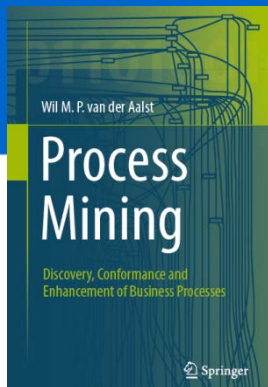


*Process Mining: Data Science in Action*

# Operational Support: Detect, Predict, and Recommend


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[www.processmining.org](http://www.processmining.org)



**TU/e**

Technische Universiteit  
**Eindhoven**  
University of Technology

**Where innovation starts**



**Four generic  
data science  
questions**

#1



**What  
happened?**



**#2**



**Why did  
it happen?**


**#3**



**What will  
happen?**



**#4**



**What is  
the best that  
can happen?**

# Operational support

## Focus on pre mortem data

- **Detect**

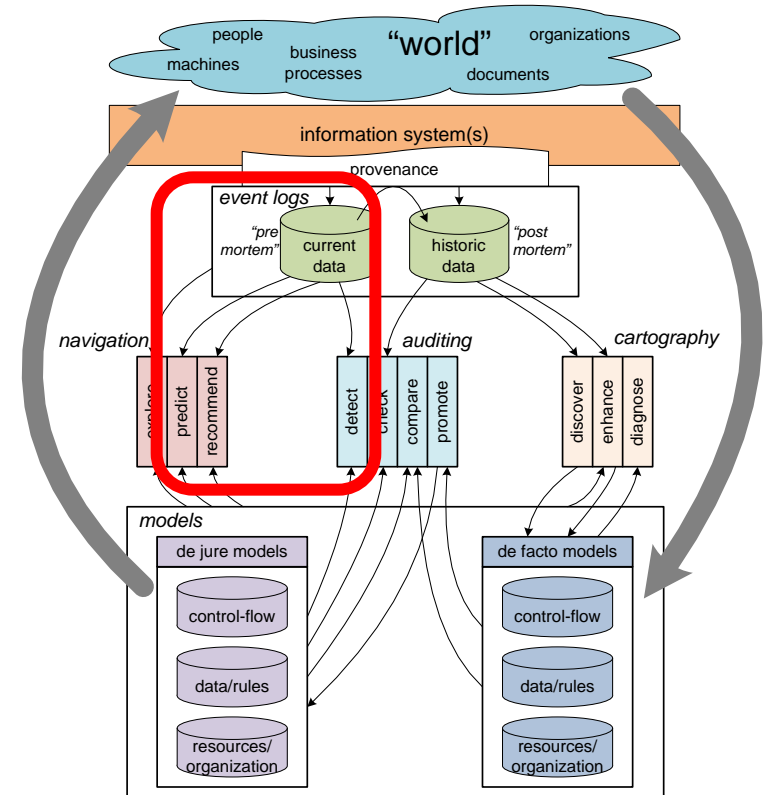
- Something is going wrong **now!**
- This case is deviating **now!**
- The deadline **just** expired!

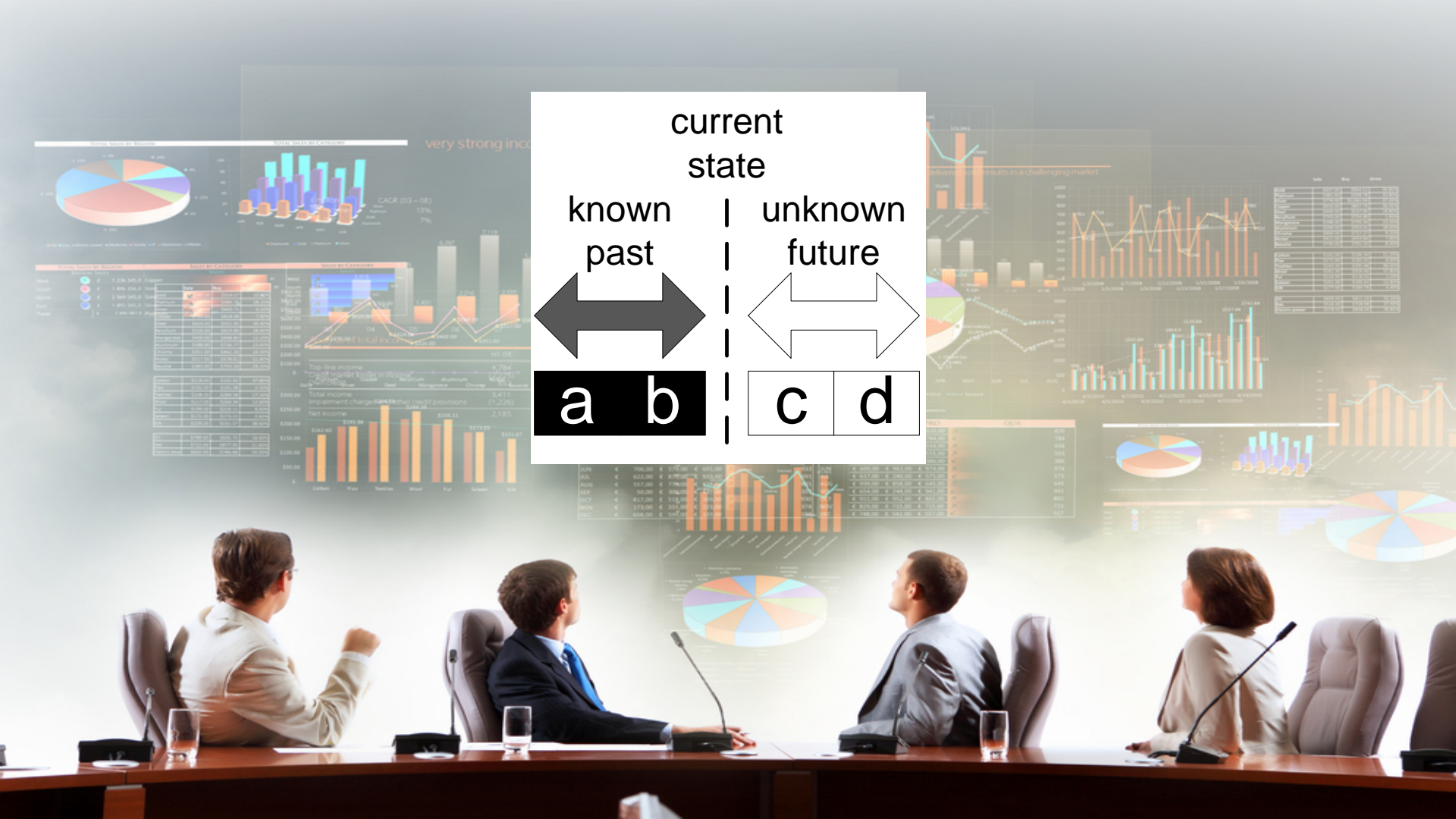
- **Predict**

- When **will** the case finish?
- **Will** the case be rejected?
- **Will** the case deviate?

