

# 1 SIS Lattices

In this lecture we give properties and applications of SIS (short integer solution) lattices. We first recall the SIS problem.

**Definition 1.1 (Shortest Integer Solution Problem).** For a positive integer modulus  $q$ , dimensions  $n, m$  and a norm bound  $\beta > 0$ , the  $\text{SIS}_{n,q,\beta,m}$  problem is defined as follows: given uniformly random  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ , find a nonzero “short” solution  $\mathbf{z} \in \mathbb{Z}^m$  such that  $\mathbf{Az} = \mathbf{0} \in \mathbb{Z}_q^n$  and  $\|\mathbf{z}\| \leq \beta$ .

Equivalently, the goal is to find a non-zero vector of norm at most  $\beta$  in the following integer “SIS lattice” (it is easy to verify that this set is a discrete additive subgroup):

$$\mathcal{L}^\perp(\mathbf{A}) := \{\mathbf{z} \in \mathbb{Z}^m : \mathbf{Az} = \mathbf{0}\}.$$

Borrowing a term from coding theory, matrix  $\mathbf{A}$  is often called a *parity-check matrix* for the lattice  $\mathcal{L}^\perp(\mathbf{A})$ .

We begin with some mathematical properties of SIS lattices. First, these lattices are called “ $q$ -ary” because they contain every integer vector whose entries are all multiples of  $q$ :

$$q\mathbb{Z}^m \subseteq \mathcal{L}^\perp(\mathbf{A}) \subseteq \mathbb{Z}^m.$$

So, a vector’s membership in  $\mathcal{L}^\perp(\mathbf{A})$  is determined solely by its entries modulo  $q$ .

**Cosets.** Borrowing another term from coding theory let  $\mathbf{y} \in \mathbb{Z}_q^n$  be a “syndrome” in the image of  $\mathbf{A}$ , i.e., there exists some  $\mathbf{x} \in \mathbb{Z}^m$  such that  $\mathbf{Ax} = \mathbf{y}$ . Then we can define the corresponding lattice coset

$$\mathcal{L}_{\mathbf{y}}^\perp(\mathbf{A}) := \{\mathbf{x}' \in \mathbb{Z}^m : \mathbf{Ax}' = \mathbf{y}\} = \mathbf{x} + \mathcal{L}^\perp(\mathbf{A}),$$

where the equality holds because every  $\mathbf{x}' \in \mathbf{x} + \mathcal{L}^\perp(\mathbf{A})$  satisfies  $\mathbf{Ax}' = \mathbf{Ax} = \mathbf{y}$ , and for any  $\mathbf{x}' \in \mathbb{Z}^m$  such that  $\mathbf{Ax}' = \mathbf{y}$ , we have  $\mathbf{x}' = \mathbf{x} + (\mathbf{x}' - \mathbf{x}) \in \mathbf{x} + \mathcal{L}^\perp(\mathbf{A})$  because  $\mathbf{A}(\mathbf{x}' - \mathbf{x}) = \mathbf{0}$ .

**Determinant.** By the bijective correspondence between integer cosets and syndromes in the image of  $\mathbf{A}$ , we have that

$$\det(\mathcal{L}^\perp(\mathbf{A})) = |\mathbb{Z}^m / \mathcal{L}^\perp(\mathbf{A})| = |\text{Image}(\mathbf{A})| \leq q^n,$$

with equality if the image of  $\mathbf{A}$  is all of  $\mathbb{Z}_q^n$  (i.e., the columns of  $\mathbf{A}$  generate  $\mathbb{Z}_q^n$ ), which will be the setting we are almost always interested in.

If  $q$  is prime, then  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  generates all of  $\mathbb{Z}_q^n$  if and only if it contains an  $n \times n$  submatrix that is invertible modulo  $q$ . (It is not too hard to show that when, say,  $m \geq (1 + \delta)n$  for a constant  $\delta > 0$ , this holds with high probability over the uniformly random choice of  $\mathbf{A}$ .) However, if  $q$  is composite, this condition is sufficient but not necessary. For example,  $\mathbf{A} = (2, 3) \in \mathbb{Z}_6^{1 \times 2}$  generates all of  $\mathbb{Z}_6$ , even though neither 2 nor 3 are invertible modulo 6.

**Minimum distance.** The minimum distance can be bounded using Minkowski’s Theorem and the above bound on the determinant:

$$\begin{aligned} \lambda_1(\mathcal{L}^\perp(\mathbf{A})) &\leq \sqrt{m} \cdot \det(\mathcal{L}^\perp(\mathbf{A}))^{1/m} \\ &\leq \sqrt{m} \cdot q^{n/m}. \end{aligned}$$

However, observe that we are not required to use all  $m$  dimensions: by fixing some of the vectors' coordinates to zero (equivalently, dropping some columns of  $\mathbf{A}$ ), we can instead work with a lattice of any dimension less than  $m$ . Assuming that the original dimension is any  $m = \Omega(n \log q)$ , the above bound is optimized for some dimension  $\Theta(n \log q)$ , and yields a minimum distance of  $O(\sqrt{n \log q})$ .

Also recall from last lecture that if  $m > n \log_2 q$ , then there exists a nonzero  $\{0, \pm 1\}^m$ -vector in  $\mathcal{L}^\perp(\mathbf{A})$ , so the  $\ell_p$  minimum distance is  $\lambda_1^{(p)}(\mathcal{L}^\perp(\mathbf{A})) \leq m^{1/p}$  for any finite  $p$ , and  $\lambda_1^{(\infty)}(\mathcal{L}^\perp(\mathbf{A})) \leq 1$ .