

# Term Paper

For Research Directions

University of Nebraska at Omaha

by

Chad Crowe

November 2018

Supervisor

Dr. Hall

## 0.1 Abstract

Existing research has performed well doing machine learning on single data types. Yet, there is little research on machine learning with multiple data types. Of the existing research on multiple data types, the approach is to treat the features of each data type as independent. This authors theorize that when modeling a single phenomenon with multiple data types, that some information is only captured by combining features from each data type. The paper proposes a methodology for identifying and analyzing feature relationships across data types.

# *Acknowledgements*

- To Dr. Hall with special thanks for her patience and guidance in my research

# Contents

0.1 Abstract . . . . .	i
<b>Acknowledgements</b>	<b>ii</b>
<b>List of Figures</b>	<b>v</b>
<b>List of Tables</b>	<b>vi</b>
<b>1 Problem</b>	<b>1</b>
<b>2 Overview of the Topic</b>	<b>3</b>
<b>3 Paper Overview</b>	<b>8</b>
<b>4 Related Work</b>	<b>10</b>
4.0.0.1 Simple Architectures . . . . .	10
4.0.0.2 Helpful Architectures With Complex Data Types . .	11
4.0.0.3 Comparing Architectures with Different Data Types	14
4.0.0.4 Framework for Testing new Architectures . . . . .	16
4.0.0.5 Translating between data types . . . . .	16
4.0.0.6 Multi-Model Join Representations . . . . .	20
<b>5 Topic within IT</b>	<b>23</b>
<b>6 Topic</b>	<b>24</b>
6.0.1 What is known about this topic? . . . . .	24
6.0.2 What needs to be known? . . . . .	24
6.0.3 What would you like to know? . . . . .	25
6.0.4 Why is it important? . . . . .	25
6.0.5 Why we should care? . . . . .	25
<b>7 Open Problems/Questions in IT</b>	<b>27</b>
7.0.1 What is the gap between what is known and what needs to be known? . . . . .	27
7.0.2 What would you like to know? . . . . .	28

7.0.3	Formulate a question that specifies b. . . . .	28
<b>8</b>	<b>Research Question</b>	<b>29</b>
8.0.1	Open Research Problems in IT . . . . .	29
8.1	Purpose Statement . . . . .	30
8.1.1	Signpost that establishes the central intent for the study? . . .	30
8.1.2	Is there a body of knowledge in IT you would like to contribute to? . . . . .	31
8.1.3	Is there an area of practice you would like to improve? . . . .	32
<b>9</b>	<b>Theory</b>	<b>34</b>
9.0.1	Philosophical Assumptions . . . . .	35
9.0.2	Social Reality . . . . .	35
9.0.3	What we understand to be true . . . . .	36
<b>10</b>	<b>Methodology</b>	<b>37</b>
10.1	Means of Investigation . . . . .	37
10.1.0.1	Qualitative/Inductive . . . . .	37
10.1.0.2	Quantitative/Deductive . . . . .	38
10.1.1	Means of Evidence . . . . .	38
10.1.1.1	Data Collection . . . . .	38
10.1.1.2	Data Analysis . . . . .	38
<b>11</b>	<b>Conclusion</b>	<b>39</b>
	<b>Bibliography</b>	<b>40</b>

# List of Figures

# List of Tables

# Chapter 1

## Problem

There is a growing problem within many IT fields. IT is experiencing a massive growth in data. This data is large, diverse, and differently structured. The massive amount of diverse and unstructured data provides a problem in both processing and understanding this data. Often data is not simply text data. Rather, the data might contain videos, images, or audio data. The ability to understand and process this data can be important to researcher's within this growing field. While there exist tools that can process text data, or which process image data, there is a lack of tools or methods for analyzing text and image data. The field finds itself fast growing and with a multitude of data. Yet, current methods are limited in ways to analyze this data. Existing analysis methods work on single types. There are few existing models or methods for analyzing data comprised of multiple data types. This leaves researcher's ill-equipped for tackling problems composed of multiple data types. This paper explores analyzing datasets comprised on image and text data. proposes ways to analyze a combination of text and image data. It is hoped that these methods



will apply to other multi-data type datasets and further the field's understanding of multi-type datasets.

## Chapter 2

# Overview of the Topic

Applied machine learning is a large topic. It takes the topics and tools of machine learning and theorizes applications for the real-world. The research explores new ways to represent, manipulate, and guide data analysis with machine learning tools. The research often creates new architectures that perform better in an applied scenario. Another subject are new ways to draw data out of existing datasets. This research explores the latter research arena. The rest of this chapter will give overview explanations of machine learning models and popular tools within the field of applied machine learning.

There are many tools within machine learning. Most tools and models consist of nodes and layers. The node and layer architecture provides flexible methods for learning and memorizing new data. Extra layers provide opportunity for models to extract more data. The combination of nodes and layers allow for different machine learning architectures for learning new data. Recent research has expanded upon nodes and layers to create more advanced architectures. Some of these architectures

have been labeled as deep, denoting the many layers they contain. Other advances connect nodes in new ways, like connecting nodes to previous layers, which is seen in Recurrent Neural Networks (RNNs). Each architecture and configuration has different benefits and learns data differently. Some of these architectures will be more deeply covered in subsequent sections.