- **Recommend**

- Which activity **should** be executed?
- Who **should** execute it?

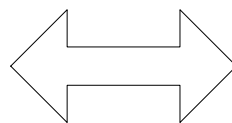
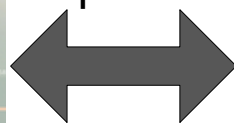




current  
state

known  
past

unknown  
future

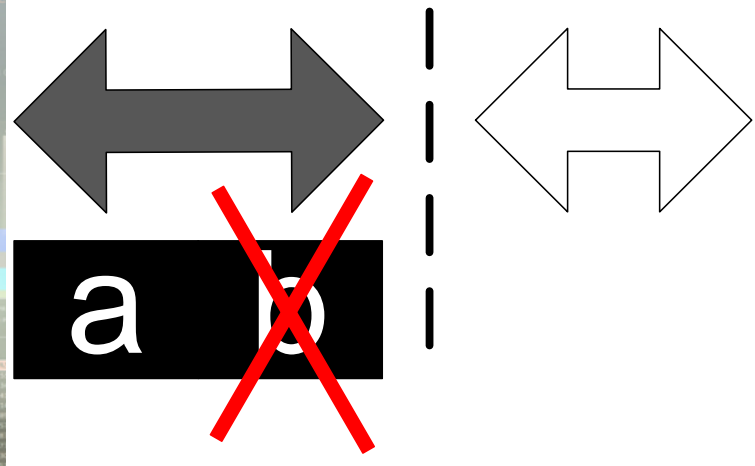


a b

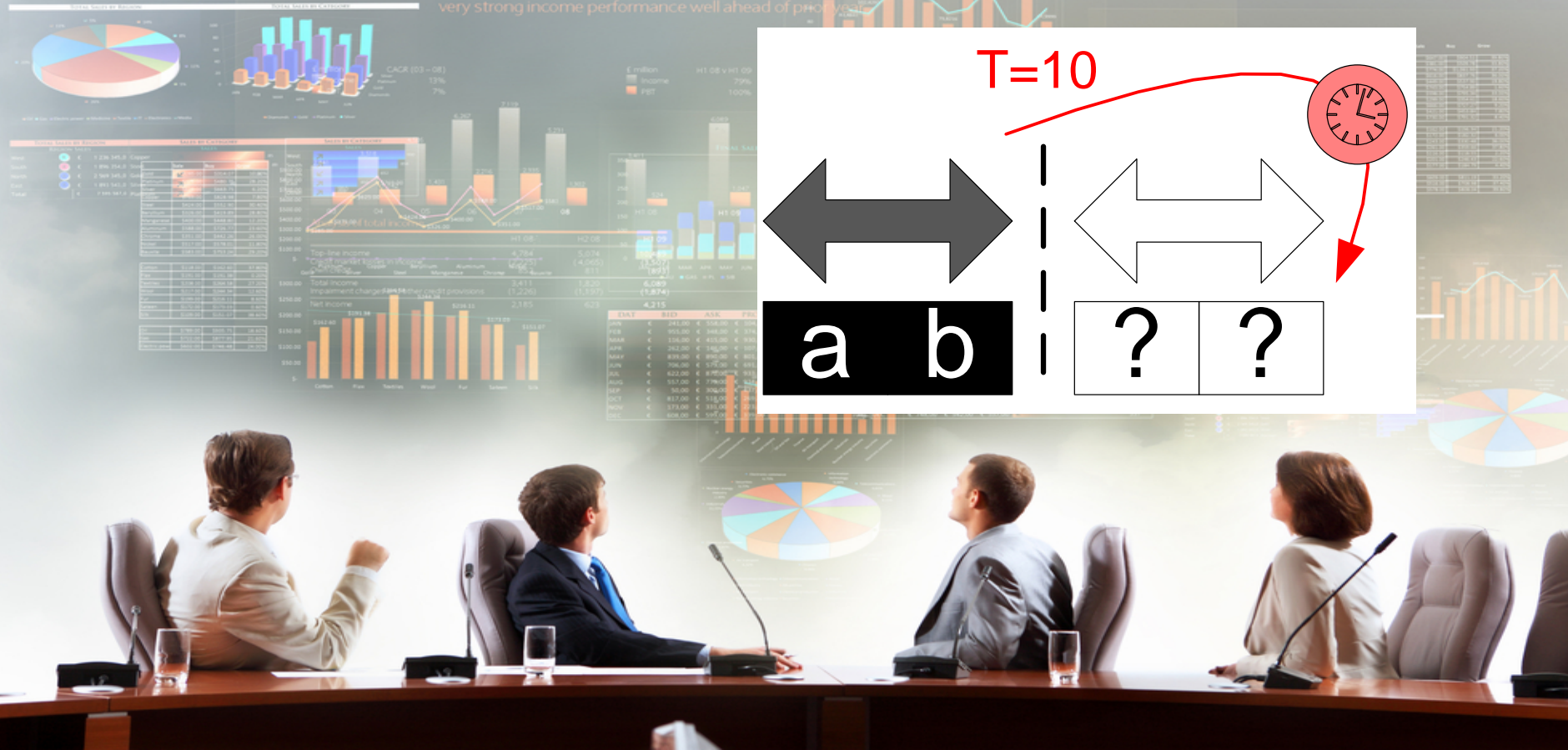
c d



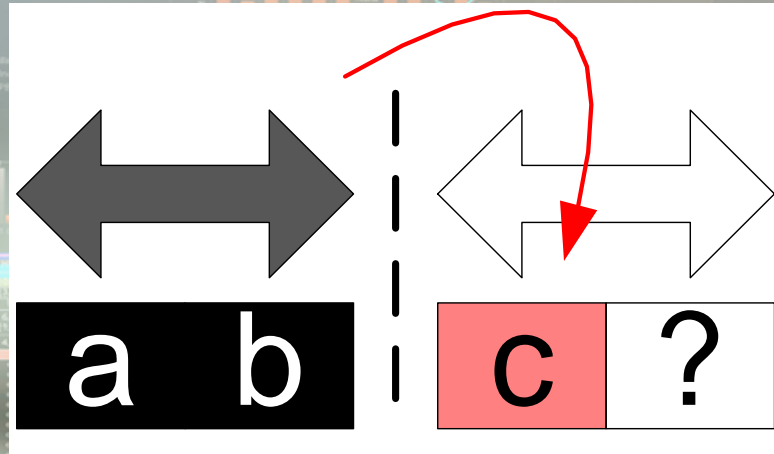
**Detect: b** does not fit the model  
(not allowed, too late, etc.).



