

Signal Processing and Communications Lecture Notes

ELEC 3100 — Digital Communications and Signal Processing

cplam



Figure 1: Hope you can enjoy my notes :)

Contents

1	Introduction to Discrete-Time Signals	5
1.1	The Sampling Process	5
1.2	Discrete-Time Signal Representation	5
1.3	Basic Sequences	6
1.4	Problems	6
2	Correlation	7
2.1	Cross-Correlation	7
2.2	Auto-Correlation	7
2.3	Problems	7
3	Discrete-Time Fourier Transform (DTFT)	8
3.1	Definition	8
3.2	Convergence Condition	8
3.3	DTFT Properties	8
3.4	Example	8
3.5	Problems	9
4	Discrete Fourier Transform (DFT)	10
4.1	Definition	10
4.2	Matrix Representation	10
4.3	Circular Convolution	10
4.4	Problems	11
5	Fast Fourier Transform (FFT)	12
5.1	Decimation-in-Time (DIT) FFT	12
5.2	Computational Complexity	12
5.3	Problems	12
6	Z-Transform	13
6.1	Definition	13
6.2	Region of Convergence (ROC)	13
6.3	Inverse Z-Transform	13
6.4	Z-Transform Properties	13
6.5	System Function	14
6.6	Problems	14
7	FIR Filter Design	15
7.1	Least Integral-Squared Error Design	15
7.2	Gibbs Phenomenon	15
7.3	Ideal Filter Impulse Responses	15
7.4	Problems	15

8	Source Coding	16
8.1	Motivation	16
8.2	Lossy Source Coding	16
8.2.1	Pulse Code Modulation (PCM)	16
8.2.2	Transform Coding	16
8.3	Lossless Source Coding	16
8.3.1	Entropy	16
8.3.2	Huffman Coding	17
8.3.3	Arithmetic Coding	17
8.3.4	Lempel–Ziv–Welch (LZW)	17
8.4	Problems	17
9	Channel Coding	18
9.1	Motivation	18
9.2	Types of Codes	18
9.3	Repetition Codes	18
9.4	Hamming Codes	18
9.5	Reed–Solomon Codes	18
9.6	Channel Coding in GSM	18
9.7	Problems	19
10	Baseband Communications & Noise	20
10.1	System Model	20
10.2	White Gaussian Noise	20
10.3	Integrate-and-Dump Receiver	20
10.4	Error Probability	20
10.5	Problems	21
11	Optimal Receiver	22
11.1	General Receiver Structure	22
11.2	Optimum Threshold	22
11.3	Matched Filter	22
11.4	Optimal Signal Design	22
11.5	Problems	23
12	Digital Modulation	24
12.1	Why Modulation?	24
12.2	Digital Modulation Schemes	24
12.2.1	Amplitude Shift Keying (ASK)	24
12.2.2	Binary Phase Shift Keying (BPSK)	24
12.2.3	Frequency Shift Keying (FSK)	24
12.3	Correlator Receiver Implementation	24
12.4	Problems	25

13 Channel Models	26
13.1 Channel Effects	26
13.2 Multipath Channels	26
13.3 OFDM (Orthogonal Frequency Division Multiplexing)	26
13.4 Rayleigh Fading	26
13.5 Problems	27
14 Multiplexing and Multiple Access	28
14.1 Multiplexing	28
14.2 Multiple Access	28
14.3 Code Division Multiple Access (CDMA)	28
14.4 Comparison	28
14.5 Problems	29
15 Summary Problems (Comprehensive)	30

1 Introduction to Discrete-Time Signals

1.1 The Sampling Process

A discrete-time sequence $x[n]$ is often obtained by uniformly sampling a continuous-time signal $x_a(t)$:

$$x[n] = x_a(t)\big|_{t=nT_s} = x_a(nT_s)$$

where T_s is the **sampling period**.

- **Sampling Frequency:**

$$F_s = \frac{1}{T_s}$$

- **Normalized Digital Angular Frequency:**

$$\omega_0 = \Omega_0 T = \frac{2\pi\Omega_0}{\Omega_T}$$

where Ω_0 is the angular frequency of the continuous-time sinusoid.

- **Aliasing:** Occurs when $|\Omega_T| < 2|\Omega_0|$, causing higher frequencies to be indistinguishable from lower frequencies.
- **Nyquist Criterion:** To avoid aliasing,

$$F_s > 2f_{\max}$$

where f_{\max} is the highest frequency in the signal.

