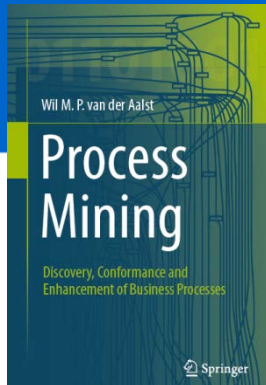


*Process Mining: Data Science in Action*

# Transition Systems and Petri Net Properties

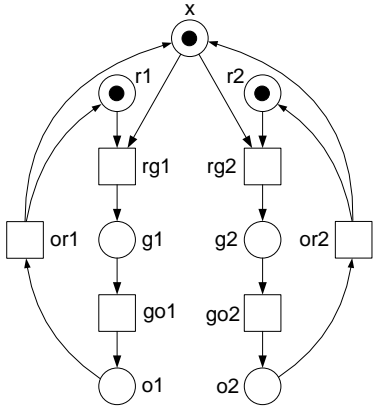
prof.dr.ir. Wil van der Aalst  
[www.processmining.org](http://www.processmining.org)



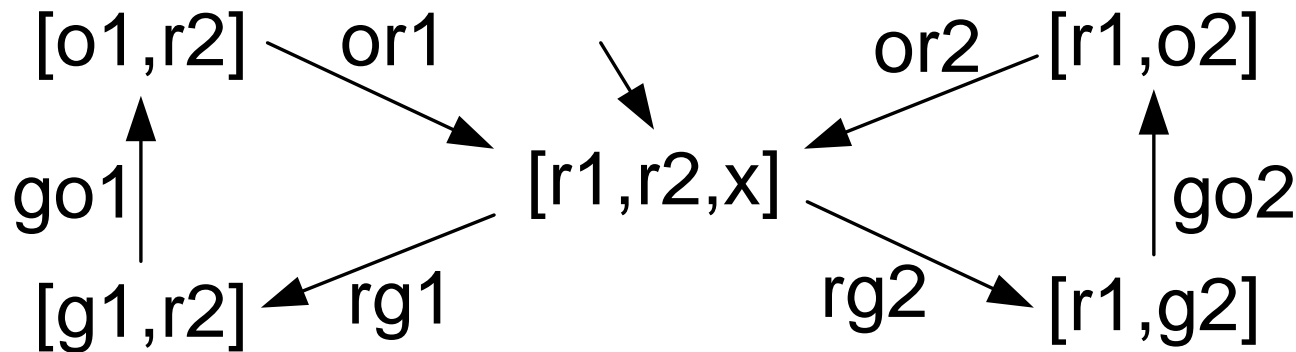
**TU/e** Technische Universiteit  
**Eindhoven**  
University of Technology

**Where innovation starts**

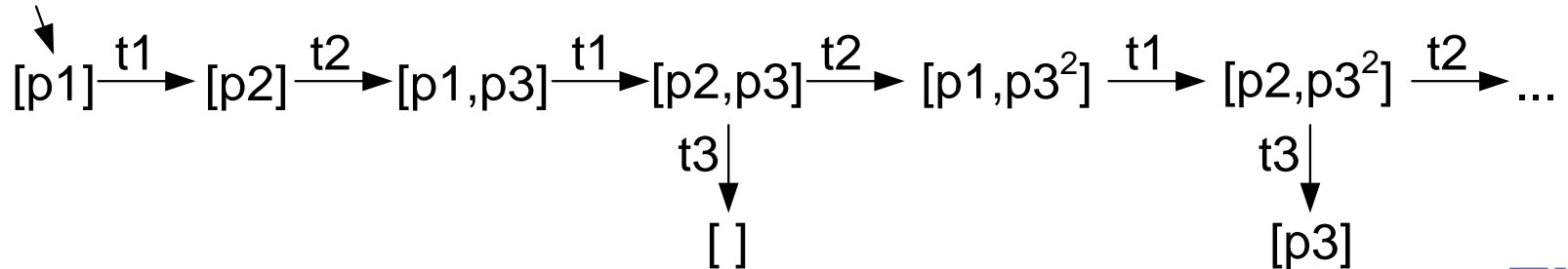
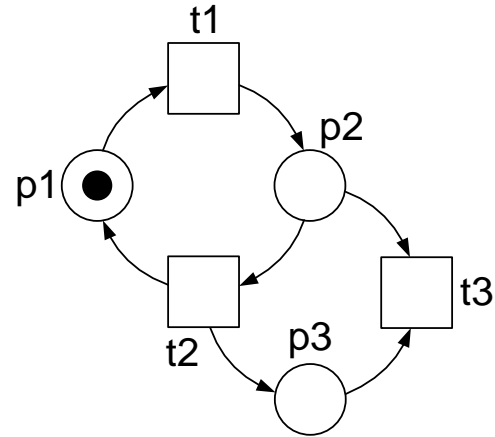
# Reachability graph of a Petri net



The reachability graph is a **transition system** with one initial state (initial marking) and no explicit final marking.

