

Signal Processing and Communications Lecture Notes

ELEC 3100 — Digital Communications and Signal Processing

cplam



Figure 1: Hope you can enjoy my notes :)

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1 Introduction to Discrete-Time Signals

1.1 The Sampling Process

A discrete-time sequence $x[n]$ is often obtained by uniformly sampling a continuous-time signal $x_a(t)$:

$$x[n] = x_a(t) \Big|_{t=nT_s} = x_a(nT_s)$$

where T_s is the **sampling period**.

- **Sampling Frequency:**

$$F_s = \frac{1}{T_s}$$

- **Normalized Digital Angular Frequency:**

$$\omega_0 = \Omega_0 T = \frac{2\pi\Omega_0}{\Omega_T}$$

where Ω_0 is the angular frequency of the continuous-time sinusoid.

- **Aliasing:** Occurs when $|\Omega_T| < 2|\Omega_0|$, causing higher frequencies to be indistinguishable from lower frequencies.
- **Nyquist Criterion:** To avoid aliasing,

$$F_s > 2f_{\max}$$

where f_{\max} is the highest frequency in the signal.

1.2 Discrete-Time Signal Representation

A discrete-time signal is denoted as $\{x[n]\}$.

- **Finite-Length Signal:** Defined for $N_1 \leq n \leq N_2$ with length

$$N = N_2 - N_1 + 1.$$

- **Infinite-Length Signal:** Defined for all n .

- **Basic Operations:**

- **Product:** $y[n] = x[n] \cdot w[n]$
- **Addition:** $y[n] = x[n] + w[n]$
- **Time Shift:** $y[n] = x[n - n_0]$
- **Time Reversal:** $y[n] = x[-n]$

1.3 Basic Sequences

- **Unit Sample:**

$$\delta[n] = \begin{cases} 1, & n = 0 \\ 0, & \text{otherwise} \end{cases}$$

- **Unit Step:**

$$u[n] = \begin{cases} 1, & n \geq 0 \\ 0, & \text{otherwise} \end{cases}$$

- **Exponential:**

$$x[n] = A\alpha^n$$

- **Sinusoidal:**

$$x[n] = A \cos(\omega_0 n + \phi)$$

1.4 Problems

1. **Problem 1.1** — A continuous-time signal is given by $x_a(t) = 3 \cos(800\pi t) + 2 \sin(1200\pi t) + \cos(2000\pi t)$ (a) Determine the Nyquist rate of $x_a(t)$. (b) If the signal is sampled at $f_s = 1$ kHz, find the discrete-time signal $x[n]$. (c) Which frequency components alias, and to what frequencies?
2. **Problem 1.2** — Classify the following signals as energy, power, or neither: (a) $x[n] = (-0.5)^n u[n]$ (b) $x[n] = e^{j(\pi n/4 + \pi/3)}$ (c) $x[n] = \cos(\pi n/3) u[n]$
3. **Problem 1.3** — Determine whether the following signals are periodic. If periodic, find the fundamental period: (a) $x[n] = \cos(\frac{\pi}{8}n) + \sin(\frac{\pi}{6}n)$ (b) $x[n] = e^{j\frac{3\pi}{5}n} + e^{j\frac{2\pi}{7}n}$
4. **Problem 1.4** — Compute the signal energy and power for: (a) $x[n] = (0.5)^{|n|}$ (b) $x[n] = \cos(\frac{\pi}{4}n) u[n]$

2 Correlation

2.1 Cross-Correlation

$$r_{xy}[l] = \sum_{n=-\infty}^{\infty} x[n]y[n-l]$$

- Normalized:

$$\rho_{xy}[l] = \frac{r_{xy}[l]}{\sqrt{r_{xx}[0]r_{yy}[0]}}$$

2.2 Auto-Correlation

$$r_{xx}[l] = \sum_{n=-\infty}^{\infty} x[n]x[n-l]$$

- Property: $r_{xx}[0]$ is the signal energy

2.3 Problems

1. Problem 2.1 — Find the autocorrelation $r_{xx}[l]$ of

$$x[n] = \{1, 2, 3, 2, 1\}$$

for $-4 \leq l \leq 4$.