1.2 Discrete-Time Signal Representation

A discrete-time signal is denoted as $\{x[n]\}$.

- **Finite-Length Signal:** Defined for $N_1 \leq n \leq N_2$ with length

$$N = N_2 - N_1 + 1.$$

- **Infinite-Length Signal:** Defined for all n .

- **Basic Operations:**

- **Product:** $y[n] = x[n] \cdot w[n]$
- **Addition:** $y[n] = x[n] + w[n]$
- **Time Shift:** $y[n] = x[n - n_0]$
- **Time Reversal:** $y[n] = x[-n]$

1.3 Basic Sequences

- Unit Sample:

$$\delta[n] = \begin{cases} 1, & n = 0 \\ 0, & \text{otherwise} \end{cases}$$

- Unit Step:

$$u[n] = \begin{cases} 1, & n \geq 0 \\ 0, & \text{otherwise} \end{cases}$$

- Exponential:

$$x[n] = A\alpha^n$$

- Sinusoidal:

$$x[n] = A \cos(\omega_0 n + \phi)$$

1.4 Problems

1. **Problem 1.1** — A continuous-time signal is given by $x_a(t) = 3 \cos(800\pi t) + 2 \sin(1200\pi t) + \cos(2000\pi t)$ (a) Determine the Nyquist rate of $x_a(t)$. (b) If the signal is sampled at $f_s = 1$ kHz, find the discrete-time signal $x[n]$. (c) Which frequency components alias, and to what frequencies?
2. **Problem 1.2** — Classify the following signals as energy, power, or neither: (a) $x[n] = (-0.5)^n u[n]$ (b) $x[n] = e^{j(\pi n/4 + \pi/3)}$ (c) $x[n] = \cos(\pi n/3) u[n]$
3. **Problem 1.3** — Determine whether the following signals are periodic. If periodic, find the fundamental period: (a) $x[n] = \cos(\frac{\pi}{8}n) + \sin(\frac{\pi}{6}n)$ (b) $x[n] = e^{j\frac{3\pi}{5}n} + e^{j\frac{2\pi}{7}n}$
4. **Problem 1.4** — Compute the signal energy and power for: (a) $x[n] = (0.5)^{|n|}$ (b) $x[n] = \cos(\frac{\pi}{4}n) u[n]$

2 Correlation

2.1 Cross-Correlation

$$r_{xy}[l] = \sum_{n=-\infty}^{\infty} x[n]y[n-l]$$

- **Normalized:**

$$\rho_{xy}[l] = \frac{r_{xy}[l]}{\sqrt{r_{xx}[0]r_{yy}[0]}}$$

2.2 Auto-Correlation

$$r_{xx}[l] = \sum_{n=-\infty}^{\infty} x[n]x[n-l]$$

- **Property:** $r_{xx}[0]$ is the signal energy

2.3 Problems

1. **Problem 2.1** — Find the autocorrelation $r_{xx}[l]$ of

$$x[n] = \{1, 2, 3, 2, 1\}$$

for $-4 \leq l \leq 4$.

2. **Problem 2.2** — Given $x[n] = \{1, 2, 1\}$ and $y[n] = \{1, -1, 1\}$, (a) Compute $r_{xy}[l]$ for $-2 \leq l \leq 2$. (b) Find normalized cross-correlation $\rho_{xy}[l]$ at $l = 0$.

3 Discrete-Time Fourier Transform (DTFT)

3.1 Definition

The DTFT of a sequence $x[n]$ is given by:

$$X(e^{j\omega}) = \sum_{n=-\infty}^{\infty} x[n]e^{-j\omega n}$$

- **Periodicity:** $X(e^{j\omega})$ is periodic with period 2π .

- **Inverse DTFT:**

$$x[n] = \frac{1}{2\pi} \int_{-\pi}^{\pi} X(e^{j\omega})e^{j\omega n} d\omega$$

3.2 Convergence Condition

- **Sufficient Condition:** If $x[n]$ is absolutely summable,

$$\sum_{n=-\infty}^{\infty} |x[n]| < \infty$$

then $X(e^{j\omega})$ exists for all ω .