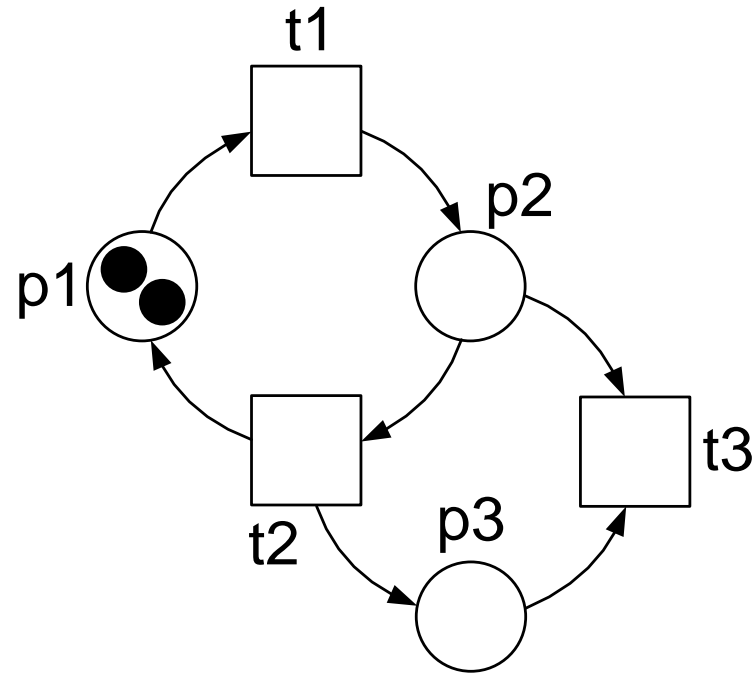


# Reachability graph may be infinite

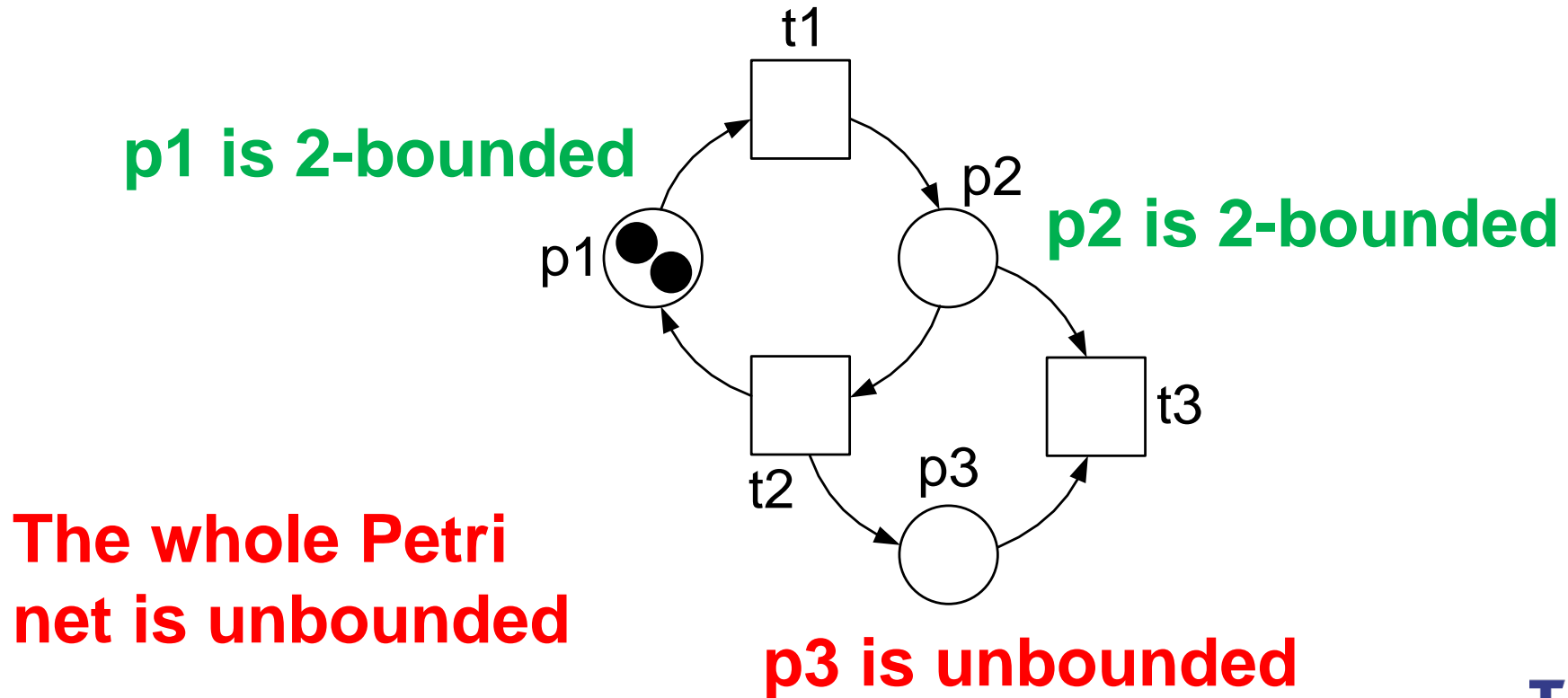


# Boundedness

- A **place**  $p$  is  **$k$ -bounded** if there is no reachable marking with more than  $k$  tokens in  $p$ .
- A **Petri net** is  **$k$ -bounded** if all places are  $k$ -bounded.
- A place/Petri net is bounded if there **exists** such a  $k$ .

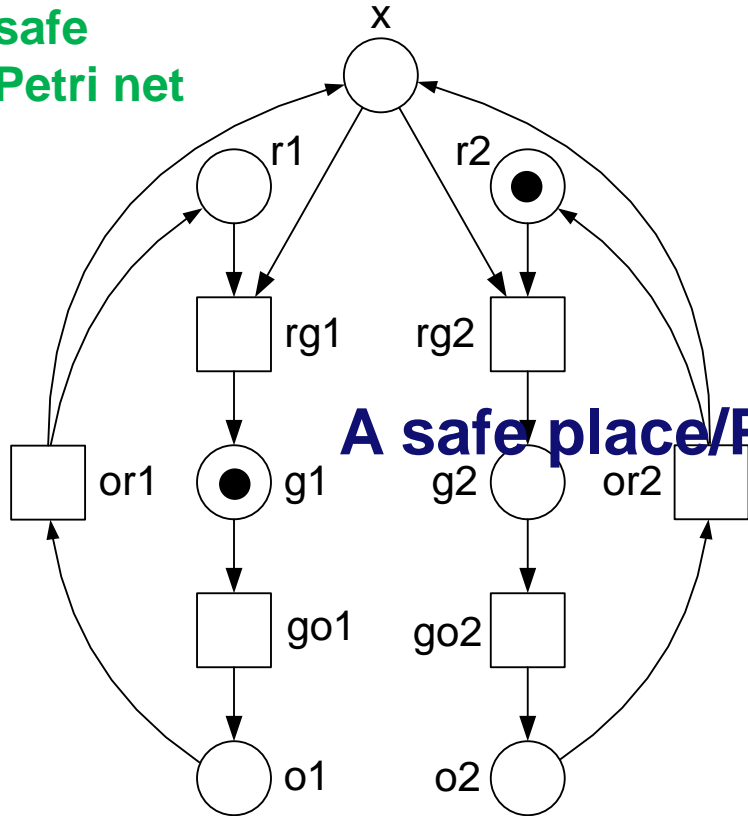


# Boundedness



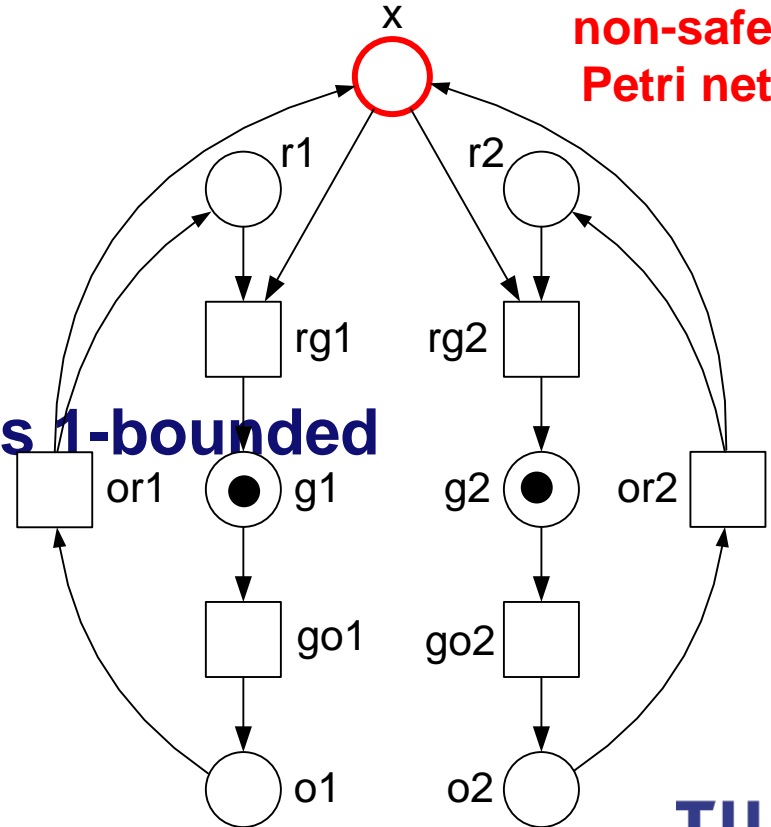
# Safeness (= 1-boundedness)

safe  
Petri net



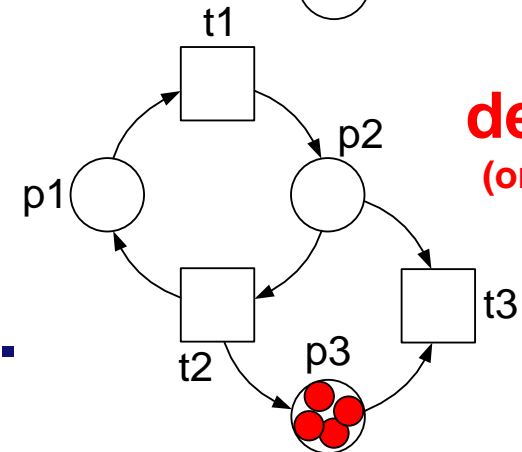
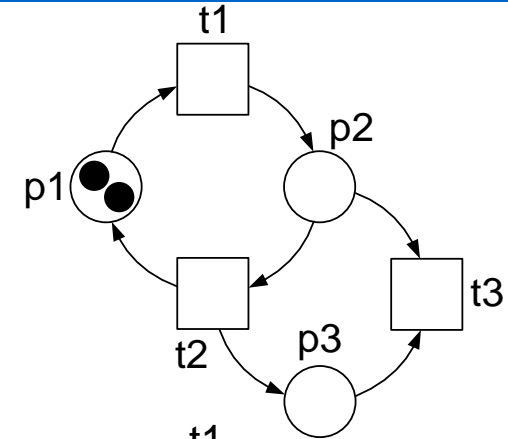
A safe place/Petri net is 1-bounded

non-safe  
Petri net



# Deadlock

- A marking is **dead** if no transition is enabled in it.
- A Petri net has a potential **deadlock** if there is a reachable dead marking.
- A Petri net is **deadlock-free** if each reachable marking enables at least one transition.