It is worth a quicker dive into the basic mechanics of machine learning models. Machine learning models take inputs. These inputs are transformed by models into outputs. There are many manipulations on the data while it passes through the models. The outputs depend on the type of machine learning. Two common tasks within machine learning are classification and regression. Classification models tend to output a probability for each classification through the final layer, known as the softmax layer. How the input is manipulated depends on the type of machine learning model. One common type of machine learning model is an Artificial Neural Network (ANNs), which apply simple functions to the inputs, such as a sigmoid, relu, or tanh function. The model is generally composed of a few layers, where each node is connected to each node in its subsequent layer. The network's input weights, for each layer, are adjusted based on the model's error. The Convolutional Neural Network (CNNs) is similar. The CNN is also composed of nodes. Half of the CNN learning is the same as ANNs, i.e. nodes connect to one another and go through a simple learning function like relu. The difference with CNNs is how the layers are connected. Instead of connecting all layers together, CNNs split the data up into regional chunks, e.g. the upper right array of data. The region of data are connected. One interesting behavior from the CNN is that region sizes are halved and joined. In

this way, regional behavior is captured and joined together in the output. Another major type of machine learning model is a Recurrent Neural Network (RNNs). RNNs are very similar to ANNs, except their layers are connected differently. The RNNs layers do not always connect to the next layer, i.e. the layer's output may serve as input to a previous/the same layer. This provides a cycle of input output information within the neural network. This allows each layer to have some input from future layers, which creates a sense of network memory, where previous data serves as input to the network's current behavior.

Machine learning models are often applied in particular ways. For example, CNNs are often applied to images because they learn regional data well. NN are often applied to text data because they are simple and can memorize the word distributions for languages. RNNs are often applied to videos because they can remember data from past inputs. As will be covered more below, each of these simple models is regularly applied to single data types. Applying these models to multiple data types will be covered in the related work and later sections.

There are many major threads under development in the arena of applied machine learning. These pertain to machine learning with each type of data. The most popular types of data that receive attention when researchers theorize about their use and possible applications are text, audio, visual, and time-series data. These data types are popular because they are commonly available. Such data are available in public repositories of data or through application APIs, such as text and image data that is available from utilizing the Twitter API. Each data type has multiple facets. More interestingly, certain machine learning algorithms perform better and

differently for particular data types. Neural Networks (NNs) perform very well on simple text learning tasks, yet struggle to learn image data. Convolutional Neural Networks (CNNs) may underperform with text-analysis, but have excelled at image-analysis. Video analysis tends to use Long-Term Short Memory nodes (LSTMs), but often incorporate CNNs, since videos are composed of many images.

While there are many techniques for analyzing different types of data, society and academia has seen an increase in the amount of available data. Moreover, not all data is the same. There are many types of video data. There is still video data, moving video data, video at night, day, in different places, and with more people. These data differences exist in text data too. A researcher can analyze text data from Twitter, which looks different than text data from a research paper, which also looks different from text data translated from audio data. The different data types and variations within data types are a challenge for researchers. The creation of theories about Twitter text data represents advances in our knowledge of social media. Creating models that are better at understanding text data that is created from audible speech can further research's understanding of text sentence structure. These examples only illustrate that there are many ways to approach each data type, and each data type provides many ways to explore and understand its data.

When providing new machine learning insights, whether it be theories or architectures, those theories need to be validated. It is very common for papers to cite benchmark models on publically available data as a reference point for model performance. There are many such benchmarks within the field of applied machine learning.

Many of these benchmarks are the performance of well-tuned machine learning algorithms on a given set of data. Though this paper will not create a model and apply it to a benchmark, it will cite potential benchmarks for new papers or models implementing the theories presented.

## Chapter 3

### Paper Overview

The related work will begin with an overview of the current tools used by researchers and how these are used. This section will highlight that these tools are only applied to single data types, such as CNNs used for images, NN for text, and RNNs for video or translation work. The related work will then delve into research using multiple models and multiple data types. The reader will see that Restricted Boltzman Machines and auto-encoders are almost exclusively applied to multi-model and multi-type datasets. In light of the restricted exploration of multi-data type datasets and almost exclusive use of RBMs, the related work will cover more advanced architectures that work with or between multiple data types.

The next sections will delineate where this topic sits within IT. Those sections will provide brief summaries of the topic, related work, and what needs to be known for this problem. The paper will then present why the topic is interesting, important, and helpful to those outside the research community. The next section will propose a research gap and provide research questions.

The next section will discuss open problems in the IT community and discuss the research's possible contributions to both research and practice. After addressing the overall problem and proposed solution, the research will discuss philosophical assumptions this paper makes. Part of this paper also proposes new ways to understand datasets comprised on multiple data types. These assumptions will be discussed alongside its philosophical assumptions.

The paper will then begin to explain its plan of investigation and how to validate the research's results. The paper will take a two-sided approach and explain qualitative and quantitative methods for its proposed research. The paper will shortly discuss implications of its methodology like data collection and analysis and provide a final conclusion about the proposed approach, methodology, and theory provided by the paper.



