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#### Situation: I have some data.

- I want to learn things about the world, so I put it in MySQL, and start querying it.
- To learn more, I go out and get more data.

#### New Situation: I have a *lot* of data.

- My queries start to slow down, and I can't run them all.
  - ▶ I also happen to still be collecting data.

# Goal: Execute queries in real time against large, growing data sets.

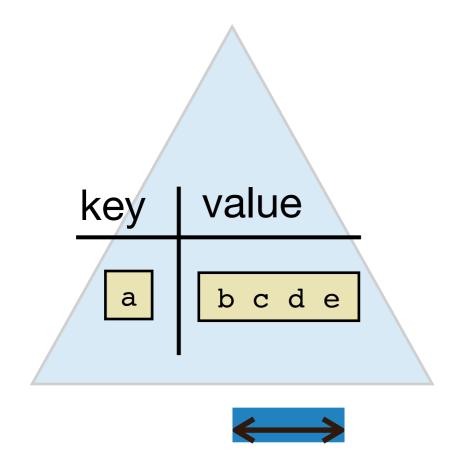
We need to do some read optimization.

### Let's see some ways to optimize reads.



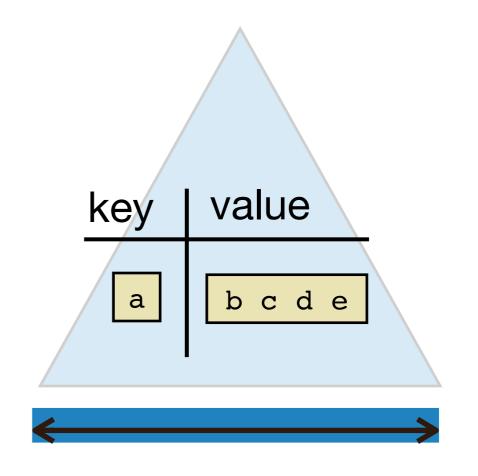
#### Select via Index

select d where  $270 \le a \le 538$ 



#### Select via Table Scan

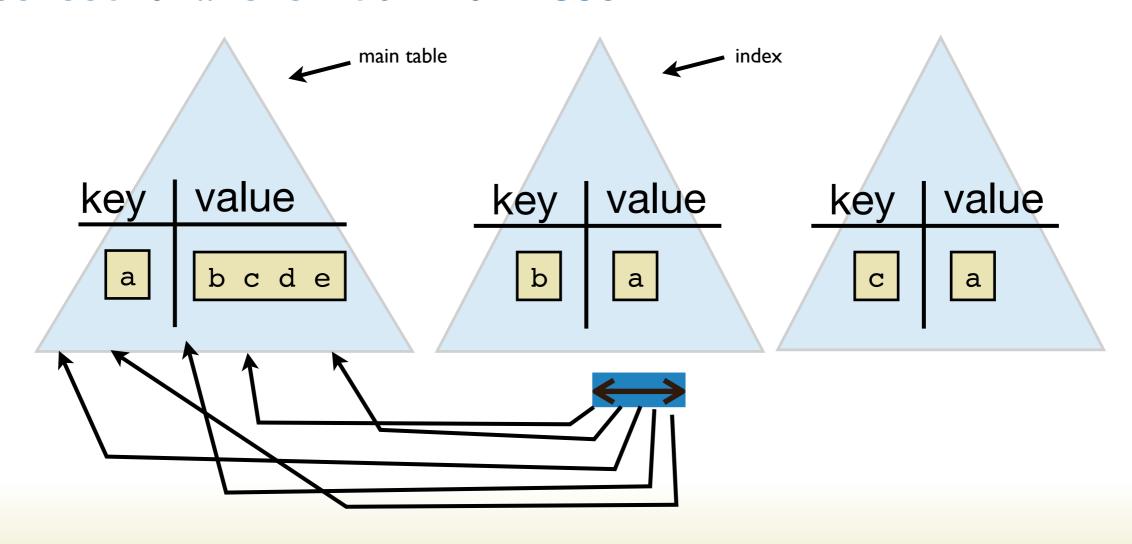
select d where  $270 \le e \le 538$ 



An index with the right key lets you examine less data.