2. Problem 2.2 — Given $x[n] = \{1, 2, 1\}$ and $y[n] = \{1, -1, 1\}$, (a) Compute $r_{xy}[l]$ for $-2 \leq l \leq 2$. (b) Find normalized cross-correlation $\rho_{xy}[l]$ at $l = 0$.

3 Discrete-Time Fourier Transform (DTFT)

3.1 Definition

The DTFT of a sequence $x[n]$ is given by:

$$X(e^{j\omega}) = \sum_{n=-\infty}^{\infty} x[n]e^{-j\omega n}$$

- **Periodicity:** $X(e^{j\omega})$ is periodic with period 2π .

- **Inverse DTFT:**

$$x[n] = \frac{1}{2\pi} \int_{-\pi}^{\pi} X(e^{j\omega})e^{j\omega n} d\omega$$

3.2 Convergence Condition

- **Sufficient Condition:** If $x[n]$ is absolutely summable,

$$\sum_{n=-\infty}^{\infty} |x[n]| < \infty$$

then $X(e^{j\omega})$ exists for all ω .

3.3 DTFT Properties

- **Linearity:**

$$\alpha x_1[n] + \beta x_2[n] \text{DTFT} \alpha X_1(e^{j\omega}) + \beta X_2(e^{j\omega})$$

- **Time Shift:**

$$x[n - n_0] \text{DTFT} e^{-j\omega n_0} X(e^{j\omega})$$

- **Frequency Shift:**

$$e^{j\omega_0 n} x[n] \text{DTFT} X(e^{j(\omega - \omega_0)})$$

- **Convolution:**

$$x[n] * h[n] \text{DTFT} X(e^{j\omega}) H(e^{j\omega})$$

- **Parseval's Theorem:**

$$\sum_{n=-\infty}^{\infty} |x[n]|^2 = \frac{1}{2\pi} \int_{-\pi}^{\pi} |X(e^{j\omega})|^2 d\omega$$

3.4 Example

Find the DTFT of $x[n] = a^n u[n]$ with $|a| < 1$.

Solution:

$$X(e^{j\omega}) = \sum_{n=0}^{\infty} a^n e^{-j\omega n} = \sum_{n=0}^{\infty} (ae^{-j\omega})^n = \frac{1}{1 - ae^{-j\omega}}$$

3.5 Problems

1. **Problem 3.1** — Find the DTFT of: (a) $x[n] = \delta[n - 2] + \delta[n + 2]$ (b) $x[n] = (0.8)^{|n|}$
2. **Problem 3.2** — Given $X(e^{j\omega}) = \text{DTFT}\{x[n]\}$, find the DTFT of: (a) $x[n - 3]$ (b) $e^{j\pi n/4}x[n]$ (c) $x[-n]$ (d) $nx[n]$
3. **Problem 3.3** — Find the DTFT of:

$$x[n] = \begin{cases} 1, & -M \leq n \leq M \\ 0, & \text{otherwise} \end{cases}$$

Sketch $|X(e^{j\omega})|$ for $M = 3$.

4. **Problem 3.4** — Given $X(e^{j\omega}) = \text{DTFT}\{x[n]\}$, find the DTFT of: (a) $x[n] \cos(\omega_0 n)$ (b) $x[n] \sin(\omega_0 n)$

4 Discrete Fourier Transform (DFT)

4.1 Definition

For a length- N sequence $x[n]$, the N -point DFT is:

$$X[k] = \sum_{n=0}^{N-1} x[n] W_N^{kn}, \quad k = 0, 1, \dots, N-1$$

where $W_N = e^{-j\frac{2\pi}{N}}$.