3.3 DTFT Properties

- **Linearity:**

$$\alpha x_1[n] + \beta x_2[n] \text{DTFT} \rightarrow \alpha X_1(e^{j\omega}) + \beta X_2(e^{j\omega})$$

- **Time Shift:**

$$x[n - n_0] \text{DTFT} \rightarrow e^{-j\omega n_0} X(e^{j\omega})$$

- **Frequency Shift:**

$$e^{j\omega_0 n} x[n] \text{DTFT} \rightarrow X(e^{j(\omega - \omega_0)})$$

- **Convolution:**

$$x[n] * h[n] \text{DTFT} \rightarrow X(e^{j\omega})H(e^{j\omega})$$

- **Parseval's Theorem:**

$$\sum_{n=-\infty}^{\infty} |x[n]|^2 = \frac{1}{2\pi} \int_{-\pi}^{\pi} |X(e^{j\omega})|^2 d\omega$$

3.4 Example

Find the DTFT of $x[n] = a^n u[n]$ with $|a| < 1$.

Solution:

$$X(e^{j\omega}) = \sum_{n=0}^{\infty} a^n e^{-j\omega n} = \sum_{n=0}^{\infty} (ae^{-j\omega})^n = \frac{1}{1 - ae^{-j\omega}}$$

3.5 Problems

1. **Problem 3.1** — Find the DTFT of: (a) $x[n] = \delta[n - 2] + \delta[n + 2]$ (b) $x[n] = (0.8)^{|n|}$
2. **Problem 3.2** — Given $X(e^{j\omega}) = \text{DTFT}\{x[n]\}$, find the DTFT of: (a) $x[n - 3]$ (b) $e^{j\pi n/4}x[n]$ (c) $x[-n]$ (d) $nx[n]$
3. **Problem 3.3** — Find the DTFT of:

$$x[n] = \begin{cases} 1, & -M \leq n \leq M \\ 0, & \text{otherwise} \end{cases}$$

Sketch $|X(e^{j\omega})|$ for $M = 3$.

4. **Problem 3.4** — Given $X(e^{j\omega}) = \text{DTFT}\{x[n]\}$, find the DTFT of: (a) $x[n] \cos(\omega_0 n)$ (b) $x[n] \sin(\omega_0 n)$

4 Discrete Fourier Transform (DFT)

4.1 Definition

For a length- N sequence $x[n]$, the N -point DFT is:

$$X[k] = \sum_{n=0}^{N-1} x[n] W_N^{kn}, \quad k = 0, 1, \dots, N-1$$

where $W_N = e^{-j\frac{2\pi}{N}}$.

- Inverse DFT (IDFT):

$$x[n] = \frac{1}{N} \sum_{k=0}^{N-1} X[k] W_N^{-kn}, \quad n = 0, 1, \dots, N-1$$

4.2 Matrix Representation

- DFT Matrix \mathbf{D}_N :

$$\mathbf{D}_N = \begin{bmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & W_N^1 & W_N^2 & \dots & W_N^{N-1} \\ 1 & W_N^2 & W_N^4 & \dots & W_N^{2(N-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & W_N^{N-1} & W_N^{2(N-1)} & \dots & W_N^{(N-1)^2} \end{bmatrix}$$

- Then:

$$\mathbf{X} = \mathbf{D}_N \mathbf{x}, \quad \mathbf{x} = \frac{1}{N} \mathbf{D}_N^* \mathbf{X}$$

4.3 Circular Convolution

- Definition:

$$y_c[n] = \sum_{m=0}^{N-1} x[m] h[\langle n - m \rangle_N], \quad 0 \leq n \leq N-1$$

- DFT Property:

$$y_c[n] = x[n] \circledast h[n] \text{DFT} X[k] H[k]$$

- Linear Convolution via DFT:

1. Zero-pad sequences to length $L \geq N + M - 1$
2. Compute DFTs, multiply, then IDFT

4.4 Problems

1. **Problem 4.1** — Let $x[n] = \{1, 2, -1, 3\}$ for $n = 0, 1, 2, 3$. (a) Compute the 4-point DFT using the DFT matrix. (b) Verify your result using the IDFT matrix.
2. **Problem 4.2** — Given $x[n] = \{1, 2, 1, 2\}$ and $h[n] = \{1, 0, -1, 0\}$, (a) Find $y_c[n] = x[n] \circledast h[n]$ (4-point circular convolution). (b) Find the linear convolution $y_L[n] = x[n] * h[n]$ and compare.
3. **Problem 4.3** — Let $x[n] = \{1 + j, 2 - j, -1, 3 + j\}$ for $n = 0, 1, 2, 3$. (a) Find the DFT $X[k]$. (b) Find the periodic conjugate-symmetric and conjugate-antisymmetric parts of $x[n]$ and their DFTs.
4. **Problem 4.4** — Given $x[n] = \{1, 2, 3\}$ and $h[n] = \{1, 1\}$, (a) Find linear convolution $y_L[n]$. (b) Find minimum N for DFT-based linear convolution. (c) Compute $y_L[n]$ using DFT/IDFT method.