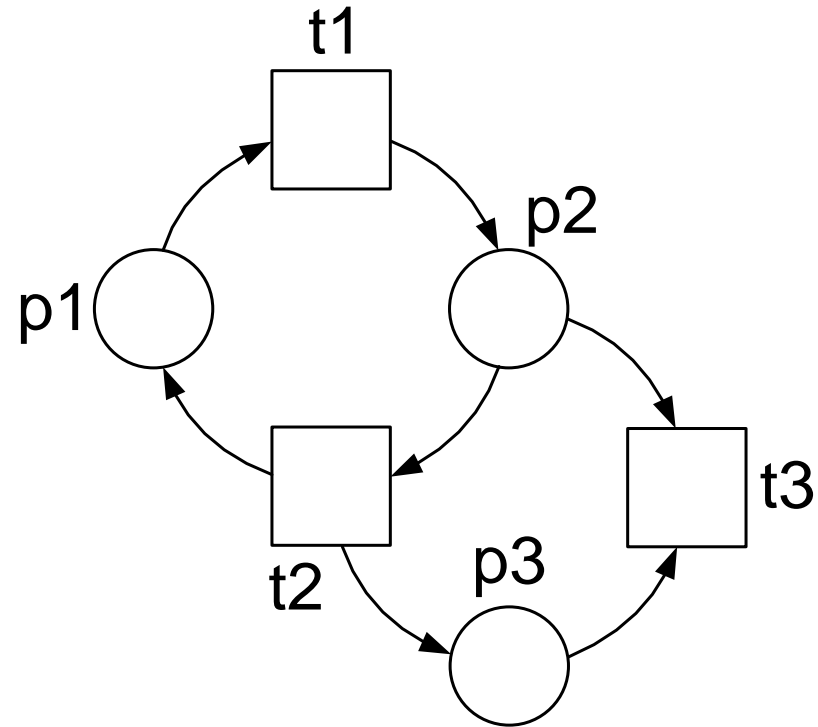


**deadlock**  
(one of many)

# Question

Provide (if possible) an initial making such that

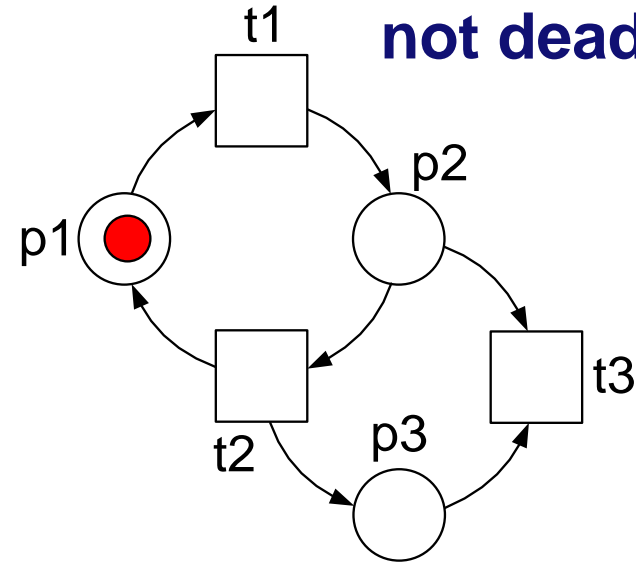
- the Petri net is unbounded and not deadlock-free,
- the Petri net is bounded and not deadlock-free,
- the Petri net is unbounded and deadlock-free, and
- the Petri net is bounded and deadlock-free.



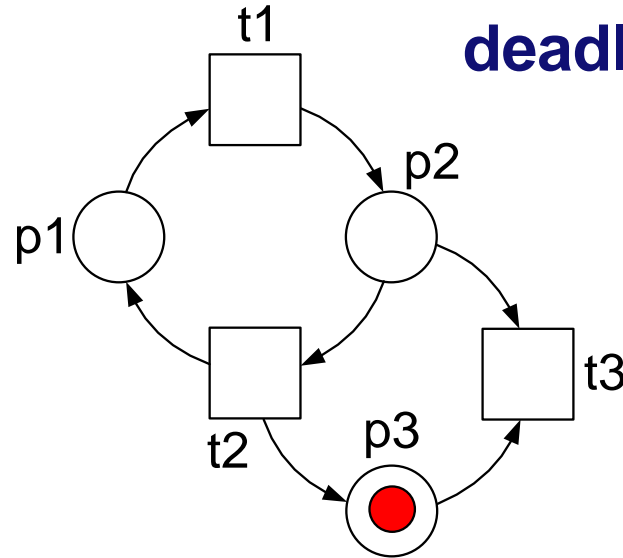


# Answer

**unbounded and  
not deadlock-free**

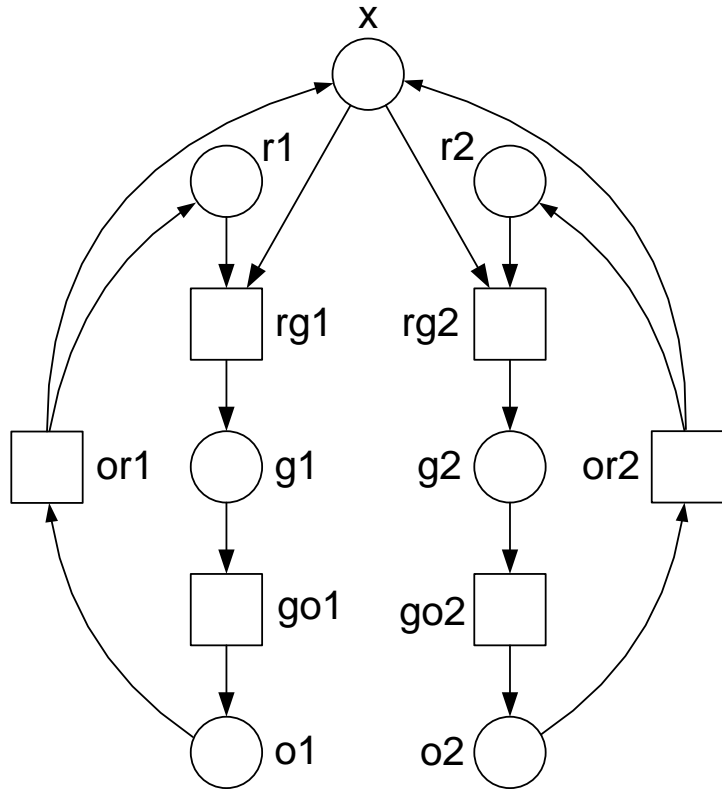


**bounded but not  
deadlock-free**



**The net will always have a deadlock  
independent of the initial marking!**

# Question



**Provide (if possible) an initial making such that**

- **the Petri net is unbounded and not deadlock-free,**
- **the Petri net is bounded and not deadlock-free,**
- **the Petri net is unbounded and deadlock-free, and**
- **the Petri net is bounded and deadlock-free.**