- Inverse DFT (IDFT):

$$x[n] = \frac{1}{N} \sum_{k=0}^{N-1} X[k] W_N^{-kn}, \quad n = 0, 1, \dots, N-1$$

4.2 Matrix Representation

- DFT Matrix \mathbf{D}_N :

$$\mathbf{D}_N = \begin{bmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & W_N^1 & W_N^2 & \cdots & W_N^{N-1} \\ 1 & W_N^2 & W_N^4 & \cdots & W_N^{2(N-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & W_N^{N-1} & W_N^{2(N-1)} & \cdots & W_N^{(N-1)^2} \end{bmatrix}$$

- Then:

$$\mathbf{X} = \mathbf{D}_N \mathbf{x}, \quad \mathbf{x} = \frac{1}{N} \mathbf{D}_N^* \mathbf{X}$$

4.3 Circular Convolution

- Definition:

$$y_c[n] = \sum_{m=0}^{N-1} x[m] h[\langle n - m \rangle_N], \quad 0 \leq n \leq N-1$$

- DFT Property:

$$y_c[n] = x[n] \circledast h[n] \text{DFT} X[k] H[k]$$

- Linear Convolution via DFT:

1. Zero-pad sequences to length $L \geq N + M - 1$
2. Compute DFTs, multiply, then IDFT

4.4 Problems

1. **Problem 4.1** — Let $x[n] = \{1, 2, -1, 3\}$ for $n = 0, 1, 2, 3$. (a) Compute the 4-point DFT using the DFT matrix. (b) Verify your result using the IDFT matrix.
2. **Problem 4.2** — Given $x[n] = \{1, 2, 1, 2\}$ and $h[n] = \{1, 0, -1, 0\}$, (a) Find $y_c[n] = x[n] \circledast h[n]$ (4-point circular convolution). (b) Find the linear convolution $y_L[n] = x[n] * h[n]$ and compare.
3. **Problem 4.3** — Let $x[n] = \{1 + j, 2 - j, -1, 3 + j\}$ for $n = 0, 1, 2, 3$. (a) Find the DFT $X[k]$. (b) Find the periodic conjugate-symmetric and conjugate-antisymmetric parts of $x[n]$ and their DFTs.
4. **Problem 4.4** — Given $x[n] = \{1, 2, 3\}$ and $h[n] = \{1, 1\}$, (a) Find linear convolution $y_L[n]$. (b) Find minimum N for DFT-based linear convolution. (c) Compute $y_L[n]$ using DFT/IDFT method.

5 Fast Fourier Transform (FFT)

5.1 Decimation-in-Time (DIT) FFT

Assume $N = 2^\nu$. Split $x[n]$ into even- and odd-indexed subsequences:

$$X[k] = G[k] + W_N^k H[k], \quad k = 0, \dots, N - 1$$

where $G[k]$ and $H[k]$ are $N/2$ -point DFTs of even and odd samples.

- **Butterfly Computation:**

$$X[k] = G[k] + W_N^k H[k]$$

$$X[k + N/2] = G[k] - W_N^k H[k]$$

5.2 Computational Complexity

- **Direct DFT:** $O(N^2)$
- **FFT:** $O(N \log N)$
- For N -point FFT:
 - Complex multiplications: $\frac{N}{2} \log_2 N$
 - Complex additions: $N \log_2 N$

5.3 Problems

1. **Problem 5.1** — For $N = 256$, (a) How many complex multiplications are required for direct DFT? (b) How many for DIT-FFT? (c) What is the speed-up factor?
2. **Problem 5.2** — Draw the butterfly diagram for an 8-point DIT-FFT. Label all intermediate nodes with appropriate twiddle factors.
3. **Problem 5.3** — Sketch the butterfly diagram for an 8-point Decimation-in-Frequency (DIF) FFT.
4. **Problem 5.4** — Explain how to compute the DFT of two real length- N sequences using a single N -point FFT.

6 Z-Transform

6.1 Definition

The z-transform of $x[n]$ is:

$$X(z) = \sum_{n=-\infty}^{\infty} x[n]z^{-n}$$

where $z = re^{j\omega}$.

- Relation to DTFT:

$$X(z)|_{z=e^{j\omega}} = X(e^{j\omega})$$

6.2 Region of Convergence (ROC)

- ROC is the set of z for which $X(z)$ converges.

