# **Fixedpoints**

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This tutorial illustrates uses of Z3's fixedpoint engine. The following papers

 $\mu$ Z - An Efficient Engine for Fixed-Points with Constraints.(CAV 2011) and Generalized Property Directed Reachability (SAT 2012) describe some of the main features of the engine.

#### Introduction

This tutorial covers some of the fixedpoint utilities available with Z3. The main features are a basic Datalog engine, an engine with relational algebra and an engine based on a generalization of the Property Directed Reachability algorithm.

# **Basic Datalog**

The default fixed-point engine is a bottom-up Datalog engine. It works with finite relations and uses finite table representations as hash tables as the default way to represent finite relations.

#### Relations, rules and queries

The first example illustrates how to declare relations, rules and how to pose queries.

```
1 fp = Fixedpoint()
```

```
a, b, c = Bools('a b c')
3
4
     fp.register_relation(a.decl(), b.decl(), c.decl())
5
     fp.rule(a,b)
6
     fp.rule(b,c)
7
     fp.set(engine='datalog')
8
9
     print "current set of rules\n", fp
10
     print fp.query(a)
11
12
13
     fp.fact(c)
     print "updated set of rules\n", fp
14
15
     print fp.query(a)
     print fp.get_answer()
16
```

The example illustrates some of the basic constructs.

```
fp = Fixedpoint()
```

creates a context for fixed-point computation.

```
fp.register_relation(a.decl(), b.decl(), c.decl())
```

Register the relations a, b, c as recursively defined.

```
fp.rule(a,b)
```

Create the rule that a follows from b. In general you can create a rule with multiple premises and a name using the format

```
fp.rule(_head_,[_body1,...,bodyN_],_name_)
```

The name is optional. It is used for tracking the rule in derivation proofs.

Continuing with the example of is false unless his established

טוונווועוווען שונוו נווב באמוווףוב, מ ום ומוסב עווובסט ט וס בסנמטווסוובע.

```
fp.query(a)
```

Asks if a can be derived. The rules so far say that a follows if b is established and that b follows if c is established. But nothing establishes c and b is also not established, so a cannot be derived.

```
fp.fact(c)
```

Add a fact (shorthand for fp.rule(c,True)). Now it is the case that a can be derived.

# **Explanations**

It is also possible to get an explanation for a derived query. For the finite Datalog engine, an explanation is a trace that provides information of how a fact was derived. The explanation is an expression whose function symbols are Horn rules and facts used in the derivation.

```
1
     fp = Fixedpoint()
2
3
     a, b, c = Bools('a b c')
4
5
     fp.register_relation(a.decl(), b.decl(), c.decl())
6
7
     fp.rule(a,b)
     fp.rule(b,c)
8
     fp.fact(c)
9
     fp.set(generate_explanations=True, engine='datalog')
10
     print fp.query(a)
11
     print fp.get_answer()
12
13
```

# **Relations with arguments**

Palatione can take argumente We illustrate relations with arguments using edges

and paths in a graph.

```
fp = Fixedpoint()
1
     fp.set(engine='datalog')
2
3
     s = BitVecSort(3)
4
     edge = Function('edge', s, s, BoolSort())
5
     path = Function('path', s, s, BoolSort())
6
7
     a = Const('a',s)
     b = Const('b',s)
8
     c = Const('c',s)
9
10
     fp.register_relation(path,edge)
11
     fp.declare var(a,b,c)
12
13
     fp.rule(path(a,b), edge(a,b))
     fp.rule(path(a,c), [edge(a,b),path(b,c)])
14
15
     v1 = BitVecVal(1,s)
16
17
     v2 = BitVecVal(2,s)
     v3 = BitVecVal(3,s)
18
19
     v4 = BitVecVal(4,s)
20
21
     fp.fact(edge(v1,v2))
22
     fp.fact(edge(v1,v3))
     fp.fact(edge(v2,v4))
23
24
     print "current set of rules", fp
25
26
27
     print fp.query(path(v1,v4)), "yes we can reach v4 from v1"
28
29
30
     print fp.query(path(v3,v4)), "no we cannot reach v4 from v3"
31
```

The example uses the declaration

```
fp.declare_var(a,b,c)
```

to instrument the fixed-point engine that a, b, c should be treated as variables when they appear in rules. Think of the convention as they way bound variables are passed to quantifiers in Z3Py.