5 Fast Fourier Transform (FFT)

5.1 Decimation-in-Time (DIT) FFT

Assume $N = 2^\nu$. Split $x[n]$ into even- and odd-indexed subsequences:

$$X[k] = G[k] + W_N^k H[k], \quad k = 0, \dots, N-1$$

where $G[k]$ and $H[k]$ are $N/2$ -point DFTs of even and odd samples.

- **Butterfly Computation:**

$$X[k] = G[k] + W_N^k H[k]$$

$$X[k + N/2] = G[k] - W_N^k H[k]$$

5.2 Computational Complexity

- **Direct DFT:** $O(N^2)$
- **FFT:** $O(N \log N)$
- For N -point FFT:
 - Complex multiplications: $\frac{N}{2} \log_2 N$
 - Complex additions: $N \log_2 N$

5.3 Problems

1. **Problem 5.1** — For $N = 256$, (a) How many complex multiplications are required for direct DFT? (b) How many for DIT-FFT? (c) What is the speed-up factor?
2. **Problem 5.2** — Draw the butterfly diagram for an 8-point DIT-FFT. Label all intermediate nodes with appropriate twiddle factors.
3. **Problem 5.3** — Sketch the butterfly diagram for an 8-point Decimation-in-Frequency (DIF) FFT.
4. **Problem 5.4** — Explain how to compute the DFT of two real length- N sequences using a single N -point FFT.

6 Z-Transform

6.1 Definition

The z-transform of $x[n]$ is:

$$X(z) = \sum_{n=-\infty}^{\infty} x[n]z^{-n}$$

where $z = re^{j\omega}$.

- **Relation to DTFT:**

$$X(z)|_{z=e^{j\omega}} = X(e^{j\omega})$$

6.2 Region of Convergence (ROC)

- **ROC** is the set of z for which $X(z)$ converges.
- **Properties:**
 - ROC is a ring in the z-plane: $r_R < |z| < r_L$
 - ROC cannot contain poles.
 - For finite-length sequences, ROC is entire z-plane except possibly $z = 0$ or $z = \infty$.

6.3 Inverse Z-Transform

1. **Inspection Method:** Use known transform pairs.
2. **Partial Fraction Expansion:** For rational $X(z)$.
3. **Power Series Expansion:** Long division.
4. **Cauchy Integral Theorem** (formal):

$$x[n] = \frac{1}{2\pi j} \oint_C X(z)z^{n-1} dz$$

6.4 Z-Transform Properties

- **Linearity:**

$$ax_1[n] + bx_2[n] \mathcal{Z} aX_1(z) + bX_2(z)$$

- **Time Shift:**

$$x[n - n_0] \mathcal{Z} z^{-n_0} X(z)$$

- **Convolution:**

$$x[n] * h[n] \mathcal{Z} X(z)H(z)$$

- **Multiplication by Exponential:**

$$a^n x[n] \mathcal{Z} X\left(\frac{z}{a}\right)$$

6.5 System Function

For an LTI system with impulse response $h[n]$:

$$H(z) = \frac{Y(z)}{X(z)} = \frac{\sum_{k=0}^M p_k z^{-k}}{\sum_{k=0}^N d_k z^{-k}}$$

- **Stability:** All poles inside unit circle.
- **Causality:** ROC is exterior of a circle containing outermost pole.

6.6 Problems

1. **Problem 6.1** — For

$$H(z) = \frac{1 - 0.5z^{-1}}{1 - 1.5z^{-1} + 0.5z^{-2}}$$

(a) Find poles and zeros. (b) Sketch possible ROCs. (c) For each ROC, determine if the system is causal and/or stable.

2. **Problem 6.2** — Find $h[n]$ for

$$H(z) = \frac{1}{(1 - 0.8z^{-1})(1 + 0.5z^{-1})}, \quad |z| > 0.8$$

using partial fraction expansion.

3. **Problem 6.3** — Given

$$H(z) = \frac{1 + 0.5z^{-1}}{1 - 1.2z^{-1} + 0.4z^{-2}}$$

(a) Find the poles. (b) Determine all possible ROCs and corresponding stability/causality.