Selecting via an index can be slow, if it is coupled with point queries.

select d where  $270 \le b \le 538$ 

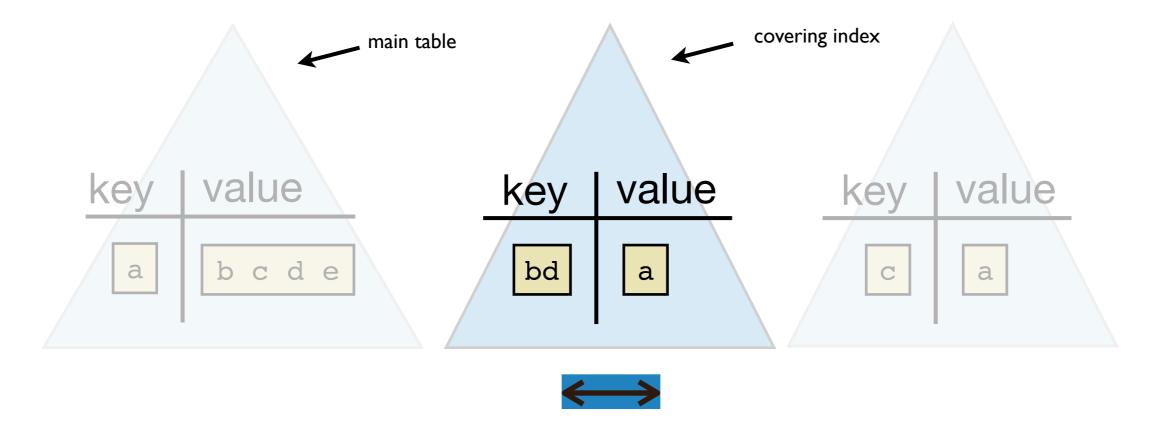




#### Covering indexes can speed up queries.

Key contains all columns necessary to answer query.

select d where  $270 \le b \le 538$ 



No need to do point queries if you have a covering index.

#### Indexes do read optimization.

- Index instead of table scan.
- Covering indexing instead of regular indexing.
- See Zardosht's "Understanding Indexing" talk for more.
  - ▶ Avoid post-retrieval sorting in GROUP BY and ORDER BY queries.
  - http://vimeo.com/26454091

#### Queries run much faster with the proper indexes.

#### The right read optimization is good indexing!

- But, different queries need different indexes.
- Typically you need lots of indexes for a single table.

# Optimizing reads with indexes slows down insertions.



# The case for write optimization is indexed insertion performance.

- "I'm trying to create indexes on a table with 308 million rows. It took ~20 minutes to load the table but 10 days to build indexes on it."
  - MySQL bug #9544
- "Select queries were slow until I added an index onto the timestamp field... Adding the index really helped our reporting, BUT now the inserts are taking forever."
  - ▶ Comment on mysqlperformanceblog.com
- "They indexed their tables, they indexed them well, / And lo, did the queries run quick! / But that wasn't the last of their troubles, to tell– / Their insertions, like molasses, ran thick."
  - ▶ Not Lewis Carroll

#### Now, our problem is to optimize writes.

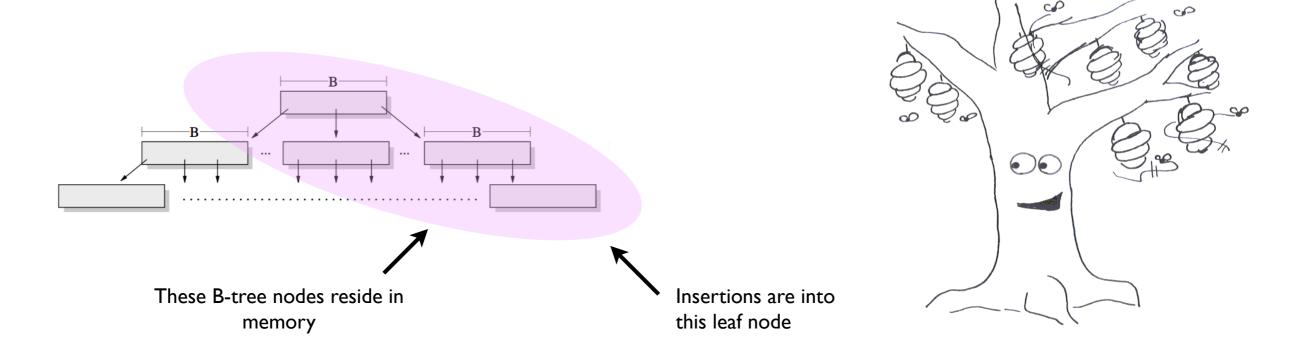
We need to understand how writes work in indexes.