# Chapter 4

## Related Work

### 4.0.0.1 Simple Architectures

One paper by Simonyan and Zisserman explore very deep CNNs on large-scale image recognition. The authors found that the trick to having deep CNNs is to have small filters. The authors had 3x3 CNN filters with 16-19 layers and their model are two of the best performing convnets publically available. The authors performed no fine-tuning and fed their model fixed-size 224x224 RGB images. The only preprocessing done is subtracting the mean RGB value computed on the training set from each pixel so that the pixel intensity values tend to fall in a semi-normal distribution around zero. Surprisingly, the stride is kept at one, which is common in practice but requires more time for training. Spatial pooling occurs five times, which follow the conv layers, though sometimes the model has multiple conv layers before the data is pooled. Max-pooling is performed on a small 2x2 window with a stride of 2, which likely saves a lot of model training time. The authors used a ReLU on all layers and none of the layers

contain Local Response Normalization (LRN), as such normalization only leads to great memory consumption and computation time. The benefit to the many small filters is that the number of weights in the convnet is not greater than that of a shallow net with larger convnet layers. The model utilized a mini-batch of 256 with momentum set to 0.9, which is a common pattern in many of these papers. The model did include L2 normalization with the multiplier set to  $5 \times 10^{-4}$ . A dropout of 0.5 was used, which seems very large compared with most papers, which probably use 0.3. The learning rate is initially set to 0.1 and decreased by a factor of 10 when the validation set accuracy stopped improving. A common theme in the related work is a large learning rate to initialize weights, which then dramatically decrease for small performance increases. The authors obtains fixed-size 224x224 convnet images by randomly cropping and rescaling training images. The crops also underwent random horizontal flipping and random RGB color shifts. The authors emphasized that using a large set of crops can lead to an improved accuracy. The training time took 2-3 weeks and training with 1000 classes on 1.3M images, and tested on 100k images, and validated on 50k images.

#### **4.0.0.2 Helpful Architectures With Complex Data Types**

Shen et. al explores attention mechanisms for machine learning. The subject of attention mechanisms is not well known within machine learning. It has recently attracted a large amount of attention, due it its performance and speed of computation. Since attention mechanisms are more lightweight, they train faster. The mechanism, as will be explained, still relies on nodes, and therefore has much of the flexibility of

neural networks. Shen et. al delve into a type of attention mechanisms, a recurrent attention and bi-way attention mechanism denoted as a Directional Self-Attention Network (DiSAN). The paper shows that its DiSAN model outperforms complicated RNN models in prediction accuracy and time efficiency on existing benchmarks. The paper is relevant because it presents another, quite new machine learning mechanism that has shown promise for applied machine learning.

The attention mechanism takes advantage of a hidden neural network layer. The hidden layer works on the input sequence and predicts the importance of their weights. This creates a mechanism where neural network inputs are scrutinized by a separate neural network. The separate neural network determines the importance of the weights, and give credence to those weights, so that the model primarily uses the most important inputs. The result is a categorical distribution for the input sequence, and the neural network nodes have memory of which input sequences are important or more relevant. One disadvantage of these networks is that temporal order of input information is lost. The paper's DiSAN model helps fix this by providing sequential memory for the attention networks. The paper demonstrates that their attention mechanism models perform particularly well at alignment scores between two sources, i.e. does well at providing a similarity score between two sources or texts.

There are a few jewels in the Shen et. al paper, like how an additive function for attention often outperforms multiplicative attention, and is also more memory efficient. Their models make use of cross-entropy as an optimization objective and include L2 regularization. The minimization optimizer is Adadelta with mini-batch of size 64. The initial learning rate is quite large, i.e. 0.5, which is decreased over

epochs. The weight matrices for networks use GloVe and are pre-trained with out of vocabulary words, which initially were randomly initialized from a uniform distribution. The model uses a dropout of 0.25 and 0.2. The dropout is also varied throughout the learning process. The final model uses fewer parameters than either RNN or CNN networks by margins of 3%. The model is applied to the Stanford Sentiment Treebank and performs better than the best existing model by 0.52%. The model is also applied to Sentences Involving Compositional Knowledge (SICK) and with a similar performance. Of important note is the model's bi-directional ability to track different features in forward progressing layers than a backward focused layer, one picking up word families and the latter focusing on word carousel.

Karen et al. proposes a unique use of combining CNNs for action recognition in videos. The goal is to capture complementary information from still frames and their motion. The recognition of human actions in video is well researched. This paper builds upon existing works by creating a new architecture for analyzing human actions by combining an image-based model and a movement-based model, e.g. one model tracks the still images and the other tracks the gradient of movement in those images. In this way, the paper presents a technique to combine two different data types into a single CNN model. The overall idea is the motion and still-frame data types are very different. Also, actions often contain motion. The action motion can aid to the identification of the action. The CNNs are separately trained and later combined via their softmax scores. The paper does some interesting calculations for the motion in order to account for a moving camera by subtracting movement that exists across the entire frame. The CNNs do max-pooling with a 3x3 window and

a stride of 2, which seemed practically too large. The images were 224x224 and randomly cropped, horizontally flipped, and underwent RGB jittering. The authors found that such fine-tuning only gave marginal improvements over the training set. The paper also saw that large dropout over-regularises learning and leads to a worse overall accuracy.

Ji Lee performs sequential short-text classification with ANNs. The author's point is that text classifications often occur by only considering a text, not necessarily its preceding or subsequent texts. The paper proposes that using information preceding short texts may improve classification accuracy. Their model initially generates vector representations for short-texts using either the RNN or CNN architectures. The authors utilized early stopping after 10 epochs and performed hyperparameter training. Their model serves as a benchmark for ANN performance to sequential short-text classification.