# Answer

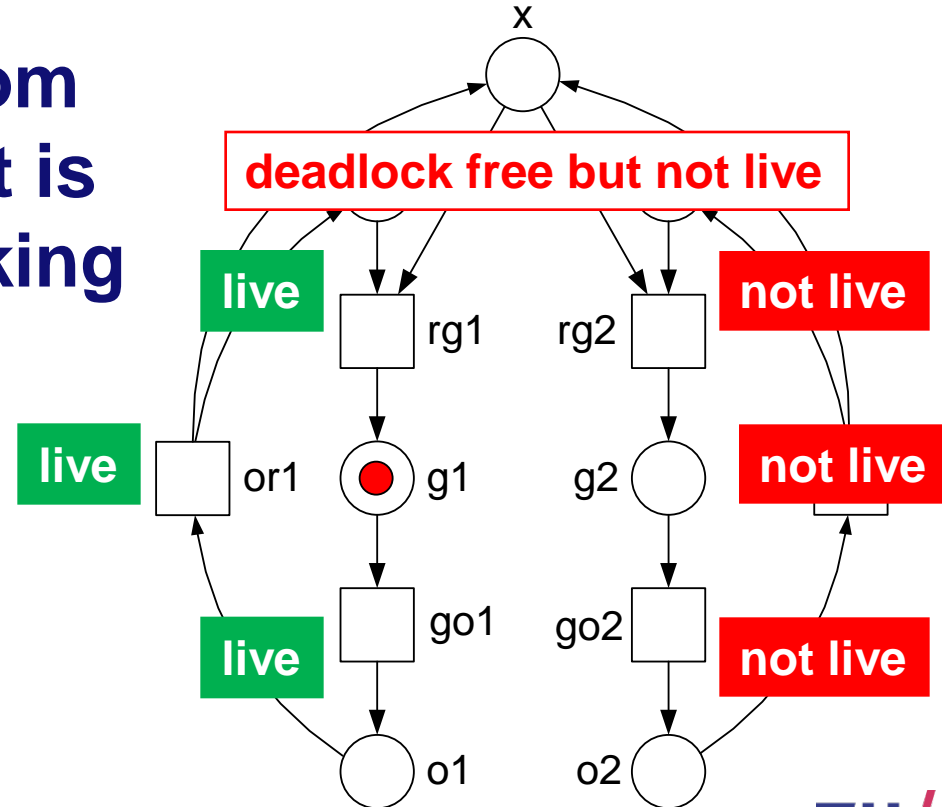
**bounded and  
deadlock-free**

**bounded, but not  
deadlock-free**

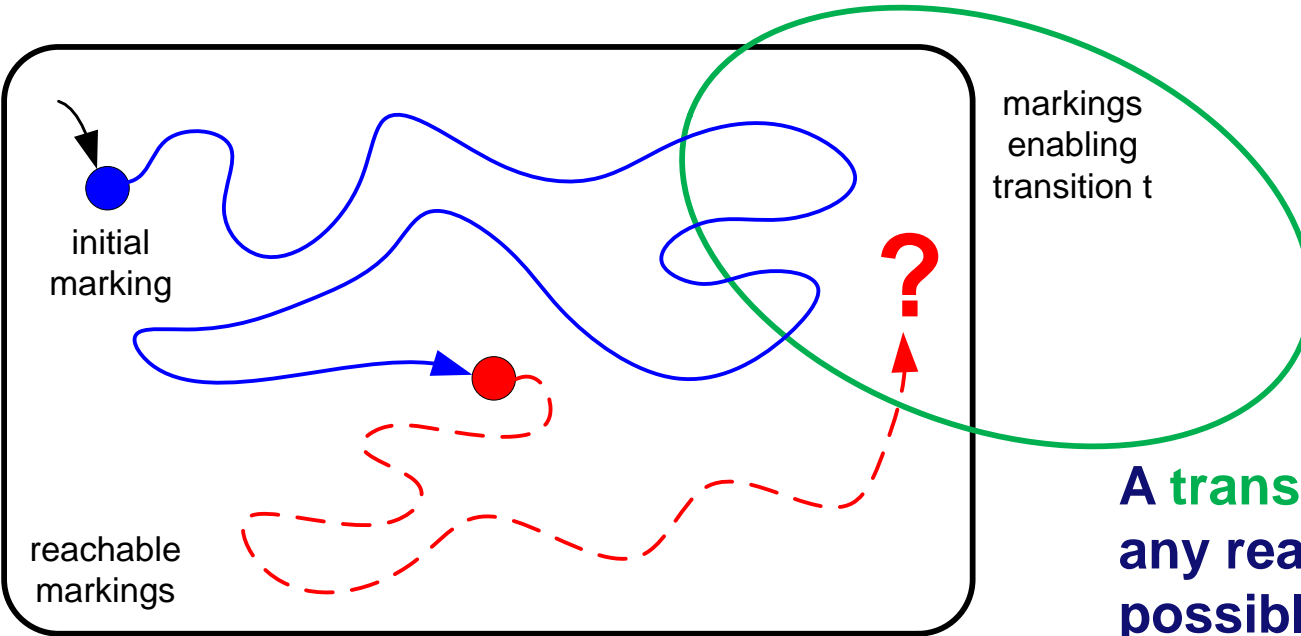
**The net will always be bounded  
independent of the initial marking!**

# Liveness

- A **transition**  $t$  is **live** if from any reachable marking it is possible to reach a marking that enables  $t$ .
- A **Petri net** is **live** if all transitions are live.
- A Petri net that is live is deadlock-free.



# Understanding liveness

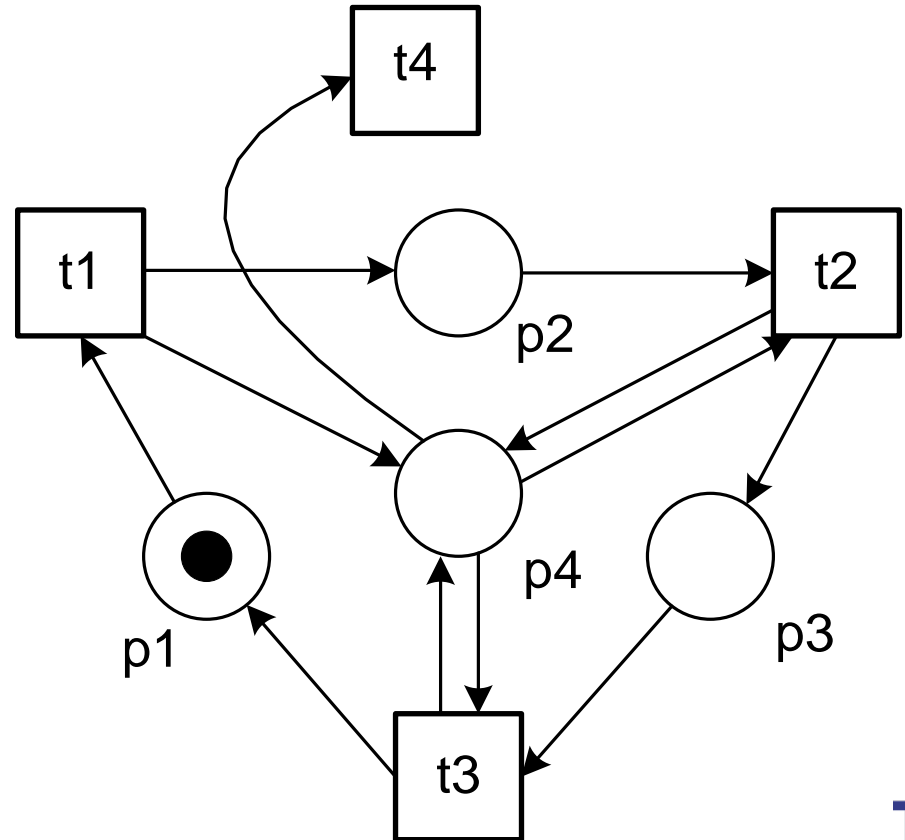


A **transition**  $t$  is **live** if from any reachable marking it is possible to reach a marking that enables  $t$ .

A **Petri net** is **live** if all transitions are live.