**Predict:** some prediction is made about the future (e.g. completion date or outcome).



**Recommend:** based on past experiences **c** is recommended (e.g., to minimize costs).



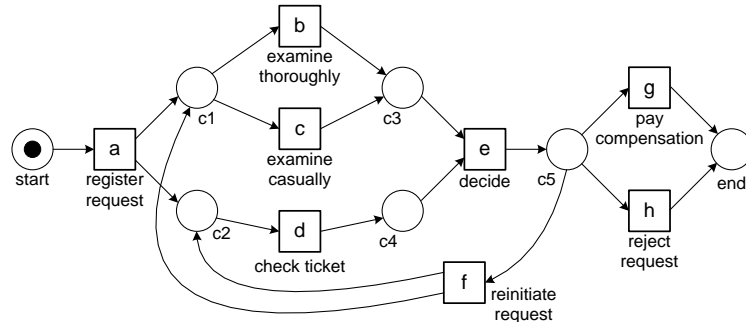


# Running example

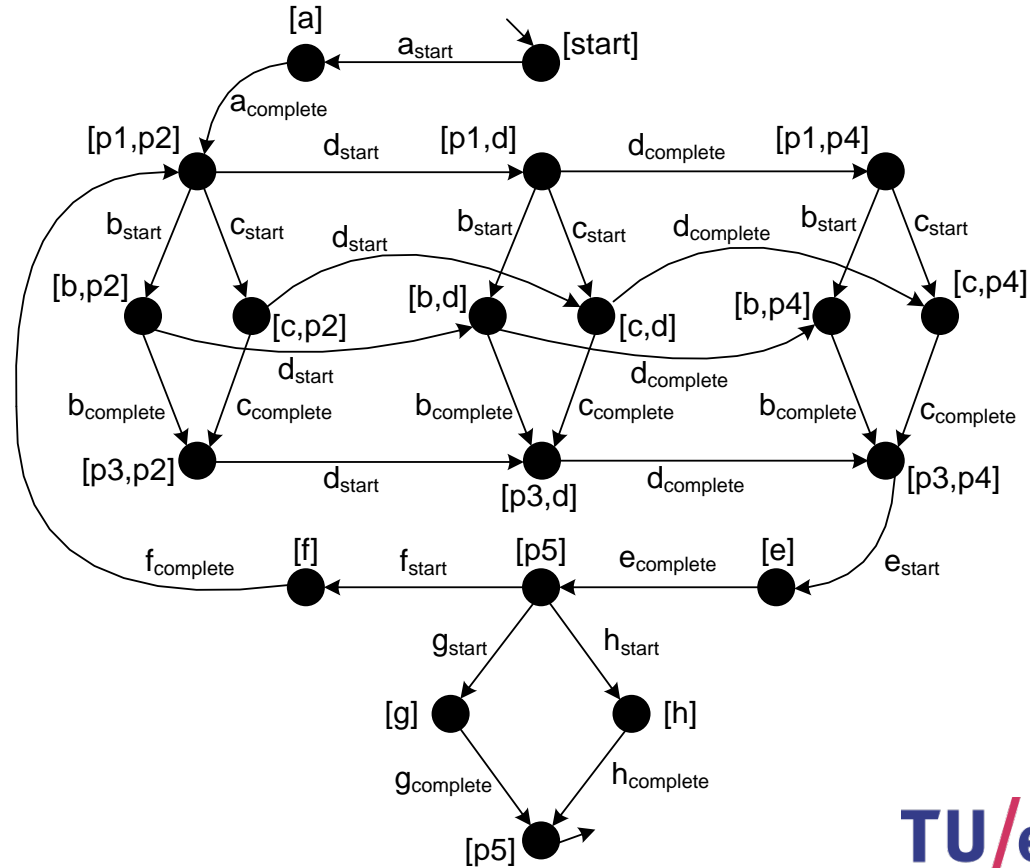
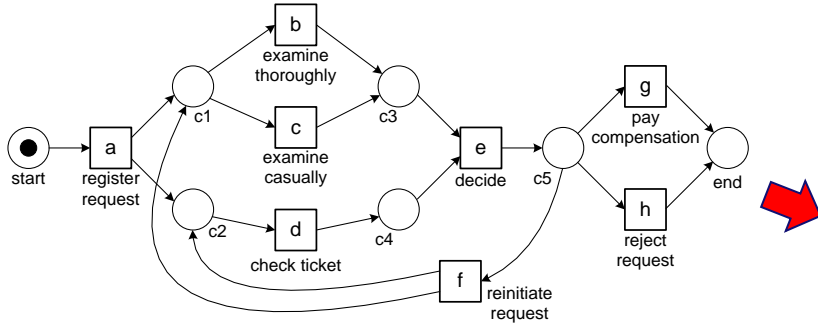
for simplicity we focus on control-flow and time