# B-tree Basics

## B-trees are Fast at Sequential Inserts

# Sequential inserts in B-trees have near-optimal data locality.

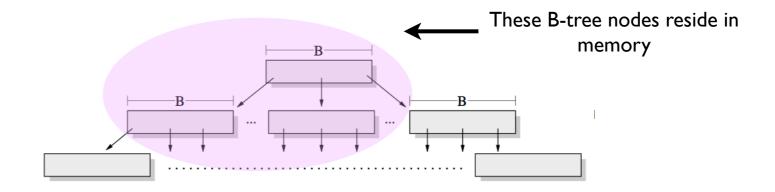


- One disk I/O per leaf (which contains many inserts).
- Sequential disk I/O.
- Performance is disk-bandwidth limited.



### B-Trees Are Slow at Ad Hoc Inserts

# High entropy inserts (e.g., random) in B-trees have poor data locality.



- Most nodes are not in main memory.
- Most insertions require a random disk I/O.
- Performance is disk-seek limited.
- $\leq$  100 inserts/sec/disk ( $\leq$  0.05% of disk bandwidth).



## Good Indexing is Hard With B-trees

#### With multiple indexes, B-tree indexes are slow.

- Secondary indexes are not built sequentially.
  - If they have the same sort order as the primary key, why bother storing them?
- For read optimization, we would like multiple secondary indexes per table.
- So inserts become multiple random B-tree insertions.
- That's slow, so we can't keep up with incoming data.

We can't run queries well without good indexes, but we can't keep good indexes in B-trees.



People often don't use enough indexes. They use simplistic schema.

Sequential inserts via an autoincrement key.



Then insertions are fast but queries are slow.

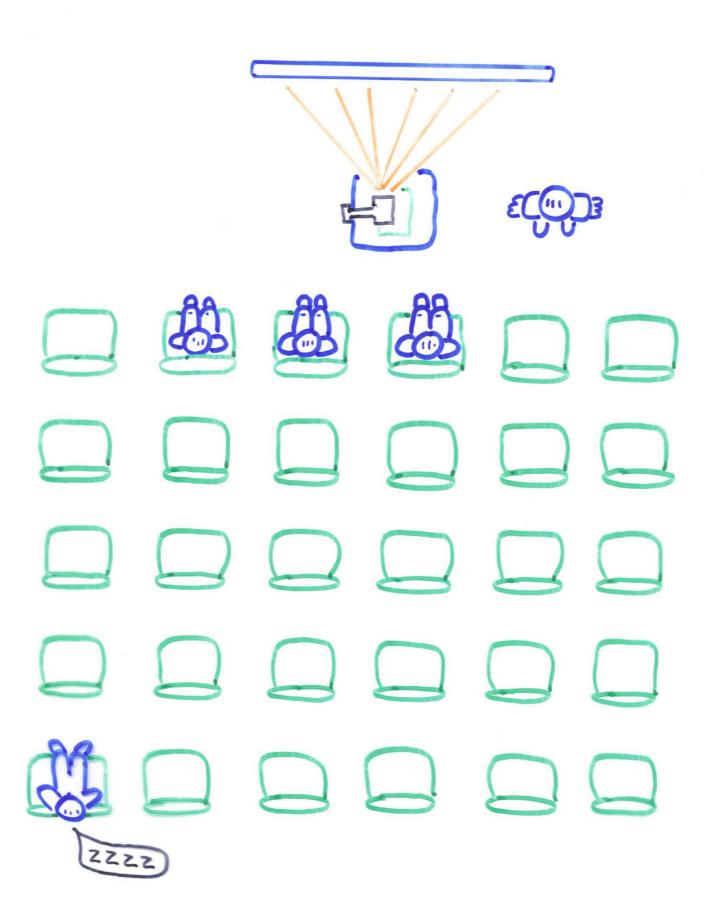
Adding sophisticated indexes helps queries.