#### **4.0.0.3 Comparing Architectures with Different Data Types**

Ji et al. presents another method for recognizing human action with CNNs. The topic is particularly interesting because it represents a model that translates between very different data types. The approach is simple and uses a CNN to predict actions at the frame level. Another CNN then takes inputs from contiguous frames via their location. The end-result is a 3D CNN that combines each 2D frame and uses time as the third dimension. The hope is that the CNN models will capture temporal information from the adjacent frames. This seems like a very good method to represent the data with

very little bias. The model outperformed 2D CNNs, which seems sensible since the 3D model contains the 2D model plus more information.

Wenpeng et al perform a comparative study between CNN and RNN for Natural Language Processing (NLP). This is an interesting subject, since RNNs and CNNs differently model sentences. RNNs capture units in sequence and CNNs are good at extracting positional invariant features. Both CNNs and RNNs are also the primary types of DNNs. The paper covers multiple NLP tasks with each type of network, specifically CNNs, Gated Recurrent Units (GRUs), and LSTMs. It is worth mentioning that the networks in the study did not obtain great performance on existing benchmarks, which may limit the value of the study's insights. The NLP tasks are sentiment/relation classification, textual entailment, answer selection, question-relation matching, and part-of-speech tagging. The authors found that both CNNs and RNNs provide complementary information on text classification tasks. The authors also found that changing hidden layer sizes and batch sizes resulted in large performance fluctuations. A related work in the study found that RNNs compute a weighted sum of n-grams while CNNs extract the most important n-grams and only consider their resulting activation.

There were a few papers on deep learning with video data. One interesting paper performed deep learning by using CNNs on multiple frames. This paper was by Hossein Mobahi. The paper performs large-scale object recognition. Videos are composed of multiple frames, which provides a number of frames which contain the same objects. These similar frames can each be processed by a CNN to provide additional information and possibly better accuracy in object recognition. The paper learns the

objects, based on the frame-to-frame video motion by performing classification on each frame. The authors see learning from multiple frames more related to evolution, as humans experience learning through the world, which is constantly moving and changing. The paper made use of 72x72 sized images of 100 images, where each object was shot 100 times at angles that each differed by 5 degrees.

#### **4.0.0.4 Framework for Testing new Architectures**

I read a paper on sentence pair scoring by Petr et al. The authors argue that many sentence pairing tasks like Answer Set Selection, Semantic Text Scoring, Next Utterance Ranking, and Recognizing Textual Entailment are all very similar. They propose a unified framework that employs task-independent models for sentence pair scoring models. The model can easily compare models against its baseline in an effort to create a better framework for evaluating machine learning models. It could be worthy comparing any models I might create for sentence pair scoring within their model framework.

#### **4.0.0.5 Translating between data types**

Building on the topic of LSTMs within video representations, since many of these interact with both image and motion data. Srivastava et al. created an unsupervised model for learning on video data with LSTMs with the ultimate goal of action recognition. They cite one challenge as tracking multiple objects moving in a background. The paper said that LSTM was useful at extracting and extrapolating motion beyond what the video observed, though that metric seems difficult to measure in terms of

goodness or performance. The authors also took the approach of skip-gram models of trying to predict in-between frames to train their model. The final model predicted up to 13 frames in the future and took 20 hours to converge on only 300 hours of data. The resulting predictions and reconstructions were blurry. The blurriness was fixed by adding more LSTM units to remember image data. They faced the issue of LSTM and their gradients vanishing. Despite this, they had 74.3% accuracy on recognizing actions from video data, which seems respectable. The authors found that the model often loss the ability to keep precise object features in future frames, though it could recreate long-term object motion.

Wojciech Zaremba explores RNN regularization. Dropout is the most successful technique for regularizing neural networks, but they do not work well with RNNS and Long Short-Term Memory units (LSTMs). Dropout works by randomly dropping outputs on a certain percentage of nodes. Dropout is used as a form of regularization to make networks more generic and stable on new inputs. Being able to apply regularization to RNNs or LSTMs could make video deep learning much more performant. Due to lack of regularization effectiveness in RNNs, RNNs tend to quickly overfit on large networks. The author's trick is to apply the dropout operator only to the non-recurrent connections. The final model uses minibatch of size 20 with 650 units per layer of the LSTM.

Graves from Google researched speech recognition with RNNs. The paper presents a system to transcribe audio data to text. The paper is novel because it performs transcription without a phonetic representation. The model uses a bidirectional



LSTM RNN architecture. Current practice working from audio to text data comprises speaker normalization and vocal tract length normalization. The normalized voice is then fed into a model. The extra step of normalization of voices makes the model bad at dealing with outlier voices, such as the elderly. By having the model directly transcript the audio data, the model can overcome the difficulties of odd voice tracts. There are papers by Graves that perform raw speech with RNNs and Restricted Boltzman Machines (RBMs), but the model is expensive and tends to be worse than conventional processing. A previous work did speech recognition with this architecture (Eybern et al., 2009), but it used a shallow architecture and did not deliver compelling results. An advantage of this research is its use of bidirectional RNNs to capture the whole utterances and their context. This is possibly useful in the core research proposed by the term paper as a method for analyzing the entire context of speech data.

