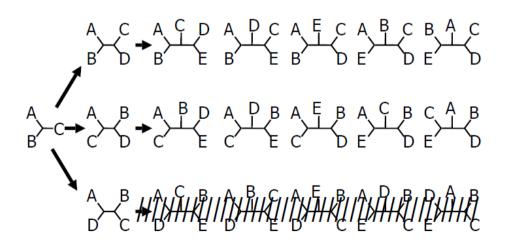
no. of trees exponentially increases

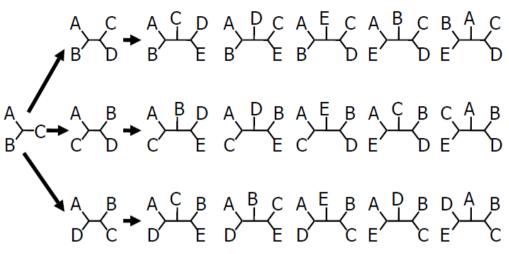
Exhaustive Searching

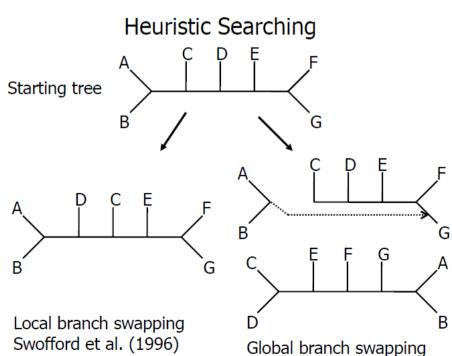
Tree searching



Branch and Bound Searching







Maximum parsimony

in most cases we can not compare all trees

⇒ heuristic search

- create random tree
- calculate parsimony score
- rearranging of the tree,
- calculate parsimony score
- further the method works with the better (shorter) tree
- repeated rearranging and calculating scores
- at the end shortest tree

Sometimes (quite often) we find more equal trees

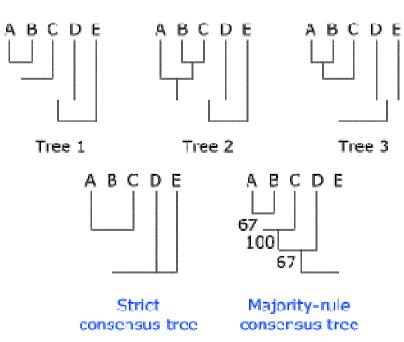
Consensus tree:

when multiple phylogenies are supported - a consensus tree shows only those relationships common to all trees (based on our settings)

strict consensus (only relationships common to all trees)

• majority-rule consensus (relationships common to 50 or 70% of trees

are shown)



Parsimony: pros and cons



works directly on the data straightforward, well understood principle relatively fast does not need a model of evolution (but not really model free – change is rare)



performs weakly on distantly related data prone to "false identities" (multiple changes) long branch attraction can produce many trees with the same parsimony score

long branch attraction (LBA)

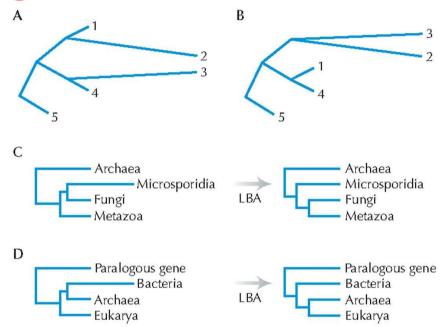


FIGURE 5.22. Long-branch attraction is a methodological artifact that can cause phylogenetic trees to inaccurately portray evolutionary history. The phenomenon causes errors in phylogenetic reconstruction when two (or more) of the entities being studied lie on the end of long branches in their "real" tree but are not sister taxa. (A) In this hypothetical "real" tree of five species, species 2 and 3 (which are not sister taxa, as indicated) have undergone higher rates of evolution than the other three, and thus sit at the end of longer branches. Many phylogenetic reconstruction methods used to infer the evolution of species will cause the long branches to appear to be closely related and thus produce an incorrect tree (as shown in B). (C) In studies of the evolution of microsporidia (a relative of fungi, left tree), long-branch attraction (LBA) is believed to have erroneously identified them as deeply branching eukaryotes (right tree). (The evolution of microsporidia is discussed in more detail on p. 198.) (D) In trees of anciently duplicated genes, long-branch attraction might have pulled bacteria down to the paralogs used to root the tree, because the paralogs are at the end of a long branch (right tree). This would occur if bacteria evolved at a higher rate than archaea and eukaryotes (as suggested in the left tree).

5.22C,D, redrawn from Forterre P. et al., Bioessays 21: 871-879, © 1999 Wiley-Liss, Inc.

How to make phylogenetic trees? Workflow:

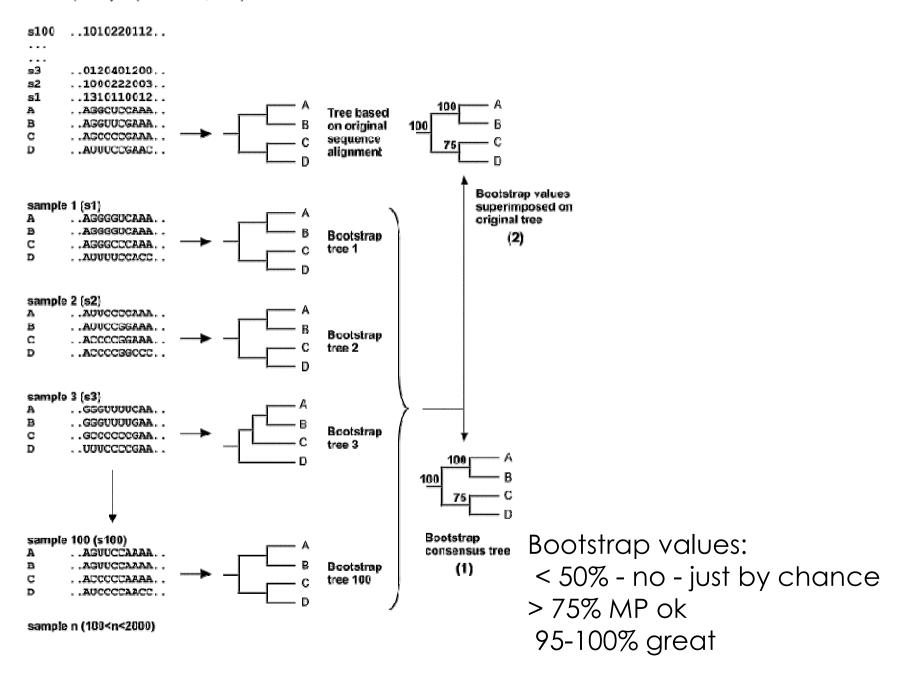
- obtain DNA sequence
- quality check
- sequence alignment
- calculating genetic distances
- phylogeny estimation topology and branch length
 - reliability test (bootstrap)
 - tree visualization

reliability tests

-nonparametric resampling methods - bootstrapping, jackknifing

- new data sets are created from the original data set by sampling columns of characters by random with replacement
- each site can be sampled again with the same probability as any of the other sites

Box 3Bootstrap Analysis (Felsenstein, 1985)

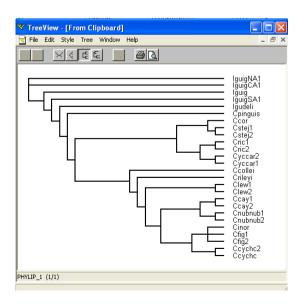


Tree visualization:

Newick format

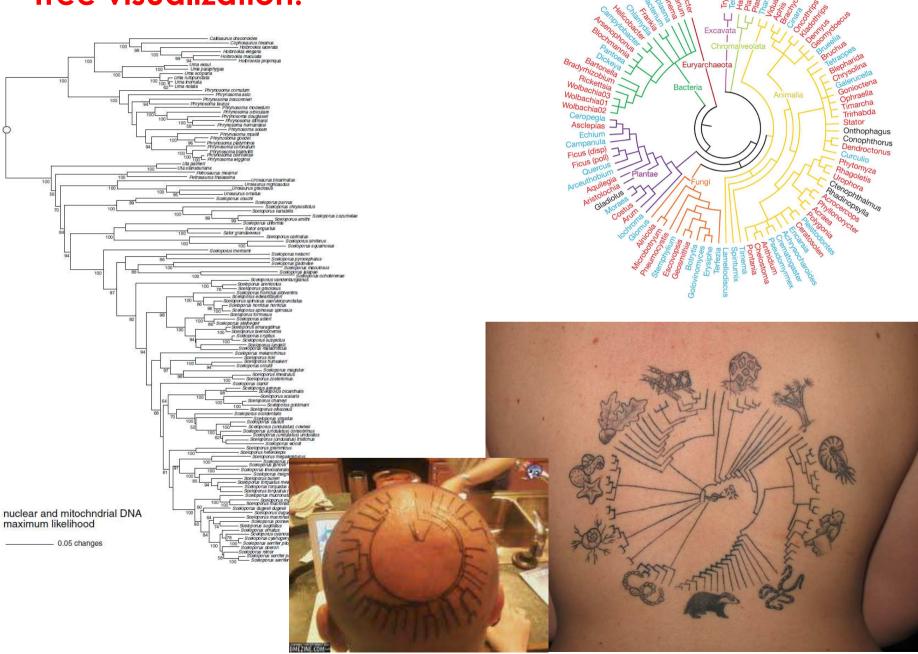
(A,(B,(C,(D,E))))

(IguigNA1_:0.00221,(Iguig:0.01733,(((Cpinguis:0.05228,((((Cstej1:0.00012, Cstej2:0.00098):0.00354,Ccor:0.00543):0.03863,((Cric1:0.00184, Cric2:0.00184):0.01853,(Cyccar2:0.00636,Cyccar1:0.00498):0.01702):0.03298):0.01722, (Ccollei:0.04462,(Crileyi:0.01300,((Clew1:0.00221,Clew2:0.00221):0.00885, (((Ccay1:0.00442,Ccay2:0.00111):0.00111,(Cnubnub1:0.00111,Cnubnub2:0.00000):0.00332):0.00885,((Cinor:0.00000,Cfig1:0.00111,Cfig2:0.00332):0.00221,(Ccychc2:0.00000, Ccychc:0.00000):0.00221):0.01051):0.00442):0.01023):0.02222):0.01447):0.02213):0.06215,Igu deli:0.05379):0.02871,IguigSA1 :0.02231):0.01069):0.02471,IguigCA1 :0.00553);



Different programs for tree visualization: TreeView, FigTree, Dendroscope

Tree visualization:



Take -Home Message!

- -there are more methods how to calculate a tree
- -a phylogenetic tree is a hypothesis
- we have to test the reliability
- obtaining a good alignment is one of the most crucial steps towards a good phylogenetic tree

More methods and some examples next week



Some useful links for more information about molecular phylogenetics: http://evolution.berkeley.edu/evolibrary/article/phylogenetics_01 http://www.ncbi.nlm.nih.gov/Class/NAWBIS/Modules/Phylogenetics/phylo1.html