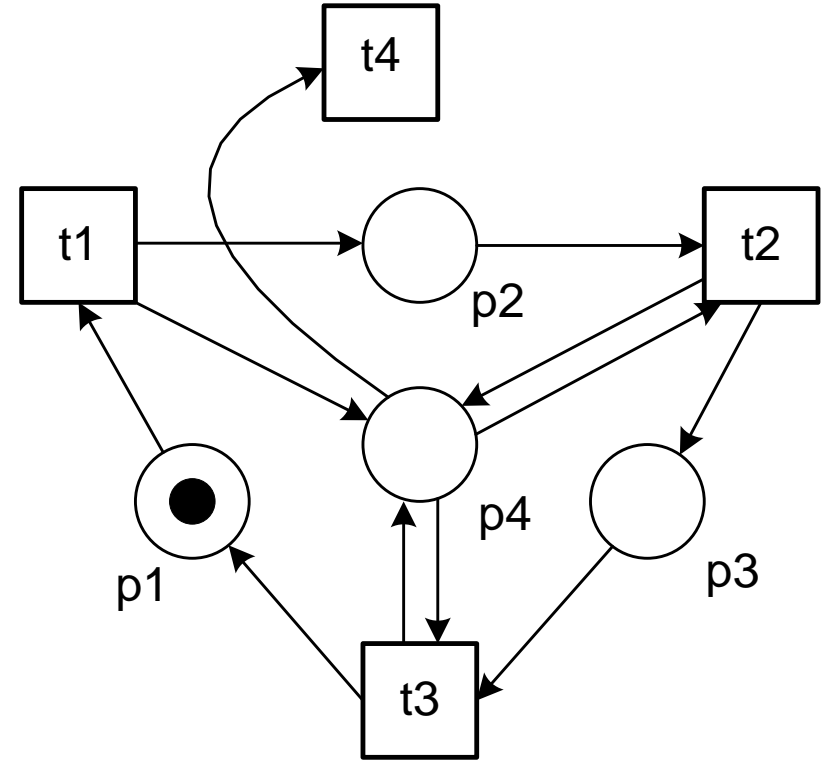
# Question

- Is the Petri net bounded?
- Is the Petri net safe?
- Is the Petri net deadlock free?
- Is the Petri net live?



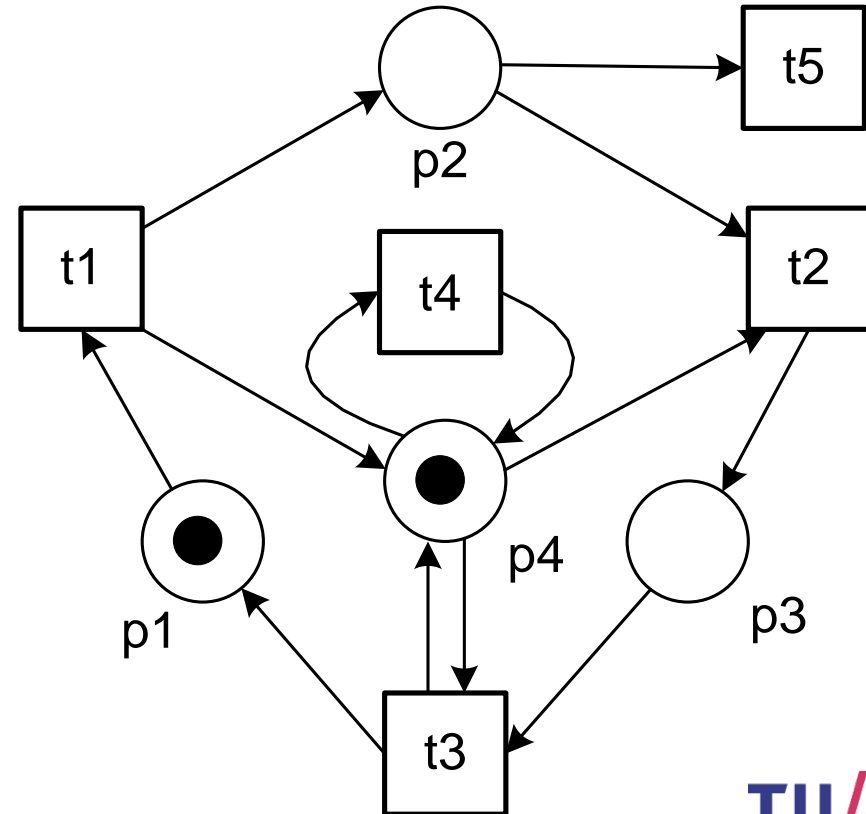
# Answer

- The Petri net is **not** bounded because any number of tokens can be put in  $p4$ .
- Hence, also **not** safe (= 1-bounded).
- The Petri net is **not** deadlock free, e.g.,  $[p2]$  is reachable.
- Hence, also **not** live.



# Question

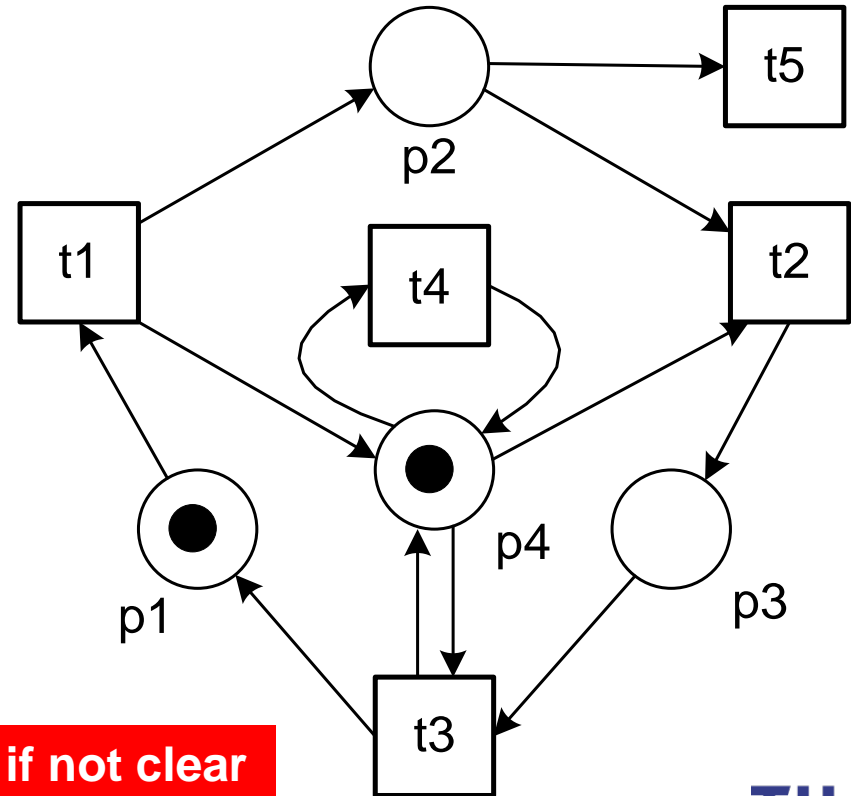
- Is the Petri net bounded?
- Is the Petri net safe?
- Is the Petri net deadlock free?
- Is the Petri net live?





# Answer

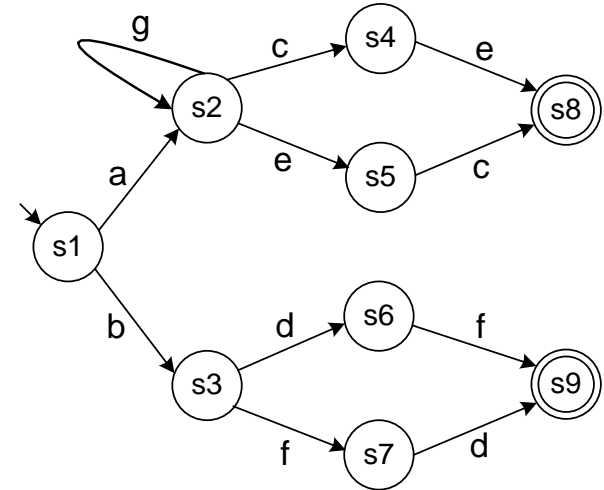
- The Petri net is **bounded**, but **not safe** ( $p_4$  is 2-bounded).
- The Petri net is **deadlock-free**, but **not live** (after firing  $t_5$  only  $t_4$  can fire).



draw reachability graph if not clear

# Transition systems

- A reachability graph is a special kind of transition system.
- Firing sequences correspond to paths in the transition system.
- A transition system is composed of **states** and **transitions**.
- There may be 1 or more **initial states** and 0 or more **final states** (more general than Petri nets).