#### **Procedure Calls**

McCarthy's 91 function illustrates a procedure that calls itself recursively twice. The Horn clauses below encode the recursive function:

```
mc(x) = if x > 100 then x - 10 else <math>mc(mc(x+11))
```

The general scheme for encoding recursive procedures is by creating a predicate for each procedure and adding an additional output variable to the predicate. Nested calls to procedures within a body can be encoded as a conjunction of relations.

```
1
2  mc = Function('mc', IntSort(), IntSort(), BoolSort())
```

```
n, m, p = Ints('n m p')
3
4
     fp = Fixedpoint()
5
6
7
     fp.declare var(n,m)
     fp.register_relation(mc)
8
9
     fp.rule(mc(m, m-10), m > 100)
10
     fp.rule(mc(m, n), [m \le 100, mc(m+11,p), mc(p,n)])
11
12
     print fp.query(And(mc(m,n), n < 90))
13
     print fp.get_answer()
14
15
     print fp.query(And(mc(m,n),n < 91))</pre>
16
     print fp.get_answer()
17
18
19
     print fp.query(And(mc(m,n),n < 92))</pre>
     print fp.get_answer()
20
```

The first two queries are unsatisfiable. The PDR engine produces the same proof of unsatisfiability. The proof is an inductive invariant for each recursive predicate. The PDR engine introduces a special query predicate for the query.

# **Bakery**

We can also prove invariants of reactive systems. It is convenient to encode reactive systems as guarded transition systems. It is perhaps for some not as convenient to directly encode guarded transitions as recursive Horn clauses. But it is fairly easy to write a translator from guarded transition systems to recursive Horn clauses. We illustrate a translator and Lamport's two process Bakery algorithm in the next example.

```
CTG22 ITGH2TTTOH2A2CHH():
7
         def __init__(self, initial, transitions, vars1):
8
             self.fp = Fixedpoint()
9
             self.initial
                              = initial
10
             self.transitions = transitions
11
             self.vars1 = vars1
12
13
         def declare rels(self):
14
             B = BoolSort()
15
             var sorts = [ v.sort() for v in self.vars1 ]
16
             state sorts = var sorts
17
             self.state vals = [ v for v in self.vars1 ]
18
             self.state_sorts = state_sorts
19
             self.var sorts = var sorts
20
             self.state = Function('state', state_sorts + [ B ])
21
             self.step = Function('step', state sorts +
22
     state sorts + [ B ])
23
             self.fp.register_relation(self.state)
24
             self.fp.register_relation(self.step)
25
26
     # Set of reachable states are transitive closure of step.
27
28
         def state0(self):
29
             idx = range(len(self.state sorts))
30
             return self.state([Var(i,self.state sorts[i]) for i
31
     in idx])
32
33
         def state1(self):
34
             n = len(self.state sorts)
35
             return self.state([Var(i+n, self.state_sorts[i]) for
36
     i in range(n)])
37
38
         def rho(self):
39
             n = len(self.state sorts)
40
             args1 = [ Var(i,self.state_sorts[i]) for i in
41
     range(n) ]
42
             args2 = [ Var(i+n,self.state sorts[i]) for i in
43
     range(n) ]
44
             args = args1 + args2
45
             return self.step(args)
46
47
         def declare reachability(self):
48
             self.fp.rule(self.state1(), [self.state0(),
49
```

```
self.rho()])
50
51
52
     # Define transition relation
53
54
         def abstract(self, e):
55
             n = len(self.state sorts)
56
             sub = [(self.state vals[i],
57
     Var(i,self.state_sorts[i])) for i in range(n)]
58
             return substitute(e, sub)
59
60
         def declare_transition(self, tr):
61
             len s = len(self.state sorts)
62
             effect = tr["effect"]
63
             vars1 = [Var(i,self.state sorts[i]) for i in
64
     range(len_s)] + effect
65
             rho1 = self.abstract(self.step(vars1))
66
             quard = self.abstract(tr["quard"])
67
             self.fp.rule(rho1, quard)
68
69
         def declare transitions(self):
70
             for t in self.transitions:
71
                 self.declare transition(t)
72
73
         def declare initial(self):
74
             self.fp.rule(self.state0(),
75
     [self.abstract(self.initial)])
76
77
         def query(self, query):
78
             self.declare rels()
79
             self.declare initial()
80
             self.declare reachability()
81
             self.declare transitions()
82
             query = And(self.state0(), self.abstract(query))
83
             print self.fp
84
             print query
85
             print self.fp.query(query)
86
             print self.fp.get_answer()
87
             print self.fp.statistics()
88
89
90
     L = Datatype('L')
91
     L.declare('L0')
92
```

```
L.declare('L1')
93
     L.declare('L2')
94
     L = L.create()
95
     L0 = L.L0
96
     L1 = L.L1
97
     L2 = L.L2
98
99
100
    y0 = Int('y0')
101
    y1 = Int('y1')
102
    l = Const('l', L)
103
     m = Const('m', L)
104
105
106
    t1 = { "guard" : l == L0,
107
            "effect" : [ L1, y1 + 1, m, y1 ] }
108
     t2 = \{ "guard" : And(l == L1, 0r([y0 <= y1, y1 == 0])),
109
           "effect" : [ L2, y0,
                                 m, y1 ] }
110
     t3 = { "guard" : l == L2,
111
           "effect" : [ L0, IntVal(0), m, y1 ]}
112
     s1 = \{ "guard" : m == L0, 
113
           "effect" : [ l, y0, L1, y0 + 1 ] }
114
     s2 = \{ "guard" : And(m == L1, 0r([y1 <= y0, y0 == 0])), \}
115
           "effect" : [ l, y0, L2, y1 ] }
116
     s3 = \{ "guard" : m == L2, 
117
            "effect" : [ l, y0, L0, IntVal(0) ]}
     ptr = TransitionSystem( And(l == L0, y0 == 0, m == L0, y1 ==
     0),
                              [t1, t2, t3, s1, s2, s3],
                              [l, y0, m, y1])
     ptr.query(And([l == L2, m == L2]))
```