case id    trace

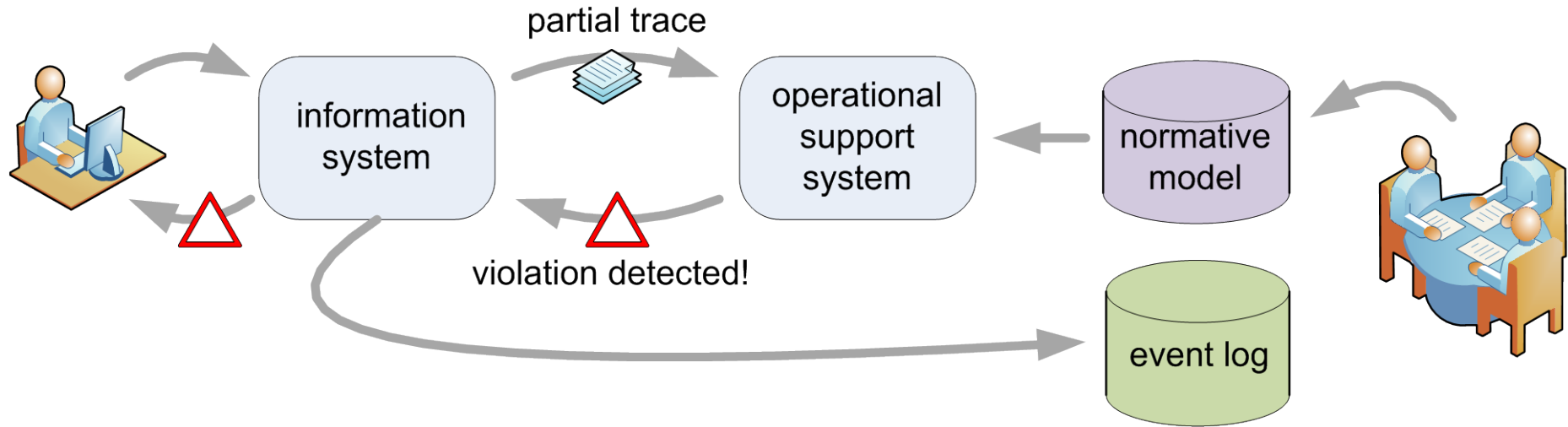
- |     |  |
|-----|--|
| 1   | $\langle a_{start}^{12}, a_{complete}^{19}, b_{start}^{25}, d_{start}^{26}, b_{complete}^{32}, d_{complete}^{33}, e_{start}^{35}, e_{complete}^{40}, h_{start}^{50}, h_{complete}^{54} \rangle$  |
| 2   | $\langle a_{start}^{17}, a_{complete}^{23}, d_{start}^{28}, c_{start}^{30}, d_{complete}^{32}, c_{complete}^{38}, e_{start}^{50}, e_{complete}^{59}, g_{start}^{70}, g_{complete}^{73} \rangle$  |
| 3   | $\langle a_{start}^{25}, a_{complete}^{30}, c_{start}^{32}, c_{complete}^{35}, d_{start}^{35}, d_{complete}^{40}, e_{start}^{45}, e_{complete}^{50}, f_{start}^{50}, f_{complete}^{55},$<br>$b_{start}^{60}, d_{start}^{62}, b_{complete}^{65}, d_{complete}^{67}, e_{start}^{80}, e_{complete}^{87}, g_{start}^{90}, g_{complete}^{98} \rangle$ |
| ... | ...  |



# Transition system

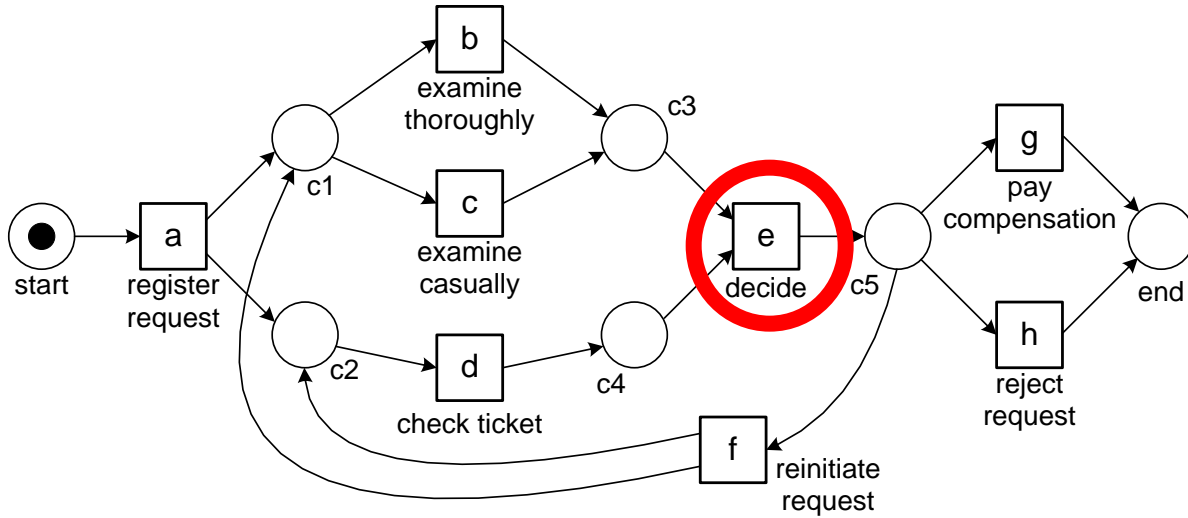


# Operational support: **Detect**





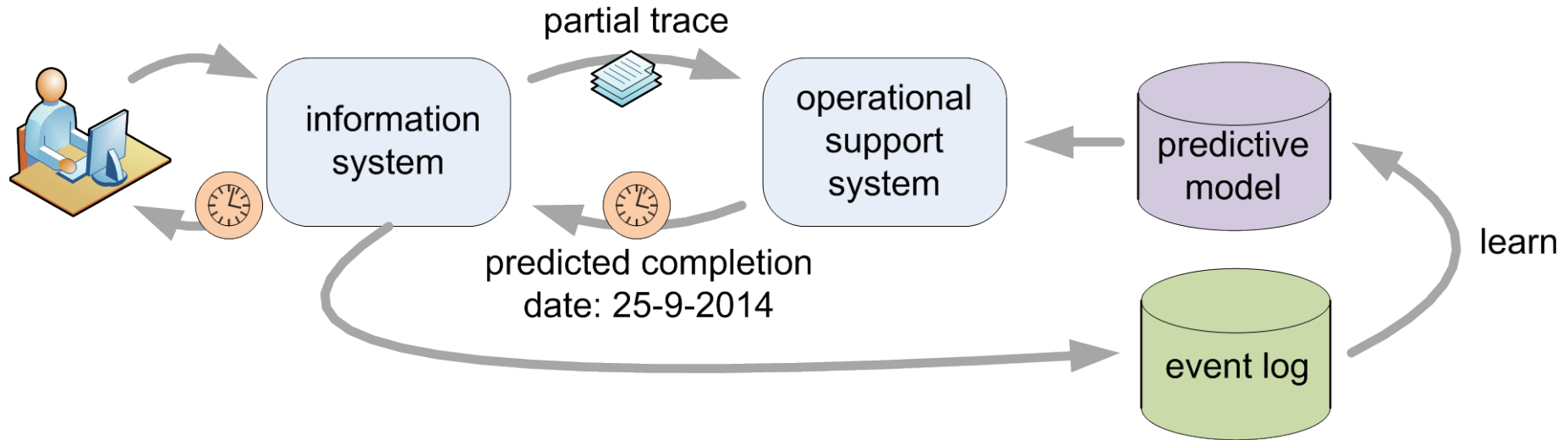
# Example



**alert @28!!!!**

$\langle a_{start}^{12}, a_{complete}^{19}, b_{start}^{25}, d_{start}^{27}, e_{start}^{28}, \dots \rangle$

# Operational support: **Predict**



# Examples of predictions

The predicted **remaining flow time** for this **case** is 14 days.