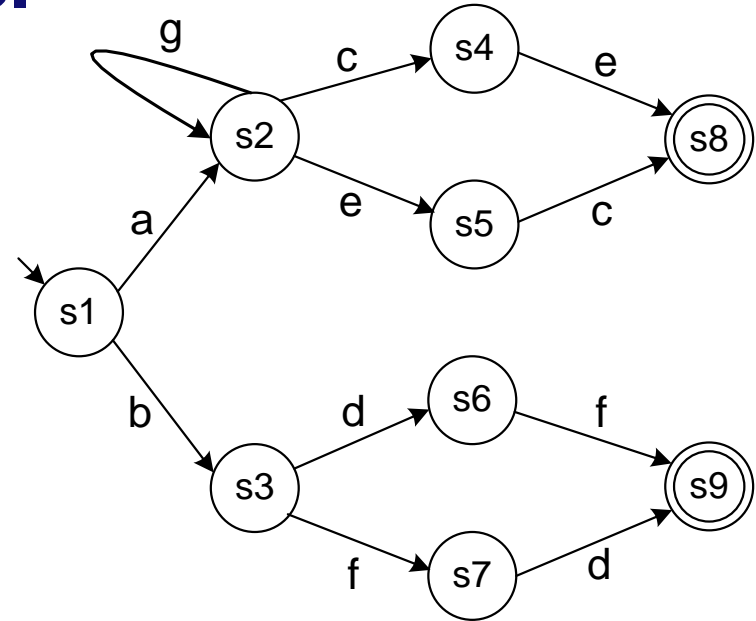


**One initial state: s1**

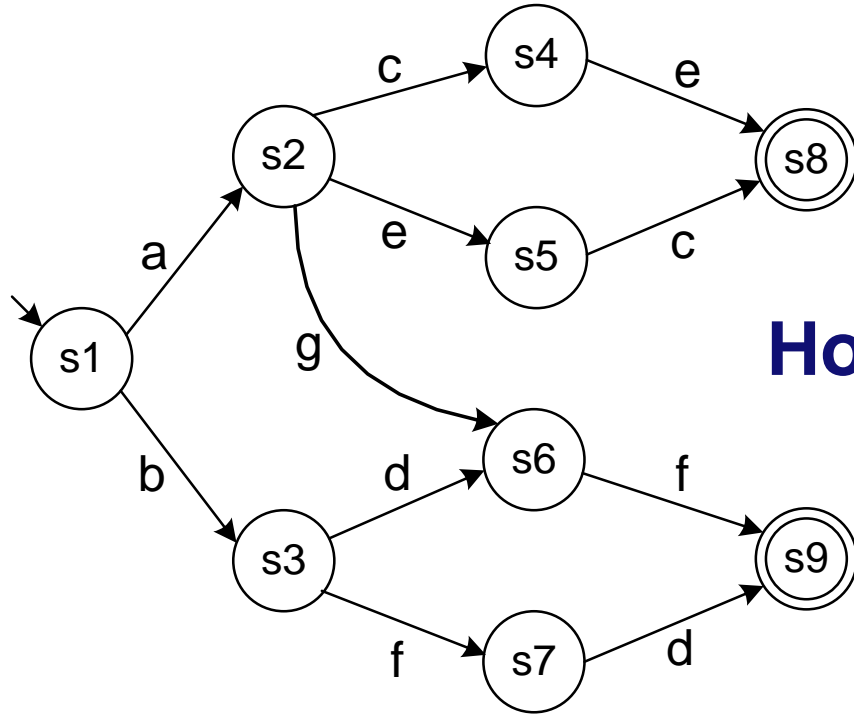
**Two final states: s8 and s9**

# Complete traces

- $\langle a, g, g, c, e \rangle$  is a **complete** trace.
- $\langle b, d, f \rangle$  is a **complete** trace.
- $\langle a, g, g, g, g \rangle$  is an **incomplete** trace.
- $\langle b, f \rangle$  is an **incomplete** trace.
- The transition system has **infinitely** many (in)complete traces.



# Question

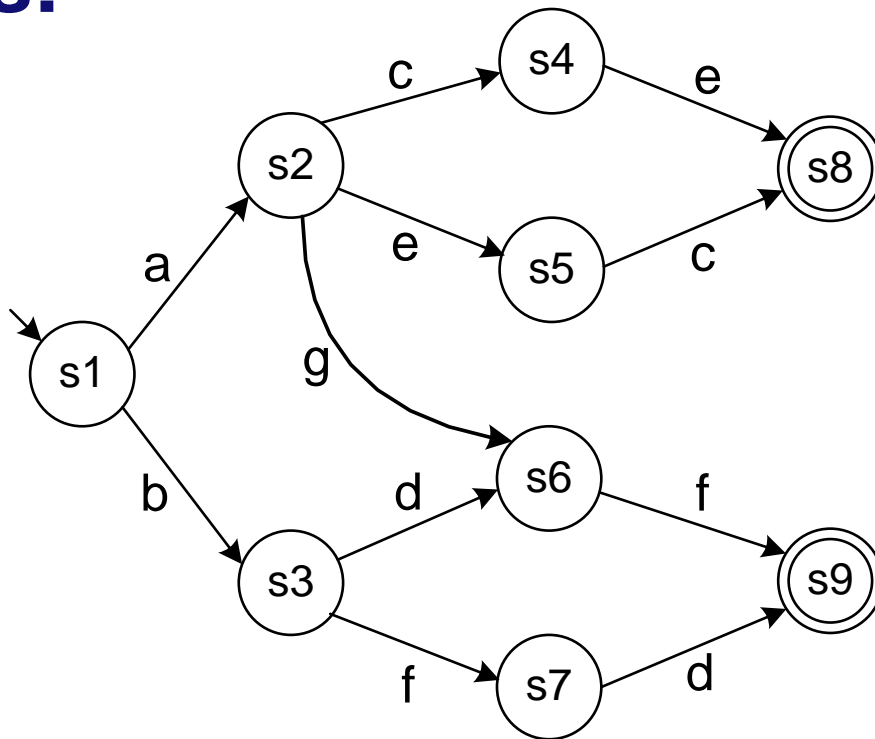


**How many complete traces?**

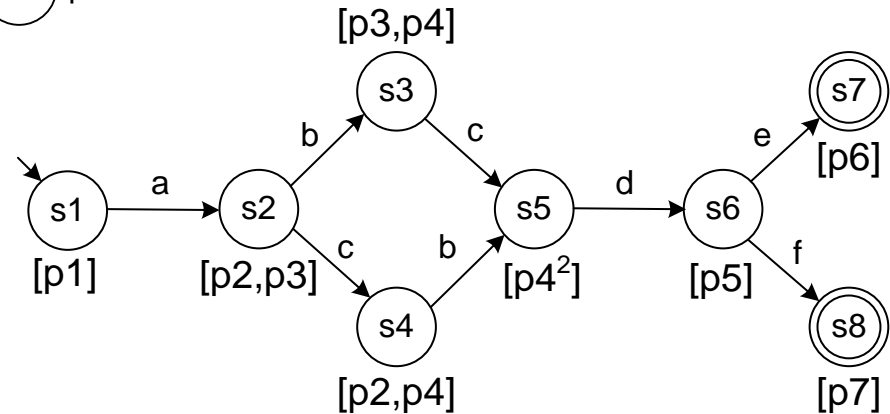
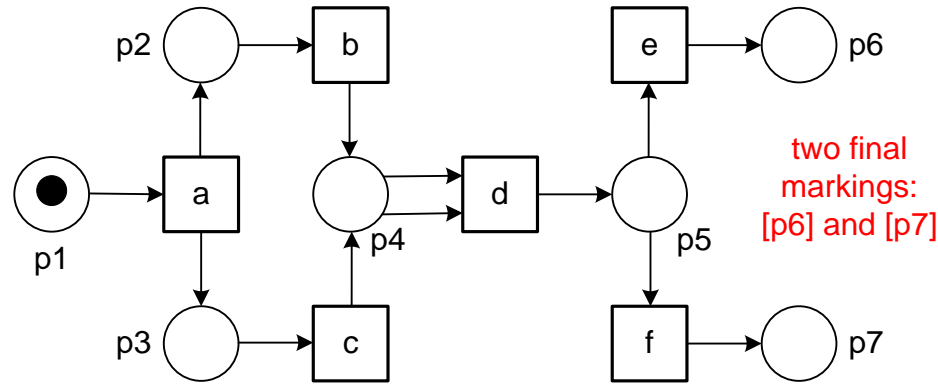
# Answer

