

# This Week in Al Midjourney 5



Image from reddit

### **Topics**

Machine learning for image classification (recap)

- Feature extraction
- ► Linear models
- Cross-entropy loss
- ► Gradient descent

### Machine Learning for Image Classification

Recall that we want to build an image classifier

- ► Should support the classes {dog, cat}
- Using the CIFAR-10 dataset (6000 images per class)
- Manual approach failed



Image from cs.toronto.edu

### Machine Learning for Image Classification

#### Perhaps machine learning (ML) can help us

- ► ML algorithms are able to learn from data
- ► Such that performance improves with experience

#### Approach task as supervised ML problem:

- ► Encode class labels as one-hot vectors o
- Extract discriminative features x from images
- ▶ Train a ML model (classifier) to predict o from x
- Ensure it generalizes to unseen data



### One-Hot Encodings

#### One-hot encodings map categorical to numerical variables

Most ML models expect numerical inputs

Represent each category by a binary vector  $\mathbf{o}$ 

- In our case cat := (1,0) and dog := (0,1)
- $ightharpoonup dim(\mathbf{o})$  equals number of categories

Note that o is valid probability mass function

▶ Will become handy during training and inference



#### Extract feature vectors x from inputs

- ► We want to extract good features
- Better features improve model performance

#### Good features are usually high-level features

- Tell us something about the world depicted
- Task-specific (e.g. presence of pointy ears, whiskers)

#### We cannot write such high-level feature extractors

▶ But deep learning models can learn how to do so



Low-Level Features

Before deep learning there we were stuck with low-level features

- Manually designed feature extractors
- ► Encode properties about the image itself

Brightness changes at certain scale and/or orientation are popular

- ► Invariant to additive luminance changes
- Response at (certain) object borders, textured regions

This is where image processing comes in

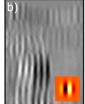


Low-Level Features – Gabor Filters

Linear filters modeled after image processing in brain

- ▶ Respond to changes at certain frequency and orientation
- ► Deep learning models usually learn similar filters
- Applied via the convolution operation





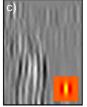




Image from [1]

Low-Level Features

Many other such feature extractors exist (e.g. SIFT, LBP)

- ► Suffer from same problems (extract low-level features)
- So we do not care about differences

All perform poorly on real-world tasks for this reason

- Reason why we need deep learning for computer vision
- Deep learning provides high-level features



But how can an algorithm learn?

### Consider the following

- $\triangleright$  Each feature vector  $\mathbf{x}$  is a point in D-dimensional space
- ▶ We want all cat images on one side of a learned hyperplane
- ► And all dog images on the other side

### Assume a hyperplane $\mathbf{w} \cdot \mathbf{x} + b = 0$

- ightharpoonup x is on positive side if  $o = \mathbf{w} \cdot \mathbf{x} + b > 0$
- ightharpoonup Training entails adjusting w and b



Or more generally (works for T > 2)

- ightharpoonup Learn T hyperplanes, one per class
- ▶ Want  $o_t = \mathbf{w}_t \cdot \mathbf{x} + b_t \gg o_i \ \forall i \neq t$  if correct class is t

In other words we learn T binary classifiers

- Approach is called one vs all or one vs rest
- ► Most popular approach for multiclass classification

Goal of training: make hyperplanes always answer correctly

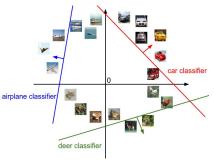


Image from cs231n.github.io

#### Can combine this to $\mathbf{o} = \mathbf{W}\mathbf{x} + \mathbf{b}$

- $\mathbf{W} \in \mathbb{R}^{T \times D}$  is called weight matrix
- $\mathbf{b} \in \mathbb{R}^T$  is called bias vector

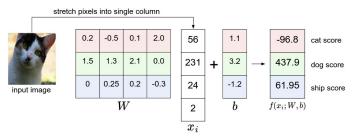


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### Model predicts T class scores $\mathbf{o} \in \mathbb{R}^T$

► Represent confidences (scaled distances from planes)

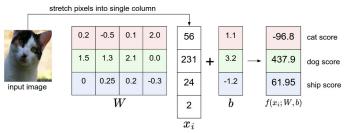


Image from cs231n.github.io

We have just derived linear models for classification

Heard that linear models are not useful in practice?