- Properties:

- ROC is a ring in the z-plane: $r_R < |z| < r_L$
- ROC cannot contain poles.
- For finite-length sequences, ROC is entire z-plane except possibly $z = 0$ or $z = \infty$.

6.3 Inverse Z-Transform

1. **Inspection Method:** Use known transform pairs.
2. **Partial Fraction Expansion:** For rational $X(z)$.
3. **Power Series Expansion:** Long division.
4. **Cauchy Integral Theorem (formal):**

$$x[n] = \frac{1}{2\pi j} \oint_C X(z)z^{n-1} dz$$

6.4 Z-Transform Properties

- Linearity:

$$ax_1[n] + bx_2[n] \mathcal{Z} aX_1(z) + bX_2(z)$$

- Time Shift:

$$x[n - n_0] \mathcal{Z} z^{-n_0} X(z)$$

- Convolution:

$$x[n] * h[n] \mathcal{Z} X(z)H(z)$$

- Multiplication by Exponential:

$$a^n x[n] \mathcal{Z} X\left(\frac{z}{a}\right)$$

6.5 System Function

For an LTI system with impulse response $h[n]$:

$$H(z) = \frac{Y(z)}{X(z)} = \frac{\sum_{k=0}^M p_k z^{-k}}{\sum_{k=0}^N d_k z^{-k}}$$

- **Stability:** All poles inside unit circle.
- **Causality:** ROC is exterior of a circle containing outermost pole.

6.6 Problems

1. **Problem 6.1** — For

$$H(z) = \frac{1 - 0.5z^{-1}}{1 - 1.5z^{-1} + 0.5z^{-2}}$$

- (a) Find poles and zeros. (b) Sketch possible ROCs. (c) For each ROC, determine if the system is causal and/or stable.

2. **Problem 6.2** — Find $h[n]$ for

$$H(z) = \frac{1}{(1 - 0.8z^{-1})(1 + 0.5z^{-1})}, \quad |z| > 0.8$$

using partial fraction expansion.

3. **Problem 6.3** — Given

$$H(z) = \frac{1 + 0.5z^{-1}}{1 - 1.2z^{-1} + 0.4z^{-2}}$$

- (a) Find the poles. (b) Determine all possible ROCs and corresponding stability/causality.

4. **Problem 6.4** — Find $X(z)$ and ROC for:

$$x[n] = \begin{cases} 2^n, & 0 \leq n \leq 4 \\ 0, & \text{otherwise} \end{cases}$$

7 FIR Filter Design

7.1 Least Integral-Squared Error Design

Given desired frequency response $H_d(e^{j\omega})$, find finite-length $h[n]$ minimizing:

$$\Phi = \frac{1}{2\pi} \int_{-\pi}^{\pi} |H(e^{j\omega}) - H_d(e^{j\omega})|^2 d\omega$$

Solution: Truncate ideal impulse response:

$$h[n] = h_d[n], \quad -M \leq n \leq M$$

7.2 Gibbs Phenomenon

Truncation causes oscillations near discontinuities in frequency response.

- **Mitigation:**

- Use window functions (e.g., Hann, Hamming)
- Smooth transition bands in specification

7.3 Ideal Filter Impulse Responses

- **Lowpass:**

$$h_{LP}[n] = \frac{\sin(\omega_c n)}{\pi n}, \quad -\infty < n < \infty$$

- **Highpass:**

$$h_{HP}[n] = \delta[n] - \frac{\sin(\omega_c n)}{\pi n}$$

- **Bandpass and Bandstop** derived from lowpass prototypes.

7.4 Problems

1. **Problem 7.1** — Design a length-7 FIR lowpass filter with cutoff $\omega_c = \pi/2$ using a rectangular window.
2. **Problem 7.2** — Explain why truncating the ideal impulse response causes ripples near the cutoff frequency, and how a Hamming window can reduce them.
3. **Problem 7.3** — Design a length-9 FIR highpass filter with cutoff $\omega_c = 3\pi/4$ using a Hamming window.
4. **Problem 7.4** — Show that an FIR filter has linear phase if its impulse response is symmetric or antisymmetric.