4. **Problem 6.4** — Find $X(z)$ and ROC for:

$$x[n] = \begin{cases} 2^n, & 0 \leq n \leq 4 \\ 0, & \text{otherwise} \end{cases}$$

7 FIR Filter Design

7.1 Least Integral-Squared Error Design

Given desired frequency response $H_d(e^{j\omega})$, find finite-length $h[n]$ minimizing:

$$\Phi = \frac{1}{2\pi} \int_{-\pi}^{\pi} |H(e^{j\omega}) - H_d(e^{j\omega})|^2 d\omega$$

Solution: Truncate ideal impulse response:

$$h[n] = h_d[n], \quad -M \leq n \leq M$$

7.2 Gibbs Phenomenon

Truncation causes oscillations near discontinuities in frequency response.

- **Mitigation:**

- Use window functions (e.g., Hann, Hamming)
- Smooth transition bands in specification

7.3 Ideal Filter Impulse Responses

- **Lowpass:**

$$h_{\text{LP}}[n] = \frac{\sin(\omega_c n)}{\pi n}, \quad -\infty < n < \infty$$

- **Highpass:**

$$h_{\text{HP}}[n] = \delta[n] - \frac{\sin(\omega_c n)}{\pi n}$$

- **Bandpass** and **Bandstop** derived from lowpass prototypes.

7.4 Problems

1. **Problem 7.1** — Design a length-7 FIR lowpass filter with cutoff $\omega_c = \pi/2$ using a rectangular window.
2. **Problem 7.2** — Explain why truncating the ideal impulse response causes ripples near the cutoff frequency, and how a Hamming window can reduce them.
3. **Problem 7.3** — Design a length-9 FIR highpass filter with cutoff $\omega_c = 3\pi/4$ using a Hamming window.
4. **Problem 7.4** — Show that an FIR filter has linear phase if its impulse response is symmetric or antisymmetric.

8 Source Coding

8.1 Motivation

Source coding is used to reduce the number of bits required to represent information, enabling efficient storage and transmission. Compression can be:

- **Lossy:** Higher compression but imperfect reconstruction (e.g., JPEG, MP3).
- **Lossless:** Perfect reconstruction (e.g., ZIP, PNG).

8.2 Lossy Source Coding

8.2.1 Pulse Code Modulation (PCM)

- Converts analog signals to digital by **sampling** and **quantization**.
- Quantization error (noise) given by:

$$\overline{e^2} = \frac{\Delta^2}{12}$$

where Δ is the quantization step size.

- Signal-to-quantization noise ratio (SQNR):

$$\text{SQNR} = \frac{3}{2} \cdot 2^{2N} \quad (\text{for sine wave})$$

or in dB:

$$\text{SQNR}_{\text{dB}} \approx 6.02N + 1.76 \quad \text{dB}$$

where N is the number of bits per sample.

8.2.2 Transform Coding

- Transforms data into another domain (e.g., DCT in JPEG) for energy compaction.
- Enables more efficient quantization by concentrating energy in fewer coefficients.

8.3 Lossless Source Coding

8.3.1 Entropy

- **Entropy** $H(X)$ of a discrete random variable X :

$$H(X) = - \sum_i p(x_i) \log_2 p(x_i) \quad (\text{bits/symbol})$$

- Represents the theoretical lower bound on average bits per symbol for lossless compression.

8.3.2 Huffman Coding

- Variable-length prefix code that assigns shorter codes to more frequent symbols.
- Average code length L satisfies:

$$H(X) \leq L < H(X) + 1$$

- Constructed via a binary tree merging least probable symbols.

8.3.3 Arithmetic Coding

- Encodes entire message into a single fractional number in $[0, 1)$.
- Achieves compression closer to entropy than Huffman for long sequences.
- More complex but avoids integer bit constraints.

8.3.4 Lempel–Ziv–Welch (LZW)

- Dictionary-based compression that builds a codebook of recurring patterns.
- Does not require prior knowledge of symbol probabilities.
- Used in GIF, TIFF, and early UNIX compression.

8.4 Problems

1. **Problem 8.1** — Given symbols $\{A, B, C, D, E\}$ with probabilities $\{0.25, 0.2, 0.2, 0.2, 0.15\}$:
(a) Construct a Huffman tree. (b) Assign codewords. (c) Compute average code length and compare with entropy.
2. **Problem 8.2** — Encode the sequence **ABABABA** using LZW with initial dictionary $\{\phi, A, B\}$. Show dictionary growth and output codewords.
3. **Problem 8.3** — Encode the sequence **CAB** using arithmetic coding with probabilities: $P(A) = 0.4, P(B) = 0.3, P(C) = 0.3$. Show intervals step by step.
4. **Problem 8.4** — Decode the LZW codewords:

$$(0, A), (0, B), (2, A), (1, B)$$

with initial dictionary $\{\phi, A, B\}$.