The predicted probability of meeting the legal **deadline** is 0.72 for this case.

The predicted probability that person **r** will **work** on this case is 0.57.

The predicted probability that activity **a** will **occur** is 0.34.

The predicted **total cost** of this case is €4500.

The predicted probability that this case will be **rejected** is 0.67.

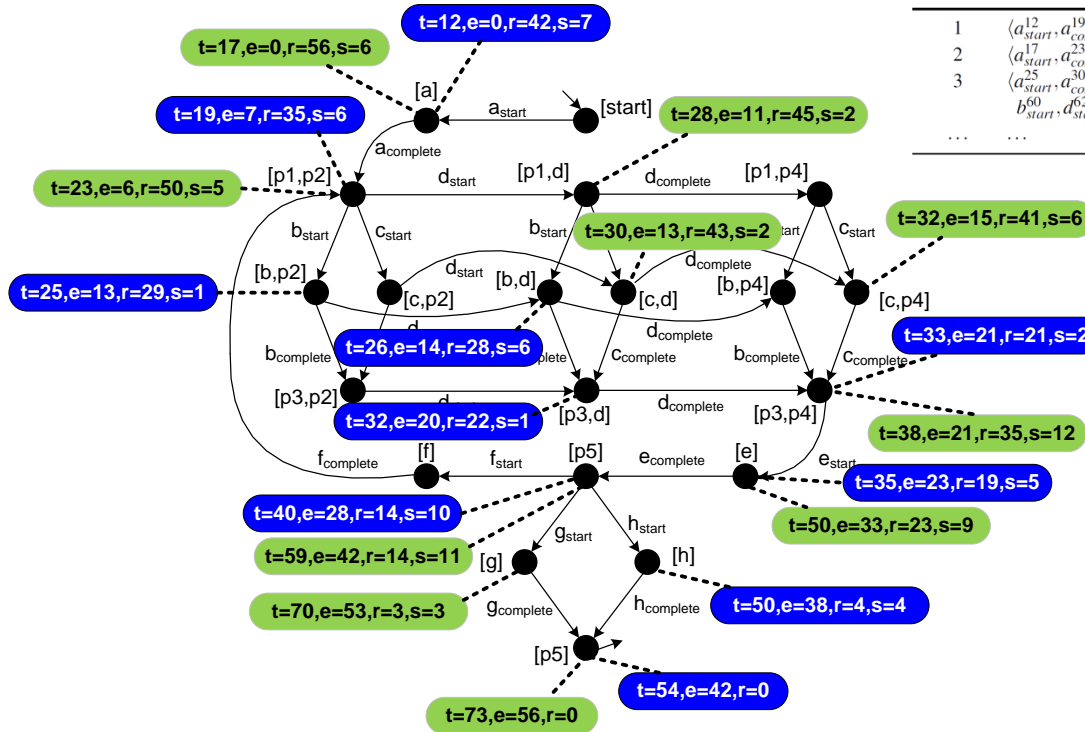


# Annotated transition system

(based on replay with time, see previous lectures)