8 Source Coding

8.1 Motivation

Source coding is used to reduce the number of bits required to represent information, enabling efficient storage and transmission. Compression can be:

- **Lossy**: Higher compression but imperfect reconstruction (e.g., JPEG, MP3).
- **Lossless**: Perfect reconstruction (e.g., ZIP, PNG).

8.2 Lossy Source Coding

8.2.1 Pulse Code Modulation (PCM)

- Converts analog signals to digital by **sampling** and **quantization**.
- Quantization error (noise) given by:

$$\overline{e^2} = \frac{\Delta^2}{12}$$

where Δ is the quantization step size.

- Signal-to-quantization noise ratio (SQNR):

$$\text{SQNR} = \frac{3}{2} \cdot 2^{2N} \quad (\text{for sine wave})$$

or in dB:

$$\text{SQNR}_{\text{dB}} \approx 6.02N + 1.76 \quad \text{dB}$$

where N is the number of bits per sample.

8.2.2 Transform Coding

- Transforms data into another domain (e.g., DCT in JPEG) for energy compaction.
- Enables more efficient quantization by concentrating energy in fewer coefficients.

8.3 Lossless Source Coding

8.3.1 Entropy

- **Entropy** $H(X)$ of a discrete random variable X :

$$H(X) = - \sum_i p(x_i) \log_2 p(x_i) \quad (\text{bits/symbol})$$

- Represents the theoretical lower bound on average bits per symbol for lossless compression.

8.3.2 Huffman Coding

- Variable-length prefix code that assigns shorter codes to more frequent symbols.
- Average code length L satisfies:

$$H(X) \leq L < H(X) + 1$$

- Constructed via a binary tree merging least probable symbols.

8.3.3 Arithmetic Coding

- Encodes entire message into a single fractional number in $[0, 1)$.
- Achieves compression closer to entropy than Huffman for long sequences.
- More complex but avoids integer bit constraints.

8.3.4 Lempel–Ziv–Welch (LZW)

- Dictionary-based compression that builds a codebook of recurring patterns.
- Does not require prior knowledge of symbol probabilities.
- Used in GIF, TIFF, and early UNIX compression.

8.4 Problems

1. **Problem 8.1** — Given symbols $\{A, B, C, D, E\}$ with probabilities $\{0.25, 0.2, 0.2, 0.2, 0.15\}$:
(a) Construct a Huffman tree. (b) Assign codewords. (c) Compute average code length and compare with entropy.
2. **Problem 8.2** — Encode the sequence **ABABABA** using LZW with initial dictionary $\{\phi, A, B\}$. Show dictionary growth and output codewords.
3. **Problem 8.3** — Encode the sequence **CAB** using arithmetic coding with probabilities: $P(A) = 0.4, P(B) = 0.3, P(C) = 0.3$. Show intervals step by step.
4. **Problem 8.4** — Decode the LZW codewords:

$$(0, A), (0, B), (2, A), (1, B)$$

with initial dictionary $\{\phi, A, B\}$.

9 Channel Coding

9.1 Motivation

Channel coding adds redundancy to detect and correct errors introduced during transmission.

9.2 Types of Codes

- **Error-detecting codes:** e.g., parity check, CRC.
- **Error-correcting codes:** e.g., repetition codes, Hamming codes, Reed–Solomon.

9.3 Repetition Codes

- Encode each bit by repeating it n times.
- Decoding via majority voting.
- Code rate: $R = 1/n$.

9.4 Hamming Codes

- Linear block codes with parameters (n, k) where $n = 2^m - 1$, $k = n - m$.
- Example: $(7, 4)$ Hamming code corrects single-bit errors.
- Uses parity-check matrix H for syndrome decoding.

9.5 Reed–Solomon Codes

- Non-binary cyclic codes operating on symbols of size m bits.
- Parameters (n, k) can correct up to $t = \frac{n-k}{2}$ symbol errors.
- Widely used in CDs, DVDs, QR codes, and digital broadcasting.