B-trees cannot afford to maintain them.

If we speed up inserts, we can maintain the right indexes, and speed up queries.



## Overview of Talk



#### **Read Optimization Techniques**

# Write Optimization is Necessary for Read Optimization

### **Write Optimization Techniques**

- Insert Batching
  - **OLAP**
- Bureaucratic Insert Batching
  - **LSM Trees**
- How the Post Office Does Write Optimization
  - Fractal Trees



## Reformulating The Problem

# Random insertions into a B-tree are slow because:

- Disk seeks are very slow.
- B-trees incur a disk seek for every insert.

#### Here is another way to think about it:

B-trees only accomplish one insert per disk seek.

#### A simpler problem:

Can we get B-trees to do more useful work per disk seek?



# Insert Batching

## Insert Batching

# Recall that sequential insertions are faster than random insertions.

- The argument before holds for empty trees.
- But even for existing trees, you can bunch of a set of insertions (say, a day's worth) and:
  - Sort them
  - Insert them in sorted order
- Inserting batches in sorted order is faster when you end up with multiple insertions in the same leaf.
- This happens a lot in practice, so batch-sort-and-insert is standard practice.



## Insert Batching Example

#### Here's a typical B-tree scenario:

- 1 billion 160-byte rows = 160GB
- 16KB page size
- 16GB main memory available

#### That means:

- Each leaf contains 100 rows.
- There are 10 million leaves.
- At most (16GB / 160GB) = 10% of the leaves fit in RAM.
  - So most leaf accesses require a disk seek.



## Insert Batching Example

#### Back of the envelope analysis:

- Let's batch 16GB of data (100 million rows).
  - ▶ Then sort them and insert them into the B-tree.
- That's 10% of our total data size, and each leaf has 100 rows, so each leaf has about 10 row modifications headed for it.
- Each disk seek accomplishes 10 inserts (instead of just one).
- So we get about 10x throughput.

#### But we had to batch a lot of rows to get there.

- Since these are stored unindexed on disk, we can't query them.
- If we had 10 billion rows (1.6TB), we would have had to save
  1 billion inserts just to get 10x insertion speed.



## Insert Batching Results

#### **OLAP** is insert batching.

- The key is to batch a constant fraction of your DB size.
  - ▶ Otherwise, the math doesn't work out right.

#### **Advantages**

- Get plenty of throughput from a very simple idea.
  - ▶ 10x in our example, more if you have bigger leaves.

### **Disadvantages**

- Data latency: data arrives for insertion, but isn't available to queries until the batch is inserted.
  - ▶ The bigger the DB, the bigger the batches need to be, and the more latency you experience.



## Learning From OLAP's Disadvantages

#### We got latency because:

- Our data didn't get indexed right away, it just sat on disk.
- Without an index, we can't query that data.

#### We could index the buffer.

But we need to make sure we don't lose the speed boost.



## Learning From OLAP's Disadvantages

#### Let's try it:

- One main B-tree on disk.
- Another smaller B-tree, as the buffer.
  - Maximum size is a constant fraction of the main B-tree's size.
- Inserts go first to the small B-tree.
- When the small B-tree is big enough, merge it with the larger B-tree.
- Queries need to be done on both trees, but at least all the data can be queried immediately.

It looks like we solved the latency problem.



## If At First You Don't Succeed, Recurse

#### We didn't maintain our speed boost.

- At first, the smaller B-tree fits in memory, so inserts are fast.
- When your DB grows, the smaller tree must grow too.
  - ▶ Otherwise, you lose the benefit of batching remember, you need a constant fraction like 10%.
- Eventually, even the small B-tree is too big for memory.
- Now we can't insert into the small B-tree fast enough.

#### Try the same trick again:

- Stick an insert buffer in front of the small B-tree.
- But now you get latency, so index the new buffer.