Translating images to videos is a popular topic. It is particularly interesting because it performs translation from one data type to another. Donahue et al presents a model transcribing video to text descriptions. The paper proposes an architecture known as Long-term Recurrent Convolutional Networks (LRCNs). The goal of the architecture is to leverage CNN recognition strengths and to have an RNN remember time-varying inputs and outputs. One of the largest difficulties with the model is deciding how much time-varying information to remember, which were not deterministic in this model due to their issue of the vanishing gradient in their RNN model. The authors see their model as an improvement on video activity datasets with complex time dynamics and improve existing benchmarks by 4%. The LRCN predicts

the video class at each time step and average the predictions for a final classification. The model extracts from 16 frame clips and uses a stride of 8 frames from each video. The model is trained on TACoS, a dataset for video/sentence pairs.

Alex Graves at Toronto persents a paper on predicting future handwriting using LSTMs. The resulting system was able to generate highly realistic cursive handwriting in a wide variety of styles. The topic is interesting because it is an example of using a different machine learning architecture to translate data from video to another format, i.e. predicted handwriting sequences. The topic of translating data to a type of prediction is interesting for the term paper's topic. Existing work has used LSTMs to generate future sequences in domains like music. RNNs are nice because they are fuzzy in the sense that they do not use exact templates from the training data to make predictions but use internal representations to interpolate from the training data to a result. This RNN reconstitution of training data is an interesting way to transform data from one type to another. It might be interesting to use RNNs as a translator from one data format to another, then use that representation to train another machine learning model. The paper builds on this principle and finds that a better data type translation occurs when the LSTMs are given longer memories. The model uses skip connections to all input layers, which does not connect top RNN layers to bottom RNN layers, assuming that these connections are likely unrelated and unhelpful to the final model. The paper also constrained its gradients to a smaller range to prevent large derivatives in the backpropagation. The authors also found that retraining with iteratively increased regularization results in faster training than random weights with regularization. This makes sense, since the initial weights are

likely better than random weights. Their network only took four epochs to converge. It is good to know that LSTMs can converge so quickly.

Building on learning data type representations, Cho et al. builds upon phrase representations using RNN encode-decoders with the purpose of language modeling. The authors use two RNNs as an encoder-decoder pair. There is definitely an emerging trend in the related work where RNNs are used to create internal data representations for encoding data to another data type. The model translates from English to French and learns the translation probability of an English phrase corresponding to a French phrase. The model can conversely be used to score a given pair of input and output sequences. The authors also acknowledged that simply training statistical models do not necessarily lead to the optimal performance.

#### **4.0.0.6 Multi-Model Join Representations**

Nitish Srivastava creates deep boltzman machines to generate data when given diverse inputs, mainly text and image data. The author claims that the model can extract a unified representation of the data and was useful for classification. Kuiskes et al. also used captions and tags with images to create image features that improved classification accuracy of Support Vector Machines (SVMs) and Linear Discriminant Analysis (LDA). Xing et al. also created a generative model with images and text with Restricted Boltzman machines, but found that each data type had very different statistical properties that made them difficult to model together.

Junhua Mao creates a multi-model RNN which takes text and image data. The final model produces image captions based on the probability distribution of words

given previous words and an image. The actual model has an RNN for sentences and a deep CNN for images. The two networks interact in a multimodal layer to form the whole network. The CNN was a pre-trained AlexNet.

Ngiam et al. improve modeling video data by combining its image and audio layers. The study learned representations for speech given images of lips and the audio. This study used RBMs to combine each data type. The paper claims that RBMs learn new representations of each data type. The RBM was trained by concatenating the audio and video data. The auto-encoder is trained with the goal of minimizing noise. Each new layer was iteratively created from training on the previous layer. The final video-based model contains three inputs, one-third is training with only video, another one-third has only audio, and the last one-third has both audio and video. It is interesting that one third did not only contain images. The study found that training an autoencoder on video data did not work very well, since the model only learns video features, not audio and image features.

Tran et al. also proposes an RBM to incorporate a wide range of data inputs at the same time, specifically handwritten digit recognition using image and motion data.

Sohn et al. describes multimodal representations of data as joint representations across multiple modalities and seeks to effectively learn associations between heterogeneous data modalities. The paper trains to minimize the variation of information, rather than training for a maximizing likelihood. Their model is also an RBM, which is eventually extended to a deep RNN. Their model performs well with and without text observations. The paper found that correlations between features in each data

modalities is much stronger than between the modalities, which tends to skip learning between model modalities.

## Chapter 5

### Topic within IT

The area of interest within IT with applied machine learning is the topic of multi-models. Multi-models is how current research has denoted learning of features from different data types. They have been referred to as multi-models, because their use is in the context of multiple models. Those multiple models are one model for each data type and a third model that mixes each data type. There are only a few papers on building multi-models, most of these concern decomposing videos into audio and images. Such an example is predicting words by videotaping a mouth. One model is trained on mouth movement, another is trained on audio, and a mixed model tries to discover a relationship between mouth moving and speech.

# Chapter 6

## Topic

### 6.0.1 What is known about this topic?

Little has been invested in developing models for multiple data types. Most of existing research concerns translating one data type to another and architectures for improving upon current methods at analyzing one data type. Existing tools allow for combining machine learning models. The libraries and research gap provide opportunity to explore architectures for learning with compound datasets.