case 1

case 2

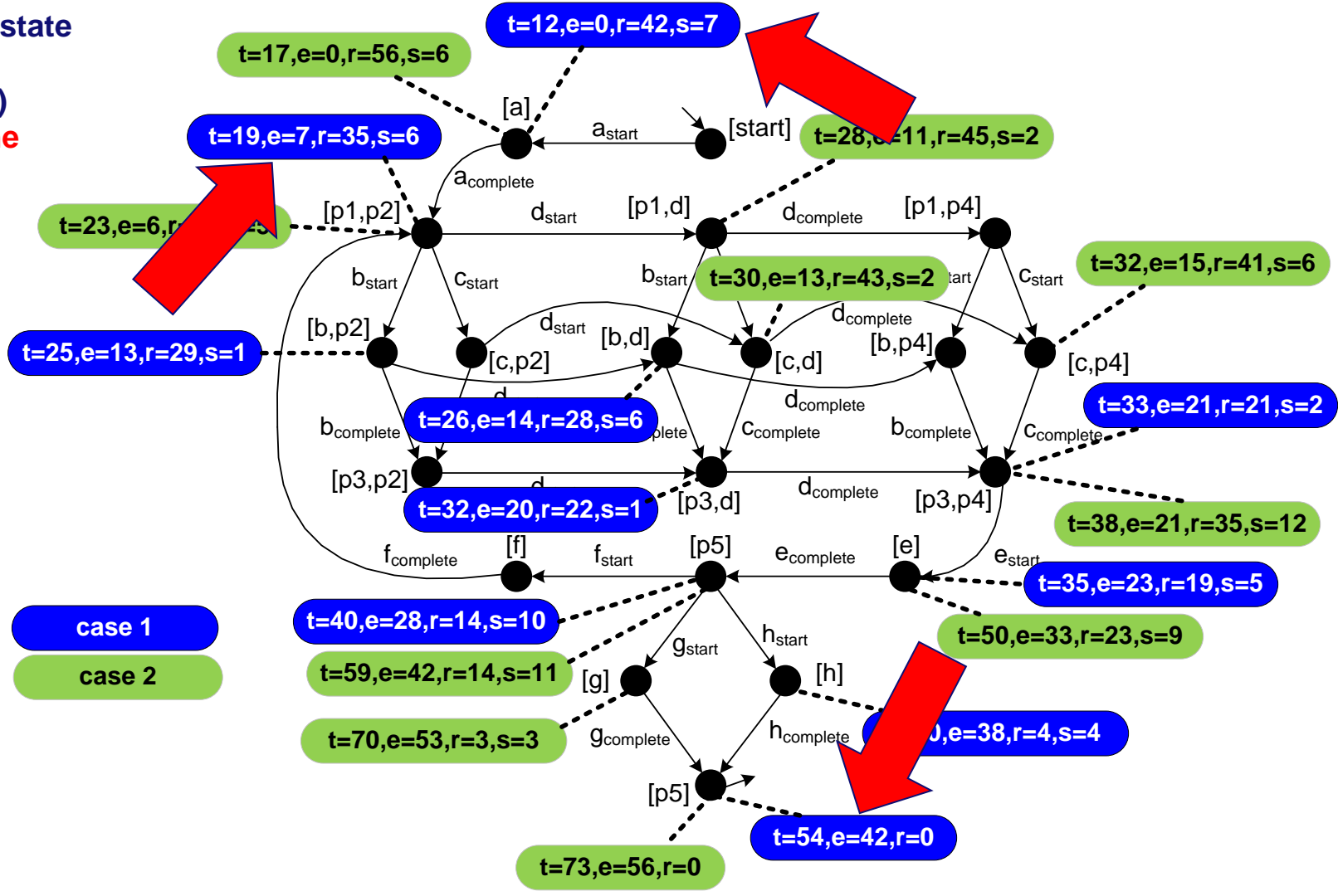


case id trace

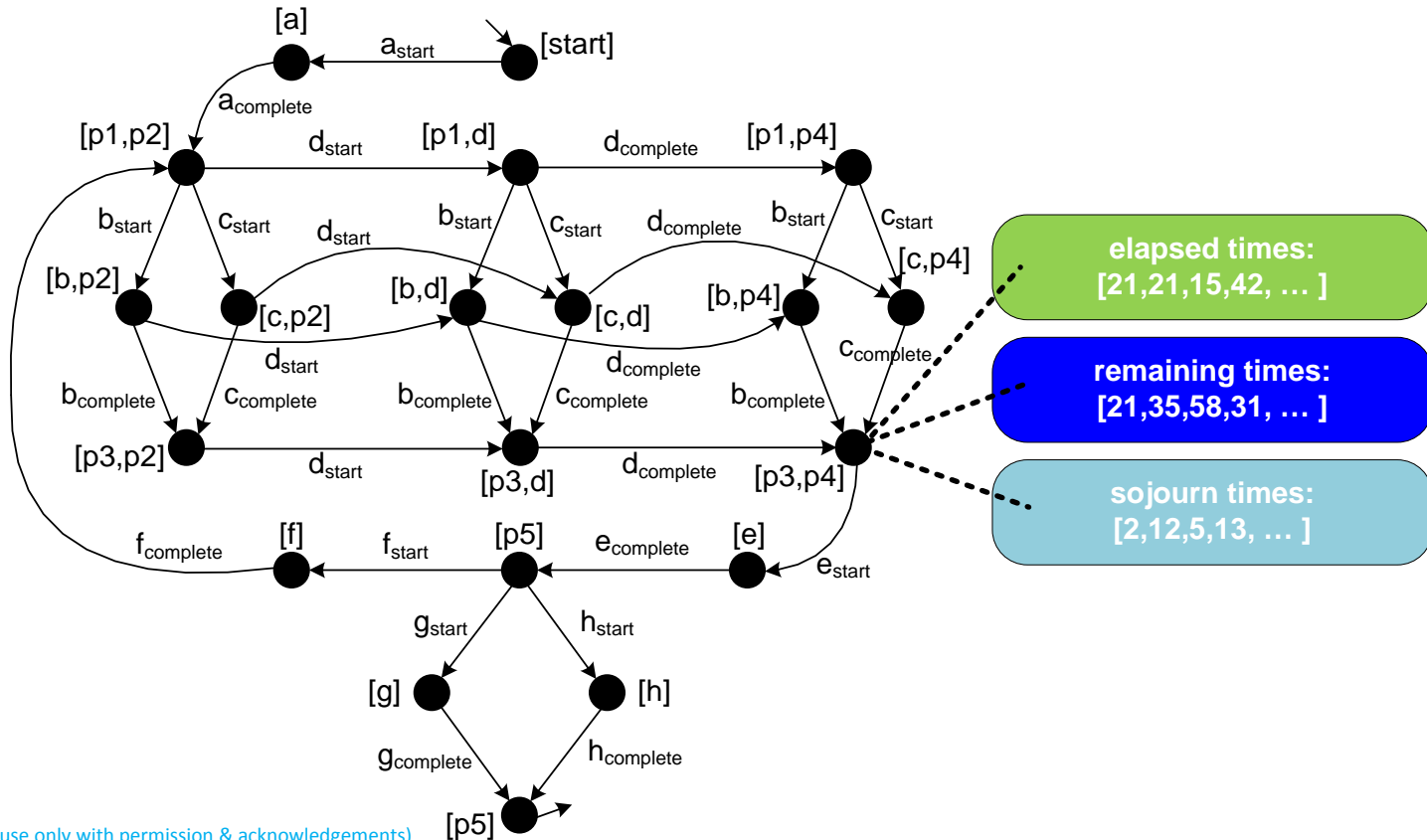
|     |   |
|-----|---|
| 1   | $\langle a_{start}^{12}, a_{complete}^{19}, b_{start}^{25}, d_{start}^{26}, b_{complete}^{32}, d_{complete}^{33}, e_{start}^{35}, e_{complete}^{40}, h_{start}^{50}, h_{complete}^{54} \rangle$   |
| 2   | $\langle a_{start}^{17}, a_{complete}^{23}, d_{start}^{28}, c_{start}^{30}, d_{complete}^{32}, c_{complete}^{38}, e_{start}^{50}, e_{complete}^{59}, g_{start}^{70}, g_{complete}^{73} \rangle$   |
| 3   | $\langle a_{start}^{25}, a_{complete}^{30}, c_{start}^{32}, c_{complete}^{35}, d_{start}^{35}, d_{complete}^{40}, e_{start}^{45}, e_{complete}^{50}, f_{start}^{50}, f_{complete}^{55}, b_{start}^{60}, d_{start}^{62}, b_{complete}^{65}, d_{complete}^{67}, e_{start}^{80}, e_{complete}^{87}, g_{start}^{90}, g_{complete}^{98} \rangle$ |
| ... | ...   |

- **t = time entering state**
- **e = elapsed time (since first event)**
- **r = remaining time (until last event)**
- **s = sojourn time (time in state)**