•

### This brings us to our next write optimization.



# LSM Trees

### LSM Trees

#### Generalizing the OLAP technique:

- Maintain a hierarchy of B-trees: B<sub>0</sub>, B<sub>1</sub>, B<sub>2</sub>, ...
  - $\triangleright$  B<sub>k</sub> is the insert buffer for B<sub>k+1</sub>.
- The maximum size of  $B_{k+1}$  is twice that of  $B_k$ .
  - "Twice" is a simple choice but it's not fixed.
- When B<sub>k</sub> gets full, merge it down to B<sub>k+1</sub>, and empty B<sub>k</sub>.
- These merges can cascade down multiple levels.

### This is called a Log-Structured Merge Tree.



### LSM Trees

#### Visualizing the LSM Tree

- B-trees are a bit like arrays, the way we use them here.
  - If we simplify things a tiny bit, all we do is merge B-trees, which is fast.
  - Merging sorted arrays is fast too (mergesort uses this).

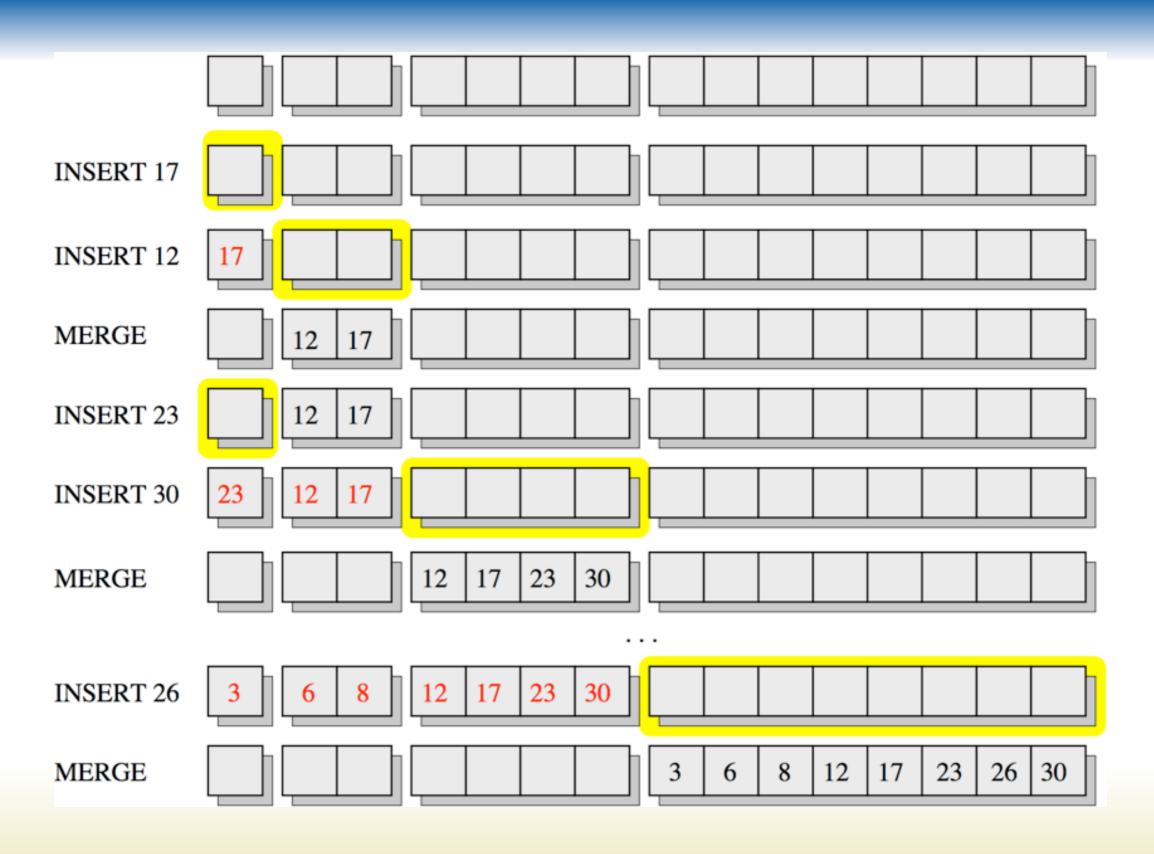


- B<sub>k</sub>'s maximum size is 2<sup>k</sup>.
- The first few levels\* are just in memory.



