

# 1 Grammars

In the following,  $D$  is a data constructor,  $f$  a function symbol and we consider them as strings.  $n$  represents an integer.

## 1.1 $\lambda$ -lifted haskell subset

$$\begin{array}{ll}
u, e & ::= x \mid f \mid e \mid D(e, \dots, e) \mid \text{BAD} \mid n & (\text{Expression}) \\
p & ::= \Delta, p \mid T, p \mid f \in c, p \mid \epsilon & (\text{Program}) \\
\Delta & ::= d \mid \text{opaque}(d) & (\text{Defintion and scope}) \\
d & ::= f \ x_1 \dots x_n = e \mid f \ x_1 \dots x_n = \text{case } e \text{ of } [(pat_i, e_i)] & (\text{Definition}) \\
T & ::= \text{data } x = D_1; D_2; \dots; D_n & (\text{Data type definition}) \\
pat & ::= D(x_1, \dots, x_n) & (\text{Pattern})
\end{array}$$

In the calculus, every definition is toplevel ( $\lambda$ -lifted) and there isn't any nested case.

For the moment we consider data constructors to be saturated, ie fully applied (hence the special application syntax). That makes defining  $\mathcal{D}[]$  less painful, because we avoid a quadratic number (in the arity of the data constructors) of axioms. cf to  $S_4$  below, for example.

## 1.2 FOL

$$\begin{array}{ll}
t & ::= x \mid \text{app}(t_1, t_2) \mid D(t, \dots, t) \mid f \mid n \mid \text{BAD} \mid \text{UNR} \mid \text{CF}(t) & (\text{Term}) \\
\phi & ::= \forall x. \phi \mid \phi \rightarrow \phi \mid \neg \phi \mid \phi \vee \phi \mid \phi \wedge \phi \mid \text{true} \mid t = t \mid \text{CF}(t) & (\text{Formula})
\end{array}$$

We always give the following equations to define crash-freeness:

$$\text{CF}(\text{UNR}), \neg \text{CF}(\text{BAD}), \forall f, x \text{ CF}(f) \wedge \text{CF}(x) \implies \text{CF}(\text{app}(f, x))$$

Note that it's only the equation we *always* give, but there some other equations that will arise from the translations below. It does not follow exactly the semantics given by the popl paper, but we hope it'll be a sufficient approximation!

## 1.3 Contracts

$$\begin{array}{l}
c ::= x : c_1 \rightarrow c_2 \\
\quad \mid (c_1, c_2) \\
\quad \mid \{x \mid e\} \\
\quad \mid \text{Any}
\end{array}$$

Semantics of contract satisfaction (exactly the same as in the POPL paper):

$$\begin{array}{ll}
e \in \{x \mid p\} & \iff e \text{ diverges or } (e \text{ is crash-free and } p[e/x] \not\vdash^* \{\text{BAD}, \text{UNR}\}) \\
e \in x : t_1 \rightarrow t_2 & \iff \forall e_1 \in t_1, (e \ e_1) \in t_2[e_1/x] \\
e \in (t_1, t_2) & \iff e \text{ diverges or } (e \rightarrow^* (e_1, e_2) \text{ and } e_1 \in t_1, e_2 \in t_2) \\
e \in \text{Any} & \iff \text{True}
\end{array}$$

# 2 Translation

We define several translations:  $\mathcal{E}[], \mathcal{D}[], \mathcal{T}[], \mathcal{S}[], []$ .

$$\begin{array}{ll}
\mathcal{E}[] & :: \text{Expression} \rightarrow \text{Term} \\
\mathcal{D}[] & :: \text{Definition} \rightarrow \text{FOF} \\
\mathcal{T}[] & :: \text{Data type} \rightarrow \{\text{FOF}\} \\
\mathcal{S}[] & :: \text{Expression} \rightarrow \text{Contract} \rightarrow \text{FOF} \\
[] & :: \text{Program} \rightarrow \{\text{FOF}\}
\end{array}$$

## 2.1 $\mathcal{E}[\![\ ]\!]$

$\mathcal{E}[e]$  is a term, the translation is really straightforward.

$$\mathcal{E}[x] = x \quad (1)$$

$$\mathcal{E}[f] = f \quad (2)$$

$$\mathcal{E}[e_1 \ e_2] = \text{app}(e_1, e_2) \quad (3)$$

$$\mathcal{E}[D(e_1, \dots, e_n)] = D(\mathcal{E}[e_1], \dots, \mathcal{E}[e_n]) \quad (4)$$

$$\mathcal{E}[\text{BAD}] = \text{BAD} \quad (5)$$

$$\mathcal{E}[n] = n \quad (6)$$

*Asusual, we use left associativity.*

## 2.2 $\mathcal{T}[\![\ ]\!]$

$\mathcal{T}[T]$  is a set of first-order formulae which we break down in four parts:  $\mathcal{T}[\text{data } T = D_1; \dots; D_n] = S_1 \cup S_2 \cup S_3 \cup S_4$ .