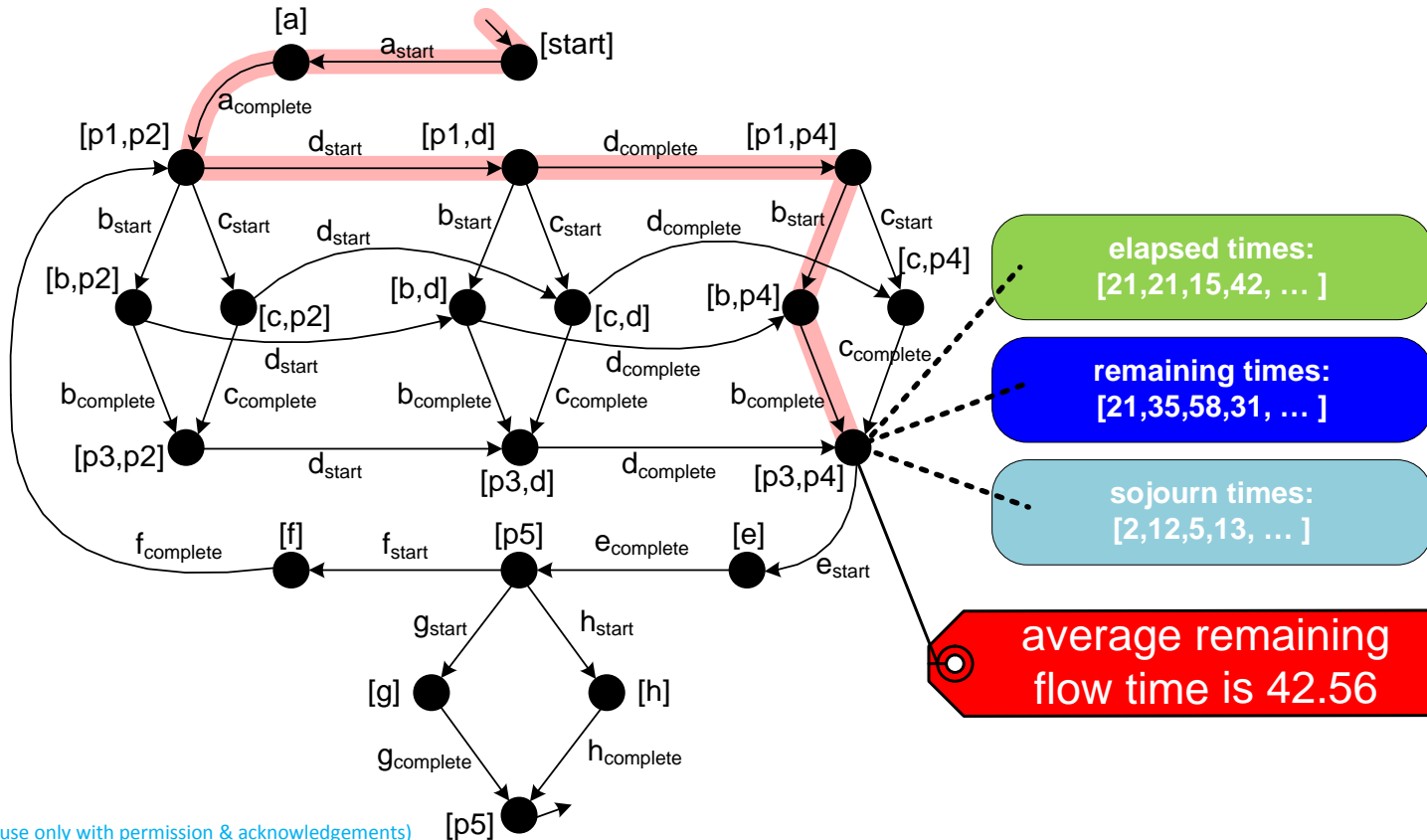
**t = time entering state**  
**e = elapsed time**  
 (since first event)  
**r = remaining time**  
 (until last event)  
**s = sojourn time**  
 (time in state)