9 Channel Coding

9.1 Motivation

Channel coding adds redundancy to detect and correct errors introduced during transmission.

9.2 Types of Codes

- **Error-detecting codes:** e.g., parity check, CRC.
- **Error-correcting codes:** e.g., repetition codes, Hamming codes, Reed–Solomon.

9.3 Repetition Codes

- Encode each bit by repeating it n times.
- Decoding via majority voting.
- Code rate: $R = 1/n$.

9.4 Hamming Codes

- Linear block codes with parameters (n, k) where $n = 2^m - 1$, $k = n - m$.
- Example: $(7, 4)$ Hamming code corrects single-bit errors.
- Uses parity-check matrix H for syndrome decoding.

9.5 Reed–Solomon Codes

- Non-binary cyclic codes operating on symbols of size m bits.
- Parameters (n, k) can correct up to $t = \frac{n-k}{2}$ symbol errors.
- Widely used in CDs, DVDs, QR codes, and digital broadcasting.

9.6 Channel Coding in GSM

- Speech frames (260 bits) classified into Class 1A (50 bits, CRC protected), Class 1B (132 bits), and Class 2 (78 bits, uncoded).
- Convolutional code (rate 1/2) applied to Class 1 bits.
- Tail bits added for trellis termination.

9.7 Problems

1. **Problem 9.1** — Given generator matrix

$$G = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{bmatrix}$$

(a) Encode message $u = [1 \ 0 \ 1 \ 1]$. (b) Find parity-check matrix H . (c) Decode received vector $r = [1 \ 0 \ 1 \ 1 \ 0 \ 1 \ 0]$ assuming at most one error.

2. **Problem 9.2** — For a (7,4) Hamming code with parity-check matrix:

$$H = \begin{bmatrix} 1 & 1 & 0 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

Received: $r = [1 \ 0 \ 1 \ 0 \ 1 \ 0 \ 1]$. (a) Compute syndrome. (b) Locate and correct error.

10 Baseband Communications & Noise

10.1 System Model

- Binary baseband transmission with antipodal signaling:

$$s(t) = \begin{cases} +A, & \text{bit "1"} \\ -A, & \text{bit "0"} \end{cases}$$

- Channel: Additive White Gaussian Noise (AWGN) with PSD $N_0/2$.

10.2 White Gaussian Noise

- Modeled as a zero-mean WSS random process.
- Autocorrelation: $R_n(\tau) = \frac{N_0}{2}\delta(\tau)$.
- PSD: $S_n(f) = \frac{N_0}{2}$ for all f .

10.3 Integrate-and-Dump Receiver

- Suboptimal receiver for antipodal signaling.
- Integrate received signal over bit period T and compare to threshold (zero).
- Output at sampling time:

$$V = \begin{cases} AT + N, & \text{"1" sent} \\ -AT + N, & \text{"0" sent} \end{cases}$$

where $N \sim \mathcal{N}(0, \sigma^2)$ with $\sigma^2 = \frac{N_0 T}{2}$.

10.4 Error Probability

- For equally likely bits and threshold 0:

$$P_e = P(E|1) = P(E|0) = Q\left(\frac{AT}{\sigma}\right)$$

- Using $E_b = A^2 T$ (energy per bit):

$$P_e = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$$

where $Q(x) = \frac{1}{\sqrt{2\pi}} \int_x^\infty e^{-t^2/2} dt$.

10.5 Problems

1. **Problem 10.1** — For antipodal signaling with $A = 2$ V, $T = 1$ ms, and $N_0/2 = 10^{-6}$ W/Hz: (a) Find P_e for integrate-and-dump receiver. (b) What A is needed for $P_e \leq 10^{-6}$?
2. **Problem 10.2** — For antipodal signaling with $P(1) = 0.7, P(0) = 0.3$, (a) Find optimal decision threshold V_D . (b) Derive P_e in terms of E_b/N_0 .

11 Optimal Receiver

11.1 General Receiver Structure

- Received signal: $y(t) = s_i(t) + n(t)$, $i \in \{0, 1\}$.
- Linear filter $h(t)$ followed by sampler at $t = T$.
- Decision based on threshold V_D .

