# Week 4 (Jan 25 - 29)

# Lecture 1 (Jan 25)

## Interprocess Communication and Synchronization

#### **Problem: Race Conditions**

- Processes share mem
- both read/write shared mem
- Can't guarantee read/write is atomic
- Can cause eroneous results

## **Critical Regions**

- Area of code where only one process can be at a time
- Provide mutual exclusion to prevent race conditions
- Four conditions must hold
  - No two processes may use critical regions at once
  - No assumptions may be made on CPU speed or #
  - NO processes outside critical region may block another process
  - Processes may not wait forever to enter critical region

Strict alternation \* Keep variable to keep track of whose turn it is \* Waiting process waits for turn \* Avoids race condition, but doesn't satisfy criterion

## Bakers Algorithm

- Each process takes a number.
  - Two processes may receive same number
    - $\ast\,$  If they get number at clsoe to same time
  - Use process ID as tie breaker

### Use Hardware

- Prior methods
  - Complex
  - Busy wait wastes CPU time
- Solution: Use hardware
- Several hardware methods
  - Test and Set (Atomic)
  - Atomic Swap
  - Turn off interrupts
    - \* Process not switched out unless it asks (not good for user programs)
- Semaphores
  - No busy wait

- Implementation
  - Semaphore S accessed by two atomic ops
    - \* Down (S) (AKA P)
      - · At start of critical region
      - · Blocks until region open
    - \* Up (S) (AKA S)
      - $\cdot$  At end
      - · unblocks those waiting to Down

Rendevous \* Want to guarantee some operation occurs after another \* Have process that should come second wait for a signal from first process

Types of Semaphores \* Counting \* Binary

#### Monitors

- High Level Synchro Primitive
  - Multiple entry points
  - Only one process in monitor at once
  - Mutual Exclusion
- Provided by high level lang
  - Variable belonging to monitor protected
- Prob: How can proc wait inside monitor?
  - Can't sleep, no one can enter
  - Sol: Use condition vars
- Two Ops
  - Wait: Suspend until signaled
  - Signal: Wake up processes waiting for signal

Locks and COndition vars \* Provide monitors using special data types \* Locks (Acquire, release) \* Conditional (wait signal) \* Lock usage \* Get lock - enter monitor \* Lock can be made with semaphores

Message Passing \* Sync by sending messages \* Two primitives \* Send \* Recv \* ACK - how to know recv got message \* Prob: Authentication - how do you know message is from correct process?

Barriers - Hold processes until all reach the barrier

# Lecture 2 (Jan 27)

Livelock Example: \* Two people in elevator, both saying after you, then attempting to go

IPC - Interprocess Communication

If processes aren't allowed to communicate, there can never be race condition

Communication: Affecting an observable change \* If i manipulate data you can read, we're communicating

Bakery: Take a turn but declare your intent to take a turn. Take a number

Implementation of Barriers with Semaphores: Barrier b; /\* contains two semaphores / b.bsem.value = 0; / for barrier / b.mutex.value = 1; / for mutex / b.waiting = 0; b.maxproc = n; / n processes needed at barrier \*/

```
HitBarrier Barrier *b)
{
    SemDown (&b->mutex); // Lock because we're changing stuff
    // if everyone is done
    if(++b->waiing >= b->maxproc) {
        // Let everyonoe be free!
        while(--b->waiting >0) {
            SemUp (&b->bsem);
        SemUp (&b->mutex); // unlock
    }
    else
        SemUp (&b->mutex);
        SemDown (&b->bse); // unlock
    }
}
Classical Sync Problems
Bounded Buffers
Readers and Writers
Dining philosophers
Goal: Use semaphores
Bounded Buffers
// Shared
const int n;
// Num slots empty, num slots full, mutex
Semaphore empty(n), full(0), mutex(1);
Item buffer[n];
// Producer
int in = 0;
Item pitem;
while (1)
{
    // produce an item into pitem
    empty.down();
    mutex.down();
    buffer[in] = pitem;
    in = (in+1) \% n;
    mutex.up();
    full.up();
}
// Consumer
int out = 0;
Item citem;
while (1)
```

```
full.down();
mutex.down();
citem = buffer[out];
out = (out+1) % n;
mutex.up();
empty.up();
}
```