9.6 Channel Coding in GSM

- Speech frames (260 bits) classified into Class 1A (50 bits, CRC protected), Class 1B (132 bits), and Class 2 (78 bits, uncoded).
- Convolutional code (rate 1/2) applied to Class 1 bits.
- Tail bits added for trellis termination.

9.7 Problems

1. **Problem 9.1** — Given generator matrix

$$G = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{bmatrix}$$

(a) Encode message $u = [1\ 0\ 1\ 1]$. (b) Find parity-check matrix H . (c) Decode received vector $r = [1\ 0\ 1\ 1\ 0\ 1\ 0]$ assuming at most one error.

2. **Problem 9.2** — For a (7,4) Hamming code with parity-check matrix:

$$H = \begin{bmatrix} 1 & 1 & 0 & 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

Received: $r = [1\ 0\ 1\ 0\ 1\ 0\ 1]$. (a) Compute syndrome. (b) Locate and correct error.

10 Baseband Communications & Noise

10.1 System Model

- Binary baseband transmission with antipodal signaling:

$$s(t) = \begin{cases} +A, & \text{bit "1"} \\ -A, & \text{bit "0"} \end{cases}$$

- Channel: Additive White Gaussian Noise (AWGN) with PSD $N_0/2$.

10.2 White Gaussian Noise

- Modeled as a zero-mean WSS random process.
- Autocorrelation: $R_n(\tau) = \frac{N_0}{2}\delta(\tau)$.
- PSD: $S_n(f) = \frac{N_0}{2}$ for all f .

10.3 Integrate-and-Dump Receiver

- Suboptimal receiver for antipodal signaling.
- Integrate received signal over bit period T and compare to threshold (zero).
- Output at sampling time:

$$V = \begin{cases} AT + N, & \text{"1" sent} \\ -AT + N, & \text{"0" sent} \end{cases}$$

where $N \sim \mathcal{N}(0, \sigma^2)$ with $\sigma^2 = \frac{N_0 T}{2}$.

10.4 Error Probability

- For equally likely bits and threshold 0:

$$P_e = P(E|1) = P(E|0) = Q\left(\frac{AT}{\sigma}\right)$$

- Using $E_b = A^2 T$ (energy per bit):

$$P_e = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$$

where $Q(x) = \frac{1}{\sqrt{2\pi}} \int_x^\infty e^{-t^2/2} dt$.

10.5 Problems

1. **Problem 10.1** — For antipodal signaling with $A = 2$ V, $T = 1$ ms, and $N_0/2 = 10^{-6}$ W/Hz: (a) Find P_e for integrate-and-dump receiver. (b) What A is needed for $P_e \leq 10^{-6}$?
2. **Problem 10.2** — For antipodal signaling with $P(1) = 0.7, P(0) = 0.3$, (a) Find optimal decision threshold V_D . (b) Derive P_e in terms of E_b/N_0 .

11 Optimal Receiver

11.1 General Receiver Structure

- Received signal: $y(t) = s_i(t) + n(t)$, $i \in \{0, 1\}$.
- Linear filter $h(t)$ followed by sampler at $t = T$.
- Decision based on threshold V_D .

11.2 Optimum Threshold

For equally likely bits:

$$V_{D,\text{opt}} = \frac{s_{o1}(T) + s_{o0}(T)}{2}$$

where $s_{oi}(T)$ is the filtered signal output at $t = T$.

11.3 Matched Filter

- The filter that maximizes output SNR at sampling instant.
- Impulse response for binary signaling:

$$h_{\text{opt}}(t) = s_1(T - t) - s_0(T - t)$$

- Equivalent to correlating with $s_1(t) - s_0(t)$.
- Maximum output SNR:

$$\zeta_{\max}^2 = \frac{2}{N_0} \int_0^T [s_1(t) - s_0(t)]^2 dt = \frac{2E_g}{N_0}$$

where E_g is the energy of the difference signal.