- ▶ They are the standard classifier in deep learning
- Sufficient because deep learning provides great features

### Linear Models

A model describes family of functions from x to o

- ▶ Particular function  $f : \mathbf{x} \mapsto \mathbf{o}$  learned during training
- ► These functions are called trained models (or just models)

#### Model defines the hypothesis space

- Set of functions allowed as solution
- Extending family increases the model capacity (flexibility)



### Linear Models Parameters

In parametric models f depends on parameters  $oldsymbol{ heta}$ 

- We write  $\mathbf{o} = f(\mathbf{x}; \boldsymbol{\theta})$
- ► Training entails finding good parameters

Linear models are parametric models with  $oldsymbol{ heta} = (\mathbf{W}, \mathbf{b})$ 

- ▶ Number of parameters is  $D \cdot T + T$
- Models in deep learning can have billions of parameters

### Linear Models Limitations (x = image)

T learned templates that are matched with input images

- $\triangleright$  Each  $\mathbf{w}_t$  encodes a template
- Matching using inner product  $\mathbf{w}_t \cdot \mathbf{x}$  (plus  $b_t$ )
- ▶ Result increases with similarity of image to template

#### Templates learned on CIFAR-10:

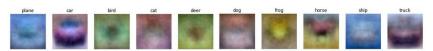


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### Linear Models Limitations (x = image)

#### Most templates have clear interpretation

- ► Horse template shows something horse-like
- ▶ Most cars in training data seem to be red
- Background is very dominant (sky, grass, water)

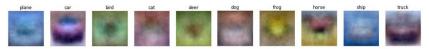


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### Linear Models Limitations (x = image)

Classifier cannot properly model intraclass variation

- ► Templates merge modes of variation
- ▶ What about blue cars, planes on ground, gray horses?

What about our cats vs. dogs problem?

▶ Find out yourself during assignment 1

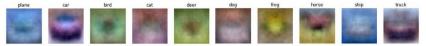


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# The Softmax Function

Predicted class scores o are not optimal

- ► Unbound, can be negative
- ► Hard to interpret, scaling is not uniform

We want  $\mathbf{o}$  to be a valid probability mass function

 $ightharpoonup o_t \geq 0$  for all t and  $\sum_t o_t = 1$ 

### The Softmax Function

Most popular function for this purpose is softmax

$$\operatorname{softmax}_{t}(\mathbf{o}) = \frac{\exp(o_{t})}{\sum_{t} \exp(o_{t})}$$

We obtain softmax((1,0,4))  $\approx (0.05,0.02,0.93)$ 

- Largest value is emphasized, small ones suppressed
- ► Softmax is not scale invariant

### Loss Functions

But how can be learn W and b?

For training any parametric model, we need

- A loss function
- ► An optimization algorithm

### Loss Functions

A loss function  $L(\theta)$  (or cost or objective function)

- ▶ Measures performance of  $f(\cdot; \theta)$  (lower loss is better)
- $lackbox{ On some (training) dataset } \mathcal{D} = \{(\mathbf{x}^s, \mathbf{o}^s)\}_{s=1}^S$
- ightharpoonup With respect to parameters heta

Choice of L depends on task

Most popular classification loss is cross-entropy



# Loss Functions Entropy

#### Recall from information theory that

- Given a mass function  $\mathbf{u} = (u_1, \dots, u_T)$
- ▶ The entropy of **u** is  $H(\mathbf{u}) = -\sum_{t=1}^{T} u_t \log_b u_t$
- ▶ In information theory b = 2, here it does not matter

### $H(\mathbf{u})$ is average information gain when sampling from $\mathbf{u}$

- ▶ Biased coin with  $\mathbf{u} = (1,0)$  has entropy 0 ( $\log_b 1 = 0$ )
- ▶ Unbiased coin with  $\mathbf{u} = (0.5, 0.5)$  has entropy > 0

# Loss Functions Cross-Entropy

Given two probability mass functions  ${\bf u}$  and  ${\bf v}$  in  $\mathbb{R}^T$ 

$$\mathbf{v} = (u_1, \dots, u_T)$$
 and  $\mathbf{v} = (v_1, \dots, v_T)$ 

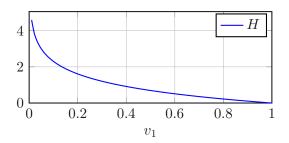
The cross-entropy between  ${\bf u}$  and  ${\bf v}$  is

$$H(\mathbf{u}, \mathbf{v}) = -\sum_{t=1}^{T} u_t \ln v_t$$

### Loss Functions Cross-Entropy

Example with T=2 and  $u_1=1$ 

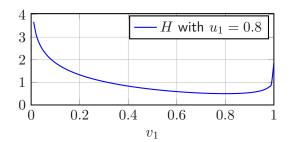
- ightharpoonup The more different  ${f u}$  and  ${f v}$  the higher H
- lacktriangleright H measures the dissimilarity between  ${f u}$  and  ${f v}$



# Loss Functions Cross-Entropy

Note that H can reach 0 only if any  $u_t = 1$ 

▶ In general  $H(\mathbf{u}, \mathbf{v}) = H(\mathbf{u})$  if  $\mathbf{u} = \mathbf{v}$ 



#### Loss Functions Cross-Entropy Loss

To utilize the cross-entropy for classifier training we

- Let u be our one-hot encoded class labels
- ► Let v be the predicted softmax class scores