### 6.0.2 What needs to be known?

When dealing with multiple data types, the theory that relationships exist between each data type needs proving. Any research demonstrating that relationships exist between data types would benefit the research community. Moreover, methods for extracting relationships between data types can improve existing machine learning models on complex data types.

### **6.0.3 What would you like to know?**

It would be beneficial to demarcate examples where complex data types are likely related. Other research would benefit from proposed methodologies for demonstrably extracting relationships between data types. A large amount of this term paper concerns describing those methodologies and how they might further the research of models with multiple data types. The paper will also include recommendations for architectures that might perform well at extracting relationships between data types.

### **6.0.4 Why is it important?**

Applied machine learning is always applying known methods to new data, on new architectures, and in new contexts. Moreover, data is trending towards larger data sets and more diverse types of data. The ability to better analyze a combination of text and image data will make research's approach to combined data types better. This can improve how the field approaches more complex data types and encourage particular machine learning architectures or approaches to the data.

### **6.0.5 Why we should care?**

Data is becoming larger and more complicated. One aspect of more complicated data is data composed of multiple data types. As these examples become more common, methodologies for approaching and analyzing such data will be useful to researchers. There are many example that contain a mix of text and image data. Such as a patient's list of symptoms and X-Rays is an example of combined text and image



data. Image and captions is another example that has applications on social media. Each example, when improved, can benefit society and the abilities of current machine learning models.

## Chapter 7

# Open Problems/Questions in IT

### 7.0.1 What is the gap between what is known and what needs to be known?

Research has analyzed data with single data types with a great degree of accuracy. There are commonplace techniques for analyzing each data type. Moreover, these models are well-known and simple, such as CNNs for videos, NN for text, and RNNs for images. Given that simple models work well on simple data types, an interesting question is how well simple models process multiple data types. This is a valid question and lies more in the arena of what needs to be known.

There has been less research analyzing multiple data types. The research that has examined related data types focuses on the relationship between audio, movement, and images in videos. A large gap is how well simple models perform with image and text data.

Research has also uncovered many advanced techniques for handling and analyzing complex data. Some of the complex techniques are worth mentioning when they interact with multiple data types.

One such method is to extract features from each individual model and combine these into a final model. Another technique uses LSTMs or auto-encoders to translate from one data type to another. The encoded data type can be combined with the second data type.

### **7.0.2 What would you like to know?**

The research goal is to verify that features between different data types exist, and these data types and their interacting features can be empirically detected so that the amount of knowledge the model knows is increased, which in turn will increase overall model accuracy.

### **7.0.3 Formulate a question that specifies b.**

Is it possible to detect between data type features?

Is it possible to detect these between data type features?

# Chapter 8

## Research Question

Concerning data that is composed of text and image data, there are feature interactions between data types that are measureable and in turn improve model performance?

1. Complex data types can be trained on the same simple model, such as CNN, NN, and RNNs.
2. A compound model can be constructed, which improves model performance, by training a combined model on test data that each individual models gets wrong.

### 8.0.1 Open Research Problems in IT

1. Translating between data types
2. Performing machine learning with more complex data types

## 8.1 Purpose Statement

### 8.1.1 Signpost that establishes the central intent for the study?

The topic of combining models of different data types offers a lot of promise. If researcher's can find better ways to model complex data, i.e. data that contains multiple data types, then researcher's and practitioners will have better tools for analyzing real-world data. Most data in the world is complex, interconnected, and can be represented in many ways.

For example, medical diagnosing patients makes use of visual data, e.g. X-Rays and a set of symptoms, which can be represented as text data. Having ways to combine the analysis of text and image data can produce models that make better predictions on these datasets.

The central intent for the research is developing methods and machine learning architectures that best process a combination of image and text inputs. The research will investigate ways to manipulate inputs and formulate architectures to handle these types of data inputs. Central to many of the related works were architectures and methods to process the data differently. Current reserach is full of methods and architectures for processing data. It is feasible that some of these methods can be combined or leveraged to work well on a combination of text and image data.

Another method is to iteratively build the combined model. The text-based and image-based models can be iteratively built. For each new layer of the combined model the hidden layers and their weights from the text and image-based models are added to the combined model. This allows the combined model to garner important

data from each individual text/image-based model while analyzing their combination within the main layer. The added layers can be frozen so that their weights don't change with training. Only the original layers, which are not from the text/image based models undergo training. This allows the model to train on the relationships between the image and text relations in the model.

A third interesting method would be to train a single network twice. CNNs have had some success with text. It would be interesting to train a CNN model on images, freeze the top few layers, and then train the rest of the lower layers on text data. One challenge is formatting the text and image data for a single model. The result might be useful for finding the major image features and increasing some of the accuracy with text data.

### **8.1.2 Is there a body of knowledge in IT you would like to contribute to?**

The body of knowledge I would like to contribute to is a better analysis of complex data types, specifically analyzing data that contains image and text data. The task of analyzing complex data types is difficult because the complex data types interact differently, depending on their context and how they are used. Moreover, because text and speech are different, a single machine learning model or architecture will introduce different biases on each data type, or will perform worse on one data type than the other. Many decisions must be made before a researcher can begin any analysis on text and speech data. Current practice generally analyzes each data type separately

and combine their results. It is also common to transform one data type into the other for ease of processing, such as transcribing a picture into text and descriptive sentences. For example, these data types can be mixed into a single architecture that is better at analyzing the combination of data.