11.2 Optimum Threshold

For equally likely bits:

$$V_{D,\text{opt}} = \frac{s_{o1}(T) + s_{o0}(T)}{2}$$

where $s_{oi}(T)$ is the filtered signal output at $t = T$.

11.3 Matched Filter

- The filter that maximizes output SNR at sampling instant.
- Impulse response for binary signaling:

$$h_{\text{opt}}(t) = s_1(T - t) - s_0(T - t)$$

- Equivalent to correlating with $s_1(t) - s_0(t)$.
- Maximum output SNR:

$$\zeta_{\text{max}}^2 = \frac{2}{N_0} \int_0^T [s_1(t) - s_0(t)]^2 dt = \frac{2E_g}{N_0}$$

where E_g is the energy of the difference signal.

11.4 Optimal Signal Design

To minimize P_e , signals should be as dissimilar as possible:

$$P_e = Q\left(\sqrt{\frac{E_g}{2N_0}}\right)$$

For antipodal signals: $E_g = 4E_b$, giving:

$$P_e = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$$

11.5 Problems

1. **Problem 11.1** — Given

$$s_0(t) = 0, \quad s_1(t) = A, \quad 0 \leq t \leq T$$

(a) Find matched filter impulse response $h(t)$. (b) Sketch $h(t)$ and output $s_o1(t)$. (c) Derive P_e .

2. **Problem 11.2** — Show that the matched filter output at $t = T$ is equivalent to the correlator output for the signal $s_1(t) - s_0(t)$.

3. **Problem 11.3** — Given

$$p_0 = p, \quad p_1 = 1 - p$$

Prove that the optimum filter is $h_{\text{opt}}(t) = k(s_1(T - t) - s_0(T - t))$, k is any non-zero constant.

12 Digital Modulation

12.1 Why Modulation?

- Enables transmission over bandpass channels (e.g., wireless).
- Allows frequency-division multiplexing.
- Improves antenna efficiency (smaller antennas at higher frequencies).

12.2 Digital Modulation Schemes

12.2.1 Amplitude Shift Keying (ASK)

$$s(t) = \begin{cases} A \cos(2\pi f_c t), & \text{"1"} \\ 0, & \text{"0"} \end{cases}$$
$$P_e = Q\left(\sqrt{\frac{E_b}{N_0}}\right)$$

12.2.2 Binary Phase Shift Keying (BPSK)

$$s(t) = \begin{cases} A \cos(2\pi f_c t), & \text{"1"} \\ -A \cos(2\pi f_c t), & \text{"0"} \end{cases}$$
$$P_e = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$$

12.2.3 Frequency Shift Keying (FSK)

$$s(t) = \begin{cases} A \cos(2\pi f_1 t), & \text{"1"} \\ A \cos(2\pi f_2 t), & \text{"0"} \end{cases}$$

For orthogonal FSK with $\Delta f = n/T$:

$$P_e = Q\left(\sqrt{\frac{E_b}{N_0}}\right)$$

12.3 Correlator Receiver Implementation

- Matched filter can be implemented as a correlator:

$$V_i(T) = \int_0^T y(t) s_i(t) dt$$

- Decision: choose i corresponding to larger $V_i(T)$.
- Equivalent to matched filter but often simpler to implement.

12.4 Problems

1. **Problem 12.1** — Compare BPSK and orthogonal FSK in terms of: (a) Bandwidth efficiency (b) Error probability P_e vs E_b/N_0 (c) Receiver complexity
2. **Problem 12.2** — For binary ASK:

$$s_1(t) = A \cos(2\pi f_c t), \quad s_0(t) = 0, \quad 0 \leq t \leq T$$

- (a) Find matched filter impulse response. (b) Derive P_e in terms of E_b/N_0 .

13 Channel Models

13.1 Channel Effects

- **Attenuation:** Signal power reduction with distance.
- **Fading:** Random amplitude fluctuations due to multipath.
- **Intersymbol Interference (ISI):** Time dispersion causing adjacent symbols to interfere.
- **Noise:** Additive (e.g., AWGN) and multiplicative (e.g., fading).

13.2 Multipath Channels

- Received signal is sum of delayed and scaled copies:

$$y(t) = \sum_k a_k s(t - \tau_k)$$

- Channel impulse response: $h(t) = \sum_k a_k \delta(t - \tau_k)$.
- Frequency-selective if bandwidth $> 1/\tau_{\max}$ (delay spread).