## Five complete traces:

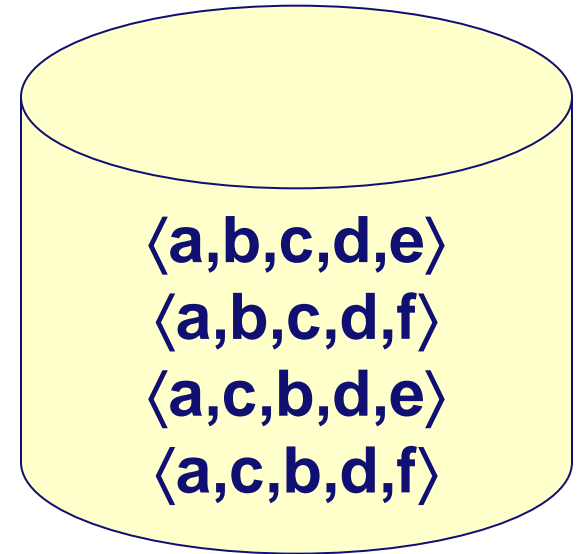
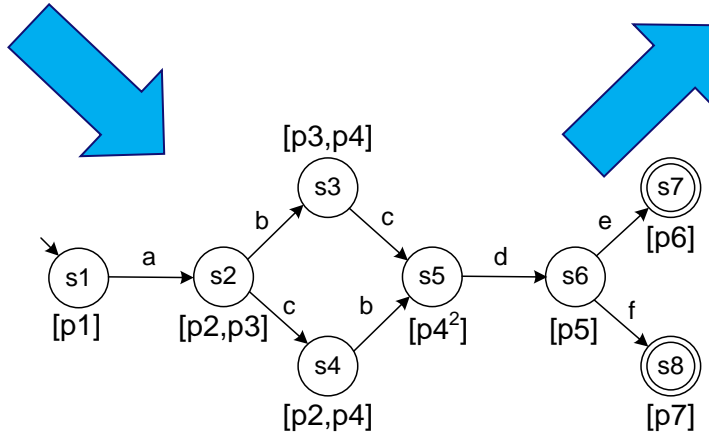
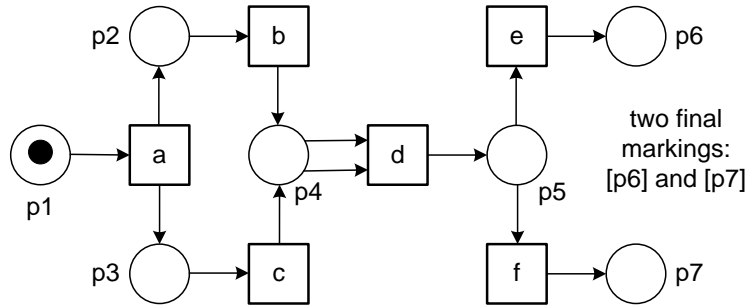
- $\langle a, c, e \rangle$
- $\langle a, e, c \rangle$
- $\langle a, g, f \rangle$
- $\langle b, d, f \rangle$
- $\langle b, f, d \rangle$



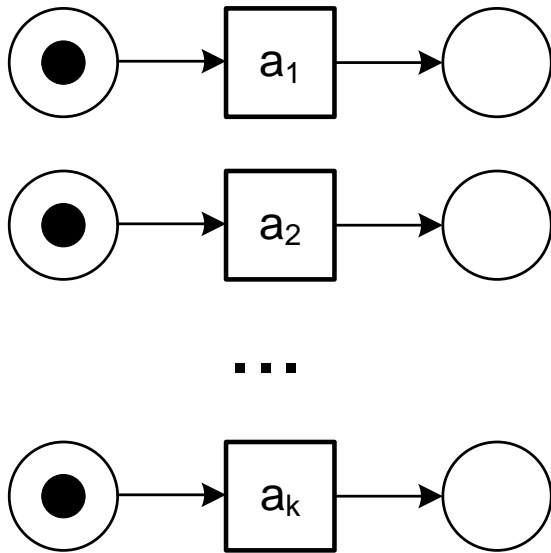
# A Petri net may also have a designated set of final markings



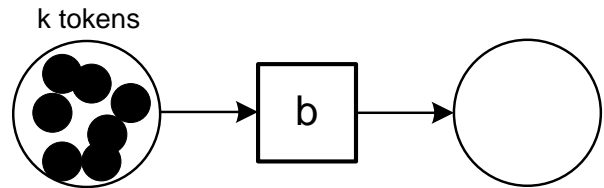
# Play-out



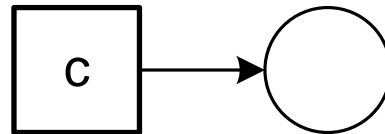
# Transition system may be exponential in size of Petri net (or even infinite)