# Collect results per state

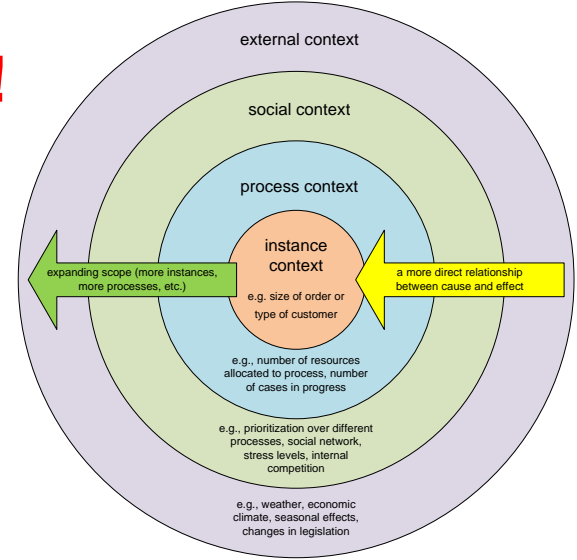


# Predict based on current state

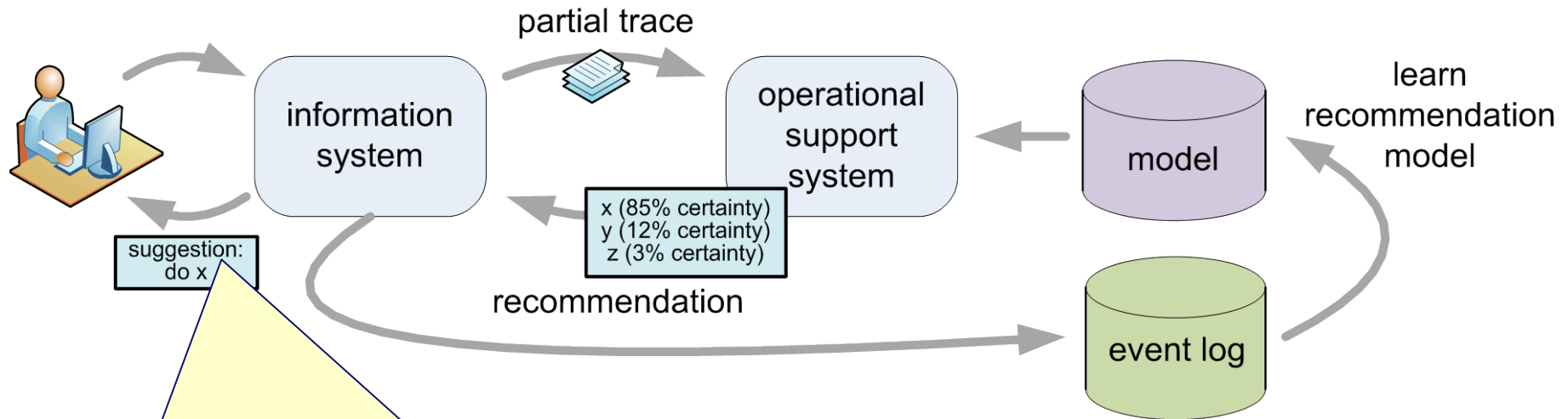




# Use context information!



# Operational support: **Recommend**



## Typical recommendations:

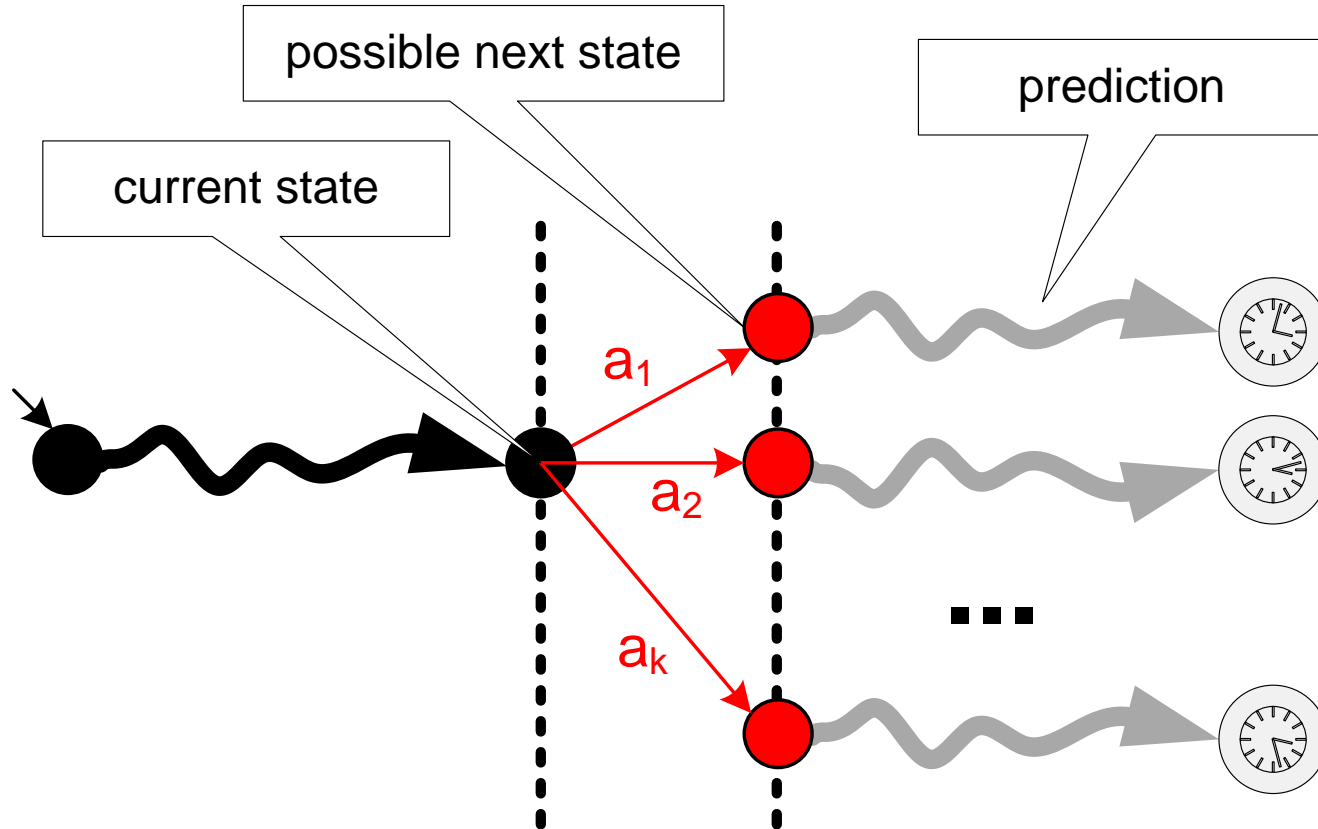
- Next activity (choice or ordering related).
- Suitable resource.

# A recommendation is always given with respect to a specific **goal**

- Minimize the **remaining flow time**.
- Minimize the **total costs**.
- Maximize the fraction of cases **handled within 4 weeks**.
- Maximize the fraction of cases that is **accepted**.
- Minimize **resource usage**.



# Relation between prediction and recommendation



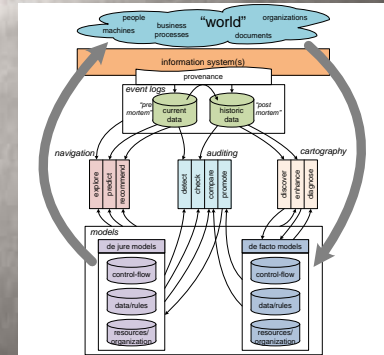
ability to predict  $\Rightarrow$  ability to recommend





# From offline to online: Influence running cases!

**Predictive  
analytics for  
processes**



## Part I: Preliminaries

Chapter 1  
Introduction

Chapter 2  
Process Modeling and  
Analysis

Chapter 3  
Data Mining

## Part II: From Event Logs to Process Models

Chapter 4  
Getting the Data

Chapter 5  
Process Discovery: An  
Introduction

Chapter 6  
Advanced Process  
Discovery Techniques

## Part III: Beyond Process Discovery

Chapter 7  
Conformance  
Checking

Chapter 8  
Mining Additional  
Perspectives

Chapter 9  
Operational Support

## Part IV: Putting Process Mining to Work

Chapter 10  
Tool Support

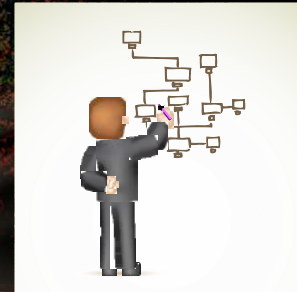
Chapter 11  
Analyzing "Lasagna  
Processes"

Chapter 12  
Analyzing "Spaghetti  
Processes"

## Part V: Reflection

Chapter 13  
Cartography and  
Navigation

Chapter 14  
Epilogue



Wil M. P. van der Aalst

# Process Mining

Discovery, Conformance and  
Enhancement of Business Processes

 Springer