11.4 Optimal Signal Design

To minimize P_e , signals should be as dissimilar as possible:

$$P_e = Q \left(\sqrt{\frac{E_g}{2N_0}} \right)$$

For antipodal signals: $E_g = 4E_b$, giving:

$$P_e = Q \left(\sqrt{\frac{2E_b}{N_0}} \right)$$

11.5 Problems

1. **Problem 11.1** — Given

$$s_0(t) = 0, \quad s_1(t) = A, \quad 0 \leq t \leq T$$

(a) Find matched filter impulse response $h(t)$. (b) Sketch $h(t)$ and output $s_{o1}(t)$. (c) Derive P_e .

2. **Problem 11.2** — Show that the matched filter output at $t = T$ is equivalent to the correlator output for the signal $s_1(t) - s_0(t)$.

3. **Problem 11.3** — Given

$$p_0 = p, \quad p_1 = 1 - p$$

Prove that the optimum filter is $h_{\text{opt}}(t) = k(s_1(T-t) - s_0(T-t))$, k is any non-zero constant.

12 Digital Modulation

12.1 Why Modulation?

- Enables transmission over bandpass channels (e.g., wireless).
- Allows frequency-division multiplexing.
- Improves antenna efficiency (smaller antennas at higher frequencies).

12.2 Digital Modulation Schemes

12.2.1 Amplitude Shift Keying (ASK)

$$s(t) = \begin{cases} A \cos(2\pi f_c t), & "1" \\ 0, & "0" \end{cases}$$
$$P_e = Q \left(\sqrt{\frac{E_b}{N_0}} \right)$$

12.2.2 Binary Phase Shift Keying (BPSK)

$$s(t) = \begin{cases} A \cos(2\pi f_c t), & "1" \\ -A \cos(2\pi f_c t), & "0" \end{cases}$$
$$P_e = Q \left(\sqrt{\frac{2E_b}{N_0}} \right)$$

12.2.3 Frequency Shift Keying (FSK)

$$s(t) = \begin{cases} A \cos(2\pi f_1 t), & "1" \\ A \cos(2\pi f_2 t), & "0" \end{cases}$$

For orthogonal FSK with $\Delta f = n/T$:

$$P_e = Q \left(\sqrt{\frac{E_b}{N_0}} \right)$$

12.3 Correlator Receiver Implementation

- Matched filter can be implemented as a correlator:

$$V_i(T) = \int_0^T y(t)s_i(t)dt$$

- Decision: choose i corresponding to larger $V_i(T)$.
- Equivalent to matched filter but often simpler to implement.

12.4 Problems

1. **Problem 12.1** — Compare BPSK and orthogonal FSK in terms of: (a) Bandwidth efficiency (b) Error probability P_e vs E_b/N_0 (c) Receiver complexity
2. **Problem 12.2** — For binary ASK:

$$s_1(t) = A \cos(2\pi f_c t), \quad s_0(t) = 0, \quad 0 \leq t \leq T$$

- (a) Find matched filter impulse response. (b) Derive P_e in terms of E_b/N_0 .

13 Channel Models

13.1 Channel Effects

- **Attenuation:** Signal power reduction with distance.
- **Fading:** Random amplitude fluctuations due to multipath.
- **Intersymbol Interference (ISI):** Time dispersion causing adjacent symbols to interfere.
- **Noise:** Additive (e.g., AWGN) and multiplicative (e.g., fading).

13.2 Multipath Channels

- Received signal is sum of delayed and scaled copies:

$$y(t) = \sum_k a_k s(t - \tau_k)$$

- Channel impulse response: $h(t) = \sum_k a_k \delta(t - \tau_k)$.
- Frequency-selective if bandwidth $> 1/\tau_{\max}$ (delay spread).

13.3 OFDM (Orthogonal Frequency Division Multiplexing)

- Divides broadband channel into many narrowband orthogonal subcarriers.
- Uses IDFT/DFT for modulation/demodulation.
- Cyclic prefix converts linear convolution to circular convolution, simplifying equalization.
- Robust against frequency-selective fading.