First, for each  $D_i$  of arity  $n_i$  we introduce selectors  $\text{sel}_{k, D_i}$ , which are projections of  $D_i(x_1, \dots, x_{n_i})$  on its  $k$ -th composant, so that we can express that constructors are injective :

$$S_1 := \{\forall x_1, \dots, x_{n_i} \cdot \bigwedge_{1 \leq j \leq n} \text{sel}_{j, D_i}(D_i(x_1, \dots, x_{n_i})) = x_j \mid 1 \leq i \leq n\}$$

For each pair of different constructors  $D_i, D_j$ , we state that they can never map to the same value:

$$S_2 := \{\forall x_1, \dots, x_{n_i} \ \forall y_1, \dots, y_{n_j} \cdot D_i(x_1, \dots, x_{n_i}) \neq D_j(y_1, \dots, y_{n_j}) \mid 1 \leq i < j \leq n\}$$

Then, we have to give crash-freeness conditions for each  $D_i$ :

$$S_3 := \{\forall x_1, \dots, x_{n_i} \cdot (\text{CF}(x_1) \wedge \dots \wedge \text{CF}(x_{n_i})) \leftrightarrow \text{CF}(D_i(x_1, \dots, x_{n_i})) \mid 1 \leq i \leq n\}$$

Finally, we have to say that none of the  $D_i$  is unreachable:

$$S_4 := \{D_i(x_1, \dots, x_n) = \text{UNR} \rightarrow x_1 = \text{UNR} \vee \dots \vee x_n = \text{UNR} \mid 1 \leq i \leq n\}$$

NB: We first started with  $S_4 := \{D_i \neq \text{UNR} \mid 1 \leq i \leq n\}$ , but we couldn't prove that the (add x y), defined with peano integers, satisfied  $(x = 0 \rightarrow y = 0 \rightarrow \text{add } x \ y = 0)$ . It always also bizarre to state that the function was UNR but not its application.

## 2.3 $\mathcal{D}[\![\ ]\!]$

$\mathcal{D}[d]$  is a first-order formula.

$$\mathcal{D}[f \ \bar{x} = e] = \forall x_1 \dots x_n. \mathcal{E}[f \ x_1 \dots x_n] = \mathcal{E}[e] \quad (8)$$

$$\mathcal{D}[f \ \bar{x} = \text{case } e \text{ of } [D_i(\bar{z}) \mapsto e_i]] = \forall x_1 \dots x_n. (\bigwedge_i (\forall \bar{z} \ \mathcal{E}[e] = \mathcal{E}[D_i(\bar{z})] \rightarrow \mathcal{E}[f \ \bar{x}] = \mathcal{E}[e_i]) \quad (9)$$

$$\wedge \mathcal{E}[e] = \text{BAD} \rightarrow \mathcal{E}[f \ x_1 \dots x_n] = \text{BAD}) \quad (10)$$

$$\wedge ((\bigwedge_i e \neq D_i(\text{sel}_{1, D_i}(e), \dots, \text{sel}_{n_i, D_i}(e))) \wedge \mathcal{E}[e] \neq \text{BAD}) \quad (11)$$

$$\rightarrow \mathcal{E}[f \ x_1 \dots x_n] = \text{UNR}) \quad (12)$$

An alternative to using selectors would be to write something along the line of  $\exists y_1, \dots, y_{D_i}. e \neq D_i(y_1, \dots, y_{D_i})$ . It is equivalent but the skolemisation process of equinox will anyway turn those existentials in selectors functions. But if we pattern match  $D_i$  in two different functions, we would get two different selectors (the same selector with two different names) so it's better (for the efficiency of the proof) to define selectors for each  $D_i$  once in for all and use it as much as possible afterwards.

Equation (10) is not restrictive even in the case of laziness because  $e$  is always evaluated. (TODO, but not always fully evaluated...)

Equation (11) and (12) state that if we have a type mismatch than the code is **UNR**. It should never occur because we're assuming that the code has already been typechecked.