I think the interests I can make research contributions to include complex data type preprocessing and both model architecture and combination of complex data types. The research would focus on ways to build models that work on a combination of image and text data. Many of the existing tools like attention models and the flexibility of CNN and NN models should go a long way to making a more combined analysis possible. One challenge is the context where the complex data analysis occurs. Hopefully a combined model analysis and architecture will be general enough to apply to many contexts. With the need of a general solution is a specific place to begin working on complex machine learning models. One booming industry is the advertisement industry, and most ads are a combination of image and text data. This field seems well suited to begin generating models for working with complex data types.

### **8.1.3 Is there an area of practice you would like to improve?**

The paper's main contributor is a software engineer at LinkedIn on their advertisement reporting team. The LinkedIn advertising team is responsible for the real-time reporting of advertising events that occur on LinkedIn. Anytime an advertisement

is seen or interacted with, our team collects those events and reports them to advertisers. A benefit of being on this team is being involved in the process of creating new advertising platforms and analyzing the performance of advertising campaigns. The involvement with advertising gives the authors particular interest at analyzing advertiser content. The advertising team deal with many types of advertising data, like user reactions, videos, images, and post comments. This provides interest in these advertising metrics, whose inputs are diverse types of data, e.g. text, image, and video data.



# Chapter 9

## Theory

Theory speaks to which accepted theories the topic relies on. One such assumption is that having more knowledge about a dataset will create machine learning models which perform better on the same data. The goal of extracting knowledge from a dataset for a machine learning model is often known as feature extraction. Practitioners who perform better feature extraction create models that perform better. This feature extraction consists of extracting information from the model. Another aspect is the quality of those features, i.e. do the features predict data behavior. The proposition of this paper is more knowledge, i.e. features can be extracted when considering text and image datasets together, rather than each separately. These joint features provide new knowledge, therefore new features, which should result in knowledge gained and a potentially better performing final machine learning model.

Another theory is that data types are not always mutually exclusive in their features, i.e. that correlations can exist between features in different data types. This theory has been shown to be true in videos by decomposing data into visual and

audio data. Yet, this theory has less support between text and image datasets. This research provides opportunity to affirm or prove false for datasets consisting of text and image data.

### **9.0.1 Philosophical Assumptions**

### **9.0.2 Social Reality**

Multimodel research accepts that data types can be combined and encoded into new representations of that data. These models can represent both data types and aid to knowledge gleaned from the dataset. The overarching theory behind the multi-model research proposes that when multiple data types describe a phenomenon, that those data types are related. The theory is that some of the data from each data type is correlated, since the data is extracted from the same event. It is as if each data type is a vector of information. When each data types' vector is combined it creates a final data point, a master data point of sorts, which describes the phenomenon. By combining each data type a machine learning model can better predict the master data point, or the phenomenon as a whole. For example, social media advertisement contains an image and a description. The user's reaction to an advertisement is likely a factor of not only the image or the description, but a factor of both the image and its description. The theory poses that when data naturally occur together, each type of data contains correlations with the other type of data.

The research question also assumes that new machine learning architectures can better learn when designed to take advantage of correlations that may exist between

the different data types. These different architectures can either add data to existing models or improve upon existing models that usually only operate on one type of data. By considering how each data type might interact, and how the model can learn from this interaction, the model may be able to better learn and represent the data and its features.

### **9.0.3 What we understand to be true**

Some of the required theories are generally accepted. It is known when multiple data types exist, machine learning models can learn by including both data types in its models. The difference is that usually these data types are separately modeled or each data type is transformed into a set of features which may be combined.

Current theories have also shown that different data types can be analyzed with the same architecture. For example, a CNN can perform image analysis and NLP tasks. Yet, CNNs will perform worse on and differently on NN than on CNNs. Also, a NN can process text and image data, but NN poorly draw out image features. Existing studies have also transcribed one data type to another, such as sentence descriptions of images. Yet, sentence description is generally the goal, not a transformation of data for more analysis.

# Chapter 10

## Methodology

### 10.1 Means of Investigation

#### 10.1.0.1 Qualitative/Inductive

The qualitative aspect of the research asks for qualitative features the new models can produce. An example of a qualitative feature could be how including text data in a CNN might change the features picked up by a CNN from layer to layer. Such qualitative analysis of CNN layers is common and helps researcher's understand which features each layer is targeting.

A more interesting way of performing qualitative analysis is to create auto-encoders with the new compound architectures. The models can be trained on a combination of text and image data. The models can then generate new images and text based on the inputs, and the results can be qualitatively examined to for general accuracy and patterns that the autoencoder learned from the data.

### **10.1.0.2 Quantitative/Deductive**

The means of investigation are creating models that have promise for processing both image and text data. These models can be trained and evaluated against existing benchmark datasets. The performance of these models validates if the general theories work. The deductive step assumes if the proposed architectures and methods perform well on existing benchmarks, then they will perform well on other data.

## **10.1.1 Means of Evidence**

### **10.1.1.1 Data Collection**

Data collection is not necessary for this analysis. Actually, it is preferred to use existing data and benchmarks for evaluating the machine learning models. Beyond the simple analysis, there are existing datasets for advertisement data. If the study finds performant models, these can be trained on advertising data.