H measures how dissimilar true and predicted probabilities are

▶ How well the classifier performs on a single sample

Note that  $H(\mathbf{u}, \mathbf{v})$  depends only on  $u_t$  and  $v_t$ 

 $ightharpoonup u_t = 1$  so all other  $u_k$  are 0

### Loss Functions Cross-Entropy Loss

On this basis we calculate the cross-entropy loss on  ${\mathcal D}$  as

$$L(\boldsymbol{\theta}) = \frac{1}{S} \sum_{s=1}^{S} H(\mathbf{o}^{s}, \operatorname{softmax}(f(\mathbf{x}^{s}; \boldsymbol{\theta})))$$

Average cross-entropy over some dataset  ${\mathcal D}$ 

lackbox How good our classifier performs on  $\mathcal D$  on average

We now know how to compute  $L(\boldsymbol{\theta})$  for classification

Need a way to minimize  $L(\theta)$ 

- Maximizes the training set classification performance
- And hopefully also validation/test performance (more later)

 $L(\boldsymbol{\theta})$  is not linear in  $\boldsymbol{\theta}$ 

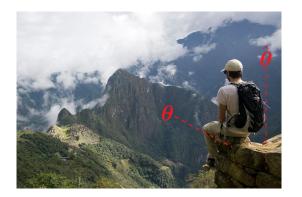
- ► Need a nonlinear optimization algorithm
- ► Gradient descent is popular choice in deep learning



Assume terrain corresponds to  $L(\theta)$  with  $\dim(\theta) = 2$ 



How do I get from location  $\theta$  to location of minimum  $\hat{\theta}$ ?



### Without actually seeing $L(\theta)$ ?



Feel slope with feet, step in direction that feels steepest

► Again and again until ground feels flat



#### Iterative Optimization algorithm

In every iteration we

- ▶ Compute gradient  $\theta' = \nabla L(\theta)$
- ▶ Update parameters  $\theta = \theta \alpha \theta'$

Hyperparameter  $\alpha > 0$  is called learning rate

▶ Final step size is  $\alpha \| \boldsymbol{\theta}' \|$ 

Let  $f(x_1, \ldots, x_n)$  be a differentiable, real-valued function

The partial derivative  $f_{x_i}$  of f with respect to  $x_i$ 

ls also a real-valued function  $f_{x_i}(x_1,\ldots,x_n)$ 

 $f_{x_i}(\mathbf{x})$  encodes

- ightharpoonup How fast f changes with argument  $x_i$
- ► At some location x



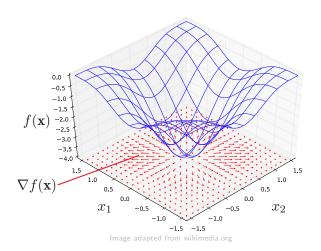
### Gradient $\nabla f$ is vector of all partial derivatives of f

- $\triangleright \nabla f = (f_{x_1}, \dots, f_{x_n})$
- ightharpoonup Vector-valued function  $\mathbb{R}^n\mapsto\mathbb{R}^n$

$$\nabla f(\mathbf{x}) = (f_{x_1}(\mathbf{x}), \dots, f_{x_n}(\mathbf{x}))$$
 encodes

- $\blacktriangleright$  How fast f changes with all arguments  $x_1 \cdots x_n$
- At some location x





 $\nabla f(\mathbf{x})$  specifies how f changes locally at  $\mathbf{x}$ 

- ▶ Points in direction of greatest increase
- ► Norm equals magnitude of increase

Exactly what we need to minimize L

- ▶ Compute direction of greatest increase  $\nabla L(\theta)$
- ► Move in the opposite direction

Must stop if  $\nabla L(\boldsymbol{\theta}) \approx \mathbf{0}$  (if norm is close to 0)

- ▶ No information where to go next
- ▶ L is flat at current location
- lacksquare The case if we are at  $\hat{m{ heta}}$  (but not only then)

#### Simple and general algorithm

- Requires only that f is differentiable and real-valued
- Efficient (requires only first derivatives)

#### Several (possible) limitations

- ightharpoonup Performs poorly for many f
- But works remarkably well with deep learning models

#### In a later lecture we will cover

- Various improvements to gradient descent training
- ightharpoonup How to compute  $\nabla L$  efficiently



# Machine Learning for Image Classification

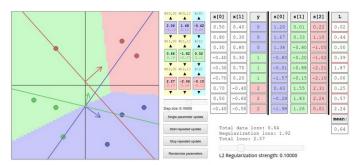


Image from cs231n.github.io

# Machine Learning for Image Classification

We factorized machine learning into basic blocks

Output shape, model, loss function, optimizer

Flexible approach that (hopefully) makes things clearer

- ▶ Different problem? Change output shape and loss function
- ► Want more capacity? Change the model
- Optimization not going well? Change optimizer



### Bibliography

[1] Prince. Computer Vision Models. 2012.