13.3 OFDM (Orthogonal Frequency Division Multiplexing)

- Divides broadband channel into many narrowband orthogonal subcarriers.
- Uses IDFT/DFT for modulation/demodulation.
- Cyclic prefix converts linear convolution to circular convolution, simplifying equalization.
- Robust against frequency-selective fading.

13.4 Rayleigh Fading

- Model for non-line-of-sight multipath with many scattered paths.
- Envelope follows Rayleigh distribution:

$$f(r) = \frac{r}{\sigma^2} e^{-r^2/(2\sigma^2)}, \quad r \geq 0$$

- Power is exponentially distributed.

13.5 Problems

1. **Problem 13.1** — A channel has impulse response $h(t) = \delta(t) + 0.5\delta(t - T/2)$. If BPSK with bit duration T is transmitted, sketch the received signal for bit sequence **101**.
2. **Problem 13.2** — Why is a cyclic prefix added in OFDM? What is the minimum length required if channel delay spread is $2 \mu s$ and subcarrier spacing is 15 kHz?
3. **Problem 13.3** — Show that subcarriers $e^{j2\pi kt/T}$ and $e^{j2\pi mt/T}$ are orthogonal over interval T if $k \neq m$.
4. **Problem 13.4** — If the envelope r of a received signal follows Rayleigh distribution with $\sigma^2 = 2$, find $P(r < 1)$.

14 Multiplexing and Multiple Access

14.1 Multiplexing

- **Frequency Division Multiplexing (FDM):** Users assigned non-overlapping frequency bands.
- **Time Division Multiplexing (TDM):** Users assigned non-overlapping time slots.
- **Wavelength Division Multiplexing (WDM):** Optical version of FDM.

14.2 Multiple Access

- **FDMA:** Different users use different frequencies (e.g., analog cellular).
- **TDMA:** Different users use different time slots (e.g., GSM).
- **CDMA:** All users share same frequency and time but use orthogonal codes (e.g., IS-95, WCDMA).
- **SDMA:** Spatial separation using antenna arrays (beamforming).

14.3 Code Division Multiple Access (CDMA)

- Each user assigned a unique spreading code (e.g., Walsh–Hadamard).
- Transmitter: $x_i(t) = d_i(t) \cdot c_i(t)$ where d_i is data, c_i is spreading code.
- Receiver correlates with desired user's code to extract data:

$$\hat{d}_i = \int y(t)c_i(t)dt$$

- Processing gain: $G = \frac{T_b}{T_c}$ (ratio of bit duration to chip duration).

14.4 Comparison

- **TDMA:** Requires synchronization, fixed data rate per user.
- **FDMA:** Simple but inflexible, guard bands needed.
- **CDMA:** Soft capacity, resistant to interference and multipath, but requires power control.

14.5 Problems

1. **Problem 14.1** — Given Walsh codes:

$$c_1 = [+1, +1, +1, +1], \quad c_2 = [+1, -1, +1, -1], \quad c_3 = [+1, +1, -1, -1]$$

- (a) Verify orthogonality. (b) If user 1 sends +1, user 2 sends -1, user 3 sends +1, find the composite transmitted signal. (c) Show how to recover user 2's data.
2. **Problem 14.2** — Design a TDMA frame for 4 users, each requiring 10 kbps, with a total channel bandwidth of 50 kHz using QPSK. Specify slot duration, guard time, and frame length.
 3. **Problem 14.3** — Three users each require 10 kHz bandwidth. If guard bands are 2 kHz, what total bandwidth is needed for FDMA?
 4. **Problem 14.4** — Explain the near-far problem in CDMA and how power control mitigates it.

15 Summary Problems (Comprehensive)

1. **Problem 15.1** — A voice signal bandlimited to 4 kHz is sampled at 8 kHz, quantized with 8-bit PCM, then encoded with a (7,4) Hamming code, modulated using BPSK, and transmitted over an AWGN channel. (a) Find output bit rate. (b) If channel bandwidth is 50 kHz, is transmission possible? (c) Find P_e if $E_b/N_0 = 10$ dB.
2. **Problem 15.2** — A 4 kHz audio signal is sampled at 8 kHz, quantized to 256 levels, Huffman encoded (average code length $1.5 \times$ entropy), modulated with QPSK, and transmitted over AWGN with $E_b/N_0 = 8$ dB. (a) Find bit rate. (b) Find overall P_e . (c) Suggest one method to reduce bit rate without increasing P_e .