### **10.1.1.2 Data Analysis**

Data analysis for this research is standard. The proposed models and method for preprocessing are trained on known datasets and evaluated on validation sets. The performance of the models shows their overall performance.

The research questions concerns detecting interplay between each data type. This type of analysis is more qualitative. The qualitative analysis may find features which show promise for certain architectures. Such architecture findings add to the existing research.

## Chapter 11

## Conclusion

This term paper describes examples where similar between-data-type features likely exist with text and image data. Methodologies are proposed for demonstrating these relationships and extracting useful information for machine learning models.

# Bibliography

- Baudi, P. and Pichl, J. Sentence Pair Scoring : Towards Unified Framework. (C).
- Crowe, C. (2018). Initial Related Work. pages 1–4.
- Donahue, J., Hendricks, L. A., Guadarrama, S., Rohrbach, M., Venugopalan, S., Saenko, K., Darrell, T., Austin, U. T., Lowell, U., and Berkeley, U. C. Long-term Recurrent Convolutional Networks for Visual Recognition and Description.
- Enerative, F. O. R. G., Of, M. O., and Ideos, N. A. V. (2014). V (l ) m : a b f g m n v. pages 1–15.
- Fan, Y., Lu, X., Li, D., and Liu, Y. (2016). Video-Based Emotion Recognition using CNN-RNN and C3D Hybrid Networks. (November).
- Ghosh, A. and Veale, T. (2016). Fracking Sarcasm using Neural Network. pages 161–169.
- Graves, A. Generating Sequences With Recurrent Neural Networks. pages 1–43.
- Graves, A. (2014). Towards End-to-End Speech Recognition with Recurrent Neural Networks. 32.
- Hurri, J. (2003). Simple-Cell-Like Receptive Fields Maximize Temporal. 691(3):663–691.
- Ji, S. and Yu, K. (2010). 3D Convolutional Neural Networks for Human Action Recognition.
- Karpathy, A., Toderici, G., Shetty, S., Leung, T., Sukthankar, R., and Fei-fei, L. (2015). Large-scale Video Classification with Convolutional Neural Networks  
Presenter : Esha Uboweja Problem Classification of videos in sports datasets. (June 2014).
- Lan, Z., Lin, M., Li, X., Hauptmann, A. G., and Raj, B. Beyond Gaussian Pyramid : Multi-skip Feature Stacking for Action Recognition.
- Le, Q. V., Zou, W. Y., Yeung, S. Y., and Ng, A. Y. Learning hierarchical invariant spatio-temporal features for action recognition with independent subspace analysis.

- Lee, J. Y. and Dernoncourt, F. (2016). Sequential Short-Text Classification with Recurrent and Convolutional Neural Networks.
- M, N. E. N. E. and Yuille, A. (2015). D c m r n n ( -rnn). 1090(2014):1–17.
- Merri, B. V. and Fellow, C. S. (2014). Learning Phrase Representations using RNN Encoder – Decoder for Statistical Machine Translation. pages 1724–1734.
- Michalski, V. and Memisevic, R. Modeling Deep Temporal Dependencies with Recurrent “ Grammar Cells ”. pages 1–9.
- Mobahi, H., Weston, J., America, N. E. C. L., and Way, I. (1996). Deep Learning from Temporal Coherence in Video.
- Sainath, T. N., Vinyals, O., Senior, A., and York, N. No Title. pages 1–5.
- Simonyan, K. Two-Stream Convolutional Networks for Action Recognition in Videos. pages 1–9.
- Simonyan, K. and Zisserman, A. (2015). Very Deep Convolutional Networks for Large-Scale Image Recognition. *International Conference on Learning Representations (ICRL)*, pages 1–14.
- Soomro, K., Zamir, A. R., Shah, M., and Recognition, A. (2012). UCF101 : A Dataset of 101 Human Actions Classes From Videos in The Wild. (November).
- Srivastava, N. (2014). Unsupervised Learning of Video Representations using LSTMs.
- Srivastava, N. (2015). Unsupervised Learning of Video Representations using LSTMs. 37.
- Susskind, J., Memisevic, R., Hinton, G., and Pollefeys, M. Modeling the joint density of two images under a variety of transformations.
- Sutskever, I. Sequence to Sequence Learning with Neural Networks. pages 1–9.
- Understanding, R. N. N. C.-f. L. DiSAN: Directional Self-Attention Network for RNN/CNN-Free Language Understanding.
- Vosoughi, S. and Roy, D. (2016). Tweet2Vec : Learning Tweet Embeddings Using. pages 16–19.
- Wang, J., Yang, Y., Mao, J., Huang, Z., Huang, C., and Xu, W. CNN-RNN : A Unified Framework for Multi-label Image Classification. pages 2285–2294.
- Wang, J., Yu, L.-c., Lai, K. R., and Zhang, X. (2016). Dimensional Sentiment Analysis Using a Regional CNN-LSTM Model. pages 225–230.
- Yin, W., Kann, K., and Yu, M. (2016). Comparative Study of CNN and RNN for Natural Language Processing.



Yin, W. and Sch, H. ABCNN: Attention-Based Convolutional Neural Network for Modeling Sentence Pairs.

Zaremba, W. and Com, V. G. (2013). arXiv : 1409 . 2329v3 [ cs . NE ] 3 Nov 2014.