# Week 2 (Jan 11 - 15)

#### **Process**

- Process Program in execution
  - Has address space the program can use
  - Has State (registers, including program counter and stack pointer)
- OS tracks processes in process table
- Processes can create other processes
  - Process tree tracks these relationships

 $Process = Address\ Space + Thread\ of\ Control$ 

#### Inside a UNIX Process

- $\bullet$  Three Segments:
  - Text: contains the program code
  - Data: contains the program data
    - \* static variables
    - \* malloc, new
  - stack:
    - \* Automatic variables
    - \* Procedure call info
- Address space Growth
  - Text: No growth
  - Data and Stack grow towards each other

### Deadlocks are caused by Circular dependencies

### **Interprocess Communication**

- Processes work to exchange info with eachother
- Many ways
  - Network
  - Pipes

### System Calls

- OS runs in priveledged (supervisor) mode
  - User Processes do not
- Programs want OS to perform service
  - Access a file
  - Create process
- Accomplished with System Calls

## How System Calls Work

- User program enters priveledged mode through well defined entrypoint
- Program passes relevant info into OS
- OS performs service
  - Able to do so
  - Service is permitted for user process
- Example system calls
  - fork
  - waitpid
  - execvp

## Operating System Types

- Monolithic
  - All just a program
    - \* Any piece has access to other pieces
  - Sometimes Modular
    - \* Extra pieces dynamically added
    - \* Extra pieces part of whole
- Layered
  - CPU Supports layers of priveledge
    - \* Intel supports 4
    - \* User at outermost layer
  - Use priveledge layers to enforce separation and provide security
    - \* Outer layers can only move inward at certain gates
  - Not widely used, but a good idea
- Micro-Kernels
  - Bare minimum in kernel
  - Everything else in User-space
  - Inefficient

### Scheduling

- How are processes scheduled?
  - When they enter system
    - \* Batch Systems
      - $\cdot\,$  New job runs scheduler
      - · Scheduling done when job voluntarily gives up time
  - Fixed interval scheduling
    - \* Necessary for interactive systems
- Goals of Scheduling
  - Fairness: Fair chance at CPU
  - Enforcement: Ensure policy is carried out
  - Balance: Keep all parts of system busy

- Batch
  - \* Throughput
    - · Work/time
  - \* Turnaround time
    - · Like response time, but for batch jobs
  - \* CPU utilization
- Interactive
  - \* Response time
    - $\cdot$  Can measure avg or other metrics
    - · Good to measure # times faster than certain time (measure # of outliers)
  - \* Proportionality: meet user expectations
- Real time
  - \* meet deadlines
  - \* predictability

### Types of Schedulers

- Batch Schedulers
  - First come, first served
    - \* Jobs in order they arrive
    - \* Simple
    - \* Problem: long jobs delay those behind
  - Shortest First
    - \* Short jobs finish first, so long jobs do not cause delays
    - \* Shortest remaining time first
      - · reevaluate when new job is finished
    - \* Problem
      - · How to know how long a job is
  - Three level scheduling
    - \* Jobs in input queue until moved to mem
      - · Pick complementary Jobs: small/large; cpu heavy/io heavy
    - \* CPU scheduler picks next job
    - \* mem sched picks jobs to move from mem if out of memory
- Interactive Schedulers
  - Round Robin
    - \* Each process gets fixed time slot (quantum)
    - \* Rotate through ready processes
    - \* Each makes progress
    - \* What is a good amount of time?
      - · Too short: Wasteful
      - · Too long: not good for interactive
      - · Typically: 10-100ms
  - Priority Scheduling
    - $\ast\,$  Assign priority to each process
      - · "Ready" process with highest priority runs next
    - \* Dynamic priorities

- · Reduce when using lots of CPU time
- $\cdot$  Increase on wait for I/O
- \* Often grouped into multiple queues based on priority
  - $\cdot$  Round robin within queues
- Shortest process next
  - \* Run process that will finish soonest
  - \* Guess time based on previous runs
  - \* Not used because round robin works so well
- Lottery Scheduling
  - \* Each process gets tickets for CPU time
    - · More tickets -> More time
  - \* Each quantum, a random ticket is picked
    - · Can be implemented efficiently by using integer ranges for tickets
  - \* Over time each process gets gets CPU m/n of the time
    - $\cdot$  m = # of tickets process has
    - $\cdot$  n = total # of tickets
  - \* Tickets can be transferred
    - · Processes exchange tickets
    - · Clients to server
    - · Parents to children

### Scheduling in FreeBSD

Called ULE scheduler because file is sched ule.c

- Two Parts
  - Low level
    - \* Selects next thread from run queue
    - \* Very fast
  - High level
    - \* Sets thread priority
    - \* Selects processor to use
    - \* Slower
    - \* Runs infrequently
    - \* Adjusts quantum and priority
- Run queues only have ready threads
  - Blocked threads placed in
    - \* Turnstile: short-term
    - $\ast$ sleep queue: med/long term
  - Runnable thread that consumes quantum placed at end of queue

### Policy VS Mechanism

• Separate what must be done (policy) from how it is done (mechanism)