<sup>\*</sup> If memory size is M, that's log<sub>2</sub>(M) levels

## LSM Tree Demonstration



### LSM Tree Insertion Performance

#### LSM Trees use I/O efficiently.

- Each merge is 50% of the receiving tree's size.
- So each disk seek done during a merge accomplishes half as many inserts as fit in a page (that's a lot).
  - In our earlier example, that's 50 inserts per disk seek.
- But there are log<sub>2</sub>(n) log<sub>2</sub>(M) levels on disk, so each insert needs to get written that many times.
  - ▶ That would be ~3 times.
- Overall, we win because the boost we get from batching our inserts well overwhelms the pain of writing data multiple times.
  - ▶ Our database would get about a 16x throughput boost.

### LSM Trees have very good insertion performance.



## LSM Tree Query Performance

#### LSM Trees do a full B-tree search once per level.

- B-tree searches are pretty fast, but they do incur at least one disk seek.
- LSM trees do lots of searches, and each one costs at least one disk seek.

# Queries in LSM trees are much slower than in B-trees.

Asymptotically, they're a factor of log(n) slower.



### LSM Tree Results

#### **Advantages**

- Data is available for query immediately.
- Insertions are very fast.

#### **Disadvantages**

Queries take a nasty hit.

#### LSM trees are almost what we need.

- They can keep up with large data sets with multiple secondary indexes and high insertion rates.
- But the indexes you keep aren't as effective for queries. We lost some of our read optimization.



# Fractal Tree® Indexes

## Getting the Best of Both Worlds

#### LSM Trees have one big structure per level.

But that means you have to do a global search in each level.

# B-trees have many smaller structures in each level.

So on each level, you only do a small amount of work.

#### A Fractal Tree® Index is the best of both worlds.

- Topologically, it looks like a B-tree, so searches are fast.
- But it also buffers like an LSM Tree, so inserts are fast.



## Building a Fractal Tree® Index

#### Start with a B-tree.

#### Put an unindexed buffer (of size B) at each node.

These buffers are small, so they don't introduce data latency.

#### Insertions go to the root node's buffer.

#### When a buffer gets full, flush it down the tree.

- Move its elements to the buffers on the child nodes.
- This may cause some child buffers to flush.

### Searches look at each buffer going to a leaf.

 But they can ignore all the rest of the data at that depth in the tree.



### Fractal Tree® Index Insertion Performance

#### Cost to flush a buffer: O(1).

#### Cost to flush a buffer, per element: O(1/B).

We move B elements when we flush a buffer.

#### # of flushes per element: O(log(N)).

• That's just the height of the tree – when the element gets to a leaf node, it's done moving.

# Cost to flush an element all the way down: O(log(N)) \* O(1/B) = O(log(N) / B).

- (Full cost to insert an element)
- By comparison, B-tree insertions are  $O(log_B(N)) = O(log(N) / log(B))$ .

#### Fractal Tree Indexes have very good insertion performance.

As good as LSM Trees.



## Fractal Tree® Index Query Performance

# Fractal Tree searches are the same as B-tree searches.

- Takes a little more CPU to look at the buffers, but the same # of disk seeks.
  - There are some choices to make here, about caching and expected workloads, but they don't affect the asymptotic performance.

So Fractal Trees have great query performance.



## Fractal Tree® Index Results

#### **Advantages**

- Insertion performance is great.
  - ▶ We can keep all the indexes we need.
- Query performance is great.
  - ▶ Our indexes are as effective as they would be with B-trees.

#### **Disadvantages**

- Introduces more dependence between tree nodes.
  - ▶ Concurrency is harder.
- Insert/search imbalance: inserts are a lot cheaper than searches, only as long as inserts don't require a search first.
  - Watch out for uniqueness checks.

#### Other benefits

- Can afford to increase the block size.
  - Better compression, no fragmentation.
- Can play tricks with "messages" that update multiple rows.
  - ▶ HCAD, HI, HOT (online DDL).



# Thanks!

Come see our booth and our lightning talk

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