
Context Free Grammars: Phrases for English Problems with CFGs

Key Constituents for English

- English has headed phrase structure
 - X-bar theory: in natural languages, phrases are headed by particular kinds of words with modifiers and qualifiers around them (specifiers, adjuncts, and complements)
- Verb Phrases $VP \rightarrow \dots VB^* \dots$
- Noun Phrases $NP \rightarrow \dots NN^* \dots$
- Adjective Phrases $ADJP \rightarrow \dots JJ^* \dots$
- Adverb Phrases $ADVP \rightarrow \dots RB^* \dots$
- Sentences (and clauses): $SBAR \rightarrow S \mid SINV \mid SQ \dots$
 - Sentences, inverted sentences, direct questions, ... can also appear in larger clause structure SBAR where sentence is preceded by *that*
- Plus minor phrase types:
 - QP (quantifier phrase in NP), PP (prepositional phrase), CONJP (multi word constructions: *as well as*), INTJ (interjections), etc.

e.g. Penn Treebank Constituent Tags:

<http://www.surdeanu.info/mihai/teaching/ista555-fall13/readings/PennTreebankConstituents.html> 2

Sentences

- Sentences

- Declaratives: A plane left

S -> NP VP

- Imperatives: Leave!

S -> VP

- Yes-No Questions: Did the plane leave?

S -> Aux NP VP

- WH Questions: When did the plane leave?

S -> WH Aux NP VP

Noun Phrases

- Noun phrases have a **head noun** with pre and post-modifiers
 - Determiners, Cardinals, Ordinals, Quantifiers and Adjective Phrases are all optional, indicated here with parentheses
NP -> (DT) (Card) (Ord) (Quan) (AP) **Noun**
Noun -> NN | NP | NPS | NNS (*the four noun POS tags*)
 - Post-modifiers include prepositional phrases, gerundive phrases, and relative clauses
 - the **man** [from Moscow]
 - any **flights** [arriving after 11pm] (gerundive)
 - the **spy**[who came in from the cold] (relative clause)

Some examples on these slides are from the Jurafsky and Martin text and from Jim Martin's online course materials.

Recursive Rules

- One type of Noun phrase is a Noun Phrase followed by a Prepositional phrase

* NP \rightarrow NP PP

PP \rightarrow Prep NP

- Of course, this is what makes syntax interesting

flights from Denver

flights from Denver to Miami

flights from Denver to Miami in February

flights from Denver to Miami in February on a Friday

flights from Denver to Miami in February on a Friday under \$300

flights from Denver to Miami in February on a Friday under \$300 with lunch

- Syntax trees for these examples also need rules for NP \rightarrow Noun, etc.

* This grammar illustrates the recursion, but may not give the best derivation for these phrases! 5

Verb Phrases

- Simple Verb phrases

VP -> Verb	<i>leave</i>
Verb NP	<i>leave Boston</i>
Verb NP PP	<i>leave Boston in the morning</i>
Verb PP	<i>leave in the morning</i>

- Verbs may also be followed by a clause

VP -> Verb S

I think I would like to take a 9:30 flight

- The phrase or clause following a verb is sometimes called the complementizer

Conjunctive Constructions

- $S \rightarrow S \text{ and } S$
 - John went to NY and Mary followed him
- $NP \rightarrow NP \text{ and } NP$
- $VP \rightarrow VP \text{ and } VP$
- ...
- In fact the right rule for English would be
 $X \rightarrow X \text{ and } X$
for all constituents X , but this is not valid CFG

Problems

- Context-Free Grammars can represent many parts of natural language adequately
- Here are some of the problems that are difficult to represent in a CFG:
 - Agreement
 - Subcategorization
 - Movement (for want of a better term)

Agreement

- This dog
 - Those dogs
 - This dog eats
 - Those dogs eat
 - In English,
 - subjects and verbs have to agree in person and number
 - Determiners and nouns have to agree in number
 - Many languages have agreement systems that are far more complex than this.
 - Solution can be either to add rules with agreement or to have a layer on the grammar called the features
- *This dogs
 - *Those dog
 - *This dog eat
 - *Those dogs eats

Subcategorization

- Subcategorization expresses the constraints that a particular verb (sometimes called the predicate) places on the number and syntactic types of arguments it wants to take (occur with).
 - Sneeze: John sneezed
 - Find: Please find [a flight to NY]_{NP}
 - Give: Give [me]_{NP}[a cheaper fare]_{NP}
 - Help: Can you help [me]_{NP}[with a flight]_{PP}
 - Prefer: I prefer [to leave earlier]_{TO-VP}
 - Told: I was told [United has a flight]_S
 - ...

Subcategorization

- Should these be correct?
 - John sneezed the book
 - I prefer United has a flight
 - Give with a flight
- The various rules for VPs *overgenerate*.
 - They permit the presence of strings containing verbs and arguments that don't go together
 - For example $VP \rightarrow V NP$ therefore
Sneezed the book is a VP since “sneeze” is a verb and “the book” is a valid NP
- Now *overgeneration* is a problem for a generative approach.
 - The grammar should represent *all and only* the strings in a language
- From a practical point of view... Not so clear that there's a problem

Movement

- Consider the verb “booked” in the following example:

– [[My travel agent]_{NP} [booked [the flight]_{NP}]_{VP}]_S



- i.e. “book” is a straightforward transitive verb. It expects a single NP arg within the VP as an argument, and a single NP arg as the subject.

Movement

- But what about?
 - Which flight do you want me to have the travel agent book?
- The direct object argument to “book” isn’t appearing in the right place. It is in fact a long way from where it’s supposed to appear.
- And note that it’s separated from its verb by 2 other verbs.
- In Penn Treebank, these types of movement are annotated by have an empty Trace constituent appear in the right place.

The Point about CFGs

- CFGs appear to be just about what we need to account for a lot of basic syntactic structure in English.
- But there are problems
 - that can be dealt with adequately, although not elegantly, by staying within the CFG framework.
- There are simpler, more elegant, solutions that take us out of the CFG framework (beyond its formal power)
 - For example, Feature Structures for CFGs place constraints on how the rules can be applied