**$2^k$  states**



**$(k+1)$  states**

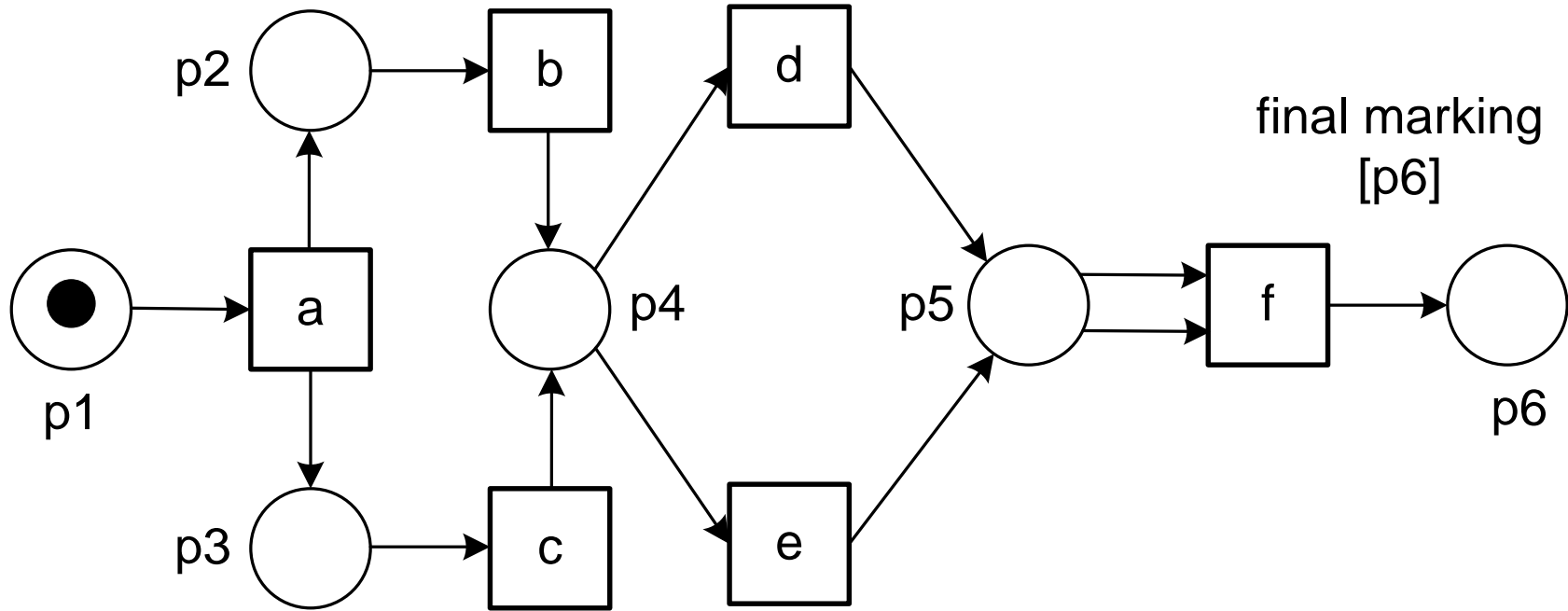


**infinitely many states**

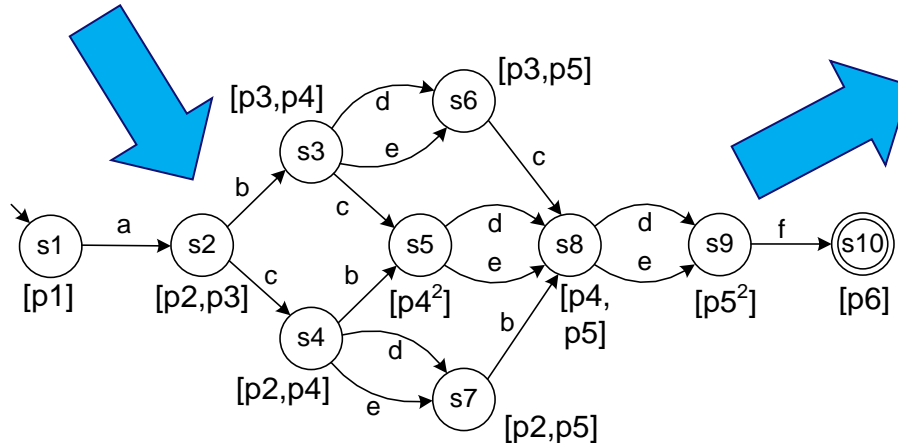
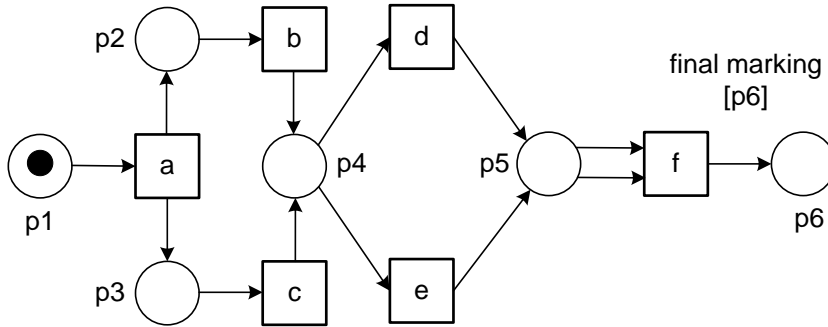


# Play-out model:

Give the transition system and all complete traces that are possible



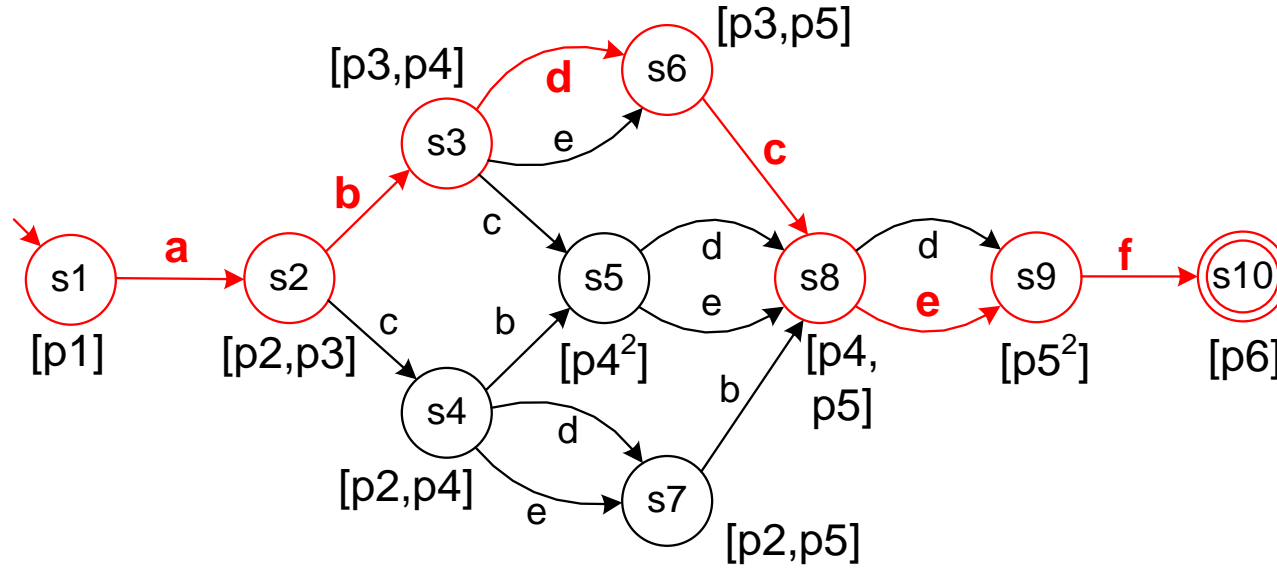
# Resulting transition system and set of complete traces



**16 possible traces**

$\langle a, b, c, d, d, f \rangle$   
 $\langle a, b, c, d, e, f \rangle$   
 $\langle a, b, c, e, d, f \rangle$   
 $\langle a, b, c, e, e, f \rangle$   
 $\langle a, c, b, d, d, f \rangle$   
 $\langle a, c, b, d, e, f \rangle$   
 $\langle a, c, b, e, d, f \rangle$   
 $\langle a, c, b, e, e, f \rangle$   
 $\langle a, b, d, c, d, f \rangle$   
 $\langle a, b, d, c, e, f \rangle$   
 $\langle a, b, e, c, d, f \rangle$   
 $\langle a, b, e, c, e, f \rangle$   
 $\langle a, c, d, b, d, f \rangle$   
 $\langle a, c, d, b, e, f \rangle$   
 $\langle a, c, e, b, d, f \rangle$   
 $\langle a, c, e, b, e, f \rangle$

# Example path



<a,b,c,d,d,f>  
 <a,b,c,d,e,f>  
 <a,b,c,e,d,f>  
 <a,b,c,e,e,f>  
 <a,c,b,d,d,f>  
 <a,c,b,d,e,f>  
 <a,c,b,e,d,f>  
 <a,c,b,e,e,f>  
 <a,b,d,c,d,f>  
**<a,b,d,c,e,f>**  
 <a,b,e,c,d,f>  
 <a,b,e,c,e,f>  
 <a,c,d,b,d,f>  
 <a,c,d,b,e,f>  
 <a,c,e,b,d,f>  
 <a,c,e,b,e,f>

### *Part I: Preliminaries*

**Chapter 1**  
Introduction

**Chapter 2**  
Process Modeling and  
Analysis

**Chapter 3**  
Data Mining

### *Part III: Beyond Process Discovery*

**Chapter 7**  
Conformance  
Checking

**Chapter 8**  
Mining Additional  
Perspectives

**Chapter 9**  
Operational Support

### *Part II: From Event Logs to Process Models*

**Chapter 4**  
Getting the Data

**Chapter 5**  
Process Discovery: An  
Introduction

**Chapter 6**  
Advanced Process  
Discovery Techniques

### *Part IV: Putting Process Mining to Work*

**Chapter 10**  
Tool Support

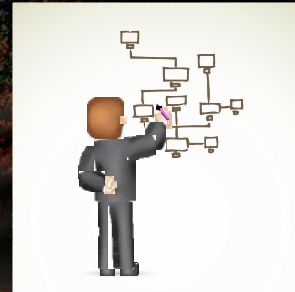
**Chapter 11**  
Analyzing “Lasagna  
Processes”

**Chapter 12**  
Analyzing “Spaghetti  
Processes”

### *Part V: Reflection*

**Chapter 13**  
Cartography and  
Navigation

**Chapter 14**  
Epilogue



Wil M. P. van der Aalst

# Process Mining

Discovery, Conformance and  
Enhancement of Business Processes

 Springer