The rather verbose (and in no way minimal) inductive invariants are produced as answers.

#### **Functional Programs**

We can also verify some properties of functional programs using 73's generalized

PDR. Let us here consider an example from Predicate Abstraction and CEGAR for Higher-Order Model Checking, Kobayashi et.al. PLDI 2011. We encode functional programs by taking a suitable operational semantics and encoding an evaluator that is specialized to the program being verified (we don't encode a general purpose evaluator, you should partial evaluate it to help verification). We use algebraic datatypes to encode the current closure that is being evaluated.

```
1
2
     # let max max2 x y z = max2 (max2 x y) z
3
     \# let f \times y = if \times y then \times else y
     \# assert (f (max f x y z) x) = (max f x y z)
4
5
6
7
     Expr = Datatype('Expr')
     Expr.declare('Max')
8
     Expr.declare('f')
9
     Expr.declare('I', ('i', IntSort()))
10
     Expr.declare('App', ('fn',Expr),('arg',Expr))
11
     Expr = Expr.create()
12
     Max = Expr.Max
13
     Ι
          = Expr.I
14
     App = Expr.App
15
          = Expr.f
16
     f
     Eval = Function('Eval', Expr, Expr, Expr, BoolSort())
17
18
         = Const('x',Expr)
19
        = Const('y',Expr)
20
     У
        = Const('z',Expr)
21
22
     r1 = Const('r1', Expr)
     r2 = Const('r2', Expr)
23
24
     max = Const('max',Expr)
     xi = Const('xi',IntSort())
25
     yi = Const('yi',IntSort())
26
27
28
     fp = Fixedpoint()
     fp.register_relation(Eval)
29
30
     fp.declare_var(x,y,z,r1,r2,max,xi,yi)
31
     \# Max max x y z = max (max x y) z
32
     fp.rule(Eval(App(App(App(Max,max),x),y), z, r2),
33
             [Fyal(Ann(max x) v r1)
```

```
[ L V U C ( TPP ( 111 U A ) A / , y , 1 I / ,
34
                Eval(App(max,r1),z,r2)])
35
36
     \# f \times y = x \text{ if } x >= y
37
     # f x y = y if x < y
38
     fp.rule(Eval(App(f,I(xi)),I(yi),I(xi)),xi >= yi)
39
     fp.rule(Eval(App(f,I(xi)),I(yi),I(yi)),xi < yi)</pre>
40
41
     print fp.query(And(Eval(App(App(App(Max,f),x),y),z,r1),
42
                           Eval(App(f,x),r1,r2),
43
                            r1 != r2))
44
45
     print fp.get_answer()
46
```

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