## 2.4 $\mathcal{S}[\cdot]$

$\mathcal{S}[e \in c]$  is a first-order formula.

$$\mathcal{S}[e \in \text{Any}] = \text{true} \quad (13)$$

$$\mathcal{S}[e \in \{x \mid u\}] = e = \text{UNR} \vee (\text{CF}(\mathcal{E}[e]) \wedge \mathcal{E}[u[e/x]] \neq \text{BAD} \wedge \mathcal{E}[u[e/x]] \neq \text{False}) \quad (14)$$

$$\mathcal{S}[e \in x : c_1 \rightarrow c_2] = \forall x_1. \mathcal{S}[x_1 \in c_1] \rightarrow \mathcal{S}[e \ x_1 \in c_2[x_1/x]] \quad (15)$$

*False* is a data constructor here.

Remark: we follow the semantics of the POPL paper but it's a bit restrictive. e.g. in equation 14 we could use the alternate semantics (namely B1 in the POPL paper) :

$$\mathcal{S}[e \in \{x \mid u\}] = e = \text{UNR} \vee (\mathcal{E}[u[e/x]] \neq \text{BAD} \wedge \mathcal{E}[u[e/x]] \neq \text{False})$$

## 2.5 $\llbracket \cdot \rrbracket$

$\llbracket p \rrbracket$  defines the translation of a program to a theory (a set of FO formulae)

We have to give some semantics to **CF**(), **BAD** and **UNR**, which are given below.

$$\llbracket \epsilon \rrbracket = \{\text{CF}(\text{UNR}), \neg \text{CF}(\text{BAD}), \forall f, x \text{ CF}(f) \wedge \text{CF}(x) \implies \text{CF}(\text{app}(f, x))\} \quad (16)$$

$$\llbracket d, p \rrbracket = \mathcal{D}[d] \cup \llbracket p \rrbracket \quad (17)$$

$$\llbracket \text{opaque}(d), p \rrbracket = \mathcal{D}[d] \cup \llbracket p \rrbracket \quad (18)$$

$$\llbracket T, p \rrbracket = \mathcal{T}[T] \cup \llbracket p \rrbracket \quad (19)$$

$$\llbracket f \in c, p \rrbracket = \mathcal{S}[f \in c] \cup \llbracket p \rrbracket \quad (20)$$

$$(21)$$

## 3 User interaction

The user provides a program  $p$  which consists of functions definition (either opaque or transparent), data types definition and claims that functions satisfies contracts.

Then, for each function definition  $d_f := f \ x_1 \dots x_n = e$  of a function  $f$  that has to satisfy the set of contracts  $C_f$ , we construct the context  $C = p \setminus (d_f \cup C_f)$ , which is basically the program  $p$  without the definition of  $f$  and the contracts it has to satisfy.

We then want to check (with equinox) that:

$$\llbracket C \rrbracket \cup \{\mathcal{D}[f \ x_1 \dots x_n = e[f^*/f]], \bigwedge_{c \in C_f} \mathcal{S}[f^* \in c]\} \models \bigwedge_{c \in C_f} \mathcal{S}[f \in c]$$

Which we rewrite as:

$$\llbracket C \rrbracket \cup \{\mathcal{D}[f \ x_1 \dots x_n = e[f^*/f]], \bigwedge_{c \in C_f} \mathcal{S}[f^* \in c], \bigvee_{c \in C_f} \neg \mathcal{S}[f \in c]\} \models \perp$$

## 4 Example

Consider the following program (the integer after a data constructor is its arity):

```
data List = Nil 0
          | Cons 2;;

data Bool = True 0
          | False 0;;

copy x = case x of
  | Nil -> x
  | Cons a b -> Cons a (copy b);;

copy ::: a:{x : True} -> {y:True};;
```

The contract states that if we give to copy a crash-free argument  $x$ , copy will return a crash-free result  $z$ .

```
data Nat = Zero 0
          | Succ 1;;

data Bool = True 0
          | False 0;;

add x y = case x of
  | Zero -> y
  | Succ z -> Succ (add z y);;

isZero x = case x of
  | Zero -> True
  | Succ z -> False;;

not x = case x of
  | True -> False
  | False -> True;;

add ::: a:{x : True} -> {y:True} -> {z: True};;      -- C1
add ::: a:{x : isZero x} -> {y:isZero y} -> {z: isZero z};; -- C2
add ::: a:{x : isZero x} -> {y:isZero y} -> {z: True};; -- C3
```