13.4 Rayleigh Fading

- Model for non-line-of-sight multipath with many scattered paths.
- Envelope follows Rayleigh distribution:

$$f(r) = \frac{r}{\sigma^2} e^{-r^2/(2\sigma^2)}, \quad r \geq 0$$

- Power is exponentially distributed.

13.5 Problems

1. **Problem 13.1** — A channel has impulse response $h(t) = \delta(t) + 0.5\delta(t - T/2)$. If BPSK with bit duration T is transmitted, sketch the received signal for bit sequence **101**.
2. **Problem 13.2** — Why is a cyclic prefix added in OFDM? What is the minimum length required if channel delay spread is $2 \mu s$ and subcarrier spacing is 15 kHz?
3. **Problem 13.3** — Show that subcarriers $e^{j2\pi kt/T}$ and $e^{j2\pi mt/T}$ are orthogonal over interval T if $k \neq m$.
4. **Problem 13.4** — If the envelope r of a received signal follows Rayleigh distribution with $\sigma^2 = 2$, find $P(r < 1)$.

14 Multiplexing and Multiple Access

14.1 Multiplexing

- **Frequency Division Multiplexing (FDM):** Users assigned non-overlapping frequency bands.
- **Time Division Multiplexing (TDM):** Users assigned non-overlapping time slots.
- **Wavelength Division Multiplexing (WDM):** Optical version of FDM.

14.2 Multiple Access

- **FDMA:** Different users use different frequencies (e.g., analog cellular).
- **TDMA:** Different users use different time slots (e.g., GSM).
- **CDMA:** All users share same frequency and time but use orthogonal codes (e.g., IS-95, WCDMA).
- **SDMA:** Spatial separation using antenna arrays (beamforming).

14.3 Code Division Multiple Access (CDMA)

- Each user assigned a unique spreading code (e.g., Walsh–Hadamard).
- Transmitter: $x_i(t) = d_i(t) \cdot c_i(t)$ where d_i is data, c_i is spreading code.
- Receiver correlates with desired user's code to extract data:

$$\hat{d}_i = \int y(t)c_i(t)dt$$

- Processing gain: $G = \frac{T_b}{T_c}$ (ratio of bit duration to chip duration).

14.4 Comparison

- **TDMA:** Requires synchronization, fixed data rate per user.
- **FDMA:** Simple but inflexible, guard bands needed.
- **CDMA:** Soft capacity, resistant to interference and multipath, but requires power control.

14.5 Problems

1. **Problem 14.1** — Given Walsh codes:

$$c_1 = [+1, +1, +1, +1], \quad c_2 = [+1, -1, +1, -1], \quad c_3 = [+1, +1, -1, -1]$$

- (a) Verify orthogonality. (b) If user 1 sends +1, user 2 sends -1, user 3 sends +1, find the composite transmitted signal. (c) Show how to recover user 2's data.
2. **Problem 14.2** — Design a TDMA frame for 4 users, each requiring 10 kbps, with a total channel bandwidth of 50 kHz using QPSK. Specify slot duration, guard time, and frame length.
3. **Problem 14.3** — Three users each require 10 kHz bandwidth. If guard bands are 2 kHz, what total bandwidth is needed for FDMA?
4. **Problem 14.4** — Explain the near-far problem in CDMA and how power control mitigates it.

15 Summary Problems (Comprehensive)

1. **Problem 15.1** — A voice signal bandlimited to 4 kHz is sampled at 8 kHz, quantized with 8-bit PCM, then encoded with a (7,4) Hamming code, modulated using BPSK, and transmitted over an AWGN channel. (a) Find output bit rate. (b) If channel bandwidth is 50 kHz, is transmission possible? (c) Find P_e if $E_b/N_0 = 10$ dB.
2. **Problem 15.2** — A 4 kHz audio signal is sampled at 8 kHz, quantized to 256 levels, Huffman encoded (average code length $1.5 \times$ entropy), modulated with QPSK, and transmitted over AWGN with $E_b/N_0 = 8$ dB. (a) Find bit rate. (b) Find overall P_e . (c) Suggest one method to reduce bit rate without increasing P_e .